# Steering semantic search with interpretable features from sparse autoencoders

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# Abstract

 Modern information retrieval systems increasingly rely on dense neural vector embeddings, but dense embeddings of text are inherently difficult to interpret and steer, leading to opaque and potentially biased results. Sparse autoencoders (SAEs) have previously shown promise in extracting interpretable features from complex neural networks. In this work, we present the application of SAEs to dense text embeddings from large language models, demonstrating their effectiveness in disentangling document-level semantic concepts. By training SAEs on embeddings of over 420,000 scientific paper abstracts from computer science and astronomy, we show that the resulting sparse representations maintain semantic fidelity while offering high levels of interpretability. In the context of a semantic search system for scientific literature, we demonstrate that interpretable SAE features can be used to precisely steer information retrieval, allowing for fine-grained modifications of queries. At a given fidelity level to the original query, SAE feature interventions can be interpreted with ∼10% higher accuracy, while maintaining overall quality of information retrieval. We open source our embeddings, trained sparse autoencoders, and interpreted features, as well as a web app for exploring them.

# <span id="page-0-0"></span>1 Introduction

 Dense vector embeddings capture nuanced semantic relationships, enabling powerful semantic search (Reimers et al., [2019;](#page-5-0) Gao et al., [2022;](#page-5-1) Wang et al., [2024;](#page-6-0) Devlin et al., [2018;](#page-4-0) Brown et al., [2020\)](#page-4-1). However, the power of these representations comes at a cost: reduced interpretability and limited user control, presenting significant challenges for fine-tuning and explaining search results (Liu et al., [2019;](#page-5-2) Turian et al., [2010;](#page-6-1) Cao et al., [2023\)](#page-4-2). Interpretability and intervention methods are thus unable to fully address the societal biases exhibited in the generations and representations of modern language models (Hofmann et al., [2024;](#page-5-3) Bolukbasi et al., [2016\)](#page-4-3).

 Sparse autoencoders (SAEs) have emerged as a promising solution for extracting interpretable features from high-dimensional representations (Ng et al., [2011;](#page-5-4) Makhzani et al., [2013\)](#page-5-5). SAEs have shown success in interpreting and steering the generation outputs of diffusion models and decoder-only transformers (Conmy et al., [2024;](#page-4-4) Lee, [2024;](#page-5-6) Cunningham et al., [2023b;](#page-4-5) Elhage et al., [2022b;](#page-4-6) Daujotas, [2024\)](#page-4-7), but their application to dense text embeddings remains unexplored.

 In this work, we demonstrate how SAE features derived from dense text embeddings can be used to steer semantic search. By causally manipulating features in the SAE hidden dimension, we can precisely adjust the semantic meaning of queries. Our research makes the following key contributions:

 1. We train varying-size SAEs on embeddings from a large corpus of scientific papers, demon-strating their effectiveness in learning interpretable features from dense text representations.

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Figure 1: Left: sparse autoencoder training and labelling process. Right: interpretability of features.

 2. We demonstrate the practical utility of interpretable features in enhancing semantic search, allowing fine-grained control over query semantics. We develop and open-source a tool that implements our SAE-enhanced semantic search system, as well as the underlying models.

# <span id="page-1-0"></span>2 Related work

 Dense embeddings for text The evolution from simple one-hot encodings to sophisticated dense vector embeddings has offered substantial improvements in semantic expressiveness and contextual understanding, from Word2Vec (Mikolov et al., [2013a\)](#page-5-7) and GloVe (Pennington et al., [2014\)](#page-5-8), to ELMo (Peters et al., [2018\)](#page-5-9) and BERT (Devlin et al., [2018\)](#page-4-0), and most recently sentence-level embeddings such as Sentence-BERT (Reimers et al., [2019\)](#page-5-0). Semantic search with dense embeddings has largely replaced traditional keyword search (Gao et al., [2021;](#page-5-10) Manning et al., [2008;](#page-5-11) Baeza-Yates et al., [1999;](#page-4-8) Furnas et al., [1987;](#page-4-9) Mikolov et al., [2013b;](#page-5-12) Devlin et al., [2018;](#page-4-0) Reimers et al., [2019\)](#page-5-0). However, the opacity of dense embeddings can be particularly problematic in applications where explainability or precise semantic control is critical, particularly in search results.

