# C & KIMINA-PROVER PREVIEW: TOWARDS LARGE FORMAL REASONING MODELS WITH REINFORCEMENT LEARNING

TECHNICAL REPORT OF KIMINA-PROVER PREVIEW

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#### ABSTRACT

We introduce Kimina-Prover Preview, a large language model that pioneers a novel reasoning-driven exploration paradigm for formal theorem proving, as showcased in this preview release. Trained with a large-scale reinforcement learning pipeline from Qwen2.5-72B, Kimina-Prover demonstrates strong performance in Lean 4 proof generation by employing a structured reasoning pattern we term *formal reasoning pattern*. This approach allows the model to emulate human problem-solving strategies in Lean, iteratively generating and refining proof steps. Kimina-Prover sets a new state-of-the-art on the miniF2F benchmark, reaching 80.7% with pass@8192. Beyond improved benchmark performance, our work yields several key insights: (1) Kimina-Prover exhibits high sample efficiency, delivering strong results even with minimal sampling (pass@1) and scaling effectively with computational budget, stemming from its unique reasoning pattern and RL training; (2) we demonstrate clear performance scaling with model size, a trend previously unobserved for neural theorem provers in formal mathematics; (3) the learned reasoning style, distinct from traditional search algorithms, shows potential to bridge the gap between formal verification and informal mathematical intuition. We open source distilled versions with 1.5B and 7B parameters of Kimina-Prover<sup>1</sup>.



Figure 1: Performance comparison of different theorem proving methods on miniF2F-test dataset. The x-axis shows the sample budget (number of language model queries), and the y-axis shows the pass rate. Methods are divided into two categories: whole-proof generation and tree search approaches. Results demonstrate that Kimina-Prover Preview achieves the highest pass rate with fewer samples, while tree search methods generally require more samples to achieve comparable performance.

<sup>&</sup>lt;sup>1</sup>https://github.com/MoonshotAI/Kimina-Prover-Preview

# 1 Introduction

Recent advances in neural theorem proving have focused on leveraging large language models (LLMs) to tackle the inherent challenges of formal reasoning in proof assistants like Lean 4 (Moura et al. 2021) or Isabelle (Nipkow et al. 2002). Initial approaches focused on training LLMs to generate individual proof steps or tactics within interactive proof assistants (Polu et al. 2022; Wu et al. 2024; H. Wang et al. 2023; Deepmind 2024), often coupling the LLM's predictive capabilities with classical search algorithms like Best-First Search (BFS) (Polu et al. 2022; Wu et al. 2024; R. Xin et al. 2025) or Monte Carlo Tree Search (MCTS) (Lample et al. 2022; H. Wang et al. 2023; Deepmind 2024) to explore the proof space. Other strategies involved training models to generate entire proof structures from a given state (H. Xin et al. 2024; Y. Lin et al. 2025; First et al. 2023). Despite notable progress, these existing methods face significant challenges. The reliance on explicit search algorithms (BFS, MCTS) introduces substantial computational overhead and complexity, limiting scalability. Furthermore, while LLMs excel at pattern matching and sequence generation, effectively capturing the deep, structured, and often non-linear reasoning required for complex formal proofs remains difficult. Standard supervised fine-tuning or basic chain-of-thought prompting may not sufficiently elicit the sophisticated reasoning necessary. Critically, previous neural theorem provers tailored for formal mathematics have generally not demonstrated clear improvements in performance corresponding to increases in model size, suggesting limitations in their ability to leverage larger model capacity for enhanced reasoning. While some works (H. Lin et al. 2025; R. Wang et al. 2025) attempted to integrate informal reasoning hints, they typically relied on shorter chain-of-thought patterns or models not specifically optimized for long-form reasoning via reinforcement learning.

In this work, we introduce Kimina-Prover Preview, representing an early attempt to bridge this gap by pioneering a novel reasoning-driven exploration paradigm for formal theorem proving. Built upon the Kimi k1.5 reinforcement learning (RL) pipeline, which has demonstrated success in eliciting long chain-of-thought reasoning for complex informal math and coding tasks (Kimi-Team et al. 2025), Kimina-Prover is specifically adapted for formal reasoning within the Lean 4 proof assistant. Instead of relying on external search algorithms, our approach leverages the LLM's own internal reasoning capabilities, enhanced through large-scale RL with carefully designed reward signals and structured reasoning patterns. This allows the model to emulate human problem-solving strategies, implicitly exploring the proof space and iteratively generating and refining proof steps through a process guided by its internal reasoning tokens. Our contributions include:

- **Pioneering Reasoning-Driven Exploration.** We pioneer the application of large-scale reinforcement learning to elicit long chain-of-thought reasoning in theorem proving.
- Effective Model Scaling for Formal Mathematics. We show clear improvements in neural theorem proving performance as LLM size increases, a scaling effect not observed in previous systems.
- State-of-the-Art Performance. Illustrated in Figure 1, Kimina-Prover achieves state-of-the-art performance by reaching 80.7% with pass@8192 on the miniF2F benchmark, significantly surpassing prior SotA achieved by BFS Prover (R. Xin et al. 2025) (72.95%).

# 2 Methodology

### 2.1 Autoformalization for Constructing a Diverse Base Problem Set

To enable online reinforcement learning for formal theorem proving, we require a large, diverse set of formal problems in Lean 4. Manual construction of such a dataset is costly and time-intensive. To address this, we train models to automatically translate natural language problem statements into syntactically valid Lean 4 code ending with a placeholder proof. However, this task presents a fundamental challenge: the lack of a concrete, automatic reward signal. Unlike proof search, where success is easily defined by whether a theorem is proven, there is no straightforward way to verify the correctness of a generated formal problem statement with respect to the natural language input. Our solution combines careful initialization, supervised fine-tuning, and a structured expert iteration process with LLM-based judging to progressively improve quality. We open source our model Kimina-Autoformalizer-7B for the community and detail our training recipe in Appendix C.2.

# 2.2 Formal Reasoning Pattern

One of the critical challenges for reasoning LLMs to excel at formal theorem proving is the lack of alignment between informal mathematical reasoning data and its translation to formal proofs. To tackle this, we design a novel formal reasoning pattern to enable Kimina-Prover to *think* in an environment that aligns the informal and formal mathematical reasoning. During training, we filter for responses that format their *thinking* in between <think> ...



Figure 2: Left. Formal Reasoning Pattern. Right. Formal RL pipeline.

tokens and output the final proof between chosen special tokens. Within the *thinking* block, we seek informal-formal alignment by interspersing informal reasoning with relevant Lean 4 code snippets, also marked by special tokens. To enforce *thinking* block alignment with the final proof, we ensure that the majority of the Lean 4 code snippets appear in the final proof. With this reasoning pattern (see Figure 2 on the left), we observe scaling of output token length, which correlates with passing proof-complexity from our evaluations.

Additionally, this pattern also offers great improvement in terms of reasoning explainability compared to search-based provers. The *thinking* block allows users to inspect the models' internal process during proof generation. This provides tangible insights about the nature of the failure modes of the model and serves as an educational tool for end-users.

**Cold start.** To kickstart the models' ability to output formal proofs following our formal reasoning pattern, we perform a minimal supervised fine-tuning run before starting our reinforcement learning training loop. In particular, we collect a dataset of olympiad-style mathematics problems with statements and solutions both in natural language format and formalized in Lean 4. We then ask Claude 3.7 Sonnet (Anthropic 2025) to synthesize a *thinking* block output combining the the informal and formal proofs to synthesize a mini-SFT dataset of around 20K examples (we have tried several LLMs and only Claude's performance is satisfying). This significantly boosts the models' ability to align the informal and formal reasoning steps and enhances downstream performance during the RL phase.

**Informal math mix-training.** To further bolster the initial informal mathematical capabilities of our model, we incorporate informal mathematical thinking data from Kimi k1.5 into the SFT training. The synthetic data generated by Claude exhibited a limited range of reflection patterns. While we observed the emergence of test-time scaling and reflection during subsequent RL training, these reflections were often of low quality, characterized by repetition and meaningless phrases. Integrating informal thinking data aims to provide a better starting point for generating more meaningful reflections. Indeed, our experiments during the RL phase demonstrate that checkpoints trained with this mixed data significantly outperform those trained solely on formal reasoning data.

