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# Fantasy: Transformer Meets Transformer in Text-to-Image Generation

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## Abstract

1 We present Fantasy, an efficient text-to-image generation model marrying the  
2 decoder-only Large Language Models (LLMs) and transformer-based masked image  
3 modeling (MIM). While diffusion models are currently in a leading position in  
4 this task, we demonstrate that with appropriate training strategies and high-quality  
5 data, MIM can also achieve comparable performance. By incorporating pre-trained  
6 decoder-only LLMs as the text encoder, we observe a significant improvement in  
7 text fidelity compared to the widely used CLIP text encoder, enhancing the text-  
8 image alignment. Our training approach involves two stages: 1) large-scale concept  
9 alignment pre-training, and 2) fine-tuning with high-quality instruction-image data.  
10 Evaluations on FID, HPSv2 benchmarks, and human feedback demonstrate the  
11 competitive performance of Fantasy against state-of-the-art diffusion and autore-  
12 gressive models.

## 1 Introduction

14 Recent advances in text-to-image (T2I) models [3, 5, 12]  
15 have become focal points within the computer vision field.  
16 Most advances in T2I models, focused on generating high-  
17 quality images based on relatively short descriptions, struggle  
18 with intricate long-text semantic alignment due to inher-  
19 ent structure constraints and data limitations. Text  
20 encoders used for T2I fall into three categories: CLIP  
21 [30], encoder-decoder LLMs, and decoder-only LLMs.  
22 Models using encoder-decoder LLMs like T5-XXL [31]  
23 have shown improved text-image alignment over CLIP  
24 by exploiting enhanced text understanding, increasing to-  
25 ken capacity, yet without delving into the semantic align-  
26 ment for longer texts. ParaDiffusion [43] indicates that  
27 directly aligning text embeddings with visual features with-  
28 out prior image-text knowledge is not the most effective  
29 approach. Previous works [38, 45] have highlighted short-  
30 comings in existing text-image datasets [37], including  
31 image-text mismatches, a lack of informative content, and  
32 a pronounced long-tail effect. These deficiencies notably  
33 impair training efficiency for T2I models and restrict their  
34 ability to learn complex semantic alignment.

35 Existing diffusion-based T2I models [33, 5, 9, 26] have achieved unprecedented quality. However,  
36 as detailed in Fig. 1, these advanced models come with significant computational demands. The

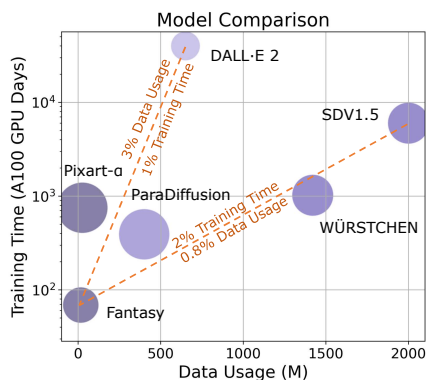


Figure 1: Comparison of data usage, training time and image quality. Colors from dark to light represent parameters increasing in size, and circles from small to large indicate improvements in image quality.

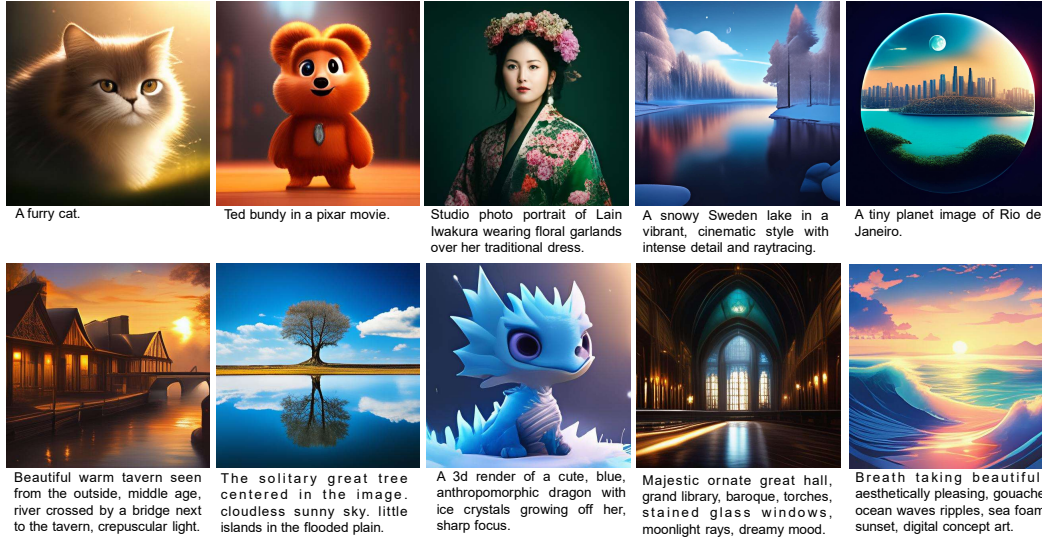


Figure 2: Samples produced by Fantasy (512 × 512). Each image, generated in 1.26 seconds (without super-resolution models), is accompanied by a descriptive caption showcasing diverse styles and comprehension.

37 considerable expenses of these models create significant barriers for researchers and entrepreneurs.  
 38 Meanwhile, economical text-to-image models [25, 15, 48] compromise on image quality, yielding  
 39 lower resolution and diminished aesthetic appeal.

