

Long Context Alignment with Short Instructions and Synthesized Positions

Anonymous ACL submission

Abstract

Effectively handling instructions with extremely long context remains a challenge for Large Language Models (LLMs), typically necessitating high-quality long data and substantial computational resources. This paper introduces Step-Skipping Alignment (SkipAlign), a new technique designed to enhance the long-context capabilities of LLMs in the phase of alignment without the need for additional efforts beyond training with original data length. SkipAlign is developed on the premise that long-range dependencies are fundamental to enhancing an LLM’s capacity of long context. Departing from merely expanding the length of input samples, SkipAlign synthesizes long-range dependencies from the aspect of positions indices. This is achieved by the strategic insertion of skipped positions within instruction-following samples, which utilizes the semantic structure of the data to effectively expand the context. Through extensive experiments on base models with a variety of context window sizes, SkipAlign demonstrates its effectiveness across a spectrum of long-context tasks. Particularly noteworthy is that with a careful selection of the base model and alignment datasets, SkipAlign with only 6B parameters achieves it’s best performance and comparable with strong baselines like GPT-3.5-Turbo-16K on LongBench. The code and SkipAligned models will be open-sourced.

1 Introduction

The capacity to process and comprehend long contexts is pivotal to large language models (LLMs), empowering them to tackle complex real-world applications involving extremely long context, such as questions answering or summarizing from multiple-document (Caciularu et al., 2023), understanding and processing repository-level code (Jimenez et al., 2023). Recent advancements have significantly broadened the context window of LLMs, e.g. achieving a context window of 128K

tokens through continuous pretraining (Fu et al., 2024).

Despite these advancements on extending context window, the alignment of LLMs to leverage their long-text capabilities to interpret long and complex instructions remains an underexplored area. A primary obstacle is the lack of high-quality, open-source datasets with long instructions, along with the challenges associated with annotating such data. A promising approach to this challenge involves synthesizing long instructional samples from common short ones. However, existing methods have primarily focused on simply extending the length of instructional samples, neglecting the more critical aspect of effectively building long-range dependency relations. For example, methods like LongChat (Li et al., 2023) and LongLLAMA (Tworkowski et al., 2024) concatenate shorter samples to create longer ones. Yet, the long-range relations constructed in these strategies are derived from unrelated samples, which may not effectively simulate the long-range dependencies necessary for tasks involving long context.

To overcome these challenges, this paper introduces a new method called Step-Skipping Alignment (SkipAlign) which leverages positional indices of short instructions to create samples with meaningful long-range dependency relations. Drawing inspiration from transformer’s reliance on positional indices, SkipAlign manipulates positional indices to simulate long-range dependencies, enhancing the model’s ability to process long contexts without the need for extensive data generation or modifying architecture. Our technique involves the strategic insertion of skipping steps within the positional indices of instruction-response pairs. This strategy is designed to ensure that the relative distances of synthesized indices are uniformly distributed across an extended range of lengths, while maintaining their continuity as much as possible. Leveraging the rich long-range dependencies

085 within the synthesized positions, LLMs are better
086 equipped to learn how to process long instructions
087 during the alignment phase.

088 Our evaluation of SkipAlign involved base mod-
089 els with varying context window sizes, including a
090 LLAMA-2 model featuring a 4096-token window
091 and a Yi-6B-200K model with an 200K-token win-
092 dow. On LongBench benchmark, SkipAlign ac-
093 tivates long-context capabilities more effectively
094 than conventional instruction finetuning and re-
095 cent packing based methods. A SkipAlign model
096 with 6 billion parameters, when integrated with
097 high-quality base models and instruction datasets,
098 matches the performance of GPT-3.5-Turbo-16k
099 on the LongBench. Moreover, in the Needle-in-
100 a-Haystack test, SkipAlign demonstrates its supe-
101 rior performance in extending the context window
102 size and highlights the critical importance of long-
103 range dependencies in samples, rather than merely
104 extending the sequence lengths. In summary, the
105 advantages of SkipAlign are as follows: (1) **En-
106 hanced Long Context Capabilities:** SkipAlign
107 improves models’ long context capabilities by sim-
108 ulating long-range dependencies, which is essential
109 for effective long context alignment. (2) **Compu-
110 tational Efficiency:** SkipAlign avoids the need for
111 additional longer data for training or modifying the
112 architecture of a LLM, making it a computationally
113 efficient solution. (3) **Extended Context Window:**
114 SkipAlign additionally helps LLM with small con-
115 text window to handle inputs beyond their original
116 context window.

