Exploring Beyond Curiosity Rewards: Language-Driven Exploration in RL

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Abstract

Sparse rewards pose a significant challenge for many reinforcement learning algorithms, 2 3 which struggle in the absence of a dense, well-shaped reward function. Drawing inspiration from the curiosity exhibited in animals, intrinsically-driven methods overcome this 4 5 drawback by incentivizing agents to explore novel states. Yet, in the absence of domainspecific priors, sample efficiency is hindered as most discovered novelty has little relevance 6 to the true task reward. We present iLLM, a curiosity-driven approach that leverages the 7 inductive bias of foundation models — Large Language Models, as a source of information 8 about plausibly useful behaviors. Two tasks are introduced for shaping exploration: 1) 9 action generation and 2) history compression, where the language model is prompted with 10 a description of the state-action trajectory. We further propose a technique for mapping 11 state-action pairs to pretrained token embeddings of the language model in order to al-12 leviate the need for explicit textual descriptions of the environment. By distilling prior 13 knowledge from large language models, iLLM encourages agents to discover diverse and 14 human-meaningful behaviors without requiring direct human intervention. We evaluate 15 the proposed method on BabyAI-Text, MiniHack, Atari games, and Crafter tasks, demon-16 strating higher sample efficiency compared to prior curiosity-driven approaches. 17

18 **Keywords:** deep reinforcement learning; curiosity-driven exploration; curiosity

19 1. Introduction

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Given an agent without prior knowledge of the environment, a long-standing problem is: 20 what should the agent learn first? In reward-dense environments, the agent receives a 21 continuous gradient signal that guides learning through interactions. When rewards are 22 sparse or delayed, standard reinforcement learning (RL) algorithms struggle because of 23 reliance on simple action entropy maximization as a source of exploration behavior. As a 24 result, sample efficiency remains a major bottleneck in applying RL to real-world problems. 25 Various techniques were proposed to achieve better explorative policies. Intrinsically mo-26 tivated RL methods answer this question by augmenting extrinsic rewards with auxiliary 27 objectives based on novelty, surprise, or progress Burda et al. (2019a,b); Bougie and Ichise 28 (2020a). Agents may also be rewarded in proportion to the prediction errors or information 29 gains of a predictive world model Pathak et al. (2017). Such formulations take inspiration 30 from cognitive sciences, with several psychological studies showcasing the role of novelty in 31 children's curious exploration. However, they suffer from a number of pitfalls Burda et al. 32 (2019a). A notable issue is the lack of human supervision for solving the task, encouraging 33

the discovery of behaviors that are unlikely to correspond to any human-meaningful behaviors Du et al. (2023). In other words, it is not sufficient for intrinsically-driven agents to optimize for novelty alone — learned behaviors must also be useful.

In this study, we explore the potential of large language models (LLMs) to overcome 37 these barriers by encouraging the discovery of behaviors that are both novel and pragmat-38 ically useful. Our hypothesis is that LLMs, by distilling prior knowledge about the task, 39 can direct agents toward more valuable behaviors. Combining RL and language models has 40 been employed in a few recent studies. A strategy involves rewarding an agent for achieving 41 goals suggested by a language model Du et al. (2023). LLM may also be used to predict 42 future text and image representations, and learn to act from imagined model rollouts Lin 43 et al. (2023). Most language-conditioned RL methods primarily learn to generate actions 44 from task-specific instructions — taking a goal description such as "pick up the red key" 45 as an input and outputting a sequence of motor controls Klissarov et al. (2023). However, 46 LLMs are prone to incorrect assumptions and thus suffer from brittle, degraded perfor-47 mance. Unlike most prior studies that directly perform actions/instructions recommended 48 by a language model, we rely on language-driven rewards as a drive to explore, which is 49 critical to better-than-expert performance. 50

We present, intrinsic exploration driven by Large Language Models (iLLM), an ap-51 proach that leverages pretrained language models as a novelty signal, encouraging explo-52 ration of diverse and human-meaningful behaviors. LLMs are probabilistic models of text 53 trained on extensive text corpora, their predictions encode rich information about human 54 common-sense knowledge and cultural conventions. Concretely, our method prompts an 55 LLM with an action generation task given a description of a short state-action trajectory 56 and rewards the agent when its actions align with the LLM's predictions. We also incorpo-57 rate a history compression task, designed to capture long-term meaningful behaviors, and 58 help the acquisition of a robust representation of the environment by discarding irrelevant 59 details from state-action pairs. We further propose a technique based on Hopfield networks 60 Ramsauer et al. (2020) to align state-action pairs from any modality with the input space 61 of the LLM — token embeddings, bypassing the need for explicit textual description of 62 the environment. We evaluate iLLM on challenging sparse-reward RL problems, including 63 BabyAI-Text, MiniHack, Atari games, and Crafter. Experimental results show that iLLM 64 outperforms state-of-the-art exploration methods, demonstrating the benefits of considering 65 LLM-driven exploration compared to prior curiosity-driven methods. 66

67 2. Related Work

68 2.1. Language Models in Reinforcement Learning

Several studies have attempted to combine language models and RL. In language-conditioned 69 RL, an instruction-following agent learns a policy that executes actions in an environment 70 in order to follow a language instruction Luketina et al. (2019). A line of work aims to shape 71 the agent's exploration through the utilization of LLMs. LLMs trained on huge datasets 72 were shown to exhibit impressive abilities along with fast adaptation to a wide range of 73 downstream tasks from vision Yuan et al. (2021) to cross-modalities Ramesh et al. (2021); 74 Alayrac et al. (2022). Such abilities have been utilized to provide rewards to RL agents, 75 such as done by Gupta et al. Gupta et al. (2022) and Fan et al. Fan et al. (2022), where 76

CLIP is employed to generate a novelty signal. In contrast with those methods, iLLM can
utilize any LLM and environment, as it learns a mapping between observations and the
embedding space of the LLM.

