# Delayed Momentum Aggregation: Communication-efficient Byzantine-robust Federated Learning with Partial Participation

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#### **Abstract**

Federated Learning (FL) allows distributed model training across multiple clients while preserving data privacy, but it remains vulnerable to Byzantine clients that exhibit malicious behavior. While existing Byzantine-robust FL methods provide strong convergence guarantees (e.g., to a stationary point in expectation) under Byzantine attacks, they typically assume full client participation, which is unrealistic due to communication constraints and client availability. Under partial participation, existing methods fail immediately after the sampled clients contain a Byzantine majority, creating a fundamental challenge for sparse communication. First, we introduce *delayed momentum aggregation*, a novel principle where the server aggregates the most recently received momentum from non-participating clients alongside fresh momentum from active clients. Our optimizer *D-Byz-SGDM* (Delayed Byzantine-robust SGD with Momentum) implements this delayed momentum aggregation principle for Byzantine-robust FL with partial participation. Experiments on deep learning tasks validated the proposed method, showing stable and robust training under various Byzantine attacks.

**Keywords:** Federated Learning, Byzantine-robust Optimization, Communication-efficient Distributed Training

#### 1. Introduction

Federated Learning (FL) enables collaborative training across many clients without centralizing raw data, and has become a standard approach when privacy, bandwidth, or governance constraints prevent data pooling [37, 52]. Its central idea is to transmit gradients rather than raw data. Specifically, each client computes the gradient using their local dataset and sends it to the central server. Then, the central server computes the average of the gradients and updates the parameters. Since its proposal, FL has attracted many optimization researchers and has been widely studied in areas such as communication compression [2, 4, 27, 35, 42, 49, 56, 66], data heterogeneity [3, 16, 34, 39, 48, 62, 67, 68, 73, 76], accelerated methods [21, 33, 36, 45, 50, 57, 58], and Byzantine-robust FL, including defenses for homogeneous data [5, 10, 11, 20, 40, 53, 54, 61, 75] and heterogeneous data [1, 7, 14, 22, 23, 25, 47, 63, 65, 71, 74].

Due to the nature of FL, where a large number of clients participate in the training process, it is vulnerable to clients that behave incorrectly, commonly referred to as Byzantine clients [37, 46]. For instance, some clients may be faulty, while others may act maliciously to disrupt training.

Under Byzantine failures, naive averaging is notoriously brittle: even a single Byzantine client can significantly skew the aggregated model updates. To address this issue, a large body of work has proposed Byzantine-robust FL methods [7, 11, 12, 40], which replace simple averaging with robust aggregation rules at the central server. A robust aggregator guarantees that, as long as the majority of inputs come from honest clients, the aggregation output remains close to the true average of the honest clients' parameters, regardless of the values sent by malicious clients. Thanks to these robust aggregation techniques, Byzantine-robust FL can maintain convergence guarantees, despite the presence of Byzantine clients.

However, most of these existing Byzantine-robust FL methods rely on the assumption that all clients participate in every round, which is unrealistic. Some clients may be temporarily unavailable, for example, due to unreliable connections or competing computational tasks [13, 32, 37, 59, 69, 72]. Even if all clients were available, it is common practice to sample only a subset of the clients to reduce the communication overhead between the central server and the clients [38, 39, 60]. When only a subset of clients participates, most existing Byzantine-robust FL methods fail to remain robust against Byzantine clients. Specifically, in the partial participation setting, the majority of the sampled clients can be malicious. In such a case, a robust aggregator may no longer provide a good estimation of the average of the honest clients' parameters. Only a few papers have studied Byzantine-robust FL with partial participation [8, 51]. Malinovsky et al. [51] proposed a variance reduction-based optimizer with a specialized clipping strategy, showing tolerance even in rounds with a Byzantine majority. However, variance reduction methods perform poorly for deep learning models [24]. Allouah et al. [8] proposed replacing the naive averaging in FedAvg [52] with a Byzantine-robust aggregator. Their algorithm, however, relies on vanilla (non-momentum) SGD, which is vulnerable to time-coupled attacks [9, 40], and it offers no mitigation when Byzantine clients form a majority.

In this paper, we tackle the challenge of Byzantine-robust FL with partial participation, aiming for a solution that is practical and effective under real-world constraints. Our proposed method, *D-Byz-SGDM* (Delayed Byzantine-robust SGD with Momentum), is strikingly simple: at each aggregation step, the central server aggregates not only the gradients sent from the sampled clients but also the most recently received gradients from the non-sampled clients. As a result, this effectively aggregates the entire set of clients, thereby preventing rounds where Byzantine clients dominate the aggregation. Experiments on deep learning tasks show stable and robust training under both partial participation and Byzantine attacks.

We defer a comprehensive discussion of related work to Appendix A and proceed with the formal problem setup.

