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011 ABSTRACT

013 Reinforcement fine-tuning (RFT) often suffers from *reward over-optimization*,
 014 where a policy model hacks the reward signals to achieve high scores while pro-
 015 ducing low-quality outputs. Our theoretical analysis shows that the key lies in re-
 016 ward misspecification at the high-reward tail: the inability to reliably distinguish
 017 *excellent* responses from merely *great* ones. This motivates us to focus on the
 018 high-reward region. However, such tail examples are scarce under the base LLM.
 019 While off-policy exemplars (e.g. from stronger models or rewrites) are easier to
 020 obtain, naively training on them yields a misspecified reward for the policy we
 021 aim to align. To address this, we study *rubric-based rewards*. By design, rubrics
 022 can leverage off-policy examples while remaining insensitive to their artifacts.
 023 To elicit rubrics that capture the high-reward tail, we highlight the importance
 024 of distinguishing among **great** and **diverse** responses, and introduce a workflow
 025 to implement this idea. We empirically demonstrate that rubric-based rewards
 026 substantially mitigate reward over-optimization and deliver effective LLM post-
 027 training improvements.¹

031 1 INTRODUCTION

032 In this paper, we are interested in how to produce reward models that are effective when used for
 033 LLM post-training. A reward model is a function that takes a prompt and a response and produces
 034 a score quantifying how good that response is for the prompt. In post-training, we then align a lan-
 035 guage model to the reward by a reinforcement-learning type procedure. The fundamental challenge
 036 here is that, in many settings, it is nearly inevitable that the reward model will be an imperfect proxy
 037 for the behavior that we are actually trying to induce. In particular, this means that as we run post-
 038 training, it will increasingly be the case that the LLM is aligned to the idiosyncratic misspecification
 039 of the reward rather than the true signal that we are trying to extract. In this paper, we are interested
 040 in mitigating this effect.

041 Given that some misspecification is inevitable, what should we focus on when defining a reward
 042 model? The basic setup of post-training aims to induce the good behavior encoded by the reward
 043 while minimally shifting other aspects of the base LLM. Mathematically, this can be formalized
 044 as looking for post-training procedures that move along the Pareto frontier of KL divergence from
 045 the base model vs win-rate (as judged by the reward) against the base model. We begin by theoreti-
 046 cally demonstrating that, for such Pareto-optimal procedures, the effect of reward misspecification is
 047 dominated by errors in the high-reward region. In other words, what really matters for post-training
 048 is the ability to accurately distinguish between the very good responses.

049 Then, we know that we want to focus our reward modeling on the high-reward region of examples.
 050 The basic challenge here is that actually producing high-reward examples to train a reward model
 051 on is hard. If we simply sample responses from the base LLM itself, then it is extremely sample
 052 inefficient to get the necessary examples (because we are trying to get elements in a low-probability
 053 tail). On the other hand, if we use an off-policy procedure—e.g., drawing samples from a stronger
 LLM, or producing good examples with extensive thinking or rewrites—we can get high-reward

¹Our code can be accessed at <https://anonymous.4open.science/r/rubrics>.

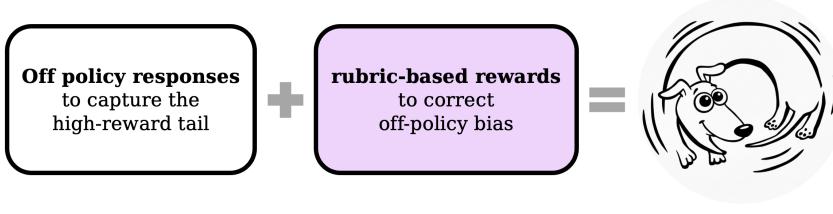


Figure 1: Chasing the Tail with Rubric-Based Rewards

examples, but naively training a reward model on them may learn superficial features instead of eliciting real capabilities (see Appendix D).

To address this challenge, we empirically study *rubric-based rewards* as a solution to this problem. In essence: we get very strong exemplar responses by using off-policy generation. Then, we produce a reward model using these examples by using another LLM to produce a grading rubric for each prompt. Such rubric-based rewards will generalize well off-policy because they are insensitive to irrelevant aspects of the responses by design. The question is then if, and how, we can elicit rubrics that succeed in capturing the high-reward tail behavior necessary for alignment. We give two principles for achieving this goal. We then produce a workflow implementing these ideas and show empirically that it is highly effective for the LLM post-training task.

Summarizing, the contributions of this paper are:

1. A theoretical characterization of *how* reward misspecification matters for post-training, concluding that the high-reward region is key,
2. A method for constructing effective reward rubrics using off-policy data, and
3. An empirical study showing the efficacy of the constructed rubrics for post-training, and confirming the critical role of misspecification in the high-reward region.

2 PRELIMINARIES

Notations. We use π to denote a large language model (LLM) and π_0 to denote the reference language model (usually the starting point of RL). Given a prompt x , a response y is sampled from the conditional distribution $\pi(\cdot | x)$. A reward model $r(\cdot, \cdot)$ is utilized to assess the quality of a prompt-response pair. We use r^* to represent the gold reward model (inaccessible in practice) and r to represent the proxy reward applied in practice.

Reinforcement fine-tuning (RFT). With a prompt set D and a reward model r , the reinforcement fine-tuning optimizes the following objective (Ouyang et al., 2022; Bai et al., 2022):

$$\max_{\pi} \mathbb{E}_{x \sim D, y \sim \pi(\cdot | x)} [r(x, y)] - \beta \mathbb{D}_{\text{KL}} [\pi(y | x) \| \pi_0(y | x)], \quad (1)$$

where β is a hyperparameter to control fine-tuned model's deviation from the reference model, i.e.,

$$\mathbb{D}_{\text{KL}} [\pi(y | x) \| \pi_0(y | x)] = \mathbb{E}_{x \sim D, y \sim \pi(\cdot | x)} \left[\log \frac{\pi(y | x)}{\pi_0(y | x)} \right].$$

As demonstrated in Rafailov et al. (2023), the solution to (1) is

$$\pi_r(y | x) \propto \pi_0(y | x) \exp\{r(x, y) / \beta\}. \quad (2)$$

Reward over-optimization. Because RFT relies on proxy rewards in practice, it inevitably suffers from *reward over-optimization*: the policy exploits inaccuracies in the reward model, achieving high proxy scores while true quality deteriorates. This phenomenon has been well studied in Bradley-Terry reward models trained on human preference data (Gao et al., 2023). The standard remedy is online RLHF, where fresh human feedback is periodically collected to update the reward model and mitigate over-optimization (Bai et al., 2022), but such approaches are costly and slow.

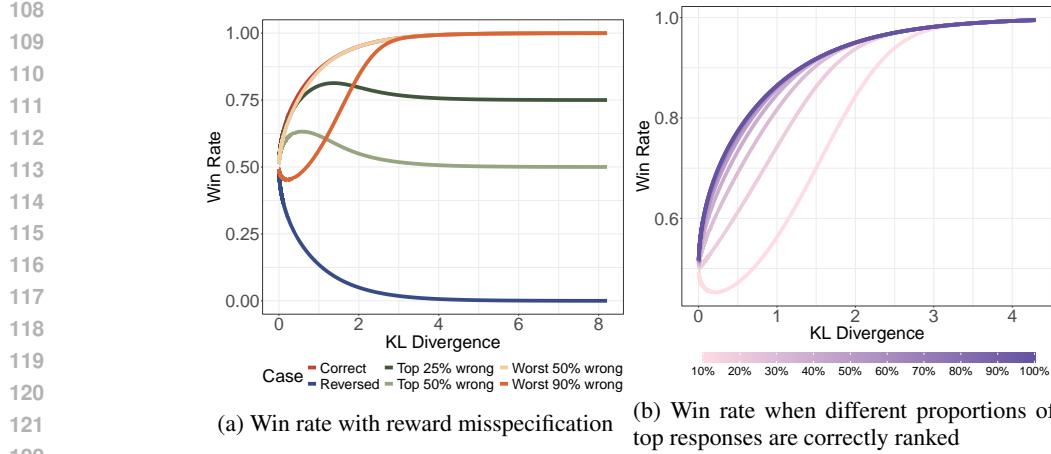


Figure 2: Theoretical impact of reward model misspecification on performance. (a) Inaccuracy in the high-value region causes performance to collapse. (b) Correctly ranking top responses is sufficient for near-optimal performance.

Reinforcement learning from rubrics-based reward. Reinforcement learning from rubrics-based reward (RLRR) (Gunjal et al., 2025; Viswanathan et al., 2025; Huang et al., 2025b) has emerged as a promising approach for open-ended tasks. The core idea is to associate each prompt x with a rubric—a set of explicit criteria (c_i) with corresponding weights (w_i) that collectively define a high-quality response. For instance, given a prompt asking for a likely diagnosis from a patient’s symptoms, the rubric could specify key aspects of a good answer. This might include high-weight criteria for “identifying [correct diagnosis] as the likely diagnosis” and “correctly identifying the condition as a medical emergency,” and a low-weight criterion for “mentioning [typical treatment] for treatment” (See Appendix I for a concrete example.)

In this framework, a verifier V , typically another LLM, assesses whether a given response y satisfies each individual criterion. The total reward is then calculated as the weighted average of the criteria that the response successfully meets. Formally, the verifier outputs a binary score for each criterion, $V(x, y, c_i) \mapsto \{0, 1\}$, and the total reward is:

$$r(x, y) = \frac{\sum_i w_i V(x, y, c_i)}{\sum_i w_i}.$$

RLRR extends Reinforcement Learning with Verifiable Rewards (RLVR) to general tasks where performance cannot be easily verified. Compared to RFT using Bradley-Terry reward models, RLRR’s explicit criteria make rewards more interpretable and harder to game. However, it’s still unclear if, and how, RLRR alleviates reward over-optimization.

