# AN EMPIRICAL STUDY OF MULTIPLE MASKING IN MASKED AUTOENCODER

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### ABSTRACT

The performance of masked autoencoders hinges significantly on masking, prompting considerable efforts towards devising superior masking strategies. However, these strategies mask only once and employ masking directly on the input image. Afterward, inspired by the flexibility of masking, subsequent works introduce two rounds of masking. Unfortunately, all initiatives primarily focus on enhancing model performance, lacking an in-depth and systematical understanding of multiple masking for masked autoencoder. To bridge this gap, this work introduce a masked framework with multiple masking stages, termed Conditional MAE, where subsequent maskings are conditioned on previous unmasked representations, enabling a more flexible masking process in masked image modeling. By doing so, our study sheds light on how multiple masking affects the optimization in training and performance of pretrained models, e.g., introducing more locality to models, and summarizes several takeaways from our findings. Finally, we empirically evaluate the performance of our best-performing model (Conditional-MAE) with that of MAE in three folds including transfer learning, robustness, and scalability, demonstrating the effectiveness of our multiple masking strategy. We also follow our takeaways and show the generalizability to other heterogeneous networks including SimMIM and ConvNeXt V2. We hope our findings will inspire further work in the field and release the code at https: //anonymous.4open.science/r/conditional-mae-512C.

# 1 INTRODUCTION

Masked autoencoder (MAE) (He et al., 2021) has recently emerged prominently in the field of self-supervised learning (Bao et al., 2021; He et al., 2021; Chen et al., 2021). One of the most representative work, MAE, which partitions an image into visible patches and masked ones, and predict the masked patches from visible ones in RGB space, has gained vast attention from community.

A crucial element of the masked autoencoder is masking, e.g., how (where) to mask and how much to mask, which directly impacts model's performance. Thus, follow-up work develops various masking strategies categorized into four different types by a recent survey (Li et al., 2023b) including Hard 040 Sampling (Kakogeorgiou et al., 2022; Li et al., 2022a; Wang et al., 2023a; Hou et al., 2022; Wu & Mo, 041 2022), e.g., guided by attention (Kakogeorgiou et al., 2022), Mixture (Chen et al., 2023b; Liu et al., 042 2022a; Zhang & Shen, 2022), e.g., by mixing different images (Chen et al., 2023b; Liu et al., 2022a), 043 Adversarial (Shi et al., 2022; Chen et al., 2023a), e.g., by introducing adversarial learning (Shi et al., 044 2022), and Contextual Masking (Li et al., 2022b; Chen et al., 2022a) e.g., using local window (Chen et al., 2022a). Basically, these works mostly mask once and mask only on the input image and focus on how to further improve the performance. 046

Intuitively, masking is a flexible operation that can be performed at different stages (*e.g.*, the input image and different levels of representations) and with different ratios. Following this line, UnMAE (Li et al., 2022b) introduces two rounds of masking but still perform on the input image. VideoMAE v2 (Wang et al., 2023b) introduces dual masking but primarily focuses on reducing computational costs. A<sup>2</sup>MIM (Li et al., 2023a) proposes to mask intermediate features from PatchEmbed layer following MAE (He et al., 2021). Though these initiatives have effectively reduced computational costs or enhanced model performance, these efforts have gone only so far, lacking an in-depth and systematical analysis of multiple rounds of masking for masked autoencoder. Hence, a question

naturally arises: How does multiple masking impact the optimization of the masked autoencoder in both training and performance?

To answer this question, this work presents a framework called Conditional MAE, which aims to explore the impact of multiple rounds of masking in the training process and performance. In Conditional MAE, subsequent maskings are conditioned on previous unmasked representations, enabling more flexible masking on different granularities of inputs. Based on it, we progressively conduct a thorough empirical study about multiple masking to address three critical questions: 1) *where to mask*, 2) *how much to mask*, and 3) *what's the impact?* In our experiments, we investigate one, two, and three-shot masking <sup>1</sup>, where each round of masking is considered a shot. Our results highlight several key takeaways from each shot:

In the one-shot case, we find that masking at the beginning is always beneficial for task performance.
Moreover, it is critical to find a suitable mask ratio. Generally, though the model size is different, *e.g.*, ViT-S and ViT-B, 75% mask ratio is firstly recommended.

In the two-shot case, building on the best one-shot setting, increasing the interval of two-shot masking with a large ratio followed by a small ratio is helpful for fine-tuning. Additionally, our experiments strongly suggest that there may not exist a positive relationship between linear probing and fine-tuning. Finally, the second masking brings locality bias into the model and helps capture low-level features, especially for fine-grained classification

• In the three-shot case, we find that using a greedy-like masking selection strategy, which uses the best two-shot setting as a starting point, is superior to other three-shot strategies. Simultaneously, the third masking brings more locality into models than two-shot case.

Based on the above results of our empirical experiments, we select the best-performing model (Conditional-MAE) and evaluate its transferability to downstream tasks, including image classification, object detection, and semantic segmentation. We also verify its robustness to noisy inputs, *e.g.*, random occlusion and shuffling, and empirically demonstrate its scalability. Besides, we follow our takeaways and evaluate the generalizability to other network architectures including SimMIM (Xie et al., 2022) and ConvNeXt V2 (Woo et al., 2023).

Note that in this work, we are not to propose a state-of-the-art method, but to enhance both the
 understanding and performance of MAE by exploring the potential of masking and to inspire future
 work. Our contributions are three-fold:

• Building on our proposed flexible framework, *i.e.*, Conditional MAE, our primary contribution lies in the first in-depth and comprehensive analysis of how multiple masking influences model optimization from the various aspects including performance comparison, loss, representation, attention map, *etc*.

• Through extensive empirical experiments on multiple masking, we provide several key takeaways from each shot as shown above. More importantly, we observe a key phenomenon that multiple masking is capable of introducing locality bias to models.

• We demonstrate the superiority of our Conditional-MAE over MAE in downstream transfer, robustness against occlusion and shuffling, and scalability. We also show the generalizability to other network architectures.

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# 2 CONDITIONAL MAE

## 2.1 PRELIMINARIES

Given an image, MAE first partitions it into N patches  $P = \{P^1, P^2, \dots, P^N\}$  that are randomly categorized into two parts, *i.e.*, visible patches  $P_v = \{P_v^1, P_v^2, \dots, P_v^{N_1}\}$  and masked patches  $P_m = \{P_m^1, P_m^2, \dots, P_m^{N_2}\}$ , with a pre-define ratio  $\eta_1 (N_2 = \eta_1 * N \text{ and } N_1 + N_2 = N)$ . Then,  $P_v$  are feed into *Encoder* that outputs corresponding patch representations  $Z_v = \{z_v^1, z_v^2, \dots, z_v^{N_1}\}$ . Finally,  $Z_v$  along with learnable mask token [MASK]<sup>2</sup> are sent into *Decoder* to predict masked

<sup>&</sup>lt;sup>1</sup>Note that we do not study more shots as it is inferior to three-shot masking in our preliminary experiments. <sup>2</sup>We omit the operation of adding position embedding for description convenience.



Figure 1: An overview of our Conditional MAE. For convenience, we follow MAE (He et al., 2021) and use random masking for each shot.  $N_1$ ,  $N_3$ , and  $N_5$  indicate the number of unmasked patches or 119 representations. In Sec 3.3, we also transfer our conditional framework to other model structures. It is worth mentioning that we do not alter model structures.

patches in RGB space.  $P_m$  is served as the supervision signal. The whole process is formulated as:

$$Z_v = Encoder(P_v), \tag{1}$$

$$\widehat{P}_m = Decoder(Z_v, [MASK]), \qquad (2)$$

$$\mathcal{L} = MSE(\widehat{P}_m, P_m), \qquad (3)$$

where MSE is the mean square error loss function.

