Inter-Batch Cross-Attention: See More to Forget Less

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Abstract

 Our paper presents a simple training strat- egy to help prevent catastrophic forgetting in continual learners, named Inter-Batch Cross- Attention (IBCA). We discover that adding an IBCA module at the input level can signifi- cantly increase the model's continual learning performance, with minimum memory and per- formance overhead. Our method makes min- imum changes to existing transformer-based model architectures and can be used in par-**allel with other continual learning strategies.** We demonstrate its effectiveness on class- incremental classification tasks on the 20 News-groups dataset.

⁰¹⁵ 1 Introduction

 The ability of an artificial intelligence system to continuously adapt to new tasks and new data has been a main focus in the field of continual learn- ing (CL), which is also known as lifelong learning. Unlike traditional AI models which are trained to fit a static dataset, continual learners are more suit- able for real-life applications, where new data and tasks are dynamically allocated to the system con- tinuously. However, such systems usually suffer from catastrophic forgetting, where the model's performance on previously seen tasks drops sig- nificantly as new tasks and classes are introduced continually. This phenomenon can be attributed to the major difference in the memory mechanism between human intelligence and machine intelli- gence. The human brain has a long-term memory for retrieval and a short-term working memory that interacts with active tasks. In contrast, most exist- ing language models are trained on segmented data, with a limited context horizon. Neural science has shown that better working memory is related to better long-term memory. This correlation is also observed in LLMs. When models are trained with a longer context, more context memorization can

lead to better temporal consistency in the generated **040** results. **041**

Inspired by these observations, we propose a **042** simple but effective training scheme that has a ma- **043** jor discrepancy over traditional model training. We **044** enable inter-batch interactions within a batch of **045** training samples, by introducing an Inter-Batch **046** Cross-Attention. The intuition behind this design **047** is to introduce sample-wise context to the model, **048** which will assist the learning of more general, and 049 thus less shift-prone features. **050**

With our proposed training scheme, we see a 051 2% improved performance on the 20 Newsgroups **052** class-incremental learning benchmark without and **053** without experience replay. This is achieved without 054 any additional continual learning strategies tailored **055** for this task. Our experiences and analysis indicate **056** that this more human-like training scheme poten- **057** tially closes the gap between artificial intelligence **058** and human intelligence in terms of continual learn- **059** ing performance. **060**

2 Related Work **⁰⁶¹**

Class incremental learning (CIL) has been widely **062** studied in continual learning literature. It is con- **063** sidered one of the most challenging tasks in a continual learning setting which requires models to **065** retain and integrate knowledge across incremen- **066** tally introduced classes. Techniques spanning sev- **067** [e](#page-4-0)ral categories such as regularization [\(Kirkpatrick](#page-4-0) **068** [et al.,](#page-4-0) [2016;](#page-4-0) [Gok et al.,](#page-4-1) [2023;](#page-4-1) [Li and Hoiem,](#page-4-2) **069** [2016;](#page-4-2) [Kirkpatrick et al.,](#page-4-0) [2016;](#page-4-0) [Mi et al.,](#page-4-3) [2020\)](#page-4-3), **070** [k](#page-4-4)nowledge distillation [\(Li and Hoiem,](#page-4-2) [2016;](#page-4-2) [Hui](#page-4-4) **071** [et al.,](#page-4-4) [2021\)](#page-4-4), memory mechanisms [\(Chaudhry et al.,](#page-4-5) **072** [2018;](#page-4-5) [Sprechmann et al.,](#page-4-6) [2018;](#page-4-6) [Wang et al.,](#page-4-7) [2021;](#page-4-7) **073** [Shao et al.,](#page-4-8) [2023;](#page-4-8) [Hu et al.,](#page-4-9) [2021;](#page-4-9) [Madotto et al.,](#page-4-10) **074** [2020\)](#page-4-10), experience replay[\(Sun et al.,](#page-4-11) [2019;](#page-4-11) [Song](#page-4-12) **075** [et al.,](#page-4-12) [2023\)](#page-4-12), data augmentation [\(Wang et al.,](#page-4-13) [2024;](#page-4-13) **076** [Ke et al.,](#page-4-14) [2022\)](#page-4-14), and dynamic networks [\(Ke et al.,](#page-4-15) **077** [2021\)](#page-4-15), have been adopted to resolve catastrophic **078**

Figure 1: Pipeline and the cross-attention mechanism used in our method.

079 forgetting in language classification tasks.

