KV-DISTILL: NEARLY LOSSLESS CONTEXT COMPRES SION FOR TRANSFORMERS

Anonymous authors

Paper under double-blind review

ABSTRACT

Sequence-to-sequence natural language tasks often benefit greatly from long contexts, but the quadratic complexity of self-attention in standard Transformers renders usage of long contexts non-trivial. In particular, during generation, temporary representations (stored in the so-called KV cache) account for a large portion of GPU memory usage, and scale linearly with context length. In this work, we introduce KV-DISTILL, a flexible compression framework for large language models (LLMs) that distills long context KV caches into significantly shorter representations. KV-DISTILL can be trained as a parameter-efficient adaptor for pre-trained models, and enables the compression of arbitrary spans of a context while preserving the pre-trained model's capabilities, including instruction-tuning. We do this by treating a compressed-uncompressed cache as a student-teacher pairing and applying a KL-type divergence to match the generated outputs. Our experiments show that KV-DISTILL outperforms other compression techniques in worst-case extractive tasks, and approaches uncompressed performance in long context question answering and summarization. Furthermore, KV-DISTILL can be fine-tuned on domain-specific contexts to reduce context lengths by up 95% while preserving downstream task performance. We demonstrate the generalizability of KV-DISTILL across various model sizes and architectures. Our code and checkpoints will be made available at https://example.com

028 029

031

004

010 011

012

013

014

015

016

017

018

019

021

024

025

026

027

1 INTRODUCTION

Harnessing the full potential of attention-based large language models (LLMs) often requires them to condition on long contexts. However, use of expansive contexts is complicated by the quadratic complexity of self-attention. In particular, during generation, one must maintain a store of all past key and value representations of past tokens (called the KV cache) that grows linearly with sequence length. The memory burden imposed by the KV cache is significant, and often limits the length of the sequences that a model can handle.

Much work has been devoted to architectural improvements to the attention mechanism, with the aim of reducing the aforementioned memory burden during generation. Strategies include augmenting sequences with memory tokens (Rae et al., 2020; Wu et al., 2022), sparsifying attention patterns (Beltagy et al., 2020), and using conditional computation to only process essential tokens (Ainslie et al., 2023). However, such techniques have seen little widespread adoption due to performance drops on downstream tasks, or inefficient training/inference procedures. Furthermore, even when given long contexts, recent work has shown that LLMs fail to fully utilize them (Qin et al., 2022; Liu et al., 2024; Lu et al., 2024). Taken together, this suggests that long contexts may allow for significant compression while yielding large memory savings.

Prior work in parameter-free context compression has primarily focused on how to select representations in the KV cache for eviction, with promising results (Zhang et al., 2023). However this can suffer large performance drops under high compression ratios. Furthermore, we suppose that there is room for further performance improvements in general-purpose context compression when the model is trained to account for compression. Earlier studies in this area have typically utilized a combination of cross-entropy and autoencoding objectives to pre-train general context compressors (Qin et al., 2024; Ge et al., 2024; Rae et al., 2020). These loss functions have led to significant performance loss at high compression rates and fail to maintain the carefully-tuned instruction-following



Figure 1: We subselect tokens from the KV cache and distill into the smaller subset

capabilities of modern language models, or otheriwse require additional instruction-tuning training
to maintain their capabilities. In addition, these works frequently rely on the BLEU metric (Papineni
et al., 2002) to evaluate the compressive capability of trained models, and devote less attention to assessing how models' actually use the compressed cache. Furthermore, they suffer from large drops
in performance under high compression ratios, oddly underperforming parameter-free methods.

In this work, we design a general-purpose trainable context compression method for LLMs that out performs prior methods. Our method, KV-DISTILL, retains pretrained model capabilities, is suitable
 for long contexts, and has minimal performance penalty on downstream tasks, while supporting
 coherent, useful generation at compression ratios as high as 1000x.

To achieve this we train a scorer which retains the most important context tokens, while applying a parameter efficient adapter to conditionally modify important tokens' activations in-place. We further apply a token-level KL-type divergence to match the next-token prediction distributions, treating the compressed cache as a student, and the uncompressed cache as a teacher. KV-DISTILL only need be applied once to a fixed context, has zero overhead during auto-regressive decoding, and can compress arbitrary (sub)spans of a given context. We show improvements on several model families, considering extractive and abstractive tasks, with both short and long contexts, and at multiple model scales. KV-DISTILL is general purpose and has broad applicability to the LLM community.

089 090

091

093

054

055 056

058

059

060

061 062

063

064 065

067 068 069

070 071

2 BACKGROUND

092 2.1 Key-Value Cache

Transformer-based language models (LMs)(Vaswani et al., 2017) use self-attention to aggregate context information and make predictions. A decoder-only transformer LM *autoregressively* predicts new tokens, and each step requires the LM to obtain the key and value states of all past tokens. To avoid re-computing the KV state of past tokens, most LM implementations (e.g. Wolf et al. (2020))
cache the key and values states, in a structure called the KV cache. When making new predictions, self-attention is performed on query states of the new token and the KV-cache, and the new token's key and value representations are appended to the KV cache.

Because the KV cache grows proportional to the number of tokens generated, maintaining the full
KV cache in memory is a primary bottleneck when conditioning on large contexts. The goal of this
work is to alleviate this by *compressing KV cache in the dimension of sequence length*.

104

105 2.2 RELATED WORK

107 Much prior work has tackled the problem of reducing the complexity of the self-attention mechanism itself. Previous work tries to sparsify the attention patterns(Beltagy et al., 2020; Zaheer et al., 2020),

108 use recurrence attention(Yang et al., 2019), or kernelize the attention matrix(Choromanski et al., 109 2021), but they require a considerable amount of further training. Another research direction tries to 110 extrapolate the existing LMs to long context without heavily re-training them. For example, Bertsch 111 et al. (2023); Tworkowski et al. (2023) use techniques akin to kNN to directly extend the attention 112 range of LLMs without any further training.