# 2. Preliminary

**Notations.** Our notation largely follows [41, 43]. We denote by n the total number of clients, and for any positive integer k, let  $[k] := \{1, 2, \dots, k\}$ . The set of good (non-Byzantine) clients is represented by  $\mathcal{G} \subseteq [n]$  with cardinality  $G := |\mathcal{G}|$ . The Byzantine ratio is defined as  $\delta := (n-G)/n$ , and throughout this paper we assume  $\delta < 1/2$ . For each client i, let  $\mathcal{D}_i$  denote the distribution of local data  $\xi_i$  over parameter space  $\Omega_i$ . The local loss function is given by  $f_i : \mathbb{R}^d \to \mathbb{R}$ , defined as  $f_i(x) := \mathbb{E}_{\xi_i}[F_i(x;\xi_i)]$  where  $F_i : \mathbb{R}^d \times \Omega_i \to \mathbb{R}$  is the sample loss.

**Problem Definition.** We formalize the problem as follows:  $\min_{x \in \mathbb{R}^d} \left\{ f(x) \coloneqq \frac{1}{G} \sum_{i \in \mathcal{G}} f_i(x) \right\}$  where  $x \in \mathbb{R}^d$  denotes the model parameters and  $\mathcal{D}_i$  represents the dataset distribution of client i. In general,  $\mathcal{D}_i \neq \mathcal{D}_j$ , reflecting data heterogeneity across clients.

**Byzantine-robust Learning under Full-Participation** The full participation setting serves as the theoretical foundation for Byzantine-robust federated learning, where the fundamental challenge is designing aggregation mechanisms that maintain convergence guarantees despite adversarial behavior. This setting provides clean theoretical analysis by eliminating client sampling complexities, establishing design principles for robust aggregation rules and performance benchmarks that inform practical algorithm design. The case of full client participation has been extensively studied in the literature [7, 31, 41].

In this setting, robustness is typically achieved by replacing the simple average with a robust aggregation rule. While the precise definition of such aggregators may vary across works, we adopt the following notion from Karimireddy et al. [41] and use it throughout this paper.

**Assumption 1**  $((\delta, c)$ -**Robust Aggregator [41, 51]**) Let  $\{X_1, X_2, \ldots, X_n\}$  be a set of random vectors. Suppose there exists a "good" subset  $\mathcal{G} \subseteq [n]$  of size  $G = |\mathcal{G}| > n/2$  such that  $\mathbb{E}||X_i - X_j||^2 \leq \rho^2$ ,  $\forall i, j \in \mathcal{G}$ . Then the output  $\hat{X}$  of a Byzantine-robust aggregator Agg satisfies  $\mathbb{E}||Agg(X_1, \ldots, X_n) - \bar{X}||^2 \leq c\delta\rho^2$ , where  $\bar{X} = \frac{1}{G}\sum_{i \in \mathcal{G}} X_i$ .

Importantly, this definition is not merely abstract. Karimireddy et al. [41] prove (in Theorem 1) that well-known aggregation rules such as KRUM [11], RFA [61], and the coordinate-wise median, when combined with their proposed *bucketing* technique, indeed satisfy Assumption 1. Thus, concrete and practical instantiations of robust aggregators are available within this framework. In addition, momentum-based or variance reduction-based techniques [31, 64] are necessary to achieve robustness against sophisticated attacks. Without such techniques, Karimireddy et al. [40] showed a fundamental lower bound demonstrating that learning fails when stochastic gradient noise is not properly controlled, making these methods essential for countering time-coupled attacks [9].

**Federated Learning with Partial Participation** Federated learning with partial participation is a fundamental characteristic of practical federated learning systems. Real-world deployments inherently involve clients with heterogeneous capabilities and intermittent availability due to device constraints, battery limitations, and network connectivity variations [37, 52]. This participation pattern directly impacts communication efficiency and system scalability, making it a critical consideration for algorithm design.

In the usual partial participation setting, all clients are assumed to be non-Byzantine, i.e.,  $\mathcal{G} = [n]$ . The classical FEDAVG algorithm [52] samples a subset of active clients, denoted by  $\mathcal{S}_t \subseteq [n]$ , uniformly at random at each round t, and aggregates their local updates by naive averaging:  $\frac{1}{|\mathcal{S}_t|} \sum_{i \in \mathcal{S}_t} g_i^t$ , where  $g_i^t$  denotes the local gradient estimator of client i (e.g., a stochastic gradient).

**Failure of Byzantine-robust Learning with Partial Participation** A natural extension of the full participation setting is to replace the naive averaging step

$$\frac{1}{|\mathcal{S}_t|} \sum_{i \in \mathcal{S}_t} g_i^t \quad \longrightarrow \quad \operatorname{Agg}(\{g_i^t\}_{i \in \mathcal{S}_t}).$$

While appealing, this strategy fails with partial participation: in some rounds, the sampled set may contain a Byzantine majority, despite the global condition  $\delta < 1/2$ . In such cases, no robust aggregator can reliably distinguish adversarial from honest updates. The likelihood of such Byzantine-majority rounds grows with time.