#### 130 2.2 CONDITIONAL MAE

Our Conditional MAE is derived from MAE and able to perform multiple shots masking on MAE as 132 shown in Fig 1. We take *two-shot masking* for example to elaborate why we call it Conditional MAE. 133 The first masking is implemented on RGB space with a pre-defined mask ratio  $\eta_1$  on image patches, 134 which is what MAE does. Afterward, the second masking is *conditioned* on previous unmasked 135 representations on a given layer of the encoder, e.g., j. Thus, for visible patch representations 136  $Z_v^{j^*}$  (output from the j<sup>\*</sup>-th layer of the encoder,  $j^* = j - 1$ ), Conditional MAE mask part of 137 them with another pre-defined masking ratio  $\eta_2$ . We denote the left visible patch representations as  $Y_v^{j^*} = \{y_v^1, y_v^2, \dots, y_v^{N_3}\}$  and the masked patch representations as  $Y_m^{j^*} = \{y_m^1, y_m^2, \dots, y_m^{N_4}\}$  $(N_3 + N_4 = N_1 \text{ and } N_4 = \eta_2 * N_1)$ . Additionally, we collect the visible patches corresponding to  $Y_m^{j^*}$  from  $P_v$ , denote them as  $P_m^{j^*} = \{P_m^1, P_m^2, \dots, P_m^{N_4}\}$ , and merge them with  $P_m$  as  $\{P_m, P_m^{j^*}\}$  $(||\{P_m, P_m^{j^*}\}|| = N_2 + N_4)$  as our new reconstruction target. Therefore, for two-shot masking, the 138 139 140 141 142 whole process can be formulated as: 143

$$Z_v^{j^*} = Encoder_{0 \to j^*}(P_v), \qquad (4)$$

$$Y_v^{j^*}, Y_m^{j^*} = Mask(Z_v^{j^*}, \eta_2),$$
 (5)

$$Z_v = Encoder_{i \to 11}(Y_v^{j^*}), \tag{6}$$

$$\widehat{P}_m = Decoder(Z_v, [MASK]), \qquad (7)$$

$$\mathcal{L} = MSE(\widehat{P}_m, \{P_m, P_m^{j^*}\}), \qquad (8)$$

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where  $Encoder_{0 \rightarrow j^*}$  means that the input passes through 0-th layer of the encoder and is outputted 152 from  $j^*$ -th layer. 153

Compared with MAE, due to the Mask function, the main discrepancies lie in Eq (6) and Eq (8). We 154 need to reconstruct two targets, *i.e.*,  $P_m$  and  $P_m^{j^*}$ , with *less* visible patch representations. Note that this process cannot be bridged by increasing mask ratio  $\eta_1$  of MAE to remove more visible patches. 156 We explain it below. For  $P_m$ , similar to MAE, it has never been seen by the encoder and thereby 157 we need *infer* it via visible patch representations  $Y_v^{j^*}$ . For  $P_m^{j^*}$ , it has been seen by partial encoder 158 (*i.e.*, layers before j), resulting in its information involved in  $Y_v^{j^*}$  via attention-manner interaction 159 between  $Y_v^{j^*}$  and  $Y_m^{j^*}$  before *j*-th layer. We reconstruct the patches  $P_m^{j^*}$  primarily *conditioned* on 160 the "borrowed" information involved in  $Y_v^{j*}$  via the interaction above. This is easily generalized to 161 multiple shots. Particularly, in the two-shot showcase, if j is set to 0 or  $\eta_2$  is 0, Conditional MAE is



Figure 2: Results of one-shot masking on ViT-S/16.

reduced to MAE. if  $\eta_1$  (the first mask ratio) is 0, our Conditional MAE is still established with only reconstruction of  $P_m$  removed.

3 EXPERIMENT

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#### 3.1 MULTIPLE SHOTS MASKING 178

In our study, we investigate the Conditional MAE in three different settings by pretraining on 179 ImageNet100: one-shot masking, two-shot masking, and three-shot masking. We do not explore 180 settings with more shots, as preliminary experiments have shown them to be inferior to three-shot. For 181 ease of description, we denote the three mask ratios as  $\eta_1, \eta_2, \eta_3$ , and the corresponding layer indexes 182 as i, j, k, respectively, where masking is applied before inputting. Considering our Conditional MAE 183 is derived from MAE, we fix i = 0 to match with MAE. Through exhaustive experiments conducted below, we aim to address three key questions: where to mask, how much to mask, and what is the 185 *impact?* For training details, please refer to the Appendix A.1.

187 3.1.1 ONE-SHOT MASKING

188 In the one-shot setting, we only mask patch tokens in the encoder once, allowing us to examine 189 the impact of different mask positions and mask ratios on encoder performance. Specifically, 190 for mask positions, we consider four positions at equal intervals: the 0-th, 3-th, 6-th, and 9-th 191 layer of encoder blocks, denoted as (i, j, k) = (0, 0/3/6/9, 0). We exclude the 12-th layer as it 192 cause a denoise autoencoder to degenerate into a vanilla one. Regarding mask ratios, we carefully 193 select two representative ratios used in MAE (He et al., 2021), namely 0.75 and 0.9, denoted as  $(\eta_1, \eta_2, \eta_3) = (0, 0.75/0.9, 0)^3$ . The reasons are two-fold: 0.75 is widely used in MAE; For 0.9, 194 previous work (Riquelme et al., 2021) has shown that even using 10% patch features can still yield 195 competitive performance in visual recognition. The results on ViT-S/16 are illustrated in Fig 2. 196

197 It has been observed that masking at the beginning position (j = 0) is beneficial for both linear probing and fine-tuning. Conversely, we also notice a significant drop in performance for linear probing when masking is applied at the other positions. This implies that the representations 199 encoded by the fixed encoder at j = 0 are relatively more distinguishable while other encoders learn 200 comparatively less knowledge compared to the encoder at j = 0, which could be attributed to the 201 information leakage from attention interaction. To support this speculation, we visualize the training 202 loss curves of pretraining and linear probing and t-SNE of output representation in Appendix A.2.1. 203

204 Finally, to investigate the impact of mask ratio on models of different sizes, we 205 also conduct experiments on ViT-B/16 206 and present the results in Tab 1. Inter-207 estingly, we observe that a mask ratio of 208 0.75 enhances the performance of ViT-209 B/16 compared to a mask ratio of 0.9, 210 which is similar to ViT-S/16. Moreover, 211 our results are consistent with MAE (He 212 et al., 2021) trained on ImageNet1k (Rus-213 sakovsky et al., 2015) with best mask ratio 75%.

Table	1:	Comparisons	on	ViT-S/16	and	ViT-B/16	with
differe	nt	mask ratio.					

Model Size	Mask Ratio	Linear Probe	Fine-tune
ViT-S/16	0.75	<b>45.0</b>	<b>82.5</b>
	0.90	44.9	81.3
ViT-B/16	0.75	<b>62.9</b>	<b>86.9</b>
	0.90	57.9	85.6

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<sup>&</sup>lt;sup>3</sup>We set  $\eta_1$  to 0 as its layer index i = 0 is fixed as described at beginning while our mask position should be 215 flexible.

Takeaways. For one-shot masking, we summarize two useful tips: ① Masking at the beginning is always beneficial for task performance; ② Finding a suitable mask ratio is critical. Generally, though the model size is different, e.g., ViT-S and ViT-B, a 75% mask ratio is firstly recommended.

*Remark.* Though mask ratio ablation has been explored in MAE paper, our focus on the one-shot masking aims to delve deeper into the specifics of how different masking positions and ratios collectively influence the model's performance. Moreover, it serves as the basis for the subsequent two-shot masking.

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#### 3.1.2 Two-shot Masking

226 Two-shot masking means we can mask twice in the encoder. For convenience, we use L(i, j) (k is 227 omitted) to indicate that we mask the *i*-th and *j*-th Layers (i = 0 and i < j < 12). We use ( $\eta_1, \eta_2$ ) 228  $(\eta_3 \text{ is omitted})$  to denote the mask ratio of two-shot masking. By combining them,  $L(i, j; \eta_1, \eta_2)$ 229 means that we mask the *i*-th layer with mask ratio  $\eta_1$  and mask the *j*-th layer with mask ratio  $\eta_2$ . To fully explore model capabilities, we follow the conclusion from one-shot and first mask patch 230 tokens at the beginning also with two representative mask ratios (0.75 and 0.9). Thus, it is critical to 231 figure out where the second masking should be and how much it should mask. The experiments on 232 ViT-S/16 are shown in Fig 3. The dashed line denotes the one-shot baseline (*i.e.*, MAE) with masking 233 ratios of 0.75. It is worth mentioning that compared to standard MAE, the adopted two-shot masking 234 is capable of reducing the computational cost as it introduces additional masking to remove more 235 tokens in the forward pass, that is, fewer tokens are involved in the forward calculation<sup>4</sup>. Since it is 236 not our primary focus, we would not highlight the advantage in this aspect.

