

1 **Predict the Risk of Dyslipidemia via Deep Neural Networks for Survival Data**

2

3 Hailun Liang ^{a#}, Dongzuo Liang ^{b#}, Lei Tao ^c, Xiaoshuai Zhang ^d, Xiao Li ^e, Da Zheng ^f, Yuhan
4 Chen ^a, Meixi Yi ^a, Fang Tang ^{g h*}

5

6 ^a School of Public Administration and Policy, Renmin University of China, Beijing, China

7 ^b School of Statistics, Renmin University of China, Beijing, China

8 ^c Department of Public Policy, City University of Hong Kong, Hongkong, China

9 ^d Department of Data Science, School of Statistics, Shandong University of Finance and
10 Economics, Jinan, China

11 ^e Department of Clinical Pharmacy, The First Affiliated Hospital of Shandong First Medical
12 University & Shandong Provincial Qianfoshan Hospital

13 ^f Department of Computer Science, Johns Hopkins University

14 ^g Center for Big Data Research in Health and Medicine, the First Affiliated Hospital of Shandong
15 First Medical University & Shandong Provincial Qianfoshan Hospital, Jinan 250014, PR China

16 ^h Shandong Provincial Qianfoshan Hospital, cheeloo College of Medicine, Shandong University,
17 Jinan 250014, PR China

18

19 # The authors contributed equally.

20 *Corresponding author: Fang Tang, Center for Big Data Research in Health and Medicine, The
21 First Affiliated Hospital of Shandong First Medical University & Shandong Provincial

22 Qianfoshan Hospital, Jingshi Road 16766, 250014, Jinan, China. E-mail:

23 tangfangsdu@gmail.com ORCID: 0000-0002-4378-594X

24 **Abstract**

25 **Background:** Dyslipidemia is an important risk factor for coronary artery disease and stroke.
26 Early detection and prevention of dyslipidemia can markedly alter cardiovascular morbidity and
27 mortality. Cox proportional hazard model has been commonly employed for survival datasets to
28 construct the prediction model. Recently, the data-driven learning algorithm began to be used to
29 analyze right-censored survival data. However, there is no attempt to use deep neural networks in
30 dyslipidemia prediction. The objective of this study is to predict the risk of dyslipidemia via deep
31 neural networks for survival data.

32 **Methods:** The study cohort was based on the routine health check-up data from 6,328 participants
33 aged 19 to 90 years and free of dyslipidemia at baseline. A deep neural network (DNN) was used
34 to develop risk models for predicting dyslipidemia. Cox Proportional Hazards (Cox) and Random
35 Survival Forests (RSF) were applied in comparison with the DNN model. As metric of
36 performance, we use the time-dependent concordance index (C^{td} -index).

37 **Results:** The C^{td} -index of the prediction models by using DNN was 0.802. The DNN model
38 performed significantly better than Cox and RSF model (C^{td} -index: 0.735 and 0.770, respectively).
39 The improvement of DNN over the competing methods was statistically significant. Moreover,
40 DNN provides performance gain on time intervals compared to conventional survival models.

41 **Conclusions:** DNN is a promising method in learning the estimated distribution of survival time
42 and event while capturing the right-censored nature inherent in survival data. DNN achieves large
43 and statistically significant performance improvements over previous intuitive regression model
44 and state-of-the-art data-mining methods.

45 **Key Words:** dyslipidemia, risk prediction, deep neural network, survival analysis

46

47 **New & Noteworthy:**

- 48 ● This study applies a DNN based learning algorithm, to develop a risk prediction model for
49 dyslipidemia based on routine check-up data.
- 50 ● DNN provides performance improvements measured by the C-index score over the COX
51 regression model and RSF in dyslipidemia prediction.
- 52 ● The DNN model may provide a feasible and accurate approach for identifying the high-risk
53 population among undiagnosed dyslipidemia subjects based on their routine check-up data.

54

55 **1. Background**

56 Dyslipidemia is the metabolic abnormality of lipoprotein in the human body, mainly including
57 the increase of total cholesterol and low-density lipoprotein cholesterol, triglyceride, and decreased
58 high-density lipoprotein cholesterol, etc [1]. Unhealthy lifestyles, such as high cholesterol diet, an
59 inactive lifestyle, and smoking, are particularly high-risk factors in developing dyslipidemia. In
60 China, the prevalence of dyslipidemia is rapidly increasing. One recent study has illustrated that
61 the incidence density of dyslipidemia in China is as high as 101/1000, and 121/1000 for men and
62 69/1000 for women, respectively [2]. Dyslipidemia is also a major risk factor for cardiovascular
63 diseases (CVD), a serious threat to people's health, especially in developing countries. The study
64 shows that CVD mortality increased by 41% between 1990 and 2013, mainly due to low and lower-
65 middle-income countries [3]. Moreover, the burden of dyslipidemia and CVD is now growing
66 faster than our ability to combat. Considering the increased burden caused by dyslipidemia, it is
67 of great significance to manage the disease by early predicting, detecting, and dealing with risk
68 factors [4,5,6].