Recent work has sought to address this issue. Allouah et al. [8] provided lower bounds on the subsample size. However, due to a lack of momentum or variance reduction, their method collapses under time-coupled attacks such as ALIE [9]. Malinovsky et al. [51] established convergence guarantees tolerating Byzantine-majority rounds via gradient-difference clipping, but their analysis relies on variance reduction-based optimizers, which are known to be ineffective in deep learning [24].

# 3. Proposed Method

In this section, we propose **delayed momentum aggregation**, which is to apply the robust aggregator not only to the momentum of sampled clients but also to the cached momentum of non-sampled clients. Then, we propose a delayed momentum aggregation-based optimizer D-Byz-SGDM, which is Byzantine-robust even if only a subset of clients participate in each round. Formally, let  $x^t$  denote the global model parameter maintained by the server at round t. The server then updates it using delayed momentum aggregation as follows:

$$x^t = x^{t-1} - \eta \, \operatorname{Agg}\left(\{m_i^t\}_{i \in \mathcal{S}_t} \cup \{m_i^{t-\tau(i,t)}\}_{i \in [n] \setminus \mathcal{S}_t}\right), \qquad \text{(delayed momentum aggregation)}$$

where each  $m_i^t$  represents a local momentum estimate, and  $\tau(i,t)$  denotes the (possibly stochastic) delay since client i's last update was received. This design maintains that  $Agg(\cdot)$  consistently sees the global Byzantine fraction  $\delta < 1/2$ , ensuring robustness even with partial participation.

As a concrete special case of the main idea, we propose a new method, D-Byz-SGDM, whose full update rule appears in Algorithm 1 in Appendix B. In each round t, the server independently samples each client with probability p (i.e.,  $z^t \sim \text{Ber}(p)^{\otimes n}$  and  $\mathcal{S}_t = \{i : z_i^t = 1\}$ ). The selected clients refresh their momentum, while non-selected clients retain their cached value:

$$m_i^t = \begin{cases} (1 - \alpha)m_i^{t-1} + \alpha \nabla f_i(x^{t-1}, \xi_i^{t-1}), & i \in \mathcal{S}_t, \\ m_i^{t-1}, & i \notin \mathcal{S}_t, \end{cases}$$

where  $\alpha \in (0,1]$  is the client momentum parameter. Note that each client i is included in  $\mathcal{S}_t$  with probability p. Importantly, D-Byz-SGDM introduces no extra communication overhead. The server simply maintains one vector  $m_i^t$  per client while reusing cached momentum for non-sampled clients, resulting in a memory requirement matching the full participation setting.

# 4. Experiments

We evaluated D-Byz-SGDM under various Byzantine attacks with partial participation (p=0.5) by training a convolutional network on MNIST and a ResNet-18 on CIFAR-10 across IID and non-IID data partitions. We compared four optimizers (FedAvg, FedAvgM, D-Byz-SGDM, and the heuristic momentum extension of Byz-VR-MARINA-PP from Malinovsky et al. [51]) with five robust aggregators under six Byzantine attacks. FedAvg [52] performed single-step SGD per client followed by server-side aggregation, while FedAvgM [16] extended this with client-side momentum ( $\beta=0.9$ ). In our setting, the standard averaging step in four optimizers was replaced by robust aggregation rules, allowing us to assess performance under Byzantine attacks. Our implementation extended Karimireddy et al. [41]'s codebase<sup>1</sup> with attacks from the ByzFL framework [30] and

<sup>1.</sup> https://github.com/epfml/byzantine-robust-noniid-optimizer

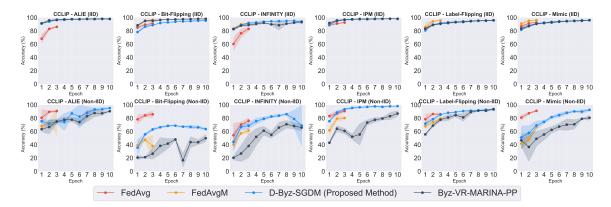


Figure 1: MNIST training dynamics under centered clipping (CCLIP) across six Byzantine attacks. D-Byz-SGDM remained stable and achieved the highest accuracy, while FedAvg/FedAvgM diverged when a Byzantine majority was sampled.

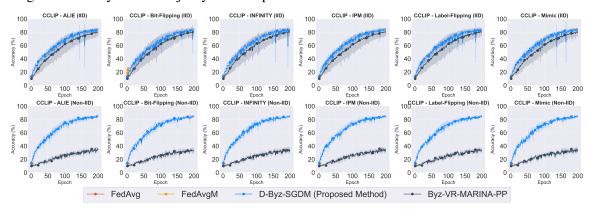


Figure 2: CIFAR-10 (ResNet-18) training dynamics under centered clipping (CCLIP) across six Byzantine attacks. D-Byz-SGDM remained stable and achieved the highest accuracy, whereas FedAvg/FedAvgM collapsed rapidly once a Byzantine majority was sampled and stalled as early as epoch 4.

additional support for CIFAR-10/ResNet-18 training. Appendix C provided complete experimental details.