237 For  $\eta_1 = 0.75$ , we ablate five combinations 238 of mask layers for two-shot masking. Three 239 involve an equal interval for the second mask-240 ing layer indexes following the one-shot mask-241 ing scheme: L(0, 3), L(0, 6), and L(0, 9); Two 242 are continuous combinations: L(0, 10) and 243 L(0, 11)<sup>5</sup>. We initially set a larger mask ratio 244 of  $\eta_2$  (0.5). Considering that the performance 245 is inferior to the baseline in both linear probing 246 and fine-tuning, we replace  $\eta_2 = 0.5$  with three relatively smaller ones containing 0.25, 0.15, 247 and 0.1. As shown in Fig 3 (a), the performance 248 of two-shot masking is inferior to the baseline 249



Figure 3: Results of two-shot masking on ViT-S/16. The dashed line is the one-shot baseline (MAE).

for linear probing. However, as opposed to linear probing, one can see in Fig 3 (b) that our two-shot masking shows potential to outperform the baseline in fine-tuning: An apparent trend for fine-tuning is that the second masking performed at the last several layers (*i.e.*, increasing the interval of two-shot masking) with a smaller  $\eta_2$  leads to significant improvement compared to baseline, especially at  $L(0, 10)^{-6}$ . The contradictory experiment results imply that there may not exist a positive correlation between linear probing and fine-tuning. Hence, following (Woo et al., 2023), we would pay more attention to fine-tuning because of its practical relevance in transfer learning. Two-shot results of  $\eta_1 = 0.9$  is in Appendix A.3.1

Given the superior improvement, a question arises: what two-shot masking brings to the encoder?
 We dive deep into two-shot masking and analyze its layer representation and attention map.

<sup>&</sup>lt;sup>4</sup>For example, on 4 A800, our Conditional MAE (ViT-Large with two-shot L(0,10;0.75.0.1)) is around 650ms/iteration while MAE is 670ms/iteration when input size is 224x224 and batch size is 256. Moreover, Conditional MAE saves around 1G GPU memory compared to MAE. If batch size and input size is larger and training time is longer, the saved memory and time will be considerably impressive.

<sup>&</sup>lt;sup>5</sup>In our preliminary experiments, L(0, 9) performs the best in fine-tuning among these three combinations. To provide a more comprehensive analysis, we include L(0, 10) and L(0, 11). We do not include L(0, 8) as it performs worse than L(0, 9).

<sup>&</sup>lt;sup>6</sup>We also verify this characteristic in large-scale ImageNet1K and ViT-Large in Sec 3.4. Moreover, we compare the performance of our best two-shot masking L(0, 10; 0.75, 0.1) with one-shot L(0; 0.775) where they retain the same number of patch tokens. The one-shot performance (83.2% Acc) is inferior to our two-shot (84.6%). Similarly, we compare two-shot masking L(0, 10; 0.9, 0.05) with one-shot L(0; 0.905). Our two-shot (82.1%) also outperforms one-shot (81.1%) whose performance is even inferior to that of L(0; 0.9) (81.2%).



Figure 5: Comparison of two-shot masking L(0, 10; 0.75, 0.1) and baseline model L(0; 0.75) on attention distance and attention entropy before/after fine-tuning. The lp means pretrained model. The ft means fine-tuned models.

*Layer Representation Analyses.* We first leverage Centered Kernel Alignment (CKA) (Cortes et al., 2012; Nguyen et al., 2020) to analyze the layer representation similarity across pretrained models <sup>7</sup>. As shown in Fig 4, we visualize the layer representation similarity between several two-shot masking pre-trained models and baseline (0, 0.75) as heatmaps. It is seen an increasing discrepancy between the representations of two-shot models and that of baseline, especially between the high layer of two-shot models and shallow layer of baseline. This implies that the second masking introduce certain bias into pretrained models, rendering the representations varying from that of baselines <sup>8</sup>.

302 Attention Map Analyses. We then analyze the attention maps that reveal the behaviors for aggregating 303 information in the attention mechanism of ViTs. Following (Wang et al., 2023c) we use two metrics, *i.e.*, attention distance and attention entropy <sup>9</sup>, to analyze two-shot masking and baseline models. We 304 pick L(0, 10; 0.75, 0.1) as it performs best and illustrate its attention distance and entropy variation 305 before/after fine-tuning and compare with that of baseline L(0; 0.75) in Fig 5. We see that the second 306 masking decreases the attention distance and entropy to some extent during pretraining in Fig 5 (a), 307 bringing locality inductive bias into model and thereby rendering the representations varying from 308 that of baselines. From the view of reconstruction, we conjecture such adjustment is because the 309 second masking requires the unmasked patches to recover their parallel neighbor (masked ones) of a 310 forward. In Fig 5 (b) and (c), compared to pretraining, we find that fine-tuning actually demonstrates 311 similar behavior. Specifically, for lower layers, both L(0, 10; 0.75, 0.1) and L(0; 0.75) decrease their 312 lower and upper bounds of attention distance during fine-tuning compared to pretraining. For higher 313 layers, both models increase their lower bound of attention distance. Finally we compare the attention 314 distance and entropy between the two models after fine-tuning in Fig 5 (d) to figure out what makes L(0, 10; 0.75, 0.1) have potential to outperform baseline L(0; 0.75). We see that L(0, 10; 0.75, 0.1)315

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<sup>&</sup>lt;sup>7</sup>CKA computes the normalized similarity in terms of the Hilbert-Schmidt Independence Criterion (HSIC (Song et al., 2012)) between two feature maps or representations.

 <sup>&</sup>lt;sup>8</sup>Note that the disparity in the heatmap does not necessarily imply whether the learned representation is
 advantageous or detrimental. It reflects how the representation learned by our two-shot masking model varies
 from that of the baseline.

 <sup>&</sup>lt;sup>9</sup>The attention distance reveals how much local *vs.* global information is aggregated, and a lower distance means each token focuses more on neighbor tokens. The attention entropy reveals the concentration of the attention distribution, and lower entropy means each token attends to fewer tokens. We refer the reader of interest to (Wang et al., 2023c) for detailed formula

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Figure 6: Visualization of reversed attentions (showing how much information a second-masked patch sends to others) in layer 9 of models. Top: single masking model L(0; 0.75) (vanilla MAE). Bottom: two-shot masking model L(0, 10; 0.75, 0.1). By comparing every map pair, one can see that these second-masked tokens tend to send and store the information to their neighbors just prior to being masked. Especially in the centered highlighted part, L(0, 10; 0.75, 0.1) tends to be more compact and localized.

has similar attention distance and entropy in high layers while more concentrated and lower attention distance and entropy in low and middle layers. We attribute it to locality inductive bias brought by the second masking that captures better low-level features. Similar observations can be found in other two-shot model variants ( $\eta_1 = 0.75$  and 0.9) which we put in Appendix A.3.2.

Information Leakage and Locality. In the two-shot setting, the second masked patches have been 338 seen by previous layers, potentially resulting in information leakage. However, it's important to note 339 that this leakage does not cause a trivial solution as the presence of  $\eta_1$  and its substantial gap in 340 magnitude compared to  $\eta_2$  necessitates the model to acquire the ability to infer the masked patch in the 341 first masking. In contrast, the presence of the second masking necessitates that patches that interacted 342 in previous layers must recover their corresponding masked neighbors in the forward pass. As a result, 343 the model needs to dedicate a portion of its capacity to learn how to infer local neighbors. This would 344 introduce a certain degree of locality bias. To illustrate this, we visualize the reversed attention (Ding 345 et al., 2023) of pretrained model L(0, 10; 0.75, 0.1) as shown in Fig 6 (bottom), containing the 346 information flow of second masking, *i.e.*, how much information a second-masked patch sends to 347 other. It clearly demonstrates that the attention head retains object-related local information. In this way, the information leakage is controllable, and information of the second-masked patch flows and is 348 stored in the neighboring patches, to be reconstructed after the second masking. Also, compared with 349 single masking in Fig  $\frac{6}{10}$  (top), the locality of the attention head is enhanced, potentially benefiting 350 some downstream tasks that require low-level or local representations (Jiang et al., 2022). 351

**Potential Application.** Intuitively, the derived locality of two-shot masking allows models to capture nuanced, locally fine-grained characteristics, thereby discern subtle distinctions between close classes. To prove this, we conduct fine-grained classification on three widely-used fine-grained datasets including Flower102 (Nilsback & Zisserman, 2008), Stanford Dog (Khosla et al., 2011), and CUB-200 (Wah et al., 2011) using ViT-S, and compare the results with that of ImageNet100 (generic classification) in Tab 2. We find L(0, 10; 0.75, 0.1) obtains more enhancement than L(0; 0.75) in fine-grained classification.

Additionally, a subtle and interesting phe-359 nomenon is captured during our experi-360 We take L(0, 10; 0.75, 0.15) and ments. 361 L(0, 10; 0.9, 0.1) for example and in Fig 7, the 362 second reconstruction loss (orange) of masked 363 patches (2nd shot) unanimously decreases faster 364 than that of the first (blue) (1st shot). This re-365 sult indicates the second reconstruction task is

Table 2:	Comparisons	on fine-grained	datasets.
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Dataset	L(0; 0.75)	L(0, 10; 0.75, 0.1)
ImageNet100	82.5	84.6 (+2.1)
Flower102 Standford Dog	34.7 51.6	37.3 (+2.6)
CUB-200	48.2	51.1 (+2.9)

relatively easier to optimize than the first. To some extent, using the same loss weights for them is
unreasonable and wastes model's capability. Hence, intuitively, we adopt their mask ratios as their
new loss weights during training to force the model to concentrate more on the first reconstruction
task. In Tab 3, we find that this adjustment significantly improves the performance of linear probing
but has limited enhancement on fine-tuning. Since our focus is primarily on the performance of
finetuning, we did not adopt this strategy in our experiments and leave it as a potential avenue for
future exploration.

