# A Federated Approach to Predict Emojis in Hindi Tweets

Anonymous ACL submission

#### Abstract

The use of emojis provide for adding a visual modality to textual communication. The task of predicting emojis however provides a challenge for computational approaches as emoji use tends to cluster into the frequently used and the rarely used emojis. Much of the research on emoji use has focused on high resource languages and conceptualised the task of predicting emojis around traditional serversside machine learning approaches, which can introduce privacy concerns, as user data is transmitted to a central storage. In this paper, we provide a benchmark dataset of 118k tweets for emoji prediction in Hindi.<sup>1</sup> Specifically, we show that a privacy preserving approach, Federated Learning exhibits comparable performance to traditional servers-side transformer models.

### 1 Introduction

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Since the creation of emojis around the turn of the millennium (Stark and Crawford, 2015; Alshenqeeti, 2016), they have become of a staple of informal textual communication, expressing emotion and intent in written text (Barbieri et al., 2018b). This development in communication style has prompted research into emoji analysis and prediction for English (e.g. Barbieri et al., 2018a,b; Felbo et al., 2017; Tomihira et al., 2020; Zhang et al., 2020) while comparatively little attention has been given to the low resource languages.

Emoji-prediction has posed a challenge for the research community because emojis express multiple modalities, containing visual semantics while simultaneously standing in place for words (Padilla López and Cap, 2017). The challenge is further compounded by the quantity of emojis sent and the imbalanced distribution of emoji use (Cappallo et al., 2018; Padilla López and Cap, 2017).

<sup>1</sup>The dataset will be made publicly available upon request.

Machine learning for emoji analysis and prediction has traditionally relied on traditional serverside architectures. However, training such models risks leaking highly sensitive information that may co-occur with emojis in texts. In contrast, federated learning (McMahan et al., 2017) approaches the task of training machine learning models by emphasising privacy of data. Such privacy is ensured by training models locally and sharing updates, rather than the data, with a central server (see Figure 1).

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Motivated by prior work in privacy preserving machine learning (e.g. Ramaswamy et al., 2019; Yang et al., 2018) and emoji prediction for low resource languages (e.g. Choudhary et al., 2018), we consider the application of federated learning to the task of emoji prediction for Hindi. Specifically, we collect an imbalanced dataset of 118,030 tweets in Hindi for emoji prediction. The dataset contains 700 unique emojis, that we classify into 10 pre-defined categories of emojis.<sup>2</sup> We further examine how balancing the data, using resampling and cost-sensitive re-weighting, influence a federated LSTM model and 6 server-side, centralised models: bi-directional LSTM (Hochreiter and Schmidhuber, 1997), IndicBert (Kakwani et al., 2020), HindiBERT,<sup>3</sup> Hindi-Electra,<sup>4</sup>, mBERT (Devlin et al., 2019), and XLM-R (Conneau et al., 2020).

We find that the federated learning framework using simple machine learning models can provide results that are competitively with more complex models such as fine-tuned large language models. Moreover, we find that models that take into account a higher number of client updates provide for the best performing federated models, in spite of disregarding up to 50% of all available training data.

<sup>&</sup>lt;sup>2</sup>These categories are obtained from the Emojis library, available at https://github.com/alexandrevicenzi/emojis.

<sup>&</sup>lt;sup>3</sup>https://huggingface.co/monsoon-nlp/hindi-bert

<sup>&</sup>lt;sup>4</sup>https://huggingface.co/monsoon-nlp/hindi-tpu-electra

Local Updates

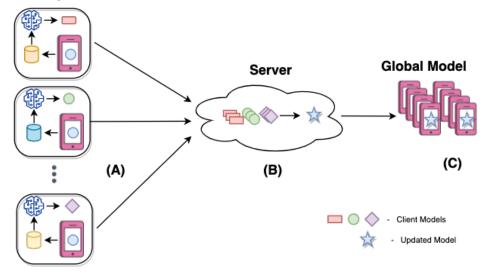


Figure 1: The Federated Learning process:(A) client devices compute updates based on the data stored locally, (B) client updates are aggregated by the server and a new global model is formed, (C) the resulting model is sent back to all the clients, and the process is repeated.

### 2 Prior work

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**Federated learning** Federated Learning (McMahan et al., 2017) is a training procedure which distributes training of models onto a number of client devices. In this way, federated learning can help prevent computational bottlenecks when training models on a large corpus while simultaneously preserving privacy by not transmitting raw data. This training approach has previously been applied for on-device token prediction on mobile phones for English. In a study of the quality of mobile keyboard suggestions, Yang et al. (2018) show that federated learning improves the quality of suggested words. Addressing emoji-prediction, Ramaswamy et al. (2019) use federated learning, to improve on traditional server-based models on user devices.