In a different spirit, an LLM may serve as a high-level supervisor, providing guidance 80 when needed. For instance, in SayCan Ahn et al. (2022) and Inner Monologue Huang et al. 81 (2022), an LLM provides natural language actions that are both feasible and contextually 82 appropriate, supplying high-level semantic knowledge about the task. Nevertheless, those 83 techniques do not have a way to directly take actions in embodied environments, or of 84 knowing what is happening in an environment. To solve this issue, a recent study Dasgupta 85 et al. (2023) has grafted novel components onto the agent model referred to as a reporter 86 observing the environment and reporting useful information to the planner. 87

In the absence of grounding, the discrepancy between the actions/observations and 88 internal representation of the LLM may limit its performance. Thus, several works have 89 proposed to first finetune LLMs on expert trajectories before using them in the environment. 90 A recent work Wang et al. (2022) has demonstrated that agents that learn interactively in a 91 grounded environment are more sample and parameter-efficient than LLMs that learn offline 92 by reading text from static sources. Similarly, ChibiT Reid et al. (2022) overcomes the need 93 for symbol grounding with an extension of positional embeddings, embedding similarity 94 encouragement. In our study, state-action alignment with the LLM's embedding space is 95 performed during the policy training phase via a Hopfield module. Hopfield networks have 96 been employed in HELM for state history aggregation Paischer et al. (2022), but they apply 97 these to state representation learning rather than as intrinsic rewards for RL. Notably, iLLM 98 seeks to align state-action pairs via a Hopfield module, and then feeds into a pretrained LLM 99 the aligned representation in order to bias exploration towards plausibly useful behaviors. 100

An alternative strategy is text pretraining, where LLMs can help learners automatically 101 recognize sub-goals and learn modular sub-policies from unlabelled demonstrations Sharma 102 et al. (2021). LLMs have also served as proxy reward functions when prompted with desired 103 behaviors Kwon et al. (2023). In ChibiT Reid et al. (2022), the agent is trained with an 104 objective that maximizes the similarity between language embeddings and observation em-105 beddings. In contrast, iLLM leverages pretrained LLMs to constrain exploration towards 106 meaningful behaviors in a task-agnostic manner. It does not assume demonstrations or 107 task-specific prompts. Instead of directly generating actions or sub-goals, one could poten-108 tially craft a proxy reward by querying a language model to rank observations based on 109 their relevance to achieving the final goal Klissarov et al. (2023). Nonetheless, it remains 110 unclear how to generalize such approaches to more complex tasks without a clear skill de-111 composition. A similar study to our work is ELLM Du et al. (2023), which rewards an 112 agent for achieving goals suggested by a language model prompted with a description of the 113 agent's current state. However, the authors assume access to a text-based representation 114 of the environment and the ability to measure if a goal was achieved. 115

116 2.2. Curiosity-Driven Exploration

Drawing inspiration from animal curiosity, intrinsic motivation encourages agents to learn
about their environments even with sparse or delayed extrinsic feedback. In recent years,
several model-based approaches have been proposed. The well-known ICM algorithm



Figure 1: Architecture of iLLM using text observations (left), and iLLM employing a Hopfield module to align state-action pairs with the LLM's token embeddings (right). The latter feeds the current observation and previous action into a Hopfield module, followed by the LLM. The aligned representation of state-action pairs Z_h is then used: 1) as input of the policy, and 2) along with the embedded representation of a prompt Z_p into the frozen LLM for action generation and history compression tasks. Intrinsic rewards r^a and r^{hc} are computed based on the distance between the LLM output and the prediction of an action head f^a and history compression head f^{hc} , respectively.

Pathak et al. (2017) relies on predicting environment dynamics using an inverse-forward 120 dynamic model. To deal with the undesirable stochasticity issue Burda et al. (2019a), RND 121 Burda et al. (2019b) introduces an exploration reward using a prediction problem where 122 the answer is a deterministic function of its inputs. Another class of exploration methods 123 seeks to maximize the diversity of skills mastered by the agent Bougie and Ichise (2020b). 124 Nevertheless, maximizing state diversity also drives learning towards behaviors that lack 125 relevance to downstream tasks Du et al. (2023). Humans do not explore solution spaces 126 uniformly, but instead rely on their common sense to explore plausibly relevant behaviors 127 first. iLLM addresses these shortcomings by constraining the exploration space based on 128 prior assumptions derived from a pretrained LLM, imitating the way humans explore. 129

