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# **VTBIS: Vision Transformer for Biomedical Image Segmentation**

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## Abstract

In this paper, we propose a novel network named Vision Transformer for Biomedical Image Segmentation (VTBIS). Our network splits the input feature maps into three parts with  $1 \times 1$ ,  $3 \times 3$  and  $5 \times 5$  convolutions in both encoder and decoder. Concat operator is used to merge the features before being fed to three consecutive transformer blocks with attention mechanism embedded inside it. Skip connections are used to connect encoder and decoder transformer blocks. Similarly, transformer blocks and multi scale architecture is used in decoder before being linearly projected to produce the output segmentation map. We test the performance of our network using Synapse multi-organ segmentation dataset, Automated cardiac diagnosis challenge dataset, Brain tumour MRI segmentation dataset and Spleen CT segmentation dataset. Without bells and whistles, our network outperforms most of the previous state of the art CNN and transformer based models using Dice score and the Hausdorff distance as the evaluation metrics.

## 1. Introduction

Deep Convolutional Neural Networks has been highly successful in medical image segmentation. U-Net (Ronneberger et al., 2015) based architectures use a symmetric encoder-decoder network with skip-connections. The limitation of CNN-based approach is that it is unable to model long-range relation, due to the regional locality of convolution operations. To tackle this problem, self attention mechanism was proposed (Schlemper et al., 2019) and (Wang et al., 2018). Still, the problem of capturing multi-scale contextual information was not solved which leads not so accurate segmentation of structures with variable shapes and scales (e.g. brain lesions with different sizes).

An alternative technique using Transformers are better
suited at modeling global contextual information. Vision
Transformer (ViT) (Dosovitskiy et al., 2020) splits the image into patches and models the correlation between these
patches as sequences with Transformer, achieving better
speed-performance trade-off on image classification than

previous state of the art image recognition methods. DeiT (Touvron et al., 2020) proposed a knowledge distillation method for training Vision Transformers.

An extensive study was done by (Bakas et al., 2018) to find the best algorithm for segmenting tumours in brain. Medical images from CT and MRI are in 3 dimensions, thus making volumetric segmentation important. Çiçek et al. (2016) tackled this problem using 3d U-Net. Denselyconnected volumetric convnets was used (Yu et al., 2017) to segment cardiovascular images. A comprehensive study to evaluate segmentation performance using Dice score and Jaccard index was done by (Eelbode et al., 2020).

## 2. Related Work

#### **2.1.** Convolutional Neural Network

Earlier work for medical image segmentation used some variants of the original U-shaped architecture (Ronneberger et al., 2015). Some of these were Res-UNet (Xiao et al., 2018), Dense-UNet (Li et al., 2018) and U-Net++ (Zhou et al., 2018). These architectures are quite successful for various kind of problems in the domain of medical image segmentation.

#### 2.2. Attention Mechanism

Self Attention mechanism (Wang et al., 2018) has been used successfully to improve the performance of the network. (Schlemper et al., 2019) used skip connections with additive attention gate in U-shaped architecture to perform medical image segmentation. Attention mechanism was first used in U-Net (Oktay et al., 2018) for medical image segmentation. A multi-scale attention network (Fan et al., 2020) was proposed in the context of biomedical image segmentation.

(Jin et al., 2020) used a hybrid deep attention-aware network to extract liver and tumor in ct scans. Attention module was added to U-Net module to exploit full resolution features for medical image segmentation (Li et al., 2020). A similar work using attention based CNN was done by (Liu et al., 2020) in the context of schemic stroke disease. A multi scale self guided attention network was used to achieve state of the art results (Sinha and Dolz, 2020) for medical image

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segmentation.

## 110 2.3. Transformers

111 Transformer first proposed by (Vaswani et al., 2017) have 112 achieved state of the art performance on various tasks. In-113 spired by it, Vision Transformer (Dosovitskiy et al., 2020) 114 was proposed which achieved better speed-accuracy tradeoff 115 for image recognition. To improve this, Swin Tranformer 116 (Liu et al., 2021) was proposed which outperformed previous 117 networks on various vision tasks including image classifica-118 tion, object detection and semantic segmentation. 119

(Chen et al., 2021), (Valanarasu et al., 2021) and
(Hatamizadeh et al., 2021) individually proposed methods
to integrate CNN and transformers into a single network for
medical image segmentation. Transformer along with CNN
are applied in multi-modal brain tumor segmentation (Wang
et al., 2021) and 3D medical image segmentation (Xie et al.,
2021).