69 Traditionally, predictive models were used as the public health responses to disease control.
70 Statistical models and epidemiological models have been commonly employed to construct the
71 predictions. In terms of the methods and statistics of identifying and predicting risk factors, Cox
72 proportional hazard model (CPH) has been widely used. CPH relates the log of the hazard ratio to
73 a linear function of the predictors [7], making it easy to model cause-specific hazards [8]. However,
74 CPH has some limitations. For example, the validity of its results will be affected by factors such
75 as modeling and proportional risk assumption [5]. And CPH has been proven to have a high
76 variance if the model is greatly complex [8]. In order to deal with a variety of potential results, it
77 is necessary to apply appropriate methods to consider and manage competing risks [9].
78 Furthermore, dyslipidemia possibly also lead to some complications such as atherosclerosis,
79 coronary heart disease (CHD), peripheral artery disease (PAD), stroke, and others, which may
80 delay or mask the symptoms of dyslipidemia [1], making it more difficult to use CPH for accurate
81 prediction. Consequently, it is crucial to use a high prediction capacity method in a complex
82 situation.

83 Random Survival Forests (RSF) is a nonparametric algorithm, which has been developed to
84 surmount the unsolvable problems of the Cox and other classical models. RSF can cope with plenty
85 of covariates and the correlation between the response and the predictors [6,10]. In addition, RSF
86 can also be applied to select or rank variables, making it to achieve successful risk predictions for
87 several diseases [3]. Meanwhile, to improve the accuracy of disease prediction for survival data,
88 Deep Neural Networks (DNN) have been applied in the field of precise prevention, which is some
89 of the most prominent non-linear algorithms [11]. Recently, it has been suggested that DNN could
90 be a good model for biological networks due to some near-human performance [12].

91 However, these models' performance differs significantly depending on the assumptions and
92 values of parameters they employ. In addition, some of these models also tend to simplify the
93 complex biological and social processes in which real diseases involve. For these reasons, it is best
94 not to depend on a specific projection coming from a single model. Using multiple models and
95 updating approaches can help diminish some of the limitations inherent in modeling. Recently, a
96 data-driven learning algorithm began to be used to analyze spatial-temporal data. However, there
97 is no attempt to use DNN to predict dyslipidemia. Therefore, the goal of this study is to apply the
98 DNN prediction model to predict the risk of dyslipidemia. To observe the performance gain of our
99 model, we also compare the predictive power of the DNN model with CPH and RSF.

100

101 **2. Materials and Methods**

102 2.1. Subjects

103 We conducted a prospective cohort study of 6,328 participants who received routine health
104 check-up at Shandong Provincial Qianfoshan Hospital. These participants met the following
105 criteria: (1) aged between 19 and 90 years; (2) received their first check-ups between 2010 and
106 2015; (3) received at least three health checks during the 5-year follow-up; (4) individuals who
107 had been diagnosed as having dyslipidemia, diabetes, cardiovascular disease, hepatitis, renal
108 dysfunction, or hypothyroidism at baseline were excluded. The study was approved by the
109 Institutional Review Board of Shandong Provincial Qianfoshan Hospital. The study was conducted
110 in accordance with the principles of the Declaration of Helsinki. The written informed consent was
111 obtained from all eligible participants.

112

113 2.2. Outcome and predictor variables

114 The prediction outcome of this study was the probability of developing dyslipidemia. We
115 defined dyslipidemia according to the 2016 Chinese guidelines for the management of
116 dyslipidemia in adults [13]. Dyslipidemia was defined as having triglycerides (TG) ≥ 2.3 mmol/L,
117 and/or low-density lipoprotein cholesterol (LDL-C) ≥ 4.1 mmol/L, and/or total cholesterol (TC) \geq
118 6.2 mmol/L, and/or high-density lipoprotein cholesterol (HDL-C) ≤ 1.0 mmol/L.

119 We employed the predictor variable set collected from the anthropometric and laboratory tests.
120 These predictor variables are closely related to the risk of developing dyslipidemia and can be
121 available in the clinical practice, facilitating the deployment of the model. The anthropometric
122 variables included height, weight, BMI, systolic blood pressure (SBP), and diastolic blood pressure
123 (DBP). In terms of laboratory biomarkers, peripheral blood samples were collected after an
124 overnight fast for measuring the following variables: absolute lymphocyte count (ALC), alanine
125 transaminase (ALT), absolute monocytes count (AMC), aspartate transaminase (AST), blood urea
126 nitrogen (BUN), blood uric acid (BUA), fasting blood-glucose (FBG), gamma-glutamyl
127 transpeptidase (GGT), neutrophil granulocyte (GRA), hematocrit (HCT), high-density lipoprotein
128 cholesterol (HDL-C), hemoglobin (HGB), low-density lipoprotein cholesterol (LDL-C), mean
129 corpuscular hemoglobin (MCH), mean platelet volume (MPV), platelet large cell ratio (P-LCR),
130 red blood cell count (RBC), serum creatinine (SCr), total cholesterol (TC), triglycerides (TG), and
131 white blood count (WBC).

132

133 2.3. Prediction Models

134 2.3.1. Cox proportional hazard model

135 Cox proportional hazards model (CPH) is the most widely-used statistical model in the medical
136 setting for investigating the association between the survival time of patients and one or more

137 predictor variables [14]. It has been commonly used in a cohort study to identify the risk factors
138 and construct the prediction model using time-to-event data [15]. However, CPH subjects to
139 restriction about the underlying stochastic process, which assumes the hazard rate are constant and
140 the log of the hazard rate is a linear function of covariates. CPH suffers from high variance when
141 the model is complicated, and nonlinear effects exist.