117 2 Related Work

118 **Long Context Scaling** The goal of long context
119 scaling is to empower current LLMs them with the
120 ability to cope with long context tasks. This process
121 involves two key steps: context window extension
122 and instruction finetuning (Xiong et al., 2023). The
123 majority of existing research has concentrated on
124 the former, exploring techniques such as manipu-
125 lating positional embeddings (Chen et al., 2023a;
126 Peng and Quesnelle, 2023; Jin et al., 2024), inno-
127 vating model architecture (Mohtashami and Jaggi,
128 2023; Yang et al., 2023; Tworkowski et al., 2024),
129 and continue pretraining (Chen et al., 2023b). In
130 contrast, this study delves into the latter step, focus-
131 ing on long context instruction finetuning. To the
132 best of our knowledge, previous research has ap-
133 proached this stage by generating additional long-
134 input data (Bai et al., 2024). Our method, however,

relies solely on the available short instruction data.

Long Context Evaluation Initial studies have
predominantly evaluated LLMs based on their abil-
ity to maintain perplexity over extended context
(Chen et al., 2023a; Peng et al., 2023). However,
recent findings have revealed that perplexity alone
is insufficient to reflect the long context capabilities
of language models (Fu et al., 2024). As a result,
two alternative evaluation methods have emerged.
One approach involves comprehensive evaluation
methods, such as LongBench (Bai et al., 2023)
and L-Eval (An et al., 2023), which assess long
context capabilities through various downstream
tasks, including question answering (QA) and text
summarization. The other approach, represented
by Needle-in-a-Haystack test¹, applies synthetic
tasks to pressure test specific types of long context
capabilities at any given position. In addition to
assessing long context capabilities, it is crucial to
evaluate a model’s proficiency in managing short
texts effectively (Xiong et al., 2023). In this paper,
we conduct a comprehensive evaluation by employ-
ing both types of long context evaluation methods,
while also reporting on the performance of short
text tasks.

Skip Position Training The concept of skip po-
sition training has been previously utilized for con-
text window expansion. RandPos (Ruoss et al.,
2023) randomly selects and projects an ordered
subset of position indices to accommodate longer
contexts. Subsequently, PoSE (Zhu et al., 2023)
refined this technique by dividing long inputs into
segments and randomly shifting their position in-
dices. The primary objective of these methods is
to enhance memory efficiency during the training
of extremely long sequences. Our approach, on the
other hand, aims to stimulate long-range dependen-
cies in long instruction-following data and utilizing
their inherent structure.

174 3 Methodology

175 3.1 Preliminary

176 Before introducing SkipAlign, we first introduce
177 the background knowledge and the important base-
178 lines of our method.

Instruction Tuning Pretrained models are often
finetuned with instruction-following samples for
alignment to learn to follow instructions. These

¹https://github.com/gkamradt/LLMTest_NeedleInAHaystack.

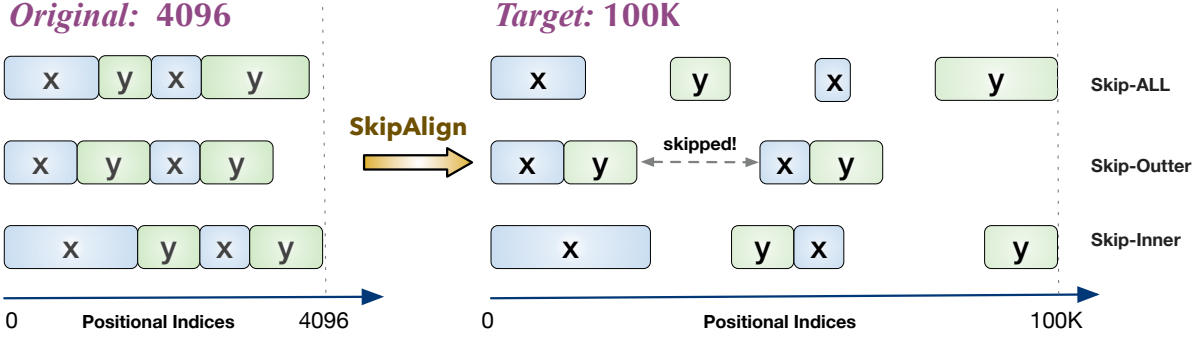


Figure 1: SkipAlign modifies positional indices in instruction-following samples to simulate long-range dependency relations. The provided example showcases how SkipAlign takes three distinct samples, each initially positioned within a 4096-token, and independently applies three separate strategies to stretch their lengths to an impressive 100K tokens.