**Hyperparameter selection.** For each optimizer (FedAvg, FedAvgM, D-Byz-SGDM) we tuned a global learning rate  $\eta$  over the grid  $\{0.1, 0.01, 0.001\}$ . Byz-VR-MARINA-PP required tuning both  $\eta$  and the clipping radius  $\lambda \in \{10.0, 1.0, 0.1\}$ . Every configuration was evaluated over seeds  $\{0, 1, 2\}$ , and we selected the setting with the highest mean validation accuracy for reporting in both the non-Byzantine and Byzantine settings.

# 4.1. Byzantine Robustness with Partial Participation (Main Result)

We analyzed partial participation (p=0.5) with n=25 total clients of which 20% were Byzantine  $(\delta=0.2)$ . All plots in this subsection used centered clipping (CCLIP) [40] as the server-side aggregator.

**Key findings.** Figures 1 and 2 demonstrate the performance of algorithms with the CCLIP aggregator under Byzantine attacks with partial participation (p = 0.5). Our experiments reveal three critical insights: (1) D-Byz-SGDM consistently achieved the highest final accuracy across all settings. On MNIST IID (upper half of Fig. 1), both D-Byz-SGDM and Byz-VR-MARINA-PP achieved near-perfect accuracy, while FedAvq and FedAvqM diverged after three epochs. On CIFAR-10 with ResNet-18 (upper half of Fig. 2), D-Byz-SGDM sustained 80–85% accuracy across all attack types. (2) Non-IID data exposed critical algorithmic differences. On non-IID MNIST (lower half of Fig. 1), Byz-VR-MARINA-PP exhibited high variance and unstable convergence, while D-Byz-SGDM maintained consistent performance. The disparity was dramatic on non-IID CIFAR-10 (lower half of Fig. 2): Byz-VR-MARINA-PP catastrophically failed (20-35% accuracy), whereas D-Byz-SGDM maintained 80–85% accuracy. The delayed momentum aggregation principle proved crucial. While standard methods failed when a Byzantine majority was sampled,<sup>2</sup> D-Byz-SGDM maintained stable convergence. (3) The approach generalizes across aggregators. Similar trends held across other aggregators (avq, krum, cm, rfa) and both datasets, with FedAvq and FedAvgM performing poorly in both IID and non-IID settings (FedAvgM showed marginal improvements only in specific attacks like Bit-Flipping); see Appendix D for the full set of figures.

# 4.2. Baseline Performance without Byzantine Clients

We also examined the non-Byzantine setting ( $\delta=0$ ) to establish baseline performance. The setup used n=20 clients with the avg aggregator. Detailed figures and discussion are deferred to Appendix C (Baseline Performance Evaluation), where Figs. 3 and 4 present the full results.

### 5. Conclusion

We proposed *delayed momentum aggregation*, a principle where servers aggregate fresh gradients from participating clients with the most recently received momentum from non-participating clients. Our D-Byz-SGDM optimizer delivers Byzantine-robust training under partial participation, and experiments show consistent improvements over existing methods across various attacks and data distributions. The delayed momentum aggregation principle opens promising avenues for extension to other client selection schemes [15, 17, 28, 29, 48] beyond Bernoulli sampling. While the empirical evidence is encouraging, a complete theoretical analysis of convergence remains open and will be pursued in future work.

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<sup>2.</sup> With p = 0.5, if many Byzantines were sampled together, they could overwhelm the aggregation.

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# Appendix A. Related Work

**Byzantine-robust FL under full participation.** Classical defenses replace naive averaging by robust aggregation rules such as Krum [11], coordinate-wise median and trimmed-mean [12], and geometric-median-based RFA [61]; meta-rules like Bulyan further reduce adversarial leverage [53]. Yet these per-round defenses can be vulnerable to time-coupled attacks that inject small, undetectable biases which accumulate across rounds [9, 70]. A key development is to leverage history: Karimireddy et al. [40] formalize such time-coupled failures and prove that momentum (together with robust aggregation) provably restores convergence; subsequent works refine the momentum view and resilient averaging [26]. Heterogeneity (non-IID client data) exacerbates the problem: bucketing [41] and nearest-neighbor mixing (NNM) [7] are pre-aggregation mechanisms that systematically adapt IID-optimal rules (e.g., Krum, median, RFA) to the heterogeneous regime, closing gaps between achievable rates and lower bounds. Beyond aggregation, algorithmic alternatives include coding-theoretic redundancy (DRACO) [14] and filtering for non-convex objectives [5, 6]. Complementing these meta-aggregation approaches that assume full participation, Dahan and Levy [19] propose an efficient Centered Trimmed Meta-Aggregator (CTMA) that upgrades base robust aggregators to order-optimal performance at near-averaging cost, and couples it with a doublemomentum estimator to obtain convex SCO guarantees in synchronous (full-participation) settings.