3 HIGH-REWARD REGION ACCURACY IS KEY TO OVERCOMING REWARD OVER-OPTIMIZATION

It’s well-known that using misspecified proxy rewards lead to reward over-optimization for reinforcement post-training. However, the ways in which different misspecification patterns of proxy rewards influence the performance of the aligned model remain poorly understood. In this section, we develop theoretical results showing that maintaining high-reward region accuracy is the key determinant of alignment quality.

We introduce a *misspecification mapping* f from gold to proxy rewards and cast the problem as analyzing how the geometry of f affects performance. More specifically, $f : \mathbb{R} \rightarrow \mathbb{R}$ is the mapping from r^* to r , i.e., for any $x-y$ pair,

$$f(r^*(x, y)) = r(x, y).$$

To characterize the reward over-optimization phenomenon, we need to study the relationship between the utility (expected reward and win rates), and the KL divergence in (2). They can be simplified as follows:

162 **Proposition 1.** Define $R_0^x = r^*(x, Y_0)$ with $Y_0 \sim \pi_0(\cdot | x)$ and F_0^x as its cumulative distribution
163 function. The RFT solution (2) has:

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165 (i) *Expected reward:* $\mathbb{E}_{x \sim D, y \sim \pi_r(\cdot | x)} [r^*(x, y)] = \mathbb{E}_{x \sim D} \left[\frac{\mathbb{E}[R_0^x e^{f(R_0^x)/\beta}]}{\mathbb{E}[e^{f(R_0^x)/\beta}]} \right],$
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167 (ii) *Win Rate:* $\mathbb{E}_{x \sim D, y \sim \pi_r(\cdot | x)} [F_0^x(r^*(x, y))] = \mathbb{E}_{x \sim D} \left[\frac{\mathbb{E}[F_0^x(R_0^x) e^{f(R_0^x)/\beta}]}{\mathbb{E}[e^{f(R_0^x)/\beta}]} \right],$
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169 (iii) *KL divergence:* $\mathbb{D}_{\text{KL}} [\pi_r(y | x) \| \pi_0(y | x)] = \mathbb{E}_{x \sim D} \left[\frac{\mathbb{E}[f(R_0^x) e^{f(R_0^x)/\beta} / \beta]}{\mathbb{E}[e^{f(R_0^x)/\beta}]} - \log \mathbb{E}[e^{f(R_0^x)/\beta}] \right]$
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173 To proceed, we assume the current policy's ground-truth reward, R_0^x , is distributed from the standard
174 uniform. This assumption is valid since: (i) it matches the reward distribution of best-of-n sampling
175 and the optimal solution which best balance KL divergence and win rate (Gui et al., 2024; Azar
176 et al., 2024; Balashankar et al., 2024), and (ii) win rate and expected reward matches each other
177 in this case. Under this assumption, we can characterize the utility-KL tradeoff when applying the
178 misspecified rewards:

179 **Theorem 1.** Suppose each $R_0^x \sim U(0, 1)$ and $f(R_0^x) \stackrel{d}{=} R_0^x$. Then it holds that:

180 (i) *KL divergence is invariant to f:*

$$181 \mathbb{D}_{\text{KL}} [\pi_r(y | x) \| \pi_0(y | x)] = \frac{(1/\beta - 1)e^{1/\beta} + 1}{e^{1/\beta} - 1} - \log \beta - \log(e^{1/\beta} - 1).$$

182 (ii) *Expected reward (or win rate) of π_r is $\frac{\int_0^1 f^{-1}(u)e^{u/\beta} du}{\beta(e^{1/\beta} - 1)}$. [Proof].*

183 The explicit formula in Theorem 1 indicates that misspecification, i.e., the deviation of f from the
184 identity map, in the high-value region of r^* has dominantly large effects on the utility-KL tradeoff.
185 On one hand, the KL divergence remains invariant to the choice of f and is fixed when the penalty
186 parameter β is set. On the other hand, the exponential term imposes increasingly severe penalties
187 on misspecification in the high-reward regime relative to the low-reward regime. This highlights the
188 criticality of accuracy in the high-reward region for achieving a favorable balance between utility
189 and KL divergence.

190 To verify this, we investigate different f 's and exactly compute the utility-KL tradeoff curves:

191 (i) “Correct”: identity mapping $f(r^*) = r^*$
192 (ii) “Reversed”: the reverse mapping $f(r^*) = 1 - r^*$
193 (iii) “Top $c\%$ wrong”: $r = f(r^*) = r^*1_{\{r^* \leq 1-c\}} + (2 - c - r^*)1_{\{r^* > 1-c\}}$, i.e., the proxy
194 reward model provides completely reverse rewards for highest quality responses
195 (iv) “Worst $c\%$ wrong”: $r = f(r^*) = (c - r^*)1_{\{r^* \leq c\}} + r^*1_{\{r^* > c\}}$, i.e., the proxy reward
196 model provides completely reverse rewards for worst quality responses

197 Figure 2a plots KL divergence versus win rate across misspecification patterns and yields two key
198 observations: (i) when the proxy is inaccurate in the *high-reward* region, performance may look
199 acceptable at small KL but the win rate collapses as KL grows (this is similar to the reward over-
200 optimization behavior in Gao et al. (2023)); and (ii) if the proxy correctly ranks just a small top
201 proportion of responses (e.g., 10%), even while misgrading the remaining majority, the win rate
202 rapidly approaches the optimal curve at moderate KL. Separately, Figure 2b varies the fraction c
203 of correctly ranked top responses and traces the corresponding lower envelope of achievable win
204 rates, showing that this envelope is already near-optimal once a sufficiently large top proportion is
205 correctly identified and ordered (e.g., 40%). Together, we reach our central theoretical findings:

206 (I) *Reward over-optimization primarily arises from the inaccuracy in high-reward regions.*
207 (II) *Being able to accurately rank and differentiate high-quality outputs is sufficient for a re-
208 ward model to effectively guide RL.*

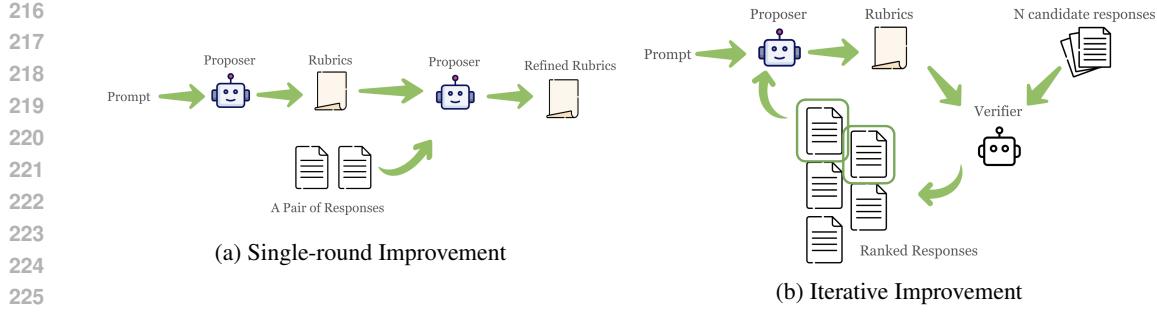


Figure 3: Rubric refinement through response differentiation. (a) Single-round: A proposer LLM analyzes a pair of responses to identify distinguishing features and encodes them as new rubric criteria. (b) Iterative: Multiple rounds progressively focus on higher-quality responses, with each iteration filtering to top-scoring candidates before generating new differentiating rubrics.

Algorithm 1 Iterative Rubric Refinement through Progressive Differentiation

- 1: **Input:** Pool of candidate responses and initial rubrics
- 2: **Iteration:** For each refinement round:
 - (a) Score all candidate responses with the current rubrics and get the top 2 responses from the candidate pool as the comparison pair.
 - (b) Use the proposer LLM to identify distinguishing features between the pair and encode these features by refining the existing rubric set.
- 3: **Output:** Final refined rubric set

4 PRINCIPLES FOR CONSTRUCTING RUBRICS

Based on the results of the previous section, we construct a reward model focusing on the high-value region. The problem then is getting training examples that are in this high-reward region. By definition, these are samples that are rare under the base LLM policy! This essentially forces us to use off-policy data to define the reward model. Now, *rubric-based rewards* have emerged as an approach for using off-policy data to define rewards. The basic idea of rubric-based reward models is to explicitly restrict the reward to only care about aspects of the solution that are relevant to its quality, thereby mitigating the effect of the off-policy data. However, the restrictive nature of the rubrics is a double-edged sword. The same structure that limits the effect of off-policyness may also limit their ability to distinguish between solutions that are *excellent* and those that are merely *great* (they can easily end up in a tie). In this section, we consider how to construct rubrics that are focused on accuracy in the high-reward region.

Refining rubrics to reliably tell apart two already *great* responses is a natural first step toward capturing the high-reward tail. To push accuracy further in that tail, we also update rubrics to distinguish among a *diverse* set of *great* responses. We formalize these ideas as two principles for rubric construction

Principles for Rubric Construction

- [Principle 1] Effective rubric construction requires distinguishing *excellent* responses from *great* ones.
- [Principle 2] Effective rubric construction requires distinguishing among *diverse* off-policy responses.