Finally, we apply our findings in ViT-S/16 on ViT-B/16, hoping to further improve its performance as well. Since the performance of  $\eta_1 = 0.9$  for ViT-B/16 in Tab 1 is inferior to that of  $\eta_1 = 0.75$ , we focus primarily on  $\eta_1 = 0.75$  for ViT-B/16 in the experiment. Specifically, we employ the three best two-shot settings of finetuning performance of ViT-S/16 on ViT-B shown in Tab 4 and compare the results with MAE. Our two-shot masking strategy unanimously outperforms MAE. And among them, L(0, 10; 0.75, 0.1) performs best, which also performs best for ViT-S/16.





Table 4: Results on ViT-B/16 compared to MAE

**Takeaways.** For two-shot masking, we summarize four useful findings: ① building on one-shot, increasing the interval of two-shot masking with a large  $\eta_1$  and a small  $\eta_2$  is helpful for fine-tuning in both ViT-S/16 and ViT-B/16, e.g., L(0, 10) in our experiments; ② it strongly suggests that there may not exist a positive relationship between linear probing and fine-tuning; ③ the second masking brings locality bias into model and help capture low-level features, especially for finer-grind classification; ④ adopting a weighted reconstruction loss for different shot is helpful for linear probing.

**Remark.** Our empirical analysis reveals the impact of two-shot masking on representation and attention maps. Moreover, we believe it would be interesting to explore the multi-stage masking process from an information theory perspective, *e.g.*, examining how the information content evolves at each masking stage, which we plan to address in future work.

# 401 3.1.3 THREE-SHOT MASKING

3.2 TRANSFER LEARNING

We further explore the three-shot masking. Specifically, we leverage a greedy-algorithm-like strategy by using the best two-shot setting L(0, 10; 0.75, 0.1) and add the third masking on the last layer of encoder (k = 11) with a small masking ratio  $\eta_3 = 0.1$ . We verify the effec-tiveness of our three-shot masking by comparing it with various strategies including "Equal interval", "Prefer front layer", and "Unbalanced interval". Moreover, we also find there do not exist a positive relationship between linear probing and fine-tuning in three-shot mask-ing. For example, L(0, 10, 11; 0.75, 0.1, 0.1) achieves 29.6% Acc in linear probing, inferior to L(0, 1, 10; 0.75, 0.1, 0.1) (31.0%). But L(0, 10, 11; 0.75, 0.1, 0.1) achieves 81.9% Acc in fine-tuning, superior to L(0, 1, 10; 0.75, 0.1, 0.1) (81.8%). Besides, by visualizing the attention distance and entropy and comparing with that of two-shot and one-shot masking, we find the third masking introduces a more prominent locality bias as shown in Fig 20. Similarly, we conduct fine-grained classification in Tab 8 and find that though the model outperforms the baseline but the enhancement is inferior to that of two-shot. Intuitively, we speculate that this would be due to the over-locality introduced by the third shot masking. Due to the limited space, we put all the results in Appendix A.4. 

Takeaways. In three-shot masking, we find that a greedy-like masking strategy is superior over a wide range of strategies. And more prominent locality is brought into models.

Table 5: Downstream performance of Conditional-MAE compared to MAE. DTD (Cimpoi et al., 2014), CF means CIFAR (Krizhevsky et al., 2009). Tiny indicates TinyImageNet (Le & Yang, 2015)

Model		Classification			Obj	Det	Sem Seg
	DTD	CF10	CF100	Tiny	$AP^b$	$AP^m$	
MAE	57.9	84.5	62.5	63.4	38.9	35.1	38.3
Conditional-MAE	59.1	85.5	63.4	64.1	39.5	35.5	38.9

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To conduct transfer learning in downstream tasks, we compare the best results of one-shot (82.5
Acc@1), two-shot (84.6 Acc@1), and three-shot (Acc@1), among which two-shot performs the best.
Hence, we pick up the best two-shot masking ImageNet100 pretrained ViT-B/16 model (Conditional-MAE). To verify its effectiveness in transfer learning, We perform classification on four datasets,

Model	$L(i, j; \eta_1, \eta_2)$	F
SimMIM (Swin-B)	L(0; 0.6)	83
SimMIM (Two-shot Swin-B	) $L(0,3;0.6,0.1)$	84
ConvNeXt V2-B	L(0; 0.6)	80
ConvNeXt V2-B (Two-shot)	L(0, 3; 0.6, 0.1)	81



441 Table 6: Comparisons on two-shot variants and 442 baseline. 443

Figure 8: Results of scaling Conditional-MAE on larger model and longer training time.

444 object detection on COCO (Lin et al., 2014), and semantic segmentation on ADE20K (Zhou et al., 445 2017; Zhu et al., 2022) following previous works (He et al., 2021; Chen et al., 2022b; Zhou et al., 2021). As shown in Tab 5, Conditional-MAE generally produces better performance than MAE in 446 downstream tasks, showing its great transfer capability. Besides, we consider pretraining Conditional-447 MAE on larger ImageNet1K with 300 epochs and fine-tune it on downstream task, e.g., semantic 448 segmentation using ADE20K. The Conditional-MAE produces 46.1 for mIoU, superior over MAE 449 (45.8), further verifying the effectiveness of two-shot masking. In addition of transfer learning, 450 we also shows the robustness of Conditional-MAE over MAE against occlusion and shuffling in 451 Appendix A.5.

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#### 3.3 GENERALIZATION

455 Besides MAE, we follow our empirical takeaways above and perform two-shot masking on two 456 different structures including SimMIM (Swin Transformer) and ConvNeXt V2 (CNN). In Tab 6, since 457 both SimMIM and ConvNeXt V2 use a hierarchical encoder, here we use i and j to denote different 458 stages. We see that the two-shot variants outperform the baselines, showing great generalization of 459 our two-shot masking to other structures beyond ViT.

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### 3.4 SCALABILITY

To verify the scaling capability, we pretrain Conditional-MAE on ImageNet1K (Russakovsky et al., 463 2015), scaling on large model, *i.e.*, ViT-L, and longer pretraining times, *e.g.*, 1600 epoch. The result 464 is presented in Fig 8 where the left is training with 300 epochs for both models and the right uses 465 ViT-B/16. It is shown that pretraining Conditional-MAE with a longer time and increasing the size 466 of pretrained Conditional-MAE can significantly improve performance, demonstrating promising 467 scaling capability of Conditional-MAE. 468

- 4 **RELATED WORK**
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Masked image modeling. Masked image modeling is the task of predicting the masked part of an 472 image from the visible part. Inspired by masked language modeling in natural language processing, 473 BEiT (Bao et al., 2021) is the first to employ this paradigm in computer vision. PeCo (Dong et al., 474 2021) further improves the performance of BEiT by involving more semantics in visual tokens. 475 MAE (He et al., 2021) removes the need for a tokenizer (e.g., d-vae (Ramesh et al., 2021) in BEiT) 476 by directly predicting the masked part in RGB space. This greatly simplifies the whole pipeline and 477 improves the model performance simultaneously. CAE (Chen et al., 2022b) adds a regressor between 478 the encoder and decoder to align masked and visible representations in the same representation space. 479 iBOT (Zhou et al., 2021) combines masked image modeling with contrastive learning, showing great 480 potential. (Shi et al., 2022) uses an adversarial objective to consistently improve on state-of-the-art 481 self-supervised learning (SSL) methods. MaskFeat (Wei et al., 2022a) uses Histograms of Oriented 482 Gradients (HOG), a hand-crafted feature descriptor, as reconstruction target. Recently, with more 483 effort devoted to this field, numerous works (Dong et al., 2022a; Gao et al., 2022; Zhang et al., 2022b; Chen et al., 2022c; Kakogeorgiou et al., 2022; Li et al., 2021; El-Nouby et al., 2021; Liu et al., 2022b; 484 Tao et al., 2022; Wei et al., 2022a; Zhang et al., 2022a; Yu et al., 2022; Assran et al., 2022; Fang et al., 485 2022; Bachmann et al., 2022; Shi et al., 2022; Wei et al., 2022b; Huang et al., 2022a;b; Dong et al.,