Partial participation, and local updates. Partial participation makes robustness strictly harder because the sampled set occasionally contains a Byzantine majority. Early theory coupling Byzantine robustness with local steps shows that convergence can be ensured only when the sampled cohort has a sufficiently large honest fraction at each synchronization—e.g.,  $\varepsilon \le 1/3$  corrupted among the K active clients [23, Thm. 1], an assumption strained by client sampling. The interaction between client sampling, multiple local steps, and robust aggregation has since been analyzed in detail by Allouah et al. [8], who quantifies how client sampling reshapes the effective number of Byzantine clients and shows regimes where standard robust aggregators suffice; however, these schemes omit momentum and do not mitigate time-coupled drift. The concurrent line on variance reduction shows another path: by coupling robust aggregation with gradient-difference clipping and periodic anchor steps, Malinovsky et al. [51] proves tolerance even when a sampled round is entirely Byzantine, at the cost of periodic heavier steps. From a statistical-efficiency angle, protocols with near-optimal rates under full participation have been derived via modern robust statistics [77], and recent work explores communication compression jointly with robustness [31, 64].

Asynchrony, delayed gradients, and relevance to our staleness mechanism. Analysis of asynchronous SGD (ASGD) formalizes *delayed/stale* gradients and shows that delays can be controlled via delay-aware stepsizes [44, 55]. In the *Byzantine asynchronous* regime, recent work Dahan and Levy [18] develops a *weighted* robust-aggregation framework and, combined with a double-momentum estimator, proves optimal convergence in the smooth *convex homogeneous* (i.i.d.) setting [18]. Importantly for assumptions, Dahan and Levy [18, 19]'s analysis (both asynchronous and synchronous) operates over a *compact* feasible set (bounded diameter), which is stricter than the bounded-gradient conditions commonly adopted in FL theory.

Our setting is not asynchronous; nevertheless, partial participation induces *server-side staleness* because non-sampled clients contribute historical (per-client) gradients. This places our analysis close to the ASGD toolbox while tackling a distinct failure mode (occasional Byzantine-majority samples under subsampling) without trusted validation data. Technically, we leverage *per-client* 

Algorithm 1: Optimizer with delayed momentum aggregation: D-Byz-SGDM

```
Require: initial vectors x^0, m^0, stepsize \eta, momentum parameter \alpha, robust aggregator Agg,
             client sampling probability p \in (0, 1]
Initialize m_i^0 and \tau(i,0) \leftarrow 0 for all i \in [n];
for t = 1, 2, ... do
     Sample S_t \subseteq [n] by including each i \in [n] independently with prob. p;
     Server broadcasts x^{t-1} to all i \in \mathcal{S}_t;
     foreach i \in \mathcal{S}_t in parallel do
          Draw \xi_i^{t-1} \sim \mathcal{D}_i and compute m_i^t \leftarrow (1-\alpha)m_i^{t-1} + \alpha \nabla F_i(x^{t-1}; \xi_i^{t-1});
          Send m_i^t to server;
     end
     foreach i \notin S_t (on server) do
         Update m_i^t \leftarrow m_i^{t-1};
     end
    m^t \leftarrow \mathrm{Agg}\Big(\{m_i^t\}_{i \in \mathcal{S}_t} \ \cup \ \{m_i^t\}_{i \notin \mathcal{S}_t}\Big) \ / / \ \text{delayed momentum aggregation}
    x^t \leftarrow x^{t-1} - n m^t:
end
```

stale gradients to preserve a history-coupled (global) momentum across rounds, complementing weighted robust aggregation in the asynchronous literature [18].

Relative to prior momentum-based defenses [26, 40] and heterogeneity fixes [7, 41], we study the regime where clients refresh stochastically and adversaries can transiently comprise the sampled majority. Compared to variance reduction-based approaches [51], our method avoids periodic full/anchor gradient computations.

# Appendix B. Algorithm Details

We present the detailed algorithm for D-Byz-SGDM (Delayed Byzantine-robust SGD with Momentum), which implements our delayed momentum aggregation principle. The key idea is to apply the robust aggregator not only to the momentum of sampled clients but also to the cached momentum of non-sampled clients, ensuring that the aggregator consistently sees the global Byzantine fraction  $\delta < 1/2$  even under partial participation.

In each round t, the server independently samples each client with probability p (i.e.,  $z^t \sim \text{Ber}(p)^{\otimes n}$  and  $\mathcal{S}_t = \{i : z_i^t = 1\}$ ). The selected clients refresh their momentum using:

$$m_i^t = \begin{cases} (1 - \alpha)m_i^{t-1} + \alpha \nabla f_i(x^{t-1}, \xi_i^{t-1}), & i \in \mathcal{S}_t, \\ m_i^{t-1}, & i \notin \mathcal{S}_t, \end{cases}$$

where  $\alpha \in (0,1]$  is the client momentum parameter. Non-selected clients retain their cached momentum values from previous rounds.