142

143 2.3.2. Random Survival Forest

144 Random survival forest (RSF) is a data-driven learning algorithm that can automatically deal
145 with the nonlinear effects and interactions among the predictors. Similar to the random forests (RF)
146 [16], RSF uses bootstrap method to randomly select samples from the dataset to construct survival
147 tree models and uses 37% out-of-bag data from each sample to calculate model accuracy [10].
148 While difference between RSF and RF lies in that response variable in RSF is a survival time,
149 implicating that the data might be censored. In addition, RSF and RF differs in that RSF splits the
150 data at the node with the criterion that maximizes the survival difference. Therefore, RSF is
151 specifically suitable for right-censored data to build prediction model to study the complicated
152 relationship between various predictors and response. It can be used for event-specific selection of
153 risk factors in a nonparametric way with no restrictive assumption; thus, it is suitable to reduce the
154 data dimension of highly correlated biomarker data that are linked with event time of interest [17].
155 RSF has been applied to identify risk factors for several diseases. An RSF is a collection of
156 randomly grown survival trees, which are generally grown very deeply with many terminal nodes.
157 By using random feature selection at each node, each tree is grown using an independent bootstrap
158 sample of the learning data. The splitting rules are either event-specific or combine event-specific
159 splitting rules across the events [17,18].

160

161 2.3.3. Deep Neural Network

162 The problem of survival analysis has also received substantial recent attention in the deep
163 learning literature. Recently, several works applied deep neural networks (DNNs) to learn complex
164 representations of risk and capture the time-dependent influence of covariates on survival. The
165 current study applied a DNN model, called DeepHit and developed by Lee et al., [19] to learn the
166 estimated distribution of survival time and event while capturing the right-censored nature inherent
167 in survival data. This DNN model makes no assumptions about the underlying stochastic process,
168 making it possible to smoothly learn the nonlinear relationship between the variables and the
169 disease risks.

170 We treated participants' survival time as discrete and the time frame as finite. The time set was
171 $T = \{0, \dots, T_{\max}\}$ for a maximum time horizon T_{\max} . We assumed that exactly one event eventually
172 occurs for each participant and considered one event of interest. The current DNN model employed
173 a network architecture that consists of multiple fully-connected layers and a softmax layer as the
174 output layer. The model was trained by using a loss function that exploits both survival times and
175 relative risks [19]. Figure 1 showed the architecture of the current DNN model.

176

177 (Figure 1 insert here)

178

179 2.4. Analysis

180 We assessed the baseline characteristics of participants with and without incident dyslipidemia
181 by using a t-test for continuous variables and a chi-square test for categorical variables. The DNN
182 model was used to develop risk models for predicting dyslipidemia. CPH and RSF models were

183 applied in comparison with the DNN model. To evaluate the prediction performance of the three
184 models, we randomly separated the data into training set (80%) and testing set (20%). As our
185 metric of performance, we use the time-dependent concordance index (C^{td} -index) [20]. The
186 concordance index measures the extent to which the ordering of survival times of pairs agrees with
187 the ordering of their predicted risk, which is a widely-used metric for evaluating the performance
188 of survival models [21].

189

190 **3. Results**

191 3.1. Descriptive statistics

192 The baseline characteristics of the study cohort by gender were summarized in Table 1. A total
193 of 2219 dyslipidemia participants were included in this study. Male participants (41.9%) were
194 more likely to develop dyslipidemia than females (21.49%). The mean age of males and females
195 was 45.2 and 41.4, respectively. There were no significant differences in TC, MPV, and P-LCR
196 between the males and females. Except for these three variables, the differences of remaining
197 variables between the patient groups were statistically significant.

198

199 (Table 1 insert here)

200

201 Kaplan-Meier survival estimates comparing males and females were visualized in Figure 2.
202 We observed a significant difference between male and female participants, with higher survival
203 probabilities for females than males over time. Therefore, the prediction models were respectively
204 constructed by males and females.

205

206 (Figure 2 insert here)

207

208

209 3.2. Risk model with Cox

210 Table 2 shows the results of the Cox prediction model for dyslipidemia based on full-samples.

211 The significant variables included age, BMI, BUN, GGT, GRA, HDL-C, LDL-C, TC, P-LCR, and

212 TG. Except for HDL-C and P-LCR, other significant variables are positive factors in predicting

213 dyslipidemia. BUA was a non-predictive variable in predicting dyslipidemia.

214

215 (Table 2 insert here)

216

217 We further conducted the Cox prediction model for males and females in Figure 3, respectively.

218 For males, the most predictive variables included HDL-C, TG, TC, and LDL-C. Four variables

219 were found to be irrelevant for predicting dyslipidemia in males, including WBC, P-LCR, AMC,

220 ALC. For females, LDL-C, ALC, GRA, and TG are the most influential factors in predicting

221 dyslipidemia.

222

223 (Figure 3 insert here)

224

225 3.3. Comparisons of the performance in Cox, RSF, and DNN models

226 Table 3 compared the prediction performance of Cox, RSF, and DNN models using C^{td} -index

227 values based on testing set and also by gender over time. The C^{td} -index of the DNN model at the

228 25th percentile survival time was 0.802. The DNN model performed significantly better than Cox

229 and RSF model (C^{td}-index: 0.735 and 0.770, respectively). Similarly, at the 50th and 75th percentile
230 survival time, the DNN model showed higher predictive power than Cox and RSF models.
231 Comparing the prediction performance of these models by gender, we also found DNN model
232 achieved the highest performance than Cox and RSF models. In addition, our results showed that
233 the DNN model significantly provided a better prediction of dyslipidemia for females than males.