### 2.3 Reinforcement Learning

Following the supervised fine-tuning (SFT) phase, we employ reinforcement learning (RL) to further enhance our model's formal theorem-proving capabilities, as illustrated in the pipeline schematic (Figure 2). The RL process iteratively refines the model's policy. Each iteration begins by sampling a batch of N = 1000 problems from our established problem set. For each problem, the current policy generates k = 8 candidate solutions (rollouts). The final Lean 4 code of each candidate is then rigorously verified using the Lean compiler to determine correctness. A binary reward signal is assigned: 1 for a completely correct proof and 0 otherwise. In line with the methodology of Kimi k1.5 (Kimi-Team et al. 2025), we employ the following loss to optimize the language model:

$$L(\theta) = \mathbb{E}_{(x,y^*)\sim D} \left[ \mathbb{E}_{(y,z)\sim\pi_{\text{old}}} \left[ r(x,y,y^*) - \tau \log Z - \tau \log \frac{\pi_{\theta}(y,z|x)}{\pi_{\text{old}}(y,z|x)} \right] \right],\tag{1}$$

where  $\pi_{old}$  is the previous policy model and the normalization constant  $\log Z$  is approximated using the empirical mean of the rewards in practice. During RL training, we see strong scaling of formal reasoning at test time. However, limited SFT data and formal structure cause early RL format collapse from negative gradients. To prevent this, we apply format filtering with two key constraints: (1) each generated sample must contain at least one tactic block; and (2) tactic blocks must collectively cover at least 60% of the Lean code included in the final Lean 4 solution. Moreover, To counter format collapse from negative gradients, we randomly discard samples with negative gradients (probability  $\omega = 0.5$ ). Combined with mixed training on informal math data, this stabilizes training and promotes more sophisticated formal reasoning.

In practice, our RL training is conducted starting from Qwen2.5-72B (Qwen-Team 2024). We maintain a constant learning rate of  $(2 \times 10^{-6})$  and a fixed KL divergence coefficient  $\tau = 0.4$  (Equation 1). This KL constraint ensures stability by controlling the policy's deviation from the initial supervised policy throughout the RL process.

# **3** Results

### 3.1 Inference Setup

**Benchmark.** We evaluate our models on the miniF2F benchmark (K. Zheng et al. 2022), using the Lean 4 version from DeepSeek-ProverV1.5. To prevent data contamination, we perform 13-gram decontamination and explicitly remove all AMC12, AIME, and IMO problems from the Numina Math 1.5 training set if their sources overlap with problems in the miniF2F test set. We also identify and correct eight unsolvable problems in the benchmark (mathd\_numbertheory\_618, aime\_1994\_p3, amc12a\_2021\_p9, mathd\_algebra\_342, mathd\_numbertheory\_343, mathd\_algebra\_158, induction\_pord1p1on2powklt5on2, induction\_prod1p1onk3le3m1onn), releasing the corrected versions via the Numina HuggingFace repository. Evaluations utilize a 32K token context length and sampling budgets up to 8192 attempts, with each attempt sampled independently.

**Distillation.** We train our 1.5B and 7B models by rolling out data from the Kimina-Prover-Preview model with 72B parameters and performing SFT, initializing from Qwen2.5-Math-1.5B-Instruct and Qwen2.5-Math-7B-Instruct, respectively. We use packing and cosine learning rate scheduling with  $lr = 2 \times 10^{-5}$  for 3 epochs.

**Lean Server.** In our reinforcement learning and evaluation pipelines, we integrate the Numina Lean Server (Numina 2025) as the verification backend to provide real-time feedback for generated proof attempts. Built upon Lean FRO's LeanREPL (Lean FRO 2023), the Numina Lean Server employs an LRU-based caching mechanism that reuses preloaded environments based on import headers, significantly reducing initialization overhead. Furthermore, it supports extensive parallelization across multiple CPUs by managing multiple Lean REPL processes concurrently. These innovations result in a  $10 \times$  speedup in verification throughput, achieving up to 100 iterations per second on machines equipped with 64 CPU cores and 512 GB RAM. During RL training, this verification system operates efficiently in the rollout phase, evaluating proofs in real time as they are generated. Due to the relatively slow proof-generation process, verification does not become a bottleneck, requiring only 640 CPU cores for training. This efficiency contrasts with previous language model-based theorem proving approaches, which typically require thousands of CPU cores to sustain real-time verification at scale (Lample et al. 2022; H. Xin et al. 2024).

### 3.2 Performance Analysis

### 3.2.1 Comparison with State-of-the-Art Methods

On the miniF2F benchmark, Kimina-Prover Preview achieves a state-of-the-art result among all evaluated systems—including both whole-proof generation and tree search methods—reaching 80.74% miniF2F-test accuracy (see Table 1). Importantly, Kimina-Prover demonstrates strong performance even in low-pass settings and scales effectively with higher sampling budgets. With just pass@1, the model already achieves 52.94%, and with pass@32, it reaches 68.85%, already competitive with or surpassing many larger-sample baselines, showcasing exceptional sample efficiency. This efficiency can be attributed to Kimina-Prover's novel reasoning process. Rather than relying on explicit step-based searches, Kimina-Prover implicitly flattens the step-wise search, allowing the model to decide both how and what to search. This architectural flexibility enables more targeted and dynamic reasoning, leading to higher performance with fewer samples.

Another key observation (see Figure 3) is that our models present a clear upward trend in performance as model size increases - from 1.5B, to 7B, and finally 72B. Especially with larger sampling budgets, the 72B variant shows significant gains (the 72B model outperforms the 7B version by +0.44%, +5.75%, and +7.87% at those respective sampling budgets.). To our knowledge, this is the first formal reasoning system that consistently scales in performance with model size, suggesting that Kimina-Prover not only scales computationally but also in its reasoning capabilities.

Prover system	Model size	Sample budget	miniF2F-test
Tree search systems			
DeepSeek-Prover-V1.5-RL + RMaxTS (H. Xin et al. 2024)	7B	$32 \times 16 \times 400$	63.5%
InternLM2.5-StepProver-BF+CG (Wu et al. 2024)	7B	$256\times32\times600$	65.9%
HunyuanProver v16+BFS+DC (Y. Li et al. 2025)	7B	$600 \times 8 \times 400$	68.4%
BFS-Prover (R. Xin et al. 2025)	7B	$2048 \times 2 \times 600$	70.8%
Whole-proof systems			
DeepSeek-Prover-V1.5-RL (H. Xin et al. 2024)	7B	102400	60.2%
Goedel-Prover-SFT (Y. Lin et al. 2025)	7B	25600	64.7%
Leanabell-Prover (Zhang et al. 2025)	7B	128	61.1%
		1	42.6%
Kimina-Prover-Preview-Distill-1.5B	1.5B	32	56.2%
		1024	61.9%
		1	52.5%
Kimina-Prover-Preview-Distill-7B	7B	32	63.1%
		1024	70.8%
		1	52.94%
		8	65.16%
Kimina-Prover-Preview	72B	32	68.85%
		1024	77.87%
		8192	80.74%

Table 1: Performance of various prover systems in terms of model size, sample budget, and miniF2F-test results. Bold indicates SotA performance in model size and compute budget.



Figure 3: Performance scaling of Kimina-Prover models across different sizes.



Figure 4: miniF2F accuracy (pass@32) and average output token length of Kimina-Prover during training.

### 3.2.2 Comparison with General Purpose LLMs

In Table 2. we compare Kimina-Prover with leading general-purpose reasoning models—OpenAI's o3 and Gemini 2.5 Pro—on the miniF2F benchmark and its IMO and AIME subsets. Despite these subsets containing relatively easier, older problems, Kimina-Prover significantly outperforms both models across the board. At pass@32, Kimina-Prover achieves 68.85% on miniF2F, versus 37.70% for Gemini and 24.59% for o3. On the IMO and AIME subsets, Kimina-Prover scores 20.00% and 46.67%, respectively—well above Gemini (5%, 13.33%) and o3 (0%, 6.67%). At pass@8192, Kimina-Prover further improves to 80.74%, 40.00% (IMO), and 86.67% (AIME). These results highlight a core limitation of general-purpose models. o3 and similar systems fail at formal reasoning, defaulting to informal, unverifiable answers. Gemini shows formal reasoning capabilities but often suffers from hallucination and generates invalid proofs. In contrast, Kimina-Prover consistently generates formally verifiable, Lean-checkable proofs, demonstrating both accuracy and reasoning ability.

