

# OPEN-SET RECOGNITION INTERACTION EFFECTS: MODULAR GAINS AND WHERE TO FIND THEM

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## ABSTRACT

011 Open-set recognition (OSR) requires neural networks to classify known  
 012 classes while rejecting unknown samples, which is critical for real-world  
 013 deployment. So far, OSR research studied and developed representation  
 014 learning and postprocessing methods independently and their interaction  
 015 effects remain unexplored, leaving potential performance gains untapped.  
 016 In this paper, we present the first systematic study of these interactions  
 017 across dataset scales and auxiliary data usage. First, we discover a failure  
 018 mode we term *magnitude collapse*, where representation learning meth-  
 019 ods that utilize auxiliary data can suffer performance degradation at large  
 020 scale and irreversibly destroy discriminative information, despite excelling  
 021 at small scale. Second, we study the interaction effects between repre-  
 022 sentation learning and postprocessing methods, and reveal when they can  
 023 be leveraged for modular performance gains via two-stage processing. We  
 024 also show where interaction effects amplify performance degradation due  
 025 to magnitude collapse. Third, we show how these findings can be used  
 026 to achieve state-of-the-art performance with a simple baseline and two-  
 027 stage processing of OSR techniques. Finally, our results demonstrate that  
 028 small-scale evaluations with auxiliary data are not predictive of large-scale  
 029 performance, invalidating current best practices in OSR research.

## 1 INTRODUCTION

030 The rapid advancement of deep learning methods for image recognition increasingly pro-  
 031 motes their real-world adoption, which requires them to adequately detect and handle un-  
 032 known inputs for the reliability and safety of these systems (Scheirer et al., 2013; Hendrycks  
 033 & Gimpel, 2017; Vaze et al., 2022). This task is typically studied under the two closely-  
 034 related problem formulations: *Open-set Recognition* (OSR) and *Out-of-Distribution* (OOD)  
 035 detection. Both aim to improve the robustness of classifiers by detecting distributional shifts  
 036 in test-time samples.

037 While the categorization of OSR methods into Representation Learning (RL) and Post-  
 038 Processing (PP) methods is commonly understood, current OSR methods are studied in  
 039 isolation or compared as standalone methods, neglecting their modular nature and poten-  
 040 tial for improvements through combinations. Since the *interaction effects* between RL and  
 041 PP have neither been explored nor formalized for OSR methods, we ask: can RL methods  
 042 enhance or shape feature representations to amplify downstream PP performance? Or vice  
 043 versa: is the optimal choice of PP method dependent on the RL training objective?

044 In both RL and PP methods, the feature magnitude has been identified as a crucial factor for  
 045 performance (Dhamija et al., 2018; Hendrycks et al., 2022; Vaze et al., 2022; Cruz et al., 2024;  
 046 Rabinowitz et al., 2025). For instance, Wang et al. (2025) highlight that *magnitude-aware*  
 047 (MA) OOD postprocessing generally outperforms alternatives. This raises the question:  
 048 do MA postprocessors synergize with RL methods that purposefully manipulate feature  
 049 magnitudes during training? We identify a sub-category of RL methods, which we term  
 050 *magnitude-manipulating* (MM), that utilize auxiliary data to separate known and auxiliary  
 051 classes during training based on feature magnitude. However, MM methods tend to be sen-  
 052 sitive to auxiliary data distribution at large scale, with performance falling below baselines,  
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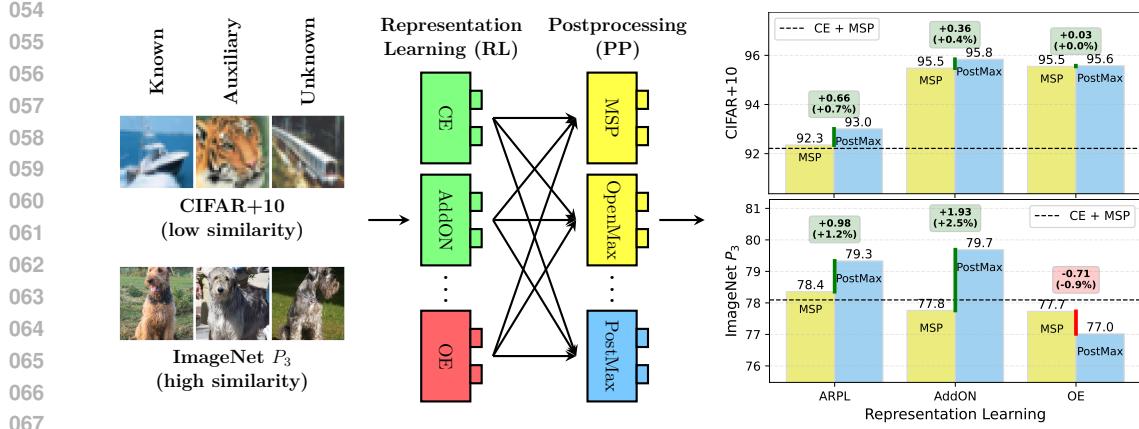


Figure 1: The modular two-stage OSR framework separates representation learning (RL) and postprocessing (PP), and reveals additional performance gains, by leveraging interaction effects between the two. Undesirable interaction effects exist for magnitude-manipulating RL (red) combined with magnitude-aware PP (blue), while beneficial effects are observed for AddON and magnitude-aware PP. Small-scale benchmarks are not predictive of large-scale performance and do not exhibit similar behavior due to limited similarity between known and auxiliary classes.

despite their success on small-scale datasets (Hendrycks et al., 2021; Wang et al., 2025). This raises concerns about their real-world applicability, and we seek to understand the underlying causes of this performance degradation at scale.

Our analysis reveals the mechanism behind the performance degradation of MM methods as the interplay of magnitude-manipulation and high similarity between auxiliary and known classes, a scenario not found on small-scale benchmarks. This causes a *magnitude collapse* in similar known classes and creates an undesirable linear dependency between feature magnitudes and class-wise accuracy, leading to systematically imbalanced class-wise detection performance. We show that magnitude collapse can be avoided by using an Additional Output Node (AddON) for auxiliary data, a simple and effective baseline that consistently outperforms other methods across scales and does not require hyperparameter tuning. This degradation of MM methods is further amplified by MA postprocessing, which otherwise experiences desirable interaction effects when combined with non-MM RL methods and outperforms other PP methods. Moreover, our experiments suggest that RL without auxiliary data and PP methods are highly independent components of OSR systems with clear separation of responsibilities, enabling modular additive performance gains.

Overall, our study advances the understanding of OSR systems and provides actionable guidelines for researchers and practitioners. First, leverage the modularity of OSR systems by augmenting non-MM RL methods with MA PP methods to achieve additional performance gains almost for free. Second, when training or fine-tuning a classifier with auxiliary data that is visually similar to known classes, avoid MM RL methods. Instead, use AddON as baseline to mitigate magnitude collapse and leverage positive interaction effects. Finally, validate OSR methods on large-scale benchmarks before deployment, as small-scale evaluations with auxiliary data are not predictive of large-scale performance.

In summary, our contributions are as follows:

- For the first time in OSR literature, we explore the modularity and interaction effects of representation learning and postprocessing methods, revealing where modular performance gains can be achieved and where to avoid negative synergies.
- We discover the magnitude collapse mechanism behind performance degradation at scale and how it impacts interaction effects.

108 • We demonstrate how interaction effects and auxiliary data *can* be leveraged at scale to  
 109 achieve state-of-the-art performance regardless of auxiliary data distribution with the  
 110 simple AddON baseline and two-stage processing of OSR techniques.  
 111 • Our experiments highlight that small-scale evaluations are not predictive of large-scale  
 112 performance when using auxiliary data.  
 113

114 **2 RELATED WORK**

115 **OSR and Relation to OOD Detection.** OSR is formalized as the task of accurately  
 116 classifying samples from known classes while rejecting samples from semantically unknown  
 117 classes (Scheirer et al., 2013), thereby detecting test-time semantic shift (Vaze et al., 2022).  
 118 OOD detection is a broader task (Yang et al., 2024) that aims to detect general distribution  
 119 shift, which can include semantic or covariate shifts (Yang et al., 2024; Wang et al., 2025;  
 120 Hendrycks et al., 2021) and is often posed as a binary classification problem (Hendrycks &  
 121 Gimpel, 2017; Liang et al., 2017; Liu et al., 2020; Huang et al., 2021; Sun et al., 2021). As a  
 122 result, OSR and OOD detection differ in their evaluation protocols (Vaze et al., 2022; Wang  
 123 et al., 2025): OSR partitions a single dataset into known and unknown classes to remove  
 124 covariate shifts (Neal et al., 2018; Palechor et al., 2023), while OOD detection typically uses  
 125 different datasets for in-distribution (ID) and OOD classes (Hendrycks & Gimpel, 2017).  
 126 Despite these differences, it has been indicated that methods that perform well on one task  
 127 tend to perform well on the other (Vaze et al., 2022; Yang et al., 2024; Wang et al., 2025).  
 128

129 **Auxiliary Data in OSR.** Auxiliary samples serve as a proxy for unknown classes during  
 130 training and are distinct from known or ID classes. Auxiliary samples are also referred  
 131 to as known unknowns (Scheirer et al., 2014; Dhamija et al., 2018), outlier images (Kong  
 132 & Ramanan, 2021), natural adversarial examples (Hendrycks et al., 2021), and negative  
 133 samples (Palechor et al., 2023). Real auxiliary data is used for OOD detection (Hendrycks  
 134 et al., 2019; Liu et al., 2020) and OSR (Dhamija et al., 2018; Palechor et al., 2023), dating  
 135 back to the earliest approaches (Scheirer et al., 2014). While a large attention in OSR  
 136 research is paid to artificially generate auxiliary samples (Ge et al., 2017; Neal et al., 2018;  
 137 Chen et al., 2020; 2021), in this study we exclude generative methods and instead use  
 138 real auxiliary data. The standard small-scale benchmarks MNIST, CIFAR, SVHN, and  
 139 TinyImageNet partition all classes into known and unknown (Neal et al., 2018), therefore  
 140 do not allow any auxiliary classes. The large-scale Semantic Shift Benchmark (SSB) (Vaze  
 141 et al., 2022) uses the entire ImageNet-1K dataset as known classes and selects unknowns  
 142 from a set of disjoint classes from ImageNet-21K-P.