234

235 (Table 3 insert here)

236

237 Figure 4 visualized the predictive power of Cox, RSF, and DNN models over time based on
238 testing set. Compared to Cox models and RSF, the DNN model shows a significantly higher
239 performance over time. We further visualized the performance of the three models. For males,
240 RSF exhibited a very similar predictive power with the Cox model, whereas our DNN model still
241 largely outperformed the Cox and RSF. For females, at the beginning of the prediction time, no
242 significant differences were observed in performance between Cox and RSF, while with the time
243 evolved, RSF showed a performance improvement over Cox. DNN model always outperformed
244 the Cox and RSF.

245

246 (Figure 4 insert here)

247

248 In sum, DNN showed the highest predictive power and provided performance improvements
249 in dyslipidemia prediction over Cox and RSF in this study.

250

251 4. Discussion

252 This study aimed to apply a DNN based learning algorithm, DeepHit, to develop a more
253 accurate risk prediction model for dyslipidemia. Several common predictors were extracted from
254 the routine health check-up data to construct the prediction model. Our results showed that DNN
255 fitted our data well and provided performance improvements measured by C-index score over the
256 intuitive regression model and state-of-the-art data-mining methods in dyslipidemia prediction.

257 We compared the predictive power of DNN with CPH and RSF and found DNN was a superior
258 model in dyslipidemia prediction. The improved accuracy on dyslipidemia prediction of the
259 DeepHit model could be attributed to its flexible processing ability, which can smoothly learn the
260 nonlinear relationship between the variables and the disease risks [19]. The Cox regression model
261 [14] follows a strict assumption that the underlying relationship between variables and the hazard
262 rate is a linear function. However, the prevalence of dyslipidemia is rather complicated and beyond
263 the applicable conditions of the Cox Model, which might significantly limit the accuracy of its
264 predictive power. Although RSF [17] is a data-driven algorithm that can automatically learn the
265 underlying patterns between the covariates and the risk events, it has a limited predictive capacity,
266 particularly in the presence of many covariates. Thus, our DeepHit model, which makes no
267 assumptions about the underlying stochastic process, can provide a more accurate prediction of
268 dyslipidemia events.

269 Our findings on the performance improvement of DNN in dyslipidemia prediction were
270 consistent with existing literature, illustrating that DNN models were powerful techniques for
271 disease prediction. For example, Zhao and Feng [22] found that the DNN model better performed
272 than existing methods, such as a standard CPH and Cox-nnet model, in predicting the development
273 and progression of cardiovascular disease among older populations. Lee et al. [23] presented a new
274 DNN model for overcoming the major disadvantages of the CPH in predicting non-small cell lung

275 cancer patients' recurrence probabilities after surgery; results demonstrated that semi-
276 unsupervised binned-time survival analysis (su-DeepBTS) model exhibited the best performance
277 with a concordance index(C-index) of 0.7306 and an area under the curve (AUC) of 0.7677, better
278 than supervised binned-time survival analysis (s-DeepBTS) and CPH (C-index of 0.7048 and
279 0.7126 and AUCs of 0.7390 and 0.7420 respectively). For better predicting the progression from
280 mild cognitive impairment(MCI) to Alzheimer's disease(AD), Sebastian Pölsterl et al. [24]
281 proposed a wide and deep neural network model that fused information of anatomical shape and
282 tabular clinical data from survival analysis. Their study indicated that this model was superior to
283 a baseline neural network on shapes and a linear model on common clinical biomarkers, which
284 both enhanced clinical variables and improved prediction performance.

285 DeepHit was developed in the late 2018 as a new deep learning approach to survival analysis.
286 Although DeepHit exhibited high predictive power over previous intuitive regression model and
287 state-of-the-art data-mining methods, up to present few studies have applied it to disease risk
288 prediction. Except for two studies applying it to predict breast cancer [19] and cystic fibrosis [25],
289 no applications has been developed to predict dyslipidemia risks. Therefore, to the best of our
290 knowledge, this was the first application of the DNN model in predicting dyslipidemia based on
291 the routine check-up data. We specifically confirmed that DNN was a useful tool in dyslipidemia
292 risk prediction. In addition, given the high predictive power of DNN compared to other existing
293 models, our research contributes to the current literature by indicating that nonlinear relationships
294 between predictors and survival times are crucial for assessing the risk of dyslipidemia. Predictive
295 models based on a linear assumption may limit the accuracy of their predictions and hinder
296 practitioners' ability to precisely evaluate the dyslipidemia risks of their patients. Thus, practically,
297 our DNN predictive model provides a feasible and accurate approach for identifying the high-risk

298 population among undiagnosed dyslipidemia subjects based on their routine check-up data. Given
299 the rapidly increasing prevalence of dyslipidemia in China [26], identifying the individual risk of
300 dyslipidemia carries significant implications for early intervention strategies. In addition,
301 dyslipidemia is an essential risk factor for a variety of diseases, such as cardiovascular disease,
302 heart disease, and stroke [27,28]. The policy intervention plans against the prevalence of
303 dyslipidemia will undoubtedly reduce the risk of those chronic diseases among the Chinese
304 population.