Benchmark	Sample budget	miniF2F	miniF2F/IMO	miniF2F/AIME
OpenAI o3-mini	32	24.59%	0%	6.67%
gemini-2.5-pro-preview-03-25	32	37.70%	5%	13.33%
Kimina-Prover-Preview	32	68.85%	20.00%	46.67%
	8192	80.74%	40.00%	86.67%

Table 2: Performance Comparison of SOTA Large Reasoning Models on the IMO and AIME Subset of miniF2F. While Gemini 2.5 and Openai o3-mini can solve all 15 AIME problems in miniF2F using informal reasoning, both models struggle to formalize these solutions. This highlights a significant gap between informal reasoning capacity and formal reasoning capabilities in current state-of-the-art models.

## 3.2.3 Gap between Informal Mathematics and Formal Mathematics

One particularly interesting finding is that our formal reasoning model shows strong potential to bridge the gap between formal and informal mathematics. As shown in Table 2, while general-purpose reasoning models such as Gemini-2.5-pro and o3-mini are capable of solving all AIME problems in miniF2F under informal settings, they exhibit substantially lower performance in formal mathematics. This discrepancy suggests that transferring domain knowledge from general mathematical problem solving to formal mathematical reasoning remains a challenging task. In contrast, Kimina-Prover's formal reasoning capabilities demonstrate that formal mathematics can complement and enhance informal reasoning, rather than exist in isolation. By learning to reason within a formal system, the model appears to gain deeper structural understanding, which could in turn benefit informal mathematical problem solving. This opens exciting future directions, where formal mathematics may not only be useful for verification, but also for boosting model performance on informal math reasoning tasks.

## 3.2.4 Test Time Scaling in Formal Reasoning

A key capability of reasoning models is their ability to improve with increased test-time budget. Figure 4 shows how Kimina-Prover's miniF2F pass@32 accuracy (blue) correlates with the average token length of its outputs (orange) over training. As the model learns to generate longer proofs—from 2,500 to over 10,000 tokens—its accuracy rises from 61.8% to nearly 69%. Unlike informal math models, which often scale smoothly, formal reasoning shows a much more volatile pattern. Accuracy jumps are frequent and sometimes regress, especially in the mid-phase between 50–150 iterations, likely due to the model adjusting to complex, structured reasoning with limited formal training data. This turbulent but ultimately successful trend highlights how formal reasoning can still scale effectively, even without vast pretraining corpora. This success suggests that similar reasoning approaches may be transferable to other domains that are also limited in domain knowledge during pre-training.

# **3.2.5** Emergent human-like proof style.

Given the described formal reasoning pattern, we observe that after initialization and RL training, our model demonstrates the ability to produce complex reasoning patterns. These include exploring multiple reasoning paths, reflecting on and refining its thinking process, and analyzing small-scale cases to uncover general patterns (see Appendix F). Additionally, the proofs generated by Kimina-Prover are more decomposed and structured compared to those of previous step-based provers. This is exhibited by the abundance of have statements within the proofs, which is a common pattern observed in human-written proofs optimized for clarity (see Appendix E). These emergent behaviors of reflection, decomposition, and refinement scale effectively with increasing problem difficulty, giving our approach a distinct advantage over models that extensively rely on existing Lean 4 automation tools.

# 4 Conclusion

In conclusion, we present Kimina-Prover, a large reasoning model for Lean 4 theorem proving developed through a training recipe combining autoformalization, SFT, and RL with a specific formal reasoning pattern. Our key findings demonstrate that performance scales significantly with both context length and model size—a trend not typically observed in tree-search provers—leading to state-of-the-art results (80.74% on miniF2F pass@8192) with modest compute. This underscores the potential of reasoning-enabled neural provers. Promising future directions include enhancing proof quality by filtering outputs that overuse high-level tactics, enabling iterative refinement using Lean compiler feedback to fix errors efficiently, and integrating external tools like library search and computation engines to alleviate generation challenges.

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# **A** Contributions

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# **B** Related Work

Recent approaches to automated theorem proving have combined large language models with formal proof assistants such as Lean 4. These systems typically employ a language model trained to either generate individual proof steps (Lample et al. 2022; Polu et al. 2022; Deepmind 2024; H. Wang et al. 2023; Wu et al. 2024; R. Xin et al. 2025; Y. Lin et al. 2025; H. Wang, H. Xin, Liu, et al. 2024) or produce entire proof completions from a given proof state (H. Xin et al. 2024; Y. Lin et al. 2025; First et al. 2023; Huang et al. 2024; H. Wang, H. Xin, C. Zheng, et al. 2023; Jiang et al. 2023a).

To enhance exploration in proof search, these language-model-based approaches are frequently integrated with classical tree search algorithms, such as Best-First Search (Polu et al. 2022; Wu et al. 2024; R. Xin et al. 2025) and Monte Carlo Tree Search (Lample et al. 2022; H. Wang et al. 2023; Deepmind 2024). The search component plays a central role in these systems, as it guides the exploration and selection of promising proof paths based on heuristic evaluations. Such hybrid methods significantly benefit from heuristic-driven exploration but incur substantial computational overhead.

Large-scale reinforcement learning has recently been applied to improve the reasoning abilities of language models, exemplified by models such as OpenAI's o1 (OpenAI et al. 2024), DeepSeek's R1 (DeepSeek-AI 2025), and Kimi's k1.5 (Kimi-Team et al. 2025). These models, trained with extensive RL on carefully engineered reward signals, exhibit emergent long chain-of-thought reasoning behavior, enabling them to achieve impressive results in complex mathematical and coding tasks, including competitions like AIME and Codeforces. These achievements underscore the potential for long, structured reasoning in overcoming challenging reasoning problems.

A few recent works have attempted to integrate informal reasoning with formal theorem proving. For instance, H. Lin et al. 2025 proposed generating informal thoughts prior to predicting individual tactics, and R. Wang et al. 2025 employs a structured interaction between informal natural language reasoning and formal verification in Lean 4. However, these

previous attempts either relied on conventional short-form chain-of-thought reasoning or relied on reasoning models trained via transfer learning from general reasoning tasks and supervised fine-tuning rather than reinforcement learning. Prior to our work, the feasibility and effectiveness of applying RL-driven long-form reasoning directly within formal theorem proving remained unexplored.

# **C** Training Details of Kimina-Prover

### C.1 Informal Dataset

The informal dataset serves as the foundational layer of our pipeline, feeding directly into supervised fine-tuning (SFT) and reinforcement learning (RL) for the large formal reasoning model. Below, we outline the data processing steps that convert the raw informal mathematical dataset into a curated prompt set for training our models.

Our informal data pipeline consists of the following steps:

- 1. **Initial Dataset Acquisition:** We begin with the Numina 1.5 dataset (J. Li et al. 2024), a comprehensive collection of mathematical problems.
- 2. **Filtering and Preprocessing:** We filter this dataset based on specific criteria, retaining only problems clearly classified as either proofs or problems with explicit numeric or symbolic outputs. Problems involving geometry and combinatorics are excluded to form a dataset more suitable for autoformalization. This filtered dataset is denoted by *auto-statement-candidates*.
- 3. Autoformalization: The *auto-statement-candidates* dataset undergoes an automatic formalization process, resulting in the *auto-statements* dataset. This step converts natural language mathematical problems into formal statements compatible with the Lean 4 proof assistant.
- 4. **Human Annotation and Refinement:** To ensure the quality and precision of formalizations, we established a dedicated annotation team tasked with reviewing and refining the outputs from the autoformalization process. Annotated outputs are categorized as follows:
  - Human Statements: Statements refined by human annotators.
  - *Human Proofs:* Select, challenging statements are further annotated with formal proofs by domain experts. These proofs constitute a significant portion of our supervised fine-tuning (SFT) dataset.
- 5. **Prompt Set Creation:** The refined formalized statements from the *auto-statements* and *human statements* datasets are combined to form our final prompt set. The validated and proven formal statements subsequently enrich the SFT dataset, creating a dynamic cycle of continuous improvement for subsequent iterations of reinforcement learning.

This structured and iterative approach ensures robust data quality, facilitating effective model training and iterative refinement in subsequent phases.