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272 Table 1: RL experimental results with the added Finance Domain.
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Method	Generalist Domain		Health Domain		Finance Domain	
	Filtered Set	LMArena	Medical-o1	Score	Win %	Score
Base Policy	5.2	4.1	10.8	0.1721	5.84	0.1738
SFT	35.9	29.6	25.8	0.2999	26.04	0.2218
Initial, Prompt only	31.3	29.7	21.7	0.3004	37.23	0.2683
1 Good Pair	33.5	32.8	22.4	0.2912	39.07	0.2694
1 Great Pair	36.8	42.24	26.5	0.3163	39.23	0.2838
4 Great Pairs	38.7	34.7	31.4	0.3348	48.91	0.2961
4 Great & Diverse Pairs	39.7	35.1	34.4	0.3513	49.58	0.3018

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286 4.1 METHODOLOGY
287288 To operationalize the above principles, we design an iterative workflow that leverages off-policy
289 responses to refine rubrics.
290291 **Refinement-through-Differentiation (RTD).** A natural way to make rubric-rewards more dis-
292 criminative is to prompt a proposer LLM with a pair of *candidate responses* and the current rubrics.
293 The proposer analyzes the pair, identifies their distinguishing features, and encodes these distinc-
294 tions as new rubric criteria or refinements of existing ones. We refer to this fundamental refinement
295 step as *Refinement-through-Differentiation* (RTD).
296297 **Iterative workflow for chasing the tail.** While a single RTD step sharpens the rubric, repeated
298 application over a larger candidate pool yields systematic improvements. Starting with all off-policy
299 responses for a prompt, each iteration scores the candidates under the current rubric, selects the top
300 two responses, and refines the rubric using RTD. This workflow concentrates rubric discovery on the
301 performance frontier, extracting the most informative distinctions from the best available responses
302 with only a small number of comparisons (see Algorithm 1 and Figure 3).
303304 4.2 EXPERIMENTAL SETUP
305306 Our experimental goals are twofold. First, we examine how leveraging off-policy responses can alle-
307 viate reward over-optimization. Second, we assess the efficacy of these methods in enhancing LLM
308 capabilities. Our experiments span three domains: general-purpose, healthcare, and finance. For the
309 first goal, to isolate the effect of rubric refinement strategies, we adopt the synthetic oracle setting
310 proposed by the seminal work Gao et al. (2023). In this framework, a strong LLM acts as a proxy
311 for the “gold-standard” preference source. This oracle model serves a dual purpose: it generates the
312 rubrics data used for reward modeling and serves as the final judge for performance evaluation. By
313 unifying the annotation and evaluation sources, this design eliminates confounding factors arising
314 from preference mismatch between the training data and the judge. This allows us to clearly assess
315 how effectively different rubric refinement strategies mitigate reward over-optimization. For the
316 second goal, we evaluate the model performance on domain-specific objective benchmarks when
317 applicable. Regarding the second goal, we specifically evaluate the model on healthcare and finance
318 tasks. These fields offer established objective professional benchmarks, allowing us to rigorously
319 test improvements in model capabilities. We set up the experiments as follows:
320321 **Training setup.** We employ GPT-4.1 as the *rubric proposer*, prompting it to generate the *initial*
322 *rubrics*. The *training datasets* consist of two generalist prompt collections (LMArena (Chiang et al.,
323 2024) and a manually filtered set of natural prompts, detailed in Appendix G) and two domain-
324 specific prompt sets: for healthcare, we utilize medical-o1-reasoning-SFT (Chen et al., 2024); for
325 the finance domain, we filtered for 1147 high-quality finance prompts in LMArena Team (2025).
326 Each dataset contributes 5000 prompts for training and an additional 1000 prompts for in-domain
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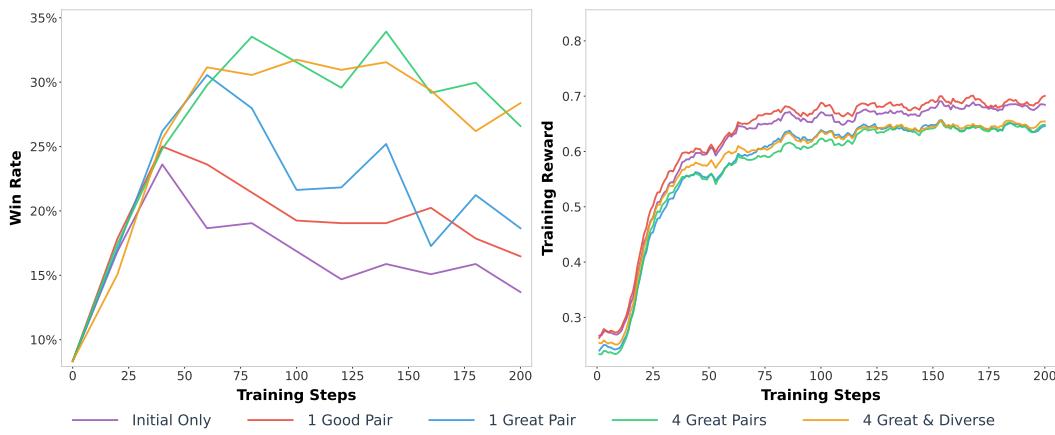


Figure 4: Refinement with *great* and *diverse* responses mitigates reward over-optimization. Training rewards r rise similarly across settings, but only models trained with iteratively refined, diverse rubrics sustain higher win-rates (a proxy for ground-truth reward r^*) and delay the collapse that signals reward over-optimization.

evaluation, with the exception of the finance dataset, for which we use all available prompts for training and the prompts from the PrBench-Finance (Akyürek et al., 2025) for the evaluation. The *base model* for post-training is Qwen3-8b-Base (Yang et al., 2025), which has instruction-following capabilities. We adopt GRPO (Shao et al., 2024) as the RFT algorithm and use a standard set of hyperparameters, detailed in Table 4. For the reward computation, we leverage GPT-4.1-mini as a *rubric verifier* and calculate the final reward as the weighted sum of satisfied rubric criteria, normalized by the total weight. All prompts used in the experiments are presented in Appendix B.

Candidate pool. To validate Principle 1, we compare rubrics refined using (i) candidate pairs from a *great* model versus (ii) candidate pairs from a *good* model (Gemini 2.5 Pro and Gemini-2.5-Flash-Lite, respectively (Comanici et al., 2025)). To validate Principle 2, we enlarge the pool by sampling 16 responses per prompt, from a broader set of *excellent* models, ensuring greater diversity (see Appendix F for the full list). This setup allows us to test whether rubric refinement benefits from better and more diverse candidate responses.

Evaluation. We assess performance across two primary dimensions: (1) alignment with oracle preferences, and (2) domain-specific scores on professional benchmarks. For preference evaluation, we conduct head-to-head comparisons against Qwen3-8B, the strong thinking version of our base policy model, on a held-out set of test prompts. The oracle model GPT-4.1 was prompted to act as an impartial evaluator and select the better response (see Appendix E for the detail). To validate the performance gain in professional domains, we additionally evaluate models on HealthBench (Arora et al., 2025) and PRBench (Akyürek et al., 2025), which provide objective metrics grounded in expert-curated rubrics.

5 RESULTS

5.1 RL IMPROVES WITH BETTER AND MORE DIVERSE RESPONSES

We first evaluate downstream RL performance to test whether the proposed principles indeed improve rubrics. Table 1 shows two clear trends. First, rubrics refined with *great* pairs outperform those refined with *good* pairs, validating Principle 1. Second, iterative refinement with multiple diverse *great* pairs yields further gains, validating Principle 2.

Beyond improving average performance, refinement with better and more diverse responses also mitigates reward over-optimization. Figure 4 shows training dynamics on the health domain when RL is run for extended steps. Models trained on initial rubrics, or rubrics refined with only a single pair, peak early and then suffer a rapid decline in win rate after about 60 steps—an indicator of reward over-optimization. In contrast, models trained with iteratively refined, diverse rubrics sustain

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 Table 2: Accuracy of rubric-based scoring in predicting ground-truth model preferences was evaluated on 1000 random prompts from the training set. Response pairs in the high-reward region were sampled from Qwen3-8B, and response pairs in the low-reward region were sampled from Qwen3-8B-Base. Rubric preferences were determined by a majority vote from five independent gradings, **with ties counted as incorrect**. Results show refining with stronger and more diverse responses improves high-reward accuracy.

	Initial Only	1 Good Pair	1 Great Pair	4 Great Pairs	4 Great & Diverse Pairs
High-reward	40.3%	42.2%	45.8%	49.2%	47.9%
Low-reward	66.2%	67.9%	66.7%	68.9%	69.8%

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 higher win-rates for much longer, with over-optimization not appearing until after roughly 160 steps. This pattern indicates that refining rubrics with *great* and *diverse* responses corrects inaccuracies in the high-reward region, thereby delaying the onset of over-optimization. Together, these results confirm our central hypothesis that rubrics can be constructed to mitigate reward over-optimization.

393 5.2 REWARD MODEL ACCURACY IMPROVES IN THE HIGH-REWARD TAIL

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 396 Our theoretical analysis (Section 3) suggests that accuracy in the high-reward tail is the critical factor
 397 for downstream RL performance. To understand why refinement with better and more diverse re-
 398 sponses helps, we evaluate the agreement between rubric-based rewards and the ground-truth judge,
 399 separately on the high- and low-reward regions.

400 As shown in Table 2, incorporating any candidate responses through refinement improves rubric
 401 accuracy compared to the prompt-only baseline. More importantly, rubrics refined with *great* pairs
 402 largely improve accuracy in the high-reward region, while *good* pairs improve accuracy more than
 403 *great* pairs in the low-reward region. Iterative refinement with *great* pairs pushes the accuracy in the
 404 high-reward region even further, mirroring the RL improvements in Table 1. This confirms that both
 405 principles work by sharpening reward model accuracy where it matters most: the high-value tail.

406 5.3 REFINEMENTS FROM BETTER RESPONSES ARE MORE SOPHISTICATED

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 408 Finally, we analyze how refinements differ when using *good* versus *great* candidate responses. To
 409 understand how stronger candidate responses lead to better rubrics, we analyzed the types of re-
 410 finements made when using different quality levels of candidate pairs. We prompted an LLM to
 411 compare initial and refined rubrics, and categorized the improvements into semantic clusters (see
 412 details in Appendix H).

413 Table 3 shows the distribution of refinement types on the health domain. Both qualities contribute,
 414 but the patterns diverge: *good* responses often drive **basic corrections**, such as adding penalties for
 415 obvious mistakes or broadening overly restrictive criteria; by contrast, *great* responses more often
 416 drive **sophisticated refinements**, such as breaking down complex criteria into sub-components or
 417 enhancing verification standards.