 However, in this work, we focus on the aspect of training. We believe that a more human-like training scheme might give us some insight into [t](#page-4-16)he reason behind catastrophic forgetting. [\(Lake](#page-4-16) [and Baroni,](#page-4-16) [2023\)](#page-4-16) discovers that by providing a longer context consisting of multiple samples, a language model can generalize more like a human in a composition task. Inspired by this work, we introduce IBCA, which enables a similar training scheme on a wide range of transformer-based batch-training pipelines.

⁰⁹¹ 3 Background

 Class Incremental Learning: Class Incremental Learning [\(Kim et al.,](#page-4-17) [2022\)](#page-4-17) is a learning paradigm where a model is exposed to a sequence of classes C_1, C_2, \ldots, C_n within a single task T. The ob- jective is for the model to learn these classes sequentially, such that after learning all classes C_1, C_2, \ldots, C_n , it can correctly classify examples **from all classes** $\bigcup_{i=1}^{n} C_i$.

100 **Formally, a model M undergoing class incre-101** mental learning operates in three phases. Initially, 102 given a dataset D_1 consisting of classes C_1 , the 103 model *M* is trained to classify instances from C_1 . **104** In the incremental learning phase, for each subsequent set of classes C_i with dataset D_i , the **106** model M is updated to classify instances from 107 $\bigcup_{j=1}^{i} C_j$, ensuring minimal accuracy loss for previ-108 **ously learned classes** $\bigcup_{j=1}^{i-1} C_j$. Finally, the model's **109** performance is evaluated on a test set that includes 110 examples from all classes $\bigcup_{j=1}^{i} C_j$ after each in-111 crement C_i .

4 Methodology **¹¹²**

4.1 Model Architecture **113**

Batch-wise Context Expansion: We introduce 114 additional information for each training sample **115** by expanding the context of each sample with the **116** previous in the same batch. This can be achieved **117** by concatenating neighboring data in a data stream, **118** or concatenating the right-shifted copy of the batch **119** with the original batch (See Figure [1\)](#page-1-0). We set a **120** 30% probability of the context being substituted **121** with a zero context in the training phase since this 122 setting produces the best results in our ablation **123** study (Section [5.4\)](#page-2-0). While in the testing phase, **124** the context is introduced the same way as training, **125** except no zero context is introduced. **126**

Batch-wise Cross Attention: To process the **127** concatenated input, we use a cross-attention **128** transformer layer (See Figur [1](#page-1-0) right). Given the **129** target sequence and the concatenated context **130** sequence, we calculate the query matrix from 131 the target sequence (the sequence for which we **132** predict the class) and the key and value matrices **133** from the concatenated sequence which contains **134** the additional context and the target sequence **135** concatenation. This mechanism is only carried **136** out in the first layer and its output is passed to the **137** successive self-attention transformer layers. **138**

5 Experiments and Results **¹⁴⁰**

5.1 Experimental Settings **141**

The experiments were conducted on a system run- **142** ning with two Tesla T4 GPUs. For training, we **143** used 40 epochs with a learning rate of 1×10^{-4} . The batch size was set to 32 samples per batch, 145

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 the embedding dimension was set to 512, and the maximum sequence length was also set to 512. The number of attention heads were 4, while the num- ber of layers were 3. The attention dropout rate and layer dropout rate were both set to 0.5 and 0.2 respectively and the layer normalization epsilon **was set to** 1×10^{-5} .

153 5.1.1 Dataset

 The 20 Newsgroup dataset was used, comprising 20 classes with approximately 1000 documents per class. For class-incremental learning settings, 10 tasks were created with 2 classes assigned per task.

158 5.2 Evaluation Metrics

 The evaluation metrics included Average Accu-**racy** (AA), which is the average accuracy of all tasks at the end of the last task, and Average For- getting (AF), which is the average forgetting ratio of all tasks at the end of the last task.

164 5.3 Main Results

Table 1: Performance comparison with the baselines. Speed measures the time required for training by a model. Buffer Size represents the number of document samples replayed in total.

 Table 1 shows IBCA's performance against the baselines in a non-continual-learning setup, class-incremental learning with replay, and class- incremental learning without replay. A full base- line model is a conventional approach trained si- multaneously on all 20 classes. The IBCA model outperforms the traditional baseline model with a performance improvement, as reflected by a higher accuracy (57.49% compared to 55.93%). In the CIL without replay setup, IBCA reports a 2-3% decrease in average forgetting and a 2% increase in the average accuracy, with a negligible additional amount of computation complexity.