40 Given these challenges, a pivotal question arises: *Can we develop a resource-efficient, high-quality*  
 41 *image generator for long instructions?* In this paper, we present Fantasy, significantly reducing  
 42 training demands while maintaining the capability of instruction understanding and competitive  
 43 image generation quality, as shown in Fig. 2. To achieve this, we propose three core designs:

44 **Efficient T2I netwok.** To leverage the powerful understanding ability of a decoder-only LLM,  
 45 we choose the lightweight Phi-2 [24] as our text encoder. We derive discrete image tokens from a  
 46 pre-trained VQGAN [27], and employ Transformer-based masked image modeling (MIM) as our T2I  
 47 architecture. We also utilize the pre-trained VQGAN decoder [27] for pixel space restoration.

48 **Hierarchical Training strategy.** We propose a thoughtfully two-stage training strategy to address the  
 49 high computational demands of current leading models while maintaining competitive performance:  
 50 (1) large-scale concept alignment pre-training, (2) high-quality instruction-image fine-tuning. To  
 51 facilitate a coarse image-text alignment, we initially train the T2I model from scratch using relatively  
 52 lower-quality data. We then fine-tune the pre-trained T2I model and LLM on text-image pair data  
 53 rich in information density with superior aesthetic quality.

54 **High-quality data.** To achieve rough alignment while pre-training, we select the large-scale dataset  
 55 LAION-2B [37] and employ the filtering strategy proposed by DataComp [14]. We collect long-  
 56 text prompts and corresponding high-quality synthesized images for instruction tuning, including  
 57 DiffusionDB [42] and JourneyDB [39]. We further filter and discard texts with special characters and  
 58 data containing violence or pornography, retaining only instructions exceeding 30 words.

59 Our main contributions are summarized as follows:

- 60 1. We present Fantasy, a novel framework that is the first to integrate a lightweight decoder-only  
 61 LLM and a Transformer-based MIM for text-to-image synthesis, allowing for long-form  
 62 text alignment.
- 63 2. We show that our two-stage training strategy with high-quality data enables MIM to achieve  
 64 comparable performance at a significantly reduced training cost.
- 65 3. We provide comprehensive validation of the model’s efficacy based on automated metrics  
 66 and human feedback for visual appeal and text faithfulness.

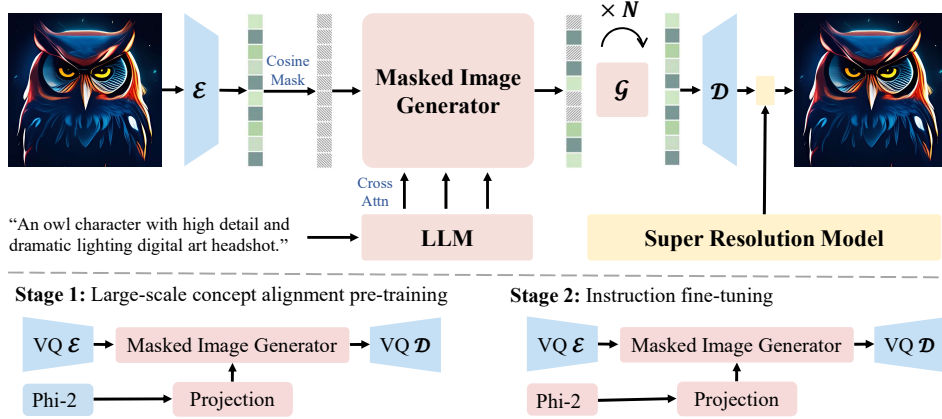


Figure 3: **(Up)** Overview of Fantasy featuring text encoder, VQGAN (encoder  $\mathcal{E}$  and decoder  $\mathcal{D}$ ), masked image generator  $\mathcal{G}$ , and super-resolution model. **(Down)** Our training pipeline involves two stages. The red parts are trainable and the blue parts are frozen; the yellow part is optionally utilized during inference.

## 67 2 Method

### 68 2.1 Problem Formulation

69 As depicted in Fig. 3, Fantasy consists of a pre-trained text encoder  $\mathcal{T}$ , a transformer-based masked  
70 image generator  $\mathcal{G}$ , a sampler  $\mathcal{S}$ , a frozen VQGAN, and a pre-trained super-resolution model.  $\mathcal{T}$   
71 maps a text prompt  $t$  to a continuous embedding space.  $\mathcal{G}$  processes a text embedding  $e$  to generate  
72 logits  $l$  for the visual token sequence.  $\mathcal{S}$  draws a sequence of visual tokens  $v$  from logits via iterative  
73 decoding [4], which runs  $N$  steps of inference conditioned on the text embeddings  $e$  and visual tokens  
74 decoded from previous steps. Finally,  $\mathcal{D}$  maps the sequence of discrete tokens to pixel space  $\mathcal{Z}$ . To  
75 summarize, given a text prompt  $t$ , an image  $\hat{x}$  is synthesized as follows:

$$\hat{x} = \mathcal{D}(\mathcal{S}(\mathcal{G}, \mathcal{T}(t))), \quad l_n = \mathcal{G}(v_n, \mathcal{T}(t)), \quad v_n = \mathcal{M}(\mathcal{E}(x)) \quad (1)$$

76 where  $n$  is the synthesis step, and  $l_n$  are logits, from which the next set of visual tokens  $v_{n+1}$  are  
77 sampled.  $\mathcal{M}$  denotes the masking operator that applies masks to the token in  $v_n$ . We refer to [4, 3]  
78 for details on the iterative decoding process. The Phi-2 [24] for  $\mathcal{T}$  and VQGAN [8] for encoder  $\mathcal{E}$  and  
79 decoder  $\mathcal{D}$  are used.  $\mathcal{G}$  is trained on a large text-image pairs  $D$  using masked visual token modeling  
80 loss:

$$\mathcal{L} = \mathbb{E}_{(x,t) \sim D} [CE(l_N, \mathcal{E}(x))], \quad (2)$$

81 where  $CE$  is a weighted cross-entropy calculated by summing only over the unmasked tokens.