418 In the example from Appendix I, for a medical prompt about a patient with serious symptoms,
 419 two initially tied *great* responses are distinguished by adding the criterion: “The response mentions
 420 that urgent imaging (e.g., contrast-enhanced CT or MRI/MRV) is required to confirm the diagnosis.”
 421 This refinement, from the “Enhancing verification, validation, and evidence standards” cluster, man-
 422 dates a critical, verifiable clinical action, and only one of the responses satisfies. Such qualitative
 423 results confirm our finding that comparing *great* responses provides the nuanced distinctions needed
 424 to identify *excellent* outputs, thereby sharpening accuracy in the high-reward tail.

425 6 RELATED WORK

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 428 **Reward over-optimization.** Gao et al. (2023) highlighted the issue of reward over-optimization
 429 for both best-of-n sampling and reinforcement learning when using preference-based reward mod-
 430 els. Although this phenomenon has since been repeatedly observed in empirical studies (Bai et al.,
 431 2022; Moskovitz et al., 2023; Perez et al., 2023; Gui et al., 2024; Wang et al., 2024), its theore-
 432 tical underpinnings remain limited. Existing analyses typically relate the performance degradation

432 caused by a proxy reward to global statistics describing how far the proxy deviates from the true
 433 reward (Huang et al., 2025a; Mroueh, 2024). In contrast, our work provides a sharper perspective:
 434 what truly governs performance is the fidelity of the proxy reward in the high-value region, where
 435 high-quality responses concentrate.
 436

437 **Rubrics reward.** RL from rubrics reward (RLRR) has proven to be an effective method in spe-
 438 cialized domains like science and health (Gunjal et al., 2025), general instruction-following (Huang
 439 et al., 2025b; Viswanathan et al., 2025), and for enhancing agentic ability (Team et al., 2025), with
 440 implementations using both online and offline RL. The idea of rubrics is also utilized in generative
 441 reward models (GRMs), wherein a reward model is prompted to first generate rubrics and then use
 442 them to evaluate a response (Liu et al., 2025b; Chen et al., 2025). This approach enables inference
 443 time scaling of reward modeling and improves explainability. However, generating rubrics on the
 444 fly is computationally inefficient and unsuitable for large-scale training.
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446 7 DISCUSSION

447 In this paper, we investigate rubric-based reward modeling for LLM post-training. We begin by
 448 analyzing the central weakness of reinforcement fine-tuning, *reward over-optimization*, and theo-
 449 retically trace it to misspecification of the proxy reward in the high-reward tail. A comprehensive
 450 empirical study highlights rubric-based rewards as an effective remedy. We further demonstrate
 451 that carefully designed rubrics, which distinguish among *great*, *diverse* off-policy responses, lead to
 452 consistently strong fine-tuning performance.
 453

454 **Off-policy responses for Bradley-Terry reward model training might generalize, but is sample**
 455 **inefficient.** While we find a medium amount off-policy responses ($n = 5000$, in addition to the
 456 same number of on-policy responses) do not help Bradley-Terry reward model guide the current
 457 policy (see Appendix D), we note that other work successfully train BT reward model with off-
 458 policy samples, but with a much larger scale—using up to 20 million high quality samples ((Liu
 459 et al., 2025a; Cui et al., 2023)). Indeed, Bradley-Terry reward model’s generalizability scales with
 460 the number, and diversity of training samples. However, it’s not always easy to find large-scale
 461 data for many specialized domains, such as healthcare. In contrast, rubric-based reward can easily
 462 encode generalizable principles from limited amount of data.
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 466 Table 3: Distribution of rubric refinement types when using *great* (Gemini 2.5 Pro) versus *good*
 467 (Gemini 2.5 Flash Lite) candidate pairs, in the healthcare domain. Rows with significant differences
 468 ($\geq 55\%$ for one model) are highlighted: blue indicates *great* dominance, red indicates *good* dom-
 469 inance. Bold percentages show the dominant model.
 470

471 Refinement Type	472 Proportion	473 Great vs Good
Mandating explicit statements, justifications, or declarations	16.7%	52.6% vs 47.4%
Shifting focus from superficial to substantive qualities	11.7%	48.2% vs 51.8%
Adjusting scoring weights, granularity, or mechanisms	8.9%	48.5% vs 51.5%
Breaking down complex criteria into sub-components	7.2%	55.9% vs 44.1%
Introducing penalties, prohibitions, or negative scoring	6.6%	43.5% vs 56.5%
Replacing vague language with specific requirements	6.2%	54.7% vs 45.3%
Adding requirements for comparing alternatives	5.9%	49.0% vs 51.0%
Broadening criteria to accept multiple approaches	5.6%	44.4% vs 55.6%
Adding conditional or context-dependent rules	4.5%	51.4% vs 48.6%
Streamlining by removing redundancy	4.4%	41.6% vs 58.4%
Adding timing, sequencing, or process flow criteria	3.5%	54.1% vs 45.9%
Mandating precise language or technical accuracy	3.3%	50.2% vs 49.8%
Requiring causal explanations or mechanistic understanding	3.3%	51.8% vs 48.2%
Enhancing verification, validation, and evidence standards	2.3%	55.0% vs 45.0%
Mandating specific structure or formatting	2.1%	53.8% vs 46.2%
Requiring explicit justification for decisions	1.9%	50.3% vs 49.7%
Defining explicit scope, boundaries, or constraints	1.8%	58.9% vs 41.1%
Incorporating risk analysis or safety constraints	1.8%	55.2% vs 44.8%
Requiring specific, actionable recommendations	1.0%	55.5% vs 44.5%
Correcting errors or aligning with intended standards	0.9%	32.8% vs 67.2%
Assessing communication quality or tone	0.5%	43.8% vs 56.2%

486 **Weighted average of rubric score is not optimal.** To specifically analyze the impact of rubric
 487 quality, we deliberately use the most simple method of score aggregation, by taking a weighted av-
 488 erage of scores from the satisfied criteria. Prior work has explored diverse approaches, including im-
 489 plicit aggregation by a verifier model (Gunjal et al., 2025), sophisticated frameworks to capture non-
 490 linear dependencies (Huang et al., 2025b), weighted averages of continuous scores (Viswanathan
 491 et al., 2025), and model-based self-critique that weighs criteria against internal priors (Team et al.,
 492 2025). We acknowledge that aggregation is a central component of an optimal rubric reward system
 493 and leave it for future work.

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650 **USAGE OF LARGE LANGUAGE MODELS**651
652 In this work, besides running LLMs in experiments, we use LLMs for the following purposes:
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- 657 1. Aid or Polish Writing (Gemini 2.5 Pro, ChatGPT 4/5)
- 658 2. Literature Retrieval and Discovery (e.g., finding related work) (Gemini 2.5 Pro Deep Re-
659 search, ChatGPT Deep Research)
- 660 3. Assisting Code Writing and Debugging (Claude-Ops-4.1, GPT-5)

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662 We fully understand the responsibility of using LLMs in academic research. We carefully monitor
663 any potential problems, such as plagiarism or scientific misconduct (e.g., fabrication of facts) when
664 using LLMs. We make sure these problems do not occur in the paper.
665666 **A THEORETICAL RESULTS**667
668 **Theorem 1.** Suppose each $R_0^x \sim U(0, 1)$ and $f(R_0^x) \stackrel{d}{=} R_0^x$. Then it holds that:
669670 (i) KL divergence is invariant to f :
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$$\mathbb{D}_{\text{KL}}[\pi_r(y|x)\|\pi_0(y|x)] = \frac{(1/\beta - 1)e^{1/\beta} + 1}{e^{1/\beta} - 1} - \log \beta - \log(e^{1/\beta} - 1).$$

674 (ii) Expected reward (or win rate) of π_r is $\frac{\int_0^1 f^{-1}(u)e^{u/\beta}du}{\beta(e^{1/\beta}-1)}$. [Proof].
675676 *Proof.* First, we compute the KL divergence. When $f(R_0^x) \sim U(0, 1)$, by Proposition 1, the KL
677 divergence is
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$$\begin{aligned} \mathbb{D}_{\text{KL}}[\pi_r(y|x)\|\pi_0(y|x)] &= \mathbb{E}_{x \sim D} \left[\frac{\mathbb{E}[f(R_0^x) e^{f(R_0^x)/\beta}/\beta]}{\mathbb{E}[e^{f(R_0^x)/\beta}]} - \log \mathbb{E}[e^{f(R_0^x)/\beta}] \right] \\ &= \mathbb{E}_{x \sim D} \left[\frac{\int_0^1 ue^{u/\beta}du}{\beta \int_0^1 e^{u/\beta}du} - \log \left(\int_0^1 e^{u/\beta}du \right) \right] = \frac{(1/\beta - 1)e^{1/\beta} + 1}{e^{1/\beta} - 1} - \log \left[\beta(e^{1/\beta} - 1) \right]. \end{aligned}$$

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681 Then, we compute the expected reward: denote $T_0^x = f(R_0^x)$,
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$$\begin{aligned} \mathbb{E}_{x \sim D, y \sim \pi_r(\cdot|x)}[r^*(x, y)] &= \mathbb{E}_{x \sim D} \left[\frac{\mathbb{E}[R_0^x e^{f(R_0^x)/\beta}]}{\mathbb{E}[e^{f(R_0^x)/\beta}]} \right] \\ &= \mathbb{E}_{x \sim D} \left[\frac{\mathbb{E}[f^{-1}(T_0^x) e^{T_0^x/\beta}]}{\mathbb{E}[e^{T_0^x/\beta}]} \right] = \mathbb{E}_{x \sim D} \left[\frac{\int_0^1 f^{-1}(u)e^{u/\beta}du}{\int_0^1 e^{u/\beta}du} \right] \\ &= \frac{\int_0^1 f^{-1}(u)e^{u/\beta}du}{\int_0^1 e^{u/\beta}du} = \frac{\int_0^1 f^{-1}(u)e^{u/\beta}du}{\beta(e^{u/\beta} - 1)} \end{aligned}$$

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684 Since $F_0^x(R_0^x) = R_0^x$ when $R_0^x \sim U(0, 1)$, the win rate is the expected reward. Then the theorem
685 follows. □
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702 **B PROMPTS USED FOR EXPERIMENTS**
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706707 **Prompt for Constructing Initial Rubrics**
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You're a skilled judge evaluating the quality of LLM responses to a user prompt. Your first task is to create a comprehensive rubric for grading these responses across multiple dimensions.