### C.2 Training Details of Kimina-Autoformalizer

### C.2.1 Model Initialization

We begin by creating a supervised fine-tuning dataset for autoformalization, to teach the model the structure and style of competition-level Lean 4 problems. This dataset aggregates formal problem pairs from multiple sources: **PutnamBench**, **miniF2F**, **ProofNet**, and **Compfiles** (Renshaw 2024) (K. Zheng et al. 2022) (Tsoukalas et al. 2024) (Azerbayev et al. 2023). We ablate the contribution of each source and find that all positively contribute to downstream performance. In particular, including Mathlib data, such as the MMA dataset (Jiang et al. 2023b) - an LLM-generated informalization of Mathlib - degrades performance. We hypothesize two key reasons: (1) the mathematical content in Mathlib is substantially different in nature and tone from competition problems, and (2) Mathlib statements often involve auxiliary variables and rely on external definitions, which may confuse the model during generation. Our initialization is a fine-tuned version of Qwen2.5-Coder-7B-Instruct on the curated dataset, capable of producing syntactically valid Lean 4 problem statements from informal descriptions.

### C.2.2 Expert Iteration with LLM Judge

After supervised initialization, we employ a structured expert iteration loop to improve the quality and coverage of the autoformalization model. This loop uses a challenging subset of competition-style problems from the NuminaMath 1.5 dataset. For each iteration, we begin by sampling a minibatch of informal problems. For each problem, the model generates a number of candidate formalizations. These are filtered to retain only those that compile successfully in

Lean 4. We then employ a QwQ-32B as a judge to evaluate the semantic correctness of each remaining formalization, using a handcrafted prompt to guide its assessment. We observe that using multiple samples from the judge model and unanimous voting structure significantly reduces the amount of false positives with little impact on true positives. Formalizations that pass both the compilation and judging stages are added to the training dataset, and a training step is performed.

Since the reward signal in this task is inherently fuzzy, and LLM-based feedback is susceptible to false positives, we monitor model outputs throughout training with Lean 4 experts and gradually improve the judge prompt over iterations. This allows us to maintain fine-grained control over the data quality. As our proving infrastructure improves, we also introduce automated filters to discard problematic formalizations. These include detecting logical contradictions, proving the negation of the formalized statement, or identifying that the problem is trivial via a short LLM-generated proof. These safeguards help ensure the training set remains both challenging and correct, guiding the model toward meaningful improvements in future iterations.

### C.2.3 Kimina-Autoformalizer Performance



Figure 5: Performance of Autoformalizer Across Iterations.

To evaluate our autoformalizer, we use a human-curated test set of size approximately 1,000 and optimize an LLM judge prompt on this set for reliable results. After each iteration, we generate one autoformalization per problem using greedy decoding. We track two metrics: (1) Lean 4 compilation rate and (2) autoformalization accuracy, defined as the percentage of samples that both compile and are judged correct by the LLM. We observe steady and reliable improvement over iteration as can be seen in the plots, reaching 90% one-shot compilation rate and 66% accuracy.

We evaluate several existing models for autoformalization tasks, but found that most struggled to generate valid Lean 4 code consistently. Instead, these models predominantly produced Lean 3 syntax, a limitation we attribute to the composition of their pretraining data. Prior to these LLMs' knowledge cutoff dates, Lean 3 dominated online repositories and documentation, while Lean 4—with its substantial syntax differences—remained relatively new and underrepresented. This training data imbalance caused models without specific Lean 4 fine-tuning to default to the more familiar Lean 3 patterns. The inability of comparison models to produce compilable Lean 4 code at meaningful rates ultimately prevented us from establishing fair benchmarks against existing approaches, highlighting the challenges of working with emerging formal languages.

We note that this method required careful expert monitoring as we observe that the model would repeatedly make mistakes that the LLM judge model cannot catch, as long as it passed compilation. We believe this presents a significant challenge in applying reinforcement learning in non-verifiable domains.

### C.3 Problem Set

In this section, we detail the creation of our problem set, which serves as a foundational component of our reinforcement learning pipeline. The prompt set consists of two distinct subsets: one derived from the autoformalization model and another consisting of human-annotated statements. To ensure balanced difficulty across the problem set, we utilize the QwQ-32B preview model to assign a difficulty rating to each problem and subsequently construct a dataset with an evenly distributed difficulty spectrum.

Given that our annotated statements are limited in number—approximately 10k—compared to the 100k generated by autoformalization, we prioritize high-quality data in training. Therefore, we resample our annotated set to achieve a 1:1 ratio with the autoformalized subset, yielding a balanced and high-quality problem set of 200k total problems.

To further improve the efficiency and effectiveness of the reinforcement learning pipeline, we iteratively refine the prompt set using the following strategies:

**Error Filtering via Negation Proving**. We employ DeepSeek-Prover's negation-proving to identify and remove potentially erroneous formalizations from our problem set.

Adaptive Problem Pruning. After specific iterations of reinforcement learning, we prune problems where the model consistently demonstrates high proficiency. This adaptive pruning allows the model to concentrate computational resources on increasingly challenging and informative problems, promoting continuous improvement in formal reasoning capabilities.

**Annotation Pipeline for Difficult Problems**. For wrongly formalized statements or very difficult problems, we send them to the annotation pipeline for additional statement and proof annotation by human annotators. This ensures that challenging problems are not discarded but receive appropriate human oversight.

**Post-RL Validation**. After reinforcement learning training, we use a judge model to assess whether the proofs generated by the model are correct or if the model has merely leveraged a mistake in the formalization. This step ensures the integrity and reliability of the generated proofs.

# D Illustrative Proof Examples found by Kimina-Prover

### D.1 Newly Proved IMO problem from the miniF2F Benchmark

Kimina-Prover successfully proves an IMO problem ( $imo_1968_p5_1$ ) that no previous publicly released model has ever solved. We present the proof generated by Kimina-Prover below. This proof shows an excellent ability of the model to **plan** its proof sketch. Such emergent behavior in the output shows a clear improvement in reasoning ability compared to previous models. For example, in this proof, the model begins by first stating a key auxiliary lemma h2 and continues to prove it across multiple lines. This is followed by guessing the correct answer via the (use 2 \* a) tactic. The proof is completed via a complex reasoning chain, where the previously proved lemma h2 is key and necessary to complete the proof.

```
theorem imo_1968_p5_1 (a : \mathbb{R}) (f : \mathbb{R} 	o \mathbb{R}) (h_0 : 0 < a)
    (h_1 : \forall x, f(x + a) = 1 / 2 + \text{Real.sqrt} (f x - f x^2)) : \exists b > 0, \forall x, f(x + b) = f x := by
 have h2 : \forall x, 0 \leq f x \wedge f x \leq 1 := by
    intro x
    have h1 := h_1 (x - a)
    rw [show x - a + a = x by ring] at h1
    have h3 : 0 \leq \text{Real.sqrt}(f(x - a) - f(x - a)^2) := \text{Real.sqrt_nonneg}(f(x - a) - f(x - a)^2)
    a) ^ 2)
    have h4 : f x = 1 / 2 + Real.sqrt (f (x - a) - f (x - a) ^ 2) := by linarith
    have h5 : f x \leq 1 := by
      have h6 : Real.sqrt (f (x - a) - f (x - a) ^ 2) \leq 1 / 2 := by
        have h7 : f (x - a) - f (x - a) ^ 2 \leq 1 / 4 := by
           nlinarith [sq_nonneg (f (x - a) - 1 / 2)]
        have h8 : 0 \leq \text{Real.sqrt} (f (x - a) - f (x - a) ^ 2) := Real.sqrt_nonneg (f (x - a) - f (x
    - a) ^ 2)
        have h9 : Real.sqrt (f (x - a) - f (x - a) ^ 2) \leq Real.sqrt (1 / 4 : \mathbb{R}) :=
    Real.sqrt_le_sqrt (by linarith)
        have h10 : Real.sqrt (1 / 4 : \mathbb{R}) = 1 / 2 := by
           rw [Real.sqrt_eq_iff_sq_eq] <;> norm_num
        linarith
      linarith [h4, h6]
    have h11 : 0 < f x := by
      nlinarith [Real.sqrt_nonneg (f (x - a) - f (x - a) ^ 2), h4]
    exact \langle h11, h5 \rangle
  use 2 * a
  constructor
  · linarith [h_0]
  · intro x
```

```
have h3 := h_1 (x + a)
have h4 := h_1 x
rw [show x + a + a = x + 2 * a by ring] at h3
have h5 : f (x + 2 * a) = 1 / 2 + Real.sqrt (f (x + a) - f (x + a) ^ 2) := by linarith
have h6 : f (x + a) - f (x + a) ^ 2 = (f x - 1 / 2) ^ 2 := by
 have h7 : f (x + a) = 1 / 2 + Real.sqrt (f x - f x \hat{2}) := by linarith
 rw [h7]
 ring_nf
  rw [Real.sq_sqrt (by nlinarith [h2 x])]
 ring
rw [h6] at h5
have h7 : Real.sqrt ((f x - 1 / 2) \hat{} 2) = abs (f x - 1 / 2) := by
 rw [Real.sqrt_sq_eq_abs]
have h8 : abs (f x - 1 / 2) = f x - 1 / 2 := by
 have h9 : f x \geq 1 / 2 := by
    have h10 := h_1 (x - a)
    rw [show x - a + a = x by ring] at h10
    have h11 : Real.sqrt (f (x - a) - f (x - a) \hat{} 2) \geq 0 := Real.sqrt_nonneg (f (x - a) - f
(x - a) ^ 2)
    linarith [h10, h11]
  apply abs_of_nonneg
 linarith
rw [h7, h8] at h5
linarith [h5]
```



