MIRA: MEMORY-INTEGRATED REINFORCEMENT LEARNING AGENT WITH LIMITED LLM GUIDANCE

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ABSTRACT

Reinforcement learning (RL) agents often face high sample complexity in sparse or delayed reward settings, due to limited prior knowledge. Conversely, large language models (LLMs) can provide subgoal structures, plausible trajectories, and abstract priors that support early learning. Yet heavy reliance on LLMs introduces scalability issues and risks dependence on unreliable signals, motivating ongoing efforts to integrate LLM guidance without compromising RL's autonomy. We propose MIRA (Memory-Integrated Reinforcement Learning Agent), which augments learning with a structured and evolving *memory graph*. This graph stores decision-relevant information, such as trajectory segments and subgoal decompositions, and is co-constructed from the agent's high-return experiences and LLM outputs. From this structure, we derive a *utility* signal that integrates with advantage estimation to refine policy updates without overriding the reward signal. By incorporating LLM-derived priors in memory rather than relying on continuous queries, MIRA reduces dependence on real-time supervision. As training progresses, the agent's policy outgrows the initial LLM-derived priors, and the utility term decays, leaving long-term convergence guarantees intact. We establish theoretical guarantees that this utility-based shaping improves early-stage learning in sparse reward settings. Empirically, MIRA outperforms RL baselines and achieves final returns comparable to approaches that depend on frequent LLM supervision, while requiring substantially fewer online LLM queries.

1 Introduction

Reinforcement learning (RL) models sequential decision-making as interactions with an environment, where behavior is learned through reward-driven feedback. RL has achieved strong results in real-world domains including robotic manipulation, dynamic scheduling, and autonomous planning (Nourzad et al., 2024; Liu et al., 2024; Luo et al., 2024). However, these advances often rely on environments with dense and readily accessible rewards. In many tasks, rewards are sparse or delayed, appearing only when specific goals are reached or when the effect of an action unfolds after several steps. These weak or infrequent reward signals obscure which past actions contributed to the outcome, making it difficult to "credit" the eventual reward to the contributing actions (Velu et al., 2023). This uncertainty weakens the gradient signal and leaves policy updates poorly informed. Thus, agents become highly data-hungry and require large numbers of interactions to learn useful behaviors (Devidze et al., 2022). These challenges are further exacerbated under partial observability, as agents must generalize from limited state information and often struggle in the early stages of training (Hausknecht & Stone, 2015; Kurniawati, 2022). In such settings, random exploration rarely uncovers informative trajectories, leading to slow convergence and high variance in outcomes.

Large language models (LLMs) provide a complementary source of prior knowledge, especially in environments where rewards are sparse, feedback is delayed, and observations are partial. They have demonstrated strong capabilities in reasoning over abstract goals, interpreting high-level intent, and drawing on broad prior knowledge (Jimenez et al., 2023; Brown et al., 2020; Xu et al., 2024). These properties make them natural candidates for providing structured guidance for RL agents (Schoepp et al., 2025; Carta et al., 2023). A growing body of work has explored how pretrained LLMs can support RL to improve sample efficiency. One line of research positions the LLM as an implicit or explicit reward model, either estimating reward signals from environment descriptions or generating code to define reward functions (Ma et al., 2025; Kwon et al., 2023; Ma et al., 2023; Fan et al., 2022;

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Rocamonde et al., 2023; Bhambri et al., 2024; Xie et al., 2024). Another line leverages LLMs to generate high-level plans, policy sketches, or step-by-step guidance during training (Du et al., 2023; Cao et al., 2024; Hu & Sadigh, 2023; Dasgupta et al., 2023; Wang et al., 2023; Zhou et al., 2023). A third direction focuses on task-level guidance such as subgoal decomposition, curriculum design, or goal interpretation from natural language (Brohan et al., 2023; Wang et al., 2024a; Quartey et al., 2023; Ma et al., 2023; Shinn et al., 2023). We discuss other related approaches in Appendix B.

RESEARCH CHALLENGES. The existing approaches, while having promising results, typically require frequent (often per-step) LLM supervision and querying, making the agent's performance heavily reliant on LLM inference. This dependence introduces several difficulties. First, it can interfere with the RL learning signal (Zhou et al., 2023), impairing the development of autonomous decision-making and reducing the agent's ability to generalize and adapt if the LLM later becomes unavailable. Second, since LLMs cannot interact directly with the environment or gather real-time feedback, full reliance on their instructions is suboptimal (Qu et al., 2024; Gao et al., 2024; Cao et al., 2024) and dilutes the role of environment-driven feedback. Indeed, LLMs carry fundamental risks such as hallucinated outputs, prompt sensitivity, and limited grounding in physical environments (Ji et al., 2023b; Tonmoy et al., 2024; Patil & Gudivada, 2024; Li et al., 2024; Bang et al., 2025), making their outputs potentially unreliable. Frequent queries also raise scalability concerns due to computational cost and latency (Zhou et al., 2024; Wan et al., 2023). Still, relying solely on RL ignores the rich, structured knowledge encoded in many LLMs that could accelerate learning or shape behavior in meaningful ways. Thus, the fundamental challenge lies in incorporating such guidance effectively and realizing the complementary benefits of using LLM guidance with RL adaptation over time, without undermining the optimization dynamics that make RL effective.

OUR CONTRIBUTIONS. In this work, we propose MIRA (\underline{M} emory-Integrated \underline{R} einforcement Learning \underline{A} gent), a method that integrates LLM-derived guidance into reinforcement learning through a structured *memory graph*. The memory graph provides a temporally evolving representation of task-relevant information, co-constructed from the agent's own experience and LLM outputs.

Offline priors, pre-processed over environments or goals, initialize the structure, while infrequent online queries conditioned on batches of partial environment observations refine it during training. Nodes represent decisionrelevant context, such as trajectory segments, while edges encode the hierarchical decomposition linking goals to their subgoals. The graph is designed to remain compact, adding minimal overhead relative to standard replay buffers (Schaul et al., 2015). The memory graph allows the agent to organize and reuse information without repeated LLM queries while having a persistent source of structured knowledge. Over time, the agent can validate, revise, and extend the structure based on its own experience, eventually improving performance beyond what is achievable through LLM guidance alone while actively filtering out any mistaken guidance from online LLM queries. The resulting graph limits dependence on real-time LLM

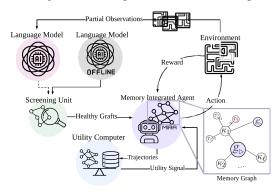


Figure 1: Overview of MIRA. Offline priors and Online suggestions from LLMs pass through a Screening Unit before populating the memory graph. MIRA interacts with the environment, while a Utility module evaluates rollouts against memory to shape advantage estimates.

access, alleviating concerns about latency, query cost, and scalability.

To integrate the LLM-derived information into learning, we derive a *utility signal* from the memory graph and use it to softly shape advantage estimates in each RL iteration. This signal provides guidance during early rollouts, reinforcing reward-driven gradients when aligned while moderating updates and correcting miscalibration that arises from an inaccurate critic. By doing so, it helps the agent explore more effectively in the sparse-reward regime without overriding the environment's feedback. Theoretically, we show that the utility term accelerates early learning. As the agent's policy improves and surpasses the usefulness of LLM-derived guidance, the shaping influence fades, ensuring convergence in the long-horizon limit. We empirically evaluate the effectiveness, sample

efficiency, and overhead of incorporating LLM guidance across multiple benchmark environments. Our contributions are summarized as follows:

- We propose MIRA, a reinforcement learning agent that integrates LLM-derived guidance through a memory graph co-constructed from agent experience and LLM knowledge. This graph evolves throughout training, combining offline priors with infrequent online queries conditioned on batches of partial observations from the environment.
- We develop adaptive advantage shaping, which incorporates utility derived from the memory graph directly into advantage estimates. This mechanism requires no architectural changes and applies to any advantage-based policy-gradient algorithm.
- We provide **theoretical analysis** showing that the shaping mechanism preserves the convergence guarantees of Proximal Policy Optimization (PPO) (Schulman et al., 2017a) in long horizon limit by augmenting, rather than overriding the optimization dynamics.
- We demonstrate **empirically** that MIRA improves sample efficiency over RL and HRL baselines, and achieves competitive final returns with far fewer LLM queries than methods based on continuous supervision (Zhou et al., 2023; Bhambri et al., 2024).

The remainder of this paper is organized as follows. Section 2 details MIRA's architecture, evolving memory graph, and adaptive advantage shaping with convergence analysis; Sections 3 and 4 present experimental setup and results across multiple benchmarks; and Section 5 concludes with a discussion of our findings and possible directions for future work.

2 METHODOLOGY

We now detail the design of our <u>Memory-Integrated Reinforcement Learning Agent (MIRA)</u>. Our desiderata are twofold. (I) Improve early exploration by incorporating task-relevant priors from an LLM. (II) Minimize reliance on continuous real-time LLM supervision in order to ensure scalability and maintain autonomous policy learning. Our approach is built on the standard policy-gradient formulation for reinforcement learning (see Appendix A for background).

2.1 Memory Graph Design

The agent maintains a compact, evolving memory graph that organizes decision-relevant information drawn from both LLM suggestions and agent rollouts. Nodes of the graph represent decision-relevant context, and edges encode the hierarchical decomposition of goals into subgoals as provided by the LLM. This structure can be expressed as

$$\mathcal{G} = \left\{ \left((o, a)_{\tau_j}, \zeta_j, \hat{r}_j \right)_{c_j} \right\}_{j=1}^N \cup \left\{ \kappa_\ell \right\}_{\ell=1}^L \cup \left\{ g_{\triangleright} \right\}.$$
 (1)

Each trajectory node j consists of a partial observation o_{τ_j} and an action a_{τ_j} . It is also associated with a goal term $\zeta_j \in \{g_j, \kappa_\ell^{g_j}\}$ indicating either a final goal (g_j) or an abstract subgoal $(\kappa_\ell^{g_j})$ that the trajectory is intended to complete. In addition, the node stores an estimated reward \hat{r}_j for the action sequence and a confidence score c_j derived from the LLM's generation statistics (e.g., token-level log probabilities). The second set of nodes $\{\kappa_\ell\}_{\ell=1}^L$ represents subgoals κ_ℓ provided by the LLM from the environment description. The final term $\{g_{\triangleright}\}$ denotes the agent's target goal(s). Figure 1 includes a sample memory graph for MIRA.

The graph is initialized with offline LLM priors and evolves as training progresses. New nodes are added when the agent discovers trajectories to known subgoals. Online LLM suggestions may also be incorporated when available, provided they pass screening (Section 2.2), which describes the complementary roles of offline and online LLM guidance. Existing nodes are updated when the agent's experience validates or strengthens entries that were initially derived from low-confidence LLM outputs. Nodes are pruned when they are accessed infrequently, signaling reduced relevance with recent rollouts. Although offline LLM nodes are generally stable, they may also be pruned when rendered obsolete. This process allows the graph to remain compact and adaptive over time.

2.2 OFFLINE AND ONLINE GUIDANCE

MIRA incorporates two complementary forms of LLM guidance, accessed either *offline* prior to training or *online* during training. Offline outputs are generated using full access to the environment's task description and global observations. These outputs provide trajectory segments and subgoal decompositions that initialize the memory graph with structured priors. Offline nodes accelerate early exploration and remain a persistent baseline source of guidance that complements the adaptive updates introduced by online LLM queries.

Online suggestions are incorporated during training when the agent fails to obtain useful guidance from its memory graph for several consecutive episodes. The LLM is constrained to the same partial observability as the agent and, when triggered, may return plans that correspond to short trajectories. Alternatively, it may provide control signals that shape the agent's action preferences over an extended horizon until the current task segment is completed. To filter out low-confidence LLM responses, which may indicate hallucinations, all online outputs are first passed through the *Screening Unit*, and only those that pass are retained. Accepted plans are grafted into the memory graph as new trajectory segments, while accepted control signals are used directly to bias the agent's policy preferences through soft logit injection, i.e., adding a bounded penalty to the logits of discouraged actions so their probabilities are reduced without overriding the learned policy (Biza et al., 2021).