 Sparse autoencoders Sparse representations of text are often more interpretable (Trifonov et al., [2018\)](#page-6-2). However, in large language models, the superposition hypothesis suggests that dense neural networks are highly underparameterised, and perform computations involving many more concepts than neurons by representing many sparse concepts, or *features*, in dense superposition (Elhage et al., [2022a\)](#page-4-10). Distributed representations allows models to efficiently encode a large number of features in a relatively low-dimensional space, but it also makes model layers challenging to interpret directly. Sparse autoencoders (SAEs) address this by learning to reconstruct inputs using a sparse set of features in a higher-dimensional space, encouraging disentanglement of distributed representations (Elhage et al., [2022b;](#page-4-6) Donoho, [2006;](#page-4-11) Olshausen et al., [1997\)](#page-5-13). When applied to language model activations, SAEs recover semantically meaningful and human-interpretable sparse features (Gao et al., [2024;](#page-5-14) Bricken et al., [2023;](#page-4-12) Cunningham et al., [2023b\)](#page-4-5). A number of approaches for automated feature interpretation have been proposed, such as Bills et al. [\(2023\)](#page-4-13) and Foote et al. [\(2023\)](#page-4-14).

 Activation Steering and Causal Intervention Activation steering – modifying model activations to influence downstream behavior – has emerged as a promising approach to enhance the controllability of semantic search (Li et al., [2024;](#page-5-15) Turner et al., [2023;](#page-6-3) Radford et al., [2015\)](#page-5-16). Recent advancements have leveraged sparse autoencoders to identify interpretable features for precise semantic edits (Lee, [2024;](#page-5-6) Conmy et al., [2024\)](#page-4-4). The field has expanded to include concept scrubbing (Belrose et al., [2024\)](#page-4-15) and broader representation engineering (Zhao et al., [2024\)](#page-6-4), underpinned by theoretical work on activation space geometry (Marks et al., [2023\)](#page-5-17) and superposition in neural networks (Elhage et al., [2022a\)](#page-4-10). Recent studies (Chan et al., [2022;](#page-4-16) Hase et al., [2023\)](#page-5-18) have empirically analyzed the efficacy of causal interventions.

# <span id="page-1-1"></span>3 Training SAEs and automated labelling

70 **Architecture and objective:** Let  $x \in \mathbb{R}^d$  be an input vector, and  $h \in \mathbb{R}^n$  be the hidden 71 representation, where typically  $n \gg d$ . The encoder and decoder functions are defined as

Encoder :  $\mathbf{h} = f_{\theta}(\mathbf{x}) = \sigma(W_e \mathbf{x} + \mathbf{b}_e)$  and Decoder :  $\hat{\mathbf{x}} = g_{\phi}(\mathbf{h}) = W_d \mathbf{h} + \mathbf{b}_d$  where  $W_e \in \mathbb{R}^{n \times d}$  73 and  $W_d \in \mathbb{R}^{d \times n}$  are the encoding and decoding weight matrices,  $\mathbf{b}_e \in \mathbb{R}^k$  and  $\mathbf{b}_d \in \mathbb{R}^d$  are bias vec- $\tau$ 4 tors, and  $\sigma(\cdot)$  is a non-linear activation function. We minimize  $\mathcal{L}(\theta, \phi) = \frac{1}{d} ||\mathbf{x} - \hat{\mathbf{x}}||_2^2 + \alpha \mathcal{L}_{\text{aux}}(\mathbf{x}, \hat{\mathbf{x}})$ . Instead of an L1 penalty, we use a k-sparse constraint (Makhzani et al., [2013;](#page-5-5) Gao et al., [2024\)](#page-5-14). We employ an auxiliary loss inspired by "ghost grads" (Jermyn et al., [2023\)](#page-5-19) to revive dead latents  $\tau$  (inactive for  $\geq 1$  epoch) and enhance model capacity;  $\mathcal{L}_{\text{aux}}(\mathbf{x}, \dot{\hat{\mathbf{x}}}) = |\mathbf{e} - \hat{\mathbf{e}}|_2^2$  where  $\mathbf{e} = \mathbf{x} - \hat{\mathbf{x}}$  is the 78 model residual, and  $\hat{\mathbf{e}} = W_d \mathbf{z}$  is a reconstruction using dead latents; more details in Appendix [A.](#page-7-0) Training: We train two sets of SAEs on abstract embeddings from arXiv's astro-ph (astrophysics,

 272,000), and cs.LG tag (computer science, 153,000). Embeddings are generated from OpenAI's text-embedding-3-small model and normalized zero mean and unit variance. We evaluate trained

SAEs using both dead latents and normalized reconstruction MSE.