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Given a user prompt, generate a list of binary (yes/no) criteria. These criteria should assess how well the LLM answered the prompt. Only write rubrics you are confident about.

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Here are tips for writing good rubrics:

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i. MECE:

- Mutually Exclusive, Collectively Exhaustive

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ii. Completeness:

- Consider all the elements you would want to include to create a perfect response and put them into the rubric. This means including not only the facts and statements directly requested by the prompt, but also the supporting details that provide justification, reasoning, and logic for your response. Each of these elements should have a criterion because each criterion helps to develop the answer to the question from a slightly different angle.

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iii. No overlapping:

- the same error from a model shouldn't be punished multiple times.

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iv. Diversity:

- The rubric items should include variable types of information.
- If all criteria are like "the response mentions A", "the response mentions B", then this is not a good rubric.

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v: How many rubric items for each prompt

- There is no golden standard, and the desired number of rubrics varies by accounts and task types.
- Write rubrics that cover all aspects of an ideal response.

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vi: How many rubric items to fail

- A good rule of thumb is that the model fails on 50% of rubrics items

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vii: Atomicity / Non-stacked

- Each rubric criterion should evaluate exactly one distinct aspect. Avoid bundling multiple criteria into a single rubric. Most stacked criteria with the word "and" can be broken up into multiple pieces.

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✗ Response identifies George Washington as the first U.S. president and mentions he served two terms.

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- ✓ Response identifies George Washington as the first U.S. president.
- ✓ Response mentions that George Washington served two terms.

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viii: Specificity

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- Criteria should be binary (true or false) and objective.
- Avoid vague descriptions (e.g., "the response must be accurate" is vague).
- Example: "The response should list exactly three examples."

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ix: Self-contained

- Each criterion should contain all the information needed to evaluate a response, e.g.

✗ Mentions the capital city of Canada.

✓ Mentions the capital city of Canada is Ottawa.

x: Criterion should be verifiable without requiring external search.

✗ Response names any of the Nobel Prize winners in Physics in 2023

✓ Response names any of the following Nobel Prize winners in Physics in 2023: Pierre Agostini, Ferenc Krausz, or Anne L'Huillier.

xi. The binary criteria should be phrased so that yes means the model response is good and no means the model response is bad.

Finally, we want to assign different weight for each question. Give a weight on a scale of 1 (least important) to 3 (most important) for each question based on

1. the question's alignment with user demand (3 if user would be frustrated if the answer is no; 1 if user would not be bothered at all if the answer is no)

2. the question's importance in terms of determining quality/correctness (3 if the response would be completely incorrect if the answer is no; 1 if an extreme edge case would be missed and the overall quality won't be affected if the answer is no)

Here is the user prompt for which we want to generate a rubric:

PROMPT:

{prompt}

Return ONLY the JSON array of the rubrics, no other text. For example:

```
[  
  { "criterion": "Does the response provide a list of  
    songs?", "weight": 3 },  
  { "criterion": "The response explicitly state it is  
    listing French romantic songs.", "weight": 2 }  
]
```

Note: Local IDs will be automatically assigned to each criterion (c1, c2, c3, etc.), so don't include IDs into outputted criterion.

Prompt for Improving Rubrics

You're a skilled judge assessing the quality of LLM responses to a user prompt. The current rubric isn't good enough to effectively differentiate between high-quality responses.

Your goal is to improve the current rubrics to address this (adding new criteria, rewriting, decomposing, and deleting the current criteria). The updated rubric must be comprehensive and consistently applicable for grading LLM responses. These criteria should specifically assess how well the LLM answered the given prompt. Only write rubrics you are confident about.

Here are tips for writing good rubrics:

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 811 i. MECE:
 812 - Mutually Exclusive, Collectively Exhaustive
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 814 ii. Completeness:
 815 - Consider all the elements you would want to include to create a perfect response and
 816 put them into the rubric. This means including not only the facts and statements directly
 817 requested by the prompt, but also the supporting details that provide justification, reasoning,
 818 and logic for your response. Each of these elements should have a criterion because each
 819 criterion helps to develop the answer to the question from a slightly different angle.
 820
 821 iii. No overlapping:
 822 - the same error from a model shouldn't be punished multiple times.
 823
 824 iv. Diversity:
 825 - The rubric items should include variable types of information.
 826 - If all criteria are like “the response mentions A”, “the response mentions B”, then this
 827 is not a good rubric.
 828
 829 v: How many rubric items for each prompt
 830 - There is no golden standard, and the desired number of rubrics varies by accounts and
 831 task types.
 832 - Write rubrics that cover all aspects of an ideal response.
 833
 834 vi: How many rubric items to fail
 835 - A good rule of thumb is that the model fails on 50% of rubrics items
 836
 837 vii: Atomicity / Non-stacked
 838 - Each rubric criterion should evaluate exactly one distinct aspect. Avoid bundling mul-
 839 tiple criteria into a single rubric. Most stacked criteria with the word “and” can be broken
 840 up into multiple pieces.
 841 ✖ Response identifies George Washington as the first U.S. president and mentions he
 842 served two terms.
 843 ✓ Response identifies George Washington as the first U.S. president.
 844 ✓ Response mentions that George Washington served two terms.
 845
 846 viii: Specificity
 847 - Criteria should be binary (true or false) and objective.
 848 - Avoid vague descriptions (e.g., “the response must be accurate” is vague).
 849 - Example: “The response should list exactly three examples.”
 850
 851 ix: Self-contained
 852 - Each criterion should contain all the information needed to evaluate a response, e.g.
 853 ✖ Mentions the capital city of Canada.
 854 ✓ Mentions the capital city of Canada is Ottawa.
 855
 856 x: Criterion should be verifiable without requiring external search.
 857 ✖ Response names any of the Nobel Prize winners in Physics in 2023
 858 ✓ Response names any of the following Nobel Prize winners in Physics in 2023: Pierre
 859 Agostini, Ferenc Krausz, or Anne L’Huillier.
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 861 xi. The binary criteria should be phrased so that yes means the model response is good and
 862 no means the model response is bad.
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Finally, we want to assign different weight for each criterion. Give a weight on a scale of 1 (least important) to 3 (most important) for each question based on

1. the question's alignment with user demand (3 if user would be frustrated if the answer is no; 1 if user would not be bothered at all if the answer is no)
2. the question's importance in terms of determining quality/correctness (3 if the response would be completely incorrect if the answer is no; 1 if an extreme edge case would be missed and the overall quality won't be affected if the answer is no)

Here is the user prompt for which we want to improve the rubric:

PROMPT:

{prompt}

The existing rubrics we are using is:

{rubrics}

The two reference responses are:

Response 1:

{response1}

Response 2:

{response2}

Return ONLY the JSON array of the full rubrics, no other text. For example:

```
[  
  { "criterion": "Does the response provide specific  
    release years for each song?", "weight": 2},  
  { "criterion": "The response includes artist names  
    for each song mentioned", "weight": 1}  
]
```

Note: Local IDs will be automatically assigned to each criterion, so don't include IDs in your output.

Prompt for Scoring Responses

You are a skilled judge who will be assessing the quality of LLM responses to a user prompt.

Given a user prompt, LLM response, and a rubric, your task is evaluating the performance of the model response by seeing whether or not it meets the rubric dimension.

Answer each of the given rubric dimension in either "yes" or "no". Do not output any response other than "yes" or "no".

Keep in mind that you will be grading industry-leading LLMs. Make sure to have high expectation for grading the responses.

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Make sure your evaluation is as objective and consistent as it could be. By consistent we mean that a different evaluator's assessment of the task should agree with yours.

Think carefully before you make the decision. After you make the decision, explicitly output which dimension receives "yes" and which dimension receives "no".