SCREENING UNIT. To ensure reliability, online outputs are passed through a lightweight *Screening Unit* designed to mitigate known limitations of LLMs such as hallucination and reasoning failures (Ji et al., 2023a; Bubeck et al., 2023; Wang et al., 2022; Zhao et al., 2021). Confidence is estimated in two complementary ways. When token-level likelihoods are available, we compute the average log probability across the sequence. When such likelihoods are unavailable or incomplete (e.g., only top-k likelihoods are provided), we instead measure agreement across multiple completions (i.e., independent query–response samples) and retain outputs that appear consistently. Suggestions that fail to meet a fixed threshold under either criterion are discarded. While this procedure does not eliminate all high-confidence errors, it serves as an effective filter that reduces the risk of hallucinated or low-quality outputs. The screened outputs, referred to as *healthy grafts* in Figure 1, are incorporated into the memory graph as new nodes to further help the policy learning.

Together, offline priors and online grafts allow MIRA to combine stable, precomputed knowledge with adaptive updates, reducing dependence on continuous supervision while maintaining the benefits of structured LLM guidance.

2.3 UTILITY SIGNAL COMPUTATION

Utility is defined at the level of individual state-action pairs, in direct analogy to advantage estimation. It is computed using the same rollouts that are employed for advantage estimation under the current policy π_{θ} , where θ denotes the policy parameters (Algorithm 1, Line 2). Each state-action in the trajectory $\tau = \{(o_t, a_t)\}_{t=1}^T$ is matched against state-action pairs $(o_{t'}, a_{t'})$ in the stored trajectory τ_m . The appropriate memory node m is selected based on the environment instance (e.g., the seed-specific layout) in that training iteration. We then compute the utility signal for each pair t as:

$$U_t \doteq c_m \cdot \hat{r}_m \cdot \rho(g_{\triangleright}, \zeta_m) \cdot \int ((o_t, a_t), (o_{t'}, a_{t'})_{\tau_m}). \tag{2}$$

The similarity function $f(\cdot,\cdot)$ measures how closely the agent's behavior aligns with the stored trajectory. It incorporates both action agreement and spatial consistency, such as overlap in grid positions or directional alignment in tabular settings. To account for semantic context, the raw similarity score is further weighted by a goal alignment factor $\rho(\cdot,\cdot)$ defined as the Jaccard similarity between the set of subgoals inferred by the LLM for the agent's current target goal and those associated with the memory entry. This ensures that behaviorally similar paths are downweighted if they pursue different (sub)goals. Finally, the score is modulated by the confidence c_m and estimated reward \hat{r}_m attached to the memory node. This formulation ensures that the utility reflects both behavioral similarity and semantic alignment with successful prior strategies (see Algorithm 2).

2.4 ADAPTIVE ADVANTAGE SHAPING

We incorporate memory-derived utility into the policy update by augmenting the standard advantage term. Algorithm 1 outlines the shaped PPO update. At iteration k, trajectories $\mathcal{D}_k = (s_t, a_t, r_t)$ are

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collected under the current policy π_{θ_k} . The rollout batch is split into minibatches \mathcal{B} for multiple gradient steps. The likelihood ratio r_t compares new and old policies, and the clip parameter ε_k constrains r_t within $(1 \pm \varepsilon_k)$ as a soft trust region.

The advantage function in policy gradient methods, denoted by A_t at a given time t, quantifies how favorable an action a_t is relative to the average action at state s_t . It drives learning by reinforcing actions that have higher-than-expected returns and suppressing those that fall short.

However, during early training the critic is poorly calibrated due to limited exploration, often producing nearly uniform value estimates across actions (Henderson et al., 2018). As a result, the estimated advantages A_t provide weak learning signals, even when the agent is following behavior that is meaningfully directed toward the task. This can lead to inefficient or unstable policy updates. This issue is particularly pronounced in sparse-reward settings or tasks with delayed feedback, where the critic lacks sufficient signal to distinguish between promising and unproductive behaviors. In such cases, the estimated advantage A_t tends to be near-zero or highly noisy for most timesteps, especially early in training (see Figure 13, Appendix F).