Centralised training In efforts to extend emoji prediction, Ma et al. (2020) experiment with a BERT-based model on a new dataset that includes a large set of emojis for multi label prediction. Addressing the issue of low resource languages, Choudhary et al. (2018) train a bi-directional LSTM-based siamese network, jointly training their model with high resource and low resource languages.

### 3 Data

We collect our dataset for emoji prediction by scraping 1M tweets using the Twitter API, keeping only the 24,794 tweets that contain at least one

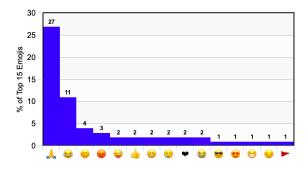


Figure 2: Distribution of 15 most frequently appearing emojis in Hindi.

emoji and are written in Hindi. For tweets that contain multiple emojis, we duplicate the tweet by the number of emojis they contain and assign a single emoji to each copy, resulting in a dataset of 118,030 tweets with 700 unique emojis. Due to the highly imbalanced nature of emoji use in our dataset (see Figure 2), we categorise into a coarse-grained set of 10 emoji categories. 106

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### 3.1 Balancing data

This dataset exhibits a long-tail in the distribution115of emoji categories (see Figure 3), with the vast116majority of tweets belonging to the "Smileys &117Emotions" and "People & Body" categories. To118address this issue, we use two different data balanc-119ing methods: re-sampling (He and Garcia, 2009)120and cost-sensitive reweighting (Khan et al., 2017).121

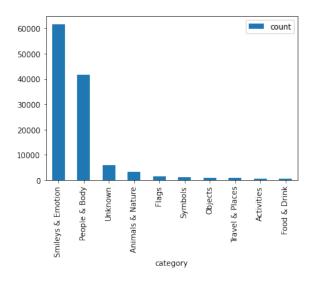


Figure 3: Category distribution of complete dataset

**Re-Sampling** Re-sampling has been used widely to address issues of class imbalances (e.g. Buda et al., 2018; Zou et al., 2018; Geifman and El-Yaniv, 2017; Shen et al., 2016). By balancing our data, through over-sampling the minority classes (Drumnond, 2003) and under-sampling the majority classes (Chawla et al., 2002), we obtain a dataset of 58, 000 tweets.

**Cost-Sensitive Learning** Similarly to resampling data, cost-sensitive weighting has been applied to the issue of dealing with class imbalances in data (e.g. Zhou and Liu, 2005; Huang et al., 2016; Ting, 2000; Sarafianos et al., 2018). Rather than over or under-sampling, each class is assigned a weight, that can be used to weight the loss function (Lin et al., 2017). For our models, we assign each class the inverse class frequency as its' weight:

$$W_{c\in C} = \frac{|S|}{|C| \cdot \hat{y}} \tag{1}$$

where |S| is the number of samples and |C| is the number of classes.

#### 3.2 Pre-processing

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We pre-process all tweets by lower-casing all text and removing numbers, punctuation, and URLS.We also remove Twitter specific such as hashtags, @-mentions, and the retweet marker: "RT:".

#### 4 Experiments

We conduct our experiments using PyTorch (Paszkeet al., 2019) and Transformers (Wolf et al., 2020)

on Google Colab using a Nvidia Tesla V100 GPU with 26GB of RAM. The datasets are split into train (80%), validation (10%), and test sets (10%). We measure our performance with the accuracy, AUC, and weighted F1 metrics. Each model is trained and evaluated on the original imbalanced data and the two balancing approaches described in Section 3.1. Finally, for the federated setting, we conduct experiments where data is independent and identically distributed (I.I.D.) across the different client nodes. 151

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#### 4.1 Baseline models

We use 6 centralised models as baselines to compare the federated approach against. Specifically, we use a bi-LSTM (Hochreiter and Schmidhuber, 1997) with 2 hidden layers and dropout,<sup>5</sup> two multilingual models: mBERT (Devlin et al., 2019) and XLM-R (Conneau et al., 2020). Finally we use IndicBERT (Kakwani et al., 2020), HindiBERT, and Hindi-Electra as these are pre-trained on indic languages.<sup>6</sup> All baselines are trained with batch size 8, learning rate 4e - 5, and seq. length 128.