143 **Differences and Similarities to Prior Art.** Wang et al. (2025) recently acknowledged  
 144 the distinction between RL and PP methods and the potential for combined approaches in  
 145 the context of disentangling OSR and OOD methods and benchmarks. They focus primarily  
 146 on OOD detection methods, with 10 out of 12 methods being postprocessing, leaving modern  
 147 OSR methods and their interaction effects unexplored.

148 **3 MODULAR TWO-STAGE FRAMEWORK FOR OSR**

149 In this paper, we disentangle OSR methods into modular sequential components: Repre-  
 150 sentation Learning (RL) via classifier training and PostProcessing (PP) of pre-computed  
 151 representations. Within this two-stage framework, every OSR system can be viewed as a  
 152 combination of one RL and one PP method, denoted as RL+PP, *e.g.*, our baseline CE+MSP  
 153 combines Cross-Entropy (CE) training with Maximum SoftMax Probability (MSP). We  
 154 summarize key characteristics relevant to this study of RL and PP methods in Table 1.  
 155 Section A.1 discusses how methods from Table 1 can be formalized in this framework.  
 156

157 **Representation Learning Methods.** RL methods train or fine-tune a classifier and  
 158 extract the representations  $\mathcal{R}_n = (\varphi_n, \mathbf{z}_n, \mathbf{y}_n)$  for sample  $\mathbf{x}_n$ , where  $\varphi_n$  are discriminative  
 159 deep features,  $\mathbf{z}_n$  are the logits, and  $\mathbf{y}_n$  the probability distributions over the known classes.  
 160 RL methods can modify the training process in various ways, typically by adapting the loss  
 161

162 Table 1: Key characteristics of (a) Representation Learning (RL) and (b) Postprocessing  
 163 methods for OSR. RL methods highlight which types of auxiliary data they use,  
 164 whether they are Magnitude-Manipulating (MM), and whether they use an additional out-  
 165 put node for the unknown class. For PP methods, we list whether they require training, are  
 166 Magnitude-Aware (MA), and which types of inputs they operate on.

(a) Representation Learning

Method	Auxiliary	MM	Output $K + 1$
Cross-Entropy (CE)	none		
ARPL (Chen et al., 2021)	none		
AddON (Palechor et al., 2023)	real		Yes
Objectosphere (OS) (Dhamija et al., 2018)	real	Yes	
Outlier Exposure (OE) (Hendrycks et al., 2019)	real	Yes	

(b) Postprocessing

Method	Trainable	MA	Inputs
Maximum Softmax (MSP) (Hendrycks & Gimpel, 2017)			$\mathbf{y}$
MaxLogits/MLS (Hendrycks et al., 2022; Vaze et al., 2022)		Yes	$\mathbf{z}$
OpenMax (Bendale & Boult, 2016)	Yes		$\varphi$
PostMax (Cruz et al., 2024)	Yes	Yes	$\varphi, \mathbf{z}$
GHOST (Rabinowitz et al., 2025)	Yes	Yes	$\varphi, \mathbf{z}$

183 function (Dhamija et al., 2018; Hendrycks et al., 2019; Chen et al., 2020; 2021), involving  
 184 data augmentation, such as mixup (Zhang et al., 2018; Verma et al., 2019) or generative  
 185 methods (Ge et al., 2017; Neal et al., 2018; Verma et al., 2019; Kong & Ramanan, 2021;  
 186 Chen et al., 2021; Wilson et al., 2023; Huang et al., 2023), or combinations thereof (Zhou  
 187 et al., 2021). RL methods are trained on a dataset  $\mathcal{K}_{\text{train}} \cup \mathcal{A}_{\text{train}}$ , where for input  $\mathbf{x}_n$ ,  $\mathcal{K} =$   
 188  $\{(\mathbf{x}_n, \tau_n) \mid \tau_n \in \mathcal{Y}\}$  is the set of known samples with known class labels  $\mathcal{Y} = \{1, \dots, K\}$ , and  
 189  $\mathcal{A} = \{(\mathbf{x}_n, \tau_n) \mid \tau_n \notin \mathcal{Y}\}$  is the set of auxiliary samples. Evaluation is done on  $\mathcal{K}_{\text{test}} \cup \mathcal{U}_{\text{test}}$ ,  
 190 where  $\mathcal{U} = \{(\mathbf{x}_n, \tau_n) \mid \tau_n \notin \mathcal{Y}\}$  denotes unknown samples. Note that while auxiliary and  
 191 unknown samples do not require a specific target label, they are required to not share the  
 192 label space with known classes  $\mathcal{Y}$  (Scheirer et al., 2013).

193 **Postprocessing Methods.** PP methods operate post-hoc on representations  $\mathcal{R}_n$  to add  
 194 open-set capabilities to a pre-trained closed-set classifier,<sup>1</sup> making them a cheap alternative  
 195 to expensive RL training. However, PP methods cannot undo any damage to the feature  
 196 representation learned by the pre-trained network, *e.g.*, when deep feature distributions  $\varphi$   
 197 from known and unknown classes overlap, no PP method is able to separate those samples.  
 198 Postprocessors can involve training a secondary classifier (Scheirer et al., 2014; Rudd et al.,  
 199 2017), employing a statistics model (Bendale & Boult, 2016), modifying the inputs (Liang  
 200 et al., 2017), or simply returning elements of  $\mathcal{R}_n$  (Hendrycks & Gimpel, 2017; Hendrycks  
 201 et al., 2022). We formalize postprocessors as follows: for test sample  $\mathbf{x}_n^*$  with  $\mathcal{R}_n^*$ , we require  
 202 a PP to produce two outputs  $\mathcal{P}_n^* = (k_n^*, \gamma_n^*)$ , where  $k_n^* \in \mathcal{K}$  is the prediction of a known  
 203 class label and  $\gamma_n^*$  is an OOD score, where high  $\gamma_n^*$  scores indicate known classes. In an  
 204 operational setting, the OSR decision function can be defined as:

$$G_{\text{OSR}}(\mathcal{R}_n^*; \theta) = \begin{cases} k_n^* & \text{if } \gamma_n^* \geq \theta \\ \text{unknown} & \text{otherwise} \end{cases} \quad (1)$$

## 4 STUDY DESIGN AND EXPERIMENTAL SETUP

211 We choose five different RL approaches to cover a varied selection of models, based on  
 212 whether the method requires auxiliary data and whether it (explicitly or implicitly) manip-

213  
 214 <sup>1</sup>Note that most PP methods perform class predictions  $k^*$  based on the argmax of the logits (or  
 215 monotonic transformations thereof) and therefore yield identical class predictions and closed-set  
 accuracy, addressing exclusively the separation between known and unknown classes.

ulates the feature magnitude. As such we selected the following methods: Cross-Entropy (CE), ARPL (Chen et al., 2021), AddON (Palechor et al., 2023), Outlier Exposure (OE) (Hendrycks et al., 2019), and ObjectoSphere (OS) (Dhamija et al., 2018). We also choose five different PP methods to cover a varied selection of methods based on whether it takes the feature magnitude into account, *i.e.*, it is magnitude-aware. We select MSP (Hendrycks & Gimpel, 2017), MaxLogits/MLS (Hendrycks et al., 2022; Vaze et al., 2022), OpenMax (Bendale & Boult, 2016), PostMax (Cruz et al., 2024) and GHOST (Rabinowitz et al., 2025).

**Datasets** We conduct small-scale experiments on the standard OSR **CIFAR+N** benchmarks with  $N \in \{10, 50\}$  (Neal et al., 2018). These protocols randomly sample 4 known classes from CIFAR-10 and N unknown classes from CIFAR-100 Krizhevsky & Hinton (2009).<sup>2</sup> To allow training with auxiliary samples, we randomly sample N auxiliary classes from the remaining classes in CIFAR-100. Large-scale experiments are conducted on the **ImageNet** protocols  $P_1$ ,  $P_2$ , and  $P_3$  (Palechor et al., 2023) based on the ILSVRC 2012 dataset (Russakovsky et al., 2015). These protocols offer increasing levels of difficulty from  $P_1$  to  $P_3$  by increasing semantic similarity between known, auxiliary, and unknown classes based on the WordNet hierarchy (Miller, 1998). While  $P_1$  poses an easy open-set task with low similarity between known and auxiliary classes,  $P_2$  and  $P_3$  pose increasingly difficult open-set tasks with high similarity between known and auxiliary classes.