305 Despite these strengths, our research has some limitations. Firstly, our samples consist of
306 patients from large medical institutions with high socioeconomic status, limiting the robustness of
307 the model. It thus should be cautious about generalizing our findings to other groups with distinct
308 geographic and socioeconomic features. Further validation utilizing other data sources,
309 particularly a nationally representative dataset, could make the predictive power of the DNN model
310 in dyslipidemia more accurate. Secondly, we excluded the patients who had already had
311 dyslipidemia at the baseline, which might lead us to underestimate the actual survival time. Finally,
312 our predictors of dyslipidemia were all from the routine check-up. Further work could consider
313 possible environmental variables and other genetic-related factors.

314

315 **5.Conclusion**

316 In conclusion, our research confirms that DNN approaches are powerful tools to identify
317 subjects with a high risk of dyslipidemia. In addition, our DNN significantly outperformed the
318 other two models in predicting dyslipidemia for survival data. Based on our research, a more
319 precise assessment can be performed in the health populations with DNN to guide the early
320 classification of risks and thus effectively lower the incidence of dyslipidemia and other-related

321 disease.

322

323 **Authors' contributions**

324 Conception and design: Hailun Lian, Xiaoshuai Zhang, Xiao Li, Fang Tang

325 Data analysis and interpretation: Hailun Lian, Dongzuo Liang, Lei Tao, Yuhan Chen, Meixi Yi,

326 Da Zheng

327 Manuscript writing: Hailun Lian, Dongzuo Liang, Lei Tao, Xiaoshuai Zhang, Xiao Li, Yuhan

328 Chen, Meixi Yi, Fang Tang, Da zheng

329 Final approval of manuscript: Hailun Lian, Fang Tang, Xiaoshuai Zhang, Xiao Li, Fang Tang

330

331 **Acknowledgments**

332 This study was supported by the National Natural Science Foundation of China (No. 71804183
333 and 71804093)

334

335 **Funding**

336 National Natural Science Foundation of China (No. 71804183 and 71804093)

337

338 **Statement on conflicts of interest**

339 We declare that we have no financial and personal relationships with other people or organizations

340 that can inappropriately influence our work, there is no professional or other personal interest of

341 any nature or kind in any product, service and/or company that could be construed as influencing

342 the position presented in, or the review of, the manuscript entitled "Predict the Risk of Dyslipidemia

343 via Deep Neural Networks for Survival Data".

344

345 **Ethics approval and consent to participate**

346 The study was approved by the Institutional Review Board of Beijing Physical Examination Center.

347 The study was conducted in accordance with the principles of the Declaration of Helsinki. The

348 written informed consent was obtained from all eligible participants.

349

350 **Availability of data and materials**

351 The data used in this study is provided by Shandong Provincial Qianfoshan hospital. We have

352 obtained the right to use the data through application. If anyone wants to obtain data from this

353 study, they can contact Fang Tang (Contact information: Center for Big Data Research in Health

354 and Medicine, The First Affiliated Hospital of Shandong First Medical University & Shandong

355 Provincial Qianfoshan Hospital, Jingshi Road 16766, 250014, Jinan, China. E-mail:

356 tangfangsdu@gmail.com)

357

358 **Consent for publication**

359 Not applicable

360

361 **Summary points**

362 **What was already known on the topic:**

363 ● Early detection and prevention of dyslipidemia can markedly alter cardiovascular morbidity
364 and mortality.

365 ● Cox proportional hazard model (CPH) and Random Survival Forests (RSF) are two common
366 tools to construct the predictive model for dyslipidemia.

367 ● The existing models usually have poor performance in dyslipidemia prediction as they strictly
368 follow basic assumptions and values of parameters.

369

370 **What this study added to our knowledge:**

371 ● This study applies a DNN based learning algorithm, DeepHit, to develop a risk prediction
372 model for dyslipidemia based on routine check-up data.

373 ● DNN provides performance improvements measured by the C-index score over the COX
374 regression model and RSF in dyslipidemia prediction.

375 ● The DNN model may provide a feasible and accurate approach for identifying the high-risk
376 population among undiagnosed dyslipidemia subjects based on their routine check-up data.

377

378 References

379 [1] A Cipla initiative essence series. Dyslipidemia essential information on brief. 2005;1-24.

380 [2] Zhang X, Tang F, Ji J, Han W, Lu P. Risk prediction of dyslipidemia for Chinese Han adults
381 using random Forest survival model. Clin Epidemiol. 2019;11:1047–55.

382 [3] Lear SA, Hu W, Rangarajan S, et al. The effect of physical activity on mortality and
383 cardiovascular disease in 130 000 people from 17 high-income, middle-income, and low-
384 income countries: the PURE study. Lancet. 2017;390:2643-54.

385 [4] Opoku S, Gan Y, Fu W, et al. Prevalence and risk factors for dyslipidemia among adults in
386 rural and urban China: findings from the China National Stroke Screening and prevention
387 project (CNSSPP). BMC Public Health. 2019; 19:1500.

388 [5] Hendrani AD, Adesiyun T, Quispe R, et al. Dyslipidemia management in primary prevention
389 of cardiovascular disease: current guidelines and strategies. World J Cardiol. 2016;8: 201-

390 210.

391 [6] Miller M. Dyslipidemia and cardiovascular risk: the importance of early prevention. *QJM*.
392 2009;102:657–667.