samples are structured as instruction-response pairs, arranged in continuous sequences (Wei et al., 2022). These sequences are structured as formal instruction-response pairs. To formalize, let $m = (x_1, y_1, \dots, x_i, y_i)$ denote a sequence comprising i turns of such pairs. We train auto-regressive language models using the following objective function:

$$\mathcal{L} = - \sum_m \log \sum_{y_j} p(y_j | (x_1, y_1, \dots, x_j)), \quad (1)$$

In this dialogue-formatted sample, the model is tasked with predicting each response y_j conditioned on its preceding instruction x_j and the sequence of prior pairs. This conventional approach to instruction tuning is termed *Normal-SFT* throughout the remainder of this paper.

Packed-SFT It is crucial to highlight that the majority of existing datasets used for instruction tuning are characterized by short instructions. To address this limitation, a straightforward method proposed in LongChat (Li et al., 2023) involves concatenating multiple short, unrelated instruction-following samples into a single sequence of k tokens in length. We refer this baseline method as *PackedSFT-k* throughout the remainder of this paper.

Position Indices Transformer-based language models utilize positional information to complement the input tokens, and this information is encoded through positional indices (Vaswani et al., 2017b). While a variety of positional embedding techniques have been proposed, they universally rely on positional indices to precisely convey the

positional information of tokens (Raffel et al., 2020; Su et al., 2024). By default, positional indices are sequentially assigned as $(0, 1, \dots, |m| - 1)$, with $|m|$ representing the length of the input sequence. In this study, we concentrate on the recent popular relative positional embedding approach, with a particular emphasis on the ROPE (Su et al., 2024). This method characterizes the positional relationship between two tokens at indices i and j by their relative distance, denoted as $|i - j|$.

3.2 SkipAlign

In this section, we provide an in-depth explanation of our proposed method, SkipAlign. To generate a target response within an instruction-following sample, the essential information relied upon is scattered across its corresponding instruction and the sequence of preceding dialogue turns, as elaborated in Section 3.1. SkipAlign operates on the core assumption that expanding the relative distance of such semantic structure to encompass a longer scale is essential for unlocking the long-context capabilities of language models. SkipAlign accomplishes this via strategically modifying positional indices. By selectively skipping over certain positional indices in a instruction-following sample, we are able to extend the relative distance of semantic dependencies, creating long-range dependency relations.

Skipping Positions via Shifting Our aim is to expand relative distances of semantic dependency in an instruction dataset, surpassing the its maximum sample length l to reach an extended maximum length L , where L is significantly greater than l . This is achieved by reassigning positional

indices, spreading the original positions from the interval $[0, l]$ to the extended interval $[0, L]$. We treat an instruction or response as a basic unit and shift all of their positional indices simultaneously. Formally, given an i turn sample m , let $P(m) = (c_1, c_2, \dots, c_{2i-1}, c_{2i})$ represent its original positional indices which is concatenated by the positional indices of each block in a instruction-response pair. In $P(m)$, odd and even numbered subscript separately correspond to instructions and responses. We create larger relative positions by shifting each positional block to the right by a bias vector $\mathbf{u} = (u_1, u_2, \dots, u_{2i})$, where each constant $u \in \mathbf{u}$ is a constant bias for the shift. By shifting different block by a various scale, we can create skipping positions between them. The reassigned positional indices of m are now given by:

$$P_u(m) = P(m) + \mathbf{u} \\ = (c_1 + u_1, c_2 + u_2, \dots, c_{2i} + u_{2i}). \quad (2)$$

Because the basic requirement for valid position indices is incrementality, which requires the minimum shifting bias u_i is set to accumulated shifting bias of previous tokens $u_i^a = \sum_{j < i} u_j$. We introduce a skipped step denote as s_i , such that $u_i = u_{i-1}^a + s_i$. A s_i of zero means no skip occurs between c_i and its precedent c_{i-1} . A positive s_i introduces a skip of s_i positional indices between these two positions. To achieve a uniform distribution of relative distances within $[0, L]$ after shifting, we sample s_i from a uniform distribution:

$$s_i \sim \mathcal{U}\{1, L - |m| - u_{i-1}^a\}, \quad (3)$$

where $L - |m| - u_{i-1}^a$ represents the maximum allowable skip length, taking into account the sample length $|m|$ and the already skipped positions u_{i-1}^a . The remaining critical task is to devise a skipping strategy for determining when to set $s_i > 0$ to introduce skipping steps.

