PROVER AGENT: AN AGENT-BASED FRAMEWORK FOR FORMAL MATHEMATICAL PROOFS

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ABSTRACT

We present Prover Agent, a novel AI agent for automated theorem proving that integrates large language models (LLMs) with a formal proof assistant, Lean. Prover Agent coordinates an informal reasoning LLM, a formal prover model, and feedback from Lean while also generating auxiliary lemmas to assist in discovering the overall proof strategy. It achieves an 88.1% success rate on the MiniF2F benchmark, establishing a new state-of-the-art among methods using small language models (SLMs) with a much lower sample budget than previous approaches. We also present theoretical analyses and case studies that illustrate how these generated lemmas contribute to solving challenging problems.

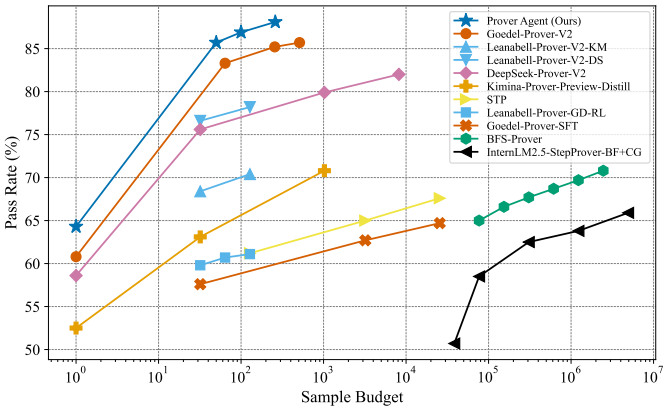


Figure 1: Comparison of theorem-proving performance on the MiniF2F benchmark (Zheng et al., 2022) among methods using SLMs. Our approach achieves a higher success rate with fewer sample budgets, establishing a new state-of-the-art at this scale.

1 INTRODUCTION

Recent advances in the reasoning capabilities of large language models (LLMs) have driven remarkable progress across many areas of artificial intelligence, including mathematical theorem proving and problem solving (OpenAI, 2024; DeepSeek-AI, 2025; Yang et al., 2025a; Lewkowycz et al., 2022). However, LLMs are prone to errors and hallucinations that can undermine their reliability (Ji et al., 2023; Huang et al., 2025; Xu et al., 2025). Inference-time scaling techniques such as chain-of-thought have greatly enhanced their reasoning performance by allowing models to reflect on and correct faulty reasoning steps (OpenAI, 2024; DeepSeek-AI, 2025; Wei et al., 2022). Nonetheless, eliminating mistakes entirely remains challenging, especially for more difficult problems (Wei et al., 2022; Zeng et al., 2025).

Formal proof assistants such as Lean (Moura & Ullrich, 2021), The Rocq Prover (previously known as Coq) (Barras et al., 1999), and Isabelle (Paulson, 1994) rigorously verify by computer that every inference step in mathematical proofs written in their respective languages is correct, based on the Curry–Howard correspondence. This helps mathematicians verify the correctness of proofs. Here, no errors, omissions of detail, implicit assumptions, or ambiguities are permitted. However, working

with formal proof assistants typically requires painstaking manual effort and meticulous detail. As a result, automating mathematical theorem proving has long been a grand challenge in artificial intelligence and formal methods (Newell & Simon, 1956; Irving et al., 2016; Polu & Sutskever, 2020; Jiang et al., 2023; Lu et al., 2023).

Consequently, formal theorem proving with LLMs has become increasingly important in recent years, leading to a growing body of research in this area (Wang et al., 2024b; Wu et al., 2024a; Xin et al., 2025b; Li et al., 2025; Xin et al., 2025a; Dong & Ma, 2025; Lin et al., 2025b; Zhang et al., 2025; Wang et al., 2025; Ren et al., 2025). This not only provides a way to guarantee the correctness of mathematical reasoning by LLMs, but also marks a major breakthrough in automated theorem proving. A key point is the complementary strengths of LLMs and formal proof assistants: LLMs excel in reasoning and generation but may produce errors and lack guarantees of correctness, whereas formal proof assistants, such as Lean, possess perfect verification capabilities grounded in mathematical logic but are not generative.

Yet, significant hurdles remain in bridging informal reasoning and formal proving (Yang et al., 2025b). For instance, prompting o3-mini (OpenAI, 2025) to directly generate a complete Lean proof for a competition-level problem succeeds in only 6.0% of cases in a single attempt, despite its strong performance on competition-level mathematical reasoning in natural language (Yousefzadeh & Cao, 2025). Even when fine-tuned on mathematical data, trained with reinforcement learning, or allowed chain-of-thought, purely neural approaches fail to produce correct formal proofs, and their formal proving capabilities still lag far behind their informal reasoning skills in natural language.

To bridge this gap between informal reasoning and formal proving, we propose a novel agent framework (**Prover Agent**) that coordinates an informal reasoning LLM, a formal prover model, and the Lean verification system. To tackle difficult problems that cannot be solved directly, the agent generates auxiliary lemmas to assist in discovering a viable proof strategy. These lemmas are not limited to subgoals that can be directly inserted into a formal proof, but may also include special cases or intermediate facts derived from the assumptions. Such lemmas are particularly useful when the overall proof strategy is not apparent from the outset, as they help in constructing a viable plan. On the MiniF2F benchmark (Zheng et al., 2022), it achieves an 88.1% success rate, establishing a new state-of-the-art among methods using small language models (SLMs). Notably, it uses only SLMs with much smaller sample budget than previous high-performing approaches, making it much more efficient in terms of inference-time cost. Furthermore, we provide both a theoretical analysis and a case study to demonstrate the effectiveness of our agent's approach to generating auxiliary lemmas.

Our contributions are summarized as follows:

- **Coordination of Informal and Formal Reasoning with Lean Feedback:** Our agent combines an informal LLM and a formal prover under Lean's verification. The LLM produces natural language reasoning and lemmas, which the prover formalizes and Lean checks. Errors detected by Lean are immediately fed back, enabling iterative refinement of constructed proofs.
- **Auxiliary Lemma Generation for Strategy Discovery:** For challenging problems that cannot be solved directly, our agent generates auxiliary lemmas, such as specific cases, intermediate facts, or hypothesis-driven conjectures, which are then formally proved. By reconsidering the overall proof in light of the verified lemmas, the system uncovers viable proof strategies even when the solution path is not apparent at first.
- **State-of-the-Art Theorem-Proving Performance:** On the challenging MiniF2F benchmark (Zheng et al., 2022), a standard benchmark for formal theorem proving that consists of 488 problems drawn from mathematics Olympiads and advanced mathematics, our agent achieves 88.1% pass rate, establishing a new state-of-the-art among methods using SLMs.
- **Efficiency in Inference-Time Cost:** The 88.1% success rate was achieved using only SLMs with a much smaller sample budget than previous high-performing approaches. This emphasizes the efficiency of our approach in terms of inference-time cost.

2 Related Work

In this section, we provide a brief overview of recent advancements in automated formal theorem proving. Details of representative systems are provided in Appendix A.

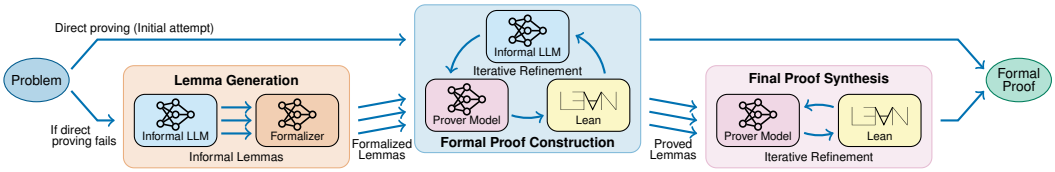


Figure 2: Overall workflow of Prover Agent. The agent coordinates informal reasoning, formal proving, and Lean verification. It first attempts direct proving; if unsuccessful, it generates auxiliary lemmas to guide the discovery of a viable proof strategy. These lemmas are then formally proved, and the successfully proved lemmas are subsequently used to synthesize the final proof.

Tree-Search-based Formal Proving. Tree-search methods construct Lean proofs tactic-by-tactic and navigate the proof space with explicit search, such as best-first search or Monte-Carlo tree search (MCTS) (Lample et al., 2022; Wang et al., 2023; Wu et al., 2024a; Zhou et al., 2024; Li et al., 2025; Xin et al., 2025a;b). This line began with stepwise tactic prediction guided by a goal state, and matured into systems that jointly optimize (i) the tactic policy, (ii) the search heuristic, and (iii) data curation for longer proofs.

Whole-Proof Generation. A complementary line to tree-search methods is whole-proof generation (First et al., 2023), where a model emits an entire Lean script in one shot, often accompanied by a long chain-of-thought reasoning trace. This approach has progressed via expert-iteration pipelines that recycle verified proofs back into training (Polu et al., 2023; Wu et al., 2021; 2024a; Lin et al., 2025a; Dong & Ma, 2025; Lin et al., 2025b;c) and via reinforcement learning with formal verifier feedback (Kaliszyk et al., 2018; Xin et al., 2025a; Zhang et al., 2025; Wang et al., 2025; Ren et al., 2025; Gloeckle et al., 2024; Ji et al., 2025; Lin et al., 2025c).

Formal Theorem Proving with Retrieval-Augmented Generation. Another emerging direction is to combine LLM-based provers with retrieval-augmented generation (RAG), where external knowledge sources or proof libraries are queried at inference time to supplement the model's reasoning (Yang et al., 2023; Shen et al., 2025)

Proof Refinement and Subgoal Decomposition. Some work has explored proof refinement, where an initial proof attempt is improved based on feedback from the proof assistant (Thakur et al., 2024; Zhou et al., 2025; Chen et al., 2025; Lin et al., 2025c). Another line of work involves subgoal decomposition, where a complex theorem is broken down into simpler subgoals that are easier to prove (Dong et al., 2025; Wang et al., 2024a; Ren et al., 2025; Zhou et al., 2025), often guided by natural-language sketches (Jiang et al., 2023; Cao et al., 2025).

The subgoal decomposition approach shares certain similarities with ours, but our method adopts a more comprehensive strategy that subsumes it. In these works, the full sketch of the proof must be correctly envisioned upfront, which is often challenging. In contrast, our approach does not assume that the overall proof strategy is fully visible from the beginning. Rather than limiting decomposition to subgoals directly aligned with a pre-defined proof plan, we also consider auxiliary lemmas, such as specific cases or intermediate facts to help developing a strategy in a bottom-up manner.

3 METHOD

The overall workflow is illustrated in Figure 2 and the corresponding pseudocode is shown in Algorithm 1. Given a formal math problem, our agent first attempts a direct proof, which is often sufficient for simpler problems. For more difficult problems that cannot be solved directly, it generates auxiliary lemmas to uncover a viable proof strategy. These lemmas are then formalized and proved individually, and the resulting proven lemmas are used to synthesize a final proof of the original problem. Throughout this process, feedback from Lean is used to iteratively refine constructed proofs. We describe each stage below, highlighting how the informal LLM, formal prover model, and Lean coordinate to construct formal proofs.

3.1 Formal Proof Construction Guided by Informal Reasoning and Iterative Feedback

The agent first attempts to directly prove the given problem or a generated lemma without decomposition. To leverage the stronger mathematical reasoning ability of the informal LLM compared to that of the formal prover model, we first generate an informal proof in natural language for the given problem or lemma using the informal LLM. The formal prover model then uses the informal proof as contextual guidance to generate a formal proof, which is subsequently verified by Lean. If the proof is successful, this step is complete. If the proof fails, these steps are repeated until a successful proof is found or the maximum number of attempts N_{init} is reached. This process helps establish a better initial outline for the subsequent iterative refinement process.

If the proof still fails, the agent enters an iterative refinement stage. The proof with the fewest Lean verification errors among the prior attempts is selected as the initial draft. This proof is then iteratively refined based on the feedback from Lean. In each iteration, the previous proof attempt, along with the error locations and corresponding error messages, is provided to the prover model, which revises and generates a corrected version of the proof. This process is repeated until the proof is successfully verified by Lean or the maximum number of attempts N_{refine} is reached.

This iterative refinement process leverages Lean's verification to identify and correct mistakes. It serves as a form of self-correction through in-context learning, akin to how humans improve their understanding from feedback. This provides an efficient remedy to a key limitation of inference-time scaling with chain-of-thought, where simply increasing the number of reasoning steps does not guarantee better results due to the model's limited ability of self-correction (Zeng et al., 2025; Song et al., 2025; Stechly et al., 2025; Huang et al., 2024).

It is accessible if a generated lemma cannot be proven. This mirrors how human mathematicians often approach problems: when the overall strategy is unclear at the beginning, they may explore several directions, some of which turn out to be unproductive and are eventually discarded in favor of more promising ones. Alternatively, to handle cases where the lemma is still too challenging to prove, the system may recursively introduce smaller auxiliary lemmas, up to a depth limit D.

3.2 Lemma Generation via Informal Reasoning

When the direct proving approach fails to solve the problem, the agent generates several auxiliary lemmas. These are not limited to subgoals that can be directly inserted into a final proof; they may also include specific cases or potentially useful facts derived from the assumptions that help in developing a proof strategy. This represents a key difference from prior work, which typically relies on decomposing the problem into subgoals based on a pre-defined proof sketch (Jiang et al., 2023; Wang et al., 2024a; Ren et al., 2025; Cao et al., 2025; Zhou et al., 2025). In such approaches, it is necessary to come up with the correct overall proof strategy beforehand, which is often a challenging task. Indeed, these methods often rely on larger, stronger models such as DeepSeek-V3 (DeepSeek-AI, 2024) and DeepSeek-R1 (DeepSeek-AI, 2025) to accurately predict the entire proof plan from the outset. In contrast, our approach does not assume that the proof strategy is visible from the outset. Instead, by generating auxiliary lemmas, the agent can gradually construct an effective proof strategy in a bottom-up manner, even when the full structure is not initially apparent.