113 Similar to our work, another line of work involves compressing the hidden states (KV cache) of 114 past tokens into a shorter sequence of representations. For example, some work learns "soft rep-115 resentation" of context(Qin & Eisner, 2021). Mu et al. (2023) compress particular prompts into 116 much shorter "gist tokens", but do not attempt more general context compression. Furthermore, 117 their method demonstrates poor generalizability, as performance does not scale with the number of 118 gist tokens used. Zeng et al. (2023) propose to recognize and prioritize some important tokens (VIP tokens) during inference. More relevant to our experiments, the following three methods employ a 119 similar idea of dynamically compressing the context prior to inference: 120

121 Ge et al. (2024) design In-Context Autoencoder (ICAE) to compress long contexts for use in large 122 language models (LLMs). ICAE consists of two main components: a learnable encoder and a fixed 123 decoder. The encoder compresses the input context into a small number of memory slots. These 124 memory slots are then used by the frozen LLMs (decoder) to reconstruct the context or respond to 125 prompts. ICAE is pretrained using autoencoding and language modeling objectives on a large pretraining corpus and further fine-tuned using instruction data to maintain instruction-tuning. How-126 ever, there is still a gap in downstream task performance when using an ICAE-compressed context, 127 compared to an uncompressed context, and the method falters under high compression ratios. 128

129 Qin et al. (2024) propose DODO to compress sub-select KV activations to a set of "nugget" tokens, 130 which grow proportionally with the length of context sequence. Their method is trained with auto-131 encoding or language modeling objectives. However, DODO models operate at a fixed compression ratio, require training both an encoder and decoder, and still show a large gap in downstream task 132 performance when compared to an uncompressed context. 133

134 Zhang et al. (2023) propose H_2O to reduce memory usage during generation. The technique iden-135 tifies "heavy-hitter" tokens, which significantly influence attention scores during inference. These 136 tokens are retained while less important ones are evicted in a greedy fashion. Although nearing 137 uncompressed performance, the authors note that H₂O performance falls at compression ratios over 20x. More importantly, H_2O offers no way to further improve compressive performance given prior 138 domain knowledge. 139

- 140
- 141
- 142 143

3 **KEY-VALUE DISTILLATION**

- 3.1 OVERALL PROCEDURE 144
- 145

In this paper, we consider a transformer-based language model (Vaswani et al., 2017), denoted by 146 LM, that is defined on the vocabulary \mathcal{V} . The overall procedure of KV-DISTILL is as follows. First, a 147 set of important tokens in the input context is determined. Next, we use an adapted language model 148 LM_{θ} to encode the context into a KV cache, and sub-select the important tokens from the generated KV cache. Finally, the unmodified LM conditions on the compressed KV cache to auto-regressively 150 generate it's output.

151 152 153

154

149

3.2 HIDDEN STATE SUBSELECTION FOR KV CACHE COMPRESSION

Let $\mathbf{c} = \{w_i\}_{i=1}^N$ represent a context consisting of N tokens, where $w_i \in \mathcal{V}$ and $\mathbf{c} \in \mathcal{V}^N$. In a typical 155 scenario, LM predicts a sequence of new tokens, denoted by y, conditioning on c. For example, c 156 may be a prompt and LM generates y as a response. Future token prediction draws on information 157 from past tokens via attention by having LM encode the context tokens into key and value hidden 158 states $\mathbf{X}_{l}^{(K)}, \mathbf{X}_{l}^{(V)} \in \mathbb{R}^{N \times d}$, which taken together form the KV cache (Section 2.1), where d is the 159 dimension of the transformer and l is the layer of LM. In the remainder of this paper, we may drop 160 the subscript l and superscripts $^{(K)}$ and $^{(V)}$ and use X to generally denote the key/value states of 161 transformers at any layer.

162 Transformers assume that X fully describes and represents the context c. However, attending to X 163 can be inefficient when c is long. Therefore, we further assume that retaining a subset of key/value 164 states is sufficient for approximating the next-token distribution conditioned on all key/value states. 165 That is, we could *retain* rows from \mathbf{X} to form $\tilde{\mathbf{X}} \in \mathbb{R}^{k \times d}$, where $k \leq N$ is the number of selected 166 rows. We use a subset of the tokens' hidden states to represent the complete context, which is 167 plausible because representations in X are conditioned on the prior context. Formally, suppose we 168 determine the (i_1, \ldots, i_k) -th tokens are to be retained in layer l. We use a hard selection matrix $\mathbf{S}_l \in \{0,1\}^{k \times N}$ to derive (layer-specific) $\tilde{\mathbf{X}}_l$ from \mathbf{X}_l by 169

170 171

181

$$\tilde{\mathbf{X}}_l = \mathbf{S}_l \mathbf{X}_l, \quad \mathbf{S}_l = [\mathbf{e}_{(i_1)}, \dots, \mathbf{e}_{(i_k)}], \tag{1}$$

where $\mathbf{e}_{(i)} \in \{0, 1\}^N$ is the *i*-th standard basis vector. Note that this formulation does not require that the same tokens be selected across each layer.

175 3.3 SCORING FUNCTION176

The problem of determining which indices (i_1, \ldots, i_k) to retain still remains. We would like the subselection **S** to retain most of the context information given a fixed k. One possibility is to use a feedforward neural network to measure the importance of each token position:

$$\mathbf{s} = FFN_{\theta} \left(\mathbf{X}'_{\eta} \right) \tag{2}$$

where θ is the parameters of the FFN, $\mathbf{s} \in \mathbb{R}^N$ and \mathbf{s}_i indicate the "importance score" of the *i*-th token and \mathbf{X}'_{η} indicates the hidden states at the η -th layer. The indices $i_{1:k}$ can then be derived by taking the tokens with the top-*k* scores. We can control the percent of the KV cache retained by scaling *k* with the length of the context. For the experiments that follow we retain the same $i_{1:k}$ across all layers and take $\eta = 6$.