$_{130}$ 3. Method

Our approach, iLLM, distills a pretrained LLM to guide exploration. Specifically, we 131 consider partially observable Markov decision processes (POMDPs) defined by a tuple 132 $(\mathcal{S}, \mathcal{A}, \mathcal{O}, \Omega, \mathcal{T}, \gamma, \mathcal{R})$, in which an observation $o \in \mathcal{O}$ derives from environment state $s \in \mathcal{S}$ 133 and an action $a \in \mathcal{A}$ via $\mathcal{O}(o|s, a)$. $\mathcal{T}(s'|s, a)$ describes the dynamics of the environment 134 while \mathcal{R} and γ refer to the environment's reward function and discount factor, respectively. 135 iLLM agents optimize for an intrinsic reward \mathcal{R}_{int} alongside of \mathcal{R} . At each time step t, 136 our method produces an intrinsic reward b_t , which is further summed up with the extrinsic 137 reward r_t to give an augmented reward $r_t^* = r_t + b_t$. As the intrinsic reward function \mathcal{R}_{int} 138 is designed to be more dense and well aligned with \mathcal{R} , it accelerates the agent's learning. 139 One key question is how should we choose \mathcal{R}_{int} to drive the agent's learning? As 140 mentioned above, the intrinsic reward function should prioritize the exploration of plausibly 141

useful behaviors first while maintaining some degree of diversity. Here, we leverage languagebased action generation and history compression as a measure of curiosity. Similar to how next-token prediction allows language models to form internal representations of world knowledge Devlin et al. (2018), we postulate that generating the next action and a summary of the agent's history provides a rich learning signal for agents to understand language and how it relates to the world.

148 3.1. LLM-driven Curiosity

LLMs broadly fall into three categories: autoregressive, masked, and encoder-decoder mod-149 els. Autoregressive models such as GPT are trained to maximize the log-likelihood of the 150 next word given the previous words, in a step-by-step, or autoregressive, fashion. In our 151 work, we employ a frozen autoregressive LLM as a proxy reward function that takes in a 152 prompt and outputs a string. The prompt is a concatenation of two components includ-153 ing a description of recent state-action pairs and a user-specified question to the LLM. As 154 user-specified questions, we introduce two types of prompts: action generation, and history 155 compression. In the latter, the LLM is prompted to summarize state-action pairs (see Fig-156 ure 1). Using the generated string, the agent derives its own intrinsic motivation, guiding 157 it toward human-meaningful and diverse regions of the environment. 158

Namely, the input to the LLM is the concatenated multimodal tokens $[Z_h, Z_p]$, where Z_p are the text embeddings, tokenized from text prompts (e.g., *select the next action*). Given $[Z_h, Z_p]$, the LLM computes the (log) probability of each answer token in an autoregressive fashion as shown below:

$$p(Z_a|Z_h, Z_p) = \prod_{i=1}^{L} p_{\theta}(z_i|Z_h, Z_p, Z_{a, < i}),$$
(1)

where θ is the set of the LLM's parameters, Z_a is the generated answer, $Z_{a,\leq i}$ are the answer tokens before the current prediction token z_i , and L is the sequence length. In this study, we explore two strategies for obtaining state-action tokens Z_h : 1) directly using (tokenized) text-based environmental observations, 2) translating observations/actions into embedding features via a Hopfield module (Sec 3.2) — the problem of finding a suitable translation from environment observations to the language domain.

169 3.1.1. ACTION GENERATION

At each timestep t, we acquire the next action \bar{a}_t by prompting the frozen LLM with a list of the K available actions Z_p and a description of recent states and actions Z_h . We rely on closed-form generation, in which a list of K possible actions is given to the LLM, and the action with the highest log-probability is returned:

$$\bar{a}_t = \max_{a^i \in \{1, \dots, K\}} LLM(a^i | Z_h, Z_p),$$
(2)

where Z_p are the tokens of the tokenized action generation prompt (see Appendix B).

Instead of directly performing the LLM-recommended action \bar{a} that may be suboptimal,

we leverage it to drive exploration through an intrinsic reward. The *action intrinsic reward* r^{a} is computed as the similarity between the LLM-generated action \bar{a} and the action that

was predicted by an *action head* f^a . Specifically, the action head f^a that is attached to the policy (Figure 1) predicts the next action given the internal representation $\phi(o)$ learned by the policy. We compute the intrinsic reward r^a in the following manner:

$$r_t^a = \frac{1}{2} \left\| f^a(\phi(o_t)) - \bar{a}'_t \right\|_2^2, \tag{3}$$

where \bar{a}'_t is an indicator vector containing 1 for the action \bar{a} and 0 otherwise. f^a is trained with respect to its parameters θ_A to minimize the following prediction-error loss:

$$L_{act}(\bar{a}, \phi(o)) = -\sum_{i=1}^{|\mathcal{A}|} \bar{a}^i \log(p_i | \phi(o)),$$
(4)

where \bar{a}^i is a binary indicator (0 or 1) if action \bar{a} is the correct action for observation $\phi(o)$, and p_i is the predicted probability of action *i* by f^a .

185 3.1.2. HISTORY COMPRESSION

The second language task being used is history compression, also referred to as summarization. The LLM is prompted to compress the agent's history into a short text. We rely on open-ended generation, in which the LLM outputs a summary of past state-action tuples Z_h .