5.4 Ablation Results **178**

Table 2: Ablation study

Table [2](#page-2-1) shows the ablation studies performed on **179** the model. We present ablation studies across 3 **180** different setups: 1. number of context samples provided during training. 2. probability which controls **182** which sample is provided with an empty context 183 instead of a context sample, and 3. context samples **184** provided during testing It is important to note that **185** the best setting was carried forward to the next ab- **186** lation setup in the table. **187**

For the number of context samples, 0 sample corresponds to a randomly generated tensor given as **189** context to the training sample whereas 1 sample **190** and 2 samples denote the number of previous con- **191** text samples concatenated to the training sample. **192** The setup with 1 context sample performed the best **193** with an accuracy of 45.57%. The next component 194 is replacing the context with an empty context to **195** study if the improved performance is from the ad- **196** ditional architecture or the information from the **197** context. 0 probability corresponds to no replace- **198** ment, whereas 0.3 and 0.7 correspond to 30% and **199** 70% probabilities of the context sample being a **200** zero tensor for a training example. Out of these **201** the 0.3 context probability performed the best and **202** gave an accuracy of 46.93%. **203**

Finally, the testing context provided to the model **204** was examined with empty context, test batch con- **205** text, and saved train batch sample context. In empty **206** context, each testing sample receives a zero tensor **207** as a context whereas in the test batch and saved **208** train batch, each testing sample is appended with **209** its neighboring sample as context or a saved sample **210** during training as context respectively. This led to **211** the test batch performing the best with a 46.93% **212**

Figure 2: Row 1 depicts the Attention Map across the 4 heads generated from the IBCA Model. Row 2 depicts the Attention Map across the 4 heads generated from the Baseline Model

213 accuracy and 32.20% average forgetting.

 The best performance and efficiency trade-off has the setting of 1 context sample, 0.3 zero ratio, and the inter-batch samples from the text batch. This is also the setting we use for the main experi-**218** ments.

219 5.5 Analytic Results

 Figure [2](#page-3-0) provides a comparative analysis between the attention maps generated from the baseline model and the IBCA model. Both the models have the same hyperparameters. As proposed in our methodology, the target sample in IBCA is concatenated with additional context, effectively doubling its sequence length. The attention maps are obtained from the first layer for the respective models; it is interesting to note that this attention map is from a test sample which is misclassified by the baseline model but correctly classified by the IBCA model. Comparing the attention maps across each of the individual heads, we can a relatively consistent attention in the plots generated from the baseline mode, whereas the attention map from the IBCA model exhibits a completely different behav- ior. The attention map from the target samples has higher values resulting in a brighter shade, whereas the attention from the additional context is lower in value but with distinct patterns. This indicates that the context information is indeed passed to the next stage of target image processing. Furthermore, it can be observed that IBCA at the first layer, can distinguish apart the padding tokens in the samples, while the baseline model fails to do so. This indicates the IBCA can capture a general feature better **245** than the baseline model. Finally, we can conclude **246** that IBCA assists in the main training objective, **247** by providing additional guidance on forming high- **248** level features at the initial levels of a multi-level **249** transformer based architecture. **250**

6 Conclusion **²⁵¹**

We present our novel, yet simple, Inter-Batch **252** Cross-Attention (IBCA) technique to tackle the **253** enduring problem of catastrophic forgetting prob- **254** lem. With small computing overheads, it presents **255** a viable approach to mitigate the catastrophic for- **256** getting problem, even though it might not outper- **257** form the most advanced approaches' performance **258** benchmarks. Our technology offers a lightweight, **259** portable, and flexible way to support CL efforts. **260** In a world where "Compute is king". Our experi- **261** mental findings support the effectiveness of IBCA **262** in knowledge preservation over time, showing an **263** average 2% improvement in accuracy and a mini- **264** mum 2% decrease in forgetting rate. IBCA offers 265 a solution by balancing resource savings with per- **266** formance improvement. IBCA provides a valuable **267** tool paving the way for future research to further **268** optimize and expand its application in diverse AI **269** systems. **270**

²⁷¹ 7 Limitations

 In this study, we only explored the class- incremental learning setup, but our method can be easily applied to domain and task incremental settings. Our models are trained with limited com- puting resources, thus the performance presented might not reflect full convergence.

 More experiments and compatibility with other state-of-the-art continual learning strategies can be explored. Future research with access to larger computational resources could investigate the scal- ability and efficiency of handling larger datasets or pretrained models. In its current form, this method is not sufficient to be used in real-life applications that can achieve significant good results. However, we believe our method can be an inspiration for fu- ture works to focus on a more human-like learning experience, rather than treating catastrophic forget- ting as an engineering problem. We believe a more general framework like the one we present in the paper is of greater long-term impact on the develop-ment of human-level artificial general intelligence.

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