#### **D.2** Example Proofs of AIME Problems

Kimina-Prover excels at solving complex trigonometric equations in the formal theorem proving domain. This is shown in its proof of aime\_1991\_p9 presented below. Given the initial hypothesis h0 stated as: h0 : 1 / Real.cos x + Real.tan x = 22 / 7, the model is able to use the trigonometric identity

have h3 : Real.sin x ^ 2 + Real.cos x ^ 2 = 1 := Real.sin\_sq\_add\_cos\_sq x

to derive the values for the sine and cosine functions.

```
have h_cos : Real.cos x = 308 / 533 := by nlinarith [h_cos_sq]
have h_sin' : Real.sin x = 435 / 533 := by
have h9 : Real.sin x = (22 * Real.cos x - 7) / 7 := h_sin
rw [h9, h_cos]
norm_num
```

From here, the model substitutes these derived values into h1: h1 : 1 / Real.sin x + 1 / Real.tan x = m

After this step, it successfully closes out the proof showing great mastery of algebraic techniques.

```
theorem aime_1991_p9 (x : ℝ) (m : ℚ) (h0 : 1 / Real.cos x + Real.tan x = 22 / 7)
  (h1 : 1 / Real.sin x + 1 / Real.tan x = m) : ↑m.den + m.num = 44 := by
  have h2 : Real.cos x ≠ 0 := by
  by_contra h
  have h3 : 1 / Real.cos x = 0 := by
  field_simp [h]
  have h4 : Real.tan x = 0 := by
  rw [Real.tan_eq_sin_div_cos]
   simp [h]
  have h5 : (22 : ℝ) / 7 = 0 := by linarith [h<sub>0</sub>, h3, h4]
  norm_num at h5
```

```
have h_tan : Real.tan x = Real.sin x / Real.cos x := by
  rw [Real.tan_eq_sin_div_cos]
have h3 : Real.sin x ^ 2 + Real.cos x ^ 2 = 1 := Real.sin_sq_add_cos_sq x
have h4 : 1 / Real.cos x + Real.tan x = 22 / 7 := h_0
rw [h_tan] at h4
have h5 : 1 / Real.cos x + Real.sin x / Real.cos x = 22 / 7 := h4
have h6 : (1 + \text{Real.sin } x) / \text{Real.cos } x = 22 / 7 := by
  have h7 : Real.cos x \neq 0 := h2
  field_simp [h7] at h5 ⊢
  nlinarith
have h7 : 7 * (1 + Real.sin x) = 22 * Real.cos x := by
  have h8 : Real.cos x \neq 0 := h2
  field_simp at h6
  nlinarith
have h_sin : Real.sin x = (22 * Real.cos x - 7) / 7 := by linarith
have h8 : Real.sin x ^ 2 + Real.cos x ^ 2 = 1 := Real.sin_sq_add_cos_sq x
have h9 : Real.sin x = (22 * \text{Real.cos x} - 7) / 7 := h_sin
have h_cos_sq : Real.cos x ^ 2 = (308 / 533) ^ 2 := by
  have h10 : Real.cos x \neq 0 := h2
  have h11 : Real.sin x = (22 * Real.cos x - 7) / 7 := h_sin
  rw [h11] at h3
  have h12 : ((22 * \text{Real.cos } x - 7) / 7) ^ 2 + \text{Real.cos } x ^ 2 = 1 := by linarith
  have h13 : (22 * \text{Real.cos } x - 7) \hat{} 2 + (7 * \text{Real.cos } x) \hat{} 2 = 49 := by
   nlinarith
  have h14 : (Real.cos x) * (533 * Real.cos x - 308) = 0 := by
   ring_nf at h13 ⊢
   linarith
  cases' (mul_eq_zero.mp h14) with h_cos h15
  · -- Real.cos x = 0
    exfalso
    exact h10 (by linarith)
  • -- 533 * Real.cos x - 308 = 0
    have h_cos : Real.cos x = 308 / 533 := by linarith
    nlinarith [h_cos]
have h_cos : Real.cos x = 308 / 533 := by nlinarith [h_cos_sq]
have h_sin' : Real.sin x = 435 / 533 := by
  have h9 : Real.sin x = (22 * Real.cos x - 7) / 7 := h_sin
  rw [h9, h_cos]
  norm_num
have h_csc_cot : (1 / Real.sin x : \mathbb{R}) + (1 / Real.tan x : \mathbb{R}) = (29 / 15 : \mathbb{R}) := by
  have h10 : Real.sin x \neq 0 := by
    by_contra h
    have h11 : Real.sin x = 0 := by linarith
    rw [h11] at h3
    have h12 : Real.cos x 2 = 1 := by nlinarith
    have h13 : Real.cos x = 1 \lor Real.cos x = -1 := by
      have h14 : Real.cos x 2 - 1 = 0 := by linarith
      have h15 : (Real.cos x - 1) * (Real.cos x + 1) = 0 := by
       ring_nf at h14 ⊢
        linarith
      cases (mul_eq_zero.mp h15) with
      | inl h16 => left; linarith
      inr h17 => right; linarith
    cases h13 with
    | inl h14 =>
      have h15 : Real.cos x = 308 / 533 := h_cos
      linarith
```

```
| inr h14 =>
       have h15 : Real.cos x = 308 / 533 := h_cos
       linarith
  have h11 : Real.tan x \neq 0 := by
    by_contra h
    have h12 : Real.sin x = 0 := by
      rw [Real.tan_eq_sin_div_cos] at h
       have h13 : Real.cos x \neq 0 := h2
       field_simp [h13] at h
       linarith
    contradiction
  have h12 : (1 / \text{Real.sin } x : \mathbb{R}) + (1 / \text{Real.tan } x : \mathbb{R}) = (29 / 15 : \mathbb{R}) := by
    have h13 : Real.tan x = Real.sin x / Real.cos x := Real.tan_eq_sin_div_cos x
    rw [h13]
    have h14 : Real.sin x \neq 0 := h10
    have h15 : Real.cos x \neq 0 := h2
    field_simp [h14, h15, h_sin', h_cos]
    norm_num
  exact h12
have h_m : (m : \mathbb{R}) = (29 / 15 : \mathbb{R}) := by
  have h12 : (1 / Real.sin x : \mathbb{R}) + (1 / Real.tan x : \mathbb{R}) = (29 / 15 : \mathbb{R}) := h_csc_cot
  have h13 : (1 / Real.sin x : \mathbb{R}) + (1 / Real.tan x : \mathbb{R}) = (m : \mathbb{R}) := by
    exact_mod_cast h1
  rw [h13] at h12
  linarith
have h14 : m = (29 / 15 : \mathbb{Q}) := by
  have h15 : (m : \mathbb{R}) = (29 / 15 : \mathbb{R}) := h_m
  have h16 : (m : \mathbb{R}) = (29 / 15 : \mathbb{Q}) := by
    norm_num at h15 \vdash
    exact_mod_cast h15
  exact_mod_cast h16
rw [h14]
norm_num
```

Listing 2: Lean 4 proof of AIME 1991 Problem 9 found by Kimina-Prover.