For example, when trying to prove that $n^2 + an$ is even for a natural number n and an odd number a, it may be helpful to first consider specific cases such as $a = 1$ or $a = 3$, i.e., $n^2 + n$ or $n^2 + 3n$. These specific cases can help reveal patterns and guide the overall proof strategy for $n^2 + an$, even though expressions like $n^2 + n$ or $n^2 + 3n$ may not explicitly appear as steps within the final proof.

This approach mirrors how human mathematicians typically work. When the overall strategy is not clear at the beginning, they often explore specific cases or consider what can be derived from the assumptions. Through such trial and error, they gradually discover the overall proof strategy.

The system first generates lemmas in natural language to leverage the stronger mathematical reasoning capabilities of the informal LLM. These lemmas are then converted into formal statements by a formalizer model, which formalizes only their assumptions and conclusions with no proof attempt. Lean is also used here to verify the syntactic correctness of the formalized statements, which are regenerated until they become valid. These formally stated lemmas are then proved using the proof construction process described in Section 3.1.

3.3 Final Proof Synthesis Guided by Verified Lemmas and Iterative Feedback

After attempting to prove each of these lemmas individually, the agent reconsiders the overall proof. With the verified lemmas as context, it attempts to to construct a proof up to N_{init} times, followed by iterative refinement for up to N_{refine} attempts, as described in Section 3.1.

4 Theoretical Analysis

We present theoretical analyses to justify the effectiveness of our approach described in Section 3. The use of lemmas serves two key purposes: (i) decomposing proof steps under a given strategy to make them more manageable, and (ii) helping discover proof strategies when the appropriate one is not initially clear (e.g., by testing specific cases). Prior work has largely focused only on (i), often requiring larger models to directly devise an overall strategy (Wang et al., 2024a; Jiang et al., 2023; Ren et al., 2025; Cao et al., 2025; Zhou et al., 2025), whereas our approach leverages both (i) and (ii) to solve difficult problems more effectively. Sections 4.1 and 4.2 present brief results of theoretical analyses on lemma usage in cases (i) and (ii), respectively. See Appendix C for the details.

4.1 Benefits of Lemmas for Structured Proof Decomposition

Assumption 4.1. For a certain class of theorems, it is necessary to satisfy m essential intermediate facts $F_1, \ldots, F_m$.

Assumption 4.2. The probability p_i that the model correctly produces each F_i in a single attempt is independent across i within one global generation.

Assumption 4.3. Given a set of completed intermediate facts $\{F_i\}_{i\in S}$ with $S \subseteq [m]$[1], the probability of proving their composition F_S (e.g., simply concatenating them) is higher than the probability of proving F_S without being given those facts: $\mathbb{P}(F_S \mid \{F_i\}_{i\in S}) > \mathbb{P}(F_S)$.

Assuming $p = p_1 = \cdots = p_m$ for simplicity, the following theorems hold. Rigorous versions without this simplification and without asymptotic notation are provided in Appendix C.1.

Theorem 4.4 (Required Number of Trials)**.** *Let N_{dir} denote the number of trials required to directly prove a problem T with probability at least $1-\delta$. Let N_{lem} denote the total number of trials required to complete the proof of T with probability at least $1-\delta$, when lemmas $L_1, \ldots, L_n$ are introduced with an allowed failure probability δ_{lem}. Suppose each lemma L_i contains a subset of the essential intermediate facts $\{F_i\}_{i\in S_i}$ with $S_i \subseteq [m]$. Then the following holds:*

$$N_{\text{dir}} = \Theta(p^{-m}), \qquad \mathbb{E}[N_{\text{lem}}] = \tilde{\Theta}(p^{-s}),$$

where $s \coloneqq \max\{\max_i |S_i|, |R_0|\} \leq m$, $R_0 \coloneqq [m] \setminus \bigcup_{i=1}^n S_i$, and $\tilde{\Theta}$ indicates asymptotic order ignoring higher-order terms in δ_{lem}, which vanish when δ_{lem} is sufficiently small.

Theorem 4.5 (Threshold Condition for Lemma Efficiency)**.** *There exists a threshold $\tau \in [0,1]$ such that if $p \leq \tau$, then $\mathbb{E}[N_{\text{lem}}] \leq N_{\text{dir}}$ holds for any $\delta, \delta_{\text{lem}} \in (0,1)$.*

Theorem 4.6 (Optimal Partition of Lemma Coverage)**.** *Under the fixed lemma coverage $U \coloneqq \bigcup_{i=1}^n S_i \subseteq [m]$, $\mathbb{E}[N_{\text{lem}}]$ is minimized when $|S_i| = \lceil |U|/n \rceil$ or $\lfloor |U|/n \rfloor$ for all $i \in [n]$.*

The proofs are provided in Appendix C.1. Theorem 4.4 shows that lemma-based decomposition yields an exponential improvement in the order of required trials, while Theorem 4.5 indicates that for small p (i.e., difficult problems), lemma usage reduces the required number of trials. This justifies our approach of generating lemmas for difficult problems while solving easy ones directly. Furthermore, Theorem 4.6 suggests that the optimal lemmas are those that divide the problem into subproblems of approximately equal difficulty.

4.2 Benefits of Lemmas for Discovering Proof Strategies (e.g., Specific Cases)

Let $\mathcal{S}$ be the set of possible proof strategies (e.g., induction, bounding with monotonicity, or case analysis with known results). Let π_0 denote the prior distribution over strategies that the model possesses, from which a strategy is chosen in the absence of any additional information. Our agent

[1] $[m]$ denotes the set $\{1, 2, \ldots, m\}$.

conducts experiments with lemmas $L_1, \ldots, L_n$ and verifies them in Lean, thereby obtaining observations $Y_1, \ldots, Y_n$. By incorporating these observations into the context, the distribution is updated to the posterior $\pi_n(\cdot) \coloneqq \pi(\cdot \mid Y_{1:n})$, where $Y_{1:n} \coloneqq \{Y_1, \ldots, Y_n\}$, aiming to increase the probability of selecting the correct proof strategy.

Let $p(z) \in [0, 1]$ denote the model's success probability under a given strategy $z \in \mathcal{S}$, and define $r \coloneqq \inf_z p(z)$. As shown in Section 4.1, this quantity can be increased by using decomposition-type lemmas. Define the entropy of the prior distribution as $H_0 \coloneqq H(Z) = -\sum_{z \in \mathcal{S}} \pi_0(z) \log \pi_0(z)$.

Theorem 4.7 (Success Probability Improvement by Lemmas)**.** *The success probability of performing one trial of final proving by sampling a strategy from the posterior distribution π_n is bounded as:*

$$\mathbb{E}[\mathbb{P}(\mathsf{succ@1})] \;\geq\; r \exp\bigl(-H_0 + I(Z; Y_{1:n})\bigr).$$

The proof is provided in Appendix C.2. This shows that the success probability improves exponentially in the mutual information contributed by lemmas, $I(Z; Y_{1:n})$. In particular, it exceeds the no-lemma case, where $I(Z; Y_{1:n}) = 0$.

Furthermore, this result implies that not only lemmas but any information in the context that shares mutual information with the final correct proof can similarly improve the success probability, thereby justifying our use of natural language proofs and Lean feedback.

5 Experiments

5.1 Experimental Setup

We evaluate our approach on the MiniF2F benchmark (Zheng et al., 2022), a standard dataset for formal theorem-proving systems. We use `DeepSeek-R1-0528-Qwen3-8B` (DeepSeek-AI, 2025) for the informal reasoning LLM and `DeepSeek-Prover-V2-7B` (Ren et al., 2025) and `Goedel-Prover-V2-8B` (Lin et al., 2025c) for the prover model. We set $N_{\text{init}} = N_{\text{refine}} = 50$. Thus, the sample budget at the initial direct proving stage is 50 at the first iteration, and 100 in total when including iterative refinement. For lemmas, we use $N_{\text{init}} = N_{\text{refine}} = 10$ for each of the three lemmas. In the final synthesis stage, $N_{\text{init}} = N_{\text{refine}} = 50$ is used again, resulting in a total sample budget of $50 + 50 + (10 + 10) \times 3 + 50 + 50 = 260$.

There are several bugs that may result in invalid Lean proofs being incorrectly accepted, such as the user-interference bug related to the `apply?` tactic discussed in Ren et al. (2025), and a bug in REPL[2]. To avoid these issues and prevent invalid proofs from being mistakenly judged as correct, we check proofs with `lake build` instead of REPL and additionally verified that the `apply?` tactic is not used. Also, to avoid this bug and obtain reliable baseline results, we re-ran the experiments for Goedel-Prover-V2-8B. We used the official prompts provided on GitHub[3] and Hugging Face[6], while keeping all other experimental settings strictly identical to those used in our method, thereby ensuring a fair comparison. For DeepSeek-Prover-V2, we relied on the results reported in (Ren et al., 2025), in which this bug has been fixed. See Appendix D for further details.

5.2 Main Result: Comparison with the Previous State-of-the-Art

The results are shown in Table 1 and Figure 3. Our agent achieves an 88.1% success rate, establishing a new state-of-the-art among methods using small language models (SLMs). Note that our agent achieves this result with a sample budget of only 260, far smaller than that of prior work, highlighting its efficiency in inference-time cost.

5.3 Modular and Scalable Design

To demonstrate the robustness of our approach, we conduct experiments across several models, namely DeepSeek-Prover-V2 and Goedel-Prover-V2. In both settings, our approach achieves higher success rates with a smaller sample budget than the vanilla versions of these models, as shown in Table 1. Furthermore, our approach can also ensemble these models. In experiments where the sample budget is split evenly between them, our agent achieves an even higher success rate, where the models complement each other on problems that one alone cannot solve. Unlike monolithic

[2] `https://github.com/leanprover-community/repl/issues/44`

[3] `https://github.com/Goedel-LM/Goedel-Prover-V2`

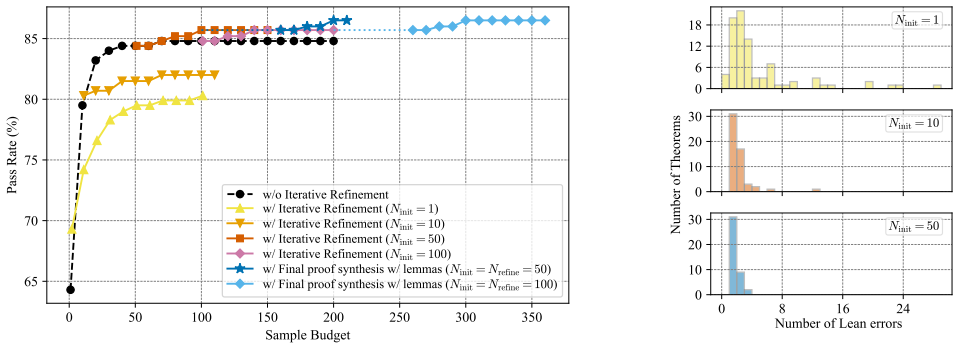
Table 1: Comparison of formal theorem-proving performance on miniF2F-test. The results are reported as the percentage of theorems proved correctly. For Prover Agent, sample budget includes all proof attempts across the full pipeline, including initial direct proving, iterative refinement, lemma proving, and final proof synthesis. The best results within each model scale are highlighted in **bold**.

Prover System			Method	Model Size	Sample Budget	miniF2F-test
Large Language Models						
DSP+ (Cao et al., 2025)	w/ QwQ, DeepSeek-V3, and BFS-Prover		Informal + Tree search	671B	1	52.5%
					128	74.2%
					1024	79.5%
	w/ DeepSeek-R1, DeepSeek-V3, and BFS-Prover				1024	80.7%
DeepSeek-Prover-V2 (Ren et al., 2025)			Whole-proof	671B	1	61.9%
					1024	86.6%
					8192	88.9%
Delta-Prover (Zhou et al., 2025)	w/ Gemini 2.5 Pro		Agent	unknown	16384	95.9%
Seed-Prover (Chen et al., 2025)			Whole-proof	unknown	unknown	**99.6%**
Medium Language Models						
Kimina-Prover-Preview (Wang et al., 2025)			Whole-proof	72B	1	52.9%
					1024	77.9%
					8192	80.7%
Goedel-Prover-V2 (Lin et al., 2025c)			Whole-proof	32B	32	88.1%
					1024	91.8%
					8192	**92.2%**
Small Language Models						
DeepSeek-Prover-V1.5-RL + RMaxTS (Xin et al., 2025a)			Tree search	7B	$32 \times 16 \times 400$	63.5%
InternLM2.5-StepProver-BF + CG (Wu et al., 2024a)			Tree search	7B	$256 \times 32 \times 600$	65.9%
HunyuanProver v16 + BFS + DC (Li et al., 2025)			Tree search	7B	$600 \times 8 \times 400$	68.4%
BFS-Prover (Xin et al., 2025b)			Tree search	7B	$2048 \times 2 \times 600$	70.8%
Leanabell-Prover-GD-RL (Zhang et al., 2025)			Whole-proof	7B	128	61.1%
Goedel-Prover-SFT (Lin et al., 2025b)			Whole-proof	7B	25600	64.7%
STP (Dong & Ma, 2025)			Whole-proof	7B	25600	67.6%
Kimina-Prover-Preview-Distill (Wang et al., 2025)			Whole-proof	7B	1	52.5%
					32	63.1%
					1024	70.8%
DeepSeek-Prover-V2 (Ren et al., 2025)			Whole-proof	7B	1	58.6%
					32	75.6%
					1024	79.9%
					8192	82.0%
Leanabell-Prover-V2-KM (Ji et al., 2025)			Whole-proof	7B	32	68.4%
					128	70.4%
Leanabell-Prover-V2-DS (Ji et al., 2025)					32	76.6%
					128	78.2%
Goedel-Prover-V2 (Lin et al., 2025c)			Whole-proof	7B	1	60.8%
					64	83.3%
					256	85.2%
					512	85.7%
Prover Agent (Ours)	w/ DeepSeek-Prover-V2	(Direct proving w/o iterative refinement)	Agent	8B	1	61.5%
		(Direct proving w/o iterative refinement)			50	79.9%
		(Direct proving w/ iterative refinement)			100	82.0%
		(Final proof synthesis w/ lemma)			260	82.8%
	w/ Goedel-Prover-V2	(Direct proving w/o iterative refinement)			1	64.3%
		(Direct proving w/o iterative refinement)			50	84.4%
		(Direct proving w/ iterative refinement)			100	85.7%
		(Final proof synthesis w/ lemma)			260	86.5%
	w/ Ensemble of Goedel-Prover-V2 and DeepSeek-Prover-V2	(Direct proving w/o iterative refinement)			1	64.3%
		(Direct proving w/o iterative refinement)			50	85.7%
		(Direct proving w/ iterative refinement)			100	86.9%
		(Final proof synthesis w/ lemma)			260	**88.1%**

approaches that train a single large model end-to-end, our method takes an orthogonal approach by combining an existing LLM and a prover model without any training. This modular design provides a practical benefit, allowing the system to immediately take advantage of improvements in LLMs and prover models by simply replacing components and to scale easily with future advancements.