**Evaluation Metrics** To evaluate the binary unknown rejection and the closed-set performance in isolation, we use the Area Under the Receiver Operating Characteristics (AUROC) curve and closed-set accuracy, respectively. To evaluate OSR performance we use Correct Classification Rate (CCR) (Dhamija et al., 2018) and False Positive Rate (FPR) and their single-valued derivations: Area Under the Open-Set Classification Rate (AUOSCR) curve (Vaze et al., 2022), which provides a threshold-agnostic measure, and the Operational Open-set Accuracy (OOSA) (Cruz et al., 2024), which equally weights known and unknown samples and measures performance at an operational threshold. For detailed descriptions of all metrics, please refer to Section A.5 in the appendix. Given knowns  $\mathcal{K}$ , unknowns  $\mathcal{U}$ , predictions  $\mathcal{P}_n^* = (k_n^*, \gamma_n^*)$ , and threshold  $\theta$  we compute CCR and FPR following the adjustments by (Rabinowitz et al., 2025) to allow for arbitrary OOD scores  $\gamma^*$ :

$$\begin{aligned} \text{CCR}(\theta) &= \frac{|\{(\mathbf{x}_n, \tau_n) \in \mathcal{K} \wedge k_n^* = \tau_n \wedge \gamma_n^* \geq \theta\}|}{|\mathcal{K}|} \\ \text{FPR}(\theta) &= \frac{|\{(\mathbf{x}_n, \tau_n) \in \mathcal{U} \wedge \gamma_n^* \geq \theta\}|}{|\mathcal{U}|} \end{aligned} \quad (2)$$

To evaluate our methods we use multiple metrics to capture different aspects of performance. AUOSCR and OOSA lack the interpretability of closed-set accuracy and AUROC, and therefore should not be solely relied upon. On the other hand, the latter do not measure OSR performance holistically (Wang et al., 2022). For example, closed-set accuracy is incapable of measuring interaction effects because all PP methods except OpenMax exclusively rely on the logit order from upstream RL methods to produce predictions  $k^*$  and ignore  $\gamma^*$  resulting in identical accuracy scores (Figure 11d in the appendix). AUROC measures the ability to separate known and unknown samples across all thresholds via  $\gamma^*$  and ignores  $k^*$ . AUOSCR and OOSA however jointly measure the quality of  $k^*$  and  $\gamma^*$  for any given threshold  $\theta$  via  $\text{CCR}(\theta)$  and  $\text{FPR}(\theta)$ . As such we rely primarily on AUOSCR and OOSA to answer our research questions but draw complementary insights from closed-set accuracy and AUROC.

**Training Details** We train all networks from scratch to ensure that no information from unknown classes is leaked into training of pre-trained networks, and to isolate the effect of representation learning as opposed to fine-tuning a closed-set network. All networks are trained using SGD with momentum of 0.9 and an initial learning rate of 0.1 with cosine annealing schedule (Loshchilov & Hutter, 2017). For large-scale experiments we train

<sup>2</sup>We use the same class allocations as Chen et al. (2021) for comparability.

		CIFAR+10	CIFAR+50	ImageNet $P_1$	ImageNet $P_2$	ImageNet $P_3$
AUOSCR	CE	92.2 92.9 94.4 92.8 93.0	90.4 91.2 92.5 90.7 90.0	75.6 76.5 76.8 76.7 77.1	64.9 66.3 68.5 68.8 69.1	78.1 77.9 77.9 78.9 78.8
	ARPL	92.3 93.0 94.5 93.0 93.0	90.6 91.3 92.7 91.0 90.2	76.4 76.8 77.7 77.5 77.9	66.1 66.7 69.2 69.8 70.1	78.4 78.3 78.4 79.3 79.2
	AddON	95.5 95.4 96.2 95.8 96.0	96.3 95.2 95.9 96.2 96.0	77.5 77.7 78.1 77.7 78.2	68.5 70.5 71.5 71.8 72.2	77.8 78.5 78.7 79.3 79.6
	OE	95.5 95.7 95.6 95.6 95.6	96.2 96.4 96.3 96.2 96.2	77.4 77.3 77.8 77.4 77.8	66.9 67.1 66.9 67.9 67.6	77.7 77.8 77.1 77.0 77.8
	OS	95.8 95.8 95.9 95.4 95.8	96.2 96.2 96.2 95.6 96.2	77.7 77.4 78.0 77.9 78.0	67.8 67.9 68.0 68.4 68.1	78.1 78.0 77.6 76.9 78.3
OOSA	CE	87.8 88.4 90.5 88.3 90.0	84.4 85.5 86.0 84.0 85.5	81.0 83.5 83.8 84.2 85.0	69.5 70.3 72.1 73.0 73.9	77.9 77.7 77.9 78.8 78.3
	ARPL	88.0 88.6 90.7 88.6 90.2	85.0 85.2 86.4 84.9 86.0	80.7 83.4 84.4 84.0 85.3	69.8 70.5 72.1 74.5 74.2	77.7 77.7 77.7 78.6 78.3
	AddON	92.2 91.9 93.7 93.0 93.6	93.6 91.8 92.3 93.1 92.8	78.8 85.6 86.8 85.2 87.1	66.2 74.6 76.1 76.3 76.4	71.0 77.1 77.5 79.3 78.5
	OE	92.5 92.7 92.6 92.8 92.6	93.4 93.6 93.6 93.4 93.6	83.3 82.8 83.3 83.2 85.1	70.0 70.5 69.5 71.4 70.1	72.9 73.1 73.0 75.7 74.0
	OS	92.7 92.7 92.7 92.5 92.6	93.9 93.8 93.9 92.5 94.0	82.6 83.3 85.1 85.8 83.0	70.1 70.1 70.1 72.1 69.5	75.3 75.5 74.8 71.8 75.0
	MSP					
	OpenMax					
	MaxLogits					
	PostMax					
	GHOST					

Figure 2: OSR performance for RL+PP combinations across datasets in AUOSCR (top) and OOSA (bottom). The color of each heatmap is normalized independently and centered at the CE+MSP baseline, where blue shows an increase and red a decrease. **Baseline RL and PP methods are surrounded by a black border.** Results for CIFAR+N are averaged over 5 trials.

ResNet50 for 120 epochs with batch size of 32 and weight decay of  $1e-4$ . The small-scale experiments are trained with the CNN architecture from Neal et al. (2018); Chen et al. (2021) for 100 epochs with batch size of 128 and weight decay of  $5e-4$ . We perform early stopping according to validation confidence (Palechor et al., 2023). For CE and ARPL, we compute validation confidence on known classes only since including them yielded unreliable results. Where possible, we rely on recommended hyperparameters for each RL and PP method. Others are optimized on the validation set via grid search. Optimal hyperparameters and their ranges are reported in Section A.4 in the appendix. **All experiments are run on NVIDIA RTX GeForce 2080 Ti.**

## 5 RESULTS AND DISCUSSION

### 5.1 OSR REPRESENTATION LEARNING WITH AUXILIARY DATA AT LARGE SCALE

The first set of experiments aims at answering if RL with auxiliary data can improve OSR performance on large-scale datasets, despite recent studies that suggest otherwise (Wang et al., 2025). We compare the performance of RL methods with auxiliary data (AddON, OE, and OS) to methods that only utilize known classes (CE and ARPL) across datasets. Here, we ignore interaction effects and consider MSP postprocessing or aggregate results over all postprocessors. The AUOSCR and OOSA for every RL+PP combination are shown in Figure 2, other metrics are reported in Tables 3 and 4 in the Appendix.

**Small-scale Outperformance with Auxiliary Data.** On CIFAR+N, all RL methods utilizing auxiliary data dramatically outperform those that do not by up to 5.9 percentage points in AUOSCR with MSP. State-of-the-art ARPL achieves consistent but negligible improvements over CE for any given PP. With known classes being held constant between CIFAR+10 and CIFAR+50, we can see that additional auxiliary data consistently improves AUOSCR by over 2 percentage points, even when evaluated on more unknown classes. For all RL methods, the variations across PP are comparably small, suggesting that RL contributes more toward overall performance than PP on small-scale benchmarks. Only MaxLogits provides substantial gains, up to 2.2 percentage points of AUOSCR over MSP for CE.

**Performance Degradation at Large Scale.** On large-scale ImageNet protocols, this outperformance from using auxiliary data vanishes, even underperforming the CE+MSP baseline on  $P_3$  for most postprocessors, supporting Wang et al. (2025). We isolate the effect of RL by computing the *RL contribution delta* to the CE baseline as a function of

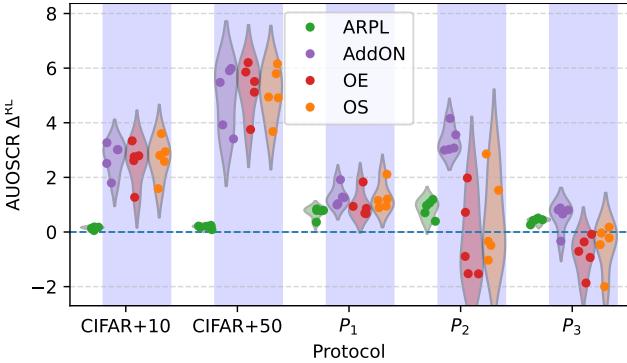


Figure 3: The RL performance contribution  $\Delta^{\text{RL}}$  in AUOSCR is shown as distributions over PP methods and across protocols, with  $P_1$ ,  $P_2$ , and  $P_3$  increasing in similarity between known and auxiliary classes. Methods that use auxiliary data are marked with blue background. CIFAR+N results are averaged over 5 trials.