Skipping Strategy We investigate three distinct skipping strategies, to study the contributions of various semantic dependencies on the model’s long context capability. These strategies apply skipped distances selectively to particular structures within the sample:

1. **Skip-All:** This strategy applies skipping across all roles within a sample, without any selection.

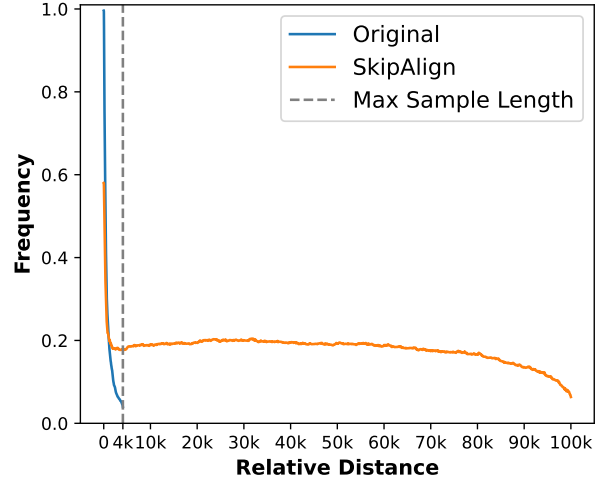


Figure 2: The frequency of relative distance in the Tulu V2 dataset. Comparing with the original distribution, SkipAlign redistribute a small subset of samples into a longer context.

2. **Skip-Inner:** This strategy adds skipping steps exclusively within pairs, i.e., between an instruction and its response. Concisely, such strategy only adds s_i when c_i is from a response.
3. **Skip-Otter:** This strategy introduces skipping steps only between separate dialogue turns. Concisely, such strategy only adds s_i when c_i is from a instruction.

A straight forward illustration of how these strategies on positional indices is presented in Figure 1. We use an indicator function **DO_SKIP()** to determine if c_i meets the criteria for adding skipping step. The function returns 1 if the conditions are met, and 0 otherwise. Furthermore, to control the number of synthesized positions, we sub-sample $p\%$ of valid position to add skipping steps. The overall rule are summarized as followings:

$$u_i = \begin{cases} u_{i-1}^a + \mathbb{1}(\epsilon_i \leq p) * s_i \\ i > 0 \quad \text{and} \quad DO_SKIP(c_i) \\ 0 \end{cases} \quad i = 0 \quad (4)$$

where ϵ_i is uniformly sampled from $[0, 1]$ and determined by the indicator function $\mathbb{1}(\cdot)$, which decides whether to add the skipped distance s_i . We apply **Skip-Outer** as our default strategy as it achieve a better performance in both long context and short context capability by ablation studies (2).

Frequency of Relative Distances Distribution of relative distances within a dataset is the key to

understand the impact of the SkipAlign. This section provides a statistical analysis of the frequency of relative distances at the dataset level. We begin by explaining the methodology to quantify the range of relative distances present in an individual sample. In the most straightforward scenario, a single-turn dialogue (x_1, y_1) with a length of l , the set of possible relative distances for generating y_i is $\{0, 1, \dots, |l| - 1\}$. However, if a skipped step s_i is inserted between x_1 and y_1 , the minimum distance between them is now s_i , the revised range of relative distances is $\{s_i, s_i + 1 + \dots, s_i + |l| - 1\}$, which expands the relative distance of such dependency. For more complex cases involving multiple turns, we consider the union of the relative distance sets for generating responses in each turn.

Following the aforementioned method, we calculate the frequency of relative positions in dataset-level. As depicted in Figure 2, Tülu V2 dataset’s initial relative distances are confined to the interval $[0, 4096]$. After SkipAlign the distribution is extended to $[0, 100K]$, with the extended range from 4096 to 100K nearly uniform. This observation suggests that the SkipAlign extends the positional indices of a $p\%$ of the dataset, making them to evenly distributed to relative distances across the expanded interval.