83 **Hyperparameters:** We consider the active latents k, total latents n, auxiliary latents  $k_{\text{aux}}$ , learning 84 rate, and aux-loss coefficient  $\alpha$ . Learning rate (set to 1e-4) and  $\alpha$  (set to 1/32) had minimal impact on 85 reconstruction loss. We vary k (16-128) and n (2-9 times  $d_{\text{input}}$ ), training models for ∼13.2k steps.

**Automated interpretability:** To interpret features, we use two LLM instances: the Interpreter and Predictor. The Interpreter generates feature labels based on top-activating and non-activating abstracts. 88 The Predictor uses the label to predicting activation likelihood on new abstracts, from  $-1$  to  $+1$ . We measure the Pearson correlation between this score and true activation, and calculate the F1 score for binary classification. We use gpt-4o as the Interpreter and gpt-4o-mini as the Predictor, predicting each abstract separately; see Appendix [B](#page-8-0) for more details.

# <span id="page-2-0"></span>92 4 Evaluating effectiveness of search interventions

 Intervening on embeddings with SAE features SAEs are inherently correlational; however, Bricken et al. [\(2023\)](#page-4-12), Cunningham et al. [\(2023a\)](#page-4-17) and others demonstrate that many SAE features also have downstream causal effects. To intervene on an embedding along an SAE feature direction, we directly manipulate features in the SAE hidden dimension, and decode the result. As an implementa- tion detail, we note that intervening on a feature by up- or down-weighting its hidden representation and then decoding is equivalent to directly adding the scaled feature vector to the final embedding. This capability is demonstrated in our open-source semantic search tool (see Appendix [D\)](#page-12-0). We also explore an alternative process in Appendix [C](#page-11-0) where we iteratively optimise the encoded decoded latents to minimise the difference between the desired feature activations and the actual activations.

 Experiment setup We incorporate SAE-based embedding interventions into a literature retrieval system for cs.LG and astro-ph. To assess the effectiveness of SAE feature intervention on semantic search, we evaluate the *specificity* and *interpretability* of feature-centric query modifications. We 105 select random samples ( $N = 50$  each) real literature retrieval queries relevant to machine learning and astronomy, which are answerable with information in papers from cs.LG and astro-ph. For 107 each query, we return the top  $k = 10$  most relevant papers using embedding cosine similarity, making 108 up the original retrieval results R. We then select a random feature i in the top-k from the query's 109 hidden representation  $\mathbf{h}_{q}$ , and another orthogonal feature j that has no overlap with the top-k; we 110 limit our selection only to features that are highly interpretable (F1  $> 0.9$ , Pearson  $> 0.9$ ). Given these features, we create a modified query embedding with  $h'_{q,i} = \lambda_{-}$  and  $h'_{q,j} = \lambda_{+}$ , letting  $\lambda_{-} = 0$ 112 and sampling  $\lambda_+ \in [0, 5]$ . This effectively "down-weights" and "up-weights" the importance of i 113 and j, respectively, in the modified query, which is used to generate new retrieval results  $\mathcal{R}'$ .

 To the effect of up-weighting and down-weighting query modifications on the end retrieval results, we provide both  $\hat{\mathcal{R}}$  and  $\mathcal{R}'$  to an external LLM instance. The external LLM then compares  $\mathcal R$  and  $\mathcal{R}'$  and determines which features, out of a multiple-choice subset of 5 options, have been up-weighted or down-weighted; we use this to compute the intervention accuracy, which measures the precision and efficacy of causal query interventions. As a baseline, we compare our SAE-based method against traditional query rewriting, by using another LLM instance to re-write the original query such that it 120 up-weights  $j$  and down-weights  $i$  entirely using natural language.