The server then performs delayed momentum aggregation by applying the robust aggregator Agg to the union of fresh momentum from sampled clients and cached momentum from non-

sampled clients:

$$m^t = \operatorname{Agg}\left(\{m_i^t\}_{i \in \mathcal{S}_t} \cup \{m_i^t\}_{i \notin \mathcal{S}_t}\right)$$

This design ensures that even when partial participation might lead to a Byzantine majority among sampled clients, the aggregator always operates on the full set of clients (fresh and cached), maintaining robustness.

To see how this corresponds to the delayed momentum aggregation principle, note that the delay function  $\tau(i,t)$  represents the number of rounds since client i's momentum was last updated. Formally:

$$\tau(i,t) = \min\{s \ge 0 : i \in \mathcal{S}_{t-s}\}\$$

This is a random variable that depends on the sampling history. When  $i \in \mathcal{S}_t$ , we have  $\tau(i,t) = 0$  (fresh update), and when  $i \notin \mathcal{S}_t$ , we have  $\tau(i,t) > 0$  (stale update). The algorithm effectively implements:

$$x^{t} = x^{t-1} - \eta \operatorname{Agg}\left(\{m_{i}^{t}\}_{i \in \mathcal{S}_{t}} \cup \{m_{i}^{t-\tau(i,t)}\}_{i \in [n] \setminus \mathcal{S}_{t}}\right)$$

where for non-sampled clients,  $m_i^{t-\tau(i,t)}$  is their most recent momentum update, which is exactly what we store as  $m_i^t$  in the algorithm.

Importantly, D-Byz-SGDM does not incur additional communication costs compared to standard partial participation methods: the server only queries sampled clients and stores one momentum vector  $m_i^t$  per client, matching the memory requirements of full participation settings.

# **Appendix C. Additional Experimental Details**

# C.1. Common Experimental Settings

All experiments covered two vision workloads: MNIST with a convolutional neural network architecture (CONV-CONV-DROPOUT-FC-DROPOUT-FC) and CIFAR-10 with a standard ResNet-18. Training employed cross-entropy (negative log-likelihood) loss with batch size 32 per client and client participation probability p=0.5. We evaluated both IID and non-IID data partitions, with the latter following the class-based approach of Karimireddy et al. [41]. Four optimizers were compared: FedAvg, FedAvgM, D-Byz-SGDM, and the heuristic momentum extension of Byz-VR-MARINA-PP (with  $\lambda \in \{10.0, 1.0, 0.1\}$ ) introduced in [51], all using momentum parameter  $\alpha=0.9$  where applicable. Training ran for 10 epochs (300 iterations total) for MNIST and 200 epochs for CIFAR-10, with results averaged over seeds  $\{0,1,2\}$ . For each optimizer we tuned the learning rate  $\eta \in \{0.1, 0.01, 0.001\}$ ; additionally Byz-VR-MARINA-PP tuned the clipping radius  $\lambda \in \{10.0, 1.0, 0.1\}$ . We selected the configuration with the highest mean validation accuracy across the three seeds for both the non-Byzantine and Byzantine experiments. Tables 1–4 provided complete configuration details.

#### C.2. Baseline Performance Evaluation

This experiment established baseline performance under partial participation without Byzantine clients across both MNIST (ConvNet) and CIFAR-10 (ResNet-18). We used n=20 clients with no Byzantine clients ( $\delta=0$ ) and naive averaging aggregation. The objective was to validate that D-Byz-SGDM maintains competitive performance in non-Byzantine settings and to establish reference performance levels for subsequent robustness comparisons. Results in Figs. 3 and 4

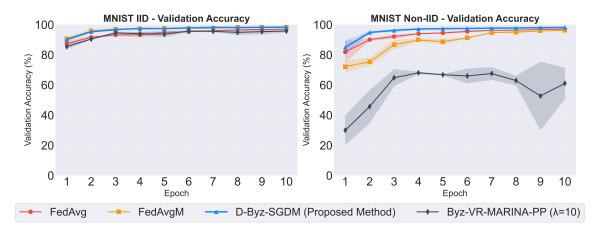


Figure 3: MNIST (non-Byzantine) training dynamics across optimizers. All methods reached near-saturated IID accuracy, yet D-Byz-SGDM retained a clear margin in the non-IID split, indicating that delayed momentum aggregation mitigated heterogeneity-induced drift even without Byzantine clients.

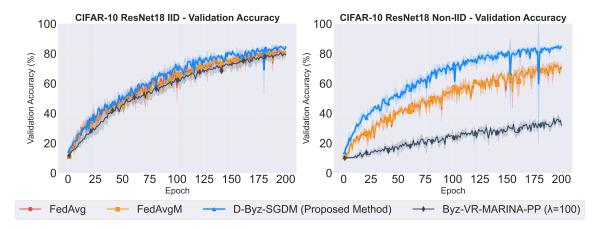


Figure 4: CIFAR-10 (ResNet-18, non-Byzantine) training dynamics across optimizers. D-Byz-SGDM converged faster and finished 5–10 points higher than momentum baselines on both IID and non-IID partitions, whereas Byz-VR-MARINA-PP remained far below the other methods throughout training.

demonstrated that D-Byz-SGDM outperformed standard momentum methods on both MNIST and CIFAR-10 even without adversaries, suggesting that delayed momentum aggregation provided implicit regularization benefits under heterogeneous data distributions.