Our main contributions can be summarized as:

• We propose a novel network incorporating attention mechanism in transformer architecture along with multi scale module in the context of medical image segmentation.

• Our network outperforms previous state of the art CNN based as well as transformer based architectures on various datasets.

• We present the ablation study showing our network performance is generalizable hence can be incorporated to tackle other similar problems.

## 3. Method

#### 3.1. Dataset

**1. Synapse multi-organ segmentation dataset** - We use 30 abdominal CT scans in the MICCAI 2015 Multi-Atlas Abdomen Labeling Challenge, with 3779 axial contrast-enhanced abdominal clinical CT images in total.

**2.** Automated cardiac diagnosis challenge - The chest CT scan of each patient is manually annotated with ground truth for left ventricle (LV), right ventricle (RV) and myocardium (MYO).

**3.** Spleen CT segmentation - For task 9 of MSD challenge, 20 CT volumes with spleen body annotation are used.

**4. Brain Tumor Segmentation** - 3D MRI dataset used in the experiments is provided by the BraTS 2019 challenge (Menze et al., 2014) and (Bakas et al., 2018).

## **3.2.** Network Architecture

157 Suppose an image is given  $x \in R^{H \times W \times C}$  with a spatial 158 resolution of  $H \times W$  and C number of channels. The goal is 159 to predict the pixel-wise label of size  $H \times W$  for each image. 160 We start by performing tokenization by reshaping the input x161 into a sequence of flattened 2D patches  $x_p^i \in R(i = 1, ..., N)$ , We convert the vectorized patches  $x_p$  into a latent *D*dimensional embedding space using a linear projection vector. We use patch embeddings to make sure the positional information is present as shown in Equation 1:

$$\mathbf{z}_0 = \begin{bmatrix} \mathbf{x}_p^1 \mathbf{E}; \mathbf{x}_p^2 \mathbf{E}; \cdots; \mathbf{x}_p^N \mathbf{E} \end{bmatrix} + \mathbf{E}_{pos}$$
(1)

where  $E \in R^{(P^2C)} \times D$  denotes the patch embedding projection, and  $E_{pos} \in R^{N \times D}$  denotes the position embedding.

After the embedding layer, we use multi scale context block followed by a stack of transformer blocks (Dosovitskiy et al., 2020) made up of multiheaded self-attention (MSA) and multilayer perceptron (MLP) layers as shown in Equation 2 and Equation 3 respectively:

$$\mathbf{z}_{i}^{\prime} = \mathrm{MSA}\left(\mathrm{Norm}\left(\mathbf{z}_{i-1}\right)\right) + \mathbf{z}_{i-1}$$
(2)

$$\mathbf{z}_{i} = \mathrm{MLP}\left(\mathrm{Norm}\left(\mathbf{z}_{i}'\right)\right) + \mathbf{z}_{i}' \tag{3}$$

Where Norm represents layer normalization, MLP is made up of two linear layers and i is the individual block. A MSA block is made up of n self-attention (SA) heads in parallel.

The structure of Transformer layer used in this work is illustrated in Figure 1:



Figure 1. Schematic of the Transformer layer used in this work.

The output sequence of Transformer  $z_L \in \mathbb{R}^{d \times N}$  is first reshaped to  $d \times H/8 \times W/8 \times D/8$ . A convolution block is used to reduce the channel dimension from d to K. This helps in reducing the computational complexity. Upsampling operations and successive convolution blocks are the used to get back a full resolution segmentation result  $R \in \mathbb{R}^{H \times W \times D}$ . Skip-connections are used to fuse the encoder features with the decoder by concatenation to get more contextual information.

In the encoder part, the input image is split into patches and fed into linear embedding layer. The feature map is splitted into N parts along with the channel dimension. The 162

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individual features are fused before being passed to the transformer blocks. The decoder block is comprised of transformer blocks followed by a similar split and concat operator. Linear projection is used on the feature maps to produce the segmentation map. Skip connections are used between the encoder and decoder transformer blocks to provide an alternative path for the gradient to flow thus speeding up the training process.