 Intervention results Our results are shown in Figure [2.](#page-3-0) We find that SAE feature interventions consistently outperform traditional query rewriting across various levels of query fidelity. This Pareto improvement demonstrates that our method can achieve higher intervention accuracy while

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Figure 2: Relationship between intervention accuracy and query fidelity for SAE-based embedding interventions versus traditional query rewriting in literature retrieval for cs.LG and astro-ph domains. Intervention accuracy measures the precision of causal query modifications, while query fidelity is quantified by cosine similarity between original and modified query embeddings.

 maintaining greater similarity to the original query. For instance, at a cosine similarity of 0.75, SAE interventions achieve approximately 10% higher accuracy compared to query rewriting.

## <span id="page-3-1"></span>5 Discussion

 In this work, we have presented the first application of sparse autoencoders (SAEs) to semantic search using dense text embeddings. By training SAEs on embeddings of scientific paper abstracts, we have shown their effectiveness in disentangling interpretable semantic concepts in document-level embeddings. We also designed and performed a causal intervention experiment to compare the efficacy of SAE feature manipulations and direct query rewriting, demonstrating that SAE-based manipulation can precisely and interpretably steer semantic search.

 While our current SAEs are trained on narrow scientific domains, extending this to the entirety of arXiv or even internet-scale text corpora could yield general-purpose SAEs with exceptionally rich feature spaces. By providing a proof-of-concept for extracting interpretable features from dense embeddings, and using features to precisely steer semantic search, our work opens several promising research directions and applications across various NLP tasks. In classification tasks, extracting interpretable and sparse features could offer fine-grained insights into model decision boundaries with global features. For machine translation, causal interventions along gender-based features could enable targeted semantic manipulations, potentially addressing issues like gender bias in translations (Stanovsky et al., [2019;](#page-6-5) Bolukbasi et al., [2016\)](#page-4-3). Similar interventions could be applied to decrease bias and toxicity in the outputs of semantic search systems or generative models. Beyond these applications, our work supports the broader goal of making language models more transparent and controllable, which is crucial for building trust in AI systems as they become more integrated into critical decision-making processes (Doshi-Velez et al., [2017\)](#page-4-18).

 Limitations Our work focused on relatively small datasets from specific scientific domains. Al- though this specificity allowed us to demonstrate the effectiveness of our steering approach in targeted search domains, future work should investigate generalization to larger, more diverse corpora; SAEs for general text embeddings would also need to be scaled up by at least 2-3 the total number of latents. Of particular interest would be corpora and intervention experiments focused on debiasing results or decreasing toxicity in information retrieval. It would also be extremely useful to have human evaluations, in order to evaluate the end-user interpretability of our steering approach. Additionally, our automated interpretability process is correlational and does not a priori guarantee that direct ma- nipulation of the feature aligns with the interpretation. We would also suggest future work evaluating performance of reconstructed embeddings on benchmarks like MTEB (Muennighoff et al., [2022\)](#page-5-20), and comparing learned dictionaries to some proxy of ground-truth features (Makelov et al., [2024;](#page-5-21) Olah et al., [2024\)](#page-5-22), in order to understand the completeness of recovered features.

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## Contents



# <span id="page-7-0"></span>310 A Training details

## <span id="page-7-1"></span>311 A.1 Training setup

 Our sparse autoencoder (SAE) implementation incorporates several recent advancements in the field. 313 Following Bricken et al. [\(2023\)](#page-4-12), we initialise the bias  $b_{pre}$  using the geometric median of a data point sample and set encoder directions parallel to decoder directions. Decoder latent directions are normalised to unit length at initialisation and after each training step. For our top- $k$  models, based on Gao et al. [\(2024\)](#page-5-14), we set initial encoder magnitudes to match input vector magnitudes, though our analyses indicate minimal impact from this choice.