Assuming a history compression head f^{hc} (Figure 1) parametrized by θ_{HC} , the history compression intrinsic reward r^{hc} is proportional to the Euclidean distance between the mean-pooled representation of the summary generated by the LLM and the logits produced by f^{hc} :

$$r_t^{hc} = \frac{1}{2} ||\sigma(LLM(Z_h, Z_p)) - f^{hc}(\phi(o_t))||_2^2,$$
(5)

where, for the brevity of method description, $\sigma(LLM(Z_h, Z_p))$ refers to the mean-pooled 194 representation of the LLM, and Z_p is the summarization prompt. f^{hc} is trained to min-195 imize the L2 loss with the LLM's mean-pooled representation, L_{hc} . Since f^{hc} gradients 196 can backpropagate to the policy, this task encourages the model to focus on task-relevant 197 information — noise is discarded by the pretrained LLM during history compression. In 198 addition, we hypothesize that predicting information from a temporally extended horizon 199 improves exploration in POMDPs and guards against premature vanishing of intrinsic re-200 wards. Namely, unlike next action generation, history compression considers a broader 201 context and the cumulative effects of actions rather than isolated steps. 202

The overall optimization problem that is solved for learning the agent can be written as,

$$\min_{\theta_P, \theta_A, \theta_{HC}} \left[-\lambda \mathbb{E}_{\pi(s;\theta_P)} \left[\sum_t r_t^* \right] + (1 - \beta) L_{act} + \beta L_{hc} \right], \tag{6}$$

where $0 \le \beta \le 1$ is a scalar that weighs the action-generation loss against summarization loss, λ is a scalar that weighs the importance of the policy gradient loss against the importance of learning the intrinsic signal, and the augmented reward is defined as $r_t^* = r_t + b_t = r_t + r_t^a + r_t^{hc}$. $\theta_P, \theta_A, \theta_{HC}$ are the parameters of π , f^a and f^{hc} respectively.

209 3.2. Translating State-Action Pairs into Embedding Features

So far, we have seen how to guide the agent's exploration by querying an LLM with a prompt Z_p and a description of state-action pairs Z_h . Although a text-based description may be available in some tasks, we cannot always expect to have access to such type of observations. Therefore, we argue that it is necessary to design a mechanism that, given any type of observations and actions, can map them to the token embedding space of the LLM.

To overcome this challenge, we present a method to align environment pairs of observations $o_t \in \mathbb{R}^n$ and past actions $a_{t-1} \in \mathbb{R}^d$ to the LLM's embedding space, which does not require back-propagating gradients through the entire language model. It relies on a Hopfield module that performs a randomized attention over pretrained token embeddings of the LLM $\mathbf{E} = (e_1, ..., e_n)^{\mathsf{T}} \in \mathbb{R}^{k \times m}$, where k is the vocabulary size and m the embedding size. Assuming $\mathbf{P} \in \mathbb{R}^{m \times (n+d)}$ to be a random matrix with entries sampled independently

Assuming $\mathbf{P} \in \mathbb{R}^{m \land (n+d)}$ to be a random matrix with entries sampled independently from a Gaussian distribution $\mathcal{N}(0, (n+d)/m)$, let x_t to be the output of the Hopfield:

$$x_t = \mathbf{E}^{\top} \operatorname{softmax}(\beta \mathbf{EP}(o_t \cdot a_{t-1})), \tag{7}$$

where \cdot denotes the concatenation and β is a hyperparameter that controls the dispersion of x_t within the convex hull of the token embeddings. This corresponds to a spatial compression of observations and actions to a mixture of tokens in the LLM embedding space. At time t, the aligned representation Z_h of a state-action pair is expressed as:

$$Z_h = LLM(c_{t-1}, x_t), \tag{8}$$

where c_t is the context cached in the memory register of the LLM up to timestep t.

4. Experiments

Environments. The experimental evaluation aims to test our central hypothesis: LLMs 229 improve the exploration efficiency for RL algorithms in sparse reward environments. We 230 conduct a serie of experiments on nine BabyAI-Text tasks Chevalier-Boisvert et al. (2018), 231 including KeyCorrS4R3, KeyCorrS5R3, ObstrMaze2D1HB, ObstrMaze1Q, GoToObj, Pick-232 upLoc, PutNextS7N4Carrying, PutNextLocal, and OpenRedDoor. To demonstrate iLLM's 233 scalability, we extend the evaluation to more challenging MiniHack tasks Samvelyan et al. 234 (2021), including LavaCrossing-Ring, LavaCross-Potion, LavaCross-Full, MultiRoom-N4-235 Monster, and River-Monster. We also demonstrate the importance of translating state-236 action pairs into the LLM's embedding space by evaluating iLLM on five Atari games 237 Bellemare et al. (2013), featuring image-based observations and long-term exploration. Fi-238 nally, we demonstrate that iLLM can be used in tasks that require skill acquisition, such as 239 in the Crafter environment Hafner (2021). 240

Baselines. We compare our method against a number of baselines: RND Burda et al. (2019b) and NGU Badia et al. (2020) that employ prediction errors to motivate exploration, APT Liu and Abbeel (2021) that exposes task-specific rewards after an unsupervised pretraining phase, and ELLM Du et al. (2023) that rewards the agent for achieving any goal suggested by an LLM. As highlighted in a recent survey Hao et al. (2023), RND, NGU, and APT were selected since they operate in the *low data* regime, unlike some other methods