5.4 Effectiveness of Informal, Formal, and Lean Coordination

Table 1 shows that in both model settings, our approach outperforms the corresponding vanilla baselines even before the iterative refinement, highlighting the benefit of collaboration with the informal LLM. Moreover, the scores increase even further after iterative refinement.



(a) Results for different N_{init} and N_{refine}. The dotted lines indicate that the corresponding sample budget are used in the proof of a lemma.

(b) Histogram of Lean error counts after N_{init}.

Figure 3: Ablation study results on N_{init} and N_{refine}. These results highlight the importance of initial draft selection and indicate that iterative refinement and lemma-based proving helps overcome saturation from the model's inherent limitations.

5.5 Ablation Study: Analyzing the Contribution of Each Stage

We conduct an ablation study to illustrate the contribution of each stage of our agent. Results for different N_{init} and N_{refine} are shown in Figure 3a. When N_{init} is set to 1 or 10, the success rate remains significantly lower than that without iterative refinement, even after $N_{\text{refine}} = 100$ refinement steps. This highlights the importance of the quality of the initial draft used to start refinement: if the initial proof is poor, subsequent corrections become difficult. Comparing $N_{\text{init}} = 1, 10, 50$ under the same sample budget shows a clear improvement in performance in this order, indicating the effectiveness of our approach of selecting the proof with the fewest Lean errors. As shown in Figure 3b, the histograms of the minimum number of errors after $N_{\text{init}} = 1, 10, 50$ confirm this trend: the error count decreases substantially, and for $N_{\text{init}} = 50$ most problems have only one or two errors. Although the number of Lean errors may not perfectly measure proof quality, since a single error can still correspond to a mathematically challenging gap, it nevertheless exhibits a strong correlation and serves as a useful proxy for evaluation.

As shown in Figure 3a, the runs without iterative refinement saturate around a sample budget of 80. In contrast, when iterative refinement is applied after $N_{\text{init}} = 50$ or 100, this saturation is overcome and the success rate improves, outperforming the setting that simply continues generation without refinement. This demonstrates the effectiveness of the iterative refinement: whereas repeated generation alone eventually saturates due to the inherent capacity limits of the model, incorporating external feedback through in-context learning enables the model to improve and overcome this limitation. Also, $N_{\text{init}} = 50$ and 100 yield almost identical results in the final performance. Since the model had already saturated in this regime, increasing N_{init} did not improve the quality of the selected initial drafts. Furthermore, Figure 3a shows that final synthesis with lemmas improves the score even after iterative refinement has saturated, demonstrating the effectiveness of our lemma-based approach. This indicates that the model's capability is further enhanced by incorporating information beyond mere error feedback.

5.6 Case Study: Success with Lemma-Guided Proofs

We next present a case study to demonstrate that our approach with auxiliary lemmas is indeed effective in practice. The detailed discussion and the outputs for this problem, such as the generated lemmas, final formal proof, and the associated reasoning process, are provided in Appendix E. We analyze the output and reasoning process for the problem where the direct proof attempt failed but the use of auxiliary lemmas led to a successful proof. In this case, our agent generates a lemma corresponding to the special case of substituting $n = 3$ into the given problem, as well as additional lemmas that may be potentially relevant for solving the problem. As observed in the chain-of-thought process when this lemma is used (see Appendix E.5), the agent immediately considers the $n = 3$ case and then quickly comes up with mathematical induction as the proof strategy. This allows it to quickly transition to filling in the details under a clear proof plan and ultimately complete the proof. Moreover, tactics and proof techniques considered in the auxiliary lemmas reappear in the

Table 2: Comparison of formal theorem-proving performance by problem category on MiniF2F-test. The results are reported as the percentage of theorems proved. The best results in each model setting for each of the three categories, demarcated by double lines, are highlighted in **bold**.

		Model Size	Sample Budget	Olympiad				MATH			Custom			
				IMO	AIME	AMC	Sum	Algebra	Number Theory	Sum	Algebra	Number Theory	Induction	Sum
Number of Problems				20	15	45	80	70	60	130	18	8	8	34
DeepSeek-Prover-V2 (Ren et al., 2025)		671B	8192	50.0	**93.3**	77.8	73.8	**100.0**	**96.7**	**98.5**	**83.3**	**87.5**	**100.0**	**88.2**
Prover Agent (Ours) w/ DeepSeek-Prover-V2	(Direct proving w/o iterative refinement)	8B	1	40.0	53.3	62.2	55.0	71.4	60.0	66.2	55.6	75.0	50.0	58.8
	(Direct proving w/o iterative refinement)		50	70.0	80.0	82.2	78.8	80.0	88.3	83.8	66.7	75.0	62.5	67.6
	(Direct proving w/ iterative refinement)		100	70.0	80.0	86.7	81.3	84.3	88.3	86.2	66.7	75.0	62.5	67.6
	(Final proof synthesis w/ lemma)		260	**70.0**	80.0	**88.9**	**82.5**	84.3	88.3	86.2	66.7	75.0	75.0	70.6
Goedel-Prover-V2 (Lin et al., 2025c)		8B	1	50.0	60.0	53.3	53.8	71.4	63.3	67.7	50.0	62.5	50.0	52.9
			64	80.0	80.0	88.9	85.0	84.3	91.7	87.7	77.8	75.0	87.5	79.4
			256	80.0	80.0	88.9	85.0	84.3	91.7	87.7	77.8	75.0	87.5	79.4
			512	**80.0**	**80.0**	**88.9**	**85.0**	84.3	**91.7**	87.7	**77.8**	**75.0**	**87.5**	**79.4**
Prover Agent (Ours) w/ Goedel-Prover-V2	(Direct proving w/o iterative refinement)	8B	1	50.0	73.3	57.8	58.8	68.6	70.0	69.2	55.6	62.5	62.5	58.8
	(Direct proving w/o iterative refinement)		50	80.0	80.0	86.7	83.8	84.3	90.0	86.9	77.8	75.0	75.0	76.5
	(Direct proving w/ iterative refinement)		100	80.0	80.0	88.9	85.0	87.1	90.0	88.5	77.8	75.0	75.0	76.5
	(Final proof synthesis w/ lemma)		260	**80.0**	**80.0**	**88.9**	**85.0**	**88.6**	90.0	**89.2**	**77.8**	**75.0**	**87.5**	**79.4**
Prover Agent (Ours) w/ Ensenble	(Direct proving w/o iterative refinement)	8B	1	50.0	73.3	57.8	58.8	68.6	70.0	69.2	55.6	62.5	62.5	58.8
	(Direct proving w/o iterative refinement)		50	80.0	80.0	88.9	85.0	87.1	90.0	88.5	77.8	75.0	75.0	76.5
	(Direct proving w/ iterative refinement)		100	80.0	80.0	91.1	86.3	90.0	90.0	90.0	77.8	75.0	75.0	76.5
	(Final proof synthesis w/ lemma)		260	**80.0**	**80.0**	**93.3**	**87.5**	**91.4**	**90.0**	**90.8**	**77.8**	**75.0**	**87.5**	**79.4**

reasoning process and final proof: even when a lemma itself is not directly used, the techniques explored during lemma generation provide valuable hints for the overall proof construction. Next, for comparison, we examine the reasoning process without using lemmas, focusing on the trajectory with the fewest final errors (see Appendix E.6). Compared to the successful case with lemmas, the proof strategy here is far less clear, with the model wandering without a coherent plan. As a result, even when it eventually reaches the idea of using mathematical induction, it fails to elaborate the details and the proof does not succeed. This comparison highlights the effectiveness of our auxiliary-lemma approach, which goes beyond simple decomposition of previous work.

5.7 Performance on Olympiad-Level Problems

Table 2 shows the results for each category on the MiniF2F-test dataset. These results demonstrate that our approach with DeepSeek-Prover setting performs particularly well on Olympiad-level problems, even surpassing DeepSeek-Prover-V2 (Ren et al., 2025), which uses a significantly larger 671B model and a much higher sample budget of 8192. Given that our direct proving method without iterative refinement and with a sample budget of only 100 already surpasses DeepSeek-Prover-V2, this suggests that coordination with natural language-based informal reasoning may be the key. Olympiad-level problems require a high degree of mathematical reasoning, and the strong reasoning abilities of the informal LLM likely played a crucial role in solving them effectively. On the other hand, our agent does not outperform DeepSeek-Prover-V2 in the MATH and Custom categories. The consistent gap in these categories suggests that model size and sample budget may play a more significant role here. Since DeepSeek-Prover-V2 also possesses a certain level of mathematical reasoning ability, it can handle these relatively mathematically easier problems on its own. In contrast, with the Goedel-Prover setting, no substantial differences are observed across categories. This is likely because Goedel-Prover already possesses a certain level of the required mathematical capability, and thus category-specific variation does not emerge as clearly.

5.8 Broader Applicability and Future Potential

Nothing in our pipeline is specific to mathematics competition problems. The same approach could be applied to formal proofs in other domains, such as learning theory or physics, as long as the LLM has relevant knowledge or is provided with an appropriate knowledge base. This offers the potential for AI-driven construction of mathematical theories without hallucinations or logical errors.

6 Conclusion

We introduced Prover Agent, a modular framework that coordinates an informal reasoning LLM, a formal prover model, and Lean verification. By generating auxiliary lemmas and leveraging feedback-driven refinement, our method achieved state-of-the-art performance among SLMs on the MiniF2F benchmark.

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A Extended Related Work

We briefly summarized related work in Section 2. Here we provide details of representative systems.

A.1 Language Models for Formal Theorem Proving

The use of language models for guiding formal theorem provers has gained momentum recently. Early work like GPT-f (Polu & Sutskever, 2020) applied transformers to produce proofs in formal systems, such as Metamath (Megill & Wheeler, 2019) and Lean (Moura & Ullrich, 2021), by generating one proof step (tactic) at a time, guided by a goal state. Subsequent efforts in Lean, such as lean-gptf[4] and PACT (Han et al., 2022), fine-tuned LLMs on large corpora of proof data, achieving moderate success in automatically discovering proofs.

A.2 Tree-Search-based Formal Proving

BFS-Prover (Xin et al., 2025b) proposed a scalable best-first tree search framework for Lean 4 that incorporates three key innovations: strategic data filtering during expert iterations, direct preference optimization (DPO) (Rafailov et al., 2023) on state-tactic pairs using Lean compiler feedback, and length normalization to encourage exploration of deeper proof paths. InternLM2.5-StepProver (Wu et al., 2024a) combined expert iteration with BFS and critic-guided sampling, while Hunyuan-Prover (Li et al., 2025) integrated large-scale data synthesis and guided search. Reinforcement-enhanced variants such as DeepSeek-Prover-V1.5 (Xin et al., 2025a) proposed the use of RMaxTS, a variant of Monte-Carlo tree search (MCTS), to diversify exploration and improve success rates.

A.3 Whole-Proof Generation

Representative systems in this strand have advanced two complementary mechanisms: (i) expert-iteration bootstrapping, which cycles model-generated proofs through a formal verifier to curate training trajectories, and (ii) reinforcement learning (RL) with verifier feedback that directly optimizes long, one-shot scripts (often with a long chain-of-thought).

Polu et al. (2023) introduced expert iteration for formal mathematics, alternating proof search with learning. They showed expert iteration outperforms search-only at fixed compute, discovered an automatically paced curriculum from problem statements, and showed improved performance on the miniF2F (Zheng et al., 2022) benchmark without requiring ground-truth proofs. InternLM2.5-StepProver (Wu et al., 2024a) scaled expert iteration on Lean-Workbook (Ying et al., 2024), trained a critic to prioritize easier instances and guide deeper proofs, and paired expert iteration with best-first exploration, achieving strong results on several benchmarks, such as miniF2F (Zheng et al., 2022), ProofNet (Azerbayev et al., 2023), PutnamBench (Tsoukalas et al., 2024), and Lean-Workbook-Plus (Ying et al., 2024). Lean-STaR (Lin et al., 2025a) trained a model to interleave informal natural-language thoughts with formal tactic steps. The model is trained by expert iteration, and at inference time, it generates informal reasoning prior to each tactic, enhancing theorem-proving performance. Goedel-Prover (Lin et al., 2025b) tackled data scarcity by training statement formalizers to translate Numina problems into Lean 4, building a 1.64M-statement corpus, and iteratively bootstrapping provers whose new proofs are added to training. The resulting SFT-centered expert iteration pipeline surpasses prior open-source baselines. Goedel-Prover-V2 (Lin et al., 2025c) extended expert iteration with scaffolded data synthesis, verifier-guided self-correction, and model averaging, delivering large gains on the MiniF2F benchmark (Zheng et al., 2022) at 8–32B scales under constrained test-time budgets.