### 82 2.2 Model Architecture

#### 83 2.2.1 VQGAN as Image Processor

84 VQGAN [8] is capable of transforming each image into discrete tokens with higher-level semantic  
85 information from a learned codebook, while ignoring low level noise. The autoregressive tokens  
86 prediction of VQGAN shares the same form as text tokens generated by LLMs. Prior research [46]  
87 has shown that unifying vision and language by the same token space could enhance the coherency  
88 for vision-text alignment. Furthermore, compared with RGB pixels, the visual token representation  
89 has proven to reduce disk storage and improve the capability of robustness and generalization.

90 To reduce the computational burden, we initially compress an RGB image  $v \in \mathbb{R}^{H \times W \times 3}$  into a  
91 diminished representation with a resolution of  $h \times w \times 3$ , where  $h = H/f$  and  $w = W/f$ , with  
92  $f$  denoting the downsampling factor. We then employ a pre-trained  $f16$  VQGAN [27] encoder  $\mathcal{E}$   
93 to quantize images  $x \in \mathbb{R}^{3 \times 256 \times 256}$  into discrete tokens of spatial dimensions  $16 \times 16$  from a  
94 pre-trained codebook  $\mathcal{Z} = \{z_k\}_{k=1}^K$  consisting of  $K = 8192$  vectors, resulting in the quantized  
95 representation  $z = \mathcal{E}(x, \mathcal{Z})$ .

96 **2.2.2 LLM as Text Encoder**

97 Recent studies [10, 5, 3] tend to use encoder-decoder LLMs [31] for text encoding over CLIP [30],  
 98 which is adept at handling tasks that involve complex mappings between input and output sequences.  
 99 Due to the tremendous success of ChatGPT, attention has been drawn to models that consist solely of a  
 100 decoder. Also, [43] presents an insight that efficiently fine-tuning a more powerful decoder-only LLM  
 101 can yield stronger performance in long-text alignment. Consequently, to capitalize on the enhanced  
 102 semantic comprehension and generalization potential of LLMs while simultaneously reducing the  
 103 training burden, we employ Phi-2 [24], a state-of-the-art, lightweight LLM, as the text encoder.

104 Given the text prompt  $t$ , Fantasy first passes it through Phi-2, extracting the text embedding from the  
 105 last hidden layer  $L$ . However, typically, decoder-only architectures are not adept at feature extraction  
 106 and mapping tasks. [23] proposes that the conceptual representations learned by LLM’s are roughly  
 107 linearly mappable to those learned by models trained on vision tasks. Therefore, the embedding  
 108 vectors are linearly projected to the hidden size of the image generator  $\mathcal{G}$ :

$$c = \mathcal{P}(\mathcal{T}_L(t)) \tag{3}$$

109 where  $\mathcal{T}(\cdot)$  denotes the decoder-only Phi-2 and  $L$  is the index of the last hidden layer.  $\mathcal{P}$  represents  
 110 the projection from text space to visual space, and  $c$  is the text feature suitable for the image generator.

111 **2.2.3 MIM as Image Generator**

112 MIM narrows the gap between its modeling and the extensively studied area of language modeling,  
 113 making it straightforward to leverage the findings of the LLMs research community. Therefore, we  
 114 adopt a masked transformer as the image generator backbone of Fantasy [46].

115 During training, we leave the projected text embeddings  $c$  unmasked and the image tokens  $z$  are  
 116 masked at a variable masking rate based on a Cosine scheduling  $\mathcal{M}$  as [4, 3]. Specifically, for  
 117 each training example, we sample a masking rate  $r$  from  $[0, 1]$  from a truncated *arccos* distribution  
 118 with density function  $p(r) = \frac{2}{\pi}(1 - r^2)^{-\frac{1}{2}}$ . While autoregressive methods learn fixed-order token  
 119 distributions  $P(z_i|z_{<i})$ , random masking with variable ratios enables learning  $P(z_i|z_{\neq i})$  for any  
 120 token subset, crucial for our parallel sampling scheme. The sampling of a new state  $s_{n+1}$  at each  
 121 successive step is conditioned on the previous state and the specified text condition  $c$ :

$$P(s | c) = \int P(s_N | s_{N-1}, c) \prod_{n=1}^{N-1} P(s_n | s_{n-1}, c) ds_1 \dots ds_{N-1} \tag{4}$$

122 For each training example, the most confidently predicted tokens are revealed at each step  $n$ , main-  
 123 taining  $\cos(\frac{n}{N} \cdot \frac{\pi}{2})$  masked until reaching  $N$  total steps.