The above selection procedure is rendered non-differentiable by the top-k operator. We may propagate gradients to the scorer by decaying the attention weights of tokens attending to $\tilde{\mathbf{X}}$ inversely proportionally to their computed importance scores. More precisely, let $\mathbf{z} \in \mathbb{R}^d$ represent the hidden state of a single token attending to $\tilde{\mathbf{X}}$ with unnormalized attention weights α :

$$\alpha = \left(\mathbf{z}\mathbf{W}^{\mathsf{Q}}\right)\left(\tilde{\mathbf{X}}^{(K)}\right)^{\mathsf{T}},\tag{3}$$

we decay α to produce scorer-informed attention weights α' :

$$\alpha' = \sigma(\mathbf{s}) \odot \alpha, \tag{4}$$

where \odot denotes the element-wise (Hadamard) product and σ the sigmoid function. We note that the above formulation is one of many possible scoring functions that can be used with KV-DISTILL, that could be learnable or parameter-free, and could potentially have layer-wise specificity. We leave the problem of exploring different scoring functions to future work.

200 201

202

191

192

195

3.4 TRAINABLE PARAMETERS

After performing sub-selection to de-203 termine important token indices, we 204 pass the context c through a modi-205 fied LM_{θ} that uses *conditional compu*-206 tation to condense the context into X. 207 This allows for the representations 208 of important tokens to be "packed" 209 with information from unselected to-210 kens, and is strictly more expressive 211 than only subselection. We instan-212 tiate LM_{θ} with LoRA adaptors (Hu 213 et al., 2022) to minimize the number of trainable parameters. More impor-214 tantly, within LM_{θ} , the subselected to-215 kens are routed to trainable $\mathbf{W}^{Q}, \mathbf{W}^{O}$



Figure 2: Selected tokens are routed to trainable, LoRAadapted \mathbf{W}^{Q} and \mathbf{W}^{O} matrices (\mathbf{W}^{O} is omitted in this figure), while other tokens are routed to frozen parameters.

216 matrices, where \mathbf{W}^{Q} , \mathbf{W}^{O} are the query and output matrices of transformers, while discarded tokens 217 are routed to the original (frozen) matrices, as shown in Figure 2. This has the effect of informing 218 LM_{θ} as to which tokens are selected, allowing for specialized aggregation of the value representa-219 tions for selected tokens. This method of informing LM_{θ} has minimal overhead (the LoRA matrices 220 account for under 500MB of GPU memory for a 27B parameter model), and only a single set parameters must be maintained in memory. 221

222 We anticipate that other architectural forms could make KV-DISTILL effective. However, we find that 223 applying conditional computation to inform LM_{θ} of selected tokens is important to the performance 224 of KV-DISTILL. We find that some methods of informing the model of selected tokens, such as by 225 adding a trainable embedding to these tokens, do not work well. The particular architecture chosen 226 has the advantage of lower memory usage during training, and provides excellent performance. We leave the task of finding even more efficient architectures to future work. 227

228 229

230

3.5 OBJECTIVE FUNCTION

After generating compressed cache $\hat{\mathbf{X}}$, we aim to match the output of LM when conditioned on $\hat{\mathbf{X}}$ to 231 the output of LM when conditioned on X. 232

233 Previous compression methods (Ge et al., 2024; Qin & Van Durme, 2023) rely on the autoencoding 234 objective to pretrain LM_{θ} . However, given that LM predicts future tokens during inference, there is a discrepancy in pretraining and downstream usage, which could result in performance loss. Instead 235 we propose matching the next-token probability distribution of tokens conditioned on X and X. 236

237 Formally, consider a generative language model that predicts the next token y_t conditioned on the 238 past tokens $\mathbf{y}_{< t}$ and a fixed context c that is represented by either X or $\hat{\mathbf{X}}$. We would like to minimize 239 the difference between their next-token distributions, i.e. $p(\mathbf{y}_t | \mathbf{y}_{< t}, \mathbf{X})$ and $q_{\theta}(\mathbf{y}_t | \mathbf{y}_{< t}, \tilde{\mathbf{X}})$. 240 Note that q_{θ} to indicate the distribution that conditions on the distilled KV cache X. Also note 241 242 that the only learnable parameters in this formulation arise from *encoding* \mathbf{X} ; during auto-regressive generation we use the original frozen parameters of LM.

244 Given probability distributions p, q_{θ} , we use the forward and reverse KL divergences to measure 245 their similarity. With some simplified notations, we have

246 247 248

249

252

243

$$\mathcal{D}_{\mathrm{KL}}(p\|q_{\theta}) = \mathbb{E}_{y \sim p(\cdot)} \left[\log \left(\frac{p(y)}{q_{\theta}(y)} \right) \right], \qquad \mathcal{D}_{\mathrm{KL}}\left(q_{\theta}\|p\right) = \mathbb{E}_{y \sim q_{\theta}(\cdot)} \left[\log \left(\frac{q_{\theta}(y)}{p(y)} \right) \right]$$
(5)

Clearly the divergences are not symmetric, but can be made symmetric by summing the forward and 250 reverse divergences: 251

$$\mathcal{L}(\theta) = \lambda \cdot \mathcal{D}_{\mathrm{KL}}(p \| q_{\theta}) + (1 - \lambda) \cdot \mathcal{D}_{\mathrm{KL}}(q_{\theta} \| p), \tag{6}$$

where a hyperparameter λ controls the balance between forward and reverse KL divergence. We 253 include both objectives in eq. (6) because of their strengths in mean- and mode- seeking behaviors. 254

255 Given both p and q_{θ} are categorical distribution, both KL divergences in eq. (5) can be analytically 256 solved. However, the L1-norm of the gradient of the reverse divergence dominates nearly everywhere. As such we propose scaling the forward and reverse terms by having $\lambda > 0.5$ in eq. (6). 257

258 Although the objective is no longer symmetric, the benefit of decaying reverse KL gradient norms 259 by adding λ is confirmed with the ablations in Section 5.6

- 260 261 262
- 4 **EXPERIMENTS**

263 To assess the efficacy of KV-DISTILL, we conduct experiments on LLAMA-2 7B, LLAMA-3 8B, 264 MISTRAL 7B,GEMMA-2 9B and GEMMA-2 27B. In all cases we use the instruction-tuned model. 265 We first pretrain all models on a large corpus to obtain general-purpose context compressors. 266

Data We curate a large instruction dataset from Self-Instruct, P3, LongAlpaca, and Super-Natural 267 Instructions (Soboleva et al., 2023; Wang et al., 2022a; Sanh et al., 2021; Chen et al., 2023; Wang 268 et al., 2022b). Training instances are split into (Context, Instruction, Answer) triples. We compress 269 the context, leave the instruction uncompressed, and apply Equation 6 to tokens in the answer.