Kaliszyk et al. (2018) formulated theorem proving as reinforcement learning for connection-style proof search, using Monte Carlo simulations guided by rewards from previous attempts to replace hand-crafted heuristics and improve held-out performance. DeepSeek-Prover-V1.5 (Xin et al., 2025a) utilized reinforcement learning from proof assistant feedback (RLPAF) and a novel Monte-Carlo tree search variant, RMaxTS, which employs an intrinsic-reward-driven strategy to explore diverse proof paths. Leanabell-Prover (Zhang et al., 2025) demonstrated the effectiveness of post-training in formal theorem proving by applying continual training with data emulating human cog-

[4] https://github.com/jesse-michael-han/lean-gptf

nitive behaviors and reinforcement learning with compiler feedback to existing models. Kimina-Prover Preview (Wang et al., 2025) employed a large-scale reinforcement learning pipeline and a structured "formal reasoning pattern," emulating human problem-solving strategies. It achieves an 80.7% pass rate on MiniF2F (Zheng et al., 2022) with a 72B-parameter model. Leanabell-Prover-V2 (Ji et al., 2025) is built on Kimina-Prover-Preview-Distill-7B(Wang et al., 2025) and DeepSeek-Prover-V2-7B (Ren et al., 2025) as base models, and further improved through post-training with reinforcement learning.

A.4 Formal Theorem Proving with Retrieval-Augmented Generation

Retrieval-augmented provers query large formal libraries at inference time and condition generation on the retrieved items, typically relevant lemmas, theorems, or proof patterns from mathlib (mathlib Community, 2020). This mitigates the limits of parametric memory by injecting on-demand knowledge and can be applied to both stepwise tactic generation and whole-proof scripts. LeanDojo (Yang et al., 2023) established the core infrastructure for RAG in Lean, including fine-grained premise annotations, a gym-like interactive environment, and a retrieval-augmented prover that selects premises for each proof state. REAL-Prover (Shen et al., 2025) integrated a semantic premise selector (LeanSearch-PS) with a fine-tuned Lean 4 prover and reports gains on challenging benchmarks such as ProofNet (Azerbayev et al., 2023).

A.5 Proof Refinement and Subgoal Decomposition

Jiang et al. (2023) introduced Draft, Sketch, and Prove (DSP), a novel three-stage method that leverages informal proofs to guide automated theorem provers. The process involves drafting an informal proof (either by a human or an LLM), using a language model to convert it into a high-level formal sketch with verifiable steps, and finally employing an off-the-shelf prover to automatically solve the remaining logical gaps. This approach of guiding a formal prover with an informal-to-formal sketch significantly improved its success rate, boosting performance on the miniF2F benchmark from 20.9% to 39.3%.

Wang et al. (2024a) introduced POETRY, a novel method that proves theorems recursively to overcome the limitations of short-sighted, step-by-step search in automated theorem proving. By first finding a verifiable high-level proof sketch and deferring detailed sub-proofs to subsequent levels using a *sorry* tactic, POETRY can solve more complex problems and find significantly longer proofs, leading to superior results on the miniF2F (Zheng et al., 2022) and PISA (Jiang et al., 2021) benchmarks.

Cao et al. (2025) introduced DSP+, an improved Draft, Sketch, and Prove framework Jiang et al. (2023) that achieves high performance in automated theorem proving without requiring any model training or fine-tuning. By carefully coordinating existing off-the-shelf reasoning models and step provers with fine-grained neuro-symbolic enhancements at each stage, DSP+ solved 80.7% of the miniF2F benchmark (Zheng et al., 2022), which was comparable to top models that rely on extensive reinforcement learning, and even proved a previously unsolved IMO problem.

DeepSeek-Prover-V2 (Ren et al., 2025) used a powerful general-purpose model, DeepSeek-V3 (DeepSeek-AI, 2024), to break down complex theorems into simpler subgoals, which are then recursively solved and synthesized into a cold-start dataset for the final prover. The resulting model achieved an 88.9% pass rate on the MiniF2F benchmark (Zheng et al., 2022).

Delta Prover (Zhou et al., 2025) is an agent-based framework that enables a general-purpose LLM to solve formal math problems without any specialized fine-tuning. The agent orchestrated the LLM's interaction with the Lean 4 environment through a novel process of reflective decomposition and iterative proof repair, where the model breaks down complex problems and corrects its own errors based on compiler feedback. This training-free approach achieved a 95.9% success rate on the miniF2F benchmark (Zheng et al., 2022), surpassing all previous methods, including those requiring extensive specialized training.

Chen et al. (2025) introduced Seed-Prover, a whole-proof reasoning model that uses a novel lemma-style approach to solve complex formal math problems. Seed-Prover iteratively refined its proofs using compiler feedback and a shared pool of proved lemmas, employing a powerful three-tiered test-time inference strategy for both deep and broad reasoning. This method significantly surpassed

Algorithm 1 The overall architecture of our lemma-based theorem-proving agent coordinating informal reasoning, formal reasoning, and Lean.

Input: Problem T with hyperparameters N_{init} (max initial proof attempts) and N_{refine} (max refinement attempts)
Output: Formal proof of T or `failure`

function MAIN(T): Overall proof process for problem T
  $P_{\text{direct}} \leftarrow$PROVE($T$): Attempt to prove theorem T directly
  if P_{direct} succeeds **then**
    return P_{direct}
  end if
  // Generate lemmas
  Informal LLM generates lemmas $L_1, L_2, \ldots, L_n$ in natural language
  for each lemma L_i **do**
    AutoFormalizer converts L_i into Lean statement F_i
    Lean checks F_i. If failing, regenerate F_i until syntactically correct
  end for
  // Prove each lemma
  for each lemma F_i **do**
    $P_i \leftarrow$PROVE(F_i): Attempt to prove lemma F_i
  end for
  // Collect proven lemmas
  $\mathcal{P}_{\text{proven}} \leftarrow \{P_i \mid P_i \text{ is succeeded}\}$
  // Synthesize final proof using proven lemmas
  for $k = 1$ to N_{init} **do**
    $P_{\text{final}} \leftarrow$ Prover synthesizes proof of T using $\mathcal{P}_{\text{proven}}$
    Lean checks P_{final}
    if the check succeeds **then**
      return P_{final}
    end if
  end for
  // Iterative refinement of final proof
  $P_{\text{best}} \leftarrow$ Best previous proof attempt with the fewest Lean errors
  return ITERATIVEREFINE(P_{best})
end function

function PROVE(S): Attempt to generate an informal proof of S
  // Initial proof attempt
  for $k = 1$ to N_{init} **do**
    Informal LLM generates informal proof P_{inf} of S
    Prover attempts to formalize P_{inf} into P_{form}
    Lean checks P_{form}
    if the check succeeds **then**
      return P_{form}
    end if
  end for
  // Iterative refinement
  $P_{\text{best}} \leftarrow$ Best previous proof attempt with the fewest Lean errors
  return ITERATIVEREFINE(P_{best})
end function

function ITERATIVEREFINE(P): Refine proof P based on Lean feedback
  for $k = 1$ to N_{refine} **do**
    Prover generates revised proof P' based on Lean feedback
    Lean checks P'
    if the check succeeds **then**
      return P'
    else
      $P \leftarrow P'$ // Update best proof
    end if
  end for
  return `failure` // No proof found after max attempts
end function

all previous state-of-the-art results, saturating the MiniF2F benchmark (Zheng et al., 2022), proving 78.1% of past IMO problems, and solving 5 out of 6 problems at the IMO 2025 competition.

B PSEUDOCODE OF THE OVERALL WORKFLOW

The pseudocode of our overall workflow is shown in Algorithm 1.

C DETAILED THEORETICAL ANALYSIS

We briefly discussed the theoretical analysis of our approach in Section 4. In this section, we provide a detailed theoretical analysis of our approach.

C.1 BENEFITS OF LEMMAS FOR STRUCTURED PROOF DECOMPOSITION

We begin by stating a lemma required for the following analysis:

Lemma C.1 (Number of Trials for Success)**.** *Let p denote the probability that the model successfully proves a theorem T. Then the expected number of trials until the first success, N, and the number*

of trials required to succeed with probability at least $1-\delta$, denoted N_δ, satisfy the following:

$$\mathbb{E}[N] = \frac{1}{p}, \quad \log(1/\delta)\left(\frac{1}{p}-1\right) < \frac{\log\delta}{\log(1-p)} < N_\delta = \left\lceil \frac{\log\delta}{\log(1-p)} \right\rceil < \frac{\log(1/\delta)}{p} + 1.$$

Proof. Since each trial is an independent Bernoulli experiment with success probability p, the number of trials N until the first success follows a geometric distribution. It is well known that

$$\mathbb{E}[N] = \sum_{n=1}^{\infty} n(1-p)^{n-1}p = \frac{1}{p}.$$

Next, we consider N_δ. Since the probability of at least one success in n trials is $1-(1-p)^n$, the condition for achieving success with probability at least $1-\delta$ is:

$$1-(1-p)^n = 1-\delta \;\Leftrightarrow\; (1-p)^n = \delta \;\Leftrightarrow\; n = \frac{\log\delta}{\log(1-p)}.$$

Recalling the standard inequalities $p \le -\log(1-p) \le \frac{p}{1-p}$, which is valid for $0 < p < 1$, together with the basic ceiling inequality $x \le \lceil x \rceil < x+1$, we obtain:

$$\log(1/\delta)\left(\frac{1}{p}-1\right) < \frac{\log\delta}{\log(1-p)} < N_\delta = \left\lceil \frac{\log\delta}{\log(1-p)} \right\rceil < \frac{\log(1/\delta)}{p} + 1.$$

This completes the proof. □

For simplicity, we henceforth relax N_δ to be continuous and write:

$$\log(1/\delta)\left(\frac{1}{p}-1\right) < N_\delta = \frac{\log\delta}{\log(1-p)} < \frac{\log(1/\delta)}{p}.$$

The difference from the actual integer-valued N_δ is at most less than 1.

As rigorous versions of Theorems 4.4 to 4.5 described in Section 4.1, we obtain the following Theorems C.2 to C.3, under the same Assumptions 4.1 to 4.3:

Theorem C.2 (Required Number of Trials)**.** *Let N_{dir} denote the number of trials required to directly prove a problem T with probability at least $1-\delta$. Let N_{lem} denote the total number of trials required to complete the proof of T with probability at least $1-\delta$, when lemmas $L_1, \ldots, L_n$ are introduced with an allowed failure probability δ_{lem}. Suppose each lemma L_i contains a subset of the essential intermediate facts $\{F_i\}_{i\in S_i}$ with $S_i \subseteq [m]$. Then the following holds:*

$$\Phi_{\mathrm{dir}}(p) - \log(1/\delta) < N_{\mathrm{dir}} < \Phi_{\mathrm{dir}}(p),$$
$$\Phi_{\mathrm{lem}}(p) - \log(1/\delta) - n\log(1/\delta_{\mathrm{lem}}) < \mathbb{E}[N_{\mathrm{lem}}] < \Phi_{\mathrm{lem}}(p),$$

where

$$\Phi_{\mathrm{dir}}(p) \coloneqq \log(1/\delta)\prod_{i=1}^{m}\frac{1}{p_i},$$

$$\Phi_{\mathrm{lem}}(p) \coloneqq \log(1/\delta_{\mathrm{lem}})\sum_{i=1}^{n}\prod_{j\in S_i}\frac{1}{p_j} + \frac{\log(1/\delta)}{r_0}\left(\prod_{i\in R_0}\frac{1}{p_i}\right)\prod_{i=1}^{n}\left((1-\delta_{\mathrm{lem}}) + \delta_{\mathrm{lem}}\prod_{j\in S_i}\frac{1}{p_j}\right).$$

Here, we denote $U \coloneqq \bigcup_{i=1}^{n} S_i,\ R_0 \coloneqq [m]\setminus U$, and $r_0 \coloneqq \min P(F_S|\{F_i\}_{i\in S})$.

Proof. By Assumption 4.2, the probability that all $F_1, \ldots, F_m$ succeed and the problem T is solved equals $\prod_{i=1}^{m} p_i$. Hence, by Lemma C.1, we obtain:

$$\Phi_{\mathrm{dir}}(p) - \log(1/\delta) < N_{\mathrm{dir}} < \Phi_{\mathrm{dir}}(p).$$

Similarly, since the probability that all F_j with $j \in S_i$ succeed and lemma L_i is proved equals $\prod_{j\in S_i} p_j$, the number of trials required for lemma L_i, denoted N_{L_i}, satisfies:

$$\log(1/\delta_{\mathrm{lem}})\prod_{j\in S_i}\frac{1}{p_j} - \log(1/\delta_{\mathrm{lem}}) < N_{L_i} < \log(1/\delta_{\mathrm{lem}})\prod_{j\in S_i}\frac{1}{p_j}.$$

Therefore, the total number of trials required to prove all n lemmas $L_1, \ldots, L_n$ is bounded by the sum of the bounds above, i.e.,

$$\log(1/\delta_{\text{lem}}) \sum_{i=1}^{n} \prod_{j \in S_i} \frac{1}{p_j} - n \log(1/\delta_{\text{lem}}) < \sum_{i=1}^{n} N_{L_i} < \log(1/\delta_{\text{lem}}) \sum_{i=1}^{n} \prod_{j \in S_i} \frac{1}{p_j}. \tag{1}$$

The probability that the composition of all lemmas succeeds is r_0, while the probability of proving the uncovered facts $\{F_i\}_{i \in R_0}$ is $\prod_{i \in R_0} p_i$. If a lemma L_i fails with probability δ_{lem}, then in the final proof it must be reproved directly, which succeeds with probability $\prod_{j \in S_i} p_j$. Thus, the expected success probability of lemma L_i in the final stage is: $(1 - \delta_{\text{lem}}) + \delta_{\text{lem}} \prod_{j \in S_i} p_j$.