124 For the base model, we use a variant of MaskGiT [4], a masked image generative Transformer to  
 125 predict randomly masked tokens by attending to tokens in all directions. Leveraging the multi-layered  
 126 structure of the Transformer, we have developed scalable image generators with varying layer counts,  
 127 ranging in size from 257M parameters to 611M parameters (for the image generator; the Phi-2 model  
 128 has an additional 2.7B parameters). We first employ a series of Cross Attention blocks to optimize  
 129 text-driven feature extraction, before passing through  $O$  layers of the masked image generator. Each  
 130 layer  $o$  of the Transformer is again formed by Multi-Head Self-Attentuib(MSA), LayerNorm (LN),  
 131 Cross Attention (CA) and Multi-Layer Perceptron (MLP) blocks:

$$Y_o = \text{MSA}(\text{LN}(Z_o)), \quad Z_{o+1} = \text{MLP}(\text{CA}((\text{LN}(Y_o), c))). \tag{5}$$

132 At the output layer, to reduce the training burden, ConvMLP [18] is utilized to transform masked  
 133 image embeddings into logits sets, aligning with the VQGAN codebook dimensions. Eventually, the  
 134 reconstructed lower-resolution tokens are restored with the pre-trained  $256 \times 256$  resolution VQGAN  
 135 decoder to the pixel space, resulting in the generated image  $\hat{x}$ :

$$\hat{x} = \mathcal{D}(\text{ConvMLP}(Z_O), \mathcal{Z}) \tag{6}$$

136 **2.3 Training Strategy**

137 Fig. 3 illustrates Fantasy’s two-stage training approach. Following prior works[43, 35, 9], we employ  
 138 large-scale pre-training to achieve general text-image concept alignment, and simultaneous fine-tuning  
 139 of Phi-2 [24] and the masked image generator using high-quality instruction-image pairs.

140 **Pre-training Stage.** To perform general text-image concept alignment, the VQGAN and LLM  
141 weights are frozen, and only the image generator is pre-trained on deduplicated LAION-2B [37]  
142 with images above a 4.5 aesthetic score. We exclusively preserve prompts in English, filter out  
143 images above a 50% watermark probability or above a 45% NSFW probability, yielding a final set  
144 of 9 million images. Since the computational cost of upsampling is much lower than training a  
145 super-resolution model, Fantasy is started with training at a resolution of  $256 \times 256$ . Note that the  
146 pre-training only needs approximate image-text alignment, substantially lowering the training costs.

147 **Fine-tuning Stage.** [43] has proven that LLMs trained solely on text data lack prior image-text  
148 knowledge, and that merely aligning their text embeddings with visual features might not be optimal.  
149 Therefore, in the second stage, we gather an internal dataset of 7 million high-quality instruction-  
150 image pairs to fine-tune both the Phi-2 model and the image generator of Fantasy, which ensures  
151 enhanced compatibility of text embeddings within the text-image pair space, facilitating the use of  
152 decoder-only LLMs in text-to-image generation tasks and harnessing their inherent advantages. To  
153 prevent catastrophic forgetting in LLMs and preserve their understanding abilities during training, we  
154 select questions from BIG-bench [2] and monitor the common sense question-answering ability of  
155 Phi-2 in real-time throughout the training process. We construct our training dataset for the fine-tuning  
156 stage by incorporating JourneyDB [39] and an internal synthetic dataset to enhance the aesthetic  
157 quality of generated images beyond realistic photographs. To facilitate instruction-image alignment  
158 learning, we retain only data with descriptions exceeding 30 words, as these provide enough detailed  
159 insights into the image objects, including attributes and spatial relations.

160 With this approach, Fantasy trains a 0.6B parameter T2I model in about 69 A100 GPU days,  
161 significantly reducing computation compared to existing diffusion-based methods, while maintaining  
162 comparable visual and numerical fidelity. Throughout this paper, we present a comprehensive  
163 evaluation of Fantasy’s efficacy, showcasing the potential in training high-quality transformer-based  
164 image synthesis models compared to diffusion-based models in future.

## 165 2.4 High-quality Data Collection

166 To ensure rough alignment in the pre-training phase, we utilize the large-scale dataset LAION-2B  
167 [37] and apply the filtering strategy developed by DataComp [14]. Furthermore, we gather long-  
168 text prompts and corresponding high-quality images to achieve finer-grained text-image alignment  
169 through instruction tuning. CapsFusion [47] employs a fine-tuned LLaMA [40] for recaptioning  
170 LAION-2B [37] and LAION-COCO [1]. However, this approach still results in suboptimal image  
171 quality and occasional mismatches between images and text. SAM-LLAVA [5] utilizes LLaVA [20]  
172 to recaption the SAM dataset [17], which leads to images with blurred faces, a consequence of the  
173 dataset’s inherent face-blurring. Therefore, we shift focus to synthesize images, mainly including  
174 DiffusionDB [42] and JourneyDB [39], produced by Stable Diffusion and MidJourney, respectively.  
175 To augment the diversity of the images, we minimize the use of datasets from specific domains, such  
176 as gaming and anime. Furthermore, we implement filtering to discard texts with special characters  
177 and data containing violence or pornography, retaining only instructions exceeding 30 words.

## 178 3 Experiments

179 In this section, we outline detailed training, inference, and evaluation protocols, followed by compre-  
180 hensive comparisons across three key metrics.