In cases where the context is sufficiently long (more than 1536 tokens), we pad to a multiple of 1536 and fold the context to a batch of $N \times 1536$ instances, compress the resulting KV cache, and then unfold the cache. Empirically, we observe little performance degradation when applying this technique during pretraining, while allowing the model to see longer examples during training. We also always leave the first few (< 10) tokens of the context uncompressed, as we find that retaining them improves performance; this is not a new observation, see Han et al. (2024).

276 Training We use rank-stabilized LoRA on the 277 Q, K, V, O matrices with r = 128 to train LM_{θ} (Hu 278 et al., 2022; Kalajdzievski, 2023). Note that the K, V279 are trainable for all tokens, not just selected tokens. 280 The behavior of the Q, O adapters is discussed in Section 3.4. Optimization is done using Deepspeed Stage 281 2, and the AdamW optimizer (Rasley et al., 2020). 282 During pretraining, we sample KV retention fractions 283 between 0.1 - 80%. As such, all KV-DISTILL models 284

Table 1: Dataset Statistics

Dataset	Average	Max	
SQuAD	225	1k	
QuALITY	6k	9k	
SQuALITY	7k	11k	
GovReport	10k	71k	

support arbitrary retention rates. See Appendix A for further training details.

Evaluation In all the evaluations that follow, we have a natural (Context, Question) pairing. In
 all cases we compress the context, while leaving the question uncompressed. All evaluations are
 performed with greedy decoding. Summary statistics regarding the context length of each dataset
 are provided in Table 1.

(a) 100% KV retention

The story follows Willard, a space explorer who is left alone after his friend and space mate, Dobbin, dies. Willard is haunted by the memory of Dobbin's final words, "I saw the Ghost Ship," and becomes obsessed with finding the ship. He spends years searching for it, and eventually, he sees a strange, ghostly ship that seems to be heading towards him. As he gets closer, he realizes that it's not just a hallucination, but a real ship from Earth that's been traveling through space for centuries. In the end, Willard is taken aboard the ship, but learns that the ship and its crew are mere shells of their former selves, and that they are doomed to remain in space forever. Ultimately, Willard is forced to confront the reality that he'll never return to Earth.

(b) 2.5% KV retention

The story follows the journey of Willard, a space traveler who has been alone in space for years after the death of his friend and partner, Dobbin. Willard is struggling to come to terms with his isolation and the loss of his friend, and is haunted by the legend of the Ghost Ship, a mythical vessel that is said to roam the solar system, never to be seen again. One day, Willard spots a strange, rocket-shaped object approaching him, and as it draws closer, he realizes it is the Mary Lou. The ship is now a mere shadow of its former self, and Willard is invited to board it, where he is greeted by a rescue team who have been sent to retrieve him. As he adjusts to life on the rescue ship, Willard comes to terms with his past and the reality of his situation, and ultimately accepts that he will never return to Earth. The story concludes with Willard's poignant realization that he and the crew of the rescue ship are the Ghosts, doomed to roam the solar system forever.

(c) .25% KV retention

The story follows the journey of Willard, a space explorer who has been traveling through the galaxy for years, searching for a new home for humanity. He has lost his friend and companion, Dobbin, and is struggling to come to terms with his own mortality. One day, he discovers a faint light in the distance, which he believes to be a sign of life. As he approaches the light, he realizes it is a ghost ship, a ship that has been drifting through space for millions of years, its crew and passengers long dead. The ship is a relic of a bygone era, and Willard is forced to confront the reality that he will never be able to return to Earth, as the ship would pass through it, making it impossible to survive. In the end, Willard comes to accept his fate, realizing that he and the crew of the ghost ship are doomed to drift through space forever, a reminder of the transience of human existence.

319 320

290 291 292

293

294

295

296

297

298

299

300

301

302

303

305

306

307

308

310 311

312

313

314

315

316

317

318

Figure 3: LLAMA-3 was tasked with summarizing a 6k token short story at low KV retention rates. Inaccuracies in the summary are highlighted yellow, and were determined by hand.

323

RESULTS

QUALITATIVE ANALYSIS 5.1

In Figure 3 we present representative summaries of the short story "Galactic Ghost". LLAMA-3 was prompted to summarize the short story in a few sentences. As the KV retention ratio decreases, we observe an increase in statements that are unfaithful to the original context. Particularly, at extremely high compression ratios, the model begins to hallucinate details, but impressively still maintains understanding of the general plot of the story. Note the varying degrees of semantic compression in summaries generated under high compression ratios; qualitatively, we observe that models with severely compressed contexts generally understand the text, but resort to making vague statements.

5.2 EXTRACTIVE QUESTION ANSWERING

Motivation SQuAD is an extractive question-answering task. We hypothesize that tasks that are extractive in nature would suffer the largest performance loss under context compression. As such, we choose to use performance on SQuAD as a proxy for general-purpose compressive ability of a model. In all the following experiments, we choose the pretraining checkpoint with the best SQuAD performance for further experimentation. To assess accuracy we generate an answer conditioned on the compressed context, checking whether the generated response is contained in the ground-truth answer.