	Key Corr	idor Tasks	Obstructed M	laze Tasks	Go To Task	Pickup Task	Put Next Ta	asks	Open Door Task
Method	KeyCorrS4R3	KeyCorrS5R3	ObstrMaze2D1HB	ObstrMaze1Q	GoToObj	PickupLoc	PutNextS7N4Carrying	PutNextLocal	OpenRedDoor
RND	0.0 ± 0.00 > $60M$	0.0 ± 0.00 > 200M	0.0 ± 0.00 > 200M	0.0 ± 0.00 > $300M$	0.51 ± 0.22 > 100M	0.18 ± 0.11 > 100M	0.22 ± 0.09 > 100M	0.0 ± 0.00 > 100M	0.34 ± 0.13 > 100M
NGU	0.34 ± 0.25 > $60M$	0.0 ± 0.00 > 200M	0.0 ± 0.00 > 200M	0.0 ± 0.00 > $300M$	$0.42 \pm 0.25 \\ > 100M$	0.25 ± 0.20 > 100M	0.28 ± 0.14 > 100M	0.01 ± 0.01 > $100M$	0.34 ± 0.16 > 100M
ELLM	$0.89 \pm 0.01 \\ 60M$	0.90 ± 0.01 190M	0.17 ± 0.08 > 200M	0.33 ± 0.06 > $300M$	$0.88 \pm 0.01 \\ 80M$	0.66 ± 0.17 > 100M	0.45 ± 0.17 > 100M	0.06 ± 0.08 > $100M$	$0.65 \pm 0.10 \\ 60M$
APT	0.12 ± 0.06 > $60M$	0.5 ± 0.14 > 200M	0.0 ± 0.00 > 200M	0.0 ± 0.00 > $300M$	0.48 ± 0.17 > 100M	0.30 ± 0.08 > $100M$	0.41 ± 0.25 > 100M	0.14 ± 0.08 > 100M	$0.98 \pm 0.01 \\ 47M$
Pangu	0.90 ± 0.01 > $60M$	0.92 ± 0.01 168M	0.86 ± 0.08 > 200M	0.45 ± 0.12 > $300M$	$0.92\pm0.01 \\ 65M$	$^{0.60\pm0.09}_{>100M}$	0.68 ± 0.21 > 100M	0.01 ± 0.02 > $100M$	0.90 ± 0.01 33M
ChibiT	0.88 ± 0.04 > $60M$	0.90 ± 0.01 193M	0.77 ± 0.10 > 200M	0.74 ± 0.13 > $300M$	0.76 ± 0.09 > $100M$	0.70 ± 0.12 > $100M$	0.62 ± 0.11 > 100M	0.33 ± 0.07 > 100M	0.89 ± 0.03 27M
PAE	0.93±0.00 30M	0.92 ± 0.01 90M	$0.88 \pm 0.01 \\ 150M$	$0.89 \pm 0.01 \\ 150M$	$0.94 \pm 0.01 \\ 53M$	$0.77 \pm 0.22 \\ 89M$	0.71 ± 0.22 > 100M	0.28 ± 0.03 > 100M	0.89 ± 0.01 28M
iLLM(obs)	0.93±0.01 30M	0.92 ± 0.02 76M	0.89 ± 0.00 130M	$0.91 \pm 0.01 \\ 132M$	0.94 ± 0.00 39M	$0.80 \pm 0.06 \\ 77M$	0.76 ± 0.01 100M	0.38 ± 0.14 > 100M	0.96±0.01 22M
iLLM(hop)	0.94 ± 0.01 33M	$0.90\pm0.02 \\ 81M$	0.92±0.02 128M	0.93±0.01 130	$0.92 \pm 0.01 \\ 45M$	$0.85 \pm 0.01 \\ 68M$	0.78±0.11 > 100M	0.49±0.12 > 100M	0.96±0.03 25M

Table 1: Comparison of iLLM and baseline approaches in BabyAI environments. Averages over 10 runs. Each entry consists of two rows of results, with the top row being the average extrinsic reward at the end of training and the bottom row being the minimal stable steps to attain that reward. Smaller bottom row values signify faster convergence, and "> n" indicates the absence of convergence within the maximum training steps "n".

that require billions of training steps. When available, we also report results of Pangu Christianos et al. (2023), ChibiT Reid et al. (2022), and PAE Anonymous (2023) agents, two approaches built upon LLM-driven exploration. Our comparisons involve two variations of iLLM: iLLM(obs), which utilizes textual descriptions provided by the environment for action generation and history compression, and iLLM(hop), which leverages translated state-action pairs as inputs for the language tasks.