4 Experimental Setup

Training Data Our experiments leverage the Tülu V2 ² dataset, which is a high-quality data mixture consisting of manually annotated and GPT-generated conversational data. This dataset provides a rich and diverse source for model training. Following their settings, we truncate input samples to 4096 tokens. For the SkipAlign, we introduce additional positional indices during pre-processing. The parameters for the SkipAlign are as follows: the maximum extend length L is set to 100K, the sub-sampling ratio p is 0.5, and the default skipping strategy is Skip-Outter.

Training Settings In response to the recent progress in extending the context window, our study investigates the influence of these models on the alignment of long contexts. We conduct our SFT experiments using two base models with varying context window sizes: 1. The LLAMA-2 model (Touvron et al., 2023), which has a context window of 4094 tokens, serves as our baseline for

²<https://huggingface.co/datasets/allenai/Tülu-v2-sft-mixture>

comparison. 2. The Yi-6B-200K model ³, which significantly extends the Yi-6B model’s context window to an impressive 200K tokens through continuous pre-training (AI et al., 2024). For models based on LLAMA-2, we employ the Neural Tangent Kernel (NTK) (Peng and Quesnelle, 2023) to extend positional embeddings to the maximum training or inference length prior to training. In contrast, for Yi-6B-200K models, additional positional extension is unnecessary as the model’s inherent maximum embedding length is already 200K.

All models are trained for two epochs with a learning rate of $1e-5$, without weight decay, and using a linear learning rate decay and linear warmup for 3% of the total training steps. Training is conducted on an 8-GPU setup with NVIDIA A100 GPUs, utilizing the DeepSpeed library (Aminabadi et al., 2022) and the ZeRO optimizer Vaswani et al. (2017a) for efficient and stable training.

Evaluation The evaluation of our models’ performance with long contexts is conducted using LongBench (Bai et al., 2023), a comprehensive benchmark suite that encompasses 16 distinct datasets spread across 6 different task categories. These datasets are designed to assess models with input lengths varying from 4K to 20K tokens. In the course of our experiments, we observed significant instability in the performance of synthetic tasks within LongBench when tested across multiple models and even at different checkpoints within the same model. This variability prompted us to exclude synthetic tasks and any Chinese-language datasets from our evaluation to ensure a more reliable and focused assessment. We set the maximum testing length to 16K tokens.

5 Results

5.1 Results on LongBench

We present the results of our comprehensive experiments on LongBench in Table 1.

SkipAlign further benefits long context capability The results presented in the second and third blocks of Table 1 highlight the consistent advantage of SkipAlign over Normal-SFT and Packed-SFT on average scores. This is particularly evident when comparing with Normal-SFT, where SkipAlign almost demonstrates its superiority in every subtasks. Utilizing the Yi-6B-200K model, SkipAlign outper-

³<https://huggingface.co/01-ai/Yi-6B-200K>

| Model | Avg. | S-Doc QA | M-Doc QA | Summ | Few-shot | Code |
|--------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| GPT-3.5-Turbo-16k | 44.6 | 39.7 | 38.7 | 26.5 | 67.0 | 54.2 |
| LLAMA-2-7B Based Models | | | | | | |
| LLAMA-2-7B-chat-4k | 35.2 | 24.9 | 22.5 | 25.0 | 60.0 | 48.1 |
| SEext-LLAMA-2-7B-chat-16k | 38.7 | 27.3 | 26.2 | 24.8 | 64.2 | 57.5 |
| LongChat1.5-7B-32k | 36.9 | 28.7 | 20.6 | 26.6 | 60.0 | 54.2 |
| LLAMA-2-7B-NTK32k | 31.7 | 16.2 | 7.3 | 15.4 | 66.7 | 63.4 |
| + Normal-SFT | 41.5 | 31.3 | 32.7 | 26.0 | 65.3 | 57.4 |
| + PackedSFT-16k | 42.6 | 31.6 | 32.8 | 26.2 | 67.9 | 60.5 |
| + PackedSFT-32k | 41.6 | 30.0 | 32.2 | 26.2 | 67.3 | 58.0 |
| + PackedSFT-50k | 43.6 | 36.0 | 37.0 | 27.7 | 63.8 | 58.5 |
| + SkipAlign | 44.1 | 38.6 | 33.8 | 26.1 | 67.6 | 59.6 |
| Yi-6B-200K Based Models | | | | | | |
| Yi-6B-200K | 39.1 | 25.1 | 33.8 | 25.6 | 56.6 | 62.0 |
| + Normal-SFT | 43.7 | 37.0 | 35.0 | 26.8 | 65.8 | 59.0 |
| + PackedSFT-16k | 44.1 | 33.1 | 38.2 | 27.4 | 67.4 | 59.7 |
| + SkipAlign | 45.3 | 40.3 | 38.7 | 26.1 | 66.3 | 60.0 |