Table 2: Accuracy on SQuAD at selected KV retention ratios. * indicates the model was not trained to convergence due to computational limitations

7 8	Model	Method	KV retention	Accuracy
)	LLAMA-3	BASE	100%	$87.6 \pm .6\%$
)		KV-DISTILL	25%	$86.6 \pm .7\%$
		KV-DISTILL	20%	$86.0\pm.7\%$
2		H ₂ O	25%	$84.0 \pm .7\%$
3		H_2O	20%	$83.0 \pm .7\%$
4 5		DODO	20%	$73.3 \pm .8\%$
ô	LLAMA-2 7B	BASE	100%	$82.5 \pm .7\%$
7		KV-DISTILL	25%	$79.1 \pm .8\%$
3		KV-DISTILL	20%	$77.6 \pm .8\%$
9		H ₂ O	25%	$77.9 \pm .7\%$
0		H_2O	20%	$76.7 \pm .7\%$
1 2		ICAE	57%	$75.0 \pm .8\%$
3	GEMMA 9B	BASE	100%	$85.15 \pm .79$
4	02111111792		25%	$84.55 \pm .79$
5		KV-DISTILL KV-DISTILL	23% 20%	$84.55 \pm .7\%$ $83.1 \pm .7\%$
6				
7	GEMMA 27B	BASE	100%	$85.3 \pm .8\%$
8		KV-DISTILL *	25%	$83.1 \pm 1\%$
9		KV-DISTILL *	20%	$82.2 \pm 1\%$
0	MISTRAL 7B	BASE	100%	$87.1 \pm .6\%$
1		KV-DISTILL	25%	$84.1 \pm .7\%$
2		KV-DISTILL	20%	$82.5 \pm .7\%$
3 4				
4				

Results Table 2 contains SQuAD accuracy results. We see that in all cases, KV-DISTILL models perform within a few percentage points of base models, even under a "worst-case" task. Furthermore, KV-DISTILL models significantly outperform prior trainable methods, even when retaining less of the KV cache. KV-DISTILL models also enjoy an improvement over H_2O models at similar compression ratios, demonstrating the ability of the pretraining objective to "pack" the KV representations
 of important tokens. In practice, we find that performance on SQuAD increases monotonically with
 KV retention fraction.

When retaining under 20% of KV, we (informally) observe rapid declines in performance across all methods, indicating the difficulty of the task under high context compression. Lastly, we note that initial pretraining hyperparameters for all models were set based on initial experimentation with LLAMA-3 and SQuAD; as such, we anticipate that performance of most models can be improved with focused hyperparameter tuning during the pre-training process.

386 387 388

5.3 LONG CONTEXT QUESTION ANSWERING

Motivation QuALITY is a long document multiple-choice question answering dataset that assesses reading comprehension. We use QuALITY to assess the decision making capabilities of models equipped with distilled contexts. To assess QuALITY accuracy, we use the same evaluation procedure used by LLAMA-3 (AI@Meta, 2024).

Results Figure 4 shows the experiment results 394 on QuALITY, with data points at the follow-395 ing retention rates highlighted: $\{100\%, 25\%,$ 396 20%, 10%, 5%, 1%, 0.1%. We observe that KV-397 DISTILL performs similarly to the uncompressed 398 cache, with only minor losses in performance 399 at 10x compression. Although not included in 400 Figure 4, 0% cache retention results in accuracy 401 of 32.4%, 25.8%, and 24.4% for the LLAMA-402 3, MISTRAL, and GEMMA-2 models respectively, 403 demonstrating the neccessity of the context for the task. Impressively, we see significant im-404 provements over the random accuracy even when 405 distilling to as few as 7 tokens from a 7k input 406 passage; for example, on LLAMA-3 we observe 407 only a 20% drop in accuracy despite eliminating 408 99.9% of the context. 409

410 411

412

5.4 LONG CONTEXT ABSTRACTIVE SUMMARIZATION

Motivation SQuALITY is a question-focused 413 summarization dataset based on the same collec-414 tion of long documents as the QuALITY bench-415 mark. We use it to evaluate the abstractive sum-416 marization capabilities of models trained with dis-417 tilled contexts. We compute the Rouge-L scores 418 (Lin, 2004) between the generated summaries and 419 ground-truth answers, following the same eval-420 uation protocol used by LLAMA-3 (AI@Meta, 421 2024).

Result Figure 5 show Rouge-L performance on SQuALITY (see Appendix B for results on Rouge-1 and Rouge-2). We observe that KV-DISTILL models perform as well or better than uncompressed models when retaining more that 20% of the KV cache. When retaining under 20%, we



Figure 4: Accuracy on QuALITY



Figure 5: Rouge-L on SQuALITY

observe different performance falloff behaviors for different models; in particular, we observe that
 textscLlama-3 and GEMMA-2 have stable performance until 100x compression, at which point per formance dips drastically. This difference in the behavior of the compression-performance trade-off
 could be attributed to the larger vocabulary sizes of LLAMA-3 and GEMMA-2, which allows the
 KL-loss to capture more fine-grained features of the output distribution during pretraining. These

results, and demonstrate that KV-DISTILL can support very high compression ratios with minimal
 performance penalty on abstractive tasks.

5.5 FINETUNED LONG CONTEXT SUMMARIZATION

437 Motivation GovReport is a long document 438 summarization dataset that consists (Report, 439 Summary) pairs written by government re-440 search agencies. In contrast to the evaluations on QuALITY and SQuALITY (which 441 are performed in a zero-shot fashion using the 442 best pretraining checkpoint), we perform ad-443 ditional training with Equation 6 on the Gov-444 Report training set before evaluation. As with 445 SQuALITY, we use GovReport to assess the 446

Table 3: Experiment results on GovReport

KV retention	H_2O	Zero Shot	Finetune
100%	23.7	23.7	23.7
20%	22.8	22.3	23.5
10%	22.4	21.8	23.3
5%	21.9	21.1	23.2

abstractive summarization ability of models equipped with KV-DISTILL.