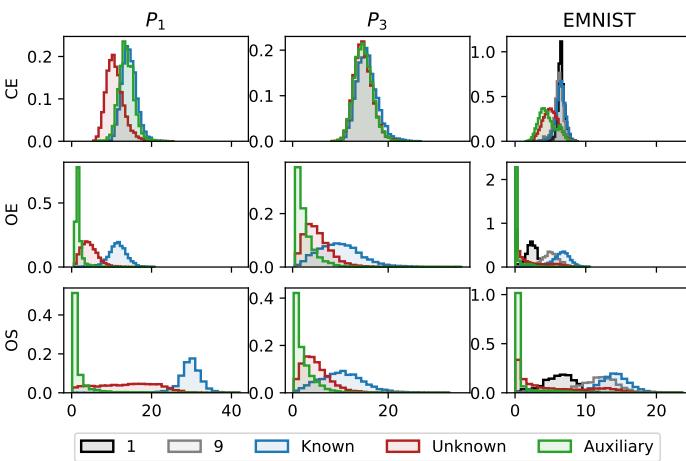


Figure 4: Feature magnitude distributions of known, auxiliary, and unknown classes on protocols  $P_1$ ,  $P_3$ , and EMNIST. OE and OS experience feature magnitude collapse on  $P_3$  and EMNIST, pulling their feature magnitudes towards zero. For EMNIST, we show distributions for known classes 1 and 9 (black and grey) that are highly similar to auxiliary classes, and other known classes (blue) separately.

the postprocessor PP. Similarly, we separate improvements of PP by computing the *PP contribution delta* to the MSP baseline:

$$\begin{aligned}\Delta_{\text{method}}^{\text{RL}}(\text{PP}) &= \text{"method+PP"} - \text{"CE+PP"} \\ \Delta_{\text{method}}^{\text{PP}}(\text{RL}) &= \text{"CE+method"} - \text{"RL+MSP"}\end{aligned}\quad (3)$$

This allows us to decompose the gains from any OSR system RL+PP to the CE+MSP baseline, *e.g.*, on  $P_1$  we have “ARPL+GHOST” – “CE+MSP” =  $\Delta_{\text{ARPL}}^{\text{RL}}(\text{MSP}) + \Delta_{\text{GHOST}}^{\text{PP}}(\text{ARPL}) \approx 0.8 + 1.5 = 2.3$ . Figure 3 depicts the RL contribution deltas across datasets as distribution over all postprocessors. The RL contribution delta for MM methods OE and OS degrades and turns negative with increasing similarity of known and auxiliary classes on  $P_2$  and  $P_3$ , destroying performance across most PP. Wang et al. (2025) attribute their findings of poor OE performance to low correlation between auxiliary and unknown classes or high correlation between known and unknown classes. However, AddON does not experience this performance degradation with identical data, demonstrating that it cannot be explained by the data distributions alone **but by the interplay between data distributions and training objective**. Strong OOD detection performance with MSP (see AUROC in Figure 11c) and high correlation between AUOSCR and closed-set accuracy (cf. Figure 11d in Appendix) suggest that the performance degradation can partially be explained by a loss of discriminative information for known classes.

**The Risk of Magnitude-Manipulation: Magnitude Collapse.** To understand *why* MM methods degrade in accuracy, AUOSCR, and OOSA, we analyze the feature magnitude distributions of known, auxiliary, and unknown samples (Figure 4). With highly similar auxiliary samples, MM methods inadvertently draw features of known classes towards the origin of the feature space, resulting in *magnitude collapse* and effectively overlapping them with auxiliary, unknown, and other known samples. We analyze the relationship between

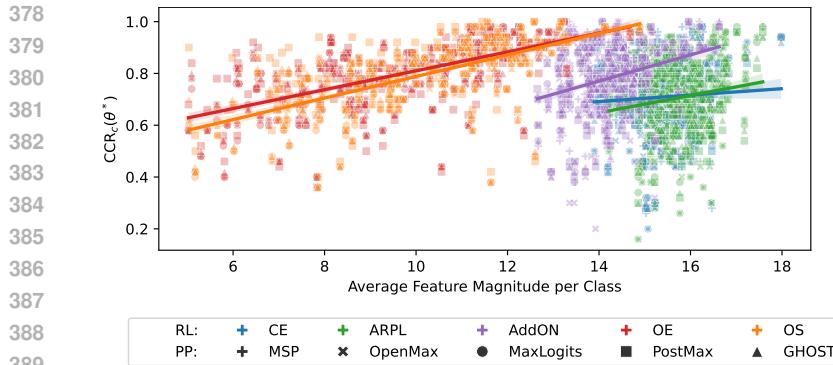


Figure 5: Linear relationship between class-wise CCR,  $CCR_c(\theta^*)$ , at the operational threshold and class-wise average feature magnitude for known classes on  $P_3$ . We perform regression for each RL method independently and over all PP methods.

feature magnitude and class-wise CCR (Rabinowitz et al., 2025) at the operational threshold,  $CCR_c(\theta^*)$ , see Section A.5, and perform linear regression<sup>3</sup> against the class-wise average feature magnitude for each known class  $c$  (Figure 13 in the appendix). On CIFAR+N, only MM methods exhibit a statistically significant positive correlation between average feature magnitude and  $CCR_c(\theta^*)$ , with large effect sizes of  $R^2$  up to 80%. On the easily separable  $P_1$ , most models exhibit significant positive correlations, but often with meaningless effect sizes below 10%. However, with increasing similarity in known and auxiliary samples on  $P_2$  and  $P_3$ , MM methods learn much stronger and statistically significant relationships, resulting in practically significant effect sizes of  $R^2$  up to 38% on  $P_3$  (Figure 5). This shows how magnitude-manipulation increases the dependency between feature magnitude and CCR by systematically reducing performance on a few classes in a trade-off to maintain *overall* high binary ID-vs-OOD separation via MSP (see AUROC Figure 11c) and increasing the minimal class-wise CCR.

In contrast, AddON counteracts magnitude collapse by learning sufficiently large feature magnitudes to achieve high SoftMax probabilities for the additional output node **during training**. Since AddON is trained via CE loss, it forces probabilities corresponding to any output node (known or auxiliary) to be close to 1 for samples of the respective class. Formally, we want the trained network to be able to achieve  $y_c > 1 - \epsilon$  for any sample and output class  $c \in \{1, \dots, C\}$ , for some small  $\epsilon > 0$ . This holds if (but not only if) the difference between the maximal two logits surpasses a lower bound  $l$ , which is equivalent to (see Section A.2):

$$\|\varphi\|_2 > \frac{l}{\delta_{\mathbf{z}_c/\|\varphi\|_2}} = \frac{\log(\frac{1-\epsilon}{\epsilon}) + \log(C-1)}{\|\mathbf{W}_c\|_2 \cos(\alpha_c) - \max_{c' \neq c} \{\|\mathbf{W}_{c'}\|_2 \cos(\alpha_{c'})\}} \Rightarrow y_c > 1 - \epsilon, \quad (4)$$

where  $\delta_{\mathbf{z}_c/\|\varphi\|_2}$  is the difference logits divided by their feature magnitude. Note that  $l$  is constant for any dataset, loss and  $\epsilon$ , and  $\delta_{\mathbf{z}_c/\|\varphi\|_2}$  is generally small because weight magnitudes are minimized through weight decay and the cosines are bounded between -1 and 1. This provides upward pressure on feature magnitudes during and requires deep feature magnitudes to exceed a lower bound on the feature magnitude for any sample given a trained classifier. In other words, learning sufficiently large feature magnitudes is a sufficient condition to achieve high confidence for some class given trained classifier weights  $\mathbf{W}$ . This training incentive prevents magnitude collapse in AddON and ensures that AddON learns feature magnitude distributions similar to CE and ARPL. Surprisingly, despite training on auxiliary data, AddON learns lower feature magnitudes for auxiliary and unknown classes while maintaining sufficiently large feature magnitudes to achieve high confidences for known and auxiliary classes. The difference in feature magnitudes between known, auxiliary, and unknown classes is analogous to CE but slightly more pronounced, esp. on  $P_3$ . (Figure 10). Furthermore, this provides theoretical support for combinations of AddON with MA PP methods, because assumptions as well as high level magnitude distribution statistics are similar to CE, for which those PP methods were designed.

**Qualitative Analysis on Class Similarity.** We replicate and qualitatively investigate the feature collapse for MM methods at small scale by curating a hard EMNIST benchmark

<sup>3</sup>All regression assumptions are reasonably met.

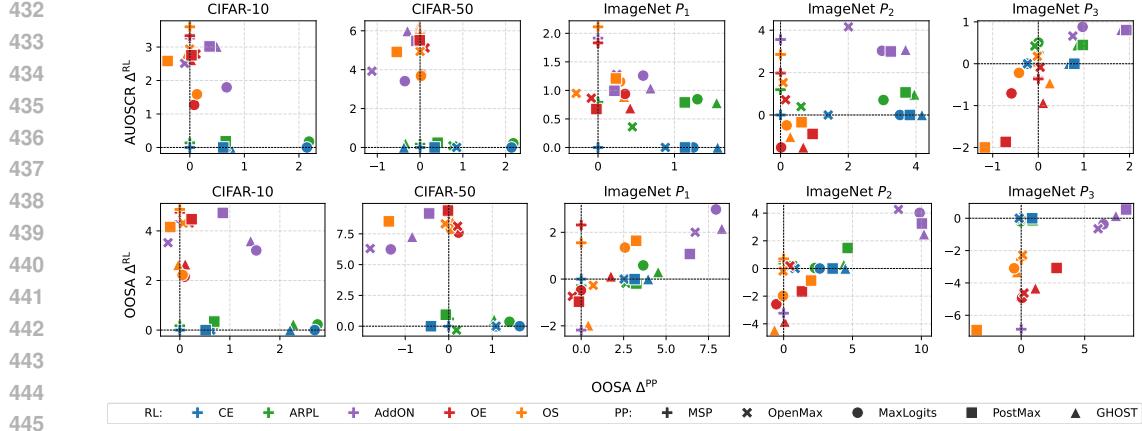


Figure 6: Interaction effects of RL and PP components as correlation between RL contribution  $\Delta^{RL}$  and PP contribution  $\Delta^{PP}$  for AUOSCR (top row) and OOSA (bottom row).

that includes auxiliary classes which are highly similar to known classes 1 and to a lesser extent 9 or even indistinguishable without context (see Section A.3 for details). Experiments are identical to CIFAR+N but networks are trained for 50 epochs. Observable from Figure 4 (right), for MM methods, the feature representations of digits 1 and 9 are pulled towards the origin. We compute  $CCR_c(\theta^*)$  per RL method for classes 1, 9, and rest (all other known classes). For OS and OE combined, these classes experience  $CCR_c(\theta^*)$  in the range [58.1%, 88.7%] (digit 1) and [93.6%, 97.2%] (digit 9), while other digits achieve over 96.7% CCR, showing dramatically imbalanced detection performance on known classes. See Table 5 in the appendix for all RL methods. Non-MM methods have  $CCR_c(\theta^*)$  evenly distributed between 83.8% and 98% over all postprocessors. This clearly demonstrates how high similarity of known and auxiliary classes in conjunction with magnitude-manipulation irreparably damages the feature representation, and ruin the consecutive classification of known classes with similar appearance.