Therefore, since the expected success probability in the final stage is given by the product above, the number of trials required to complete the proof of the whole problem T using lemmas in the final stage, denoted N_{final}, satisfies:

$$\Phi_{\text{final}}(p) - \log(1/\delta) < \mathbb{E}[N_{\text{final}}] < \Phi_{\text{final}}(p), \tag{2}$$

where

$$\Phi_{\text{final}}(p) \coloneqq \frac{\log(1/\delta)}{r_0} \left(\prod_{i \in R_0} \frac{1}{p_i} \right) \prod_{i=1}^{n} \left((1 - \delta_{\text{lem}}) + \delta_{\text{lem}} \prod_{j \in S_i} \frac{1}{p_j} \right).$$

Hence, by combining Equations (1) and (2), we obtain the desired result, completing the proof of Theorem C.2. □

From Theorem C.2, we see that decomposing the problem into lemmas transforms the corresponding leading term from a product into a sum, thereby significantly reducing the order of the required number of trials.

Theorem C.3 (Threshold Condition for Lemma Efficiency)**.** *There exists a threshold $\tau \in [0, 1]$ such that if $p_i \leq \tau$ for all $i \in [m]$, then $\mathbb{E}[N_{\text{lem}}] \leq N_{\text{dir}}$ holds for any $\delta, \delta_{\text{lem}} \in (0, 1)$.*

Proof. Consider the condition $\frac{\mathbb{E}[N_{\text{lem}}]}{N_{\text{dir}}} < 1$. By Theorem C.2, this condition is satisfied if the following holds:

$$\begin{aligned} &\frac{\Phi_{\text{lem}}(p)}{\Phi_{\text{dir}}(p) - \log(1/\delta)} < 1 \\ \Leftrightarrow \quad &\frac{\log(1/\delta_{\text{lem}}) \sum_{i=1}^{n} \prod_{j \in S_i} \frac{1}{p_j}}{\log(1/\delta) \prod_{i=1}^{m} \left(\frac{1}{p_i} - 1 \right)} \\ &\qquad + \frac{\frac{\log(1/\delta)}{r_0} \left(\prod_{i \in R_0} \frac{1}{p_i} \right) \prod_{i=1}^{n} \left((1 - \delta_{\text{lem}}) + \delta_{\text{lem}} \prod_{j \in S_i} \frac{1}{p_j} \right)}{\log(1/\delta) \prod_{i=1}^{m} \left(\frac{1}{p_i} - 1 \right)} < 1. \end{aligned} \tag{3}$$

The first term on the left-hand side (LHS) of Equation (3) can be rewritten as:

$$\begin{aligned} \frac{\log(1/\delta_{\text{lem}}) \sum_{i=1}^{n} \prod_{j \in S_i} \frac{1}{p_j}}{\log(1/\delta) \prod_{i=1}^{m} \left(\frac{1}{p_i} - 1 \right)} &= \frac{\log(1/\delta_{\text{lem}})}{\log(1/\delta)} \sum_{i=1}^{n} \frac{\prod_{j \in S_i} \frac{1}{p_j} \prod_{j=1}^{m} p_j}{1 - \prod_{j=1}^{m} p_j} \\ &= \frac{\log(1/\delta_{\text{lem}})}{\log(1/\delta)} \sum_{i=1}^{n} \frac{\prod_{j \notin S_i} p_j}{1 - \prod_{j=1}^{m} p_j}. \end{aligned} \tag{4}$$

The second term on the LHS of Equation (3) can be rewritten as:

$$\begin{aligned}
&\frac{\frac{\log(1/\delta)}{r_0}\left(\prod_{i\in R_0}\frac{1}{p_i}\right)\prod_{i=1}^{n}\left((1-\delta_{\mathrm{lem}})+\delta_{\mathrm{lem}}\prod_{j\in S_i}\frac{1}{p_j}\right)}{\log(1/\delta)\prod_{i=1}^{m}\left(\frac{1}{p_i}-1\right)} \\
&= \frac{1}{r_0}\left(\prod_{i\in R_0}\frac{1}{p_i}\right)\left(\prod_{i=1}^{n}\left((1-\delta_{\mathrm{lem}})+\delta_{\mathrm{lem}}\prod_{j\in S_i}\frac{1}{p_j}\right)\right)\frac{\prod_{j=1}^{m}p_j}{1-\prod_{j=1}^{m}p_j} \\
&= \frac{1}{r_0}\prod_{i=1}^{n}\left((1-\delta_{\mathrm{lem}})\prod_{j\in S_i}p_j+\delta_{\mathrm{lem}}\right)\frac{1}{1-\prod_{j=1}^{m}p_j}. \qquad (5)
\end{aligned}$$

From Equations (4) and (5), both the first and second terms on the LHS of Equation (3) are monotonically increasing with respect to p_i. Hence, the LHS of Equation (3) itself is monotonically increasing w.r.t. p_i. Therefore, by bounding the LHS of Equation (3) from above by using $p_{\max} \coloneqq \max_i p_i$ and solving for $p_{\max}$, we obtain a sufficient condition, completing the proof. □

From Theorem C.3, it follows that lemma generation is effective for difficult problems. Therefore, our strategy of generating lemmas for difficult problems and solving easy problems directly is justified.

Theorem C.4 (Optimal Partition of Lemma Coverage)**.** *Under the fixed lemma coverage* $U \coloneqq \bigcup_{i=1}^{n} S_i \subseteq [m]$, $\mathbb{E}[N_{\mathrm{lem}}]$ *is minimized when* $\log p(S_i)$ *is as close as possible to* $\frac{1}{n}\log p(U)$ *for all* $i \in [n]$*, where* $p(S_i) \coloneqq \prod_{j\in S_i} p_j$ *and* $p(U) \coloneqq \prod_{j\in U} p_j$*.*

Proof. From Theorem C.2, we consider minimizing $\Phi_{\mathrm{lem}}(p)$. Let $W \coloneqq \prod_{i\in U}\frac{1}{p_i}$.

By Jensen's inequality, the first term of $\Phi_{\mathrm{lem}}(p)$ can be bounded as follows:

$$\begin{aligned}
\log(1/\delta_{\mathrm{lem}})\sum_{i=1}^{n}\prod_{j\in S_i}\frac{1}{p_j} &= \log(1/\delta_{\mathrm{lem}})\sum_{i=1}^{n}\exp\Big(\sum_{j\in S_i}\log\frac{1}{p_j}\Big) \\
&\geq \log(1/\delta_{\mathrm{lem}})n\exp\left(\frac{1}{n}\sum_{i=1}^{n}\sum_{j\in S_i}\log\frac{1}{p_j}\right) \\
&= \log(1/\delta_{\mathrm{lem}})n\exp\left(\frac{1}{n}\log W\right)
\end{aligned}$$

with equality if and only if $\log p(S_i) = \frac{1}{n}\log p(U)$ for all $i \in [n]$.

Noting that $f(x) = \log((1-d)+d\exp(x))$ is convex for $d \in (0,1)$, we can apply Jensen's inequality to bound the second term of $\Phi_{\mathrm{lem}}(p)$ as follows:

$$\begin{aligned}
&\frac{\log(1/\delta)}{r_0}\left(\prod_{i\in R_0}\frac{1}{p_i}\right)\prod_{i=1}^{n}\left((1-\delta_{\mathrm{lem}})+\delta_{\mathrm{lem}}\prod_{j\in S_i}\frac{1}{p_j}\right) \\
&= \frac{\log(1/\delta)}{r_0}\left(\prod_{i\in R_0}\frac{1}{p_i}\right)\exp\left(\sum_{i=1}^{n}\log\left((1-\delta_{\mathrm{lem}})+\delta_{\mathrm{lem}}\exp\left(\sum_{j\in S_i}\log\frac{1}{p_j}\right)\right)\right) \\
&\geq \frac{\log(1/\delta)}{r_0}\left(\prod_{i\in R_0}\frac{1}{p_i}\right)\exp\left(n\log\left((1-\delta_{\mathrm{lem}})+\delta_{\mathrm{lem}}\exp\left(\frac{1}{n}\sum_{i=1}^{n}\sum_{j\in S_i}\log\frac{1}{p_j}\right)\right)\right) \\
&= \frac{\log(1/\delta)}{r_0}\left(\prod_{i\in R_0}\frac{1}{p_i}\right)\exp\left(n\log\left((1-\delta_{\mathrm{lem}})+\delta_{\mathrm{lem}}\exp\left(\frac{1}{n}\log W\right)\right)\right)
\end{aligned}$$

with equality if and only if $\log p(S_i) = \frac{1}{n}\log p(U)$ for all $i \in [n]$.

Therefore, since both the first and second terms of $\Phi_{\mathrm{lem}}(p)$ attain their minimum under the same condition, namely:

$$\log p(S_i) = \frac{1}{n} \log p(U) \quad \text{for all } i \in [n],$$

it follows that $\Phi_{\mathrm{lem}}(p)$ itself is minimized under this condition. In the discrete case, the minimum is achieved at the partition closest to this balanced condition. This completes the proof. □

Theorem C.4 suggests that the optimal lemmas are those that divide the problem into subproblems of approximately equal difficulty.

C.2 Benefits of Lemmas for Discovering Proof Strategies (e.g., Specific Cases)

Theorem C.5 (Success Probability Improvement by Lemmas (Restated))**.** *The success probability of performing one trial of final proving by sampling a strategy from the posterior distribution π_n is bounded as follows:*

$$\mathbb{E}[\mathbb{P}(\mathsf{succ@1})] \;\geq\; r \exp\bigl(-H_0 + I(Z; Y_{1:n})\bigr).$$

Proof. We begin with:

$$\mathbb{P}(\mathsf{succ@1} \mid Z = z,\, Y = y) = p(z)\, \pi(z \mid y).$$

Taking expectation, we obtain:

$$\begin{aligned} \mathbb{E}_{Z,Y}\bigl[\mathbb{P}(\mathsf{succ@1} \mid Z, Y)\bigr] &= \mathbb{E}_{Z,Y}\bigl[p(Z)\, \pi(Z \mid Y)\bigr] \\ &= \mathbb{E}_{Z,Y}\bigl[p(Z)\, \pi_n(Z)\bigr] \\ &\geq r\, \mathbb{E}_{Z,Y}[\pi_n(Z)]. \end{aligned} \tag{6}$$

It remains to lower-bound $\mathbb{E}_{Z,Y}[\pi_n(Z)]$.

For fixed $Y = y$, we have:

$$\begin{aligned} \mathbb{E}_Z\bigl[\pi_n(Z) \mid Y = y\bigr] &= \sum_{z \in \mathcal{S}} \pi_n(z)\, \mathbb{P}(Z = z \mid Y = y) \\ &= \sum_{z \in \mathcal{S}} \pi_n(z)^2. \end{aligned}$$

Taking expectation over Y yields:

$$\mathbb{E}_{Z,Y}[\pi_n(Z)] = \mathbb{E}_Y\Bigl[\mathbb{E}_Z[\pi_n(Z) \mid Y]\Bigr] = \mathbb{E}_Y\left[\sum_{z \in \mathcal{S}} \pi_n(z)^2\right].$$

By Lemma C.6, we have:

$$\sum_{z \in \mathcal{S}} \pi(z \mid y)^2 \;\geq\; \exp\bigl(-H(\pi(\cdot \mid y))\bigr).$$

Averaging both sides over Y and applying Jensen's inequality (since $x \mapsto e^{-x}$ is convex), we obtain:

$$\begin{aligned} \mathbb{E}_{Z,Y}[\pi_n(Z)] &= \mathbb{E}_Y\Bigl[\sum_{z \in \mathcal{S}} \pi(z \mid Y)^2\Bigr] \\ &\geq \mathbb{E}_Y\bigl[\exp(-H(\pi(\cdot \mid Y)))\bigr] \\ &\geq \exp(-\mathbb{E}_Y[H(\pi(\cdot \mid Y))]) \\ &= \exp(-H(Z \mid Y)) \\ &= \exp(-H_0 + I(Z; Y)), \end{aligned}$$

where the last step uses the definition of mutual information.

Combining this with Equation (6) proves the claim. □

Theorem C.5 shows that the success probability improves exponentially in the amount of mutual information gained through the lemmas, $I(Z; Y_{1:n})$. In particular, the success probability is strictly larger than in the case without lemmas, where $I(Z; Y_{1:n}) = 0$.

The following lemma was used in the proof of Theorem C.5:

Lemma C.6 (Relation Between Squared Sum and Entropy)**.** *For any probability distribution $p = (p_i)_i$, the following inequality holds:*

$$\sum_i p_i^2 \;\geq\; \exp(-H(p)),$$

where $H(p) = -\sum_i p_i \log p_i$ denotes the Shannon entropy (with natural logarithm).