### 181 3.1 Implementation Details

182 **Training Details.** Different from the prior works [9, 43, 32, 34], we used a lightweight but powerful  
183 decoder-only large language model Phi-2 [24] as the text encoder. Diverging from prior approaches  
184 that extract a standard and fixed short text tokens, we extend the extraction to 256 tokens to master  
185 long-term instruction-image alignment, ensuring precise alignment for more fine-grained prompts.  
186 For the entire training process, we train Fantasy on  $4 \times$  A100 80G GPUs and set the accumulation  
187 step to 2. At different stages, we employ varying learning rate strategies with single-cycle cosine  
188 annealing decay. Furthermore, the AdamW optimizer [22] is utilized with a weight decay of 0.01.  
189 Fantasy trains a 0.6B parameter T2I model in about 84.5 A100 GPU days, significantly reducing  
190 computation compared to existing diffusion-based methods as shown in Fig. 1.

Table 1: Evaluation of diffusion (upper) and transformer (down) models on HPSv2. We underline the highest value and color the first above Fantasy in blue .

Model	Type	Params	Animation	Concept-art	Painting	Photo	DrawBench [36]
GLIDE [25]	Diff	5.0B	23.34 ± 0.198	23.08 ± 0.174	23.27 ± 0.178	24.50 ± 0.290	25.05 ± 0.84
VQ-Diffusion [15]	Diff	0.37B	24.97 ± 0.186	24.70 ± 0.149	25.01 ± 0.145	25.71 ± 0.222	25.44 ± 0.83
Latent Diffusion [34]	Diff	1.45B	25.73 ± 0.125	25.15 ± 0.140	25.25 ± 0.178	26.97 ± 0.183	26.17 ± 0.85
DALL-E 2 [26]	Diff	6.5B	27.34 ± 0.175	26.54 ± 0.127	26.68 ± 0.156	<u>27.24 ± 0.198</u>	27.16 ± 0.64
Stable Diffusion v1.4 [33]	Diff	0.8B	<u>27.26 ± 0.156</u>	26.61 ± 0.082	26.66 ± 0.143	27.27 ± 0.226	27.23 ± 0.57
Stable Diffusion v2.0 [33]	Diff	0.8B	27.48 ± 0.174	26.89 ± 0.076	<u>26.86 ± 0.120</u>	27.46 ± 0.198	27.31 ± 0.68
DeepFloyd-XL [11]	Diff	4.3B	<u>27.64 ± 0.108</u>	<u>26.83 ± 0.137</u>	<u>26.86 ± 0.131</u>	<u>27.75 ± 0.171</u>	27.64 ± 0.72
LAFITE [48]	Trans	0.075B	24.63 ± 0.101	24.38 ± 0.087	24.43 ± 0.155	25.81 ± 0.213	25.23 ± 0.72
FuseDream [21]	Trans	-	25.26 ± 0.125	25.15 ± 0.107	25.13 ± 0.183	25.57 ± 0.248	25.72 ± 0.71
DALL-E mini [7]	Trans	0.4B	26.10 ± 0.132	25.56 ± 0.137	25.56 ± 0.112	26.12 ± 0.233	26.34 ± 0.76
VQGAN + CLIP [8]	Trans	0.2B	26.44 ± 0.152	26.53 ± 0.075	26.47 ± 0.111	26.12 ± 0.210	26.38 ± 0.43
CogView2 [12]	Trans	6B	26.50 ± 0.129	26.59 ± 0.119	26.33 ± 0.100	26.44 ± 0.271	26.17 ± 0.74
Fantasy (ours)	Trans	0.6B	<u>27.03±0.131</u>	<u>26.66±0.117</u>	<u>26.72±0.176</u>	<u>26.80±0.174</u>	<u>26.78±0.523</u>

Table 2: Comparison with recent T2I models. ‘Trained’ indicates the model develops a text encoder from scratch, foregoing a pre-trained one.

Method	Type	Text Encoder	#Params	#Images	FID-30K (↓)
LDM [34]	Diff	Trained	1.4B	400M	12.64
GLIDE [25]	Diff	Trained	5.0B	-	12.24
DALL-E 2 [26]	Diff	CLIP	6.5B	650M	10.39
Stable Diffusion v1.5 [33]	Diff	CLIP	0.9B	2000M	9.62
SD XL [29]	Diff	CLIP	2.6B	-	>18
Wurstchen [28]	Diff	CLIP	0.99B	1420M	23.6
ParaDiffusion [43]	Diff	LLaMA V2	1.3B	>300M	9.64
Pixart- $\alpha$ [5]	Diff	T5	0.6B	-	5.51
Cogview2 [12]	Trans	CogLM	6B	35M	24.0
Muse [3]	Trans	T5-XXL	3B	460M	7.88
Fantasy	Trans	Phi-2	0.6B	16M	23.4

191 **Inference Details.** We use  $N = 32$  sampling steps in all of our evaluation experiments. Since  
 192 Fantasy is trained at a resolution of  $256 \times 256$ , we employ the pre-trained diffusion-based super-  
 193 resolution model StableSR [41] to upscale images to  $512 \times 512$ .

194 **Evaluation Metrics.** We comprehensively evaluate Fantasy via four primary metrics, i.e., alignment  
 195 on HPSv2 [44], FID [16] on MSCOCO dataset [19] and human evaluation on a collected dataset.