393 [7] Farhadian M, Karsidani SD, Mozayanimonfared A, Mahjub H. Risk factors associated with
394 major adverse cardiac and cerebrovascular events following percutaneous coronary
395 intervention: a 10-year follow-up comparing random survival forest and Cox proportional-
396 hazards model. *BMC Cardiovasc Disord*. 2021; 21:38.

397 [8] Breiman L. Bagging predictors. *Mach Learn*. 1996; 24:123–140.

398 [9] Dignam JJ, Zhang Q, Kocherginsky M. The use and interpretation of competing risks
399 regression models. *Clin Cancer Res*. 2012;18:2301-2308.

400 [10] Taylor JM. Random survival forests. *J Thorac Oncol*. 2011; 6: 1974-1975

401 [11] Merćep A., Mrčela L, Birov M, Kostanjčar Z. Deep neural networks for behavioral credit
402 rating. *Entropy*. 2021; 23: 27.

403 [12] Bae H, Kim SJ, Kim CE. Lessons from deep neural networks for studying the coding
404 principles of biological neural networks. *Front Syst Neurosci*. 2021; 14: 103.

405 [13] Zhu JR, Gao RL, Zhao SP et al. 2016 Chinese guidelines for the management of
406 dyslipidemia in adults. *J Geriatr Cardiol*. 2018;15:1–29.

407 [14] David CR. Regression models and life tables. *J R Stat Soc (Ser A)*. 1972;34:187–220.

408 [15] Joo EJ, Chang Y, Yeom JS, Cho YK, Ryu S, Chronic hepatitis B virus infection and risk of
409 dyslipidemia: a cohort study. *J Viral Hepat*. 2019;26:162–169.

410 [16] Breiman L. Random forests. *Machine learning*. 2001;45:5–32.

411 [17] Ishwaran H, Gerds TA, Kogalur UB. Random survival forests for competing risks.
412 *Biostatistics*. 2014;15:757-73.

413 [18] Ishwaran H, Kogalur UB, Blackstone EH, et al. Random survival forests. *Ann Appl*
414 *Stat.* 2008; 2: 841-860.

415 [19] Lee C, Zame W, Yoon J, van der Schaar M. DeepHit: A deep learning approach to survival
416 analysis with competing risks. *Proc. 32nd Assoc. Advancement Artifi Intell (AAAI) Conf.*
417 2018; 32:1.

418 [20] Gerds TA, Kattan MW, Schumacher M, Yu C. Estimating a time-dependent concordance
419 index for survival prediction models with covariate dependent censoring. *Stat Med.* 2013;
420 32: 2173–2184.

421 [21] Harrell FE, Califf RM, Pryor DB, Lee KL, Rosati RA. Evaluating the yield of medical
422 tests. *JAMA.*1982;247:2543-2546.

423 [22] Zhao L, Dai F. Deep neural networks for survival analysis using pseudo values. *IEEE J*
424 *Biomed Health.* 2020; 24: 3308-3314.

425 [23] Lee B, Chun SH, Hong JH, et al. DeepBTS: prediction of recurrence-free survival of non-
426 small cell lung cancer using a time-binned deep neural network. *Sci Rep.* 2020;10:1952.

427 [24] Pölsterl S, Sarasua I, Gutiérrez-Becker B, Wachinger C. A wide and deep neural network for
428 survival analysis from anatomical shape and tabular clinical data. *Commun Comput Inf*
429 *Sci.* 2020; 1167: 453–464.

430 [25] Lee C, Jinsung Y, Van Der Schaar M. Dynamic-deephit: a deep learning approach for
431 dynamic survival analysis with competing risks based on longitudinal data. *IEEE Trans*
432 *Biomed Eng.* 2019;67: 122-133.

433 [26] Li JH, Wang L, Mi SQ, et al. Awareness rate, treatment rate and control rate of dyslipidemia
434 in Chinese adults, 2010. *Chin J Prev Med.* 2012; 46: 687-691.

435 [27] Lee JS, Chang PY, Zhang Y, Kizer JR, Best LG, Howard BV. Triglyceride and HDL-C

436 dyslipidemia and risks of coronary heart disease and ischemic stroke by glycemic
437 dysregulation status: the strong heart study. *Diabetes Care*. 2017;40:529–37.

438 [28] Pikula A, Beiser AS, Wang J, et al. Lipid and lipoprotein measurements and the risk of
439 ischemic vascular events: Framingham study. *Neurology*. 2015;84:472–9.