Input:

*** PROMPT:** {prompt}

*** RESPONSE:** {response}

*** RUBRIC:** {rubric}

Return ONLY the JSON array, no other text. For example:

```
{ "c1": "yes", "c2": "no", "c3": "yes" } }
```

972 **C HYPERPARAMETER**
973974 The hyperparameter for GRPO training of RLRR is presented in Table 4.
975976 Table 4: GRPO Hyperparameter Configuration
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978 Hyperparameter	979 Value
980 Rollouts per Prompt	981 16
982 Gradient Accumulation Steps	983 2
983 Per-Device Train Batch Size	984 6
984 Warmup Ratio	985 0.1
985 KL Coefficient	986 0.01
986 Learning Rate	987 1.0×10^{-5}
987 Learning Rate Scheduler	988 Constant with Warmup
988 Maximum Sequence Length	989 3584
989 Training Epochs	990 2

990 **D EMPIRICAL RESULTS ON RLHF**
991992 We finetune a Bradley-Terry Reward model on various responses, with preference generated by
993 GPT-4.1, the same model as the judge model for evaluation. For each of the prompt in the training
994 set, we generated a pair of responses at temperature 1.0 using the base policy model Qwen3-8B-
995 Base (on-policy) or Gemini-2.5-Pro (off-policy). Preferences were labeled using GPT-4.1, the same
996 model used for final evaluation. This preference data was then used to train a reward model based on
997 Llama-3.1-8B-Instruct, with hyperparameters specified in Table 6. Finally, this reward model was
998 used for GRPO training, following the configuration in Table 4.999 We find that using on-policy responses is a baseline that can't be easily improved upon:
10001001 1. Training on off-policy, *great* responses deteriorates the performance
1002 2. Adding both off-policy and on-policy responses only helps with win rates but not helps with
1003 healthbench. This suggests that the off-policy samples only help the reward model encode
1004 superficial features (that can game LLM-judge) instead of true capabilities as measured by
1005 more objective metrics.1006 This experiment shows the difficulty of improving Bradley-Terry models with off-policy responses.
10071008 Table 5: Win-rates and HealthBench scores for the Health domain.
1009

1010 Method	1011 Win-Rate	1012 HealthBench
1013 Reward Model (on-policy)	26.8%	0.3036
1014 Reward Model (off-policy, <i>great</i>)	22.4%	0.2798
1015 Reward Model (on-policy + off-policy)	30.7%	0.3032
1016 SFT	25.8%	0.3094
1017 Initial, Prompt only	21.7%	0.3004
1018 1 <i>good</i> Pair	22.4%	0.2912
1019 1 <i>great</i> Pair	26.5%	0.3163
1020 4 <i>great</i> Pairs	31.4%	0.3348
1021 4 <i>great</i> & Diverse Pairs	34.4%	0.3513

1022 **E LLM JUDGE FOR EVALUATION**
10231024 We use the same judge model as the rubrics proposer (GPT-4.1). This is by design: our primary
1025 goal is to test how best to incorporate additional responses into the rubric construction process.

Table 6: Reward Model Hyperparameter Configuration

Hyperparameter	Value
Learning Rate	1.0×10^{-5}
Per-Device Train Batch Size	4
Gradient Accumulation Steps	4
Training Epochs	10
Maximum Sequence Length	8192
Warmup Ratio	0.1
Learning Rate Scheduler	Cosine

By using the same powerful model for both proposing rubrics and evaluating final outputs, we isolate the quality of the candidate responses as the key experimental variable and eliminate potential confounding issues that could arise from disagreements between a proposer and a judge.

We use a minimal judge prompt to compare two responses:

LLM Judge Prompt

You are a skilled judge who will be assessing the quality of LLM responses to a user prompt.

Avoid any position biases and ensure that the order in which the responses were presented does not influence your decision. Do not allow the length of the responses to influence your evaluation.

Here is the user prompt:

PROMPT: {prompt}

The two responses are:

Response 1: {response1}

Response 2: {response2}

Which response would you prefer? Enclose your final answer (1 or 2) in `\boxed{\{ . . . \}}`.

To reduce the position bias, we randomly flipped two responses.

F FRONTIER MODELS USED TO CREATE CANDIDATE RESPONSES

The 16 frontier models used to generate candidate responses are:

- Gemini-2.5-Pro
- Gemini-2.5-Flash
- GPT-5
- GPT-4.1
- GPT-4o-2024-05-13
- o3
- o1-2024-12-17
- o4-mini
- Claude-Sonnet-4-20250514

- Claude-3-7-Sonnet-20250219
- Deepseek-V3
- Deepseek-R1
- Kimi-K2-Instruct
- GLM-4.5
- Qwen3-235B-A22B-Instruct-2507
- Mistral-Medium-Latest

1095 G PRINCIPLES OF SELECTING PROMPTS

1097 We manually curated a prompt dataset according to a specific set of criteria to ensure quality and
 1098 suitability for rubrics training.

- Prompts have clear user intent.
- Prompts are not multimodal/search/trivia/GTFA.
- Prompts are not too simple.
- Prompts are generalist friendly (nothing technical that requires expert knowledge).
- Prompts are not open-ended / creative-writing.
- Prompts are designed such that there is an objectively better response (“Tell me a good
 1111 bedtime story” can have multiple good responses)

1113 This dataset will be made publicly available upon publication.

1116 H PATTERN DETECTION ON RUBRIC REFINEMENTS

1119 In Table 3 we show clusters of various rubric-refinements. To get this result, we first prompt GPT-
 1120 4.1 to describe the differences between refined rubrics, and the initial rubrics (for refinement with
 1121 one-good-pair, and one-great-pair). The differences are listed as atomic items describing different
 1122 aspects of improvement. We then run a clustering algorithm on the atomic differences from both
 1123 one-good-pair, and one-great-pair (they have almost the same number of atomic differences) to get
 1124 interpretable clusters, and report the proportions from one-good-pair versus and one-great-pair

1126 I EXAMPLES OF RUBRICS AND RUBRIC REFINEMENTS

1129 In this example, the initial rubric cannot differentiate between the two *great* responses (both from
 1130 Gemini-2.5-Pro). This is because it primarily handles basic criteria (e.g. the diagnosis is correct),
 1131 which both responses satisfy. The refinement process resolves the tie by adding a new criterion
 1132 **c7**—requiring the mention of an imaging test to confirm the diagnosis. Such refinement is one
 1133 example for the cluster **Enhancing verification, validation, and evidence standards** identified in
 Table 3.

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Rubric Refinement Example

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Prompt

What is the likely diagnosis for a young girl with a history of repeated pain over the medial canthus and chronic use of decongestants, who now presents with intense chills, rigors, diplopia on lateral gaze, and a congested optic disc on examination?

Response 1

Most likely diagnosis: **Cavernous sinus thrombosis (CST)**. This is a life-threatening medical emergency.

Why CST fits

- Medial canthus pain + decongestants → sinusitis (ethmoid/sphenoid)/dacryocystitis; valveless ophthalmic veins communicate with cavernous sinus.
- Intense chills/rigors → septicemia from septic thrombophlebitis.
- Diplopia on lateral gaze → abducens nerve (CN VI) involvement.
- Congested optic disc → impaired venous outflow / increased ICP.

Differential: orbital cellulitis; meningitis/brain abscess.

Immediate management: urgent contrast CT or MRI/MRV; high-dose IV antibiotics; consider anticoagulation; drain source if indicated.

Rubric Scores (Initial)

Criterion (Initial)	Weight	R1 (0/1)	R2 (0/1)
c1: Identifies CST as most likely diagnosis	3	1	1
c2: States it is a medical emergency	3	1	1
c3: Links medial canthus pain + decongestants to sinusitis	3	1	1
c4: Diplopia due to CN VI involvement	3	1	1
c5: Papilledema from impaired venous drainage/ICP	2	1	1
c6: Chills/rigors = systemic infection/bacteremia	2	1	1
c7: Includes medical disclaimer / seek care	2	0	0
c8: Mentions orbital cellulitis differential	1	1	1
c9: Mentions high-dose IV antibiotics	1	1	1

Weighted total (Initial): R1 = 18/20, R2 = 18/20

Rubric Scores (Refined)

Criterion (Refined)	Weight	R1 (0/1)	R2 (0/1)
c1: Identifies CST as most likely diagnosis	3	1	1
c2: Explicitly states CST is a medical emergency	3	1	1
c3: Links medial canthus pain + decongestants to sinusitis/dacryocystitis	3	1	1
c4: Diplopia due to abducens (CN VI) involvement	3	1	1
c5: Papilledema from impaired venous drainage/ICP	2	1	1
c6: Sepsis secondary to CST (chills/rigors)	2	1	1
c7: Urgent imaging (contrast CT or MRI/MRV) required to confirm diagnosis	2	1	0
c8: High-dose IV antibiotics are initial mainstay	2	1	1
c9: Medical disclaimer / seek immediate care	2	0	0
c10: Mentions orbital cellulitis differential	1	1	1
c11: Notes other CNs (III, IV, V1, V2) may be affected	1	1	0
c12: Avoids incorrect primary diagnosis	3	1	1

Weighted total (Refined): R1 = 25/27, R2 = 22/27

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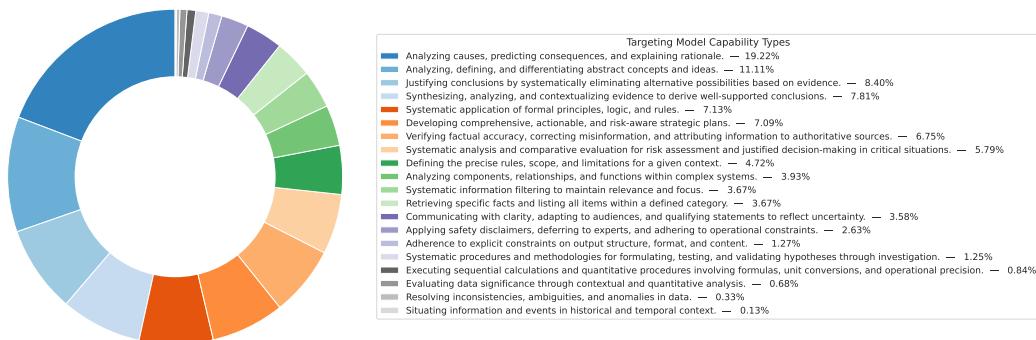
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1188 J RUBRICS CATEGORIZATION

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 1190 We find the constructed rubrics encode diverse and concrete criteria instead of superficial stylistic
 1191 features. To see this, we systematically study rubrics for the health domain constructed with the
 1192 workflow using 4 pairs from *Great & Diverse* candidate responses. Since each rubric criterion
 1193 assesses certain capability of policy models, we apply hierarchical K-means clustering to the targeted
 1194 capabilities of each criterion. This clustering analysis yields 20 types of capabilities, with their
 1195 distribution presented in Figure 5. For each type, we illustrate its meaning with an example in
 1196 the following box. We also provide the full clustering results with all examples in the supplement
 1197 material.