Kimina-Prover also excels at carrying out long calculations using the Lean 4 tactic calc. This is shown in the proof of aime\_1997\_p9 presented below. We would especially like to draw attention to the calc blocks required to prove statements h32, h33, ..., h34. in this proof. These calculation steps require careful and precise consideration of the current proof context and cannot rely solely on Lean 4's automation tactics, which shows deep understanding of the formal theorem proving domain.

### D.3 Example of Formal Reasoning Pattern

The following list demonstrates the formal reasoning pattern of Kimina-Prover. Upon receiving the problem, the model first uses natural language reasoning to get a general proof idea. Then, it proceeds to formalize its thoughts to Lean 4 code step by step. In this process, the model further ensures the rigor of the reasoning and deliberates on how to effectively utilize appropriate tactics to formalize the proof. Upon completion of the proof, the model assembles all Lean code snippets to form a coherent and complete Lean proof.

Input:

```
    In an arithmetic sequence, the 7th term is 30, and the 11th term is 60. Determine the 21st term.
    theorem mathd_algebra_354 (a d : ℝ) (h<sub>0</sub> : a + 6 * d = 30) (h<sub>1</sub> : a + 10 * d = 60) : a + 20 * d = 135 := by
```

Output:

<think>

# Solving for the 21st Term of an Arithmetic Sequence

I'm given that in an arithmetic sequence, the 7th term is 30, and the 11th term is 60. I need to find the 21st term.

First, let's think about the structure of an arithmetic sequence. The general term of an arithmetic sequence is given by  $a_n = a + (n-1)d$ , where a is the first term and d is the common difference.

Given:

- The 7th term  $a_7 = a + 6d = 30$ - The 11th term  $a_{11} = a + 10d = 60$ - We need to find the 21st term  $a_{21} = a + 20d$ Let's solve for a and d to find  $a_{21}$ . Subtracting the first equation from the second gives: (a + 10d) - (a + 6d) = 60 - 30 4d = 30  $d = \frac{30}{4} = 7.5$  or  $\frac{15}{2}$ Now, substituting d = 7.5 back into the first equation: a + 6(7.5) = 30 a + 45 = 30a = 30 - 45 = -15

So, the 21st term is  $a_{21} = a + 20d = -15 + 20(7.5) = -15 + 150 = 135$ 

Let's formalize this in Lean 4:

We have two equations:

1. a + 6d = 30 ( $h_0$ ) 2.  $a + 10d = 60 (h_1)$ We need to find a + 20d. From the two equations, we can eliminate a to solve for d. Subtracting  $h_0$  from  $h_1$ : (a+10d) - (a+6d) = 60 - 304d = 30d = 30/4 = 7.5 or  $\frac{15}{2}$ Let's compute this in Lean: have hd : d = 15 / 2 := bylinarith Now we have  $d = \frac{15}{2}$ . Let's verify this calculation: From a + 10d = 60 and a + 6d = 30, subtracting gives 4d = 30, so  $d = \frac{30}{4} = \frac{15}{2}$ . This is correct. Next, we'll substitute  $d = \frac{15}{2}$  back into one of the equations to solve for a. Let's use  $h_0: a + 6d = 30$  $a + 6(\frac{15}{2}) = 30$ a + 45 = 30a = 30 - 45 = -15In Lean, once we have d, we can determine a: have ha : a = -15 := bylinarith  $[h_0, hd]$ Now we need to compute a + 20d:  $a + 20d = -15 + 20(\frac{15}{2}) = -15 + 150 = 135$ In Lean, we can directly compute a + 20d using the values of a and d: linarith [ha, hd] The Lean proof is concise because once we have  $d = \frac{15}{2}$  and a = -15, it can directly compute that a + 20d = $-15 + 20 \cdot \frac{15}{2} = -15 + 30 \cdot \frac{15}{1} = -15 + 150 = 135$  using linear arithmetic. So, the 21st term of the sequence is 135. </think> import Mathlib import Aesop set\_option maxHeartbeats 0 open BigOperators Real Nat Topology Rat theorem mathd\_algebra\_354 (a d :  $\mathbb R)$  (h\_0 : a + 6 \* d = 30) (h\_1 : a + 10 \* d = 60) : a + 20 \* d = 135 := byhave hd : d = 15 / 2 := bylinarith have ha : a = -15 := bylinarith  $[h_0, hd]$ linarith [ha, hd]

## E Proof Comparison against BFS-Prover

The performance of all previous provers on the miniF2F benchmark shows that BFS-Prover solved the most IMO problems — five in total: imo\_1959\_p1, imo\_1960\_p2, imo\_1962\_p2, imo\_1964\_p2, and imo1983\_p6. Kimina-Prover was able to correctly prove all five of these problems. Below, we select one example imo\_1962\_p2 to compare the proofs generated by BFS-Prover and Kimina-Prover.

We observe that Kimina-Prover generates proofs by decomposing the argument into a sequence of 'have' statements, resulting in a clear and highly readable logical structure. In contrast, the proof produced by BFS-Prover—which relies on step-by-step tactic search—makes it difficult to discern the purpose of each step directly from the code. This comparison highlights the strong proof sketch planning capabilities of Kimina-Prover.

```
theorem imo_1962_p2 (x : \mathbb R) (h_0 : 0 \leq 3 - x) (h_1 : 0 \leq x + 1)
    (h_2 : 1 / 2 < Real.sqrt (3 - x) - Real.sqrt (x + 1)) : -1 \le x \land x < 1 - Real.sqrt 31 / 8 := by
  have h3 : -1 < x := by
    nlinarith
  have h4 : Real.sqrt (3 - x) - Real.sqrt (x + 1) > 1 / 2 := by linarith
  have h5 : Real.sqrt (x + 1) \ge 0 := Real.sqrt_nonneg (x + 1)
  have h6 : (7 / 4 - 2 * x) > \text{Real.sqrt} (x + 1) := by
    nlinarith [Real.sq_sqrt (show (0 : \mathbb{R}) \leq 3 - x by linarith), Real.sq_sqrt (show (0 : \mathbb{R}) \leq x +
    1 by linarith),
      Real.sqrt_nonneg (3 - x), Real.sqrt_nonneg (x + 1)]
  have h7 : (7 / 4 - 2 * x) ^ 2 > (Real.sqrt (x + 1)) ^ 2 := by
    nlinarith [h6, Real.sqrt_nonneg (x + 1)]
  have h8 : (7 / 4 - 2 * x) ^ 2 > x + 1 := by
    have h10 : (Real.sqrt (x + 1)) ^ 2 = x + 1 := by
      rw [Real.sq_sqrt]
      linarith
    nlinarith [h7, h10]
  have h9 : 64 * x ^ 2 - 128 * x + 33 > 0 := by
   nlinarith [h8]
  have h10 : x < 1 - Real.sqrt 31 / 8 := by
    by_contra hx
    push_neg at hx
    have h12 : Real.sqrt 31 > 0 := by
      apply Real.sqrt_pos.mpr
      norm_num
    nlinarith [sq_nonneg (x - (1 - Real.sqrt 31 / 8)), sq_nonneg (Real.sqrt 31), Real.sq_sqrt
    (show (0 : \mathbb{R}) < 31 by norm_num),
      h12]
  exact \langle h3, h10 \rangle
```

Listing 3: Lean 4 proof of IMO-1962-P2 found by Kimina-Prover.

```
theorem imo_1962_p2 (x : R)
  (h0 : 0 \le 3 - x)
  (h1 : 0 \le x + 1)
  (h2 : 1/2 < sqrt(3 - x) - sqrt(x + 1)) :
  -1 \le x and x \le 1 - sqrt(31)/8 := by {
    constructor
    linarith
    rw [← sub_pos]
    field_simp [Real.sqrt_lt] at h2 |-
    apply lt_of_le_of_lt
    rw [mul_comm]
    rw [sub_eq_add_neg]
    apply lt_of_le_of_lt
    rw [\leftarrow lt_sub_iff_add_lt]
    ring_nf
    rw [\leftarrow lt_sub_iff_add_lt]
    linarith [Real.sq_sqrt (by linarith : 0 <= 1 + x)]</pre>
    rw [Real.sqrt_lt (by norm_num)]
```

```
rw [Real.sqrt_lt] <;> nlinarith
norm_num at this
}
```

Listing 4: Lean 4 proof of IMO-1962-P2 found by BFS-Prover.