## 5.2 INTERACTION EFFECTS

The second set of experiments aims to answer whether the optimal PP method should be informed by the RL method, and whether magnitude-manipulating RL can enhance the performance of magnitude-aware PP methods. We analyze the relationship and interactions between RL and PP contributions by plotting the RL contribution delta  $\Delta^{RL}$  against the PP contribution delta  $\Delta^{PP}$  for each RL+PP combination in Figure 6.

**Independent Contributions for RL without Auxiliary Data.** Across all evaluation metrics and protocols, all experiments reveal that PP contributions are almost perfectly independent from RL contributions, when trained *without* auxiliary data, *e.g.*, the PP contribution of GHOST is independent of the used RL method:  $\Delta_{GHOST}^{PP}(CE) \approx \Delta_{GHOST}^{PP}(ARPL) = 1.5$ . This suggests that OSR system components have separate responsibilities when trained on known classes only, with RL addressing the ID classification and PP addressing the open-set capabilities.<sup>1</sup> This allows to combine any RL with any PP method, with magnitude-aware PP consistently favored (Wang et al., 2025), to achieve additive performance gains without the risk of undesirable interactions.

**Interaction Effects for RL with Auxiliary Data.** RL methods that train *with* auxiliary data only show interaction effects with high similarity of known and auxiliary classes. Interaction effects are characterized by a correlation between  $\Delta^{RL}$  and  $\Delta^{PP}$  in Figure 6. On ImageNet benchmarks, RL methods with auxiliary data show interaction effects, with particularly MA PP methods strongly amplifying positive and negative gains from RL. While magnitude-aware PP consistently outperform others for non-MM methods, they can amplify performance degradation for MM methods due to their sensitivity to the magnitude collapse.

	Swin-B	AUOSCR				OOSA				AUROC				Accuracy							
		MSP	OpenMax	MaxLogits	PostMax	GHOST	MSP	OpenMax	MaxLogits	PostMax	GHOST	MSP	OpenMax	MaxLogits	PostMax	GHOST	MSP	OpenMax	MaxLogits	PostMax	GHOST
CE	58.6	62.2	62.3	62.3	63.7		64.9	68.0	67.6	67.3	69.5	74.0	81.9	81.1	81.0	82.9	71.4	69.8	71.4	71.4	71.4
ARPL	48.7	50.0	53.4	53.5	54.0		60.2	60.7	63.2	63.5	64.2	68.6	72.9	79.6	79.5	80.7	61.6	61.3	61.6	61.6	61.6
AddON	63.2	63.5	65.7	65.1	66.6		62.0	68.2	69.5	67.8	69.8	80.0	79.0	83.8	83.0	84.9	73.6	73.7	73.6	73.5	73.6
OE	61.3	61.8	61.6	61.0	61.9		64.2	66.6	65.7	64.5	66.0	79.8	80.0	80.6	79.4	80.8	70.2	69.5	70.2	70.2	70.2
OS	61.1	60.5	61.7	61.0	62.1		62.9	62.9	63.3	61.3	64.2	79.3	78.7	80.5	78.8	81.0	70.4	69.1	70.4	70.4	70.4

Figure 7: OSR performance on  $P_2$  with Swin-B backbone, showing accuracy, AUROC, and AUOSCR metrics. Similar interaction effects between RL and PP methods are observed as with ResNet-50 backbones. Note that ARPL training was unstable and did not converge properly.

In particular, PostMax, and to a lesser extent GHOST, demonstrate both the highest gains for non-MM methods (8.3 percentage points or +11.7% for AddON), as well as the most severe performance degradation for MM methods (-1.2 percentage points or -1.5% for OS) on  $P_3$ . These interaction effects are even clearer when evaluated via OOSA, which equally weights known and unknown test samples.

Generally speaking, MM methods across all protocols did not benefit significantly from PP methods. Furthermore, we can see a clear trend, where small-scale experiments are primarily driven by RL via inclusion of auxiliary data and favor the simpler PP methods like MaxLogits, while large-scale experiments benefit from combining RL and PP, mainly through joining AddON with MA PP methods like PostMax or GHOST.

**Robustness to Backbone Architecture.** To ensure that our findings are not specific to the chosen ResNet-50 architecture, we replicate the experiments on  $P_2$ , which balances difficulty and computational cost, using a Swin-B transformer (Liu et al., 2021). Training is conducted with original hyperparameters, except for a reduced learning rate of 0.0002 to account for a lower batch size of 90. While overall performance is lower compared to ResNet-50 backbones, likely due to the increased data requirement of a transformer, we observe similar interaction effects between RL and PP methods as with ResNet-50 (Figure 7), where accuracy and AUROC are slightly lower for MM methods while AddON performed best with MA PP methods across all metrics. Notably, ARPL training was unstable and did not converge with an a priori selected seed and identical training parameters, resulting in significantly lower performance.

## 6 CONCLUSION

In this study, we adopt a two-stage framework for systematically disentangling Representation Learning (RL) and PostProcessing (PP) methods for Open-Set Recognition (OSR). We show that RL *without auxiliary data* leads to independent OSR components, that can be freely combined to achieve additive performance gains, whereas RL *with auxiliary data* can experience interaction effects with PP methods that can improve or degrade OSR performance. We explain this performance degradation and the key role of feature magnitude in interaction effects via the magnitude collapse mechanism, revealing several insights. First, the similarity between auxiliary and known classes is a key factor for performance degradation at scale, a scenario that does not occur on small-scale benchmarks. Second, magnitude collapse creates an undesirable linear dependency between feature magnitude and class-wise detection performance on the in-distribution and OSR task, leading to systematically imbalanced detection across known classes. However, we demonstrate via the simple yet effective baseline AddON that auxiliary data *can* improve OSR performance at any scale and regardless of auxiliary data selection. Our findings invalidate current best practices in OSR, demonstrating that small-scale evaluations with auxiliary data do not translate to large-scale performance. RL methods considered state-of-the-art based on CIFAR benchmarks, such as Outlier Exposure, can suffer from significant performance degradation below baselines when deployed at scale.

540 7 ETHICS STATEMENT  
541542 We do not foresee any ethical concerns with our work.  
543544 8 REPRODUCIBILITY STATEMENT  
545546 We provide a detailed description of our experimental setup in Section 4 and in-depth  
547 descriptions of the used methods and applied evaluation metrics in the appendix, alongside  
548 extensive results. We will open-source our modular code package used for this work upon  
549 publication and provide all scripts and parameter settings to facilitate reproducibility of all  
550 plots and tables provided within this work. The code package also allows for expansion to  
551 further research, *e.g.*, the inclusion of additional datasets and RL or PP methods.  
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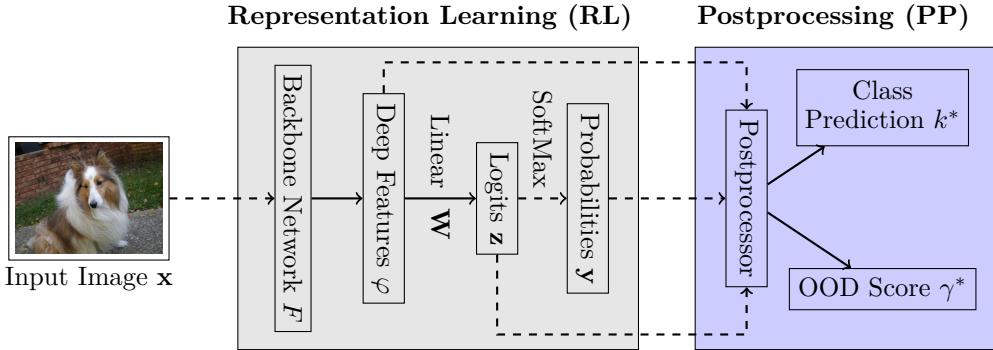
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703 A APPENDIX704 A.1 METHOD SELECTION AND BACKGROUND  
705706 An overall view of the two-stage processing pipeline, including the nomenclature as used in  
707 this section, is given in Figure 8. Below, we provide details for the RL and PP methods  
708 that we investigate in this work as well as a discussion on method selection to answer our  
709 research questions.722  
723 Figure 8: Two-stage processing framework for OSR. An image is presented to the backbone  
724 network  $F$ , which extracts deep features  $\varphi$  that are then processed with a linear layer  $\mathbf{W}$   
725 to logits  $\mathbf{z}$ , and further with SoftMax to probabilities  $\mathbf{y}$ . Solid lines indicate (potentially)  
726 learnable connections, while dashed lines highlight non-learnable connections. The postpro-  
727 processor takes the deep features, logits, or probabilities as input and outputs a class prediction  
728  $k^*$  and a score  $\gamma^*$ .  
729

## 730 A.1.1 REPRESENTATION LEARNING METHODS

731 **Methods Selection** Our model selection for RL aims to cover a representative set of  
732 methods that reflect the current state of the art in OSR as well as OOD detection while  
733 simultaneously adhering to a set of constraints given by our rigorous experimental setup to  
734 ensure that we can adequately test our research questions and hypotheses. We selected RL  
735 methods that satisfy the following criteria:  
736

1. We require methods that utilize no or only natural auxiliary samples as this is at the core of our analysis.
2. Our modularization of OSR methods requires classification-based (discriminative) RL methods since most PP methods rely on output logits or probabilities to perform classification.
3. RL methods must be able to be trained from scratch on the ImageNet protocols without pre-training in order to prevent information leakage from training on classes that our protocols declare as unknown.