### 196 3.2 Performance Comparisons and Analysis

197 **Results on HPSv2.** We utilize HPSv2 [44] as our primary automated metric, a preference prediction  
 198 model which can be used to compare images generated with the same prompt across five categories:  
 199 anime, concept art, paintings, photography, and DrawBench [36]. We present the results of HPSv2  
 200 between Fantasy and other state-of-the-art generative models in Tab. 1. Fantasy exhibited outstanding  
 201 performance across all key aspects among previous Transformer-based methods like CogView2  
 202 [12], which is expected. The results also reveal its competitive performance compared to prior  
 203 diffusion-based methods, especially in concept-art and painting, demonstrating similar performance  
 204 to DALL-E 2 [26]. This remarkable performance is primarily attributed to the text-image alignment  
 205 learning in fine-tuning stage, where high-quality text-image pairs were leveraged to achieve superior  
 206 alignment capabilities. In comparison, DeepFloyd-XL and other diffusion-based models achieve  
 207 better scores, while utilizing larger models with significantly higher compute budget.

208 **Results on FID.** We employ FID [16] to evaluate our models on COCO-30K [19]. To allow for  
 209 a fair comparison, all images are downsampled to  $256 \times 256$  pixels. The comparison between our  
 210 method and other methods in FID, and their training time is summarized in Tab. 2. We observe  
 211 that the FID of Fantasy is substantially higher compared to other state-of-the-art models. Visual  
 212 inspections reveal that images generated by Fantasy are smoother than those from other leading T2I  
 213 models. This discrepancy is most noticeable in real-world images like COCO, on which we compute  
 214 the FID-metric. Although the state-of-the-art models [43, 11, 29] exhibit lower FID, it relies on  
 215 unaffordable resources. Furthermore, prior studies [29, 5, 11] have demonstrated that FID may not



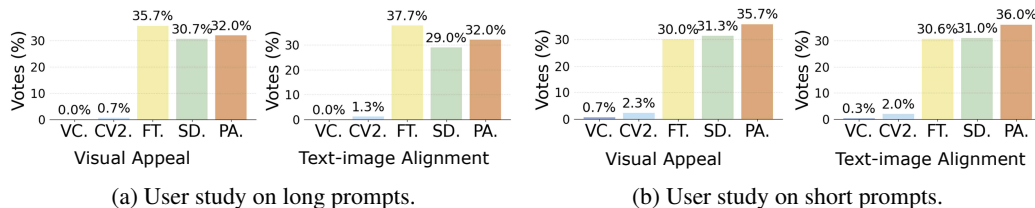


Figure 4: User study on prompts with different length. VC. , CV2. , FT. , SD. , and PA. refer to VQGAN+CLIP [8], CogView2 [12], our Fantasy, Stable Diffusion v2.0 [33], and Pixart- $\alpha$  [5].

216 be an appropriate metric for image quality evaluation, as a lower score does not necessarily reflect  
 217 superior image generation, and it is more authoritative to use the evaluation of human users.

### 218 3.3 Results on Human Evaluation

219 Following prior works [5, 43, 28], we also conduct a study with human participants to supplement  
 220 our evaluation and provide a more intuitive assessment of Fantasy’s performance. Participants are  
 221 asked to select a preference of the images based on the visual appeal of the generated images and the  
 222 precision of alignments between the text prompts and the corresponding images.

223 As involving human evaluators can be time-consuming, we choose the top-performing open-source  
 224 diffusion-based models (e.g., SD XL [33], and Pixart- $\alpha$  [5]) and transformer-based models (e.g.,  
 225 VQGAN+CLIP [8] and CogView2 [12]) as our baseline, which are accessible through APIs and  
 226 capable of generating images. We randomly select a total of 600 prompts from existing prompt  
 227 sets (e.g., ParaPrompt [43], ViLG-300 [13], COCO Captions [6]). To comprehensively contrast the  
 228 capabilities of Fantasy and other models in interpreting text prompts of varying lengths, we allocate  
 229 one subset to consist of 300 prompts ranging from 10 to 30 characters and another subset comprising  
 230 300 prompts exceeding 30 characters. For each model, we use a consistent set to generate images,  
 231 which are then evaluated by 50 individuals.

232 Fig. 4a clearly demonstrates that images generated on relatively long text prompts (longer than 30  
 233 words) by Fantasy are distinctly favored among the four models in both two perspective, especially  
 234 for text-image alignment, aligning closely with the intended use case of Fantasy. As illustrated  
 235 in Fig. 4b, for text prompts shorter than 30 words, our model outperforms existing open-source  
 236 Transformer-based models in fidelity and alignment for shorter prompts. Our model slightly lags  
 237 behind diffusion-based models in visual appeal, limited by the 8,192 size of VQGAN’s codebook  
 238 and not targeting visual appeal. Simultaneously, Fantasy lacks a distinct advantage in text-image  
 239 alignment in the short subset. We hypothesize that this is due to two main reasons: diffusion-  
 240 based models’ ability to handle shorter prompts, and vague prompts generating diverse images that  
 241 make preferences more subjective, thus biasing outcomes towards aesthetically superior images. In  
 242 summary, the human preference experiments confirm the observation made in the HPSv2 benchmarks.