The detailed architecture of our network as well as the intermediate skip-connections is shown in Figure 2:

→ Reshape → Reshape Figure 2. Overview of our model architecture. Output sizes demonstrated for patch dimension N = 16 and embedding size C = 768. We extract sequence representations of different layers in the transformer and merge them with the decoder using skip connections.

Similar to the previous works (Hu et al., 2019), selfattention is computed as defined in Equation 4:

$$MSA(Q, K, V) = Sof t Max \left(\frac{QK^T}{\sqrt{d}} + B\right) V \quad (4)$$

where  $Q, K, V \in \mathbb{R}^{M^2 \times d}$  denote the query, key and value matrices.  $M^2$  and d denotes the number of patches in a window and the dimension of the query. The values in B are taken from the random bias matrix denoted by  $B \in R^{(2M-1) \times (2M+1)}$ 

The output of MSA is defined as in Equation 5:

$$TMSA(\mathbf{z}) = [MSA_1(z); MSA_2(z); \dots; MSA_n(z)] \mathbf{W}_{tmsa}$$
(5)

Where  $W_{tmsa}$  represents the learnable weight matrices of different heads (SA).

#### **3.3.** Loss Function

Our loss function is a combination of dice and cross entropy terms which is calculated in voxel-wise manner as defined in Equation 6:

$$\mathcal{L} = 1 - \frac{2}{J} \sum_{j=1}^{J} \frac{\sum_{i=1}^{I} G_{i,j} Y_{i,j}}{\sum_{i=1}^{I} G_{i,j}^{2} + \sum_{i=1}^{I} Y_{i,j}^{2}} - \frac{1}{I} \sum_{i=1}^{I} \sum_{j=1}^{J} G_{i,j} \log Y_{i,j}$$
(6)

where I is the number of voxels, J is the number of classes,  $Y_{i,j}$  and  $G_{i,j}$  denote the probability output and onehot encoded ground truth for voxel i of class j.

#### **3.4. Evaluation Metrics**

The segmentation accuracy is measured by the Dice score and the Hausdorff distance (95%) metrics for enhancing tumor region (ET), regions of the tumor core (TC), and the whole tumor region (WT).

#### **3.5. Implementation Details**

Our model is trained using Pytorch deep learning framework. The learning rate and weight decay values used are 0.00015 and 0.005, respectively. We use batch size value of 16 and ADAM optimizer to train our model.

We use a random crop of  $128 \times 192 \times 192$  and mean normalization to prepare our model input. The input image size and patch size are set as  $224 \times 224$  and 4, respectively. As a model input, we use the 3D voxel by cropping the brain region. The following data augmentation techniques are applied:

1. Random cropping of the data from  $240 \times 240 \times 155$ to  $128 \times 128 \times 128$  voxels;

2. Flipping across the axial, coronal and sagittal planes by a probability of 0.5

3. Random Intensity shift between [-0.05, 0.05] and scale between [0.5, 1.0].

### 4. Results

We report the average DSC and average Hausdorff Distance (HD) on 8 abdominal organs (aorta, gallbladder, spleen, left kidney, right kidney, liver, pancreas, spleen, stomach) with a random split of 20 samples in training set and 10 sample for validation set using Synapse multi-organ CT dataset in Table 1.

We report the average DSC with a random split of 70 training cases, 20 cases for validation and 10 for testing using ACDC dataset in Table 2:

We conduct the five-fold cross-validation evaluation on the BraTS 2019 training set. The quantitative results is presented in Table 3.



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age dice s	score %, a	verage	hausd	orff dis	stance	in mm,	and dic	e scoi
% for eac	h organ).	The b	est rest	ults are	e highli	ighted i	n bold.	
Encoder	Decoder	DSC	HD	Aorta	GB	Kid(L)	Kid(R)	Liver
V-Net	V-Net	68.81	-	75.34	51.87	77.10	80.75	87.84
DARR	DARR	69.77	-	74.74	53.77	72.31	73.24	94.08
R50	U-Net	74.68	36.87	84.18	62.84	79.19	71.29	93.35
R50	AttnUNet	75.57	36.97	55.92	63.91	79.20	72.71	93.56
ViT	None	61.50	39.61	44.38	39.59	67.46	62.94	89.21
ViT	CUP	67.86	36.11	70.19	45.10	74.70	67.40	91.32
R50-ViT	CUP	71.29	32.87	73.73	55.13	75.80	72.20	91.51
TransUNet	TransUNet	77.48	31.69	87.23	63.13	81.87	77.02	94.08
SwinUnet	SwinUnet	79.13	21.55	85.47	66.53	83.28	79.61	94.29
VTBIS	VTRIS	80.45	21 24	86 41	66 80	83 59	80.12	94 56