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Algorithm 1 Shaped PPO actor (changes)
 1: for k = 0, 1, \dots do
          Collect \mathcal{D}_k = \{(s_t, a_t, r_t)\} using \pi_{\theta_k}
          Compute A_t and U_t from rollouts
 3:
 4:
           A_t = \eta_t A_t + \xi_t U_t
 5:
          for epoch = 1 to K do
               for minibatch \mathcal{B} \subset \mathcal{D}_k do
 6:
 7:
                   r_t(\theta) = \pi_{\theta}(a_t|s_t)/\pi_{\theta_k}(a_t|s_t)
                  \mathcal{L}^{	ext{shaped}}(\pi_{	heta}) = \mathbb{E}\left[\min(r_t, 1 \pm arepsilon_k) 	ilde{A}_t
ight]
                   \theta \leftarrow \theta + \alpha_{\theta} \nabla_{\theta} \mathcal{L}^{\text{shaped}}(\pi_{\theta})
 9:
               end for
10:
11:
          end for
12: end for
```

To address this, we introduce a shaped advantage as:

$$\tilde{A}_t = \eta_t A_t + \xi_t U_t, \quad 0 < \eta_t \le 1, \ \xi_t \le \delta \eta_t, \ \delta \in [0, 1), \ \lim_{t \to \infty} \eta_t = 1, \ \lim_{t \to \infty} \xi_t = 0.$$
 (3)

This formulation preserves the fundamental role of the advantage function, while refining it with utility-based guidance. It can be viewed as a cooperative process between the critic and the memory-derived utility. The critic provides an estimate based on learned reward prediction and bootstrapping, while the utility term injects an inductive bias derived from language-guided priors. Together, they form a joint advantage estimator in which each component compensates for the other's limitations without distorting policy optimization. When the critic signal is weak due to insufficient value discrimination, the resulting gradients are uninformative and impair the agent's ability to bootstrap from sparse or delayed rewards. The utility term provides additional directional guidance aligned with task objectives, accelerating learning by compensating for weak or flat gradients. As training progresses and A_t becomes more reliable, the utility term naturally assumes a smaller role. This dynamic is regulated by annealing ξ_t and ramping η_t toward 1 over training. Rather than overriding the reward signal, this approach shapes the advantage term, refining the learning signal without altering the policy or critic structure.

Before turning to experiments, we establish that the proposed shaping mechanism preserves the policy improvement property of PPO under standard boundedness and scaling assumptions, which we formally enumerate in Appendix C.1. More broadly, the method remains compatible with any policy gradient algorithm that relies on advantage estimation, offering a general mechanism for integrating language-derived priors into RL.

Theorem 1. Let Assumptions 1 and 2a hold. Suppose the agent performs K policy updates using the shaped surrogate $\mathcal{L}^{shaped}(\pi) = \mathbb{E}\Big[\min\big(r_t\tilde{A}_t, \operatorname{clip}(r_t, 1 - \varepsilon_k, 1 + \varepsilon_k)\tilde{A}_t\big)\Big]$, and that each update satisfies $\mathcal{L}_k^{shaped}(\pi_{k+1}) \geq \mathcal{L}_k^{shaped}(\pi_k)$. Then the γ -discounted return improvement after K steps satisfies

$$J(\pi_K) - J(\pi_0) \ge \sum_{k=0}^{K-1} \frac{1}{(1-\gamma)\eta_k} \Big(\mathcal{L}_k^{PPO}(\pi_{k+1}) + \xi_k \big(U_k^{bonus} - U_{\max} \big) \Big), \tag{4}$$

where U_k^{bonus} denotes the utility contribution at step k and U_{max} is the maximum per-step utility adjustment.

This theorem formalizes the benefit of advantage shaping by showing that each update improves return through both the PPO surrogate, $\mathcal{L}^{PPO}(\pi) = \mathbb{E}\left[\min(r_t A_t, \operatorname{clip}(r_t, 1 - \varepsilon_k, 1 + \varepsilon_k) A_t)\right]$, and

the utility contribution. The additional bonus is most evident early in training, when A_t is small, which explains the accelerated learning observed empirically.

Proof. Deferred to Appendix C.2.

3 EXPERIMENTAL SETUP

We validate our method through extensive experiments implemented using the RLlib (Liang et al., 2018). Our evaluation focuses on performance gains, sample efficiency, and the computational overhead introduced by LLM integration. The objective is to characterize the benefits and trade-offs of incorporating LLM guidance in RL, including how different levels of LLM capabilities influence the policy learning dynamics and final policy quality.

3.1 SIMULATION PLATFORM

We consider six distinct environments, which are selected to span discrete vs. visual inputs, short-vs. long-horizon dependencies, reversible vs. irreversible dynamics, and with vs. without perceptual distractors, forming a compact yet representative benchmark for sparse-reward RL.

GYMNASIUM TOYTEXT. Gymnasium (Arnoldo et al., 2024) provides simple tabular environments for controlled analysis of learning dynamics in low-dimensional settings.

Despite their simplicity, these environments feature sparse rewards and require strategic exploration, making them suitable for isolating the early-stage benefits of memory-guided utility shaping. We include FROZENLAKE as a minimal benchmark where PPO reliably converges to the optimal policy, enabling us to verify that MIRA preserves convergence while accelerating early learning.

MINIGRID AND BABYAI. MiniGrid (Chevalier-Boisvert et al., 2023) and BabyAI (Chevalier-Boisvert et al., 2019) are suites of lightweight, procedurally generated environments designed to evaluate exploration and planning in partially observable, sparse-reward settings. We use these tasks to assess the effectiveness of advantage shaping in long-horizon decision-making environments that require reasoning under uncertainty and robustness to irrelevant stimuli. We include five tasks, selected to cover diverse challenges involving planning, credit assignment, and distraction resilience (see Figure 2). We use pixel-based observations (RGB images) rendered from the environment as the policy inputs, to introduce perceptual complexity and evaluate agent performance under a more realistic observation setting.

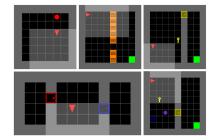


Figure 2: Evaluation environments. Top: REDBALL (navigation to target), LAVACROSSING (long-horizon navigation with irreversible hazards), DOORKEY (sparse reward with key-goal dependency). Bottom: REDBLUEDOOR (sequence-sensitive toggling), DISTRACTED DOORKEY (distractor-rich variant with key-goal dependency).

3.2 BASELINE METHODS

PPO (RL BASELINE). We train a tabula rasa PPO agent (Schulman et al., 2017a) that learns purely from environment interaction and rewards. Network architecture, PPO hyperparameters, and rollout settings are held fixed across all methods for fair comparison.

HIERARCHICAL RL. We include hierarchical reinforcement learning (HRL) (Matthews et al., 2022) as a baseline that uses pre-trained LLM option policies for temporal abstraction.

LLM-RS. We consider the method of (Bhambri et al., 2024), which we refer to as LLM-RS. This approach queries the LLM in real time to generate plans for potential-based reward shaping, with a verifier refining them for valid action sequences.

LLM4TEACH. We include LLM4Teach (Zhou et al., 2023) as a representative teacher-based approach. It employs a pre-trained LLM as a policy teacher and guides the RL agent through policy distillation, and is among the state-of-the-art methods in this category.

4 EXPERIMENTAL RESULTS

We group our experimental observations so as to answer several research questions on MIRA's performance. Appendix F provides additional results, including evaluations on unseen seeds to assess generalization, wall-clock analyses quantifying the overhead of LLM queries and memory operations, and supplementary plot from sweeps over shaping weights, analyzing their effect on early-stage learning dynamics and reward progression.

4.1 TABULAR BENCHMARK AND PARTIALLY OBSERVABLE TASKS

HOW DOES MIRA OUTPERFORM PPO IN TERMS OF FASTER EARLY LEARNING AND CONVERGENCE, EVEN WHEN PPO ALONE ACHIEVES COMPETITIVE PERFORMANCE? We compare MIRA to the PPO baseline on FROZENLAKE-8x8, averaging results over four seeds. As shown in Figure 3, MIRA achieves faster early learning and higher return during the first 2K iterations. PPO eventually matches this performance, and by convergence, the difference between the two methods is not statistically significant. In this environment, we use only offline LLM access. Three zero-shot queries to GPT-o4-mini generate an initial static memory, with the LLM observing the full grid configuration (matching the agent's full observability) but not the slipperiness probability, which is also hidden from the agent. This memory provides utility shaping in the early stages of training.

Return 0.5

1.0 Frozen Lake

As learning progresses, the influence of shaping diminishes, η increases toward 1, ξ_t decreases toward 0, and the derived ratio $\delta_t = \xi_t/\eta_t$ steadily decays (Figure 3), indicating that utility guidance fades as the agent becomes self-reliant. Under standard stochastic approximation theory (Kushner & Yin, 2003), this decay implies that the critic error is bounded within an $O(\delta_t)$ neighborhood of the true value function, which contracts to the exact solution as $\delta_t \to 0$.

ithin an inction, $\delta_t \to 0$.

ANDLE Figure 3: Mean return on FROZENLAKE-8X8 (left): MIRA accelerates early learning and converges to the same return as PPO. Evolution of

shaping terms η_t , ξ_t , and ratio δ_t (right): δ_t decays

during training, ensuring convergence as $\delta_t \to 0$.

Shaping Terms

HOW EFFECTIVELY DOES MIRA HANDLE COMPLEX ENVIRONMENTS THAT REQUIRE LONG-HORIZON EXPLORATION AND REASONING? We next evaluate MIRA on five tasks designed to isolate distinct challenges in sparse

and partially observable environments. Figure 4 shows mean return and success rate across the four tasks, with performance averaged over four different seeds. In simpler tasks such as REDBALL, PPO shows moderate early gains but plateaus well below optimal performance. Although hierarchical RL eventually catches up, MIRA reaches optimal returns in under half the training iterations. In LAVACROSSING, PPO fails to improve beyond near-zero success, indicating ineffective exploration. Hierarchical RL improves steadily but converges more slowly than MIRA. In more complex tasks such as DOORKEY and REDBLUEDOOR, MIRA achieves substantially higher success rates, approximately twice those of HRL, while also converging faster.

These gains are achieved with a limited LLM query budget that combines offline and infrequent online access. Offline queries scale with task complexity. In REDBALL, four zero-shot prompts to GPT-o4-mini are sufficient to build a useful memory graph, while DOORKEY requires about seven queries that mix few-shot and zero-shot prompts. Online queries are budgeted separately and also vary with task complexity. In REDBALL, about seven online queries suffice to suppress irrelevant actions throughout training. In REDBLUEDOOR, queries are triggered more frequently early in training to help interpret partial observations and suggest short sequences, such as turning, that align the agent with the door. Once the red door is discovered and toggled, the offline memory becomes sufficient. In this task, rooms behind the doors serve only as distractors; baseline agents, including hierarchical RL, often waste steps exploring them. As shown in Figure 4 (lower right), HRL achieves higher success rates than PPO but yields similar average return in the beginning due to suboptimal trajectory use. By contrast, MIRA avoids such inefficiencies by focusing on goal-relevant behavior earlier in training.

HOW WELL DOES MIRA CONVERT LIMITED LLM QUERIES INTO PERFORMANCE GAINS, AND HOW DOES THIS TRADE-OFF COMPARE TO QUERY-HEAVY APPROACHES? To further

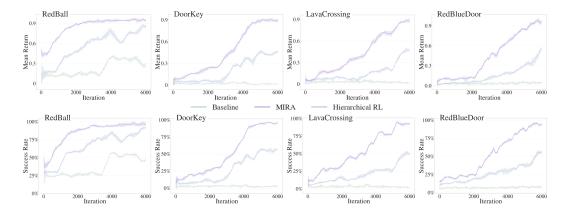


Figure 4: Mean return (top) and success rate (bottom) across four MiniGrid and BabyAI tasks. MIRA consistently outperforms both baselines, achieving faster learning, higher asymptotic return, and greater success rates. These results are obtained with a small LLM budget, using fewer than ten offline prompts to build memory graphs plus infrequent online queries to guide exploration.

evaluate MIRA, we compare it to LLM4Teach and LLM-RS in the custom variant environment DISTRACTED DOORKEY. We also include a Sole LLM baseline, where GPT-o4-mini executes plans under full observability without learning. Figure 5 shows mean return progression at selected training checkpoints. For Sole LLM, we report average return over 100 seeds to demonstrate that the task is LLM-solvable and that its outputs provide useful structural guidance. The accompanying bar chart reports amortized return per cumulative LLM query under two fixed budgets, quantifying how efficiently each method translates queries into performance gains.

MIRA achieves higher query efficiency than both LLM4Teach and LLM-RS. It converts limited LLM access, seven offline prompts and 20 ± 3 online queries per run, into higher return per query. In contrast, LLM4Teach issues dense supervision, querying the LLM on every state-action-reward triplet within training batches, often for more than 500 iterations until the policy stabilizes. LLM-RS, which uses LLM-generated reward code, queries once per layout, totaling over 50 calls in our setup. While lighter than LLM4Teach, this still requires layout-level access throughout training. Despite its heavier budget, LLM4Teach achieves comparable final performance to MIRA, while LLM-RS fails to match MIRA's return. Notably, LLM-RS outpaces MIRA early due to reward shaping, but falls behind later. LLM4Teach shows an early advantage through front-loaded queries, but at significantly higher cost. Table 3 and 4 reports results on unseen evaluation seeds to assess generalization.

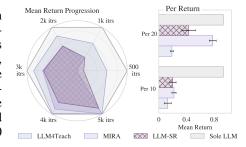