2022b) are proposed including BootMAE (Dong et al., 2022a), SdAE (Chen et al., 2022c), MST (Li et al., 2021), SplitMask (El-Nouby et al., 2021), SIM (Tao et al., 2022), *etc.*

Understanding masked image modeling. Xie et al. shows that masked image modeling brings 489 rich diversity to the self-attention head and pays more attention to locality compared to supervised 490 one (Xie et al., 2021b). Additionally, Xie et al. also demonstrates that larger models, more data, and 491 longer training times are beneficial for masked image modeling (Xie et al., 2021a). CAE (Chen et al., 492 2022b) illustrates its attention map and speculates that masked image modeling cares more about the 493 global including both foreground and background. Kong & Zhang (Kong & Zhang, 2022) point out 494 that masked image modeling brings occlusion invariant to the model representation. Cao et al. (Cao 495 et al., 2022) deliver a mathematical understanding of masked image modeling. More recently, Zhu et 496 al. (Zhu et al., 2023) speculate that masked image modeling is a part-to-part process: the masked representations are hallucinated from the visible part. 497

498 Masking. Masking is a key operation in masked image modeling. Trandional masked strategies 499 include random masking used in MAE (He et al., 2021), and block masking used in BEiT (Bao et al., 500 2021) and CAE (Chen et al., 2022b). Besides, previous works also explore extra masking strategies. MST (Li et al., 2021) masks low-attended patches to enhance the performance without additional cost. AttMask (Kakogeorgiou et al., 2022) further proves the usefulness of masking highly attended 502 503 portions. AMT (Gui et al., 2022) uses the attention map in the last layer of the vision transformer to guide the masking. SemMAE (Li et al., 2022a) leverages a masking with semantics provided by an 504 additional pretrained model. However, it is worth noticing that almost all of them mask an image just 505 at the beginning and primarily focus on how to further improve the performance. UnMAE (Li et al., 506 2022b), VideoMAE v2 (Wang et al., 2023b), and A<sup>2</sup>MIM (Li et al., 2023a) introduces two rounds 507 of masking but these efforts have gone only so far, lacking an in-depth and systematical analysis 508 of multiple rounds of masking for masked autoencoder. In contrast, our work fills this gap and 509 reveals the secret of multiple masking on masked autoencoder's optimization with different masking 510 positions and ratios. We also discuss masking in generation modeling in Appendix A.6.

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## 5 CONCLUSION

In this paper, we reveal how multiple masking affects masked autoencoder's optimization in training and performance by using a flexible framework called Conditional MAE. Based on our findings, we summarize several takeaways from each shot and find that multiple masking can bring locality bias to models. We also show the superiority of our best two-shot model Conditional-MAE over MAE in downstream tasks, robustness again occlusion and shuffling, masking generalizability to other heterogeneous architectures, and model scalability, providing sufficient insight for future work.

Limitation and Broader Impact. Our study is constrained by limited computational resources.
We conducted our experiments using small, base, and large ViT. Therefore, it would be interesting to extend this study to larger models *e.g.*, Huge ViT. Our empirical study primarily focuses on the masked autoencoder. There may not exist any negative effects on itself but on how it is used.

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# A APPENDIX

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762 763 764 A.1 IMPLEMENTATION DETAILS

**Pretraining.** Similar as MAE, we use the original image without color jittering, gradient clip, or other transformations. For the experiments in multiple shots masking, we conduct pretraining on the ImageNet-100 dataset, which is a subset of ImageNet-1K and contains 135,000 images from 100 random classes with  $224 \times 224$  pixels. The batch size is 256, weight decay is 0.05, warmup epochs is 40, and the base learning rate is 1.5e - 4 following MAE (He et al., 2021). We train each model for 300 epochs equally. For pre-training, we use AdamW as the optimizer.

Linear Probe. Following MAE (He et al., 2021), we conduct training of linear probing for 90 epochs with learning rate 0.1 and 1024 batch size. CLS token is used for classification. The LARS optimizer is utilized for linear probing.

Fine-tuning. We fine-tune pretrained model for 100 epochs following MAE (He et al., 2021). The weight decay is 0.05 and layer decay is 0.65. We set drop path to 0.1. We search from three base learning rates, 1e - 4, 5e - 4, and 1e - 3. The batch size is 256. We use AdamW as the optimizer with warmup epoch set to 5 and cosine learning rate scheduler. Following MAE (He et al., 2021), we use global pooled representation for classification. In part classification, we set batch size to 16 for all datasets and use two base learning rates 7e - 4 and 8e - 4 respectively while maintaining other setting.

**Transfer learning.** In downstream transfer, we use the final pretrained checkpoint to initialize model 782 and then finetune it. For classification, we finetune for 100 epoch using AdamW as optimizer with 783 weight decay 0.05 and layer decay 0.65. Due to the computation limitation, we use  $32 \times 32$  for 784 CIFAR100 and CIFAR10 with batch size 512 and set learning rate to 1.5e - 3. We use  $64 \times 64$  for 785 TinyImageNet with batch size 512 and set learning rate to 1.5e - 3. We use  $448 \times 448$  for DTD with 786 batch size 16. We use learning rate 2.5e - 3. For semantic segmentation, we following CAE (Chen 787 et al., 2022b) The input resolution is  $512 \times 512$ . The batch size is 16 and the layerwise decay rate is 788 0.65 and the drop path rate is 0.1. We search from three learning rates, 3e - 4, 4e - 4, and 5e - 4. We 789 conduct fine-tuning for 160K steps. We do not use multi-scale testing. For object detection, we utilize 790 multi-scale training and resize the image with the size of the short side between 480 and 800 and the long side no larger than 1333. The batch size is 16. We use learning rate 5e - 4. The layerwise decay 791 rate is 0.75, and the drop path rate is 0.2. We train the network with the  $1 \times$  schedule: 12 epochs with 792 the learning rate decayed by  $10 \times$  at epochs 9 and 11. We do not use multi-scale testing. The Mask 793 **R-CNN** implementation follows MMDetection. 794

795 Scalability. We scale the model size including ViT-B/16 and ViT-L/16 with 300 epoch on ImageNet1K. For ViT-B/16, in pretraining, we use 40 warmup epoch, 1.5e - 4 base learning rate, 0.05796 weight decay, and 4096 batch size. In fine-tuning, we use 5e - 4 as base learning rate with 0.65 797 layerwise decay. The batch size is 1024, warmup epoch is 5, and weight decay is 0.05. The drop 798 path is set to 0.1. For ViT-L/16, in pretraining, we use 30 warmup epoch, 5e - 6 base learning rate. 799 Due to the limited resource, we only use 1024 batch size. The weight decay is 0.05. In fine-tuning, 800 we search from three learning rate 1e - 3, 1.1e - 3, and 1.2e - 3 with 0.75 layer decay. We set 801 the drop path as 0.2. The batch size is set to 1024 as well. Hence, the performance of ViT-L may 802 not significantly outperforms MAE. We also scale the training time including 800 epoch and 1600 803 epoch. For 800 epoch ViT-B/16, in pretraining we use use 40 warmup epoch, 1e-5 base learning 804 rate, 0.05 weight decay, and 4096 batch size. In fine-tuning, we use 5e - 4 base learning rate, 0.65 805 layerwise decay, 0.05 weight decay. We set batch size to 1024, warmup epoch to 5, and drop path to 806 0.12. For 1600 epoch ViT-B/16, in pretraining we use use 40 warmup epoch, 5e-6 base learning 807 rate, 0.05 weight decay, and 4096 batch size. As for fine-tuning, we use 5e - 4 base learning rate, 0.65 layerwise decay, 0.05 weight decay. We set batch size to 1024, warmup epoch to 5, and we 808 search from two drop path 0.1 and 0.12. For optimization, we use the same optimizer as MAE for 809 both pretraining and fine-tuning.



Figure 9: Loss curve of pretraining and linear probing with masking at 75% and 90% on the training tasks. We also illustrate the curves of finetuning in Appendix.



Figure 10: Visualization of the latent representations of the patches before (the first row) and after finetuning (the second row). Four columns from left to right represent encoders (j = 0, 3, 6, 9), respectively. Mask ratio  $\eta_2$  is 0.9.

A.2 ONE-SHOT MASKING

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839 A.2.1 REPRESENTATION DISCRIMINATION

We analyze the discrimination of output representation from pretrained model masked at different postions (j = 0, 3, 6, 9) from two aspects inclduing loss curve and representation visualization.

**Loss curve.** During pretraining, as depicted in Fig 9(a) and (b), masking at the beginning makes the optimization more challenging. This difficulty forces the encoder to learn more clues from visible patches. Consequently, in the linear probing phase (with fixed parameters), as shown in Fig 9(c) and (d), the encoder at j = 0 is more easily optimized compared with others. This implies that the representations encoded by the fixed encoder at j = 0 are relatively more distinguishable.