Table 1: Results on LongBench, we report the average performance on all datasets and each sub tasks of various long context alignment settings.

forms GPT-3.5-Turbo-16k in the overall average performance on LongBench.

Task-level Analysis After alignment, there is a noticeable enhancement in performance across all sub-tasks, with the exception of a slight decline in the coding subtask. This is largely attributed to the fact that the coding tasks in LongBench predominantly involve continuous code generation, a type of task that aligns more closely with the pretraining. Models need to pay “alignment tax” for this task. In task-level comparisons, the improvements brought by SkipAlign, in descending order, are single-document QA, multi-document QA, few-shot learning, and lastly, summarization. The driving force behind these improvements is SkipAlign’s proficiency in simulating long-term dependencies. Conversely, the gains observed in summarization tasks were more modest. This can be explained by the complex nature of information aggregation inherent in summarization. The task requires identifying salient information that is evenly dispersed throughout a long context. Constructing this type of long-term structure is challenging for current skipping strategies, which are constrained by the given short data and the necessity to maintain consistency of their positional indices.

Quality of base model and alignment dataset is important to the long context capability Our

investigation has revealed key insights into how the quality of base models and alignment datasets significantly influence a language model’s ability to handle long contexts. Notably, when using the same SFT dataset, Normal-SFT, PackedSFT-16K, and SkipAlign consistently show more improvements when they are based on the Yi-6B-200K model rather than the LLAMA-7B model. Moreover, despite employing a similar packing strategy and training sequence length, the PackedSFT-32K model, trained with the Tülu V2 dataset, outperforms the LongChat1.5-7B-32k model, which was trained using ShareGPT, by a notable 4.7 points. This observation underscores the importance of both a high-quality alignment dataset and a base model with inherent strong long context capabilities in achieving superior overall performance.

5.2 Testing with Needle-in-a-Haystack

Settings To gain a clearer insight into the enhancement of long context capabilities by SFT and our proposed SkipAlign, we conduct a Needle-in-a-Haystack test. This test evaluates a model’s ability to retrieve information from any position within the context, as depicted in Figure 3. We use a color scale ranging from deep red, indicating a 100% successful recall, to green, representing a 0% complete failure. Given that the Yi-6B-200K model has already achieved near-perfect performance in