Proof. The log-sum inequality states that for nonnegative sequences $\{a_i\}, \{b_i\}$, the following holds:

$$\sum_i a_i \log \frac{a_i}{b_i} \;\geq\; \left(\sum_i a_i\right) \log \frac{\sum_i a_i}{\sum_i b_i}.$$

Let $a_i = p_i$ and $b_i = p_i^2$. Then the LHS becomes:

$$\sum_i p_i \log \frac{p_i}{p_i^2} = \sum_i p_i \log \frac{1}{p_i} = -\sum_i p_i \log p_i = H(p).$$

On the other hand, the right-hand side (RHS) becomes:

$$\left(\sum_i p_i\right) \log \frac{\sum_i p_i}{\sum_i p_i^2} = 1 \cdot \log \frac{1}{\sum_i p_i^2} = -\log\Big(\sum_i p_i^2\Big).$$

Hence, the log-sum inequality gives:

$$H(p) \;\geq\; -\log\Big(\sum_i p_i^2\Big).$$

Exponentiating both sides yields:

$$\sum_i p_i^2 \;\geq\; \exp(-H(p)).$$

This completes the proof. □

D Detailed Experimental Setup

D.1 Benchmarking Dataset

We use the MiniF2F (Zheng et al., 2022) dataset, which consists of 488 mathematical problems formalized in Lean. These problems originate from sources such as AIME (American Invitational Mathematics Examination), AMC (American Mathematics Competitions), and IMO (International Math Olympiad) competitions, along with selected problems from the MATH dataset (Hendrycks et al., 2021), covering topics such as algebra, number theory, geometry, and analysis. Each problem is given as a Lean theorem statement. The benchmark is split into 244 validation and 244 test problems. We use the validation set during development (e.g., for tuning prompt formats) and report the final results on the test set. We use the revised version of miniF2F released by Wang et al. (2025); Ren et al. (2025).

Also, we observed that for problem names like `algebra_2varlineareq_fp3zeq11_3tfm1m5zeqn68_feqn10_zeq7`, the LLM often struggled to reliably reproduce the latter part of the name due to its unintelligible character sequence. Therefore, we modified such problem names by removing the less interpretable suffixes and replacing them with simpler, more memorable labels such as `algebra` for our experiments.

D.2 USED MODELS

For the informal LLM, we use `DeepSeek-R1-0528-Qwen3-8B`[5] (DeepSeek-AI, 2025), a model obtained by distilling the chain-of-thought outputs of DeepSeek-R1-0528 (DeepSeek-AI, 2025) into the Qwen3-8B (Yang et al., 2025a). This model surpasses Qwen3-8B on the AIME benchmark for natural language reasoning and achieves state-of-the-art performance at this scale. For the prover model, we use `Goedel-Prover-V2-7B`[6] (Lin et al., 2025c) and `DeepSeek-Prover-V2-7B`[7] (Ren et al., 2025), the state-of-the-art and second-best Lean 4 provers at this scale, respectively. For the formalizer model, we use `Goedel-Formalizer-V2-8B`[8] (Lin et al., 2025c) in the Goedel-Prover setup and `Kimina-Autoformalizer-7B`[9] (Wang et al., 2025). All of them are publicly available on Hugging Face (Wolf et al., 2020).

D.3 IMPLEMENTATION DETAILS

All models are invoked via vLLM (Kwon et al., 2023), a high-performance inference engine for large language models. We set `max_num_batched_tokens` and `max_model_len` parameters to 16384 to accommodate the long context lengths required for theorem proving, while keeping all other settings at their vLLM defaults. The models are run on NVIDIA A100 GPUs with 40GB of memory. We use Lean version 4.9.0 (Moura & Ullrich, 2021) throughout all experiments, following the same setup in Xin et al. (2025a); Ren et al. (2025); Lin et al. (2025c).

There are several bugs that may result in invalid Lean proofs being incorrectly accepted, such as the user-interference bug related to the `apply?` tactic discussed in version 2 of the arXiv paper by Ren et al. (2025), and a bug in REPL[10]. To avoid these issues and prevent invalid proofs from being mistakenly judged as correct, we check proofs with `lake build` instead of REPL and additionally verified that the `apply?` tactic is not used. Also, to avoid this bug and obtain reliable baseline results, we re-ran the experiments for Goedel-Prover-V2-8B. We used the official prompts provided on GitHub[11] and Hugging Face[6], while keeping all other experimental settings strictly identical to those used in our method, thereby ensuring a fair comparison. For DeepSeek-Prover-V2, we relied on the results reported in version 2 of the arXiv paper (Ren et al., 2025), in which this bug has been fixed. All other baseline results are sourced from their respective papers.

D.4 SUMPLE BUDGET

We set $N_{\text{init}} = N_{\text{refine}} = 50$. Thus, the sample budget at the initial direct proving stage is 50 at the first iteration, and 100 in total when including iterative refinement. For lemmas, we use $N_{\text{init}} = N_{\text{refine}} = 10$ for each of the three lemmas. In the final synthesis stage, $N_{\text{init}} = N_{\text{refine}} = 50$ is used again, resulting in a total sample budget of $50 + 50 + (10 + 10) \times 3 + 50 + 50 = 260$.

D.5 BASELINE METHODS

We compare our approach against several baseline methods, categorized into two main classes: tree search methods and whole-proof generation methods. Tree search methods construct proofs incrementally by predicting individual tactics step by step, often guided by search algorithms such as best-first search or Monte Carlo Tree Search (MCTS). In contrast, whole-proof generation methods attempt to generate an entire proof script in a single forward pass, relying on the model's ability to plan the proof holistically.

The overview of the baseline methods used in our experiments is as follows:

Tree Search Method:

[5] `https://huggingface.co/deepseek-ai/DeepSeek-R1-0528-Qwen3-8B`
[6] `https://huggingface.co/Goedel-LM/Goedel-Prover-V2-8B`
[7] `https://huggingface.co/deepseek-ai/DeepSeek-Prover-V2-7B`
[8] `https://huggingface.co/Goedel-LM/Goedel-Formalizer-V2-8B`
[9] `https://huggingface.co/AI-MO/Kimina-Autoformalizer-7B`
[10] `https://github.com/leanprover-community/repl/issues/44`
[11] `https://github.com/Goedel-LM/Goedel-Prover-V2`

- **DeepSeek-Prover-V1.5-RL + RMaxTS** (Xin et al., 2025a) uses DeepSeek-Prover-V1.5-RL (Xin et al., 2025a), a 7B model trained with reinforcement learning, combined with RMaxTS (Xin et al., 2025a), a variant of MCTS that uses intrinsic rewards to explore diverse proof paths.
- **InternLM2.5-StepProver + BFS + CG** (Wu et al., 2024a) uses InternLM2.5-StepProver (Wu et al., 2024a), a 7B model trained via expert iteration (Anthony et al., 2017; Polu et al., 2023) starting with InternLM2-StepProver (Wu et al., 2024b), combined with a best-first search (BFS) strategy and a critic-guided (CG) sampling technique to explore longer proofs effectively.
- **HunyuanProver v1.6 + BFS + DC** (Li et al., 2025) uses HunyuanProver, a 7B model fine-tuned via a scalable data synthesis pipeline, in conjunction with best-first search guided by the distance critic (DC) to efficiently navigate complex Lean 4 proof search spaces.
- **BFS-Prover** (Xin et al., 2025b) uses a fine-tuned model of Qwen2.5-Math-7B model (Yang et al., 2024), trained through an expert-iteration pipeline. During inference, it employs a best-first search strategy to navigate the proof space efficiently.

Whole-Proof Generation Methods:

- **Leanabell-Prover-GD-RL** (Zhang et al., 2025) is a 7B model post-trained through continual training on statement-proof pairs and reinforcement learning using Lean 4 outcome rewards. This model is a fine-tuned version of Goedel-Prover-SFT (Lin et al., 2025b).
- **Goedel-Prover-SFT** (Lin et al., 2025b) is a 7B-parameter model obtained by supervised fine-tuning on DeepSeek-Prover-V1.5-Base (Xin et al., 2025a) with expert-iteration.
- **STP: Self-Play Theorem Prover** (Dong & Ma, 2025) employs a self-play framework that simultaneously takes on two roles, conjecturer and prover. The conjecturer is iteratively trained on statements that are barely provable by the current prover, incentivizing it to generate increasingly challenging conjectures. The prover uses standard expert iteration to verify and prove the generated conjectures. This model is a fine-tuned version of DeepSeek-Prover-V1.5-SFT (Xin et al., 2025a), which is a 7B-parameter model.
- **Kimina-Prover-Preview** (Wang et al., 2025) is a 72B-parameter reasoning model that learns specialized formal reasoning patterns via reinforcement learning. It is pretrained on a large corpus of formal proofs and fine-tuned with a binary correctness reward and consistency penalty. They also provide **Kimina-Prover-Preview-Distill-7B**, a distilled version from the 72B model.
- **DeepSeek-Prover-V2** (Ren et al., 2025) uses DeepSeek-V3 to decompose each theorem into subgoals and then employs the proofs of those subgoals as cold-start data for reinforcement learning using binary correctness rewards and a consistency penalty to ensure that every subgoal appears in the final proof. It is implemented as a 671B-parameter model, and a distilled 7B-parameter variant is also provided.

E Examples of Successful Cases Enabled by Lemmas

E.1 Detailed Analysis

We analyze in detail the reasoning process for the problem `induction_nfactltnexp nm1ngt3`, a case where the direct proof attempt failed but the use of auxiliary lemmas led to a successful proof. This problem asks for a formal proof that, for all natural numbers $n > 3$, the inequality $n! < n^{n-1}$ always holds.

The outputs for this problem, such as the generated lemmas, final formal proof, and the associated reasoning process, are provided in Appendix E.2 and after.

In this case, the agent generated the following three lemmas: The first states that $3! < 3^{3-1}$; the second states that for any natural number $n \geq 2$, $n^{n-1} < (n+1)^{n-1}$; and the third states that for any natural number $n \geq 3$, $n! < (n+1)^{n-1}$. The first is a specific case of the original problem with $n = 3$, while the second may provide a helpful hint toward solving the original problem. Both were easily proven in a single direct proof attempt. The third lemma generated in this case asserts that for

any natural number $n \geq 3$, $n! < (n+1)^{n-1}$. This lemma closely resembles the original problem, as it is a slightly weaker version of its conclusion. Due to its similarity and retained difficulty, the agent failed to construct a direct proof for it.

By examining the final successful reasoning trace in Appendix E.5, we see that the specific case for $n = 3$, considered as the first lemma, appears explicitly on line 7. The reasoning also checks the cases for $n = 4$ and $n = 5$, following a similar pattern. Furthermore, as stated on line 13, the use of mathematical induction is clearly identified as the intended proof strategy. Then, the reasoning trace from line 14 to line 80 further elaborates the proof process within the framework of mathematical induction. Furthermore, in the final proof, the proof technique used in Lemma 2 is explicitly applied at lines 195–196.

Next, as a comparison, we analyze the reasoning process from the initial direct proving attempt without using any lemmas, as shown in Appendix E.6. Here, we present the reasoning trace that resulted in the fewest Lean errors among all initial direct attempts. Compared to the successful case with lemmas, we see that the proof strategy is much less clear in this direct attempt. In the "Key Observations" section (lines 6 to 14), there is no indication of using mathematical induction, unlike in the lemma-assisted case. Although the system explores several ideas from lines 15 to 63, the reasoning appears less focused and more exploratory, lacking a concrete plan. As a result, while it eventually leans toward using induction, the lack of a clear and structured approach prevents it from working out the necessary details, ultimately leading to failure in the formal proof, which tolerates no ambiguity.

This detailed case study highlights the effectiveness of our lemma-generation approach in uncovering viable proof strategies. This marks a significant advance over prior methods that decompose problems into subgoals, which often assume the overall proof strategy is known in advance. Identifying an initial proof strategy is often a challenging part of solving difficult problems. Indeed, Ren et al. (2025) employs a decomposition-based approach but relies on the much larger and stronger DeepSeek-V3 (DeepSeek-AI, 2024) to formulate the initial proof sketch. In contrast, our agent follows a reasoning process similar to that of human mathematicians when the proof strategy is not apparent at first glance, exploring specific cases or hypothesizing intermediate steps to discover a promising direction and ultimately uncover the overall proof strategy.

E.2 Lean Environment Setup

All Lean code was executed with the following header, following Xin et al. (2025a); Ren et al. (2025), which is omitted in the examples for brevity:

```
import Mathlib
import Aesop

set_option maxHeartbeats 0

open BigOperators Real Nat Topology Rat
```

Note that the following Lean code works correctly with Lean version 4.9.0, the environment used in our experiments, which strictly follows the same setup in Xin et al. (2025a); Ren et al. (2025). However, `Nat.pow_lt_pow_of_lt_left` has been deprecated in newer versions of Lean, so it must be replaced with `Nat.pow_lt_pow_left` to work with the latest environment.