848 **Representation visualization.** To further verify this finding, we follow the approach of CAE (Chen 849 et al., 2022b) and visualize the latent representations of patches from randomly sampled images from the ADE20K dataset in a 2D space using t-SNE (Van der Maaten & Hinton, 2008), as illustrated in 850 Fig 10. We adopt t-SNE (Van der Maaten & Hinton, 2008) to visualize the high-dimensional patch 851 representations output from our pretrained encoder on ADE20K (Zhou et al., 2019). ADE20K has a 852 total of 150 categories. For each patch in the image, we set its label to be the category that more than 853 half of the pixels belong to. We collect up to 200 patches for each category from sampled 500 images. 854 In the first row, the latent representations of the encoder at i = 0 are clustered to some degree for 855 different categories, while the encoders at j = 3, 6, 9 fail to achieve such clustering. Additionally, in 856 the second row, finetuning causes the representations of different categories to scatter while those of the same category cluster together, thereby significantly enhancing the performance of pretrained 858 encoders.

# A.2.2 ATTENTION MAP VISUALIZATION 861

To figure out what fine-tuning brings to encoders at j = 0, 3, 6, 9, we visualize the attention maps averaged over attention heads between the class token and the patch tokens in the last layer of ViT, as shown in Fig 11. It can be observed that fine-tuning narrows the attention scope of the



Figure 11: Visualization of the mean attention map of all heads in the last block of ViT before (1-8 columns) and after fine-tuning (9-16 columns). The region inside the blue contour is obtained by thresholding the attention weights to keep 50% of the mass. These images are randomly sampled from the ImageNet100 val set. The last four rows represent encoders (j = 0, 3, 6, 9), respectively.

encoder at j = 0, potentially removing some noise factors. In contrast, fine-tuning remarkably expands the attention field of encoders at j = 3, 6, 9, involving more information. Similar results can also be observed for each attention head in Fig 12 and Fig 13. It can be observed that fine-tuning narrows the attention scope of the encoder at j = 0, potentially removing some noise factors. In contrast, fine-tuning remarkably expands the attention field of encoders at j = 3, 6, 9, involving more information.



Figure 12: Visualization of the attention map of six heads in the last block of transformer encoder before and after finetuning. Four rows represents encoders (0-th, 3-th, 6-th, and 9-th) respectively.

Figure 13: Visualization of the attention map of six heads in the last block of transformer encoder before and after finetuning. Four rows represents encoders (0-th, 3-th, 6-th, and 9-th) respectively.

A.3 TWO-SHOT MASKING

A.3.1 RESULTS OF TWO-SHOT MASKING

934 For  $\eta_1 = 0.9$ , in Fig 14 (c) and Figure 14 935 (d), using a smaller  $\eta_2$ , e.g., 0.1 and 0.05, 936 helps model obtain superior performance 937 compared to  $\eta_2=0.25$  and 0.15 in both 938 linear probing and fine-tuning. We also 939 find that the performance of two-shot 940 masking is inferior to the baseline for 941 linear probing. This is in line with ex-942 pectation as  $\eta_1$  is considerably large, resulting in quite few patches (clues) left. 943 The second shot masking further elimi-944 nates the visible patches, making it more 945 challenging to reconstruct the missing 946



Figure 14: Results of two-shot masking on ViT-S/16. The dash line is the one-shot baseline (MAE).

information. However, as opposed to linear probing, one can see that although  $\eta_1 = 0.9$  is quite large, our two-shot masking still shows potential to outperform the baseline in fine-tuning, especially at L(0, 10).

#### A.3.2 MORE VISUALIZATION

We first visualize We first leverage Centered Kernel Alignment (CKA) to analyze the layer representation similarity across pretrained models. As illustrated in Fig 15, we visualize the layer representation similarity between several two-shot masking pre-trained models and baseline (0, 0.9) as heatmaps. We can see that the representation varies from that of baseline, similar to two-shot models  $\eta_1 = 0.75$ .





Then we visualize the attention distance and attention entropy over different two-shot models and baselines in Fig 16 ( $\eta_1 = 0.75$ ) and Fig 17 ( $\eta_1 = 0.9$ ). We see that the second masking decreases the attention distance and entropy for all two-shot models no matter where the position of the second masking is.

We also present the attention distance and attention entropy before/after fine-tuning for two-shot model variants ( $\eta_1 = 0.75$ ) shown in Fig 18. Compared to pretraining, fine-tuning decreases the attention distance and entropy in low layer and also elevates attention distance in high layer for all models.

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Figure 16: Comparison of two-shot masking and baseline model ( $\eta_1 = 0.75$ ) on attention distance and attention entropy.



Figure 17: Comparison of two-shot masking and baseline model ( $\eta_1 = 0.9$ ) on attention distance and attention entropy.

Finally, we compare the attention distance and entropy between baseline and two-shot model variants after fine-tuning (L(0, 3/6/9/10/11; 0.75, 0.1)) in Fig 19. We see that two-shot model variants have similar attention distance and entropy in high layers while more concentrated and lower attention distance and entropy in low and middle layers.

1011 A.4 THREE-SHOT MASKING 1012

We present the three-shot result in Tab 7. The "Equal interval" strategy refers to equally spaced masking positions, while the "Prefer front layer" indicates that the three-shot masking is performed in the early layers. The "Unbalanced interval" strategy selects the third masking position based on the best two-shot masking setting, which could be close to either the first or second masking position.

1017 Among different strategies, we find our three-shot masking method  $(\eta_1, \eta_2, \eta_3) = (0.75, 0.1, 0.1)$ 1018 yielded the best results. This highlights the superiority of our step-by-step strategy, which exhibits a 1019 resemblance to the greedy algorithm.

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1021 A.5 ROBUSTNESS ANALYSIS 1022

1023 Considering Conditional-MAE suffers extra masking, it should be intuitively more robust than MAE.
1024 To verify it, we use a fine-tuned model to conduct two kinds of perturbation schemes, *i.e.*, occlusion and shuffling, aiming to simulate the real circumstances. For occlusion, we randomly mask half of the patches following (Zhou et al., 2021) before inputting the model. For shuffling, we randomly



Figure 18: Comparison of two-shot model variants L(0, 3/6/9/10/11; 0.75, 0.1) and baseline model L(0; 0.75) on attention distance and attention entropy before/after fine-tuning. Lp means pretrained model. Ft means fine-tuned models.



Figure 19: Comparison of two-shot model variants (L(0, 3/6/9/10/11; 0.75, 0.1)) and baseline model on attention distance and attention entropy.

shuffle the patches as well. As presented in Tab 9, compared to Tab 5, Conditional-MAE suffers less
 performance drop than MAE, indicating more excellent robustness.

1063 A.6 MORE RELATED WORK

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Masking in generation modeling. Chang et al. introduce MaskGIT (Chang et al., 2022), which employs a bidirectional transformer decoder and is capable of learning to predict randomly masked 1066 tokens via attending to tokens in all directions during training. When inference, MaskGIT first 1067 generates all tokens of an image and then refines the generated image iteratively based on the previous 1068 generation. Recently, Chang *et al.*, propose Muse (Chang et al., 2023) and train it to predict randomly 1069 masked image tokens given the text embedding extracted from a pre-trained large language model 1070 (LLM). Leveraging LLM enables Muse to understand fine-grained language, translate to high-fidelity 1071 image generation, etc. Moreover, Muse directly enables inpainting, outpainting, and mask-free 1072 editing without the need to fine-tune or invert the model. Li et al. (Li et al., 2023c) propose to use semantic tokens learned by a vector-quantized GAN at inputs and outputs and combine this 1073 with masking to unify representation learning and image generation. Bandara et al. propose an 1074 adaptive masking strategy called AdaMAE (Bandara et al., 2023). AdaMAE samples visible tokens 1075 based on the semantic context using an auxiliary sampling network and empirically demonstrates the 1076 efficacy. Xiao et al. introduce a simple yet effective adaptive masking over masking strategy called 1077 AMOM (Xiao et al., 2023) to enhance the refinement capability of the decoder and make the encoder 1078 optimization easier. 1079