Figure 5: Mean return (left): LLM4Teach shows faster early gains, while MIRA steadily improves and matches its final return with fewer queries. LLM-RS benefits early from reward shaping but plateaus lower. Return per LLM query (right): Under two query budgets, MIRA achieves higher efficiency.

4.2 ABLATION STUDIES

ONLINE QUERY FREQUENCY: HOW DO ONLINE LLM QUERIES IMPROVE LEARNING, BE-YOND WHAT OFFLINE MEMORY PROVIDES? We vary the number of online LLM queries issued during training of DOORKEY, to assess how constrained usage affects learning efficiency and final performance. Each agent begins with the same memory graph, initialized from identical offline queries, isolating the contribution of dynamic LLM input from that of static memory initialization. We compare MIRA under three online budgets: zero, a mid budget of 10 queries, and a high budget of 20. As shown in Figure 6 (left), more frequent online access accelerates learning, with the large-budget variant achieving optimal return in fewer steps (Table 2; Appendix F). Even with just 10 online calls, MIRA substantially outperforms the offline-only variant. Nevertheless, MIRA (of-

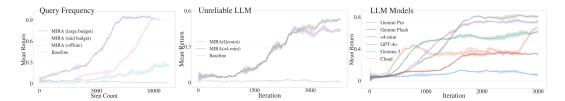


Figure 6: Query frequency (left): Agents share the same offline memory but vary in online budgets. More queries speed learning, with high-budget agents reaching optimal return fastest. Unreliable LLM (middle): With identical offline memory, screening is disabled and queries are swapped from GPT-o4-mini to Gemini Pro only in the late phase. Performance remains stable in the late phase, indicating reduced dependence on online guidance once policy have matured. LLM models (right): Agents differ only in the LLM used for memory. Gemma3 induces inefficient checking, Claude favors exploration, while Gemini Pro and o4-mini enable faster learning and task progression.

fline) still yields a notable boost over baseline PPO, indicating that static memory alone can provide meaningful guidance when dynamic access is unavailable.

UNRELIABLE LLM OUTPUTS: How does MIRA Handle late-stage exposure to degraded LLM Guidance once its memory is well-formed? We evaluate a scenario where the LLM is swapped at a later training stage and the screening unit is disabled only for this final online phase in Distracted Doorkey environment. All agents share the same offline-initialized memory graph and use GPT-o4-mini with screening during earlier online queries. In the final stage, we replace the LLM with Gemini Pro and omit screening. By this point, MIRA has accumulated sufficient experience and memory, allowing it to tolerate low-confidence or incorrect suggestions without collapsing performance. We prompted both LLMs with a scenario where the agent has already explored thoroughly and confirmed no key is present (implying it was already collected, since inventory is unobserved). When asked which action to down-weight, GPT-o4-mini gave a consistent suppression, whereas Gemini returned a misaligned alternative. As shown in Figure 6 (middle), MIRA remains stable under degraded guidance, though convergence slows and final return drops slightly. Details of the reasoning trace are given in Figure 11, Appendix D.

REASONING AND PERFORMANCE: HOW DO VARIATIONS IN LLM REASONING AFFECT MEMORY AND DOWNSTREAM RESULTS? We assess MIRA's sensitivity to the choice of language model by replacing GPT-o4-mini with alternatives such as GPT-40 (OpenAI, 2024), Claude Sonnet 4 (Anthropic, 2024), Gemma 3 27B (Ananthanarayanan et al., 2024), Gemini 2.5 Flash and Pro (Chen et al., 2024). All models go through the same process to ensure comparability. Unlike the ablation done before, the model swap is applied from the beginning of training. As shown in Figure 6 (right), the reasoning style shaping the memory graph strongly impacts downstream RL performance. For instance, Gemma3 performs poorly because it recommends checking the door after every pickup, leading to wasteful steps. Claude adopts an exploratory policy that yields slow but eventual progress, showing early improvement followed by plateauing, but it eventually recovers as the memory is dynamic. GeminiPro and GPT-o4-mini both enable fast early learning, but o4-mini's memory includes detours that prove beneficial later, ultimately reaching the highest asymptotic return. These differences highlight how the reasoning processes behind LLM outputs directly influence MIRA's long-term policy quality. Reasoning traces from the LLM appear in Appendix D, Figure 10.

5 Conclusion

We propose MIRA, an reinforcement learning (RL) framework that integrates large language model (LLM) guidance via a memory graph built from high-return trajectories and LLM-inferred information. By shaping advantage estimates with a utility signal derived from this memory, MIRA accelerates early learning without requiring continuous LLM supervision. Theoretical and empirical results on sparse-reward tasks confirm improved sample efficiency and preserved convergence. Limitations of the current design are discussed in Appendix G. Future work includes extending MIRA to continuous action spaces and multi-goal domains like Crafter (Hafner et al., 2023), where long-horizon dependencies and reusable subgoal structure are prominent. We expect that MIRA's evolving memory and advantage shaping will be especially valuable in such settings, supporting both reuse and abstraction across episodes.

REPRODUCIBILITY STATEMENT

We have taken several steps to ensure reproducibility of our results. All theoretical assumptions and complete proofs are included in Appendix C. Appendix D details the environment specifications and the exact LLM prompts used for both offline and online queries. Appendix H lists the full set of hyperparameters for MIRA across every evaluated environment. We also provide pseudocodes for all proposed algorithms in Algorithms 1 and 2, ensuring clarity and transparency despite their straightforward implementation. Together, these materials supply all information necessary to reproduce our experiments and verify the claims of the paper.

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APPENDIX

The supplemental material is organized as follows:

- SECTION A reviews *background* on reinforcement learning definitions and policy gradient algorithms to make the paper self-contained.
- SECTION B discusses related work relevant to our approach in more depth.
- SECTION C presents the *theoretical* results and supporting proofs for our method.
- SECTION D describes the LLM prompting procedures in MIRA and presents the corresponding reasoning traces.
- SECTION E provides a more detailed explanation of the *construction of memory graph*, expanding on the description in the main paper.
- SECTION F presents extended experiments, including analyses of runtime and detailed numerical results that were not covered in the main text.
- SECTION G outlines limitations of the current design and identifies open challenges.
- SECTION H provides details to support reproducibility of our results.

A BACKGROUND

A.1 STANDARD REINFORCEMENT LEARNING

Reinforcement learning (RL) is typically modeled as a Markov decision process (MDP), defined by a tuple $(\mathcal{S}, \mathcal{A}, P, r, \gamma)$, where \mathcal{S} is the state space, \mathcal{A} the action space, P the transition function, r the reward function, and $\gamma \in [0,1)$ the discount factor. The agent's behavior is determined by a policy π , which defines a probability distribution over actions given the current state: $\pi(a|s)$. Learning proceeds through interaction with the environment, producing trajectories, sequences of states, actions, and rewards of the form $\tau = (s_0, a_0, r_0, s_1, a_1, r_1, \ldots)$, and using them to improve the policy.

The objective is to learn a policy that maximizes the expected return, defined as the discounted sum of rewards along a trajectory:

$$\mathbb{E}_{\pi} \left[\sum_{t=0}^{\infty} \gamma^{t} r(s_{t}, a_{t}) \right]. \tag{5}$$

The environment's reward function implicitly defines the final goal (g_{\triangleright}) by assigning reward to behaviors that accomplish the task (Sutton et al., 1998; Silver, 2015). To estimate this objective, RL algorithms often make use of value functions, which quantify the long-term utility of states or state-action pairs. The state-value function V(s) denotes the expected return when starting from state s and following policy π :

$$V(s) = \mathbb{E}_{\pi} \left[\sum_{t=0}^{\infty} \gamma^t r(s_t, a_t) \mid s_0 = s \right]. \tag{6}$$

The action-value function Q(s, a) further conditions on the first action taken and is defined as:

$$Q(s,a) = \mathbb{E}_{\pi} \left[\sum_{t=0}^{\infty} \gamma^{t} r(s_{t}, a_{t}) \mid s_{0} = s, a_{0} = a \right].$$
 (7)

A.1.1 PARTIAL OBSERVABILITY AND CREDIT ASSIGNMENT CHALLENGES

In many real-world scenarios, the environment is only partially observable. In such cases, the MDP generalizes to a partially observable MDP (POMDP), defined by the tuple $(S, A, P, r, \gamma, \mathcal{O}, \Omega)$, where \mathcal{O} is the observation space and Ω is the observation function. The agent does not directly observe the true state $s_t \in S$; instead, it receives observations o_t from an observation space \mathcal{O} , sampled via $\Omega(o_t|s_t)$, and must rely on its history of observations and actions to make decisions (Kaelbling et al., 1998).

These difficulties are further amplified in environments where the agents face sparse and delayed rewards. Sparse rewards refer to the limited presence of nonzero rewards since this feedback is only provided upon reaching specific goals (i.e., $r(s_t, a_t)$ is typically zero until the agent reaches the final goal state (g_{\triangleright}) defined by the task). On the other hand, delayed rewards refer to settings where the consequences of an action are not reflected in the reward until several steps later. In both cases, the agent must reason over long horizons to determine which actions contributed to the eventual outcome, a challenge known as the credit assignment problem (Schulman et al., 2015a).

Credit assignment is closely tied to the broader challenge of exploration. Inefficient exploration occurs when the agent fails to sufficiently cover the state space, limiting its ability to discover high-return trajectories and improve its policy. This problem is exacerbated in high-dimensional environments, where the number of possible state-action sequences grows exponentially and random exploration becomes increasingly unlikely to encounter informative transitions with sparse or delayed rewards. In such cases, the combination of large search spaces and limited reward signals often leads to slow convergence, poor sample efficiency, and high variance in learning outcomes.

A.1.2 SUBGOALS AND ABSTRACTIONS

In long-horizon tasks, reinforcement learning agents often benefit from structuring behavior around subgoals, intermediate objectives that facilitate progress toward the overall task. The concept of subgoals in reinforcement learning originated in hierarchical reinforcement learning (HRL), where it was formalized through the use of temporally extended actions. In particular, the options framework introduced by Sutton et al. (1999) defines options as high-level actions composed of an initiation set, a policy, and a termination condition, often interpreted as achieving a subgoal (Stolle & Precup, 2002). These subgoals correspond to intermediate states or conditions that decompose long-horizon tasks into smaller, temporally coherent segments that make the final goal more attainable when reached. More broadly, subgoals provide structure for reasoning over extended time horizons and facilitate learning in sparse-reward settings.

While early approaches focused on explicit or learned state-based subgoals, recent work has explored abstract subgoals that capture semantic or latent-level progress. These abstractions may not correspond to a specific state but instead reflect high-level intentions and meaningful progress (e.g., opening a door, entering a room, or collecting an object). Such abstractions enable reasoning at a higher level of granularity and are especially useful in environments with sparse rewards or delayed feedback. Subgoal discovery and abstraction have also been explored in curriculum learning, imitation learning, and human-in-the-loop frameworks to improve exploration and sample efficiency (MacGlashan et al., 2017; Shiarlis et al., 2018; Narvekar et al., 2020).

A.2 POLICY GRADIENT METHODS

Policy gradient methods directly optimize a parameterized policy $\pi_{\theta}(a|s)$ by ascending the gradient of expected return. The objective is to find parameters θ that maximize:

$$J(\theta) = \mathbb{E}_{\tau \sim \pi_{\theta}} \left[\sum_{t=0}^{\infty} \gamma^{t} r(s_{t}, a_{t}) \right], \tag{8}$$

where τ denotes a trajectory generated by following the current policy. The gradient of this objective can be estimated via the likelihood ratio trick, yielding the REINFORCE estimator (Williams, 1992):

$$\nabla_{\theta} J(\pi_{\theta}) = \mathbb{E}_{\pi} \left[\nabla_{\theta} \log \pi_{\theta}(a_t | s_t) R \right], \tag{9}$$

where R_t is the return from time t onward. While theoretically sound and unbiased, this estimator suffers from high variance, making it challenging to apply in practice without further refinement.

A.2.1 ADVANTAGE-BASED POLICY OPTIMIZATION

To reduce variance and improve sample efficiency, modern policy gradient algorithms often use advantage functions, which quantify the relative quality of an action compared to the policy's baseline behavior. The advantage function is defined as:

$$A(s,a) = Q(s,a) - V(s), \tag{10}$$

where Q(s,a) is the expected return from taking action a in state s, and V(s) is the expected return from s under policy π . Using this formulation, the policy gradient becomes:

$$\nabla_{\theta} J(\pi_{\theta}) = \mathbb{E}_{\pi} \left[\nabla_{\theta} \log \pi_{\theta} (a_t \mid s_t) A \right], \tag{11}$$

which improves stability while preserving unbiasedness.

This idea underpins a family of actor-critic algorithms, where the actor updates the policy using the advantage-weighted gradient, and the critic estimates value functions used to compute A(s,a). Representative algorithms in this class include A2C and A3C (Mnih et al., 2016), which leverage parallel actors to accelerate training and stabilize updates, and PPO (Schulman et al., 2017b), which constrains policy updates by clipping the policy ratio in the surrogate objective:

$$\mathcal{L}^{\text{PPO}}(\pi) = \mathbb{E}\left[\min(r_t A_t, \text{clip}(r_t, 1 - \varepsilon, 1 + \varepsilon) A_t)\right],\tag{12}$$

where $\varepsilon > 0$ is a small trust region parameter that limits how much the policy is allowed to change at each update.

These methods are widely used in modern deep reinforcement learning due to their scalability and consistent empirical performance across a range of tasks. Since MIRA operates by shaping the advantage function, it is compatible with any policy optimization method that relies on advantage-weighted updates.

B RELATED WORKS

B.1 LANGUAGE MODEL GUIDANCE IN RL

A growing line of work explores how large language models (LLMs) can be integrated into reinforcement learning by framing them as auxiliary components within the agent–environment loop. A recent taxonomy by Cao et al. (2024) outlines the roles of LLMs in RL along four key dimensions: information processors, reward designers, decision-makers, and generators.

As information processors, LLMs extract and organize task-relevant knowledge from natural language, environment descriptions, or prior experience. This includes synthesizing high-level goals, parsing instructions, and transforming language input into actionable constraints or representations (Wang et al., 2024a; Shinn et al., 2023). A common approach is to use frozen pre-trained models to encode task-relevant features without fine-tuning, though they may perform poorly on out-of-distribution data due to limited adaptability (Radford et al., 2021; Paischer et al., 2022; 2023). Alternatively, fine-tuned models can better align with task-specific distributions, leading to more robust RL performance and improved generalization in unseen environments (Kim et al., 2023; Poudel et al., 2024). In addition, LLMs can convert human instructions or task prompts into formal representations or structured goals, and interpret descriptions of the environment, such as objects, layouts, or dynamics, into usable priors for downstream RL modules. This reduces the burden of language comprehension for RL agents and improves sample efficiency (Basavatia et al., 2024; Sumers et al., 2021; Song et al., 2023a; Liang et al., 2022). These models can decouple information processing from control, with the LLM handling language grounding and feature extraction while the policy module focuses on decision-making. Such capabilities can reduce learning complexity and accelerate policy acquisition by shaping the agent's representation space early in training.

As reward designers, LLMs provide auxiliary supervision by scoring agent behavior or generating rewards. This can take the form of natural language critiques, programmatic reward code, or goal-conditioned evaluations. In the implicit reward setting, LLMs serve as proxy reward models

by either being directly prompted to evaluate agent behavior (Chu et al., 2023; Wu et al., 2023) or by computing alignment between visual observations and language goals using pretrained vision-language models (Wang et al., 2024b; Adeniji et al., 2023; Seo et al., 2023; Grauman et al., 2022). These methods enable reward shaping via natural language instructions or preference feedback and have been shown to improve learning efficiency and generalization. In the explicit reward setting, LLMs are used to generate executable code that defines reward functions programmatically. This includes frameworks that iteratively refine reward code using self-reflection and feedback from training outcomes (Yu et al., 2023; Madaan et al., 2023; Song et al., 2023b). Compared to manually engineered rewards, these LLM-generated functions offer transparency and adaptability, and in some cases match or exceed human performance, especially in complex manipulation tasks.

As *decision-makers*, LLMs output action plans, policy sketches, or even direct action sequences based on current observations. These methods embed LLMs tightly into the decision loop, either guiding exploration or dictating behavior in few-shot or zero-shot settings. One approach leverages pre-trained LLMs for direct action generation, often adapting transformer-based models like Decision Transformers to treat offline RL as a sequence modeling problem. These LLM-backed policies show improved generalization, particularly in sparse-reward and long-horizon tasks, by transferring latent structure learned from large-scale language data. Some methods further fine-tune LLMs using task-specific trajectories or append small task-specific modules to facilitate adaptation, achieving notable gains in sample efficiency and task transfer (Zitkovich et al., 2023; Shi et al., 2023; Mezghani et al., 2023).

Other works integrate LLMs more loosely as action guides, generating action candidates or expert priors to support exploration and training. For example, LLMs can prune the action space by proposing high-probability candidates or decompose complex goals into sequential subtasks, improving exploration in environments with large or unstructured action spaces (Yao et al., 2020; Hausknecht et al., 2020; Dalal et al., 2024; Wan et al., 2025). They have also been used to regularize policy updates, align agent behavior with human intent, or inject expert-level motion plans. Across both low-level and strategic roles, LLM-based decision-making enables agents to learn from rich, structured priors and execute more informed behaviors in complex settings.

As *generators*, LLMs contribute to reinforcement learning by either simulating environmental dynamics or providing policy-level explanations to enhance transparency. In the simulation role, LLMs function as world model simulators that generate trajectories or learn latent dynamics representations from multimodal data, thereby improving sample efficiency in model-based RL. Recent work has leveraged Transformer-based architectures to model complex visual or sequential environments, demonstrating gains in generalization and long-horizon reasoning. These models either auto-regressively generate rollouts from pre-trained dynamics or use representation learning to predict future states and rewards, often incorporating language as an additional modality for grounding and abstraction (Micheli et al., 2022; Chen et al., 2022; Robine et al., 2023). Separately, LLMs have been used as policy interpreters to generate human-readable explanations of agent behavior from state-action histories or decision trees. This facilitates interpretability, improves human trust, and can inform reward design or debugging, though current work has focused mainly on policy-level summaries (Lin et al., 2023; Lu et al., 2023).

While MIRA incorporates elements of information processing and LLMs as generators, its overall orientation remains distinct and more RL-centric from prior LLM-centered approaches. Rather than positioning the LLM as a decision-maker or continuous feedback provider, MIRA relegates it to a supporting role that gradually fades over time. LLM outputs are used intermittently to enrich a structured memory graph that informs, but does not dictate, learning. The primary learning signal remains grounded in environment interaction, with utility shaping softly modulating advantage estimates rather than overriding the reward function. This design prioritizes policy optimization through reinforcement learning rather than imitation or prompting

B.2 Memory and Buffers in RL

Augmenting RL agents with structured memory has been proposed as a means of supporting generalization, planning, and long-horizon credit assignment. Early works such as Neural Episodic Control (NEC) and other episodic value-based methods enabled agents to recall high-value past experiences for more sample-efficient decision-making via memory buffers (Pritzel et al., 2017; Blundell et al., 2016; Lin, 1992). Subsequent approaches extended this idea by integrating differentiable memory

into policy networks (Qiu et al., 2024). Other methods introduce structured representations, such as subgoal graphs or navigation maps, to facilitate hierarchical planning, exploration, or navigation in partially observable environments (Beeching et al., 2020; Rana et al., 2023). Across these directions, the common pattern is to directly query stored structures, either through replay, imitation, or graph traversal, to guide behavior.

MIRA aligns with this direction by maintaining a structured memory graph populated with high-return trajectory segments but departs from this pattern in several key ways. First, its memory graph is co-constructed from high-return agent trajectories and LLM-inferred subgoals, enabling abstraction and structure difficult to obtain early through interaction alone. Second, rather than querying memory for action selection or value estimation, MIRA distills the stored information into a utility signal that modulates advantage estimates during training. This indirect shaping avoids disrupting the optimization loop or overfitting to specific stored transitions. Finally, MIRA maintains a compact memory via pruning and infrequent updates, which avoids the inefficiencies of excessive memory or the brittleness of sparse guidance (Liu & Zou, 2018). This makes MIRA more scalable and better suited for tasks where long-term structure must complement autonomous learning.

B.3 ADVANTAGE MODIFICATIONS IN RL

Modifying the advantage function has been studied as a way to stabilize learning and improve sample efficiency in policy optimization. A common approach adjusts the estimation process to better balance bias and variance. Generalized Advantage Estimation (GAE) (Schulman et al., 2015c) introduces a tunable parameter that interpolates between high-bias low-variance and low-bias high-variance estimators, and is widely adopted in actor-critic algorithms. Other methods reformulate policy updates in terms of advantages. Advantage-Weighted Regression (AWR) (Peng et al., 2019) avoids policy gradients and instead performs weighted regression over actions. P3O (Fakoor et al., 2020) combines on-policy and off-policy learning by applying advantage-weighted importance sampling to stabilize updates. In the offline RL setting, advantage estimates are often used to filter experience and address distributional shift. Advantage-based data selection (Kostrikov et al., 2021) discards transitions with low advantage, helping to focus learning on high-quality samples. Additional work incorporates auxiliary signals into the advantage estimate. Preference-based RL (Lee et al., 2021) derives implicit advantage signals from human comparisons, while other approaches integrate value correction from ensemble critics or confidence measures to adjust learning.

MIRA builds on these ideas but takes a different path. Instead of replacing the estimator or introducing new objectives, it shapes the advantage using a utility term derived from a structured memory graph. This utility reflects agent experience and LLM-derived subgoals, allowing guidance without overriding reward feedback. The resulting signal is integrated into PPO's update rule without disrupting its optimization dynamics, enabling structured shaping while maintaining scalability and convergence guarantees.

C THEORETICAL RESULTS

Since the utility term does not alter the policy or critic structure, and enters additively, MIRA preserves the theoretical guarantees of policy gradient methods such as PPO under standard assumptions:

C.1 ASSUMPTIONS

Assumption 1 (Boundedness).

a. For all updates k and all (s, a)

$$|A_k(s,a)| \le A_{\max}, \quad |U_k(s,a)| \le U_{\max} \tag{13}$$

b. Define the scale-adjusted shaping term as:

$$U_k(s,a) = \bar{A}_k \cdot U_k(s,a), \quad \text{where } \bar{A}_k = \langle |A_k| \rangle$$
 (14)

and set

$$U_{\max} = U_{\max} \cdot \sup_{k} \bar{A}_k \tag{15}$$

Assumption 2 (Scale control).

a. For all k, the scaling parameters satisfy:

$$0 < \eta_k \le 1, \quad \xi_k \le \delta_t \eta_k \quad \text{for some } \delta_t \in [0, 1)$$
 (16)

b. Asymptotically, the schedule satisfies:

$$\lim_{k \to \infty} \eta_k = 1, \quad \lim_{k \to \infty} \xi_k = 0 \tag{17}$$

Assumption 3 (Trust region).

$$\mathrm{KL}(\pi_k, \pi_{k+1}) \le \frac{(1-\gamma)\,\varepsilon_k^2}{2}$$

(implied by PPO clip ratio $r_{\pi} \in [1 - \varepsilon_k, 1 + \varepsilon_k]$).

C.2 IMPROVEMENT GUARANTEES

Table 1: Summary of theoretical results. Each entry states the formal claim and the role it plays in the overall analysis.

Result	Claim	Gap it fills
Lemma 1 (Single-update bound)	Any shaped update that improves its surrogate guarantees non-decreasing return, at least matching PPO up to a bounded penalty.	Provides a per-step safety guarantee: every shaped update is non-decreasing, forming the foundation for later results.
Remark (Faster early improvement)	Step gain exceeds PPO since the utility term is positive.	Explains why shaping improves early learning speed compared to PPO.
Corollary 1 (Trust-region form)	Adds the standard TRPO penalty term under trust-region assumptions.	Bridges shaped surrogate analysis with TRPO/PPO's standard trust-region guarantees.