745  
746 Following, we discuss each method and its formalization in the two-stage framework in more  
747 detail. For a systematic overview including method characteristics, see Table 1a.748 **CE** Our baseline (Hendrycks & Gimpel, 2017) training-based approach is the categorical  
749 Cross-Entropy (CE) loss trained only on samples from  $K$  known classes. For an input sample  
750  $(\mathbf{x}_n, \tau_n) \in \mathcal{K}$  and an arbitrary backbone network we obtain the deep features  $\varphi_n \in \mathbb{R}^D$  for  
751 some deep feature dimension  $D$ . These features are then passed through a fully-connected  
752 *logit layer*  $\mathbf{W} \in \mathbb{R}^{C \times D}$  with  $C = K$  output *logits*  $\mathbf{z}_n = \mathbf{W}\varphi_n \in \mathbb{R}^C$ .<sup>4</sup> The logits are then753  
754 <sup>4</sup>Note that we can express the logit for class  $c$  through the angle of the feature to the class  
755 center  $\mathbf{W}_c$  as  $\mathbf{z}_{n,c} = \mathbf{W}\varphi_n = \|\varphi_n\| \|\mathbf{W}_c\| \cos(\alpha)$ , where  $\mathbf{W}_c$  is the  $c$ -th row vector of  $\mathbf{W}$  and  $\alpha$  is the  
angle between  $\varphi_n$  and  $\mathbf{W}_c$ .

756 turned into probabilities  $\mathbf{y}_n \in \mathbb{R}^K$  through SoftMax activation:

$$758 \quad y_{n,c} = \frac{e^{z_{n,c}}}{\sum_{c'=1}^C e^{z_{n,c'}}}. \quad (5)$$

761 Based on these, the CE loss is computed as:

$$763 \quad \mathcal{J}_{\text{CE}} = -\mathbb{E}_{(\mathbf{x}_n, \tau_n) \in \mathcal{K}} \log y_{n, \tau_n}. \quad (6)$$

765 **OE** We include Outlier Exposure (OE) (Hendrycks et al., 2019) as state-of-the-art RL  
 766 method from the OOD detection literature that utilize auxiliary data (Wang et al., 2025).  
 767 OE adds a regularization term to (6) that maximizes the entropy for auxiliary samples by  
 768 computing the CE loss between the uniform distribution and the SoftMax confidences of  
 769 the network:

$$770 \quad \mathcal{J}_{\text{OE}} = \mathcal{J}_{\text{CE}} - \lambda_{\text{OE}} \mathbb{E}_{(\mathbf{x}_n, \tau_n) \in \mathcal{A}} \frac{1}{C} \sum_{c=1}^C \log y_{n,c} \quad (7)$$

772 OE essentially is equivalent to the Entropic Open-Set (EOS) loss  $\mathcal{J}_{\text{EOS}}$  proposed by Dhamija  
 773 et al. (2018), with the only exception that OE provides a more intuitive way to weight the  
 774 impact of auxiliary samples to the training (with  $\lambda_{\text{OE}} = 0.5$  for computer vision tasks),  
 775 whereas EOS does so via class weighting (set to 1). From Dhamija et al. (2018) we know  
 776 that EOS and, by extension, OE *implicitly* manipulate feature magnitudes, by encouraging  
 777 the network to learn small feature magnitudes for auxiliary samples and large magnitudes  
 778 for known samples.

779 **OS** We employ the ObjectoSphere (OS) loss (Dhamija et al., 2018) as an extension to the  
 780 EOS loss which *explicitly* manipulates feature magnitudes. It learns vanishing vectors for  
 781 auxiliary samples and large magnitudes for known samples by using the following regular-  
 782 ization term combined with the EOS loss:

$$784 \quad \mathcal{J}_{\text{OS}} = \mathcal{J}_{\text{EOS}} + \lambda_{\text{OS}} \begin{cases} \max(0, \xi - \|\varphi_n\|_2^2) & \text{if } \mathbf{x}_n \in \mathcal{K} \\ \|\varphi_n\|_2^2 & \text{if } \mathbf{x}_n \in \mathcal{A} \end{cases} \quad (8)$$

786 where the hyperparameter  $\xi$  is the lower bound for the feature magnitude of known samples.

788 **AddON** We use an RL method for OSR and OOD methods that utilize auxiliary data,  
 789 which we term Additional Output Node (AddON). AddON uses the known data  $\mathcal{K}$  as in  
 790 CE, and auxiliary data  $\mathcal{A}$  to train an additional output node  $z_{n, K+1}$ , so that we have a total  
 791 of  $C = K + 1$  outputs, creating a default class for all auxiliary and unknown samples. It is  
 792 trained with the standard CE loss (6) with label  $\tau = K + 1$  for auxiliary samples. While  
 793 this is a common approach in object detection models (Dhamija et al., 2020), which collect  
 794 a lot of background samples, it is only rarely applied directly to OSR problems (Dhamija  
 795 et al., 2018; Palechor et al., 2023) or as component of more complex architectures such as  
 796 PROSER or G-OpenMax (Zhou et al., 2021; Ge et al., 2017). Depending on the context,  
 797 AddON is known as *Background Class* (Dhamija et al., 2020; 2018; Palechor et al., 2023),  
 798  $K + 1$  (Kong & Ramanan, 2021), or *Dummy Classifier* (Zhou et al., 2021).

799 **ARPL** Finally, we include the Adversarial Reciprocal Point Learning (ARPL) loss (Chen  
 800 et al., 2021), which currently is the state of the art for OSR<sup>5</sup> and trained solely on the  
 801 known data  $\mathcal{K}$ . Unlike most training-based methods, ARPL does not learn a prototype for  
 802 a class  $c$ , but a reciprocal point  $\mathbf{p}_c \in \mathbb{R}^D$  in deep feature space, *i.e.*, a point that represents  
 803 everything *but* class  $c$ . The ARPL objective maximizes the distance between the reciprocal  
 804 point and the features of samples from the respective class by computing logits  $\mathbf{z}_n$  via  
 805 distance measures between deep features  $\varphi_n$  and the reciprocal points  $\mathbf{p}_c$ :

$$806 \quad z_{n,c} = \frac{1}{D} \|\varphi_n - \mathbf{p}_c\|_2^2 - \varphi_n^T \mathbf{p}_c, \quad (9)$$

809 <sup>5</sup>Note that we do not use ARPL+CS with the generator for confusing samples (CS), since it is  
 810 prohibitively expensive to train at large scale (Vaze et al., 2022).

810 which are used via SoftMax (5) in the CE loss (6). To constrain open space, the distance  
 811 between deep features and reciprocal points is bound by a learnable constant  $\rho$  via the  
 812 regularization term with weight  $\lambda_{\text{ARPL}} = 0.1$ :

$$814 \quad \mathcal{J}_{\text{ARPL}} = \mathcal{J}_{\text{CE}} + \lambda_{\text{ARPL}} \mathbb{E}_{(\mathbf{x}_n, \tau_n) \in \mathcal{K}} \max \left( 0, \frac{1}{D} \|\varphi_n - \mathbf{p}_{\tau_n}\|_2^2 - \rho \right) \quad (10)$$

### 816 A.1.2 POSTPROCESSING METHODS

818 **Method Selection** We selected PP methods to cover a split between representative  
 819 magnitude-aware and magnitude-unaware methods. Furthermore, we aim to cover both  
 820 trainable and non-trainable methods to include methods that can specifically adjust to dif-  
 821 ferently learned representations. Following, we discuss each method and its formalization  
 822 in the two-stage framework in more detail. For a systematic overview including method  
 823 characteristics, see Table 1b.

825 **MSP** The Maximum Softmax Probability (MSP) (Hendrycks & Gimpel, 2017) is the de-  
 826 facto default PP method for OSR and OOD detection and serves as our baseline. Class  
 827 predictions and OOD scores are computed from probabilities for known classes as:<sup>6</sup>

$$828 \quad k_n^* = \arg \max_{1 \leq c \leq K} y_{n,c} \quad \text{and} \quad \gamma_n^* = y_{n,k_n^*}. \quad (11)$$

830 MSP is not magnitude-aware since SoftMax (5) normalizes the logits and only considers  
 831 their relative differences.

833 **MaxLogits** MaxLogits (Hendrycks et al., 2022) or MLS (Vaze et al., 2022) exploit the  
 834 magnitude of the logits  $\mathbf{z}_n$ , which contains useful information for OSR and OOD detection  
 835 that is lost during softmax. MLS is magnitude-aware since logit magnitude is linked to  
 836 feature magnitude (Wang et al., 2025).<sup>4</sup> Class predictions and OOD scores are computed  
 837 from known logits as:

$$838 \quad k_n^* = \arg \max_{1 \leq c \leq K} z_{n,c} \quad \text{and} \quad \gamma_n^* = z_{n,k_n^*}. \quad (12)$$