E.3 The Problem

The following problem asks for a formal proof that, for all natural numbers n greater than 3, the inequality $n! < n^{n-1}$ holds:

```
theorem induction_nfactltnexpnm1ngt3
  (n : ℕ)
  (h₀ : 3 ≤ n) :
  (n)! < n^(n - 1) := by sorry
```

E.4 The Generated Lemmas

The generated lemmas are shown below, each presented with both its original natural language form and the corresponding Lean formalization. The first and second lemmas were successfully proved and are presented with their proofs. The third lemma could not be proved and is therefore presented with a `sorry` placeholder.

```
### Lemma 1: base_case_3
**Assumptions**:
None

**Conclusion**:
3! < 3^(3-1)
```

```
theorem base_case_3 : (3 : ℕ)! < 3^(3 - 1) := by
  have h_main : (3 : ℕ)! < 3^(3 - 1) := by
    -- Calculate the factorial and the power step-by-step
    norm_num [Nat.factorial, Nat.pow_succ, Nat.mul_assoc]
    -- Use 'decide' to confirm the inequality
    <;> decide
  exact h_main
```

```
### Lemma 2: exponent_inequality
**Assumptions**:
n is a natural number and n ≥ 2

**Conclusion**:
n^(n-1) < (n+1)^(n-1)
```

```
theorem exponent_inequality {n : ℕ} (hn : 2 ≤ n) :
    n^(n - 1) < (n + 1)^(n - 1) := by
  have h_main : n^(n - 1) < (n + 1)^(n - 1) := by
    have h₀ : n - 1 + 1 = n := by
      omega
    have h₁ : n ≥ 2 := by omega
    have h₂ : n - 1 ≥ 1 := by omega
    have h₃ : n < n + 1 := by omega
    -- Use the fact that if a < b and n > 0, then a^n < b^n
    exact calc
      n^(n - 1) < (n + 1)^(n - 1) := by
        -- Apply the lemma that if a < b and n > 0, then a^n < b^n
        exact Nat.pow_lt_pow_of_lt_left h₃ (by omega)
      _ = (n + 1)^(n - 1) := by rfl
  exact h_main
```

```
### Lemma 3: factorial_less_than_n_next_power
**Assumptions**:
n is a natural number and n ≥ 3

**Conclusion**:
n! < (n+1)^(n-1)
```

```
theorem factorial_lt_n_next_power {n : ℕ} (hn : 3 ≤ n) :
   n ! < (n + 1) ^ (n - 1) := by sorry
```

E.5 The Final Proof and Its Reasoning Trace

We present below the final Lean proof, successfully constructed by taking the previously verified lemmas into account. Alongside the code, we also include the corresponding reasoning trace generated by the prover model that guided the overall proof construction.

```
### Detailed Proof and Analysis

We are tasked with proving that for all natural numbers `n ≥ 3`, the factorial of `n`, i.e., `n!`, is less than `n^(n - 1)`.

#### Key Observations:
1. **Base Cases**:
   - For `n = 3`: `3! = 6` and `3^(3 - 1) = 3^2 = 9`. So, `6 < 9` holds.
   - For `n = 4`: `4! = 24` and `4^(4 - 1) = 4^3 = 64`. So, `24 < 64` holds.
   - For `n = 5`: `5! = 120` and `5^(5 - 1) = 5^4 = 625`. So, `120 < 625` holds.
   - The pattern suggests that this holds for all `n ≥ 3`.

2. **General Proof Idea**:
   - The proof can proceed by mathematical induction. We first check the base case `n = 3`, and then for the inductive step, we assume the statement holds for `n = k` (i.e., `k! < k^(k - 1)`) and show that it also holds for `n = k + 1` (i.e., `(k + 1)! < (k + 1)^k`).
   - The inductive step involves showing that `(k + 1)! = (k + 1) * k! < (k + 1) * k^(k - 1)`. The last expression is `(k + 1)^k` because `k^(k - 1) = k^k / k` when multiplying by `(k + 1)` is not clean. Instead, we can directly use the fact that `(k + 1)! = (k + 1) * k!` and `k! < k^(k - 1)` by the inductive hypothesis, but we must also ensure `k + 1 < k + 1 + 1` is not relevant here. Wait, perhaps the best approach is to directly manipulate the inequality `(k + 1)! < (k + 1)^k`.

   However, this seems messy. A simpler way is to compare `(k + 1)!` and `(k + 1)^k` directly. For `k ≥ 3`, we have `(k + 1)! = (k + 1) * k! < (k + 1) * k^k`.

   But this is not obviously true because `k! < k^k` is equivalent to `k < k + 1`, which is trivially true.

   Alternatively, `(k + 1)! = (k + 1) * k! < (k + 1) * k^(k - 1)` by IH, and `(k + 1) * k^(k - 1) = k^k + k^(k - 1)`, which is less than `(k + 1)^k = k^k + k * k^(k - 1) + ...`, but this is getting complicated.

   A much better approach is to use induction directly. For `n = 3`, we already checked this. Assume `k! < k^(k - 1)` for some `k ≥ 3`. Then:
   `(k + 1)! = (k + 1) * k! < (k + 1) * k^(k - 1)`. Now, `(k + 1) * k^(k - 1) = k^k + k^(k - 1)`. We need this to be `< (k + 1)^k = k^k + k * k^(k - 1) + ...`.

   But `k^k` cancels out, and we need `k^(k - 1) < k * k^(k - 1) + ...`, i.e., `1 < k + ...`, which is true for `k ≥ 3`.

   However, the last step is not straightforward, and it might be simpler to use induction concretely.

#### Step 1: Abstract Plan

1. **Base Case (`n = 3`)**:
```

```
   - Compute `3! = 6` and `3 ^ (3 - 1) = 9`. Since `6 < 9`, the base case holds.

2. **Inductive Step (`n = k + 1`)**:
   - Assume `k! < k^(k - 1)` holds for some `k ≥ 3`.
   - We need to show `(k + 1)! < (k + 1)^k`.
   - `(k + 1)! = (k + 1) * k! < (k + 1) * k^(k - 1)` by the inductive hypothesis.
   - Next, we need to compare `(k + 1) * k^(k - 1)` to `(k + 1)^k = (k + 1) * k^k / k`.
   - The inequality `k * (k + 1) ≤ k * k + k * k = 2 * k^2` for `k ≥ 1` is too loose. A better approach is as follows:
     - We want `(k + 1) * k^(k - 1) < (k + 1)^k`.
     - Divide both sides by `(k + 1)`: `k^(k - 1) < (k + 1)^(k - 1)`.
     - But:
       - This is false for `k = 3`: `3^2 = 9 < 4^2 = 16` holds. For `k = 4`: `4^3 = 64 < 5^3 = 125` holds, etc. But we need `(k + 1) * k^(k - 1) < (k + 1)^k`, not `k^(k - 1) < (k + 1)^(k - 1)`.
   - Alternatively, perhaps a direct proof is better:
     - `(k + 1) * k^(k - 1) = k^k + k^(k - 1)`.
     - We must show `k^k + k^(k - 1) < (k + 1)^k = k^k + k * k^(k - 1) + ... + 1`.
     - Since `k ≥ 3`, `k^(k - 1) < k * k^(k - 1)` and `0 < k^k` (which cancels), we get `k^(k - 1) < k * k^(k - 1)` because `1 < k` when `k ≥ 2`, so `k^k + k^(k - 1) < k^k + k * k^(k - 1) + ...` is justified.

But the above is still messy. A better way is to prove `(k + 1) * k^{k - 1} < (k + 1)^k` for `k ≥ 3`:
`(k + 1) * k^{k - 1} = k^k + k^{k - 1}`, and `(k + 1)^k = k^k + k * k^{k - 1} + ...`.
Subtract:
`(k + 1)^k - (k + 1) * k^{k - 1} = k^k + k * k^{k - 1} + ...} - (k^k + k^{k - 1}) = k * k^{k - 1} + ...` which is clearly positive because all terms are positive.
Alternatively, just note that `k^k > 0` when `k ≥ 1` is sufficient because `(k + 1)^k = k^k + ... ≥ k^k > k^{k - 1}`.

However, the direct approach is to compute `(k + 1)^k = (k + 1) * ((k + 1) * ... * 1) ≥ (k + 1) * (k * ... * 1) = (k + 1) * k^(k - 1)`, which would work except for the last factor.

Wait, we need `(k + 1)^k > (k + 1) * k^{k - 1}`. But `(k + 1)^k = (k + 1) * (k + 1)^{k - 1}`.

But `(k + 1)^{k - 1} ≥ k^{k - 1}` is not immediately obvious (and may even be false for `k = 3` (`4^2 = 16 ≥ 8 = 3^2` is true, but `4^3 = 64 ≥ 3^3 = 27` is true)).

This seems correct, but we instead keep it simple:

#### Inductive Proof Sketch:
1. Base Case (`n = 3`): `6 < 9` is true.
2. Inductive Step (`n = k + 1` assuming `k! < k^(k - 1)`):
   - We have `(k + 1)! < (k + 1) * k^(k - 1)`.
   - We need `(k + 1) * k^(k - 1) ≤ (k + 1)^k = (k + 1) * k^(k - 1)` + ...
   - Alternatively, the most straightforward induction is simply to use that `(k + 1) * k^(k - 1) < (k + 1)^k` when `k ≥ 3`.

This can be proved as follows:
```

```
`(k + 1)^k = (k + 1) * (k + 1)^{k - 1}` and `(k + 1)^{k - 1} ≥ k^{k - 1}` for `k ≥ 3` because:
`(k + 1)^2 = k^2 + 2k + 1 ≥ k^2` for all `k ≥ 1`,
`(k + 1)^3 = k^3 + 3k^2 + 3k + 1 ≥ k^3 + 3k^2 ≥ k^3 + 2k^2 ≥ k^3` for `k ≥ 2`, etc.
But we get `(k + 1)^k ≥ (k + 1) * k^{k - 1}`, which is not what we want! This is because we made a miscount. The correct relation is:
`(k + 1)^k = (k + 1) * (k + 1)^{k - 1}.`, and `(k + 1)^{k - 1} > k^{k - 1}` for `k ≥ 3` (since `l < m` implies `l^{n} < m^{n}` for `l, m > 1`). That is, `(k + 1)^k > (k + 1) * k^{k - 1} > (k + 1) * k^{k - 1} - k^{k - 1}` is not true.

Instead, `(k + 1)^k = (k + 1) * (k + 1)^{k - 1} ≥ (k + 1) * k^{k - 1}` because `(k + 1)^{k - 1} ≥ k^{k - 1}` for `k ≥ 3`.

Therefore, the full chain is:
`(k + 1)! = (k + 1) * k! < (k + 1) * k^(k - 1) ≤ (k + 1)^k.`

Thus, we can prove the inductive step using the above reasoning.

#### Abstract Plan:
1. Base Case:
   - Verify the inequality for `n = 3`.
2. Inductive Step:
   - Assume the inequality holds for `n = k`, i.e., `k! < k^(k - 1)` for `k ≥ 3`.
   - Prove for `n = k + 1`, i.e., `(k + 1)! < (k + 1)^k = (k + 1) * k^(k - 1)`.
   - But it is enough to prove `(k + 1)^k ≥ (k + 1) * k^(k - 1)`:
     - Since `(k + 1)^k = (k + 1) * (k + 1)^{k - 1}`, we must show `(k + 1)^{k - 1} ≥ k^{k - 1}`.
     - This is true for `k ≥ 4` since `(k + 1)/k ≥ k/(k - 1)`.
     - Check `k = 3` separately: `4^2 = 16 ≥ 3^2 = 9` and `4^3 = 64 ≥ 3^3 = 27`, etc.

#### Lean 4 Proof Sketch with `have` Statements

```lean4
theorem induction
  (n : ℕ)
  (h₀ : 3 ≤ n) :
  (n)! < n^(n - 1) := by
  -- Base case: n = 3
  have h_base : (3 : ℕ)! < 3 ^ (3 - 1) := by sorry
  -- Inductive step: assume (k)! < k^(k - 1) for some k ≥ 3, prove (k + 1)! < (k + 1)^((k + 1) - 1)
  have h_inductive_step : ∀ (k : ℕ), 3 ≤ k → (k)! < k ^ (k - 1) → (k + 1)! < (k + 1) ^ ((k + 1) - 1) := by sorry
  -- Combine base case and inductive step to get the general result
  have h_general : (n)! < n ^ (n - 1) := by sorry
  sorry
```

This sets up the scaffolding of the proof using `have` statements with `sorry`.

Step-by-Step Abstract Plan

1. **Base Case (`n = 3`)**:
   - Directly check `3! = 6` and `3^(3 - 1) = 9`, hence `6 < 9`.

2. **Inductive Hypothesis (`n = k`)**:
   - Assume `k! < k^(k - 1)` for some `k ≥ 3`.
```

```
3. **Inductive Step (`n = k + 1`)**:
   - Prove `(k + 1)! < (k + 1)^((k + 1) - 1)` using:
     - `(k + 1)! = (k + 1) * k! < (k + 1) * k^(k - 1)` by IH.
     - `(k + 1)^k = (k + 1) * (k + 1)^{k - 1} ≥ (k + 1) * k^(k - 1)` if `(k + 1)^{k - 1} ≥ k^(k - 1)`. This holds for `k ≥ 3` because `(k + 1) ≥ k` and functions are increasing.

4. **Conclusion**:
   - By induction, the statement holds for all `n ≥ 3`.

### Lean 4 Proof Sketch with `have` Statements and `sorry`s

```lean4
theorem induction
  (n : ℕ)
  (h₀ : 3 ≤ n) :
  (n)! < n^(n - 1) := by
  have h_base : (3 : ℕ)! < 3 ^ (3 - 1) := by sorry
  have h_inductive_step : ∀ (k : ℕ), 3 ≤ k → (k)! < k ^ (k - 1) →
     (k + 1)! < (k + 1) ^ ((k + 1) - 1) := by sorry
  have h_general : (n)! < n ^ (n - 1) := by sorry
  sorry
```

(Note: The `have` statements are used to sketch the high-level structure of the proof. The actual Lean 4 proof would fill in the `sorry` placeholders with detailed reasoning.)

Complete Lean 4 Proof