### 243 3.4 Case Study

244 Fig. 5 vividly illustrates Fantasy’s superior visual appeal and text-image alignment  
 245 over leading open-source transformer-based T2I models [12, 8] and diffusion-based T2I  
 246 models [29, 26]. Fantasy significantly surpasses existing transformer-based T2I  
 247 models [29, 26]. Fantasy significantly surpasses existing transformer-based T2I  
 248 models [29, 26]. Fantasy significantly surpasses existing transformer-based T2I  
 249 models [29, 26]. Fantasy significantly surpasses existing transformer-based T2I  
 250 models [29, 26]. Fantasy significantly surpasses existing transformer-based T2I  
 251 models [29, 26]. Despite being trained on images with a resolution of  $256 \times 256$ , Fantasy ensures gener-  
 252 ated low-resolution images contain sufficient details, indirectly supporting long prompts.  
 253 Limited by computing resources, we haven’t

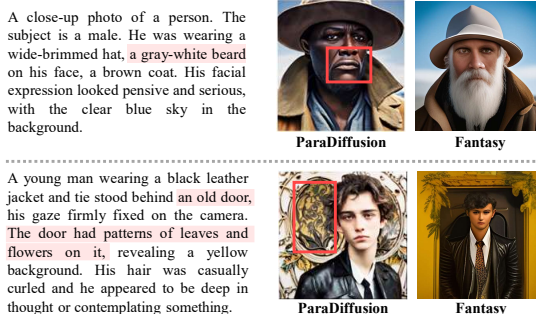


Figure 6: Visual Comparison with ParaDiffusion [43]: Red markings and boxes highlight text misalignments in images generated by ParaDiffusion.

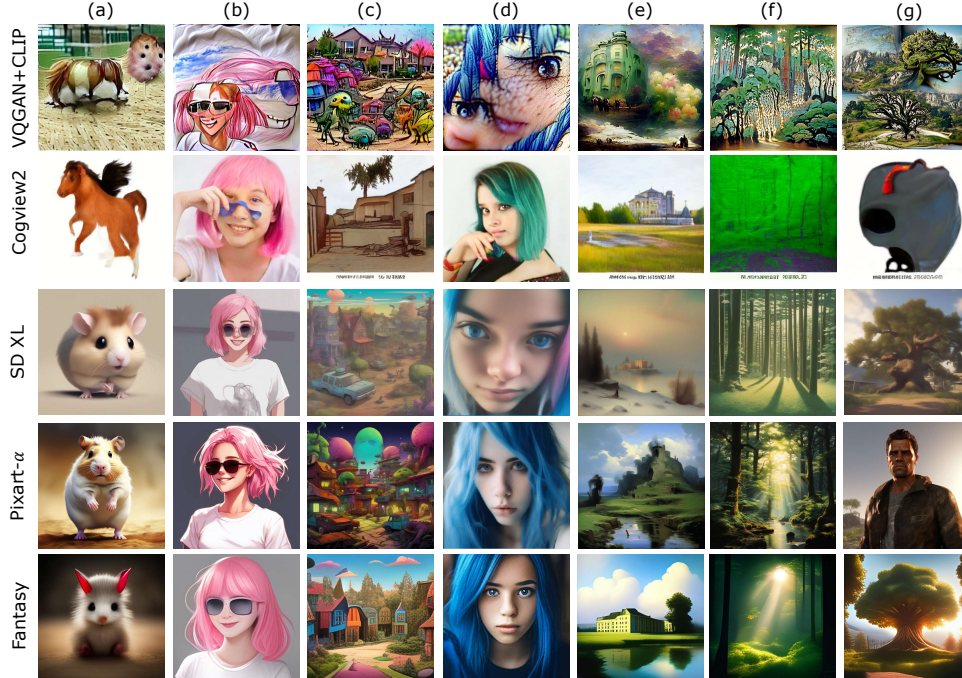


Figure 5: Visual comparison with existing T2I models. (a) A hamster resembling a horse. (b) A frontal portrait of an anime girl with chin length pink hair wearing sunglasses and a white T-shirt smiling. (c) A colorful illustration of a suburban neighborhood on an ancient post-apocalyptic planet featuring creatures made by Jim Henson’s workshop. (d) A blue-haired girl with soft features stares directly at the camera in an extreme close-up Instagram picture. (e) A building in a landscape by Ivan Aivazovsky. (f) Aoshima’s masterpiece depicts a forest illuminated by morning light. (g) The image is a highly detailed portrait of an oak in GTA V, created using Unreal Engine and featuring fantasy artwork by various artists.

Table 3: Ablation study on two stages with the best bolded. ‘Base’ indicates the model after the pre-training stage.

Model	Training Part	Animation	Concept-art	Painting	Photo	DrawBench [36]
Base	MIM	25.27 ± 0.190	24.20 ± 0.166	24.60 ± 0.146	25.32 ± 0.208	25.49 ± 0.230
Fantasy	MIM+Phi-2	<b>27.03±0.131</b>	<b>26.66±0.117</b>	<b>26.72±0.176</b>	<b>26.80±0.174</b>	<b>26.78±0.521</b>

257 trained on higher resolutions like  $512 \times 512$  but aim to enhance Fantasy by training at higher  
 258 resolutions in the future.

259 ParaDiffusion [43] pioneers the use of decoder-only large language models as text encoders in  
 260 text-to-image generation. As illustrated in Fig. 6, our observations suggest that Fantasy more closely  
 261 aligns details with prompts than ParaDiffusion [43].

## 262 4 Ablation Study

263 This section analyzes the effects of LLMs fine-tuning, and model scale on Fantasy’s performance  
 264 through ablation studies. More ablation study refers to appendix.