# F Examples of Thinking Output & Analysis

#### F.1 Success Modes

### F.1.1 Success Case: Exploration of Multiple Informal Reasoning paths

```
Formal statement of Problem IMO-1983-P6:

theorem imo_1983_p6 (a b c : \mathbb{R}) (h<sub>0</sub> : 0 < a \land 0 < b \land 0 < c) (h<sub>1</sub> : c < a + b) (h<sub>2</sub> : b < a + c)

(h<sub>3</sub> : a < b + c) : 0 ≤ a ^ 2 * b * (a - b) + b ^ 2 * c * (b - c) + c ^ 2 * a * (c - a) := by
```

In addressing IMO 1983 P6, a challenging inequality problem, Kimina-Prover starts its analysis by exploring multiple variable substitution methodologies.

<think>

I'll tackle the problem of proving that for the sides a, b, and c of a triangle, the inequality  $a^2b(a-b) + b^2c(b-c) + c^2a(c-a) \ge 0$  holds, and determine when equality occurs.

First, let's think about the structure of the problem. We're given that a, b, and c are positive real numbers satisfying the triangle inequality, and we need to prove that a certain expression is non-negative.

.....

One possible approach is to consider symmetry or substitution. However, this expression isn't symmetric - it's cyclic. So we need to find a way to handle the cyclic structure. Another approach is to use the substitution for triangular inequalities. Since a, b, and c form a triangle, we can set:

-a = x + y

-b = y + z

```
-c = z + x
```

•••••

Alternatively, we can try to rewrite the inequality in a different form. Let's expand the expression:

 $a^{2}b(a-b) + b^{2}c(b-c) + c^{2}a(c-a) = a^{3}b - a^{2}b^{2} + b^{3}c - b^{2}c^{2} + c^{3}a - c^{2}a^{2}$ 

.....

Another approach: Use the identity that for any real numbers x, y, z:  $x^3 + y^3 + z^3 - 3xyz = (x + y + z)(x^2 + y^2 + z^2 - xy - yz - zx)$ 

.....

Alternatively, consider using the substitution  $a = \frac{x+y}{2}$ ,  $b = \frac{y+z}{2}$ ,  $c = \frac{z+x}{2}$ , but I'm not sure.

.....

Alternatively, use the substitution a = y + z, b = z + x, c = x + y, with x, y, z > 0 (the Ravi substitution). Let's try that again more carefully.

•••••

Alternatively, use the substitution  $a = \frac{1}{x}$ ,  $b = \frac{1}{y}$ ,  $c = \frac{1}{z}$ , but I don't think this helps.

..... Let's try another approach. The expression can be rewritten using the identity:  $a^2b(a-b)+b^2c(b-c)+c^2a(c-a) = (a-b)(b-c)(c-a)(a+b+c)$ 

.....

After numerous unsuccessful attempts, the model backtracks to its initial approach and conducts in-depth analysis. In this way, it identifies a crucial simplification of the original equality, which ultimately leads to the successful resolution of the problem.

Alternatively, we can use the substitution a = x + y, b = y + z, c = z + x as before, and try to prove the resulting expression is non-negative.

After expanding, we had the expression in terms of x, y, z:  $(y + z)^2(z + x)(y - x) + (z + x)^2(x + y)(z - y) + (x + y)^2(y + z)(x - z) \ge 0$ 

Let's try to expand this.

First term:  $(y+z)^2(z+x)(y-x) = (y^2 + 2yz + z^2)(z+x)(y-x) = (y^2 + 2yz + z^2)(yz + xy - xz - x^2)$ This expands to:  $= y^3z + xy^3 - xy^2z - x^2y^2 + 2y^2z^2 + 2xy^2z - 2xyz^2 - 2x^2yz + yz^3 + xyz^2 - xz^3 - x^2z^2$ 

This is complex, but after expanding all terms and simplifying, it can be rewritten as a sum of squares:

 $(y-z)^2(y+z)(x+y+z) + (z-x)^2(z+x)(x+y+z) + (x-y)^2(x+y)(x+y+z)$ 

This sum is clearly non-negative because it's a sum of squares multiplied by positive terms.

Therefore, in Lean, after substitution and expansion, we can prove the resulting expression is non-negative.

The complete Lean proof would be:

- 1. Introduce x, y, z as above
- 2. Prove x, y, z > 0 using the triangle inequalities

3. Substitute a = x + y, b = y + z, c = z + x into the goal

- 4. Expand the expression
- 5. Show it's equal to the sum of squares
- 6. Conclude non-negativity

The Lean code to perform this substitution would look like:

```
have ha : a = x + y := by
dsimp [x, y, z]
linarith
```

•••••

The entire Lean proof leveraging the Ravi substitution and expansion would look something like:

```
theorem imo_1983_p6 (a b c : R) (h<sub>0</sub> : 0 < a ^ 0 < b ^ 0 < c) (h<sub>1</sub> : c < a + b) (h<sub>2</sub> : b < a +
c)
(h<sub>3</sub> : a < b + c) : 0 ≤ a ^ 2 * b * (a - b) + b ^ 2 * c * (b - c) + c ^ 2 * a * (c - a) :=
by
let x := (a + c - b) / 2
let y := (a + b - c) / 2
let z := (b + c - a) / 2
have hx : 0 < x := by
dsimp [x]
nlinarith [h<sub>0</sub>.left, h<sub>0</sub>.right.left, h<sub>1</sub>]
have hy : 0 < y := by
dsimp [y]
nlinarith [h<sub>0</sub>.left, h<sub>0</sub>.right.right, h<sub>2</sub>]
have hz : 0 < z := by
dsimp [z]
```

```
nlinarith [h<sub>0</sub>.right.left, h<sub>0</sub>.right.right, h<sub>3</sub>]
have ha : a = x + y := by
  dsimp [x, y, z]
  nlinarith
have hb : b = y + z := by
  dsimp [x, y, z]
  nlinarith
have hc : c = z + x := by
  dsimp [x, y, z]
  nlinarith
rw [ha, hb, hc]
nlinarith [sq_nonneg (x - y), sq_nonneg (y - z), sq_nonneg (z - x),
  mul_pos hx hy, mul_pos hy hz, mul_pos hz hx,
  sq_nonneg(x + y + z),
  sq_nonneg (x - y), sq_nonneg (y - z), sq_nonneg (z - x),
  sq_nonneg (x + y + z),
  sq_nonneg (x - y), sq_nonneg (y - z), sq_nonneg (z - x),
  sq_nonneg(x + y + z),
  sq_nonneg (z - x), sq_nonneg (x + y + z),
  sq_nonneg (x - y), sq_nonneg (y + z + x),
sq_nonneg (y - z), sq_nonneg (z + x + x),
sq_nonneg (z - x), sq_nonneg (x + y + y)]
```

In addressing this problem, the model initially struggles with the complexity of the expanded expression but revisits its earlier approaches with a deeper analysis. This reflects a "human-like" problem-solving process - trying, failing, and refining.

### F.1.2 Success Case: Reflection and Refinement

```
Formal statement of Problem number_theory_72826:
theorem number_theory_72826 {n : N} (hn : Even n) (h : n > 38) :
    ∃ a b c d : N, Odd a ∧ Odd b ∧ Odd c ∧ Odd d ∧ a > 1 ∧ b > 1 ∧ c > 1 ∧ d > 1 ∧ n = a *
    b + c * d := by
```

Another example is a number theory problem that involves proving the decomposition of large odd numbers. This problem requires creative constructions and a detailed analysis of different cases. The thinking and analysis process of Kimina-Prover for this problem is shown in the list below.

#### <think>

If we can write  $n = a \cdot b + c \cdot d$ , where all variables are odd and greater than 1, then we can try to find such a decomposition for any n > 38.

One possible method is to fix some of the variables and solve for the others. For example, if we fix a = 3, then we need  $3 \cdot b + c \cdot d = n$ , with b, c, d odd and > 1.

•••••

But let's think differently. Since n is even, we can write it as n=2m, and m can be either even or odd.

Alternatively, think of n as being in different congruence classes mod 6 or mod 12 to find a suitable decomposition.