841 **OpenMax** OpenMax (Bendale & Boult, 2016) uses deep features  $\varphi_n$ , referred to as Acti-  
 842 vation Vectors (AVs), to statistically model probabilities for an additional output node for  
 843 the unknown class. During training, for each known class  $c$ , the Mean Activation Vector  
 844 (MAV)  $\mu_c$  is computed by averaging the deep features  $\varphi_n$  extracted from all correctly clas-  
 845 sified training samples. Then, the cosine distances of the MAV  $\mu_c$  to all the AVs  $\varphi_{n,c}$  of the  
 846 same class are computed. Here, we make use of a twist implemented in the VAST package  
 847 of the original authors:<sup>7</sup> instead of using the original distances to model the distribution,  
 848 we multiply the cosine distances by a factor  $\kappa$ , which allows modeling more compact class  
 849 representations:

$$850 \quad d_{n,c} = \kappa(1 - \cos(\varphi_n, \mu_c)) \quad (13)$$

851 Then, a per-class Weibull distribution  $\Psi_c$  is fitted to the top  $\lambda$  largest cosine distances  $d_{n,c}$ .  
 852 For features  $\varphi_n$  of a test sample, the class-wise Weibull distributions estimate a logit  $\hat{z}_{n,K+1}$   
 853 for the unknown class, as well as modifying the logits for the top  $\alpha$  classes, giving newly  
 854 estimated logits  $\hat{z}_{n,c}$ . From these, the output  $\hat{y}_{n,c}$  is computed<sup>6</sup> via softmax (5) and the  
 855 output  $\mathcal{P}_n$  is computed as:

$$856 \quad k_n^* = \arg \max_{1 \leq c \leq K} \hat{z}_{n,c} \quad \text{and} \quad \gamma_n^* = \hat{y}_{n,k_n^*}. \quad (14)$$

858 OpenMax is not magnitude-aware since the cosine distance ignores the feature magnitude.

859 <sup>6</sup>Please note that for computing OOD scores  $\gamma_n^*$ , we purposefully ignore the unknown class  
 860 ( $y_{n,K+1}$  or  $z_{n,K+1}$ ) if it exists. A low probability for the unknown class  $y_{n,K+1}$  does not indicate  
 861 a high probability for any of the known classes. On the other hand, due to Softmax requiring  
 862 probabilities sum up to 1, a large probability  $y_{n,K+1}$  enforces low probabilities for all known classes.  
 863 Therefore,  $y_{n,K+1}$  does not add any new information.

7 <https://github.com/Vastlab/vast>

864 **PostMax** Postnormalization of Maxima (PostMax) (Cruz et al., 2024) uses Extreme Value  
 865 Theory (EVT) by applying a Generalized Pareto Distribution (GPD) to maximum logits  
 866 post-normalized by the feature magnitude. Based on their findings that unknown samples  
 867 have larger feature magnitude than known samples on large-scale data, they normalize logits  
 868 by dividing them by their deep feature magnitude to further increase the separation between  
 869 known and unknown samples:

$$\hat{\mathbf{z}}_n = \frac{\mathbf{z}_n}{\|\varphi_n\|_2 + 1}. \quad (15)$$

873 This makes it an explicitly magnitude-aware method. We modify the original implementation  
 874 to shift the magnitudes by 1 to avoid issues with magnitudes  $\|\varphi\|_2 < 1$  which reverse  
 875 the desired effect of normalization.<sup>8</sup> This occurs consistently for features from magnitude-  
 876 manipulating RL methods, but not for others. The class-agnostic GPD  $\Psi_{\mu, \sigma, \xi}$  is fitted only  
 877 on the maximum normalized logits of correctly classified known training samples, which is  
 878 then used to compute a probability of the sample being known. Following Cruz et al. (2024),  
 879 the scores  $\mathcal{P}_n$  are computed as:

$$k_n^* = \arg \max_{1 \leq c \leq K} \hat{z}_{n,c} \quad \text{and} \quad \gamma_n^* = \Psi_{\mu, \sigma, \xi}(\hat{z}_{n, k_n^*}). \quad (16)$$

884 **GHOST** The Gaussian Hypothesis Open-Set Technique (GHOST) (Rabinowitz et al.,  
 885 2025) models each class in deep feature space as a multivariate Gaussian distribution with  
 886 the intuition that features  $\varphi$  from known and unknown samples deviate by feature magnitude  
 887 even if the angular direction overlaps, making it magnitude-aware. During training, GHOST  
 888 fits a Gaussian distribution  $(\mu_c, \sigma_c)$  for each known class  $c$  based on the deep features  $\varphi_n$   
 889 of correctly classified training samples. During inference, GHOST first computes a class  
 890 prediction from the logits:

$$k_n^* = \arg \max_{1 \leq c \leq K} z_{n,c}, \quad (17)$$

894 as well as z-score  $s_n$  for each sample  $\mathbf{x}_n$  and corresponding Gaussian  $(\mu_{k_n^*}, \sigma_{k_n^*})$ . This is  
 895 then used to compute the score  $\gamma_n^*$  by dividing the original logit:

$$s_n = \sum_{d=1}^D \frac{|\varphi_{n,d} - \mu_{k_n^*,d}|}{\sigma_{k_n^*,d}}, \quad \gamma_n^* = \frac{z_{n, k_n^*}}{s_n}. \quad (18)$$

## 902 A.2 DERIVATION FOR FEATURE MAGNITUDE INCENTIVE

904 Here, we provide the derivation for the training incentive of CE with  $C = K$  (or AddON  
 905 with  $C = K + 1$ ) to learn “sufficiently large” feature magnitudes:

$$\|\varphi\|_2 > \frac{l}{\delta_{\mathbf{z}_c/\|\varphi\|_2}} = \frac{\log(\frac{1-\epsilon}{\epsilon}) + \log(C-1)}{\|\mathbf{W}_c\|_2 \cos(\alpha_c) - \max_{c' \neq c} \{\|\mathbf{W}_{c'}\|_2 \cos(\alpha_{c'})\}} \implies \mathbf{y}_c > 1 - \epsilon,$$

911 For some small  $\epsilon > 0$  and class  $c \in \{1, \dots, C\}$ , we want the trained network to be able to  
 912 achieve the maximum probability over all classes  $\mathbf{y}_c > 1 - \epsilon$  for any sample. Note that we

915 <sup>8</sup>We also tried different normalization techniques, including to multiply with the norm, which  
 916 seems more reasonable for MM RL methods. However, the detrimental effects of MM RL with  
 917 PostMax for large-scale evaluations was present in any case. This modification does not harm  
 918 performance with non-MM RL methods.

918 have  $\mathbf{y}_c = \max_{c' \in \{1, \dots, C\}} \mathbf{y}_{c'}$ .

$$\begin{aligned}
 920 \quad \mathbf{y}_c > 1 - \epsilon &\iff \frac{e^{\mathbf{z}_c}}{\sum_{c'} e^{\mathbf{z}_{c'}}} = \frac{e^{\mathbf{z}_c}}{e^{\mathbf{z}_c} + \sum_{c' \neq c} e^{\mathbf{z}_{c'}}} > 1 - \epsilon \\
 921 \quad &\iff e^{\mathbf{z}_c} > (1 - \epsilon)(e^{\mathbf{z}_c} + \sum_{c' \neq c} e^{\mathbf{z}_{c'}}) \\
 922 \quad &\iff e^{\mathbf{z}_c} > e^{\mathbf{z}_c} + \sum_{c' \neq c} e^{\mathbf{z}_{c'}} + \epsilon e^{\mathbf{z}_c} - \epsilon \sum_{c' \neq c} e^{\mathbf{z}_{c'}} \\
 923 \quad &\iff e^{\mathbf{z}_c} > \frac{1 - \epsilon}{\epsilon} \sum_{c' \neq c} e^{\mathbf{z}_{c'}} \\
 924 \quad &\iff \mathbf{z}_c > \underbrace{\log\left(\frac{1 - \epsilon}{\epsilon}\right)}_{=: \beta} + \log\left(\sum_{c' \neq c} e^{\mathbf{z}_{c'}}\right)
 \end{aligned}$$

934 By binding the LogSumExp  $\log\left(\sum_{c' \neq c} e^{\mathbf{z}_{c'}}\right)$  above, we get a sufficient condition  $\delta_{\mathbf{z}_c} > l$   
 935 such that  $\delta_{\mathbf{z}_c} > l \implies \mathbf{y}_c > 1 - \epsilon$ . We have the upper bound of the LogSumExp function:

$$\begin{aligned}
 937 \quad \log\left(\sum_{c' \neq c} e^{\mathbf{z}_{c'}}\right) &\leq \log(C - 1) + \max_{c \neq c'} \mathbf{z}_{c'} \\
 938 \quad \mathbf{z}_c > \beta + \max_{c \neq c'} \mathbf{z}_{c'} + \log(C - 1) &\implies \mathbf{z}_c > \beta + \log\left(\sum_{c' \neq c} e^{\mathbf{z}_{c'}}\right)
 \end{aligned}$$

944 From this we get:

$$\mathbf{z}_c > \beta + \max_{c \neq c'} \mathbf{z}_{c'} + \log(C - 1) \iff \mathbf{z}_c - \underbrace{\max_{c \neq c'} \mathbf{z}_{c'}}_{=: \delta_{\mathbf{z}_c}} > \beta + \log(C - 1) =: l$$

945 This provides a lower bound on the difference between the maximal two logits. Using  
 946 footnote 4 we have

$$\begin{aligned}
 947 \quad &\iff \|\varphi\|_2 \|\mathbf{W}_c\|_2 \cos(\alpha_\varphi, \mathbf{w}_c) - \max_{c' \neq c} \{\|\varphi\|_2 \|\mathbf{W}_{c'}\|_2 \cos(\alpha_\varphi, \mathbf{w}_{c'})\} > l \\
 948 \quad &\iff \|\varphi\|_2 (\underbrace{\|\mathbf{W}_c\|_2 \cos(\alpha_\varphi, \mathbf{w}_c) - \max_{c' \neq c} \|\mathbf{W}_{c'}\|_2 \cos(\alpha_\varphi, \mathbf{w}_{c'})}_{=: \delta_{\mathbf{z}_c / \|\varphi\|_2}}) > l \\
 949 \quad &\iff \|\varphi\|_2 > \frac{l}{\delta_{\mathbf{z}_c / \|\varphi\|_2}}
 \end{aligned}$$

950 where  $\delta_{\mathbf{z}_c / \|\varphi\|_2} > 0$ .