 We augment the primary loss with an auxiliary component (AuxK), inspired by the "ghost grads" 319 approach of Jermyn et al. [\(2023\)](#page-5-19). This auxiliary term considers the top- $k_{aux}$  inactive latents (typically  $s_{20}$   $k_{aux} = 2k$ ), where inactivity is determined by a lack of activation over a full training epoch. The total 321 loss is formulated as  $\mathcal{L} + \alpha \mathcal{L}_{aux}$ , with  $\alpha$  usually set to 1/32. This mechanism reduces the number of dead latents with minimal computational overhead (Gao et al., [2024\)](#page-5-14). We found that dead latents only occurred during training the  $k = 16$  models, and all dead latents had disappeared by the end 324 of training. We show how dead latents evolved over training the  $k = 16$  SAEs for the astro-ph abstracts in Figure [3.](#page-8-5)

326 For optimisation, we employ Adam (Kingma et al., [2014\)](#page-5-23) with  $\beta_1 = 0.9$  and  $\beta_2 = 0.999$ , maintaining a constant learning rate. We use gradient clipping. Our training uses batches of 1024 abstracts, with

<span id="page-8-5"></span>

Figure 3: The proportion of dead latents, defined as features that haven't fired in the last epoch of training, for our  $k = 16$  SAEs on the astro-ph abstract embeddings. All dead latents were gone by the end of training. We found that dead latents only occurred in  $k = 16$  autoencoders.

 performance metrics showing robustness to batch size variations under appropriate hyperparameter settings.

 The primary MSE loss uses a global normalisation factor computed at training initiation, while the AuxK loss employs per-batch normalisation to adapt to evolving error distributions. Following Bricken et al. [\(2023\)](#page-4-12), we apply a gradient projection technique to mitigate interactions between the Adam optimiser and decoder normalisation.

## <span id="page-8-1"></span>A.2 SAE training metrics

 Table [1](#page-9-0) shows the final training metrics for all combinations of SAEs trained. We note clear trends in 336 normalised MSE, log feature density and activation mean as we vary the number of active latents  $k$ 337 and the overall number of latents  $n$ .

## <span id="page-8-2"></span>A.3 Interpretability of SAE features

 The most direct way to evaluate the interpretability of features is to look at the distribution of automated interpretability scores, discussed above. Specifically: given a feature label from our interpreter model, how well can a predictor model predict the feature's activation on unseen text? We show in Figure [4](#page-9-1) that the Pearson correlation between predictor model confidence of a feature firing and the ground-truth firing is quite high, with median correlations ranging from 0.65 to 0.71 344 for cs. LG and 0.85 to 0.98 for astro-ph. We note that Pearson correlation increases as k and n decrease, likely due to models learning coarser-grained features that are easier for the interpreter to identify.

## <span id="page-8-0"></span>347 B Automated interpretability details

## <span id="page-8-3"></span>B.1 Examples of features

We show some examples of perfectly interpretable features (Pearson correlation > 0.99) in Table [2.](#page-10-0)

 The strength of the activation of the feature on its top 3 activating abstracts is shown in parentheses next to the abstract title.

## <span id="page-8-4"></span>B.2 Exploring the effectiveness of smaller models

 Although we eventually used gpt-4o-mini as the Predictor model, we initially did some ablations to understand how effective gpt-4o and gpt-3.5-turbo would be as different combinations of the Interpreter and Predictor models. We measured this by randomly sampling 50 features from our SAE64 (trained on astro-ph abstracts) and measuring the interpretability scores of different model combinations, in terms of both F1 score (does the model's binary classification of a feature firing on an abstract agree with the ground-truth) and the Pearson correlation (described in the main body).



3072 0.1111 -1.8876 0.0483 0.1206 -1.5311 0.0399 4608 0.1033 -2.1392 0.0457 0.1137 -1.6948 0.0376 6144 0.1048 -2.2501 0.0438 0.1076 -1.8079 0.0366 9216 0.0975 -2.5352 0.0409 0.0999 -1.9701 0.0348

0.0936 -2.7025

128

<span id="page-9-0"></span>Table 1: Metrics for our top- $k$  sparse autoencoders with varying  $k$  and hidden dimensions, across both astronomy and computer science papers. MSE is normalised mean squared error, Log FD is the mean log density of feature activations, and activation mean is the mean activation value across non-zer

<span id="page-9-1"></span>

Figure 4: Pearson correlations between the ground-truth and predicted feature activation, using GPT-4o as the *Interpreter* and GPT-4o-mini as the *Predictor*.