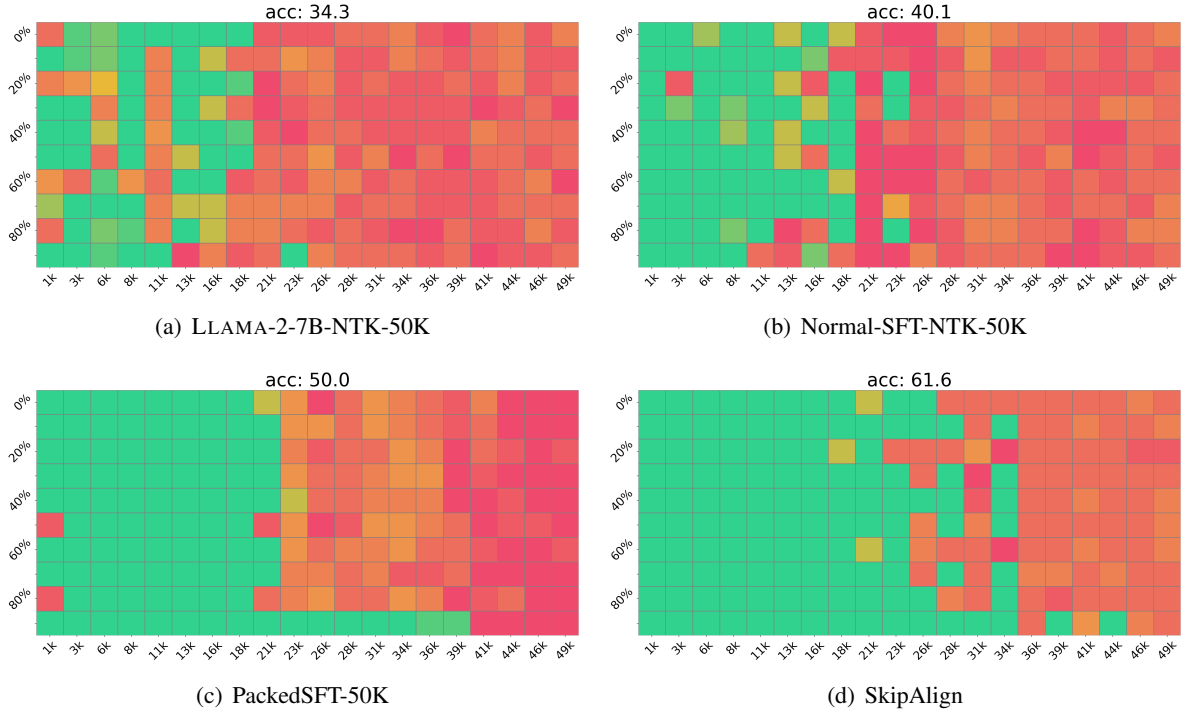


Figure 3: Needle in the Haystack test for LLAMA-2-7B based models: LLAMA-2-7B-NTK-50K denotes the straightforward expansion of LLAMA-2-7B using NTK to accommodate 50K tokens without further tuning. Normal-SFT-NTK-50K represents the adaptation of a standard fine-tuned model for this extended context. PackedSFT-50K indicates the fine-tuning process using samples artificially extended to 50K tokens for training.

472 this test, we focus our evaluation on LLAMA-2-7B
 473 based models.

474 **SkipAlign is better at extending context window**

475 Directly applying NTK for inference, as shown in
 476 Figure 3(a), yields suboptimal results. While initial
 477 fine-tuning followed by NTK, as depicted in Figure
 478 3(b), slightly expands the context window beyond
 479 the initial 4096 token limit. Conversely, fine-tuning
 480 with packed samples to accommodate a 50K token
 481 context, as illustrated in Figure 3(c), manages to
 482 extend the successful retrieval window to around
 483 20K tokens, achieving an average accuracy score of 50. However, SkipAlign (Figure 3(d)), which
 484 does not rely on samples exceeding 4096 tokens,
 485 not only extends the retrieval window to a extent
 486 of 28K but also significantly improves the average
 487 accuracy score to 61.6. This outcome demonstrates
 488 SkipAlign’s superior ability to enhance the context
 489 window without the need for excessively long input
 490 samples.
 491

492 **Long-term dependency are more important**
 493 **than sample’s length** A detailed comparison
 494 between PackedSFT-50K and SkipAlign reveals
 495 the critical role of long-term dependencies. With

496 PackedSFT-50K, the input sample size is uniformly
 497 concatenated to 50K tokens, ensuring that each
 498 sample reaches this length. In contrast, SkipAlign
 499 employs a strategic approach to enhance long-term
 500 dependencies without necessitating the creation of
 501 actual long samples. From the perspective of relative
 502 distance, although PackedSFT-50K samples
 503 are longer, the effective dependency relationships
 504 they capture are confined within a 4096 token rela-
 505 tive distance. SkipAlign, on the other hand, explic-
 506 itly extends these relationships to a much broader
 507 range. This under-scores the notion that the ef-
 508 fective long-term dependencies is a more critical
 509 factor than the mere length of the input sequences.