Theorem 1 (Finite-horizon improvement)	Cumulative gain over K steps is lower-bounded by sum of shaped surrogates.	Extends the single-step guarantee to multiple updates, ensuring monotone growth and showing how utility terms can yield larger gains than PPO.
Corollary 2 (Improvement with margin)	If each surrogate exceeds a fixed margin, cumulative gain is strictly positive.	Establishes a sufficient condition for guaranteed overall performance improvement.
Theorem 2 (Asymptotic convergence)	As training continues, the shaped surrogate reduces to standard PPO, ensuring the same convergence behavior.	Shows shaping vanishes asymptotically, preserving PPO's convergence properties.
Remark (Critic bias)	TD bias is $O(\xi_k U)$, which vanishes as $\xi_k \to 0$.	Addresses stability concerns for the critic under shaped updates.
Theorem 3 (Per-step dominance)	The update chosen by optimizing the shaped surrogate always achieves at least as much return as PPO's update under the same trust-region.	Shows shaped optimization <i>dominates</i> PPO: when both are optimized step-by-step, the shaped update is never worse.
Corollary 3 (Limit-return dominance)	In the limit, shaped returns are at least as large as PPO's returns.	Guarantees long-run performance of shaped surrogate dominates PPO.

Lemma 1 (Single update bound). Let π_{k+1} satisfy $\mathcal{L}_k^{shaped}(\pi_{k+1}) \geq \mathcal{L}_k^{shaped}(\pi_k)$ for the surrogate built with $\tilde{A}_k = \eta_k A_k + \xi_k U_k$. Under assumptions 1 and 2,

$$J(\pi_{k+1}) - J(\pi_k) \ge \frac{1}{(1-\gamma)\eta_k} \Big(\mathcal{L}_k^{shaped}(\pi_{k+1}) - \xi_k U_{\text{max}} \Big). \tag{18}$$

Proof. Performance-difference lemma (PDL) (Kakade & Langford, 2002) yields

$$J(\pi_{k+1}) - J(\pi_k) = \frac{1}{1 - \gamma} \mathbb{E}_{d_{\pi_{k+1}}}[A_k].$$
(19)

where $d_{\pi}(s, a)$ is the discounted state-action occupancy measure of policy π : $d_{\pi}(s, a) = (1 - \gamma) \sum_{t>0} \gamma^t \Pr(s_t = s, a_t = a \mid \pi)$.

$$\mathbb{E}_{d_{\pi_{k+1}}}[A_{k}] \overset{\text{(a)}}{\geq} \mathbb{E}_{d_{\pi_{k}}} \left[r_{\pi_{k+1}} A_{k} \right]$$

$$\overset{\text{(b)}}{=} \frac{1}{\eta_{k}} \mathbb{E}_{d_{\pi_{k}}} \left[r_{\pi_{k+1}} \eta_{k} A_{k} \right]$$

$$\overset{\text{(c)}}{=} \frac{1}{\eta_{k}} \mathbb{E}_{d_{\pi_{k}}} \left[r_{\pi_{k+1}} \left(\underbrace{\eta_{k} A_{k} + \xi_{k} U_{k}}_{\tilde{A}_{k}} - \xi_{k} U_{k} \right) \right]$$

$$\overset{\text{(d)}}{=} \frac{1}{\eta_{k}} \left(\mathbb{E}_{d_{\pi_{k}}} \left[r_{\pi_{k+1}} \tilde{A}_{k} \right] - \xi_{k} \mathbb{E}_{d_{\pi_{k}}} \left[r_{\pi_{k+1}} U_{k} \right] \right)$$

$$(20)$$

Steps (a)–(d) correspond to: (a) approximation of the occupancy ratio by the policy ratio, which is valid up to first order for small updates (as ensured by PPO's clipping), (b) insertion of η_k , (c) add–subtract shaping term, (d) splitting the expectation.

(i) Surrogate term: Since the clipping operation in the PPO objective only reduces the expected value (i.e. PPO's surrogate takes the min of the unclipped and clipped terms, it never increases the expectation), it follows:

$$\mathcal{L}_k^{\text{shaped}}(\pi_{k+1}) \le \mathbb{E}_{d_{\pi_k}} \left[r_{\pi_{k+1}} \, \tilde{A}_k \right]. \tag{21}$$

(ii) Shaping term: Given the uniform bound $|U_k| \leq U_{\max}$ and since the importance ratio satisfies $\mathbb{E}_{d_{\pi_k}}[r_{\pi_{k+1}}] = 1$, we obtain:

$$\left| \mathbb{E}_{d_{\pi_k}} \left[r_{\pi_{k+1}} U_k \right] \right| \le U_{\text{max}}. \tag{22}$$

Combining (i)–(ii) and multiplying by $1/(1-\gamma)$ proves the claim.

$$J(\pi_{k+1}) - J(\pi_k) = \frac{1}{1 - \gamma} \mathbb{E}_{d_{\pi_{k+1}}}[A_k] \ge \frac{1}{(1 - \gamma)\eta_k} \left(\mathcal{L}_k^{\text{shaped}}(\pi_{k+1}) - \xi_k U_{\text{max}} \right)$$
(23)

Remark (Faster early improvement). Lemma 1 guarantees a larger performance gain than standard PPO:

$$J(\pi_{k+1}) - J(\pi_k) \ge \frac{1}{(1-\gamma)\eta_k} \left(\mathcal{L}_k^{PPO}(\pi_{k+1}) + \xi_k(U_k^{bonus} - U_{\max}) \right)$$
 (24)

where U_k^{bonus} is the shaped utility contribution at step k. This gap can be large early in training, providing faster convergence.

Corollary 1 (Trust–region variant of lemma 1). Let Assumptions 1–2 hold, and suppose the KL trust-region condition of Assumption 3 holds. Then

$$J(\pi_{k+1}) - J(\pi_k) \ge \frac{1}{(1-\gamma)\eta_k} \left(\mathcal{L}_k^{\text{shaped}}(\pi_{k+1}) - \xi_k U_{\text{max}} \right) - \frac{2\gamma\eta_k A_{\text{max}}}{(1-\gamma)^2} \varepsilon_k^2. \tag{25}$$

Proof. Start from inequality proved in Theorem 1. Add and subtract $2\gamma \, \eta_k A_{\max}/(1-\gamma)^2 \varepsilon_k^2$ inside the parentheses and invoke the standard TRPO bound $\left| \mathbb{E}_{d_{\pi_k}} [r_{\pi_{k+1}} A_k] \right| \leq 2\gamma A_{\max} \varepsilon_k / 1 - \gamma$. The result is the stated inequality.

Remark. The extra term $2\gamma(\eta_k A_{\max})\varepsilon_k^2/(1-\gamma)^2$ is identical to the second-order TRPO penalty Schulman et al. (2015b), so our bound recovers the classical PPO/TRPO guarantee when $\xi_k = 0$ and $\eta_k = 1$. PPO's clipping with ratio parameter ε_k typically implies a KL of order $O(\varepsilon_k^2)$.

Theorem 1 (Restated). [Finite-horizon improvement under shaped surrogate] *Same statement as Theorem 1*.

1245 Proof. Apply Lemma 1 at each step $k=0,\ldots,K-1$. Since

$$J(\pi_{k+1}) - J(\pi_k) \ge \frac{1}{(1-\gamma)\eta_k} \left(\mathcal{L}_k^{\text{shaped}}(\pi_{k+1}) - \xi_k U_{\text{max}} \right), \tag{26}$$

summing over all steps yields:

$$J(\pi_K) - J(\pi_0) = \sum_{k=0}^{K-1} \left(J(\pi_{k+1}) - J(\pi_k) \right) \ge \sum_{k=0}^{K-1} \frac{1}{(1-\gamma)\eta_k} \left(\mathcal{L}_k^{\text{shaped}}(\pi_{k+1}) - \xi_k U_{\text{max}} \right)$$
(27)

Applying the same technique as in the remark:

$$J(\pi_K) - J(\pi_0) \ge \sum_{k=0}^{K-1} \frac{1}{(1-\gamma)\eta_k} \left(\mathcal{L}_k^{\text{PPO}}(\pi_{k+1}) + \xi_k (U_k^{\text{bonus}} - U_{\text{max}}) \right)$$
(28)

Corollary 2 (Guaranteed improvement with margin). Under the conditions of Theorem 1, assume that for each step $k=0,\ldots,K-1$, the shaped surrogate satisfies $\mathcal{L}_k^{shaped}(\pi_{k+1}) \geq \alpha$, and the margin satisfies $\alpha > \delta_t \eta_{\max} U_{\max}$. Then the total performance improvement satisfies:

$$J(\pi_K) - J(\pi_0) \ge \sum_{k=0}^{K-1} \frac{1}{(1-\gamma)\eta_k} \left(\alpha - \xi_k U_{\max}\right)$$

$$\ge \frac{K}{(1-\gamma)\eta_{\max}} \left(\alpha - \delta_t \eta_{\max} U_{\max}\right) > 0. \quad (29)$$

Proof. From Theorem 1, we have

$$J(\pi_K) - J(\pi_0) \ge \sum_{k=0}^{K-1} \frac{1}{(1-\gamma)\eta_k} \left(\mathcal{L}_k^{\text{shaped}}(\pi_{k+1}) - \xi_k U_{\max} \right).$$

By assumption, $\mathcal{L}_k^{\text{shaped}}(\pi_{k+1}) \geq \alpha$ and $\xi_k \leq \delta_t \eta_k$, so: $\mathcal{L}_k^{\text{shaped}}(\pi_{k+1}) - \xi_k U_{\max} \geq \alpha - \delta_t \eta_k U_{\max}$.

Thus.

$$\frac{1}{\eta_k} \left(\mathcal{L}_k^{\text{shaped}}(\pi_{k+1}) - \xi_k U_{\text{max}} \right) \ge \frac{1}{\eta_k} \left(\alpha - \delta_t \, \eta_k \, U_{\text{max}} \right) = \frac{\alpha}{\eta_k} - \delta_t \, U_{\text{max}}. \tag{30}$$

Since $\eta_k \leq \eta_{\max}$, we have $\frac{1}{\eta_k} \geq \frac{1}{\eta_{\max}}$, so:

$$\frac{\alpha}{\eta_t} - \delta_t U_{\text{max}} \ge \frac{\alpha}{\eta_{\text{max}}} - \delta_t U_{\text{max}} = \frac{1}{\eta_{\text{max}}} (\alpha - \delta_t \eta_{\text{max}} U_{\text{max}}). \tag{31}$$

Therefore,

$$\begin{split} &J(\pi_K) - J(\pi_0) \\ &\geq \sum_{k=0}^{K-1} \left[\frac{1}{(1-\gamma)\,\eta_k} \left(\mathcal{L}_k^{\text{shaped}}(\pi_{k+1}) - \xi_k \, U_{\text{max}} \right) \right] \geq \frac{K}{(1-\gamma)\,\eta_{\text{max}}} \left(\alpha - \delta_t \, \eta_{\text{max}} \, U_{\text{max}} \right). \end{split}$$

This is strictly positive by assumption.

Theorem 2 (Asymptotic convergence under vanishing shaping). Suppose Assumptions 1 and 2 hold. Let $\{\pi_k\}$ be the sequence of policies generated by shaped surrogate updates satisfying $\mathcal{L}_k^{shaped}(\pi_{k+1}) \geq \mathcal{L}_k^{shaped}(\pi_k)$. Then for any $\varepsilon > 0$, there exists a step K_{ε} such that for all $k \geq K_{\varepsilon}$,

$$J(\pi_{k+1}) - J(\pi_k) \ge \frac{1}{(1-\gamma)} \left(\mathcal{L}_k^{PPO}(\pi_{k+1}) - \varepsilon \right), \tag{32}$$

where \mathcal{L}_k^{PPO} is the standard clipped surrogate using A_k .

Proof. From Lemma 1, we have:

$$J(\pi_{k+1}) - J(\pi_k) \ge \frac{1}{(1-\gamma)\eta_k} \left(\mathcal{L}_k^{\text{shaped}}(\pi_{k+1}) - \xi_k U_{\text{max}} \right). \tag{33}$$

Since $\eta_k \to 1$, $\xi_k \to 0$ (Assumption 2b), $\mathcal{L}_k^{\text{shaped}}(\pi_{k+1}) \to \mathcal{L}_k^{\text{PPO}}(\pi_{k+1})$, we conclude:

$$J(\pi_{k+1}) - J(\pi_k) \to \frac{1}{1 - \gamma} \mathcal{L}_k^{\text{PPO}}(\pi_{k+1}).$$
 (34)

Thus, for any $\varepsilon > 0$, there exists K_{ε} such that for all $k \geq K_{\varepsilon}$, we have:

$$J(\pi_{k+1}) - J(\pi_k) \ge \frac{1}{(1-\gamma)} \left(\mathcal{L}_k^{\text{PPO}}(\pi_{k+1}) - \varepsilon \right). \tag{35}$$

Remark (Critic bias). With $|\xi_k U_k| \leq \delta_t A_{\max}$, the extra bias in TD targets is $O(\delta_t)$. Stochastic-approximation theory therefore gives mean-square convergence of V_{θ} to an $O(\delta_t)$ neighborhood of the true value function; as $\xi_k \to 0$ (Assumption 2b) the neighborhood shrinks to a point.

Theorem 3 (Per–step dominance over PPO). Assume 1–3 hold. For each k, denote $\mathcal{F}_k = \{\pi \mid \mathrm{KL}(\pi_k \| \pi) \leq \varepsilon_k\}$ as the KL ball of radius ε_k centered at π_k . Let

$$\pi_{k+1}^{\text{shaped}} := \arg \max_{\pi \in \mathcal{F}_k} \mathcal{L}_k^{\text{shaped}}(\pi), \quad \pi_{k+1}^{\text{PPO}} := \arg \max_{\pi \in \mathcal{F}_k} \mathcal{L}_k^{\text{PPO}}(\pi),$$
 (36)

where $\mathcal{L}_k^{\text{shaped}}$ and $\mathcal{L}_k^{\text{PPO}}$ are the shaped and standard PPO surrogates, respectively. Then, for every k,

$$J(\pi_{k+1}^{\text{shaped}}) \geq J(\pi_{k+1}^{\text{PPO}}). \tag{37}$$

Proof. From Lemma 1 we have

$$J(\pi) - J(\pi_k) \ge \frac{1}{(1 - \gamma) \eta_k} \Big(\mathcal{L}_k^{\text{shaped}}(\pi) - \xi_k U_{\text{max}} \Big), \quad \text{for any } \pi \in \mathcal{F}_k.$$
 (38)

Evaluating this inequality at the two maximisers $\pi_{k+1}^{\text{shaped}}$ and π_{k+1}^{PPO} yields

$$J(\pi_{k+1}^{\text{shaped}}) - J(\pi_k) \ge \frac{1}{(1-\gamma)\eta_k} \left[\mathcal{L}_k^{\text{shaped}}(\pi_{k+1}^{\text{shaped}}) - \xi_k U_{\text{max}} \right]$$
(39)

$$J(\pi_{k+1}^{\text{PPO}}) - J(\pi_k) \ge \frac{1}{(1-\gamma)\eta_k} \left[\mathcal{L}_k^{\text{shaped}}(\pi_{k+1}^{\text{PPO}}) - \xi_k U_{\text{max}} \right]$$
(40)

Since $\pi_{k+1}^{\text{shaped}}$ maximizes $\mathcal{L}_k^{\text{shaped}}(\cdot)$ over the common feasible set \mathcal{F}_k , the bracketed term in the first line is no smaller than that in the second. The common positive factor $(1-\gamma)^{-1}\eta_k^{-1}$ therefore preserves the ordering, giving

$$J(\pi_{k+1}^{\text{shaped}}) \ge J(\pi_{k+1}^{\text{PPO}}). \tag{41}$$

Corollary 3 (Limit-return dominates over PPO). Let $\{\pi_k^{\text{shaped}}\}$ and $\{\pi_k^{\text{PPO}}\}$ be the policy sequences generated from the same initial policy π_0 by maximizing the shaped and standard PPO surrogates, respectively, and set

$$J_k^{\rm shaped} := J(\pi_k^{\rm shaped}), \qquad J_k^{\rm PPO} := J(\pi_k^{\rm PPO}). \tag{42} \label{eq:42}$$

Under Assumptions 1–3, both sequences converge and

$$\lim_{k \to \infty} J_k^{\text{shaped}} \ge \lim_{k \to \infty} J_k^{\text{PPO}}. \tag{43}$$

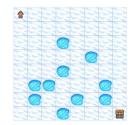
Proof. By assumption, the sequence of policies $\{\pi_k^{\text{shaped}}\}$ maximizes its surrogate, hence $J_k^{\text{shaped}}(\pi_{k+1}) \geq J_k^{\text{shaped}}(\pi_k)$. Since rewards are bounded, the discounted return under any policy satisfies $J_k^{\text{shaped}} \leq R_{\text{max}}/(1-\gamma)$. Thus the sequence is monotone and bounded, hence convergent: $J_k^{\text{shaped}} \to J_\infty^{\text{shaped}}$. The standard PPO monotonicity argument (Schulman et al., 2017b) yields the same for $\{J_k^{\text{PPO}}\}$, i.e. $J_k^{\text{PPO}} \to J_\infty^{\text{PPO}}$. Theorem 3 ensures $J_{k+1}^{\text{shaped}} \geq J_{k+1}^{\text{PPO}}$ for every k. Taking limits preserves the inequality:

$$J_{\infty}^{\text{shaped}} \ge J_{\infty}^{\text{PPO}}.$$
 (44)

D LLM PROMPTING AND REASONING

D.1 GYMNASIUM TOY TEXT

FROZEN LAKE is a tabular RL environment where the agent starts in the top-left and must reach the bottom-right goal while avoiding holes. For FROZEN LAKE, we provide the LLM with the complete map of the environment, either as an image (see Figure 7) or as a serialized array representation such as [`F', `F', ..., `H', `F', ..., `G']. Though the environment is typically stochastic due to slipperiness, the LLM is instructed to assume deterministic transitions.



Part of the prompt is adapted from the official environment description, but for clarity and reproducibility, we include the full version. The prompt and the LLM's response are shown in Figure 8.

Figure 7: Frozen Lake (Gymnasium)

FrozenLake involves crossing a frozen lake from the start tile (S) to the goal tile (G) without falling into holes (H), by walking only on frozen tiles (F). The agent begins at state 0, and the goal is located at the last state of the grid. Any move that would take the agent off the board results in staying in place, which is safe and sometimes preferable to risky moves. Given the full grid layout and state representations, provide a trajectory from the start to the goal that avoids all holes and reaches the goal along the shortest safe path. Assume deterministic transitions. Return the best and second-best safe trajectories from start (S) to goal (G) in the form of Python policy dictionaries. Each key should be a state, and each value should be the action to take from that state (0=left, 1=down, 2=right, 3=up). Use None for goal or holes.