Implementation Details. As our policy learning method, we rely on PPO Schulman 253 et al. (2017) with Generalized Advantage Estimation and clipping parameter $\epsilon = 0.2$. The 254 actor and critic networks consist of three fully-connected layers with 128 hidden units. Tanh 255 is used as the activation function, and the output value of the actor network is scaled to the 256 range of each action dimension. Training is carried out with a fixed learning rate of 0.0007 257 using the AdamW optimizer, with a batch size of 128. The policy is trained for 4 epochs 258 after each episode. As for the LLM choice, we compared several models (see Section 4.5.1), 259 and selected Transfo-XL 280M with the temperature = 0. The intrinsic reward $b_t = r_t^a + r_t^{hc}$ 260 is normalized and then scaled by a factor 0.3 before being summed up with r_t . The prompts, 261 pseudo-code, and more implementation details of iLLM are shown in Appendix B. 262

263 4.1. BabyAI-Text Tasks

iLLM was evaluated on nine BabyAI-text tasks. BabyAI-text is a suitable evaluation en-264 vironment as it provides both image-based and text-based representations of observations. 265 We report the mean and standard deviation of the success rate over 10 seeds in Table 1. We 266 can draw a couple of observations from the results. iLLM achieves higher convergence speed 267 than most prior studies. In comparison, in PickUpLoc, both RND and NGU are still under 268 0.25 after 100 million steps, while iLLM(hop) reaches ≈ 0.85 after 68 million steps. Notably, 269 our method exhibits a significantly higher final performance compared to ELLM, due to the 270 difficulty of assessing when a goal was achieved and its tendency to select suboptimal goals. 271

Method	LavaCrossing-Ring	LavaCross-Potion	LavaCross-Full	MultiRoom-N4-Monster	River-Monster
RND	0.0 ± 0.00 > 40M	0.0 ± 0.00 > 20M			
NGU	0.0 ± 0.00 > 40M	0.09 ± 0.02 > 40M	0.0 ± 0.00 > 40M	0.14 ± 0.11 > 40M	0.06 ± 0.07 > 20M
ELLM	0.29 ± 0.11 > 40M	$0.51 \pm 0.10 > 40M$	$0.44 \pm 0.15 > 40M$	0.28 ± 0.20 > 40M	$0.22 \pm 0.03 > 20M$
APT	0.11 ± 0.08 > 40M	0.32 ± 0.13 > 40M	$0.40 \pm 0.06 > 40M$	0.31 ± 0.02 > 40M	0.08 ± 0.00 > 20M
Pangu	$0.98 \pm 0.10 \\ 35M$	$0.88 \pm 0.01 \\ 40M$	0.50 ± 0.07 > 40M	$0.70 \pm 0.16 > 40M$	0.16 ± 0.02 > 20M
ChibiT	0.78 ± 0.11 > 40M	0.86 ± 0.04 > 40M	0.39 ± 0.10 > 40M	0.46 ± 0.12 > 40M	0.13 ± 0.04 > 20M
PAE	$1.0 \pm 0.00 \\ 22M$	$0.99 \pm 0.00 \\ 35M$	$1.0\pm 0.00 \\ 24M$	$0.72 \pm 0.00 > 40M$	0.13 ± 0.01 > 20M
iLLM(obs)	1.0 ± 0.00 20M	0.99 ± 0.01 32M	0.99 ± 0.01 22M	$0.69 \pm 0.04 > 40M$	0.13 ± 0.01 > 20M
iLLM(hop)	$0.97 \pm 0.02 \\ 28M$	$1.0\pm 0.02 \\ 39M$	$0.98 \pm 0.03 \\ 26M$	0.75±0.07 > 40M	0.38±0.12 > 20M

Table 2: Results against exploration algorithm baselines in MiniHack environments. Averages over 10 runs.

The results demonstrate how language-driven rewards can be used as a tool to scaffold learning by leveraging their prior knowledge. As expected, iLLM(hop) has a slightly slower convergence, although it ends up reaching the same or higher final performance if run long enough. This might be attributed to the richer representation captured by the Hopfield module, surpassing the simplicity of human-crafted text-based observations.

277 4.2. MiniHack Environment

Table 2 gives the quantitative results in the MiniHack environment Samvelvan et al. (2021). 278 and shows the average extrinsic reward as well as the number of steps required for each model 279 to converge. Utilizing an LLM as done by ELLM, PAE, SFT-RL, and iLLM outperforms 280 pure curiosity-driven approaches, including RND and NGU. Additionally, we notice that 281 iLLM(hop) reaches similar performance with iLLM(obs), demonstrating the relevance of 282 the proposed state-action alignment technique. Nevertheless, LLMs are prone to mistakes 283 in the MiniHack domain, capping the score of ELLM. This highlights the significance of 284 exploration driven by intrinsic rewards as opposed to plain "imitation learning". Moreover, 285 in River-Monster, iLLM(hop) achieves state-of-the-art performance by leveraging the Hop-286 field module's ability to capture temporal information into the learned representations of 287 states and actions. 288

289 4.3. Atari Games

We also evaluate iLLM on five difficult exploration Atari 2600 games from the Arcade Learning Environment (ALE) Bellemare et al. (2013): Montezuma's Revenge (MR), PrivateEye, Gravitar, Pitfall, and Seaquest. In the selected games, training an agent with a poor exploration strategy often results in a suboptimal policy. Note that some baselines such as ELLM

Method	MR	PrivateEye	Gravitar	Pitfall	Seaquest
RND	456 ± 55	598 ± 110	192 ± 34	-11 <u>±</u> 3	$2,612 \pm 315$
NGU	512 ± 39	$1,872 \pm 128$	$1,\!630\!\pm\!111$	-6 ± 2	$15,\!616{\pm}3,\!838$
ELLM	-	-	-	-	-
APT	711 ± 66	$2,982 \pm 322$	$1,420\pm 245$	-12±3	$19,\!989{\pm}2,\!873$
ChibiT	$1,231 \pm 187$	$3,\!633 \pm 334$	$2,983 \pm 302$	-10 ± 2	$16,441 \pm 2,462$
iLLM (obs)	-	-	-	-	-
iLLM (hop)	$2,\!632{\pm}277$	$4,\!422{\pm}376$	$4,\!044 {\pm} 559$	$125{\pm}24$	$18,851 \pm 2,930$

Table 3: Performance of curiosity-driven learning algorithms and iLLM on Atari tasks. All methods are tested with 10 random seeds. Averages over 10 runs for 100 million steps.