#### C.3. Byzantine Robustness Assessment

This experiment evaluated robustness against Byzantine attacks under partial participation on both datasets (MNIST with the ConvNet backbone and CIFAR-10 with ResNet-18). We configured n=25 clients with 5 Byzantine clients (20%). Five robust aggregators were evaluated: Krum, coordinate-wise median, CCLIP (centered clipping), RFA, and naive averaging as baseline. The experimental design included both IID and non-IID data partitions, with bucketing applied in the

Table 1: MNIST (non-Byzantine) configuration used in Fig. 3.

Dataset	MNIST (IID and non-IID partitions)
Model	CONV-CONV-DROPOUT-FC-DROPOUT-FC
Clients	n=20 (all honest)
Participation	p = 0.5 (partial participation)
Aggregator	avg
Batch size	32 per client
Training horizon	10 epochs (300 rounds)
Optimizers	FedAvg, FedAvgM, D-Byz-SGDM, Byz-VR-MARINA-PP
Learning-rate tuning	grid search on $\{0.1, 0.01, 0.001\}$
Byz-VR-MARINA-PP tuning	joint grid search $\eta \in \{0.1, 0.01, 0.001\}, \lambda \in \{10.0, 1.0, 0.1\}$
Seeds	$\{0, 1, 2\}$
Attacks	none

Table 2: MNIST (Byzantine) configuration used in Fig. 1.

Dataset	MNIST (IID and non-IID with bucketing $s = 2$ )
Model	CONV-CONV-DROPOUT-FC-DROPOUT-FC
Clients	$n=25$ (20 honest, 5 Byzantine; $\delta=0.2$ )
Participation	p = 0.5 (partial participation)
Aggregators	avg, krum, cm, CCLIP, rfa
Batch size	32 per client
Training horizon	10 epochs (300 rounds)
Attacks	BF, LF, mimic, IPM, ALIE, INF
Optimizers	FedAvg, FedAvgM, D-Byz-SGDM, Byz-VR-MARINA-PP
Learning-rate tuning	grid search on $\{0.1, 0.01, 0.001\}$
Byz-VR-MARINA-PP tuning	joint grid search $\eta \in \{0.1, 0.01, 0.001\}, \lambda \in \{10.0, 1.0, 0.1\}$
Seeds	$\{0, 1, 2\}$

Notation: avg=naive average, krum=Krum [11], cm=coordinate-wise median, CCLIP=centered clipping [40], rfa=geometric median (RFA) [61].

Byzantine non-IID setting to mitigate extreme heterogeneity. This comprehensive evaluation spanned 6,480 total experimental runs across all combinations of attacks, aggregators, optimizers, data partitions, and random seeds (3,240 runs per dataset).

# C.4. Non-IID data partition

We constructed the non-IID split following Karimireddy et al. [41] in the *balanced* case: (i) sorted the training sets by label; (ii) split it into G equal, contiguous shards (where G is the number of good/honest clients); (iii) assigned one shard to each honest client and shuffle examples within each client. We partitioned the test set analogously.

# **C.5.** Computing Environment

Experiments ran on NVIDIA A100-SXM4-80GB GPUs (CUDA 12.2) and AMD EPYC 7763 CPUs. Table 5 provides detailed hardware and software specifications.

Table 3: CIFAR-10 (non-Byzantine) configuration used in Fig. 4.

Dataset	CIFAR-10 (IID and non-IID partitions)
Model	ResNet-18
Clients	n = 20 (all honest)
Participation	p = 0.5 (partial participation)
Aggregator	avg
Batch size	32 per client
Training horizon	200 epochs
Optimizers	FedAvg, FedAvgM, D-Byz-SGDM, Byz-VR-MARINA-PP
Learning-rate tuning	grid search on $\{0.1, 0.01, 0.001\}$
Byz-VR-MARINA-PP tuning	joint grid search $\eta \in \{0.1, 0.01, 0.001\}, \lambda \in \{10.0, 1.0, 0.1\}$
Seeds	$\{0, 1, 2\}$
Attacks	none

Table 4: CIFAR-10 (Byzantine) configuration used in Fig. 2.

Dataset	CIFAR-10 (IID and non-IID with bucketing $s = 2$ )
Model	ResNet-18
Clients	$n=25$ (20 honest, 5 Byzantine; $\delta=0.2$ )
Participation	p = 0.5 (partial participation)
Aggregators	avg, krum, cm, CCLIP, rfa
Batch size	32 per client
Training horizon	200 epochs
Attacks	BF, LF, mimic, IPM, ALIE, INF
Optimizers	FedAvg, FedAvgM, D-Byz-SGDM, Byz-VR-MARINA-PP
Learning-rate tuning	grid search on $\{0.1, 0.01, 0.001\}$
Byz-VR-MARINA-PP tuning	joint grid search $\eta \in \{0.1, 0.01, 0.001\}, \lambda \in \{10.0, 1.0, 0.1\}$
Seeds	$\{0, 1, 2\}$

Notation: avg=naive average, krum=Krum [11], cm=coordinate-wise median, CCLIP=centered clipping [40], rfa=geometric median (RFA) [61].