#### 4.2 Federated models

For our federated experiments, we use the FedProx algorithm (Li et al., 2018) which trains a federated model by considering dissimilarity among the local gradient updates while preventing divergence under non-I.I.D. settings through adding a proximal term to the loss function.<sup>7</sup> We reuse the bi-LSTM architecture from Section 4.1 as our experimental model to limit the computational power required from client devices. For our experiments, we set the number of clients to 100 and simulate I.I.D. and non-I.I.D. settings. We simulate an I.I.D. setting by ensuring that all client devices receive data that is representative of the entire dataset. For the non-I.I.D. setting, we create severely imbalanced data splits for clients by first grouping the data by label, then splitting the grouped data into 200 bins and randomly assigning 2 bins to each client. We experiment with three different settings, in which we randomly select 10%, 30%, and 50% of all clients whose updates are incorporated into the global model.

<sup>&</sup>lt;sup>5</sup>We set the dropout to 0.5

<sup>&</sup>lt;sup>6</sup>IndicBERT is pre-trained on 12 indic languages, HindiB-ERT and Hindi-Electra are both trained on Hindi Wikipedia and CommonCrawl.

<sup>&</sup>lt;sup>7</sup>We follow Li et al. (2018) in setting the value of the proximal term to 0.01.

Bi-LSTM			:	mBERT			XLM-R			IndicBERT			hindiBERT			Hindi-Electra		
	Acc.	AUC	F1	Acc.	AUC	F1	Acc.	AUC	F1	Acc.	AUC	F1	Acc.	AUC	F1	Acc.	AUC	F1
Imbalanced	62.38	77.53	63.83	66.90	75.96	64.50	70.39	83.09	69.44	68.21	82.13	67.60	66.53	81.62	65.90	52.30	49.64	35.91
Re-sampled	53.95	78.97	58.61	53.43	78.79	56.58	60.76	82.45	63.39	62.44	80.00	64.58	55.16	78.60	57.92	52.30	50.10	60.30
Cost-Sensitive	61.19	79.76	64.46	62.73	79.42	63.30	68.33	83.05	68.87	67.98	82.70	68.66	65.32	81.55	66.06	52.29	50.10	35.91

Table 1: Centralised model performances.

	c = 10%						c = 30 %						c = 50 %					
	IID		non-IID			IID			non-IID			IID			non-IID			
	Acc	AUC	F1	Acc	AUC	F1	Acc	AUC	F1	Acc	AUC	F1	Acc	AUC	F1	Acc	AUC	F1
Imbalanced	63.32	70.27	62.32	63.78	60.96	57.96	66.56	67.13	42.68	56.99	62.56	54.86	65.91	66.86	63.57	59.41	61.92	58.09
Re-sampled	45.19	78.60	51.12	32.92	74.10	34.28	42.68	80.23	49.19	35.27	75.47	41.36	47.10	80.66	52.14	40.22	75.71	45.76
Cost-Sensitive	63.24	76.42	61.99	64.81	60.96	61.25	64.16	76.71	63.78	55.70	60.37	54.36	60.22	74.91	59.57	61.29	59.44	59.36

Table 2: Results of experiments run using the Federated Learning setup. c is the percentage of clients whose updates are considered.

Approach	Results						
	Server trained	Federated					
Imbalanced	83.09	67.13					
Balanced	82.45	80.23					
Cost-Sensitive	83.05	76.71					

Table 3: Results comparing the AUC scores for serverbased approach(XLM-R) and federated approach on IID partitioning with client fraction of 0.3

#### 4.3 Analysis

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Considering first the results for our baseline models (see Table 1), we find that XLM-R and IndicBERT obtain the best performances. Across all baselines, with the exception of Hindi Electra, we find that using a cost-sensitive weighting out-performs balancing through re-sampling the dataset. Moreover, we find that the cost-sensitive weighting performs comparably or out-performs all other settings. Curiously, we find that Hindi Electra under-performs compared to all other models, including HindiB-ERT which is a smaller model trained on the same data. This discrepancy in the performances of these two models may be due to the differences in complexity, and thus data required to achieve competitive performances. Finally, the bi-LSTM slightly under-performs in comparison to XLM-R, however it obtains competitive performances with all other well-performing models.

Turning to results of our experiments with federated models (see Table 2), we note that the federated models achieve slightly lower, but comparative performances with the centralised models, across data distribution setting for clients. Considering the performance in terms of AUC given the number of clients' update considered, we find that the best performing setting is 50%, for both I.I.D. and non-I.I.D. settings. However, if we are to consider accuracy or F1, the best performing client percentage varies depending on whether the data is I.I.D. or not. In terms of the data balancing schemes, we find that the imbalanced label distribution tends to achieve low performances in terms of AUC and high performances in terms of accuracy, while the cost-sensitive strategy often offers a more balanced performance. In contrast, the re-sampled label balancing technique provides highly variable results. For the I.I.D. setting, the scores posted are comparable with the other label balancing schemes while the non-I.I.D. setting produces very high scores in terms of AUC but poor performances in terms of accuracy and F1. 223

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# 5 Conclusion

Emoji prediction in user-generated text is a task which entails potentially highly private data, for which reason it is important to consider privacypreserving methods for the task. Moreover, while emojis are used by people around the world, the majority of academic research has been focused on English. Here, we presented a new dataset for emoji for Hindi and compare a privacy preserving machine learning approach, Federated Learning, with traditional, centralised machine learning methods. Experimenting with the different data balancing methods and simulating settings where data is I.I.D. and where it is not, we find that using federated learning can afford competitive performances with more complex centralised machine learning methods, such as fine-tuned language models, while ensuring that user data is kept secure by only sharing model weight updates rather than the raw data.