<span id="page-10-0"></span>

<b>Feature</b>			
<b>Astronomy</b>			
Cosmic Microwave Background	CMB map-making and power spectrum estimation (0.1708)	How to calculate the CMB spectrum $(0.1598)$	CMB data analysis and spar- sity (0.1581)
Periodicity in astronomical data	Generalized Lomb-Scargle analysis of decay rate the measurements from Physikalisch-Technische Bundesanstalt (0.1027)	Multicomponent power- density spectra of Kepler AGN <sub>s</sub> . instrumental an artefact or a physical origin? (0.0806)	RXTE observation of the X- ray burster 1E 1724-3045. I. Timing study of the persistent X-ray emission with the PCA (0.0758)
X-ray reflection spectra	X-ray reflection spectra from ionized slabs (0.3859)	The role of the reflection fraction in constraining black hole spin $(0.3803)$	Relativistic reflection: Re- view and recent develop- ments in modeling (0.3698)
Critique or refutation of theories	What if string theory has no de Sitter vacua? (0.2917)	No evidence of mass segrega- tion in massive young clusters (0.2051)	<b>Ruling Out Initially Clustered</b> Primordial Black Holes as Dark Matter (0.2029)
<b>Computer Science</b>			
Sparsity in Neural Networks	Two Sparsities Are Better Than One: Unlocking the Per- formance Benefits of Sparse- Sparse Networks (0.3807)	Truly Sparse Neural Net- works at Scale (0.3714)	Topological Insights into <b>Sparse</b> Neural Networks (0.3689)
Gibbs Sampling and Variants	Herded <b>Gibbs</b> Sampling (0.2990)	Characterizing the General- ization Error of Gibbs Algo- rithm with Symmetrized KL information (0.2858)	A Framework for Neural Net- work Pruning Using Gibbs Distributions (0.2843)
Arithmetic operations in transformers	Arbitrary-Length Generaliza- tion for Addition in a Tiny Transformer (0.1828)	Carrying over algorithm in transformers (0.1803)	Understanding Addition in Transformers (0.1792)

Table 2: Activation strengths and titles for abstracts related to Astronomy and Computer Science features.

<span id="page-10-1"></span>

Figure 5: Correlation between F1 scores and Pearson correlation scores of different combinations of (labeller, predictor) models. Interestingly, using GPT-3.5 as the predictor appears to degrade performance similarly regardless of whether the feature was labelled by GPT-4o or GPT-3.5.

 Interestingly, we observe that using gpt-4o as the Interpreter and gpt-3.5-turbo as the Predictor leads to similar scores as using gpt-3.5-turbo for both, as shown in Figures [5](#page-10-1) and Figures [6.](#page-11-1) This suggests that the challenging task in the autointerp is not necessarily labelling but rather predicting the activation of a feature on unseen abstracts.

<sup>363</sup> Another observation is that using gpt-3.5-turbo as the Predictor only leads to a moderate degrada-<sup>364</sup> tion of F1 score, it leads to a significant degradation of Pearson correlation. This is likely because <sup>365</sup> we only use 6 abstracts for each feature prediction (3 positive, 3 negative) and thus there are only a

<span id="page-11-1"></span>

Figure 6: Mean F1 scores and Pearson correlations (according to ground-truth feature activations) across 50 randomly sampled features, for different combinations of (Interpreter, Predictor) models.

<sup>366</sup> few discrete F1 scores possible. Additionally, it appeared that gpt-3.5-turbo was generally less <sup>367</sup> likely to assign higher confidence scores in either direction, with a much lower variance in assigned <sup>368</sup> confidence than when gpt-4o was the Predictor. This affects Pearson correlation but not F1.