1212 Figure 5: The Distribution of Rubrics Targeting Each Type of Model Capabilities

1215 Categorization of Target Capabilities of Rubrics

1217 Target Capability Type 1

1218 Analyzing causes, predicting consequences, and explaining rationale

1219 Example:

1220 **Prompt:** A 62-year-old man presents with an inability to tan and mild fatigue. Laboratory
 1221 tests indicate mild anemia with hemoglobin at 11 g/dL, decreased haptoglobin, and 3%
 1222 reticulocytes. Given these findings and the peripheral blood smear results suggesting
 1223 hemolytic anemia, what specific patient history or characteristic is most consistent with the
 1224 mechanical destruction of red blood cells as the underlying cause?

1225 **Rubric:** The response explains that decreased haptoglobin is due to its binding to free
 1226 hemoglobin released during red blood cell lysis.

1227 **Target Model Capability:** Connecting specific observations or data points to their
 1228 corresponding causes, effects, or implications within a larger system.

1231 Target Capability Type 2

1232 Systematic analysis and comparative evaluation for risk assessment and justified decision-
 1233 making in critical situations.

1234 Example:

1235 **Prompt:** A patient presents in a stuporous condition, with a history of persistent sadness,
 1236 suicidal attempts, and a lack of eating and sleeping according to the patient’s parents. What
 1237 is the recommended treatment in this case?

1238 **Rubric:** The response recommends inpatient hospitalization for safety, evaluation, and
 1239 stabilization (not outpatient or home-based care).

1240 **Target Model Capability:** Identifying critical conditions requiring immediate and decisive
 1241 intervention based on a severity assessment.

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Target Capability Type 3:

Developing comprehensive, actionable, and risk-aware strategic plans.

Example:

Prompt: What is the ideal management for a 5-year-old boy with retinoblastoma involving the entire right eyeball and 2-3 small lesions in the periphery of the other eye?

Rubric: The response specifies the timing of prosthetic eye fitting after enucleation (e.g., 4-6 weeks post-surgery).

Target Capability: Detailing a post-intervention protocol by specifying follow-on diagnostic assessments and subsequent restorative procedures with timelines.

Target Capability Type 4:

Structured and logically clear presentation.

Example:

Prompt: What is the most likely diagnosis for a 3-year-old child who presents with eczematous dermatitis on extensor surfaces and has a mother with a history of bronchial asthma?

Rubric: The response is organized logically, with clear separation between diagnosis, reasoning, differential diagnoses, and any disclaimers.

Target Capability: Structuring the response logically by partitioning distinct conceptual elements into clearly delineated sections.

Target Capability Type 5:

Analyzing components, relationships, and functions within complex systems.

Example:

Prompt: What is the most probable diagnosis for a 6-year-old boy who has been experiencing headaches and peripheral vision loss for four months, with a CT scan showing a suprasellar mass with calcification?

Rubric: The response links peripheral vision loss to compression of the optic chiasm by the mass.

Target Capability: Justifying a conclusion by explicitly linking individual pieces of evidence to their respective supporting roles in the final determination.

Target Capability Type 6:

Executing sequential calculations and quantitative procedures involving formulas, unit conversions, and operational precision.

Example:

Prompt: A 1-year-old child weighing 6 kg is suffering from Acute Gastroenteritis, showing signs of sunken eyes and a skin pinch test indicating rapid fluid replenishment. Based on these symptoms, what volume and rate of Ringer's Lactate infusion would you administer over the first six hours?

Rubric: The response provides the correct infusion rates for each phase: 180 mL/hour for the first hour and 84 mL/hour for the next five hours.

Target Capability: Executing a precise, multi-step quantitative calculation based on given inputs and established formulas to derive a phased implementation plan.

Target Capability Type 7:

Resolving inconsistencies, ambiguities, and anomalies in data.

Example:

Prompt: A patient with a head injury is admitted to the intensive care unit showing signs of raised intracranial pressure. He is placed on a ventilator and given intravenous fluids and diuretics. After 24 hours, the patient's urine output is 3.5 liters, serum sodium level is 156

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mEq/l, and urine osmolality is 316 mOsm/kg. What is the most likely cause of these clinical findings?

Rubric: The response identifies the urine osmolality of 316 mOsm/kg as inappropriately low for the degree of hypernatremia (i.e., urine should be more concentrated in this context).

Target Capability: Evaluating the relationship between multiple variables to identify paradoxical or inconsistent patterns relative to expected system behavior.

Target Capability Type 8:

Verifying factual accuracy, correcting misinformation, and attributing information to authoritative sources.

Example:

Prompt: According to the latest resuscitation guidelines, for how long must umbilical cord clamping be delayed in preterm infants?

Rubric: The response identifies at least one authoritative organization issuing the guideline (e.g., AHA, ILCOR, ACOG, WHO, ERC, NRP).

Target Capability: Attribute the factual knowledge to the authoritative sources.

Target Capability Type 9:

Synthesizing, analyzing, and contextualizing evidence to derive well-supported conclusions.

Example:

Prompt: A 33-year-old woman is brought to the emergency department 15 minutes after being stabbed in the chest with a screwdriver. Given her vital signs of pulse 110/min, respirations 22/min, and blood pressure 90/65 mm Hg, along with the presence of a 5-cm deep stab wound at the upper border of the 8th rib in the left midaxillary line, which anatomical structure in her chest is most likely to be injured?

Rubric: The response provides a clear, logical synthesis connecting wound location, anatomical relationships, and clinical findings to justify the conclusion.

Target Capability: Synthesizing multiple distinct lines of evidence into a coherent, logical argument to justify a final conclusion.

Target Capability Type 10:

Analyzing, defining, and differentiating abstract concepts and ideas.

Example:

Prompt: A 68-year-old woman with elevated serum calcium, high parathyroid hormone, low phosphorus, and a history of kidney stones presents with fatigue, constipation, diffuse bone pain, and a 24-hour urine calcium level that is elevated. Given these clinical and laboratory findings, what radiologic finding on a hand X-ray would confirm the suspected diagnosis of this patient's condition?

Rubric: The response accurately distinguishes between primary and secondary hyperparathyroidism if mentioned.

Target Capability: Differentiating between closely related sub-categories of a primary concept based on their defining features.

Target Capability Type 11:

Defining the precise rules, scope, and limitations for a given context.

Example:

Prompt: You are called to evaluate a newborn who was born yesterday to a 39-year-old mother. Upon examination, what chromosomal abnormality is most likely responsible for the observations typically associated with Down syndrome?

Rubric: The response recommends or references karyotype analysis or equivalent genetic testing as the definitive diagnostic method for confirming the chromosomal abnormality.

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Target Capability: Specifying the definitive method or standard procedure required for confirmation or validation.

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Target Capability Type 12:

Communicating with clarity, adapting to audiences, and qualifying statements to reflect uncertainty.

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Example:

Prompt: Which complication during pregnancy is least likely to increase the risk of postpartum uterine atonicity and why?

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Rubric: The response uses cautious and appropriate phrasing (e.g., ‘least likely,’ ‘low association’) rather than making absolute claims of zero risk.

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Target Capability: Calibrating language precisely to reflect nuances and uncertainty, avoiding absolute or overly definitive statements.

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Target Capability Type 13:

Applying safety disclaimers, deferring to experts, and adhering to operational constraints.

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Example:

Prompt: Considering the patient’s history and current presentation of sudden right arm weakness, numbness, facial drooping, and slurred speech, what is the strongest predisposing factor contributing to his condition?

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Rubric: The response avoids providing direct medical advice and, if appropriate, includes a disclaimer to seek immediate professional medical attention for stroke symptoms.

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Target Capability: Adhering to predefined safety protocols or operational constraints by including appropriate disclaimers.

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Target Capability Type 14:

Evaluating data significance through contextual and quantitative analysis.

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Example:

Prompt: A 6-year-old boy presents with headache, cough, runny nose, and low-grade fever after being treated for a urinary tract infection with trimethoprim-sulfamethoxazole. He has a leukocyte count of 2,700/mm³ with a differential predominantly showing lymphocytes. What is the most likely underlying cause of his current symptoms?

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Rubric: The response correctly interprets a leukocyte count of 2,700/mm³ as leukopenia for a 6-year-old child (normal range 5,000/mm³ - 15,000/mm³).

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Target Capability: Accurately interpreting a quantitative data point by comparing it against a reference range to determine its significance.

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Target Capability Type 15:

Systematic information filtering to maintain relevance and focus.

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Example:

Prompt: A labourer involved with repair work of sewers presents with fever, jaundice, and renal failure. What is the most appropriate test to diagnose the suspected infection in this patient?

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Rubric: The response is concise and focused on the diagnostic aspect, without excessive unrelated clinical management details.

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Target Capability: Adhering strictly to the defined scope of a problem by excluding extraneous or irrelevant information.

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Target Capability Type 16:

Systematic procedures and methodologies for formulating, testing, and validating hypotheses through investigation.

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Example:

Prompt: Given an X-ray of a young man that shows heterotopic calcification around bilateral knee joints, what would be the next investigation to help diagnose the underlying condition?

Rubric: The response recommends creatine kinase (CK) testing if myositis or muscle involvement is considered in the differential.

Target Capability: Proposing specific, targeted investigative actions to differentiate between hypotheses or gather further evidence.

Target Capability Type 17:

Retrieving specific facts.

Example:

Prompt: Which nerves are associated with difficulty swallowing despite normal musculature function, and should be tested for their functionality?

Rubric: The response identifies the Facial nerve (Cranial Nerve VII) as relevant to the oral phase of swallowing (e.g., facial muscles, buccinator, taste, or saliva production).

Target Capability: Selecting specific entities from its internal knowledge that directly satisfy a given set of complex conditions.

Target Capability Type 18:

Situating information and events in historical and temporal context.

Example:

Prompt: A 10-year-old patient presents with tingling and numbness in the ulnar side of the finger. Four years ago, the patient sustained an elbow injury. Based on the symptoms and history, identify the fracture site that most likely occurred at the time of the initial accident.

Rubric: The response explicitly states or clearly implies that the patient's symptoms are a delayed complication (i.e., tardy onset) following the initial elbow injury.