Results Table 3 shows results for GovReport for H₂O , KV-DISTILL prior to finetuning (zero-shot) and KV-DISTILL after finetuning. We observe that KV-DISTILL and H₂O perform close to each other on this evaluation in the zero-shot setting. However, upon finetuning, we observe a practical improvement in performance with KV-DISTILL, with little degradation from uncompressed performance across all compression rates. In particular, we note the improvement in performance is greater at more severe compression ratios, confirming the utility of KV-DISTILL in supporting ultra-high compression ratios.

454 455 456

457

458

459

460

461

462

463

435

436

5.6 PRETRAINING OBJECTIVE ABLATIONS

Lastly, we assess the necessity of both the forward and reverse terms in the loss by evaluating SQuAD performance on multiple different pre-training losses with varying λ values in Equation 6. The results are given in Table 4. We observe that using either the pure forward or reverse divergences performs markedly worse than using a mixture of both. Furthermore, using solely the auto-encode + cross-entropy (used in ICAE and DODO), performs significantly worse than Equation 6, demonstrating the significant benefits that the weighted distillation objective provide.

Table 4: Effect of Pretraining Loss on LLAMA-3 SQuAD performance

Loss	SQuAD Accuracy (%)
$\lambda = 1$	83.4%
$\lambda = 0.6$	86.0%
$\lambda = 0.4$	85.3%
$\lambda = 0$	82.7%
AE + LM	79.1%

472 473 474

475 476

6 DISCUSSION AND CONCLUSION

In this paper, we develop a method to reduce the memory requirements of long-context conditioned LM generation. Our method sub-selects tokens from the KV cache, and applies a token-level KLtype loss between the output of the LM when conditioned on sub-selected tokens and when conditioned on the uncompressed cache. We evaluate our method on long-context extractive and abstractive tasks, and demonstrate improved performance over competing compression methods. We further demonstrate that continued training on domain-specific data can allow for use of compression ratios as high as 20x with negligible losses in performance.

484 We plan to further explore the effect that different token sub-selection mechanisms may have on our 485 method, including applying the non-trainable layer-wise selection mechanism described in H_2O . We anticipate such a selection mechanism could yield a non-negligible improvement to the performance of KV-DISTILL. Another interesting avenue is applying KV-DISTILL to multi-modal models,
 where some modalities such as vision may allow for very high compression ratios.

As part of this work we release distilled checkpoints across various model language families. These artifacts allow efficient text generation conditioned on significantly larger inputs than before, with much lower memory burden, and support compression ratios as high as 1000x. We anticipate these artifacts will be of great practical benefit to practitioners, enabling exciting new applications and research directions in language processing.

495 REFERENCES

494

504

505

506

510

519

- 496 497 498 41@Meta. Llama 3 model card, 2024. URL https://github.com/meta-llama/llama3/ blob/main/MODEL_CARD.md.
- Joshua Ainslie, Tao Lei, Michiel de Jong, Santiago Ontañón, Siddhartha Brahma, Yury Zemlyanskiy, David Uthus, Mandy Guo, James Lee-Thorp, Yi Tay, Yun-Hsuan Sung, and Sumit Sanghai. CoLT5: Faster Long-Range Transformers with Conditional Computation. In Proceedings
 of Conference on Empirical Methods in Natural Language Processing (EMNLP), 2023. URL
 http://arxiv.org/abs/2303.09752.
 - Iz Beltagy, Matthew E. Peters, and Arman Cohan. Longformer: The Long-Document Transformer, 2020. URL http://arxiv.org/abs/2004.05150.
- Amanda Bertsch, Uri Alon, Graham Neubig, and Matthew R. Gormley. Unlimiformer: Long-Range
 Transformers with Unlimited Length Input. In *Proceedings of Conference on Neural Information Processing Systems (NeurIPS)*, 2023. URL http://arxiv.org/abs/2305.01625.
- Yukang Chen, Shaozuo Yu, Shengju Qian, Haotian Tang, Xin Lai, Zhijian Liu, Song Han, and
 Jiaya Jia. Long alpaca: Long-context instruction-following models. https://github.com/
 dvlab-research/LongLoRA, 2023.
- Krzysztof Choromanski, Valerii Likhosherstov, David Dohan, Xingyou Song, Andreea Gane, Tamas Sarlos, Peter Hawkins, Jared Davis, Afroz Mohiuddin, Lukasz Kaiser, David Belanger, Lucy Colwell, and Adrian Weller. Rethinking Attention with Performers. In *Proceedings of International Conference on Learning Representations (ICLR)*, 2021. URL http://arxiv.org/abs/ 2009.14794.
- Tao Ge, Jing Hu, Xun Wang, Si-Qing Chen, and Furu Wei. In-context Autoencoder for Context
 Compression in a Large Language Model. In *Proceedings of International Conference on Learning Representations (ICLR)*, 2024. URL http://arxiv.org/abs/2307.06945.
- Chi Han, Qifan Wang, Hao Peng, Wenhan Xiong, Yu Chen, Heng Ji, and Sinong Wang. LM-infinite: Zero-shot extreme length generalization for large language models. In Kevin Duh, Helena Gomez, and Steven Bethard (eds.), Proceedings of the 2024 Conference of the North American Chapter of the Association for Computational Linguistics: Human Language Technologies (Volume 1: Long Papers), pp. 3991–4008, Mexico City, Mexico, June 2024. Association for Computational Linguistics. doi: 10.18653/v1/2024.naacl-long.222. URL https://aclanthology.org/ 2024.naacl-long.222.
- Edward Hu, Yelong Shen, Phillip Wallis, Zeyuan Allen-Zhu, Yuanzhi Li, Shean Wang, Lu Wang, and Weizhu Chen. LoRA: Low-Rank Adaptation of Large Language Models. In Proceedings of International Conference on Learning Representations (ICLR), 2022. URL http://arxiv. org/abs/2106.09685.
- Damjan Kalajdzievski. A rank stabilization scaling factor for fine-tuning with LoRA, November 2023.
- Chin-Yew Lin. ROUGE: A package for automatic evaluation of summaries. In *Text Summarization* Branches Out, pp. 74–81, Barcelona, Spain, July 2004. Association for Computational Linguistics. URL https://aclanthology.org/W04–1013.