# <span id="page-11-0"></span>369 C Iterative encoding optimisation

<sup>370</sup> We noted in Section [4](#page-2-0) that intervening on a feature by up- or down-weighting its hidden representation <sup>371</sup> and then decoding is equivalent to directly adding the scaled feature vector to the final embedding. 372 To demonstrate this equivalence, let's consider an intervention on feature i by an amount  $\delta$ . The 373 modified hidden representation is  $h' = h + \delta e_i$ , where  $e_i$  is the *i*-th standard basis vector. Decoding 374 this modified representation gives  $\hat{\mathbf{x}}' = W_d \mathbf{h}' = W_d \mathbf{h} + \delta W_d \mathbf{e}_i = \hat{\mathbf{x}} + \delta \mathbf{w}_i$ , where  $\mathbf{w}_i$  is the *i*-th  $375$  column of  $W_d$ . Thus, intervening on the hidden representation and then decoding is equivalent to <sup>376</sup> directly adding the scaled feature vector to the original reconstruction.

<sup>377</sup> We show in Figure [9](#page-12-1) how cosine similarity between the original query embedding and the modified <sup>378</sup> query embedding changes as we change the upweighting and downweighting strength for different <sup>379</sup> features. Cosine similarity drops rapidly as soon as upweight or downweight exceeds 0.1.

 There is an implicit challenge in SAE-based embedding interventions: the trade-off between steering strength and precision. When directly manipulating feature activations, we observed that strong interventions often led to unintended semantic shifts, activating correlated features and potentially moving the embedding far from the SAE's learned manifold. Our goal is to achieve precise semantic edits that express the desired feature strongly while minimising interference with unrelated features. To this end, we developed an iterative optimisation approach that leverages the SAE's learned feature space to find an optimal balance between these competing objectives.

387 Let  $\mathbf{x} \in \mathbb{R}^d$  be the original embedding,  $f_\theta(\cdot)$  the SAE encoder, and  $g_\phi(\cdot)$  the SAE decoder. We define 388 a target feature vector  $\mathbf{t} \in \mathbb{R}^k$  representing the desired feature activations after intervention, where k <sup>389</sup> is the number of active features in our SAE. The iterative latent optimisation aims to find optimised 390 latents  $h^*$  that satisfy:

$$
\mathbf{h}^* = \operatorname{argmin}_{\mathbf{h}'} \left\{ \| f_{\theta}(g_{\phi}(\mathbf{h}')) - \mathbf{t} \|_2^2 \right\}
$$

391 We solve this optimisation problem using gradient descent, starting from the initial latents  $\mathbf{h} = f_{\theta}(\mathbf{x})$ 392 and iteratively updating  $h'$ . We use the AdamW optimiser with a cosine annealing learning rate <sup>393</sup> schedule.

 To evaluate the effectiveness of this approach, we compare it to a direct intervention method where we simply set the target feature to a specific value in the latent space. For each abstract in our dataset, we embed the abstract using an OpenAI embedding model to obtain x. We then encode the embedding 397 to get initial latents  $h = f_{\theta}(x)$ . We randomly select a target feature i and target value v. We then 398 apply both intervention methods: our iterative optimisation of  $h'$  as described above, with  $t_i = v$  and  $\mathbf{t}_j = \mathbf{h}_j$  for  $j \neq i$ , and direct intervention: setting  $\mathbf{h}'_i = v$  and  $\mathbf{h}'_j = \mathbf{h}_j$  for  $j \neq i$ .

<span id="page-12-2"></span>

Figure 7: Normalised MSE at each of 10 steps across the iterative latent optimisation process. Left: Setting a random zero feature to active. Right: Setting a random active feature to zero.

<sup>400</sup> Figure [7](#page-12-2) (left panel) shows the trajectory of normalised MSE during the iterative optimisation process,

<sup>401</sup> when setting a random zero feature to active. Similarly, the right panel shows the optimisation when <sup>402</sup> setting a random active feature to zero. Normalised MSE improves in the former case but not the <sup>403</sup> latter.

<span id="page-12-1"></span>

Figure 8: Distribution of maximum cosine similarity between a given feature vector and all other feature vectors, within the same SAE.



Figure 9: Cosine similarity between the original query embedding and the modified query embedding, with different values of upweighting random zero features and downweighting random active features.

# <span id="page-12-0"></span><sup>404</sup> D SAErch.ai

<sup>405</sup> To demonstrate the practical applications of our sparse autoencoder (SAE) approach to semantic <sup>406</sup> search and feature interpretation, we developed [SAErch.ai,](https://huggingface.co/spaces/charlieoneill/saerch.ai) a web application that allows users to <sup>407</sup> interact with the SAE models trained on arXiv paper embeddings.