```
... One safe shortest path avoiding all holes is: [0 → 1 → 2 → 6 → 10 → 14 → 15]

Another safe path that's still short (but one step longer): [0 → 1 → 2 → 6 → 10 → 9 → 13 → 14 → 15]

best = { 0: 2, 1: 2, 2: 1, 6: 1, 10: 1, 14: 2, 15: None}

second_best = {0: 2, 1: 2, 2: 1, 6: 1, 10: 0, 9: 1, 13: 2, 14: 2, 15: None}
```

Figure 8: Prompt and response from GPT-4o-mini for the FROZEN LAKE environment. The LLM receives a serialized map or a textual description and is asked to provide the best and second-best safe trajectories from start to goal, avoiding all holes under deterministic dynamics.

D.2 STANDARD

AND CUSTOM MINIGRID AND BABYAI ENVIRONMENTS

Each environment was chosen for a specific purpose:

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ING introduces irreversible transitions that require long-horizon planning to avoid dead DOORKEY requires the agent to acquire a key, unlock a door, and reach the ends. goal, forming a delayed dependency chain that challenges temporal credit assignment. REDBLUEDOOR tests the agent's ability to commit to a correct action sequence, as opening the blue door prematurely ends the episode. At last, DISTRACTED DOORKEY introduces BabyAI-style distractors (e.g., irrelevant balls and boxes) alongside the original multistep dependencies of DOORKEY, allowing us to test whether the LLM can generalize across known task elements and maintain coherent subgoal proposals under added visual distraction. For standard MiniGrid and BabyAI environments, we used the environment descriptions provided on the MiniGrid website. For our custom environment (DISTRACTED DOORKEY), we mimicked the phrasing and structure of the official MiniGrid descriptions (see Figure 9). Unlike in FROZEN LAKE, obtaining useful trajectories here was not as straightforward. MiniGrid-style environments often required multi-round prompting to obtain meaningful and desired outputs. Moreover, instead of providing an image of the environment, we found it more effective to use a textual description. This helped reduce confusion and encouraged the LLM to understand that object locations (e.g., the key, door, and agent in DOORKEY) can vary across episodes.

REDBALL involves short-horizon navigation and fast spatial goal acquisition. LAVACROSS-

We are in a MiniGrid environment where the agent must pick up a vellow key, use it to toggle a yellow door, and then reach the green goal tile. The action space follows the standard MiniGrid specification.

This environment is challenging for classical RL algorithms due to its sparse rewards There is only one key-door pair (yellow), and

other objects like the purple ball and blue box are distractors.

Do you understand this environment? Answer yes or no

trajectories.

Figure 9: Prompt provided to the "Offline LLM" for the custom MiniGrid variant DISTRACTED DOORKEY. The prompt describes the task setting, object roles, and challenges (e.g., sparse rewards and distractors), and asks the LLM to confirm understanding before suggesting helpful

D.3 LLM REASONING PATTERNS ACROSS MODELS

We observed that different LLMs produced very different memory graphs. To better understand how different models reason about these environments, we recorded not only their output trajectories but also their internal reasoning processes. For model that include system-level thinking (e.g., GPT-o4-mini), this was extracted directly from the response. For models that do not expose intermediate reasoning (e.g., Claude 3), we followed up with an auxiliary prompt such as: "Give me your reasoning as to why you chose this sequence of actions."

These responses were not used in the MIRA framework, but we found them surprisingly revealing. Despite receiving identical prompts, the models relied on starkly different reasoning strategies. This divergence gave us unexpected insight into how various LLMs process spatial structure, interpret decision sequences, and reason about reinforcement learning dynamics and learning objectives. Differences that, in turn, shape the quality of their output trajectories. In Figure 10, we present reasoning snippets from the LLMs' outputs. We omit the initial sections where models repeat the prompt or restate the environment description, and instead highlight the specific reasoning steps that led each model to select a particular trajectory. The influence of these differing reasoning strategies on RL performance is reflected in the return curves shown in Figure 6.

CASE STUDY: DISTRACTED DOORKEY

In the ablation study presented in Subsections 4.2, GPT-o4-mini and Gemini return different outputs when presented with the same situation. Here, we provide the exact prompt and reasoning traces. As shown in Figure 11, both responses appear plausible at a surface level, but only one is consistent with the task dynamics: given that sufficient exploration has already occurred, the key is likely collected, making suppression of the corresponding action the correct response. In this case, the divergence leads to a drop in performance under the misaligned output.

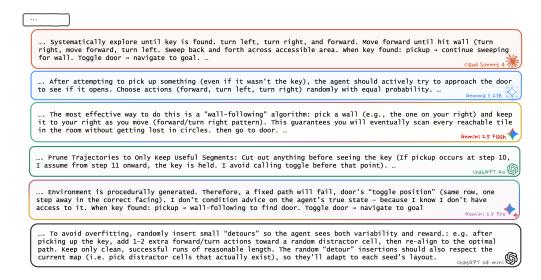


Figure 10: Reasoning traces produced by different LLM s in response to our custom environment prompt as part of "Offline LLM" prompting. After confirming they understood the environment, each model was asked: "If you were to give an RL agent useful trajectories to help solve this, what would you do?" For models that do not output internal reasoning (e.g., Claude), we issued a follow-up prompt requesting their thought process. We omit repeated environment restatements and show only the key parts where the model explains how it decided on the action sequence.

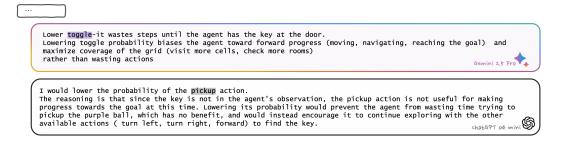


Figure 11: Reasoning traces produced by Gemini and ChatGPT under "Online LLM" prompting. The prompt emphasizes that sufficient exploration has already been performed and, from the partial observation, no key is visible. A (flawed but plausible) line of reasoning is that the agent must still be in the phase of searching for the key, so reducing the probability of toggle appears reasonable to prioritize movement actions for exploration.

E MEMORY GRAPH CONSTRUCTION DETAILS

In this section, we further explain the procedure for initializing, updating, and pruning MIRA's memory graph. As discussed in Section 2, the initial memory graph is constructed from offline LLM-generated suggestions. Once built for a specific environment, this graph can be reused across training episodes or even across agents within the same task. Since MIRA is designed to generalize across diverse settings, figure 12 illustrates how the framework accommodates environments with a single terminal objective as well as tasks with multiple independent objectives

Given that each task differs slightly, we largely focus our detailed explanation on DOORKEY from the MiniGrid suite for the rest of the subsections, as it contains multiple subgoals and is sufficiently complex to show the dynamics of the graph clearly.

E.1 INITIALIZATION

As shown in figure 10, GPT-o4-mini tends to generate trajectory segments that begin after the key is picked up, with the subgoal "toggle the door". In contrast, models like Claude tend to produce longer, full trajectories from the beginning.

Interestingly, segmented trajectories are often more useful in this environment. Since the environment is partially observable and reinforcement learning relies heavily on exploration, allowing the agent to figure out how to reach the key on its own helps it understand the overall layout of the environment better. Once the key is acquired, there is a higher chance that the door has already entered the agent's observation window, making memory-guided navigation toward the door more effective.

In addition to segments, the LLM also infers subgoals (κ_ℓ) . While the obvious ones are "Pick up key," "Open door," and "Reach goal," o4-mini returns more detailed versions like:

$$\kappa_1: Go \ to \ key \rightarrow \kappa_2: Pick \ up \ key \rightarrow \kappa_3: Go \ to \ door \rightarrow \kappa_4: Toggle \ door \rightarrow g_{\triangleright}: \ Go \ to \ goal.$$

This fine-grained subgoal sequence reflects the environment's control logic: the "open door" action is valid only if the agent is positioned one step away, properly aligned, and facing the door.

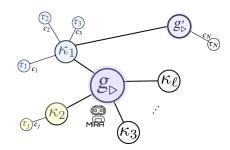


Figure 12: Visualization of MIRA's memory graph. Trajectory segments τ_j are grouped under subgoal nodes κ_ℓ , which represent abstract intermediate objectives. Subgoals can be shared across multiple final goals (e.g., κ_1 connects to both g_{\triangleright} and g'_{\triangleright}), enabling reuse of common behaviors. The graph evolves during training through agent discovery and LLM-guided grafts.

E.2 AGENT-INDUCED UPDATES

During training, new nodes are added to the memory graph based on successful agent interations. For instance, if the agent finds a short path to the key on its own, and subsequently uses a learned, memory-guided trajectory to reach the door or goal, the complete sequence is added as a new node. Moreover, if the agent follows a trajectory with initially low confidence and that trajectory proves useful for achieving the corresponding goal or subgoal, we treat this as implicit validation and increase the confidence of the associated node.

The memory graph remains lightweight throughout training. Each node stores a trajectory segment and metadata, and the total graph size stays compact. Compared to experience replay buffers in standard off-policy RL methods, which retain large volumes of data, the memory graph introduces negligible computational and memory overhead. To maintain compactness, unused nodes are periodically pruned based on access frequency. Each memory node tracks an access counter, which is reset every time the node is used. Nodes that are not accessed for 100 episodes are pruned, except for those corresponding to final goal trajectories (g_{\triangleright}) , which are retained since the agent might not have reached them early on, but they are essential for guiding successful completion later in training.

E.3 Online Grafting and Triggers

Since the agent has a limited number of steps per episode, it may fail to reach any subgoal (e.g. "Open Door") with a matching trajectory in the memory graph early on, preventing utility shaping from activating. To address this, MIRA includes a fallback mechanism: if the computed utility U is entirely zero for N consecutive episodes, the agent triggers an online LLM query. These online queries return short plans (e.g., "turn left, move forward, toggle") based on the agent's partial observations to help the agent reorient. Once screened for quality, the new suggestion is grafted into MIRA. Another way online LLM queries contribute is by influencing the agent's policy preferences directly through soft logit injection. Importantly, the online LLM is constrained by the same partial observability as the agent. It does not receive access to the full environment state and therefore cannot, for example, determine the presence of a key elsewhere in the grid. Furthermore, since inventory status is not part of the agent's observation space, the LLM is unaware of whether the

agent has picked up the key. Instead, the LLM receives a batch of recent partial observations and must infer from them whether any meaningful guidance can be offered.

F EXTENDED EXPERIMENTAL STUDIES

F.1 EARLY ADVANTAGE DYNAMICS

Figure 13 provides empirical support for the central intuition behind our shaping formulation. We plot return curves for each ξ group (color), across different η values (line style). Early in training, return curves within each ξ group remain tightly clustered, indicating that A_t , the critic's estimate, provides little useful signal, regardless of how it is weighted. Divergence points, marked on the figure, denote the first iteration where the return spread across η values exceeds a certain threshold, signaling that A_t has begun contributing meaningfully to the shaped advantage $\tilde{A}_t = \eta_t A_t + \xi_t U_t$.

In the absence of shaping ($\xi=0$, gray lines), this occurs relatively late (iteration 131), whereas with shaping ($\xi>0$), it happens substantially earlier (iterations 81–113, depending on ξ). This shows that the utility term not only supports early learning but also accelerates the emergence of a reliable critic. These results validate our choice to softly shape advantages, and emphasize the importance of carefully tuning ξ and η : insufficient shaping slows critic learn-

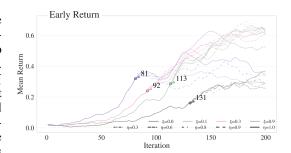


Figure 13: Return curves for different η values (line styles) under fixed ξ settings (colors). Markers indicate the first iteration where performance begins to diverge across η , signaling when A_t starts to meaningfully affect learning. Early on, the critic signal is weak, and \tilde{A}_t is driven mostly by the utility term. When ξ is large enough, shaping accelerates the critic's contribution by up to 50 iterations and leads to around 2.5× higher return compared to the unshaped case. These results support the value of softly incorporating utility and highlight the sensitivity to shaping parameters.

ing, which in turn leads to substantially lower mean returns.

F.2 RELATIVE WALL TIME

We measure relative wall-clock time as the end-to-end runtime per iteration to assess each method's computational burden. Environments with a more complex step logic,

such as DISTRACTED DOORKEY, which involves door toggling, key collection, and distractor dynamics, incur higher per-step sim-Tasks like REDBLUEDOOR ulation costs. and LAVACROSSING further increase runtime through frequent failures that trigger repeated episode resets and buffer re-initializations. In contrast, FROZEN LAKE's tabular, lowdimensional transitions execute very quickly, so all methods complete rapidly (we do not run the online variant here since the offline approach suffices). Occasional LLM queries introduce network latency that further raises wall time in the slower domains. As a result, relative wall time grows with both the intrinsic simulation complexity of the environment and any additional algorithmic overhead (e.g., LLM calls).

Figure 14 reports wall-clock times for two measures: reaching a 0.5 return (left) and completing a 2k-step run (right). In the left panel, PPO reaches 0.5 only on FROZEN LAKE, while both

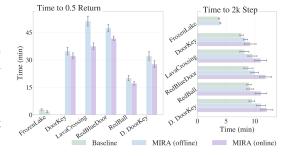


Figure 14: Wall-clock runtimes across environments. Time required to reach a 0.5 return (left): PPO reaches 0.5 only on FROZEN LAKE, while both MIRA variants converge across tasks. Runtime for 2k training steps (right): Online MIRA incurs extra overhead from initial LLM queries, but this cost reduces wasted exploration and leads to faster convergence in terms of overall wall time.

MIRA variants converge across all environments. In the right panel, PPO shows the lowest per-step runtime because online MIRA incurs some additional cost from its initial LLM queries. However, these early queries reduce wasted exploration, allowing online MIRA to reach 0.5 return much faster overall, yielding a net gain in efficiency despite the upfront overhead.

F.3 QUERY FREQUENCY PERFORMANCE SUMMARY

Table 2 expands on Figure 6 in Subsection 4.2. It shows how different online query budgets impact learning progress (SR90Return, indicating the mean return when success rate first exceeds 90%), final return, and convergence speed (total steps to termination). The results reinforce that while all MIRA variants outperform PPO, higher online budgets further accelerate training and improve asymptotic performance.

Table 2: Performance on DOORKEY. SR90Return is the mean return when success rate first exceeds 90%; Final Return is the return at the end of training; Final Step is the total environment steps. MIRA variants outperform the baseline in both early and final return, with MIRA (large) achieving the highest values while converging fastest.

Method	SR90Return↑	Final Return↑	Final Step↓
Baseline	0 ± 0.002	0.009 ± 0.001	10362
MIRA (offline)	0.233 ± 0.087	0.295 ± 0.123	10351
MIRA (mid)	0.284 ± 0.065	0.902 ± 0.012	10257
MIRA (large)	0.851 ± 0.060	0.91 ± 0.013	9961

F.4 MINIGRID PERFORMANCE SUMMARY

Tables 3 and 4 report detailed numerical results for all four MiniGrid tasks, including mean returns and success rates averaged over unseen seeds. MIRA consistently outperforms both PPO and the hierarchical baseline across all environments, including the more complex ones such as DOORKEY and REDBLUEDOOR. Welch's t-tests (Ruxton, 2006) show no statistically significant difference between MIRA and LLM4Teach at the 0.05 level across metrics and environments (see Table 5). These results support the aggregate performance trends in the main text (Figure 5), demonstrating that MIRA improves both final return and task completion.

Table 3: Mean return on unseen seeds across MiniGrid environments. MIRA achieves high and stable success, comparable to LLM4Teach, despite requiring substantially fewer LLM queries.

Method	DoorKey	LAVACROSSING	${\tt REDBLUEDOOR}$	REDBALL
Baseline RL	0.018 ± 0.016	0.012 ± 0.027	0.044 ± 0.042	0.329 ± 0.205
HRL	0.472 ± 0.117	0.468 ± 0.081	0.565 ± 0.027	0.820 ± 0.241
LLM4Teach	$\textbf{0.912} \pm 0.075$	0.884 ± 0.100	0.901 ± 0.082	0.946 ± 0.051
MIRA	0.898 ± 0.093	0.855 ± 0.132	0.911 ± 0.077	0.942 ± 0.054

F.4.1 T-TEST: MIRA VS. LLM4TEACH

To assess whether the performance differences between LLM4Teach and MIRA are statistically significant, we conduct Welch's t-tests on the evaluation metrics across environments and seeds. Welch's t-test is a two-sample statistical test that does not assume equal variance. As shown in Table 5, none of the differences reach significance at the $\alpha=0.05$ level. This suggests that MIRA performs comparably to LLM4Teach across all reported metrics, despite MIRA having small lower final reward.

G LIMITATIONS

While MIRA improves sample efficiency and reduces reliance on frequent LLM queries, it also comes with natural trade-offs. The method relies on offline LLM outputs to initialize its memory

Table 4: Success rate on unseen seeds across MiniGrid environments. MIRA achieves consistently high success rates, matching LLM4Teach while requiring fewer queries, and outperforming baseline and HRL methods.

Method	DoorKey	LAVACROSSING	REDBLUEDOOR	REDBALL
Baseline RL	0.023 ± 0.017	0.017 ± 0.020	0.036 ± 0.043	0.539 ± 0.064
HRL	0.585 ± 0.043	0.489 ± 0.097	0.543 ± 0.032	0.881 ± 0.136
LLM4Teach	0.970 ± 0.004	0.931 ± 0.011	0.956 ± 0.003	0.958 ± 0.021
MIRA	0.953 ± 0.043	0.913 ± 0.077	0.944 ± 0.020	0.956 ± 0.036

Table 5: Welch's t-test comparing LLM4Teach and MIRA (MR: Mean Return - SR: Success Rate). None of the differences are statistically significant at $\alpha=0.05$.

Metric	LLM4Teach	MIRA	t	p	95% CI
DoorKey (MR)	0.912 ± 0.075	0.898 ± 0.093	0.203	0.8495	[-0.181, 0.209]
DoorKey (SR)	0.970 ± 0.004	0.953 ± 0.043	0.682	0.5647	[-0.0885, 0.1225]
LAVACROSSING (MR)	0.884 ± 0.100	0.855 ± 0.132	0.303	0.7778	[-0.2443, 0.3023]
LAVACROSSING (SR)	0.931 ± 0.011	0.913 ± 0.077	0.401	0.7260	[-0.1681, 0.2041]
REDBLUEDOOR (MR)	0.901 ± 0.082	0.911 ± 0.077	-0.154	0.8851	[-0.1906, 0.1706]
REDBLUEDOOR (SR)	0.956 ± 0.003	0.944 ± 0.020	1.028	0.4081	[-0.0362, 0.0602]
REDBALL (MR)	0.946 ± 0.051	0.942 ± 0.054	0.093	0.9302	[-0.1152, 0.1232]
REDBALL (SR)	0.958 ± 0.021	0.956 ± 0.036	0.083	0.9387	[-0.0717, 0.0757]

graph, which, if they include misleading information or are not well aligned with the environment dynamics, can slow convergence or increase the need for online queries. Our screening and pruning mechanisms reduce this risk, and in practice it is largely a limitation of current LLMs that is expected to diminish as models improve. MIRA also introduces shaping terms that require hyperparameter tuning to avoid instability between the actor and critic. We find, however, that they can be adjusted with standard procedures. Finally, our current study focuses on discrete action spaces; extending MIRA to continuous domains without discretization is a natural next step.

H REPRODUCIBILITY

Experiments were run on both a Linux server with Intel Xeon E5-2630 v4 CPUs (40 threads) and an Apple M2 (8-core CPU, 10-core GPU, 16GB unified memory). All LLM models used in our experiments correspond to the publicly available versions released in the first week of August 2025.

H.1 SIMULATION PLATFORMS

H.1.1 GYMNASIUM TOY TEXT

ENVIRONMENT DETAILS. Horizon indicates the maximum number of steps per episode before automatic termination (i.e., maxsteps in the environment configuration).

Table 6: FrozenLake environment details.

Property	Value
Observation Type	Discrete
Horizon	200
Reward Sparsity	Sparse
Action Space	4 (tabular)
Dynamics	Slippery, irreversible

HYPERPARAMETER. Table 7 provides the main specifications of FrozenLake for PPOConfig in RLlib.

Table 7: Hyperparameters of FROZENLAKE

Parameter	Value
Learning rate	1×10^{-4}
Batch size	512
Mini-batch size	64
Number of epochs	4
Entropy coefficient	0.01
Discount factor (γ)	0.99
GAE lambda (λ)	0.95
Utility (ξ)	[0.9]
Batch mode	"complete episodes"

H.1.2 MINIGRID AND BABYAI

ENVIRONMENT DETAILS. Horizon indicates the maximum number of steps per episode before automatic termination (i.e., maxsteps in the environment configuration).

Table 8: MiniGrid suite details

Table 6. Willing	oria saite actaris.
Property	Value
Observation Type	RGB
Reward Sparsity	Sparse and delayed
Action Space	7 (tabular)
View Size	7
Horizon	300

Table 9: MiniGrid environments and their dynamics.

Dynamics

Reversible Irreversible

Irreversible

Subgoal seq.

+Visual distractors

Environment

REDBLUEDOOR

LAVACROSSING

DISTRACTED DOORKEY

REDBALL

DOORKEY

1	769	
1	770	
1	771	

1770		
1771		
1772		

HYPERPARAMETER. Tables 11-13 provides the main specifications of all the MiniGrid environments for PPOConfig in RLlib.

OBSERVATION SPACE In MiniGrid environments, the agent receives an RGB image of the grid, which is passed through a convolutional encoder 15 to extract spatial features relevant for navigation and interaction.

Table 10: Hyperparameters of DOORKEY

Value
2.5×10^{-4}
1024
64
4
0.01
0.99
0.95
[0.25, 0.15]
complete episodes"

Table 11: Hyperparameters of LAVACROSSING

Parameter	Value
Learning rate	2.5×10^{-4}
Batch size	1024
Mini-batch size	64
Number of epochs	4
Entropy coefficient	0.01
Discount factor (γ)	0.99
GAE lambda (λ)	0.95
Utility (ξ)	[0.3]
Batch mode	"complete episodes"

Table 12: Hyperparameters of REDBLUEDOOR

Parameter	Value
Learning rate	5×10^{-5}
Batch size	1024
Mini-batch size	64
Number of epochs	4
Entropy coefficient	0.01
Discount factor (γ)	0.99
GAE lambda (λ)	0.9
Utility (ξ)	[0.]
Batch mode	"complete episodes"

Table 13: Hyperparameters of REDBALL

Parameter	Value
Learning rate	2×10^{-4}
Batch size	512
Mini-batch size	64
Number of epochs	4
Entropy coefficient	0.01
Discount factor (γ)	0.99
GAE lambda (λ)	0.95
Utility (ξ)	[0.]
Batch mode	"complete episodes"

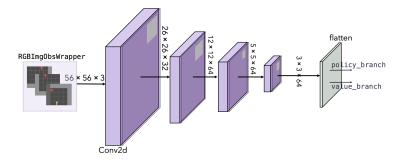


Figure 15: Convolutional encoder architecture used to process the agent's $56 \times 56 \times 3$ RGB observation in MiniGrid environments. The input passes through a series of Conv2D layers, reducing spatial dimensions while increasing channel depth. The final activation is flattened and fed to both policy and value heads. This encoder captures spatial layout, object presence, and agent-centric context for decision-making.

This CNN processes the visual input into a compact feature vector, capturing object positions, colors, and layout structure. The resulting embedding is concatenated with a learned directional encoding and passed to the policy and value heads for action selection and value estimation.

H.2 UTILITY COMPUTER

To shape early learning, MIRA computes a utility signal by comparing the agent's recent trajectory against stored high-return segments in the memory graph. This comparison identifies partial matches between the agent's behavior and past successful subtrajectories, allowing utility values to be assigned step-wise. The utility signal is sparse, history-dependent, and derived without modify-

ing the reward function. When a reference trajectory is matched, utility values are assigned based on reverse-aligned similarity with reference trajectories; unmatched steps receive zero utility.

In FROZENLAKE, the observation space is discrete and does not include agent orientation. As a result, direction information is undefined. To ensure consistency with the similarity computation used in other environments, we adopt one of two equivalent strategies: (i) modify the similarity pseudocode to ignore direction entirely in this setting (which we use in our implementation), or (ii) assign a fixed direction value to all trajectory tuples so that the direction field trivially matches by construction. Both approaches yield the same utility assignments, since direction plays no functional role in tabular environments.

Algorithm 2 Compute Utility Score