$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	Diff	erent three-shot m	asking strateg	у	i, j, k	$\eta_1$ ,	$\eta_2, \eta_3$	F	Т
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$					0, 4, 8	0.5,	0.5, 0.5	66	.42
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$						0.5,	0.5, 0.5	6	7.2
$\frac{1}{100} = \frac{1}{100} = \frac{1}$		Equal inter	rval		0 5 10	0.75, 0	0.25, 0.25	73	3.5
$\frac{0.75, 0.1, 0.1}{0.75, 0.25, 0.1, 0.1} = \frac{0.75, 0.75, 0.25, 0.1}{0.75, 0.25, 0.1, 0.1} = \frac{0.75, 0.75, 0.25, 0.1}{0.75, 0.25, 0.1, 0.1} = \frac{0.75, 0.15, 0.5}{0.5, 0.5, 0.5, 0.5, 0.5} = \frac{0.41}{0.75, 0.1, 0.1} = \frac{0.75, 0.15, 0.1}{0.75, 0.1, 0.1} = \frac{0.75, 0.15, 0.1}{0.1, 2} = \frac{0.75, 0.1, 0.1}{0.75, 0.1, 0.1} = \frac{0.75, 0.1, 0.1}{0.1, 2} = \frac{0.75, 0.1, 0.1}{0.75, 0.1, 0.1} = \frac{0.75, 0.1, 0.1}{0.1, 10, 0.75, 0.1, 0.1} = \frac{0.75, 0.1, 0.1}{0.0, 0.0, 0.1, 0.1, 0.75, 0.1, 0.1} = \frac{0.75, 0.1, 0.1}{0.0, 0.0, 0.75, 0.1, 0.1} = \frac{0.75, 0.1, 0.1}{0.0, 0.0, 0.1, 0.1, 0.15, 0.1, 0.1} = \frac{0.75, 0.1, 0.1}{0.0, 0.1, 0.1, 0.15, 0.1, 0.1} = \frac{0.75, 0.1, 0.1}{0.0, 0.1, 0.1, 0.1, 0.1, 0.1, 0.1, 0.1, $		Equal inte	vui		0, 5, 10	0.75,	0.25, 0.1	7	7.7
$\begin{array}{ c c c c c c c c c c c c c c c c c c c$						0.75,	, 0.1, 0.1	80	).9
$\frac{0.6, 11}{0.75, 0.1, 0.1} = \frac{0.75, 0.1, 0.1}{0.50, 5, 0.5} = \frac{64.1}{64.1}$ $\frac{0.5, 0.5, 0.5}{0.75, 0.1, 0.1} = \frac{0.75, 0.1, 0.1}{0.75, 0.1, 0.1} = \frac{0.75, 0.1, 0.1}{0.1, 10, 0.75, 0.1, 0.1} = \frac{0.75, 0.1, 0.1}{0.1, 0.1, 0.1, 0.75, 0.1, 0.1} = \frac{0.75, 0.1, 0.1}{0.1, 0.1, 0.1, 0.75, 0.1, 0.1} = \frac{0.75, 0.1, 0.1}{0.1, 0.1, 0.1, 0.75, 0.1, 0.1} = \frac{0.75, 0.1, 0.1}{0.1, 0.1, 0.1, 0.75, 0.1, 0.1} = \frac{0.75, 0.1, 0.1}{0.1, 0.1, 0.1, 0.1, 0.1, 0.1, 0.1} = \frac{0.75, 0.1, 0.1}{0.1, 0.1, 0.1, 0.1, 0.1, 0.1} = \frac{0.75, 0.1, 0.1}{0.1, 0.1, 0.1, 0.1, 0.1, 0.1, 0.1, 0.1} = \frac{0.75, 0.1, 0.1}{0.1, 0.1, 0.1, 0.1, 0.1, 0.1} = \frac{0.75, 0.1, 0.1}$					0 6 11	0.75,	0.25,0.1	71	7.7
Prefer front layers       0, 3, 6       0.5, 0, 5, 0, 0, 0, 1       77.1         Unbalanced interval       0, 3, 6       0.75, 0, 1, 0, 1       80.3         Unbalanced interval       0, 3, 10       0, 75, 0, 1, 0, 1       81.4         Unbalanced interval       0, 3, 10       0, 75, 0, 1, 0, 1       81.4         0, 2, 10       0, 75, 0, 1, 0, 1       81.4         0, 9, 10       0, 75, 0, 1, 0, 1       81.4         0, 9, 10       0, 75, 0, 1, 0, 1       81.4         0, 9, 10       0, 75, 0, 1, 0, 1       81.4         0, 9, 10       0, 75, 0, 1, 0, 1       81.4         0, 1, 10       0, 75, 0, 1, 0, 1       81.4         0, 9, 10       0, 75, 0, 1, 0, 1       81.4         0, 9, 10       0, 75, 0, 1, 0, 1       81.4         0, 10, 11       0, 10, 11       0, 10, 11       0, 10, 11         0, 10, 11       0, 10, 11       0, 10, 11       0, 10, 11         0, 0, 10, 11, 0, 75, 0, 1, 0, 1       81.9       0       0, 10, 11         0, 0, 10, 0, 0, 75, 0, 1, 0, 1       81.9       0, 10, 11, 0, 75, 0, 1, 0, 11       0, 10, 11, 0, 75, 0, 1, 0, 11         10, 0, 10, 0, 75, 0, 1, 0, 10, 0, 75, 0, 1, 0, 10, 0, 75, 0, 1, 0, 10, 0, 75, 0, 1, 0, 10, 0, 75, 0, 1, 0, 10, 11, 0, 75, 0, 1, 0, 10, 0, 75, 0, 1, 0, 10, 11, 0, 75, 0, 1, 0, 10, 1					0, 0, 11	0.75,	0.1, 0.1	80	).9
Prefer front layers       0, 3, 6       0, 75, 0, 0, 1, 0, 1       80.5         0, 2, 4       0, 75, 0, 1, 0, 1       80.3         0, 1, 2       0, 75, 0, 1, 0, 1       80.3         0, 1, 2       0, 75, 0, 1, 0, 1       81.4         0, 3, 10       0, 75, 0, 15, 0, 1       81.4         0, 3, 10       0, 75, 0, 15, 0, 1       81.3         0, 2, 10       0, 75, 0, 1, 0, 1       81.4         0, 9, 10       0, 75, 0, 1, 0, 1       81.7         0, 9, 10       0, 75, 0, 1, 0, 1       81.7         0, 9, 10       0, 75, 0, 1, 0, 1       81.7         0, 9, 10       0, 75, 0, 1, 0, 1       81.7         0, 9, 10       0, 75, 0, 1, 0, 1       81.7         0, 9, 10       0, 75, 0, 1, 0, 1       81.7         0, 9, 10       0, 10, 11       0, 75, 0, 1, 0, 1       81.7         0, 10, 10, 10, 10, 75, 0, 1, 0, 1       81.7       1       1         0, 10, 10, 10, 10, 75, 0, 1, 0, 1       81.7       1       1         0, 0, 0, 10, 10, 10, 75, 0, 1, 0, 1       81.7       1       1         0, 10, 10, 10, 10, 75, 0, 1, 0, 1       1       1       1       1         10, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0						0.5,	0.5, 0.5	64	4.1
$\frac{1}{1000} = \frac{1000}{1000} = \frac{1000}{10000} = \frac{1000}{10000} = \frac{1000}{100000} = \frac{1000}{10000000000000000000000000000000$					0, 3, 6	0.75,	0.25,0.1	7	7.1
$\begin{array}{ c c c c c c c c c c c c c c c c c c c$		Prefer front	ayers			0.75,	0.1, 0.1	80	).5
$\begin{array}{ c c c c c c c c c c c c c c c c c c c$					0, 2, 4	0.75,	0.1, 0.1	80	).3
Unbalanced interval       0, 3, 10       0, 5, 0, 5, 0, 5, 0, 5, 0, 1, 78, 1, 0, 1, 51, 31, 0, 0, 75, 0, 1, 0, 1, 81, 3, 1, 10, 0, 75, 0, 1, 0, 1, 81, 3, 10, 0, 75, 0, 1, 0, 1, 81, 3, 10, 0, 75, 0, 1, 0, 1, 81, 4, 0, 1, 10, 0, 75, 0, 1, 0, 1, 81, 6, 0, 9, 10, 0, 75, 0, 1, 0, 1, 81, 6, 0, 9, 10, 0, 75, 0, 1, 0, 1, 81, 6, 0, 10, 10, 0, 75, 0, 1, 0, 1, 81, 6, 0, 10, 10, 0, 75, 0, 1, 0, 1, 10, 0, 75, 0, 1, 0, 1, 10, 0, 75, 0, 1, 0, 1, 10, 0, 75, 0, 1, 0, 1, 10, 10, 0, 75, 0, 1, 0, 1, 10, 0, 75, 0, 1, 0, 1, 10, 0, 75, 0, 1, 0, 1, 10, 0, 75, 0, 1, 0, 1, 10, 0, 75, 0, 1, 0, 1, 10, 0, 75, 0, 1, 0, 1, 10, 0, 75, 0, 1, 0, 1, 10, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0					0, 1, 2	0.75,	0.1, 0.1	8	1.4
Unbalanced interval       0, 3, 10       0.75, 0.25, 0.1       78.1         0, 2, 10       0.75, 0.1, 0.1       81.3         0, 2, 10       0.75, 0.1, 0.1       81.4         0, 9, 10       0.75, 0.1, 0.1       81.8         0       0, 10       0.75, 0.1, 0.1       81.8         0       0, 10       0.75, 0.1, 0.1       81.7         0.8, 10       0.75, 0.1, 0.1       81.7         0.9, 10       0.75, 0.1, 0.1       81.9         Our three-shot         0       0, 10, 11       0.75, 0.1, 0.1       81.9         Our three-shot         0       0, 0, 0.75, 0.1, 0.1       81.9         Our three-shot         0       0, 0, 0, 0.75, 0.1, 0.1       81.9         Our three-shot         0       0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0						0.5,	0.5, 0.5	64	4.7