510 **5.3 Ablation Study on short text capability**
 511 **and on skipping strategy**

512 **Evaluation Settings** In addition to the long con-
 513 text evaluation previously discussed, we conducted
 514 further tests to determine the influence of various
 515 SFT configurations on a model’s fundamental short
 516 text processing capabilities. Following the evalu-
 517 ation settings in Wang et al. (2023), we validate
 518 on 6 datasets: Massive Multitask Language Under-
 519 standing dataset (MMLU (Hendrycks et al., 2020))
 520 for measuring models’ factual knowledge, and Big-

| Model | LongBench | MMLU | BBH | TydiQA | Codex-Eval |
|------------------------|-----------|------|------|--------|------------|
| Yi-6B-200K | 39.1 | 64.2 | 43.0 | 16.2 | 19.9 |
| +Normal-SFT | 43.7 | 60.5 | 44.6 | 32.6 | 30.4 |
| +Skip-All | 45.1 | 59.6 | 38.7 | 31.7 | 26.9 |
| +Skip-Inner | 42.4 | 59.5 | 41.5 | 31.0 | 29.3 |
| +Skip-Outter (default) | 45.3 | 61.1 | 42.6 | 30.3 | 28.5 |

Table 2: Results on both long and short tasks.

Bench-Hard (BBH (Suzgun et al., 2022)) to evaluate models’ reasoning capabilities, TyDiQA to evaluate models’ multilingual capabilities (Clark et al., 2020), and Codex-Eval to evaluate coding capabilities.

Trade-offs in SkipAlign’s Performance

Since SkipAlign samples a subset of the data to synthesize long range dependency, thereby reallocating computational resources that would have been directed towards short-text processing to optimize the handling of longer sequences. As illustrated in Table 2, since the overall content of the data remaining unchanged, SkipAlign doesn’t affect the learning of factual knowledge and shows a improvement of 1.5 points on the MMLU metric when compared to Normal-SFT. For the performance on BBH (Reasoning), TydiQA (multilingual) and Codex-Eval (Coding), SkipAlign witness a 1-2 point decrease, which could potentially be attributed to the selective nature of SkipAlign. In summary, SkipAlign strategically shifts some of the short-text capabilities of Normal-SFT to enhance its long-context performance.

Integrity of dialogue structure is crucial for SkipAlign

The integrity of the dialogue structure, specifically the consistency between instructions and responses, is crucial for sustaining performance across both long and short text tasks. When skipping steps are applied within an instruction-response pair (Skip-Inner), it negatively impacts the model’s performance, regardless of the text length. Interestingly, the Skip-All strategy, which applies skipping without any constraints, achieves a performance that lies between the extremes of Skip-Inner and Skip-Outter. This observation highlights the significance of maintaining the integrity of the dialogue structure.

5.4 Analysis on Hyper-parameter

L effects overall performance most, with 100K being the optimal setting Figure 4 demonstrates

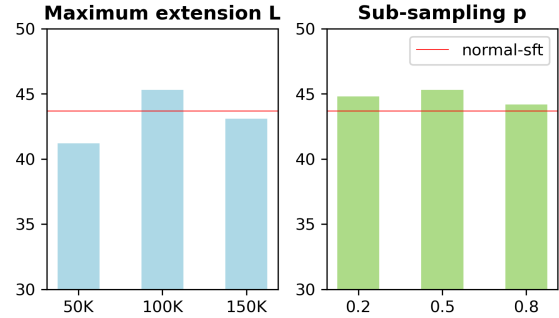


Figure 4: Average score on LongBench for SkipAlign across various maximum extension length L and sub-sampling ratio p .

that, in comparison to p , severely affect the overall performance of SkipAlign. Among the evaluated lengths, L set to 100K stands out as the most effective, consistently delivering superior results to both the Normal-SFT and the lengths of 50K and 150K. It is noteworthy that the average testing length on LongBench dataset is below 50k, suggesting that utilizing a L that significantly larger l , such as 100K or 150K, can lead to better performance.

A moderate setting of p yields optimal performance

With p across 0.2, 0.5, and 0.8, SkipAlign consistently outperforms Normal-SFT and achieves peak performance at a probability of 0.5. This peak indicates that a moderate value of p enables SkipAlign to optimize its performance effectively.

6 Conclusion

In this study, we introduce SkipAlign, a new method designed to perform long context alignment only with short instruction datasets. This technique employs a simple yet effective strategy of manipulating position indices within instruction-following samples, thereby facilitating the creation of high-quality long dependency relations.

584 Limitation

585 While SkipAlign has demonstrated impressive re-
586 sults in tasks involving extensive context, it exhibits
587 a slight decline in performance when processing
588 short texts. We propose that additional research
589 into data engineering, particularly the integration
590 of synthesized data with authentic samples, may
591 effectively address or potentially overcome this
592 limitation.

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