```
function \int (\cdot, \cdot)
 for each (o_a, a_a), (o_m, a_m) in rev(\tau_{\text{agent}}^{\text{tail}}, \tau_{\text{m}}) do
    if (pos., dir.) match & a_a = a_m then
        return high_sim \triangleright (1)
    else if pos. match & a_a = a_m then
        return mod_sim \triangleright not align direction (0.7)
    else if (d_a \pm 1) \mod 4 = d_m then
        return low_sim ▷ action aligned direction (0.4)
    else
       return no_sim \triangleright (0)
    end if
 end for
end function
Require: Agent \tau_{\text{agent}} and Reference trajectory \tau_{\text{m}}
   x \doteq (o, a, r, \text{meta})
                              ▷ Denote a transition with metadata
   Initialize U \leftarrow [0, \dots, 0]
```

```
x \doteq (o, a, r, \text{meta}) \quad \triangleright \text{ Denote a transition with metadata} Initialize U \leftarrow [0, \dots, 0] Align the tail of \tau_{\text{agent}} to length of \tau_{\text{m}} for each (x_a, x_m) \in (\tau_{\text{agent}}^{\text{tail}}, \tau_{\text{m}}) do \int \leftarrow \int ((o_a, a_a), (o_g, a_g)) \quad \triangleright \text{ Compute similarity} \rho \leftarrow \rho(g_{\triangleright}, \zeta_m) \quad \triangleright \text{ Compute goal alignment factor} u \leftarrow c_m \cdot \hat{r}_m \cdot \rho \cdot \int Assign u to corresponding index in U end for return U
```

USE OF LARGE LANGUAGE MODELS (LLMS)

During the preparation of this manuscript, the authors used OpenAI's ChatGPT to assist with grammar and readability. No research ideas, technical content, or analysis were generated by the tool. All content was reviewed and verified by the authors, who take full responsibility for the final version.