Figure 2: Ground truth achievements unlocked per episode, mean±std across 10 seeds.

and iLLM(obs) could not be evaluated on those tasks due to the lack of textual represen-294 tation of the environments. The results are presented in Table 3. It is observed that RND 295 and NGU obtained a score close to zero and could not solve most of the tasks. Besides, on 296 Montezuma's Revenge, PrivateEve, Gravitar, and Pitfall, our technique outperforms other 297 approaches that do not graft world knowledge onto the agent's framework. These results 298 suggests that LLMs play an important role in exploring complex environments. We noticed 299 that in those tasks where language models have satisfactory knowledge, leveraging their 300 prior assumptions significantly boosts sample efficiency at the onset of the training phase. 301

302 4.4. Crafter Environment

In this section, we evaluate the agents on the Crafter environment, a 2D version of Minecraft Hafner (2021). An optimal exploration method would unlock all Crafter achievements in every episode. Therefore, we report in Figure 2 the average number of unique achievements per episode. Even without access to Crafter's achievement tree, iLLM was able to unlock about 7 achievements every episode, against 6 for the best baseline. Notably, iLLM outperforms all exploration methods that primarily focus on generating novel behaviors such

Model	Translated observations	# parameters	Avg Return
Transfo-XL	×	280M	0.83
Transfo-XL		280M	0.85
Flan-T5	×	780M	0.75
Flan-T5	✓ ✓	780M	0.79
Llama-2	×	7B	0.87
Llama-2	✓ <i>✓</i>	7B	0.88

Table 4: Ablation study of the choice of backbone language model. We choose three advanced models with different numbers of parameters and architectures. We report the average return across the nine BabyAI-text tasks (10 seeds).

as RND, APT, and NGU. Those methods encourage exploration of diverse behaviors without considering the relevance of the learned behaviors. In contrast, both iLLM(obs) and iLLM(hop) reduce the exploration space by biasing exploration towards plausibly useful behaviors. Furthermore, appending f^a and f^{hc} to the policy and training them to match the pretrained LLM's outputs enables our agent to leverage world knowledge through their respective gradients.

315 4.5. Ablation Studies

316 4.5.1. CHOICE OF THE BACKBONE

In Table 4, we conduct experiments to analyze the effect of different LLM backbones on iLLM. We report the average return across the nine BabyAI-text tasks. From the table, it can be observed that (1) iLLM using large backbones such as Llama-2 would benefit the exploration efficiency while bringing more memory and computation cost; (2) Transfo-XL model achieved the best trade-off between sample efficiency and time efficiency. In addition, we notice that using translated observations leads to slightly increased performance compared to text observations.

324 4.5.2. RANDOMIZED ENVIRONMENT

It was shown by several authors that Savinov et al. (2019); Burda et al. (2019b) agents that maximize the "surprise", tend to suffer from the TV noise problem — when the agent finds a way to instantly gratify itself by exploiting actions that lead to hardly predictable consequences. In other words, an agent maximizing this prediction error may seek out stochasticity (e.g., randomized transitions, high-frequency images) in the environment to maximize the error. We now evaluate iLLM trained on randomized environments that are based on GoToObj with added sources of stochasticity:

• "Original": the original GoToObj environment.

• "Noise": if the agent selects the action *go forward*, a noise pattern (32×32) is displayed on the lower right of the observation - TV screen. The noise is sampled from [0,255] independently for each pixel.

	Success rate				
Method	Original	Noise	Noise action $\rho = 0.05$	Noise action $\rho = 0.1$	
RND	0.51 ± 0.22	0.24 ± 0.26	0.44 ± 0.18	0.39 ± 0.19	
NGU	0.42 ± 0.25	0.16 ± 0.19	0.27 ± 0.24	0.19 ± 0.20	
ELLM	0.66 ± 0.01	0.45 ± 0.09	0.58 ± 0.04	0.55 ± 0.06	
APT	0.48 ± 0.17	0.22 ± 0.20	0.41 ± 0.15	0.37 ± 0.17	
ChibiT	0.56 ± 0.23	0.41 ± 0.28	0.55 ± 0.21	0.66 ± 0.15	
iLLM(obs)	0.94 ± 0.00	$0.65\pm$ 0.08	0.91 ± 0.06	0.87 ± 0.08	
$\mathrm{iLLM}(\mathrm{hop})$	0.92 ± 0.01	0.59 ± 0.05	$0.91{\pm}~0.07$	0.85 ± 0.11	

Table 5: Average success rate over 10 seeds in the randomized-TV versions of GoToObj task (mean±std).