Target Capability: Identifying and explicitly stating the temporal relationship between a past event and a current observation.

Target Capability Type 19:

Adherence to explicit constraints on output structure, format, and content.

Example:

Prompt: A 29-year-old pregnant woman at 10 weeks' gestation is experiencing progressively worsening nausea and vomiting, leading to a significant weight loss and affecting her ability to work. Despite taking ginger and vitamin B6, her symptoms persist. Her blood gas analysis indicates a pH of 7.43, pCO₂ of 54 mmHg, and HCO₃⁻ of 31 mEq/L. What pharmacological intervention should be added to her treatment regimen to alleviate her symptoms?

Rubric: The response provides a clear, direct, and unambiguous recommendation for the next pharmacological agent to add.

Target Capability: Formulating a direct and unambiguous conclusion or recommendation that resolves the primary question.

Target Capability Type 20:

Justifying conclusions by systematically eliminating alternative possibilities based on evidence.

Example:

Prompt: What is the best intervention for hearing rehabilitation in a patient who has undergone surgery for bilateral acoustic neuroma?

Rubric: The response states that conventional hearing aids and CROS/BiCROS devices are

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K MODEL IMPROVEMENT ATTRIBUTION TO RUBRICS REFINEMENT

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1468 In table 3, we find systematic patterns in rubric refinements. An interesting question, is what type of
 1469 refinement contributes more to the model capabilities. To see this, we compare the model trained by
 1470 initial rubrics (i.e. before the refinement) and the rubrics refined with a pair of great responses, and
 1471 calculate the scores against the refined rubrics. The increase of scores from model trained by initial
 1472 rubrics and to model trained by refined rubrics represent the gain from refinement. We compute the
 1473 average score increase in each refinement type, and present the ranking in the Table 7.

1474 However, we acknowledge that this is only a correlation study. To fully answer this question, we
 1475 need to do systematic ablations to see the effects of each refinement type on downstream model
 1476 performance. We leave this for future direction.

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Table 7: Refinement types sorted by Average Improvement.

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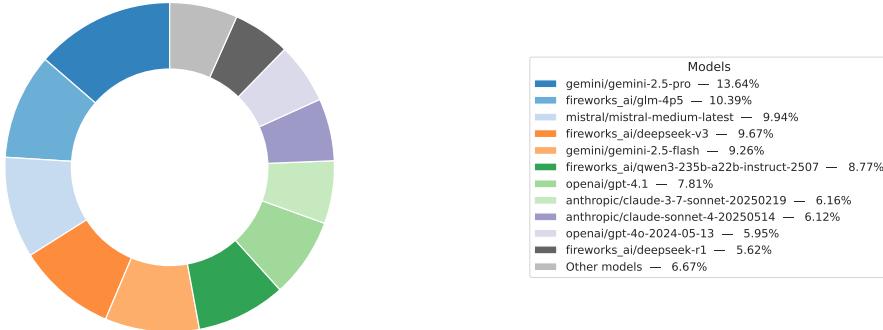
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Rank	Refinement Type	Average Improvement
1	Adding requirements for comparing alternatives	0.1099
2	Requiring explicit justification for decisions	0.1095
3	Requiring causal explanations or mechanistic understanding	0.1053
4	Enhancing verification, validation, and evidence standards	0.1033
5	Shifting focus from superficial to substantive qualities	0.1017
6	Mandating explicit statements, justifications, or declarations	0.1001
7	Incorporating risk analysis or safety constraints	0.0996
8	Introducing penalties, prohibitions, or negative scoring	0.0991
9	Breaking down complex criteria into sub-components	0.0989
10	Adding conditional or context-dependent rules	0.0979
11	Streamlining by removing redundancy	0.0977
12	Adjusting scoring weights, granularity, or mechanisms	0.0977
13	Adding timing, sequencing, or process flow criteria	0.0950
14	Broadening criteria to accept multiple approaches	0.0941
15	Requiring specific, actionable recommendations	0.0916
16	Defining explicit scope, boundaries, or constraints	0.0914
17	Mandating precise language or technical accuracy	0.0905
18	Replacing vague language with specific requirements	0.0878
19	Mandating specific structure or formatting	0.0869
20	Assessing communication quality or tone	0.0716
21	Correcting errors or aligning with intended standards	0.0686

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 1513 **L DISTRIBUTION OF MODEL SOURCES IN REFINEMENT THROUGH**
 1514 **DIFFERENTIATION**

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 1516 In the refinement with four diverse and great pairs, we gather responses from a set of SOTA models
 1517 and adaptively select the best pair for RTD. We present the distribution of model sources for the
 1518 responses used in the final refinement round in Figure 6. The responses are drawn from a diverse
 1519 collection of models, with even the top model, Gemini-2.5-Pro, contributing only 13.64% of the
 1520 responses



1521
 1522 Figure 6: The Distribution of Rubrics Targeting Each Type of Model Capabilities
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1534 **M ADDITIONAL TRAINING DYNAMICS**
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 1537 To comprehensively assess the impact of each refinement strategy, we evaluated model performance
 1538 throughout the training process. In Figure 7(a), we present the evolution of **HealthBench scores**
 1539 for models trained with different rubrics in the healthcare field. Notably, rubrics generated using **4**
 1540 **great & diverse response pairs** led to the best peak performance. This figure complements Figure 4,
 1541 demonstrating that higher quality and diversity in the responses used for rubric construction lead to
 1542 improved performance.

1543
 1544 In Figure 7(b), we present the evolution of the win rate and **PRBench-Finance score** for models
 1545 trained in the finance field. Similarly, rubrics utilizing **4 great & diverse response pairs** demon-
 1546 strated the best overall performance throughout the training. The evolution of PRBench-Finance
 1547 metrics further confirms that higher quality and greater diversity in the responses used for rubric
 1548 construction result in superior model performance.

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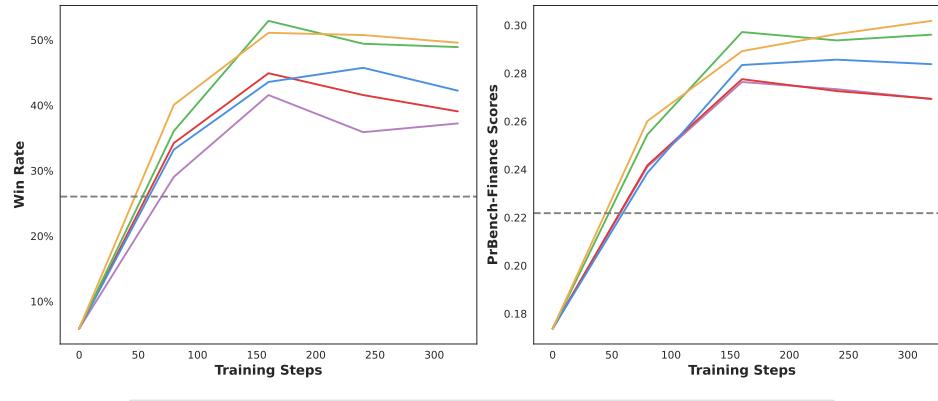
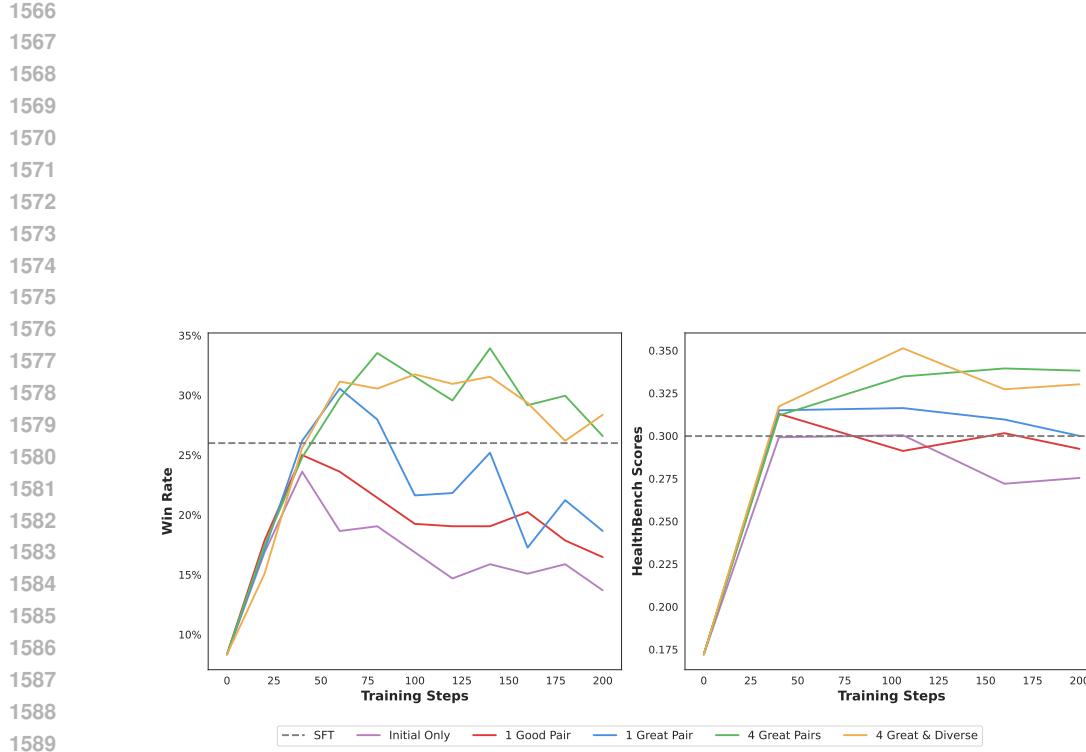


Figure 7: Model performance metrics across training steps for different rubric construction strategies. The figures show the evolution of the Win Rate and respective benchmark scores in the healthcare (a) and finance (b) domains.