#### References

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# **A** Appendix

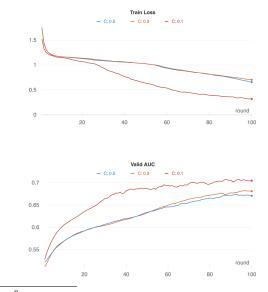
#### A.1 Server-Based Models

For traditional server-side transformer models, we use the simpletransformers<sup>8</sup> library. We use the default configuration options. We train all the transformer models for 25 epochs with a learning rate of 4e-5 and no weight decay or momentum.

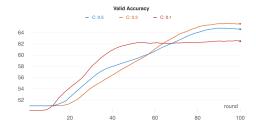
#### A.2 Federated Learning Plots

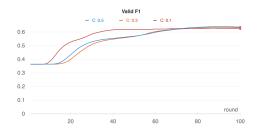
This section provides detailed graphs comparing the training loss, validation AUC, validation F1 score and validation accuracy for every dataset variation. All of these graphs were made using Weights and Biases (Biewald, 2020).

#### A.2.1 Imbalanced Dataset (IID)

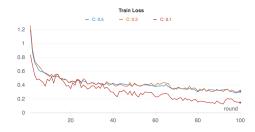


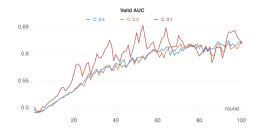
<sup>8</sup>https://simpletransformers.ai/





# A.2.2 Imbalanced Dataset (non-IID)

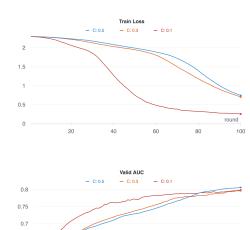




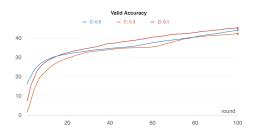




### A.2.3 Balanced Dataset (IID)

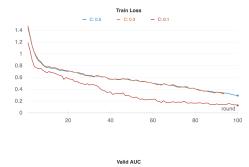






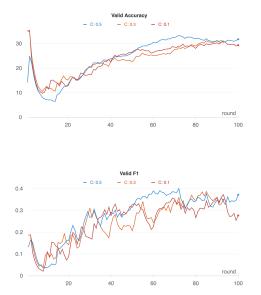


#### A.2.4 Balanced Dataset (non-IID)

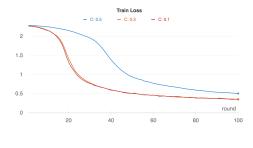


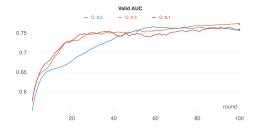


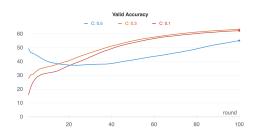
459

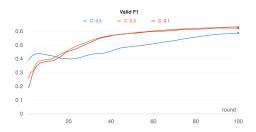


# A.2.5 Cost Sensitive Approach (IID)

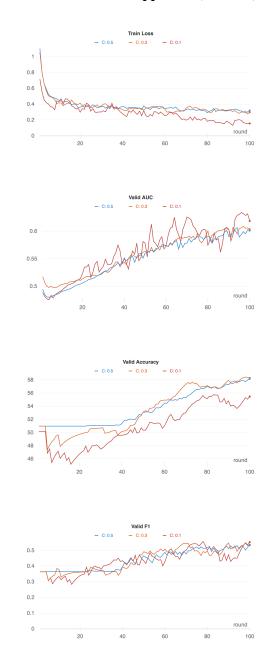








# A.2.6 Cost Sensitive Approach (non-IID)



# A.3 Time vs GPU Usage

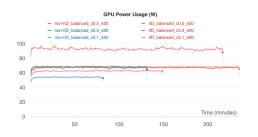
This section provides detailed graphs for GPU usage in Watts for every variation of experiments run.

### A.3.1 Imbalanced Dataset



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### A.3.2 Balanced Dataset



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# A.3.3 Cost Sensitive Approach