540 Nelson F. Liu, Kevin Lin, John Hewitt, Ashwin Paranjape, Michele Bevilacqua, Fabio Petroni, and 541 Percy Liang. Lost in the Middle: How Language Models Use Long Contexts. Transactions 542 of the Association for Computational Linguistics (TACL), 12:157–173, 2024. URL https: 543 //doi.org/10.1162/tacl_a_00638. 544 Taiming Lu, Muhan Gao, Kuai Yu, Adam Byerly, and Daniel Khashabi. Insights into LLM long-545 context failures: When transformers know but don't tell, June 2024. 546 547 Jesse Mu, Xiang Lisa Li, and Noah Goodman. Learning to Compress Prompts with Gist Tokens. In Proceedings of Conference on Neural Information Processing Systems (NeurIPS), 2023. URL 548 http://arxiv.org/abs/2304.08467. 549 550 Kishore Papineni, Salim Roukos, Todd Ward, and Wei-Jing Zhu. Bleu: a method for automatic 551 evaluation of machine translation. In Proceedings of the 40th Annual Meeting on Association 552 for Computational Linguistics, ACL '02, pp. 311-318, USA, 2002. Association for Computa-553 tional Linguistics. doi: 10.3115/1073083.1073135. URL https://doi.org/10.3115/ 554 1073083.1073135. 555 Guanghui Qin and Jason Eisner. Learning How to Ask: Querying LMs with Mixtures of Soft 556 Prompts. In Proceedings of Conference of the North American Chapter of the Association for Computational Linguistics (NAACL), 2021. URL https://doi.org/10.18653/v1/ 558 2021.naacl-main.410. 559 Guanghui Qin and Benjamin Van Durme. Nugget: Neural Agglomerative Embeddings of Text. In Proceedings of International Conference on Machine Learning (ICML), 2023. URL https: 561 //proceedings.mlr.press/v202/qin23a.html. 562 563 Guanghui Qin, Yukun Feng, and Benjamin Van Durme. The nlp task effectiveness of long-564 range transformers. In Conference of the European Chapter of the Association for Computa-565 tional Linguistics, February 2022. URL https://www.semanticscholar.org/paper/ 566 8db711adf1beb3e0c2ec492f3936841d827404e9. 567 Guanghui Qin, Corby Rosset, Ethan C. Chau, Nikhil Rao, and Benjamin Van Durme. Dodo: Dy-568 namic Contextual Compression for Decoder-only LMs. In Proceedings of Annual Meeting of 569 the Association for Computational Linguistics (ACL), 2024. URL https://doi.org/10. 570 48550/arXiv.2310.02409. 571 Jack W. Rae, Anna Potapenko, Siddhant M. Jayakumar, and Timothy P. Lillicrap. Compressive 572 Transformers for Long-Range Sequence Modelling. In Proceedings of International Conference 573 on Learning Representations (ICLR), 2020. URL http://arxiv.org/abs/1911.05507. 574 575 Jeff Rasley, Samyam Rajbhandari, Olatunji Ruwase, and Yuxiong He. DeepSpeed: System Opti-576 mizations Enable Training Deep Learning Models with Over 100 Billion Parameters. In Proceed-577 ings of International Conference on Knowledge Discovery and Data Mining (KDD), 2020. URL 578 https://doi.org/10.1145/3394486.3406703. 579 Victor Sanh, Albert Webson, Colin Raffel, Stephen H. Bach, Lintang Sutawika, Zaid Alyafeai, 580 Antoine Chaffin, Arnaud Stiegler, Teven Le Scao, Arun Raja, Manan Dey, M Saiful Bari, Canwen 581 Xu, Urmish Thakker, Shanya Sharma Sharma, Eliza Szczechla, Taewoon Kim, Gunjan Chhablani, 582 Nihal Nayak, Debajyoti Datta, Jonathan Chang, Mike Tian-Jian Jiang, Han Wang, Matteo Manica, 583 Sheng Shen, Zheng Xin Yong, Harshit Pandey, Rachel Bawden, Thomas Wang, Trishala Neeraj, 584 Jos Rozen, Abheesht Sharma, Andrea Santilli, Thibault Fevry, Jason Alan Fries, Ryan Teehan, 585 Stella Biderman, Leo Gao, Tali Bers, Thomas Wolf, and Alexander M. Rush. Multitask prompted 586 training enables zero-shot task generalization, 2021. Daria Soboleva, Faisal Al-Khateeb, Robert Myers, Jacob R Steeves, Joel Hestness, and Nolan Dey. 588 SlimPajama: A 627B token cleaned and deduplicated version of RedPajama, 2023. URL https: 589 //huggingface.co/datasets/cerebras/SlimPajama-627B. 590 Szymon Tworkowski, Konrad Staniszewski, Mikoł aj Pacek, Yuhuai Wu, Henryk Michalewski, and Piotr Mił oś. Focused Transformer: Contrastive Training for Context Scaling. In Pro-592 ceedings of Conference on Neural Information Processing Systems (NeurIPS), 2023. URL http://arxiv.org/abs/2307.03170.

- Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N. Gomez, Lukasz Kaiser, and Illia Polosukhin. Attention Is All You Need. In *Proceedings of Conference on Neural Information Processing Systems (NeurIPS)*, 2017. URL https://arxiv.org/pdf/ 1706.03762.pdf.
- Yizhong Wang, Yeganeh Kordi, Swaroop Mishra, Alisa Liu, Noah A. Smith, Daniel Khashabi, and
 Hannaneh Hajishirzi. Self-instruct: Aligning language model with self generated instructions,
 2022a.
- Yizhong Wang, Swaroop Mishra, Pegah Alipoormolabashi, Yeganeh Kordi, Amirreza Mirzaei, 603 Atharva Naik, Arjun Ashok, Arut Selvan Dhanasekaran, Anjana Arunkumar, David Stap, Eshaan 604 Pathak, Giannis Karamanolakis, Haizhi Lai, Ishan Purohit, Ishani Mondal, Jacob Anderson, Kirby 605 Kuznia, Krima Doshi, Kuntal Kumar Pal, Maitreya Patel, Mehrad Moradshahi, Mihir Parmar, Mi-606 rali Purohit, Neeraj Varshney, Phani Rohitha Kaza, Pulkit Verma, Ravsehaj Singh Puri, Rushang 607 Karia, Savan Doshi, Shailaja Keyur Sampat, Siddhartha Mishra, Sujan Reddy A, Sumanta Pa-608 tro, Tanay Dixit, and Xudong Shen. Super-NaturalInstructions: Generalization via declarative 609 instructions on 1600+ NLP tasks. In Proceedings of the 2022 Conference on Empirical Meth-610 ods in Natural Language Processing, 2022b. URL https://aclanthology.org/2022. 611 emnlp-main.340. 612
- Thomas Wolf, Lysandre Debut, Victor Sanh, Julien Chaumond, Clement Delangue, Anthony Moi, Pierric Cistac, Tim Rault, Remi Louf, Morgan Funtowicz, Joe Davison, Sam Shleifer, Patrick Von Platen, Clara Ma, Yacine Jernite, Julien Plu, Canwen Xu, Teven Le Scao, Sylvain Gugger, Mariama Drame, Quentin Lhoest, and Alexander Rush. Transformers: State-of-the-Art Natural Language Processing. In *Proceedings of Conference on Empirical Methods in Natural Language Processing (EMNLP)*, 2020. URL https://doi.org/10.18653/v1/2020. emnlp-demos.6.
 - Y. Wu, M. N. Rabe, D. Hutchins, and C. Szegedy. Memorizing Transformers. In *Proceedings of International Conference on Learning Representations (ICLR)*, 2022.
 - Zhilin Yang, Zihang Dai, Yiming Yang, Jaime Carbonell, Ruslan Salakhutdinov, and Quoc V. Le. XLNet: Generalized Autoregressive Pretraining for Language Understanding. In *Proceedings* of Conference on Neural Information Processing Systems (NeurIPS), 2019. URL https://arxiv.org/abs/1906.08237.
- Manzil Zaheer, Guru Guruganesh, Avinava Dubey, Joshua Ainslie, Chris Alberti, Santiago Ontanon, Philip Pham, Anirudh Ravula, Qifan Wang, Li Yang, and Amr Ahmed. Big Bird: Transformers for Longer Sequences. In *Proceedings of Conference on Neural Information Processing Systems* (*NeurIPS*), 2020. URL http://arxiv.org/abs/2007.14062.
- Zhanpeng Zeng, Cole Hawkins, Mingyi Hong, Aston Zhang, Nikolaos Pappas, Vikas Singh, and
 Shuai Zheng. VCC: Scaling Transformers to 128K Tokens or More by Prioritizing Important
 Tokens. In Proceedings of Conference on Neural Information Processing Systems (NeurIPS),
 2023. URL http://arxiv.org/abs/2305.04241.
 - Zhenyu Zhang, Ying Sheng, Tianyi Zhou, Tianlong Chen, Lianmin Zheng, Ruisi Cai, Zhao Song, Yuandong Tian, Christopher Ré, Clark Barrett, Zhangyang Wang, and Beidi Chen. H2o: Heavy-Hitter Oracle for Efficient Generative Inference of Large Language Models. In Proceedings of Conference on Neural Information Processing Systems (NeurIPS), 2023. URL http://arxiv.org/abs/2306.14048.
- 643 644

598

602

620

621

622 623

624

625

626

627

632

637

638

639

640

641

642

A TRAINING & EVALUATION DETAILS

645 646

647 We train all KV-DISTILL models with the following parameters at bf16 precision on 8 NVIDIA A100s. Please see Table 5 for further details.

12

Hyperparameter	Value	
Optimizer	AdamW	
Learning Rate	5e-5	
Batch Size	32	
LoRA Rank	128	
λ	0.6	
η	6	

B ADDITIONAL TABLES AND FIGURES

661 The QuALITY, SQuALITY, GovReport, and SQuAD evaluations are performed on the test set, if 662 public, else results are reported on the development set. To measure SQuAD accuracy, we generate 663 up to 128 tokens, normalize the output by stripping punctuation, and check if the correct answer 664 is contained in the generated answer. For SQuALITY and QuALITY, we follow the evaluation 665 procedure of AI@Meta (2024). For GovReport, we prompt the model to summarize the report, and 666 then greedily generate 630 tokens.

Table 5: Hyperparameters for training

Table 6: Tabulated SQuALITY Results

Model	KV retention	Rouge 1	Rouge 2	Rouge-L
llama-3	100%	24.80	6.96	15.47
	25%	24.95	6.75	15.48
	20%	24.92	6.81	15.49
	10%	24.79	6.61	15.50
	5%	24.67	6.59	15.39
	1%	23.89	6.04	14.87
	.1%	22.80	5.31	14.36
GEMMA-29B	100%	23.02	5.91	14.84
	25%	23.29	5.70	14.85
	20%	23.05	5.76	14.82
	10%	22.79	5.65	14.65
	5%	22.94	5.51	14.41
	1%	22.44	5.18	14.34
	.1%	21.67	4.70	13.89
mistral 7b	100%	22.43	5.86	14.57
	25%	22.94	5.70	14.65
	20%	22.50	5.69	14.31
	10%	22.48	5.40	13.99
	5%	21.81	5.41	13.26
	1%	21.51	4.99	13.01
	1,0			10101