# Appendix D. Extended Results

**Per-aggregator curves with Byzantine clients.** This section complemented Figs. 1 and 2 by showing training dynamics for the other robust aggregators across the same attacks, data partitions, and optimizers on MNIST (ConvNet) and CIFAR-10 (ResNet-18).

Table 5: Runtime hardware and software.

CPU Model name	AMD EPYC 7763 64-Core Processor
# CPU(s)	128
GPU	
Product Name	NVIDIA A100-SXM4-80GB
CUDA Version	12.2
PyTorch	
Version	2.7.1

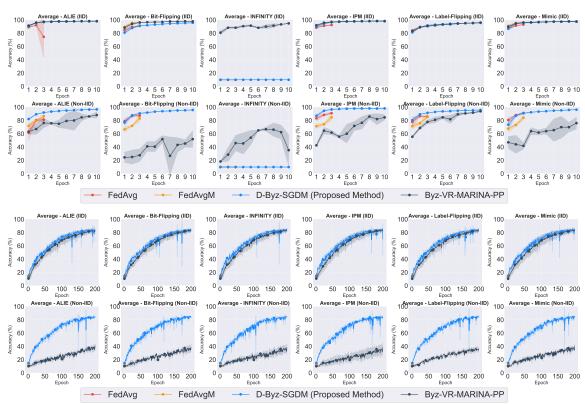


Figure 5: avg (naive average) under Byzantine attacks with partial participation. Top: MNIST. Bottom: CIFAR-10.

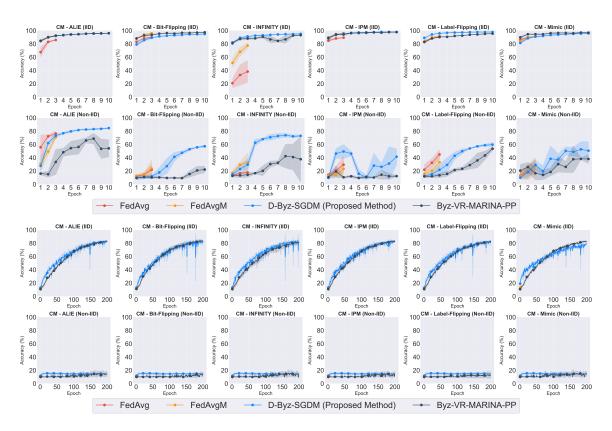


Figure 6: cm (coordinate-wise median) under Byzantine attacks with partial participation. Top: MNIST. Bottom: CIFAR-10.

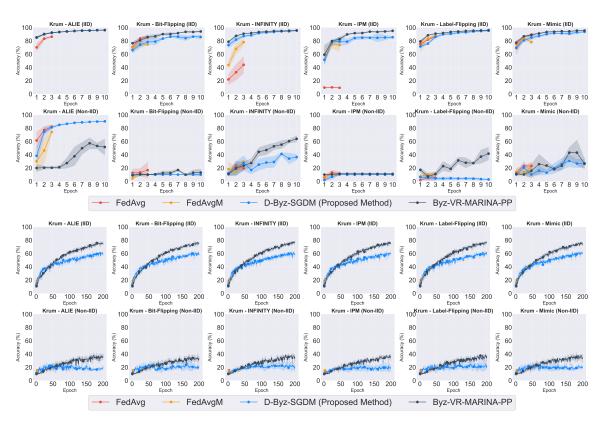


Figure 7: krum / Multi-Krum under Byzantine attacks with partial participation. Top: MNIST. Bottom: CIFAR-10.

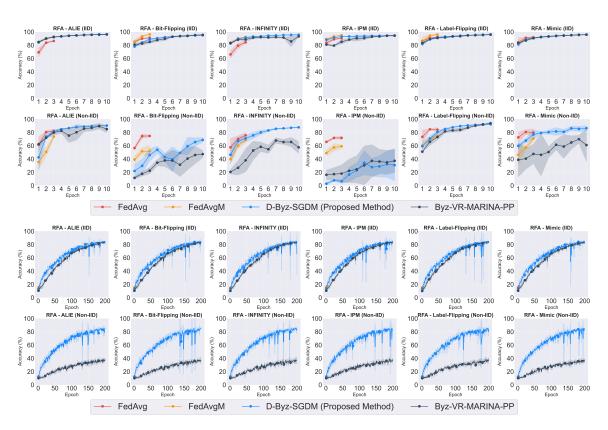


Figure 8: rfa (Robust Federated Averaging) under Byzantine attacks with partial participation. Top: MNIST. Bottom: CIFAR-10.