```lean4
theorem induction
  (n : ℕ)
  (h₀ : 3 ≤ n) :
  (n)! < n^(n - 1) := by
  have h_base : (3 : ℕ)! < 3 ^ (3 - 1) := by
    norm_num
    <;> decide

  have h_inductive_step : ∀ (k : ℕ), 3 ≤ k → (k)! < k ^ (k - 1) →
     (k + 1)! < (k + 1) ^ ((k + 1) - 1) := by
    intro k hk' hk''
    have h₁ : (k + 1)! = (k + 1) * k ! := by
      simp [Nat.factorial_succ, Nat.mul_comm]
      <;> ring
      <;> simp_all [Nat.factorial]
      <;> ring
      <;> simp_all [Nat.factorial]
    rw [h₁]
    have h₂ : (k + 1 : ℕ) * k ! < (k + 1 : ℕ) * k ^ (k - 1) := by
      -- Apply the inductive hypothesis to get the inequality for `k! < k ^ (k - 1)`
      have h₃ : k ! < k ^ (k - 1) := hk''
      have h₄ : (k + 1 : ℕ) > 0 := by linarith
      have h₅ : (k + 1 : ℕ) * k ! < (k + 1 : ℕ) * k ^ (k - 1) := by
        exact Nat.mul_lt_mul_of_pos_left h₃ (by linarith)
      exact h₅
    have h₃ : (k + 1 : ℕ) ^ ((k + 1) - 1) = (k + 1) ^ k := by
      simp [Nat.sub_add_cancel (by linarith : 1 ≤ k + 1)]
      <;> ring_nf
      <;> simp_all
    rw [h₃]
    -- Use the fact that `(k + 1) * k ^ (k - 1) < (k + 1) ^ k` to conclude the proof
    have h₄ : (k + 1 : ℕ) * k ^ (k - 1) < (k + 1) ^ k := by
```
```

```
      have h₅ : k ≥ 3 := by exact_mod_cast hk'
      have h₆ : (k + 1 : ℕ) * k ^ (k - 1) < (k + 1) ^ k := by
        have h₇ : (k + 1 : ℕ) ^ k = (k + 1) * (k + 1) ^ (k - 1) := by
          cases k with
          | zero => contradiction
          | succ k' =>
            cases k' with
            | zero => contradiction
            | succ k'' =>
              cases k'' with
              | zero => contradiction
              | succ k''' =>
                simp [Nat.pow_succ, Nat.mul_assoc]
                <;> ring_nf at *
                <;> omega
        rw [h₇]
        have h₈ : (k + 1 : ℕ) * k ^ (k - 1) < (k + 1) * ((k + 1) ^ (k - 1)) := by
          have h₉ : k ^ (k - 1) < (k + 1) ^ (k - 1) := by
            exact Nat.pow_lt_pow_of_lt_left (by linarith) (by
              have h₁₀ : k - 1 ≥ 2 := by
                omega
              omega)
          nlinarith
        nlinarith
      exact h₆
    nlinarith

  have h_general : (n)! < n ^ (n - 1) := by
    have h₁ : ∀ n : ℕ, 3 ≤ n → (n)! < n ^ (n - 1) := by
      intro n hn
      induction' hn with k hk IH
      · -- Base case: k = 3
        simp [Nat.factorial]
        <;> norm_num
      · -- Inductive step: assume the statement holds for k, prove for k + 1
        cases k with
        | zero => contradiction
        | succ k' =>
          cases k' with
          | zero => contradiction
          | succ k'' =>
            cases k'' with
            | zero => contradiction
            | succ k''' =>
              simp_all [Nat.factorial, Nat.pow_succ, Nat.mul_assoc]
              -- Use the inductive hypothesis and the lemma 'h_inductive_step' to prove the next step
              <;>
              (try omega) <;>
              (try nlinarith) <;>
              (try
                {
                  have h₂ := h_inductive_step (k''' + 3) (by linarith) (by simpa [Nat.factorial, Nat.pow_succ, Nat.mul_assoc] using IH)
                  simp_all [Nat.factorial, Nat.pow_succ, Nat.mul_assoc]
                  <;>
                  (try omega) <;>
                  (try nlinarith)
                })
              <;>
```

```
                (try
                  {
                    aesop
                  })
                <;>
                (try
                  {
                    nlinarith [pow_pos (by norm_num : (0 : ℕ) < 2)
      k''', pow_pos (by norm_num : (0 : ℕ) < 2) (k''' + 1), pow_pos
      (by norm_num : (0 : ℕ) < 2) (k''' + 2)]
                  })
    exact h₁ n h₀

  exact h_general
```

E.6 Comparison: Direct Proof Attempt Without Lemmas

For comparison, we present below the reasoning process and generated Lean code from a direct proof attempt without using auxiliary lemmas. Among the 100 initial direct proof attempts ($N_{\text{init}} = 100$), we show the one that resulted in the fewest Lean errors.

```
### Detailed Proof and Analysis

**Problem Analysis:**
We need to prove that for all integers `n ≥ 3`, `n! < n^(n - 1)`.

**Key Observations:**
1. For `n ≥ 3`, `n - 1 ≥ 2` (since `3 - 1 = 2`), so `n^(n - 1)` grows faster than `n!` as `n` increases.
2. The factorial `n!` is the product of all integers from `1` to `n`, while `n^(n - 1)` is a product of `n - 1` copies of `n` (assuming `n ≥ 3`).
3. The inequality `n! < n^(n - 1)` can be compared term by term, but a more precise estimate is needed.
4. For `n ≥ 3`, we have `n! < (n + 1 - 1)! = n!`? Wait, this is not helpful. Instead, we can compare `n!` with `n^(n - 1)`:
   - The inequality `n! < n^(n - 1)` is equivalent to `(n - 1)! < n^(n - 2)`, but this is not directly useful either.
5. A better idea is to directly compare `n!` with `n*(n - 1)*...*(2)` and `n^(n - 1) = n * n * ... * n`.
   - For `n ≥ 3`, each term `k` in the product `2 * 3 * ... * (n - 1)` is `≥ 1` (except `2 * 1` is skipped if `n ≥ 4`, but `n ≥ 3` is given), and each is `≤ n - 1` for `k ≤ n - 2`. However, comparing `k` to `n` is complicated.
   - Alternatively, `n!` is the product of `n` factors, each `≤ n`, but `n!` is a product of all numbers from `1` to `n`, whereas `n^(n - 1)` is a product of `n - 1` copies of `n`.

But actually, induction is not straightforward here. The key is to compare `n!` with `n * (n - 1)^(n - 1)`, since `(n - 1)^(n - 1)` grows faster than `(n - 1)(n - 2) ...` for `n ≥ 3`.

But `n! < n * (n - 1)!` is `n! < n * (n - 1)!`, which is true except for `n = 1`. So is `n * (n - 1)! < n^(n - 1)`? Not obviously, because for `n = 4`, `4 * 3! = 24` and `4^3 = 64`, but for `n = 3`, `3! = 6` and `3^2 = 9`.

Instead, let's recall the definition of `n!` and think about how many times `n!` is compared to `n^(n - 1)`.

For `n ≥ 3`, each time you compare `n!` with `n * (n - 1)!`, and this is `< n * (n - 1)! < n * n^(n - 2)} = n^(n - 1)}` ? Seems
```

```
    wrong because `(n - 1)! < n^(n - 2)}` would be true for `n ≥
    2` by induction, which is not a problem since we're proving it.

Perhaps a better approach is to use telescoping inequalities.
    Consider `n! = n * (n - 1) * ... * 2 * 1`, and `n^(n - 1)` is
    the product of `n - 1` copies of `n`.

For `n ≥ 3`, each term in the factorial is smaller than `n` (`k ≤
    n - 1`).

But more precisely, `n! = n * (n - 1)!` and `(n - 1)! < n^(n - 2)`
    for `n ≥ 3` (since `n - 1 ≥ 2`).

Let's try to prove `(n - 1)! < n^(n - 2)}`. If `(n - 1)! < n^(n -
    2)}` holds, then `n! = n * (n - 1)! < n * n^(n - 2)} = n^(n -
    2)}`.

But is `n * n^(n - 2)} = n^(n - 1)}` ? Yes, because `n * n^(n -
    2)} = n^(1 + n - 2)} = n^(n - 1)}`.

Thus, `n! < n^(n - 1)}`, provided that `(n - 1)! < n^(n - 2)}`.

Wait, `n^(n - 2)}` is `n * n^(n - 3)}` if `n ≥ 3`.

So the induction is:
- Base case `n = 3`: `2! = 2` and `3^2 = 9`, `2 < 9` holds.
- Inductive step: Assume for `n`, `(n - 1)! < n^(n - 2)}`. Then `n
    * (n - 1)! < n * n^(n - 2)} = n^(n - 1)}`? But `n * n^(n - 2)}
    = n^(n - 2 + 1)} = n^(n - 1)}`. So `n! = n * (n - 1)! < n^(n -
    1)}`.

This is a valid induction, provided that `(n - 1)! < n^(n - 2)}`
    is true for `n ≥ 3`.

Now, recall that for `n ≥ 3`:
`n! = n * (n - 1)!` and `(n - 1)! < n^(n - 2)` if `n ≥ 3`.

But is `(n - 1)! < n^(n - 2)}` true for `n ≥ 3`?

For `n = 3`, LHS = 2, RHS = 1. False. Hmm, `3! = 6` and `3^(3 -
    3)` = 1? No, `3 ≥ 3` and `3^(3 - 2)} = 3^{1}} = 3`, `2 < 3`,
    so true.

Wait no. For `n = 4`, `(3)! = 6`, and `4^{4 - 2}} = 4^{2}} = 16`,
    `6 < 16` true.

For `n = 5`, `(4)! = 24`, `5^{5 - 2}} = 5^3 = 125`, `24 < 125`
    true.

Hypothesis that `(n - 1)! < n^(n - 2)}` for all `n ≥ 3`.

Base case `n = 3`, `2! = 2 < 3^{1} = 3` true.

Inductive step: Assume `(n - 1)! < n^(n - 2)}` for `n ≥ 4`.

We need `n! < (n + 1)^(n - 1)}`:
`n! = n * (n - 1)! < n * n^(n - 2)} = n^(n - 1)}`, since `(n - 1)!
    < n^(n - 2)}` holds by the inductive hypothesis for `n ≥ 3`.

This works!

**Conclusion:** The inequality `n! < n^{n - 1}` holds for all `n ≥
    3` (`n >= 3`).
```

```
But wait, the original Lean statement is `n : ℕ` and `3 ≤ n`, so indices are clear.

We can proceed directly using induction. The `have` statements will reflect the proof.

**Lean 4 Proof Sketch:**

1. **Base Case (`n = 3`)**: Check that `3! < 3^{3 - 1}` or `6 < 9`.
2. **Inductive Step**: Assume `(k)! < k^{k - 1}` for some `k ≥ 3`, and prove `(k + 1)! < (k + 1)^{k}` using the assumption `k! < k^{k - 1}`.

### Abstract Step-by-Step Plan

1. **Base Case (`n = 3`)**:
   - Compute `3! = 6`.
   - Compute `3^{3 - 1} = 3^2 = 9`.
   - Since `6 < 9`, the statement holds.

2. **Inductive Step (`n → n + 1`)**:
   - Assume `n! < n^{n - 1}` for some `n ≥ 3` (induction hypothesis).
   - Prove `(n + 1)! < (n + 1)^n`:
     - `(n + 1)! = (n + 1) * n!` (by definition).
     - By hypothesis, `n! < n^{n - 1}`.
     - Thus, `(n + 1)! < (n + 1) * n^{n - 1}`.
     - It remains to prove `(n + 1) * n^{n - 1} ≤ (n + 1)^n`, i.e., `n^{n - 1} ≤ (n + 1)^{n - 1}` (if `n + 1 ≥ 2`? Always true since `n ≥ 2`).
       * Since `n ≥ 2`, `n^{n - 1} ≤ (n + 1)^{n - 1}` because `n ≤ n + 1` and the exponent is `n - 1 ≥ 2`, making the term `(n + 1)^{n - 1}` strictly greater than `n^{n - 1}}`.

### Lean 4 `have` Statements

```lean4
theorem induction
  (n : ℕ)
  (h₀ : 3 ≤ n) :
  (n)! < n^(n - 1) := by
  have h_main : (n)! < n^(n - 1) := by
    sorry
  sorry
```

Explanation of `have` Statements:
1. The `have` statement `h_main` directly encodes our goal `(n)! < n^(n - 1)`. Inside the proof, we would perform induction, but in a structured Lean proof, we break it into a single `have h_main`.
   - The actual proof would expand on `h_main` by leveraging induction, but for this sketch, it suffices to rely on the good old induction hypothesis.

Complete Lean 4 Proof

```lean4
theorem induction
  (n : ℕ)
  (h₀ : 3 ≤ n) :
  (n)! < n^(n - 1) := by
  have h_main : (n)! < n^(n - 1) := by
    have h₁ : ∀ n : ℕ, 3 ≤ n → (n)! < n ^ (n - 1) := by
      intro n hn
```
```

```
      induction' hn with n hn IH
      · -- Base case: n = 3
        norm_num [Nat.factorial]
      · -- Inductive step: assume the statement holds for n, prove for n + 1
        cases n with
        | zero => contradiction -- n cannot be zero since 3 ≤ n
        | succ n =>
          cases n with
          | zero => contradiction -- n cannot be one since 3 ≤ n
          | succ n =>
            cases n with
            | zero => contradiction -- n cannot be two since 3 ≤ n
            | succ n =>
              simp_all [Nat.factorial, Nat.pow_succ, Nat.mul_comm, Nat.mul_assoc, Nat.mul_left_comm]
              <;>
                (try omega) <;>
                (try
                  {
                    nlinarith [pow_pos (by decide : (0 : ℕ) < 2) n, pow_pos (by decide : (0 : ℕ) < 2) (n - 1)]
                  }) <;>
                (try
                  {
                    ring_nf at *
                    <;>
                      nlinarith [pow_pos (by decide : (0 : ℕ) < 2) n, pow_pos (by decide : (0 : ℕ) < 2) (n - 1)]
                  }) <;>
                (try
                  {
                    simp_all [Nat.factorial_succ, Nat.mul_comm, Nat.mul_assoc, Nat.mul_left_comm]
                    <;>
                      norm_num at *
                    <;>
                      ring_nf at *
                    <;>
                      nlinarith [pow_pos (by decide : (0 : ℕ) < 2) n, pow_pos (by decide : (0 : ℕ) < 2) (n - 1)]
                  })
    exact h₁ n h₀
  exact h_main
```