### 265 4.1 Effect of Language Model Fine-tuning

266 To assess the effect of training strategies on the comprehension of complex instructions, we perform  
 267 a human preference evaluation, as detailed in Sec. 3.3, using a subset of 300 prompts longer than  
 268 30 characters. ‘Base’ denotes general text-image alignment with filtered LAION-2B [1] in the  
 269 pre-training stage. Compared to the base model, our synergy fine-tuning with Phi-2 demonstrates a  
 270 notable improvement in all aspects in Tab. 3.



271

Table 4: Ablation study on models at different scales with the best **bolded**. DB. represents DrawBench [36].

Layers	Param	Animation	Concept-art	Painting	Photo	DB.
6	257M	25.79±0.15	25.84±0.11	25.92±0.19	25.63±0.18	25.18±0.22
12	421M	26.34±0.17	26.29±0.06	26.45±0.17	26.19±0.17	25.68±0.14
22	611M	<b>27.03±0.13</b>	<b>26.66±0.11</b>	<b>26.72±0.17</b>	<b>26.80±0.17</b>	<b>26.78±0.52</b>

Table 5: Training cost for Fantasy at 3 different scales. BS. denotes batch size and LR. denotes learning rate.

Layers	Pre-training			Fine-tuning		
	Steps (K)	BS.	LR.	Steps (K)	BS.	LR.
6	180	768	1e-4	180	192	1e-4
12	220	768	1e-4	250	192	1e-4
22	370	256	5e-4	280	128	3e-4

## 272 4.2 Scale of Image Generator

273 The hierarchical structure of the Transformer allows us  
 274 to train image generators with varying numbers of Trans-  
 275 former layers. As shown in Tab. 4, we evaluate models  
 276 of different sizes on the HPSv2 benchmark. The insight  
 277 indicates that as trainable parameters increase from 257  
 278 million to 611 million, performance consistently improves.  
 279 Therefore, we set the number of Transformer layers to 22  
 280 with 611 million trainable parameters as the optimal set-  
 281 ting. Tab. 5 showcases the required resources for models  
 282 of three different scales. Fig. 7 offers visual comparisons  
 283 across models of varying scales, illustrating a clear trend:  
 284 models with fewer parameters underperform on the HPSv2  
 285 benchmark, frequently resulting in distorted images and  
 286 omitted details, yet they may still generate acceptable  
 287 outcomes. Significantly, the visual quality diverges as  
 288 model size increases, highlighting the potential for scaling  
 289 up masked image modeling to enhance instruction-image  
 290 alignment and elevate generation quality.



Figure 7: Examples generated by models at different scales: 1<sup>st</sup> column for 6 layers, 2<sup>nd</sup> column for 12 layers and 3<sup>rd</sup> column for 22 layers.

## 291 5 Limitations and Social Impact

292 **Limitations.** Despite Fantasy achieving competitive performance in text-image alignment and visual  
 293 appeal, it requires improvements in handling complex scenes. We propose two possible strategies to  
 294 overcome the challenge in future research: Firstly, augmenting the dataset with high-quality images  
 295 can enhance diversity and refine the model. Secondly, since the scale of the masked image generator  
 296 affects instruction-image alignment, training an upscale image generator based on higher resolution  
 297 left further explored.

298 **Social Impact.** Generative models for media bring both benefits and challenges. They foster creativity  
 299 and make technology more accessible, yet pose risks by facilitating the creation of manipulated  
 300 content, spreading misinformation, and exacerbating biases, particularly affecting women with deep  
 301 fakes. Concerns also include the potential exposure of sensitive training data collected without  
 302 consent. Despite generative models potentially offering better data representation, the impact of  
 303 combining adversarial training with likelihood-based objectives on data distortion remains a crucial  
 304 research area. Ethical considerations of these models are significant and require thorough exploration.

## 305 6 Conclusion

306 In this paper, we introduce Fantasy, a lightweight and efficient text-to-image model that combines  
 307 Large Language Models (LLMs) with a transformer-based masked image modeling (MIM), effec-  
 308 tively transferring semantic understanding capabilities from LLMs to the text-to-image generation.  
 309 With our proposed two-stage training strategy and high-quality dataset, Fantasy significantly re-  
 310 duces computational requirements while producing high-fidelity images. Extensive experiments  
 311 demonstrate that Fantasy achieves comparable performance to models trained with significantly more  
 312 computational resources, illustrating the viability of our approach and suggesting potential efficient  
 313 scalability to even larger masked image modeling for text-to-image generation.

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- 595 • The answer NA means that the paper does not include experiments.
- 596 • The experimental setting should be presented in the core of the paper to a level of detail  
597 that is necessary to appreciate the results and make sense of them.
- 598 • The full details can be provided either with the code, in appendix, or as supplemental  
599 material.

## 600 7. Experiment Statistical Significance

601 Question: Does the paper report error bars suitably and correctly defined or other appropriate  
602 information about the statistical significance of the experiments?

603 Answer: [Yes]

604 Justification: All experimental results are presented with error bars reflecting the standard  
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606 calculated and the assumptions underlying our statistical tests.

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610 dence intervals, or statistical significance tests, at least for the experiments that support  
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622 of Normality of errors is not verified.
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627 they were calculated and reference the corresponding figures or tables in the text.

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629 Question: For each experiment, does the paper provide sufficient information on the com-  
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631 the experiments?

632 Answer: [Yes]

633 Justification: The paper details the computational resources required for each experiment,  
634 including the types of GPUs used, the amount of memory, and the execution time. This  
635 ensures that other researchers can allocate the appropriate resources to reproduce our results.

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648 Answer: [Yes]

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661 Answer: [Yes]

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