Alternatively, think of n as either 4 mod 6 or 2 mod 6 (since even numbers can be 0 mod2, and further classified as 0,2,4 mod6)

But this might complicate things.

Alternatively, use a more direct approach: construct the numbers.

For example, for n=40 (which is 4 mod 6), we found 3\*5+5\*5

For n=42 (0 mod6), we found 3\*3 +3\*11

- n=44 (2 mod6): 3\*3 +5\*7
- n=46 (4 mod6):3\*7 +5\*5
- n=48 (0 mod6):3\*5 +3\*11
- n=50 (2 mod6):3\*5 +5\*7
- n=52 (4 mod6):3\*9 +5\*5
- n=54 (0 mod6):3\*3 +3\*15

To formalize a proof, we can separate into cases based on n mod6:

Case 1:  $n \equiv 0 \mod 6$ . Then n=6k. If k is odd or even, we can use similar approaches as above. For n=6k, we can write n=3\*3 +3\*(2k-3). For example, n=42=6\*7: 3\*3 +3\*11. Here 2k-3=2\*7-3=11.

Case 2:  $n \equiv 2 \mod 6$ . Then n=6k+2. For example, n=44=6\*7+2. We need to express 6k+2 as a sum of two products.

•••••

Now, to complete the proof in Lean, we need to combine these three cases:

1.  $n \equiv 0 \mod 6$ : n=6k, use a=3, b=3, c=3, d=2k-3

2.  $n \equiv 2 \mod 6$ : n=6k+2, use a=3, b=2k-11, c=5, d=7

3.  $n \equiv 4 \mod 6$ : n=6k+4, use a=3, b=2k-7, c=5, d=5

.....

</think>

```
theorem number_theory_72826 {n : \mathbb{N}} (hn : Even n) (h : n > 38) :
    \exists a b c d : \mathbb{N}, Odd a \land Odd b \land Odd c \land Odd d \land a > 1 \land b > 1 \land c > 1 \land d > 1 \land n = a *
    b + c * d := by
  have h1 : n \% 2 = 0 := by
    exact Nat.even_iff.1 hn
  have h2 : n > 38 := h
  have h3 : n \% 6 = 0 \lor n \% 6 = 2 \lor n \% 6 = 4 := by
   have h1 : n \% 2 = 0 := by
      exact Nat.even_iff.1 hn
    have : n \% 6 = 0 \lor n \% 6 = 2 \lor n \% 6 = 4 := by
      omega
    assumption
  rcases h3 with (h3 | h3 | h3)
  -- Case n % 6 = 0
   have h4 : n \% 6 = 0 := h3
   have h5 : \exists k, n = 6 * k := by
      refine \langle n / 6, by omega \rangle
    rcases h5 with \langle k, hk \rangle
    have hk1 : k \geq 7 := by
      omega
    use 3, 3, 3, 2 * k - 3
    have h_odd : Odd 3 := by
      use 1
      norm_num
    have h_{odd2} : Odd (2 * k - 3) := by
      use k - 2
      omega
    exact (by exact h_odd, by exact h_odd, by exact h_odd, by exact h_odd2,
      by norm_num, by norm_num, by norm_num, by omega,
      by omega \rangle
  • -- Case n % 6 = 2
   have h4 : n \% 6 = 2 := h3
    have h5 : \exists k, n = 6 * k + 2 := by
      refine \langle (n - 2) / 6, by omega\rangle
    rcases h5 with \langle k, hk \rangle
```

```
have hk1 : k \ge 7 := by
  omega
use 3, 2 * k - 11, 5, 7
have h_odd1 : Odd 3 := by
  use 1
  norm_num
have h_{odd2} : Odd (2 * k - 11) := by
  use k - 6
  omega
have h_odd3 : Odd 5 := by
  use 2
  norm_num
have h_odd4 : Odd 7 := by
  use 3
  norm_num
exact (by exact h_odd1, by exact h_odd2, by exact h_odd3, by exact h_odd4,
  by norm_num, by omega, by norm_num, by norm_num,
  by omega>
-- Case n % 6 = 4
have h4 : n \% 6 = 4 := h3
have h5 : \exists k, n = 6 * k + 4 := by
  refine \langle (n - 4) / 6, by omega \rangle
rcases h5 with \langle k, hk \rangle
have hk1 : k \ge 6 := by
  omega
use 3, 2 * k - 7, 5, 5
have h_odd1 : Odd 3 := by
  use 1
  norm_num
have h_{odd2} : Odd (2 * k - 7) := by
  use k - 4
  omega
have h_odd3 : Odd 5 := by
  use 2
  norm num
exact (by exact h_odd1, by exact h_odd2, by exact h_odd3, by exact h_odd3,
  by norm_num, by omega, by norm_num, by norm_num,
  by omega)
```

The model successfully solved the problem, demonstrating notable constructive reasoning ability. The approach begins by strategically assuming 3 as one of the odd numbers, achieving significant simplification. Then by observing small-scale cases, it identified distinct patterns for each residue class of n modulo 3. Finally, the model systematically verified each case through rigorous reasoning. This "observation of small cases  $\rightarrow$  conjecture formulation  $\rightarrow$  rigorous proof" approach represents a highly successful pattern in mathematical proof.

### F.2 Failure Mode

### F.2.1 Failure Case: Incomplete Case Analysis

```
theorem aime_1987_p5 (x y : \mathbb{Z}) (h<sub>0</sub> : y ^ 2 + 3 * (x ^ 2 * y ^ 2) = 30 * x ^ 2 + 517) :
3 * (x ^ 2 * y ^ 2) = 588 := by
```

<think>

.....

Wait, the Lean proof is trying to prove  $x^2 \le 4$  directly. Let's see why that's true: From  $y^2 + 3x^2y^2 = 30x^2 + 517$ Rearranged:  $y^2(3x^2 + 1) = 30x^2 + 517$   $y^2 = \frac{30x^2 + 517}{3x^2 + 1}$ If  $x^2 > 4$ , then  $3x^2 + 1 > 13$ , let's see if that's possible: If  $x^2 = 9$  (i.e.,  $x = \pm 3$ ), then  $3x^2 + 1 = 28$  $y^2 = \frac{30 \times 9 + 517}{28} = \frac{270 + 517}{28} = \frac{787}{28}$  (not integer) If  $x^2 = 16$  (i.e.,  $x = \pm 4$ ), then  $3x^2 + 1 = 49$  $y^2 = \frac{30 \times 16 + 517}{49} = \frac{480 + 517}{49} = \frac{997}{49}$  (not integer) So indeed, only  $x^2 \leq 4$  is possible. The Lean proof is establishing this upper bound. Let's continue with the Lean proof ..... </think> theorem aime\_1987\_p5 (x y :  $\mathbb{Z}$ ) (h<sub>0</sub> : y ^ 2 + 3 \* (x ^ 2 \* y ^ 2) = 30 \* x ^ 2 + 517) :  $3 * (x ^ 2 * y ^ 2) = 588 := by$ have h1 :  $3 * (x ^ 2 * y ^ 2) = 588 := by$ have h2 :  $y^2 + 3 * (x^2 * y^2) = 30 * x^2 + 517 := h_0$ have h3 :  $x^2 \le 4 := by$ by\_cases hx : x = 0 $\cdot$  -- x = 0 leads to y<sup>2</sup> = 517, but 517 is not a square rw [hx] at h2 nlinarith [sq\_nonneg (y : Z)]  $\cdot$  -- x  $\neq$  0, so x^2  $\geq$  1 have h4 :  $x^2 \ge 1 := by$ have h5 :  $x \neq 0 := by$ exact fun  $h \Rightarrow hx$  (by omega) nlinarith [sq\_pos\_of\_ne\_zero h5] <code>nlinarith [sq\_nonneg (y :  $\mathbb{Z}$ ), h2] -- This tactic does not close the goal</code> . . . . . .

The reasoning contains a logical gap when trying to prove  $x^2 \le 4$ . Although correctly showing that  $x = \pm 3$  and  $x = \pm 4$  lead to non-integer values for y, it fails to address whether larger values of x could satisfy the equation. The model mistakenly assumed that solving the cases for n = 3 and n = 4 would resolve all cases for n > 2. This **incomplete case analysis** pattern hinders the model from solving problems correctly.