Unbalanced interval					0, 3, 10	0.75,	0.25, 0.1	78	3.1
Unbalanced interval       0, 2, 10       0, 75, 0.1, 0.1       81.4         0, 1, 10       0, 75, 0.1, 0.1       81.8         0, 9, 10       0, 75, 0.1, 0.1       81.7         0, 8, 10       0.75, 0.1, 0.1       81.6         Our three-shot       0, 10, 11       0.75, 0.1, 0.1       81.9         Our three-shot       0, 10, 11       0.75, 0.1, 0.1       81.9         Our three-shot       0, 10, 11       0.75, 0.1, 0.1       81.9         Our three-shot       0, 10, 11       0.75, 0.1, 0.1       81.9         Our three-shot       0, 10, 11       0.75, 0.1, 0.1       81.9         Our three-shot       0, 10, 11       0.75, 0.1, 0.1       81.9         Our three-shot       Our three-shot         Our three-shot         Our three-shot         Our three-shot         Our three-shot         Our three-shot         Our three-shot         Our three-shot         Our three-shot         Our three-shot         Our three-shot         Our three-shot         Ou						0.75,	0.1, 0.1	8	1.3
$\frac{\left \begin{array}{c}0,1,10\\0,9,10\\0,75,0.1,0.1\\0,8,10\\0,75,0.1,0.1\\0,181.7\\0,8,10\\0,75,0.1,0.1\\0,181.6\\0\\0,75,0.1,0.1\\0\\1\\0\\0\\0\\0\\0\\0\\0\\0\\0\\0\\0\\0\\0\\0\\0\\0\\$		Unbalanced in	nterval		0, 2, 10	0.75.	0.1, 0.1	8	1.4
$\frac{120}{0, 8, 10} = \frac{120}{0.75, 0.1, 0.1} = \frac{117}{81.6}$ Our three-shot 0, 10, 11 0.75, 0.1, 0.1 81.9 $\frac{120}{0, 100} = \frac{100}{0, 100, 100, 100, 100, 100, 100, 100, 1$					0, 1, 10	0.75,	0.1, 0.1	8	1.8
1000000000000000000000000000000000000				·	0 9 10	0.75	0101	8	17
Our three-shot         0, 10, 11         0.75, 0.1, 0.1         81.9 $120^{-1}_{100}$ $10^{-1}_{100}$ $10^{-1}_{000}$ $10^{-1}$					0, 9, 10	0.75.	0.1, 0.1	8	1.6
$\frac{120}{9} = 0.04 \text{ In rec-snot} = 0, 10, 11 = 0.75, 0.1, 0.1 = 0.13, 0.1, 0.1, 0.1, 0.1, 0.1, 0.1, 0.1, 0.1$		Oran there a	-14	I	0 10 11	0.75	0101	0	1.0
$I_{a} = 0$ $I_{a$		Our three-	silot		0, 10, 11	0.75,	, 0.1, 0.1	0.	
Table 8: Comparisons on fine-grained datasets among one-shot, two-shot, and three-shot       masking masking the final state of the final	igure 20: nasking.	$\begin{array}{c} \mathbf{\tilde{E}} & 40 \\ 20 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ 1 \\ 0 \\ 0 \\ 0 \\ 1 \\ 1 \\ 0 \\ 0 \\ 0 \\ 1 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ 1 \\ 0 \\$	2 3 4 5 6 7 Depth ttention Distar attention dis	8 9 10 11 nce tance and	tentropy an	lp (0,)-(0.75,   lp (0,10)-(0.7   lp (0,10)-(1)-   lp (0,10,11)-   2 3 4 5 Dep ) Attention nong one-s	25,0.1) (0.75,0.1,0.1) 6 7 8 9 1 th Entropy hot, two-s	io 11	hree-sh
$\frac{\text{Dataset}}{\text{ImageNet100}} = \frac{L(0; 0.75)}{\text{MageNet100}} = \frac{L(0; 0.75)}{\text{Standford Dog}} = \frac{L(0; 0, 11; 0.75, 0.1, 0.1)}{\text{Standford Dog}} = \frac{L(0; 0, 10; 0, 10; 0.75, 0.1, 0.1)}{\text{Standford Dog}} = \frac{L(0; 0, 10; 0, 10; 0.75, 0.1, 0.1)}{\text{Standford Dog}} = \frac{L(0; 0, 10; 0, 10; 0, 10; 0.75, 0.1, 0.1)}{\text{Standford Dog}} = L(0; 0, 10; 0; 0, 10; 0; 0, 10; 0; 0; 0; 0; 0; 0; 0; 0; 0; 0; 0; 0; 0$	Table 8: 0	Comparisons on	fine-grained	datasets a	mong one-s	shot, two-s	hot, and t	hree-shot	masking
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$		Dataset	L(0; 0.75)	L(0, 10)	0; 0.75, 0.1)	L(0, 10,	11;0.75,0	0.1, 0.1)	
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$		ImageNet100	82.5	84.	6 (+2.1)	:	81.9 (-0.6)		
$\frac{\text{Standford Dog}}{\text{CUB-200}} \underbrace{\begin{array}{c} 51.6 \\ 48.2 \\ 51.1 \\ (+2.9) \\ 48.7 \\ (+0.5) \\ \hline \end{array}} \underbrace{\begin{array}{c} 52.2 \\ (+0.6) \\ 48.7 \\ (+0.5) \\ \hline \end{array}}_{48.7 \\ (+0.5) \\ \hline \end{array}}$ $able 9:  Robustness analysis (occlusion and shuffling) of Conditional-MAE and MAE with for the state of the state of$		Flower102	34.7	37.	3 (+2.6)	3	35.1 (+0.4)		
$\frac{\text{CUB-200}}{\text{AB} 2} \qquad \frac{48.2}{51.1 (+2.9)} \qquad \frac{48.7 (+0.5)}{48.7 (+0.5)}$ $\frac{\text{AB} 2}{\text{AB} 2} \qquad \frac{11000}{1000} \qquad 1100$		Standford Dog	51.6	54.	3 (+2.7)	-	52.2 (+0.6)		
able 9: Robustness analysis (occlusion and shuffling) of Conditional-MAE and MAE with f lassification datasets.ModelocclusionshufflingDTDCF10CF100TinyMAE56.371.648.449.947.768.845.642.9Conditional-MAE57.872.849.551.249.170.247.144.0		CUB-200	48.2	51.	1 (+2.9)	2	18.7 (+0.5)		
Model         occlusion         shuffling           DTD         CF10         CF100         Tiny         DTD         CF100         Tiny           MAE         56.3         71.6         48.4         49.9         47.7         68.8         45.6         42.9           Conditional-MAE         57.8         72.8         49.5         51.2         49.1         70.2         47.1         44.0	Table 9: I	Robustness analy ion datasets.	vsis (occlusio	on and sh	uffling) of	Conditiona	al-MAE a	nd MAE	with fo
DTD         CF10         CF100         Tiny         DTD         CF10         CF100         Tiny           MAE         56.3         71.6         48.4         49.9         47.7         68.8         45.6         42.9           Conditional-MAE         57.8         72.8         49.5         51.2         49.1         70.2         47.1         44.0	Model		occlu	ision			shut	fling	
MAE         56.3         71.6         48.4         49.9         47.7         68.8         45.6         42.9           Conditional-MAE         57.8         72.8         49.5         51.2         49.1         70.2         47.1         44.0		DTI	O CF10	CF100	Tiny	DTD	CF10	CF100	Tiny
Conditional-MAE 57.8 72.8 49.5 51.2 49.1 70.2 47.1 44.0		56.3	2 71.6	40.4	40.0	477	(0.0	15.6	42.0
	MAE	50.5	/1.0	48.4	49.9	4/./	08.8	43.0	42.9