440

441

442

443

444

445

446

447

448

449

450

451

452

453

454

455

456

457

458

459

460

461

462

463

Table 1 Baseline Characteristics by Gender

Variables	Male	Female	P-value
Dyslipidemia	1628(41.90%)	591(24.19%)	<0.001
Age	45.221(±14.414)	41.357(±12.53)	<0.001
ALC	2.245(±0.586)	2.081(±0.528)	<0.001
BUN	5.42(±1.202)	4.585(±1.095)	<0.001
AMC	0.352(±0.111)	0.304(±0.094)	<0.001
TC	4.704(±0.683)	4.7(±0.699)	0.814
HDL-C	1.561(±0.299)	1.791(±0.327)	<0.001
ALT	23.613(±17.556)	16.368(±16.167)	<0.001
AST	21.221(±9.166)	18.868(±7.814)	<0.001
RBC	4.993(±0.357)	4.413(±0.309)	<0.001
HCT	0.449(±0.027)	0.392(±0.027)	<0.001
SCr	76.593(±10.769)	56.355(±8.47)	<0.001
BUA	351.888(±70.862)	257.086(±53.686)	<0.001
MCH	30.771(±1.447)	29.589(±2.193)	<0.001
MPV	10.464(±0.794)	10.47(±0.807)	0.791
FBG	5.23(±0.627)	4.999(±0.481)	<0.001
HGB	153.379(±9.864)	130.336(±10.848)	<0.001
GRA	3.35(±1.074)	3.2(±1.093)	<0.001
TG	1.081(±0.341)	0.879(±0.334)	<0.001
LDL-C	2.648(±0.525)	2.427(±0.583)	<0.001
WBC	6.123(±1.427)	5.723(±1.394)	<0.001
P-LCR	28.487(±6.617)	28.464(±6.708)	0.896
GGT	28.595(±22.144)	15.883(±14.402)	<0.001
SBP	129.361(±17.22)	118.84(±17.119)	<0.001
DBP	82.267(±11.004)	73.586(±10.048)	<0.001
BMI	24.589(±3.105)	22.25(±3.063)	<0.001

465

466

467

468

469

470

471

472
473

Table 2 Cox Proportional Hazard Model for Predicting Dyslipidemia

Variables	Coe	Z statistic	P-value	HR	Lower	Upper
Age	0.004	2.080	0.038	1.004	1.000	1.007
BMI	0.023	2.758	0.006	1.023	1.007	1.040
BUA	0.001	1.477	0.140	1.001	1.000	1.001
BUN	0.037	1.812	0.070	1.038	0.997	1.081
GGT	0.004	3.859	0.000	1.004	1.002	1.006
GRA	0.047	2.039	0.041	1.048	1.002	1.096
HDL-C	-1.437	-9.686	<0.00001	0.238	0.178	0.318
LDL-C	0.519	3.995	<0.0001	1.681	1.303	2.169
P-LCR	-0.006	-1.711	0.087	0.994	0.987	1.001
TC	0.571	5.462	<0.00001	1.769	1.442	2.171
TG	0.642	7.656	<0.00001	1.901	1.613	2.241

474
475
476
477
478
479
480
481
482
483
484
485
486
487
488
489
490
491
492
493
494
495
496
497
498
499

500

Table 3 C^{td}-index for Prediction Model with Cox, RSF and DNN Model

Models	25%	50%	75%
Full testing set			
Cox	0.735	0.735	0.732
RSF	0.770	0.768	0.766
DeepHit	0.802	0.798	0.794
Testing set of male			
Cox	0.733	0.727	0.726
RSF	0.744	0.738	0.737
DeepHit	0.831	0.804	0.809
Testing set of female			
Cox	0.771	0.786	0.780
RSF	0.812	0.818	0.807
DeepHit	0.851	0.849	0.834

501

502

503

504

505

506

507

508

509

510

511

512

513

514 **Figure Legend**

515 **Figure 1** The architecture of the DNN model

516 **Figure 2** Kaplan-Meier survival estimates comparing male with female participants

517 **Figure 3** Cox Proportional Hazard Model for Predicting Dyslipidemia in Male (A) and Female
518 (B)

519 **Figure 4** Comparison of Ctd-index performance in Cox, RSF and DNN Models based on full
520 testing set (A); by the male (B) and female (C)