Table 1. Comparison on the Synapse multi-organ CT dataset (aver-

Table 2. Comparison on the ACDC dataset using DSC evaluation metric(%). The best results are highlighted in bold.

Framework	ork Average RV M		Муо	LV
R50-U-Net	87.55	87.10	80.63	94.92
R50-AttnUNet	86.75	87.58	79.20	93.47
ViT-CUP	81.45	81.46	70.71	92.18
R50-ViT-CUP	87.57	86.07	81.88	94.75
TransUNet	89.71	88.86	84.53	95.73
VTBIS	90.34	89.03	85.32	95.94

Table 3. Comparison on the BraTS 2019 validation set. DS represents Dice score and HD repesents Hausdorff distance. The best results are highlighted in bold.

Method	ET(DS%)	WT(DS%)	TC(DS%)	ET(HD mm)	WT(HD mm)	TC(HD mm)
3D U-Net	70.86	87.38	72.48	5.062	9.432	8.719
V-Net	73.89	88.73	76.56	6.131	6.256	8.705
KiU-Net	73.21	87.60	73.92	6.323	8.942	9.893
Attention U-Net	75.96	88.81	77.20	5.202	7.756	8.258
Li et al	77.10	88.60	81.30	6.033	6.232	7.409
TransBTS w/o TTA	78.36	88.89	81.41	5.908	7.599	7.584
TransBTS w/ TTA	78.93	90.00	81.94	3.736	5.644	6.049
VTBIS	79.24	90.28	82.23	3.706	5.621	7.129

We compare the performance of our model against CNN based networks for the task of brain tumour segmentation in Table 4.

Table 4. Cross validation results of brain tumour Segmentation task. DSC1, DSC2 and DSC3 denote average dice scores for the Whole Tumour (WT), Enhancing Tumour (ET) and Tumour Core (TC) across all folds. For each split, average dice score of three classes are used. The best results are highlighted in bold.

Fold	Split-1	Split-2	Split-3	Split-4	Split-5	DSC1	DSC2	DSC3	Avg.	be
VNet	64.83	67.28	65.23	65.2	66.34	75.96	54.99	66.38	65.77	
AHNet	65.78	69.31	65.16	65.05	67.84	75.8	57.58	66.50	66.63	_
Att-UNet	66.39	70.18	65.39	66.11	67.29	75.29	57.11	68.81	67.07	
UNet	67.20	69.11	66.84	66.95	68.16	75.03	57.87	70.06	67.65	
SegResNet	69.62	71.84	67.86	68.52	70.43	76.37	59.56	73.03	69.65	_
VTBIS	70.92	73.84	71.05	72.29	72.43	79.52	60.90	76.11	71.98	

In Table 5, We compare the performance of our network against previous state of the art for the task of spleen segmentation.

Table 5. Cross validation results of spleen segmentation task. For each split, we provide the average dice score of fore-ground class. The best results are highlighted in bold.

373	Fold	Split-1	Split-2	Split-3	Split-4	Split-5	Avg.
374	VNet	94.78 94.23	92.08 92.10	95.54 94.56	94.73 94.39	95.03 94.11	94.43 93.87
375	Att-UNet	93.16 92.83	92.59 92.83	95.08 95.76	94.75 95.01	95.81 96.27	94.27 94.54
376	SegResNet	95.66	92.00	95.79 96.37	94.19 95 89	95.53 96.91	94.63
377	VTBIS	96.14	94.52	96.52	95.76	96.78	96.14

The visualization of the validation set prediction is illus-	3/0
trated in Figure 3:	379





Figure 3. All the four modalities of the brain tumor visualized with the Ground-Truth and Predicted segmentation of tumor sub-regions for BraTS 2019 crossvalidation dataset. Red label: Necrosis, yellow label: Edema and Green label: Edema.