Method	MR	PrivateEye	Gravitar	Pitfall	Seaquest
PPO	2.11 ± 0.18	1.84 ± 0.21	2.26 ± 0.22	2.70 ± 0.36	1.45 ± 0.22
RND	2.07 ± 0.21	2.12 ± 0.25	2.09 ± 0.33	1.87 ± 0.27	0.98 ± 0.25
iLLM(hop)	$-1.76 {\pm} 0.17$	-1.65 ± 0.20	-1.44 ± 0.18	$0.06{\pm}0.04$	-1.61 ± 0.21
iLLM(hop)(no reward)	-1.15 ± 0.20	-1.18 ± 0.16	-0.99 ± 0.08	0.34 ± 0.12	-1.47 ± 0.18

Table 6: Normalized Euclidean distances $(\pm \text{ std})$ of agent trajectories from human demonstrations.

• "Noise Action": if the agent selects the action go forward, with a probability $\rho \in \{0.05, 0.10\}$, the action performed by the agent is uniformly sampled among the possible actions.

We observe in Table 5 a decrease in the performance of most approaches. However, our 339 formulation turns out to be more robust than NGU's prediction error in this scenario i.e., 340 noise action $\rho = 0.05$ and noise action $\rho = 0.10$. While NGU is trapped in local optima, since 341 iLLM does not directly rely on next action prediction or observation, it is less impacted 342 by stochasticity in the world. iLLM(obs) and iLLM(hop) scores are significantly higher 343 compared to the baselines as indicated by paired t-tests at 95% confidence level (p < 0.002). 344 When adding visual noise to the environment, the performance of iLLM(hop) appears to 345 deteriorate more than iLLM(obs). Visiting a state with a noise pattern produces a more 346 noisy representation of the world, making the alignment tasks harder. Nevertheless, the 347 proposed formulation of curiosity is reasonably robust to the TV noise problem by leveraging 348 the LLM's ability to abstract away irrelevant details. 349

350 4.5.3. Human-Meaningful Exploration

An appealing aspect of using a foundation model to guide exploration is that it allows us to implicitly incorporate prior beliefs about human-meaningful behaviors through the neural network architecture and exploration bonus. To assess how human-meaningful the agent's exploration is, we report in Table 6 the average Euclidean distance between the agent's state

	Percentage of goals achieved				
Method	GoToObj	PutNextLocal	KeyCorrS5R3	PutNextS7N4Carrying	
PPO	0.12 ± 0.02	0.0 ± 0.01	0.16 ± 0.09	0.0 ± 0.06	
iLLM(obs) iLLM(hop)	0.91± 0.01 0.90± 0.02	0.46± 0.08 0.52± 0.11	0.90± 0.01 0.90± 0.02	0.72± 0.09 0.75± 0.09	

Table 7: Success rate of iLLM and baseline agents on BabyAI tasks in the "dense" reward case. Results are averaged over 10 random seeds (\pm std). No seed tuning is performed.

and the nearest state in the demonstration data at each time step. The demonstration data
consists of one trajectory for each of the five games. Agent trajectories were collected during
the first 20 million training steps. To normalize these distance values across different scales
and scenarios, we apply a z-score normalization method. This normalization adjusts for
the mean and standard deviation of the distances observed across all sampled trajectories,
thereby enabling a more consistent comparison.

Experimental results indicate that, generally, iLLM exhibits larger positive distances 361 compared to PPO. Specifically, PPO results show a significant deviation from human 362 demonstrations across all games, particularly in more complex games like Pitfall. Our 363 method outperformed RND by consistently achieving negative distances, which demon-364 strates a closer alignment to human trajectories. Notably, even in Pitfall iLLM(hop) 365 achieves a small positive deviation, highlighting an exploration more aligned with the hu-366 man demonstrator than vanilla PPO and RND as they uniformly explore the environment. 367 These findings suggest that the present architecture yields human-meaningful exploration 368 by incorporating inductive bias of foundation models. 369

370 4.5.4. Dense Rewards

A desirable property of the present study is to avoid hurting performance in tasks where 371 rewards are dense and well-defined. We report results on four BabyAI tasks Chevalier-372 Boisvert et al. (2018) in Table 7, including plain PPO trained only with extrinsic rewards. 373 In the standard sparse setting, the agent is only provided a sparse terminal reward of +1374 if it finds the target and 0 otherwise. In the dense setting, the agent is rewarded (+0.3)375 when selecting the correct action (e.g., collecting keys, opening doors). The table indicates 376 that the performance of our method does not deteriorate drastically in dense reward tasks. 377 Even though iLLM(obs) and iLLM(hop) perform slightly worse in the dense setting, they 378 still perform substantially better compared to plain PPO. 379

380 5. Conclusion

In this work, we introduce a novel approach for language-driven exploration in reinforcement learning (RL), leveraging LLMs to guide exploration towards diverse and humanmeaningful regions of the state space. Namely, short-term curiosity is captured by querying a frozen LLM with an action generation task. In addition, we compress state-action history via a summarization task, discarding irrelevant details and encouraging the policy to

extract task-relevant information. We further present a novel alignment technique that 386 facilitates the integration of state-action pairs from any modalities into the language do-387 main, obviating the necessity for textual environmental descriptions. We have empirically 388 demonstrated the effectiveness of our approach across diverse and challenging domains, in-389 cluding BabyAI-Text, MiniHack, Atari, and Crafter, showcasing substantial improvements 390 in sample efficiency and performance. Interesting directions for future work include im-391 proving state-action pairs alignment and evaluating additional language tasks such as goal 392 generation. 393

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