521

522

523

524

525

526

527

528

529

530

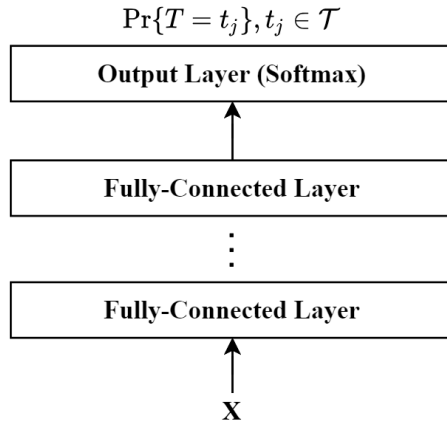
531

532

533

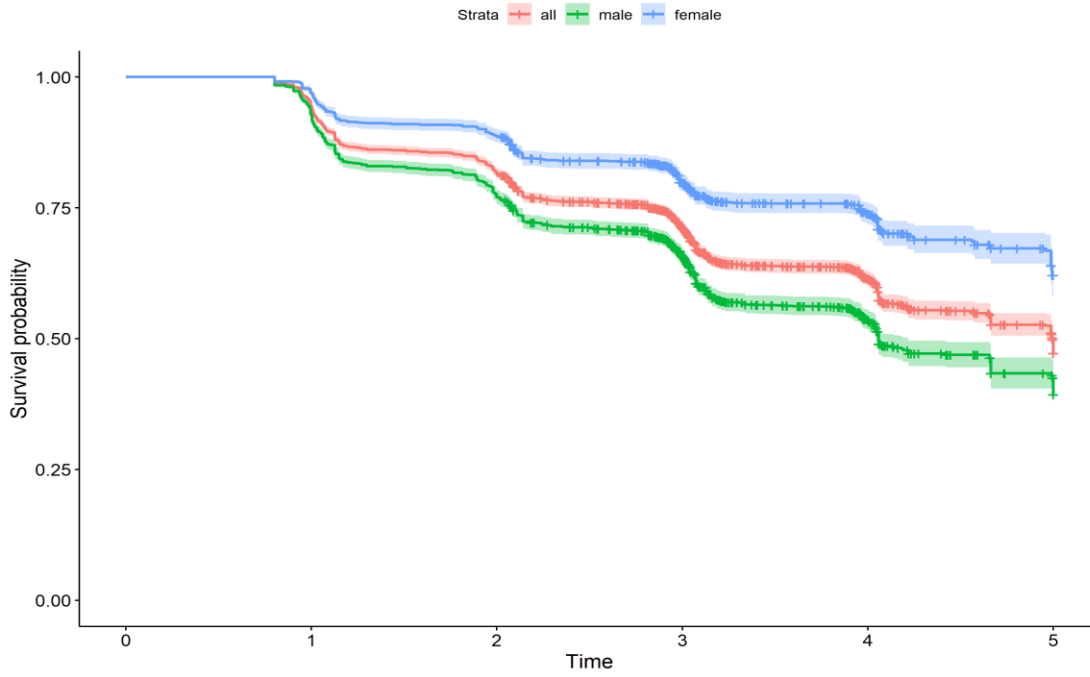
534

535



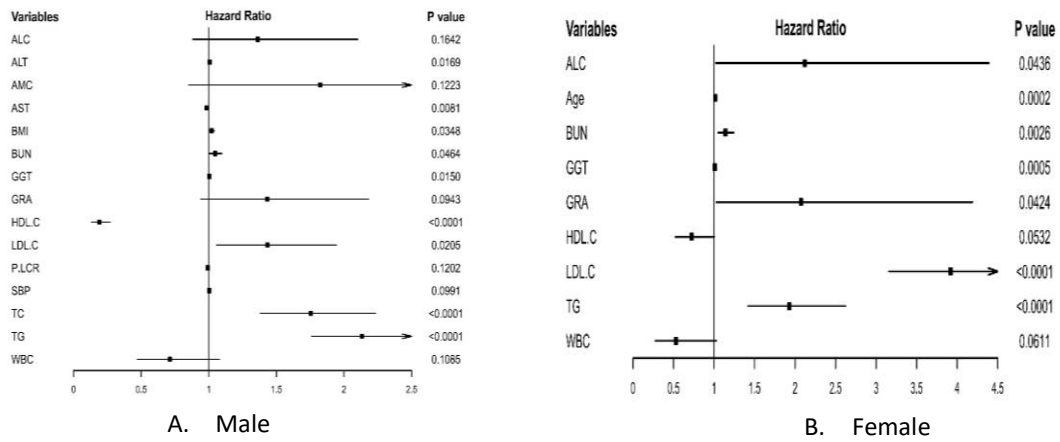
536
537
538

Figure 1 The architecture of the DNN model



539
540

Figure 2 Kaplan-Meier survival estimates comparing male with female participants

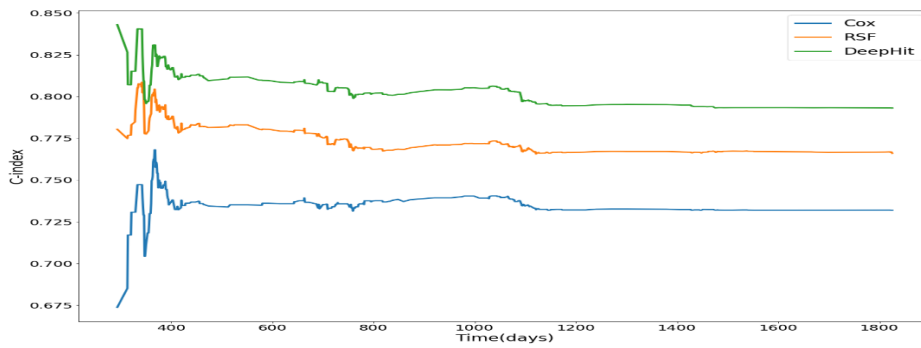


541

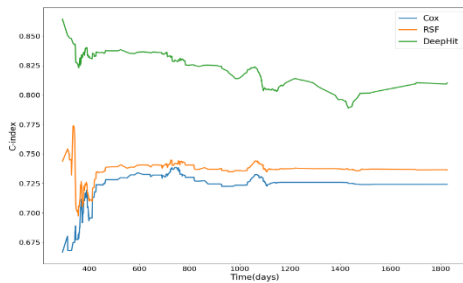
542

Figure 3 Cox Proportional Hazard Model for Predicting Dyslipidemia in Male (A) and Female (B)

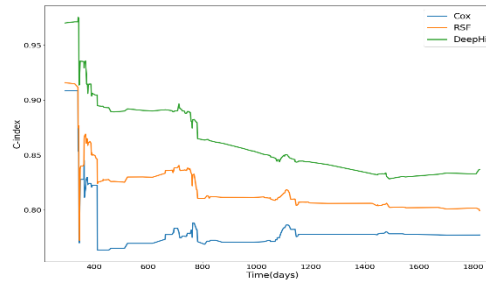
543



A. Full Testing set



B. Testing set of male



C. Testing set of female

544

545

Figure 4 Comparison of C^{td}-index performance in Cox, RSF and DNN Models based on full

546

testing set (A); by the male (B) and female (C)

547