The segmentation results of our model on the Synapse multi-organ CT dataset is shown in Figure 4:



Figure 4. The segmentation results of our network on the Synapse multi-organ CT dataset. Left depicts ground truth, while the right one depicts predicted segmentation from our network.

#### 4.1. Ablation Studies

The testing results of the proposed model with  $224 \times 224$ and  $512 \times 512$  input resolutions as input are presented in Table 6.

Table 6. Ablation study on the influence of input resolution. The est results are highlighted in bold.

Resolution	DSC(Avg)	Aorta	Gallbladder	Kidney(L)	Kidney(R)	Liver	Pancreas	Spleen Stomach
224	78.22	87.53	63.29	82.53	78.26	94.53	55.99	85.38 4196.02
512	84.57	91.00	67.52	86.18	83.61	95.84	70.45	88.68 41 83.57

We conduct the experiments of our model with bilinear interpolation and transposed convolution on Synapse dataset. The experiment shows that our network using transposed convolution layer achieves better segmentation accuracy.

Table 7. Ablation study on the impact of the up-sampling. Here BI denotes bilinear interpolation, TC denotes transposed convolution. The best results are highlighted in bold.

Up-sampling	DSC	Aorta	Gallbladder	Kidney(L)	Kidney(R)	Liver	Pancreas	Spleen	Stomach
BI TC	77.24 <b>78.53</b>	82.04 <b>84.55</b>	67.18 68.02	80.52 82.46	73.79 <b>74.41</b>	94.05 <b>94.59</b>	55.74 55.91	86.71 <b>89.25</b>	427 72.50 47386

Different skip connections values of 0, 1, 2 and 3 are used respectively. The segmentation performance of the model