<span id="page-13-3"></span>

Figure 10: The SAErch tab of our web application, demonstrating a semantic search for "measurable signatures of stochasticity in star formation in galaxies" in the astrophysics domain. The interface displays the top 10 search results ranked by relevance, including title, citation count, and publication year. On the right, sliders represent the top activated SAE features for the query, allowing users to fine-tune the search by adjusting feature weights. On the bottom we have our feature addition interface. Users can search for specific semantic features (e.g., "black holes") and add them to their query. They can then adjust the strength of these features.

## <span id="page-13-0"></span>D.1 Overview

 SAErch.ai is built using the Gradio framework and consists of three main tabs: Home, SAErch, and Feature Visualisation. The application allows users to switch between the Computer Science (cs.LG) and Astrophysics (astro-ph) datasets.

- The SAErch tab implements the core functionality of our semantic search system, allowing users to:
- Input a search query
- View the top 10 search results based on embedding similarity
- Interact with the SAE features activated by their query

 For each query, the system displays sliders corresponding to the top-k SAE features activated by the input. Users can adjust these sliders to modify the query embedding, effectively steering the search results towards or away from specific semantic concepts; see Figure [10.](#page-13-3) This directly demonstrates the fine-grained control over query semantics discussed in Section [4](#page-2-0) of our paper. Users can also search for and add specific features not initially activated by their query.

## <span id="page-13-1"></span>D.2 Feature Visualisation Tab

The Feature Visualisation tab is divided into two sub-tabs: Individual Features and Feature Families.

## <span id="page-13-2"></span>D.2.1 Individual Features

For any selected feature, this tab displays:

#### Circuit analysis in neural networks

Gating mechanisms in neural networks

Novelty detection methodologies

Deep learning for classification

Circular data and models

#### Pearson correlation: 0.9690

Density: 0.0068



Figure 11: Individual feature visualisation for the "Circuit analysis in neural networks" feature in the computer science domain. The interface displays key interpretability metrics, top activating abstracts, correlated and co-occurring features, and an activation distribution histogram. Further information (not shown in the image) includes co-occurring features and activation distribution.

Hotel booking and recommendation systems

High mobility communication optimization

Advanced machine learning applications

Adaptive algorithms (Ada-prefixed)

 $0.194914$  $-0.188842$ 

 $-0.184063$ 

 $-0.176271$ 

- <sup>425</sup> Top 5 activating abstracts, demonstrating the semantic content captured by the feature
- <sup>426</sup> Top and bottom 5 correlated features, illustrating the relationships between different SAE <sup>427</sup> features
- <sup>428</sup> Top 5 co-occurring features, showing which features tend to activate together

0.297054

0.296994

0.282449

0.243455

- <sup>429</sup> A histogram of activation values, providing insight into the feature's behavior across the <sup>430</sup> corpus
- <sup>431</sup> The most similar features in SAE16 and SAE32

## <span id="page-14-0"></span><sup>432</sup> D.2.2 Feature Families

<sup>433</sup> The Feature Families tab in our web application offers an in-depth exploration of related features <sup>434</sup> discovered by our sparse autoencoder. We show an example feature family in Figure [12.](#page-15-0)

<sup>435</sup> The table displays the parent feature (superfeature) and its child features, along with key metrics, <sup>436</sup> such as the name of the parent and child features, the frequency of co-occurrence between the child <sup>437</sup> feature and the parent feature, ranging from 0 to 1, and the F1 Score and Pearson correlation.

 The interactive directed graph provides a visual representation of the feature family structure. Each node represents a feature. The size of the node corresponds to the feature's density (frequency of activation), while the color intensity indicates the Pearson correlation (interpretability). Arrows between nodes show relationships between features, with the direction typically pointing from more general to more specific concepts. Users can hover over nodes to view detailed information about each feature, including its name and log density.

<span id="page-15-0"></span>

Figure 12: Directed graph visualization of a transformer models feature family. Nodes represent individual features, with size indicating feature density and color intensity showing Pearson correlation. Edges depict relationships between features, with arrow direction pointing from more general to more specific concepts. Users can hover over nodes to view detailed feature information.