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e increase in the number of skip connections	References
te 8: study on the impact of the number of skip con- results are highlighted in bold.	S. Bakas, M. Reyes, A. Jakab, S. Bauer, M. Rempfler, A. Crin R. T. Shinohara, C. Berger, S. M. Ha, M. Rozycki, et al. Ide tifying the best machine learning algorithms for brain tum
Gallbladder Kidney(L) Kidney(R) Liver Pancreas Spleen	Stomach Segmentation, progression assessment, and overall survival pr
54.06         78.26         76.78         93.54         47.02         85.24           61.46         82.17         80.13         94.45         54.26         86.17           67.27         84.70         81.32         94.94         56.32         89.35           67.51 <b>85.18 81.50 95.20 57.16 91.64</b>	$\frac{72.06}{77.50}$ diction in the brats challenge. <i>arXiv preprint arXiv:1811.0262</i> 77.50 77.50
our network at various model scales (i.e. embedding dimension (d)). We show ab-	H. Cao, Y. Wang, J. Chen, D. Jiang, X. Zhang, Q. Tian, an M. Wang. Swin-unet: Unet-like pure transformer for medic image segmentation. arXiv preprint arXiv:2105.05537, 2021
wrify the impact of Transformer scale on the formance. Our network with $d = 384$ and L best scores of ET, WT and TC. Increasing ecreasing the embedding dimension gives	J. Chen, Y. Lu, Q. Yu, X. Luo, E. Adeli, Y. Wang, L. Lu, A. L. Yuil and Y. Zhou. Transunet: Transformers make strong encoders f medical image segmentation. arXiv preprint arXiv:2102.0430 2021. 2
wever, the impact of depth on performance an that of embedding dimension as shown study demonstrating the effect of depth and em- n on our transformer. DS represents Dice score.	Ö. Çiçek, A. Abdulkadir, S. S. Lienkamp, T. Brox, and O. Ro neberger. 3d u-net: learning dense volumetric segmentation fro sparse annotation. In <i>International conference on medical imag</i> <i>computing and computer-assisted intervention</i> , pages 424–43 Springer, 2016. 1
e highlighted in bold.           Embedding dim (d)         ET(DS%)         WT(DS%)         TC(DS%)           384         69.24         84.16         70.18           512         69.05         83.87         69.92           384         70.59         84.48         72.51           512         70.13         84.15         71.99           384         72.06 <b>85.39</b> 73.67           512         71.55         85.06         73.05	<ul> <li>A. Dosovitskiy, L. Beyer, A. Kolesnikov, D. Weissenborn, X. Zh. T. Unterthiner, M. Dehghani, M. Minderer, G. Heigold, S. Gel et al. An image is worth 16x16 words: Transformers for imag recognition at scale. <i>arXiv preprint arXiv:2010.11929</i>, 2020.</li> <li>2</li> </ul>
<b>ns</b> nage segmentation is a challenging problem ing. Recently deep learning methods lever-	T. Eelbode, J. Bertels, M. Berman, D. Vandermeulen, F. Mae R. Bisschops, and M. B. Blaschko. Optimization for medic image segmentation: theory and practice when evaluating wi dice score or jaccard index. <i>IEEE Transactions on Medic Imaging</i> , 39(11):3679–3690, 2020. 1
I and transformer based architectures have ressful in this domain. In this paper, we pro- work named Vision Transformer (VTBIS) Image Segmentation. We use multi scale	T. Fan, G. Wang, Y. Li, and H. Wang. Ma-net: A multi-sca attention network for liver and tumor segmentation. <i>IEEE Acces</i> 8:179656–179665, 2020. 1
plit the features employing different con- oncatenating those individual feature maps be being passed to transformer blocks in en- der also uses similar mechanism with skip necting the encoder and decoder transformer	S. Fu, Y. Lu, Y. Wang, Y. Zhou, W. Shen, E. Fishman, and A. Yuil Domain adaptive relational reasoning for 3d multi-organ see mentation. In <i>International Conference on Medical Image Con-</i> <i>puting and Computer-Assisted Intervention</i> , pages 656–66 Springer, 2020.
put feature map after split and concat oper- rough a linear projection block to produce nentation map. Using Dice Score and the nce on multiple datasets, our network out- of the previous CNN as well as transformer ures. In the future, we would like to use	E. Gibson, F. Giganti, Y. Hu, E. Bonmati, S. Bandula, K. Gurusam B. Davidson, S. P. Pereira, M. J. Clarkson, and D. C. Barra Automatic multi-organ segmentation on abdominal ct with den v-networks. <i>IEEE transactions on medical imaging</i> , 37(8):182 1834, 2018.
on transformer to tackle other problems in like depth estimation.	A. Hatamizadeh, D. Yang, H. Roth, and D. Xu. Unetr: Trar formers for 3d medical image segmentation. <i>arXiv prepri</i> <i>arXiv:2103.10504</i> , 2021. 2
nts o thank Nvidia for providing the GPUs for	<ul> <li>H. Hu, Z. Zhang, Z. Xie, and S. Lin. Local relation networks frimage recognition. In <i>Proceedings of the IEEE/CVF Intern</i> <i>tional Conference on Computer Vision</i>, pages 3464–3473, 201</li> <li>3</li> </ul>

increases with th as shown in Tab

Table 8. Ablation nection. The best

SC	DSC	Aorta	Gallbladder	Kidney(L)	Kidney(R)	Liver	Pancreas	Spleen	St
0	73.13	78.72	54.06	78.26	76.78	93.54	47.02	85.24	72
1	75.77	83.34	61.46	82.17	80.13	94.45	54.26	86.17	75
2	79.54	86.16	67.27	84.70	81.32	94.94	56.32	89.35	77
3	82.05	86.26	67.51	85.18	81.50	95.20	57.16	91.64	77

We explore depth (L) and e lation study to ve segmentation per = 4 achieves the the depth and d better results. He is much more th in Table 9:

Table 9. Ablation bedding dimensio The best results ar

Depth (L)	Embedding dim (d)	ET(DS%)	WT(DS%)	TC(DS%)
1	384	69.24	84.16	70.18
1	512	69.05	83.87	69.92
2	384	70.59	84.88	72.51
2	512	70.13	84.15	71.99
4	384	72.06	85.39	73.67
4	512	71.55	85.06	73.05

## 5. Conclusion

Biomedical in 462 in medical imag 463 aging both CNN 464 been highly succ 465 pose a novel net 466 for Biomedical 467 mechanism to s 468 469 volutions and co produced before 470 coder. The deco 471 472 connections con blocks. The out 473 474 ator is passed th the output segm 475 476 Hausdorff Dista performs most c 477 based architectu 478 multi scale visio 479 computer vision 480

#### 482 Acknowledgme 483

484 We would like to 485 this work.

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