### 960 A.3 EMNIST BENCHMARK

962 We perform qualitative small-scale experiments on the **EMNIST** protocol (Dhamija et al.,  
 963 2018) to replicate settings of high similarity between known and auxiliary classes at small  
 964 scale. This benchmark contains a wide spectrum of clearly attributable visual similarities  
 965 between known and auxiliary classes because the samples do not contain any image back-  
 966 ground. It consists of EMNIST MNIST split as knowns, the first half of EMNIST letters  
 967 (a-m) as auxiliary data, and the second half (n-z) as unknowns.<sup>9</sup> While most digits (known)

968 <sup>9</sup>Contrary to (Dhamija et al., 2018) we use EMNIST MNIST instead of the original MNIST  
 969 because the preprocessing, while similar, is not exact (Cohen et al., 2017). EMNIST MNIST and  
 970 letters contain noticeably softer and thicker digits than MNIST. In order to focus on semantic shift  
 971 and not introduce easily learnable covariate shift through softness and sharpness, we use EMNIST  
 972 MNIST.

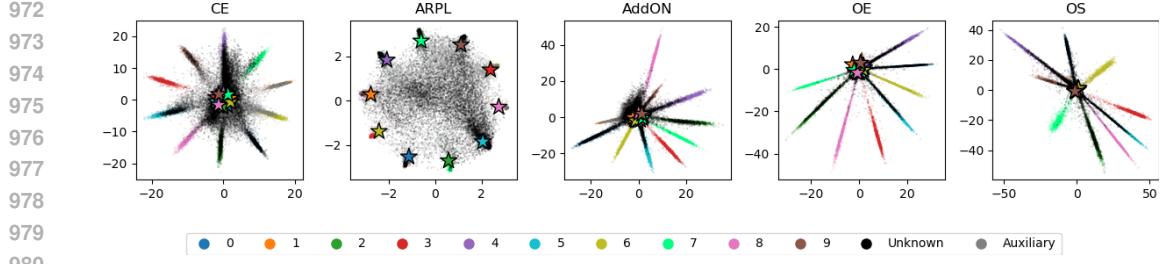


Figure 9: 2D feature visualizations of EMNIST experiments with different RL methods.

Method	Parameter	CIFAR+N		ImageNet		
		CIFAR+10	CIFAR+50	$P_1$	$P_2$	$P_3$
OS	$\lambda_{OS}$	$10^{-3}/10^{-2}/10^{-2}/10^{-2}/10^{-2}$	$10^{-3}/10^{-2}/10^{-2}/10^{-3}/10^{-2}$	$10^{-3}$	$10^{-3}$	$10^{-3}$
	$\xi$	$10/10/10/10/10$	$20/10/10/10/10$	30	20	10
CE+OpenMax	$\alpha$	$3/3/3/1/3$	$3/2/3/3/3$	5	5	2
	$\kappa$	$1.5/1.5/2.3/2/1.7$	$1.7/1.5/2.3/1.5/2.3$	2.3	2.3	2
	$\lambda$	$100/200/250/100/100$	$250/100/500/100/100$	750	750	100
ARPL+OpenMax	$\alpha$	$3/3/1/3/3$	$3/2/3/3/3$	5	3	2
	$\kappa$	$1.5/2.3/2/1.5/1.7$	$2/1.5/1.5/1.5/1.5$	2.3	2.3	2.3
	$\lambda$	$100/250/10/100/100$	$100/100/100/100/100$	750	750	10
AddON+OpenMax	$\alpha$	$1/1/1/1/1$	$1/1/1/1/1$	5	3	5
	$\kappa$	$1.5/2/2.3/1.7/2$	$1.7/2/1.5/1.5/2.3$	1.7	2.3	2
	$\lambda$	$100/250/100/100/100$	$250/500/250/250/250$	750	750	100
OE+OpenMax	$\alpha$	$1/2/1/3/3$	$3/3/1/2/1$	3	2	2
	$\kappa$	$1.5/1.7/2/2/2$	$2.3/1.5/1.5/2/1.5$	1.7	1.5	2.3
	$\lambda$	$10/100/10/100/250$	$100/100/10/100/10$	10	10	10
OS+OpenMax	$\alpha$	$1/1/3/2/2$	$3/2/3/3/2$	10	5	10
	$\kappa$	$1.5/2.3/1.5/1.5/2.3$	$2.3/1.7/2/2.3/2$	2.3	2.3	2
	$\lambda$	$10/10/10/10/10$	$100/10/10/100/10$	10	10	10

Table 2: Optimized hyperparameter values for each method and protocol. CIFAR+N results are reported for each trial separately.

do not contain any visually similar letters, digits 1 and 9 contain auxiliary classes (“i”, “l” and “g”, “q” respectively) that are visually very similar or indistinguishable without context depending on handwriting and capitalization.

To visualize how magnitude collapse and known class classification interacts, we repeat the EMNIST experiments with a 2D bottleneck deep feature layer, following Dhamija et al. (2018). These can illustrate how different RL methods structure the feature space and how this affects PP methods, however, the low dimensionality limits not only the representational capacity but also changes the geometry of the feature space and the behavior, so extrapolation to high dimensional feature spaces is limited. Experiments are otherwise identical to those in Section 4.

#### A.4 HYPERPARAMETER OPTIMIZATION

For most methods, we rely on the hyperparameters as provided by the according papers. Few methods however do not provide any, namely:  $\lambda$  and  $\xi$  for ObjectoSphere and  $\lambda$ ,  $\kappa$ , and  $\alpha$  for OpenMax, where we perform hyperparameter optimization on the validation set using grid search, based on the maximum AUOSCR. For OpenMax the parameter optimization is performed for each upstream RL method separately to ensure optimal settings, since the feature representations differ significantly. The considered hyperparameter ranges are as follows:

- OS:  $\lambda \in \{10^{-4}, 10^{-3}, 10^{-2}\}$ ,  $\xi \in \{10, 20, 30\}$
- OpenMax:  $\alpha \in \{1, 2, 3, 5, 10\}$ ,  $\kappa \in \{1.5, 1.7, 2, 2.3\}$ ,  $\lambda \in \{10, 50, 100, 250, 500, 750, 1000\}$

The best hyperparameter values for each method and protocol are summarized in Table 2.

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## A.5 PERFORMANCE METRICS

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**CCR@FPR** CCR@FPR computes the CCR at a specific FPRs  $\zeta \in \{10^{-4}, 10^{-3}, 10^{-2}, 10^{-1}, 10^0\}$  and provides insights into the classification performance at various tolerances for errors caused by missed rejections. It is therefore highly relevant for practical applications where a fixed threshold  $\theta$  is required, which is typically selected based on a certain FPR. It is computed as:

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$$\text{CCR@FPR} = \begin{cases} \text{CCR}(\theta_\zeta) & \text{if } \theta_\zeta \text{ exists} \\ 0 & \text{otherwise} \end{cases}, \quad (19)$$

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where  $\theta_\zeta = \text{FPR}^{-1}(\zeta)$  is the threshold that yields  $\text{FPR} = \zeta$ . CCR@FPR for  $\zeta = 10^0$  resembles closed-set accuracy.

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**AUOSCR** The OSCR curve (Dhamija et al., 2018) simultaneously evaluates classification of known samples via CCR as well as the rejection of unknown samples via FPR over all possible thresholds. It is computed by varying the threshold  $\theta$  from the smallest to the largest possible score value, and plotting the CCR over the FPR, *i.e.*, computing CCR@FPR at all thresholds. The Area Under the OSCR curve (AUOSCR) is computed by integrating the OSCR curve from  $\zeta = 0$  to  $\zeta = 1$ . Since the OSCR curve is a monotonically increasing function, the AUOSCR is maximized at and bounded by the closed-set accuracy.

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**OOSA** The Operational Open-set Accuracy (OOSA) (Cruz et al., 2024) evaluates the open-set performance at a fixed operational threshold  $\theta^*$ , determined on the validation set, and provides insights into the performance of a method in a real-world setting. It is defined as a trade-off between the CCR and the Unknown Rejection Rate (URR),  $\text{URR}(\theta) = 1 - \text{FPR}(\theta)$ :

$$\text{OOSA} = \alpha_{\text{CCR}} \text{CCR}(\theta^*) + (1 - \alpha_{\text{CCR}}) \text{URR}(\theta^*) \quad (20)$$

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where  $\theta^*$  is the operational threshold that maximizes this equation on the validation set. We follow Cruz et al. (2024) and set  $\alpha_{\text{CCR}} = \frac{|\mathcal{K}_{\text{test}}|}{|\mathcal{K}_{\text{test}}| + |\mathcal{U}_{\text{test}}|}$  to equally weight known and unknown test samples.

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**AUROC** In order to evaluate the OOD detection capabilities independently of the ID classification task, we use the Area Under the Receiver Operating Characteristics (AUROC) curve (Hendrycks & Gimpel, 2017; Hendrycks et al., 2019; Chen et al., 2021; Hendrycks et al., 2022; Vaze et al., 2022; Yang et al., 2024; Wang et al., 2025). AUROC concerns how well known and unknown classes can be distinguished by computing FPR (2), as well as the True Positive Rate (TPR):

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$$\text{TPR}(\theta) = \frac{|\{(\mathbf{x}_n, \tau_n) \in \mathcal{K} \wedge \gamma_n^* \geq \theta\}|}{N_K} \quad (21)$$

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The ROC is computed by varying  $\theta$ , and the area under that curve is determined.

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## A.6 ADDITIONAL FIGURES AND TABLES

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The main paper contained only a subset of evaluation metrics and visualizatrions. Here we provide remaining figures and tables containing the exact results.

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1087 Table 3: Small-scale evaluation. This table includes a performance overview of all  
1088 RL and PP methods on the small-scale protocols. Metrics include  $\text{CCR}@\zeta$  for  $\zeta \in$   
1089  $10^{-2}, 10^{-1}, 10^{-0}, 10^1, 10^2$ , AUOSCR, AUROC, and OOSA. All scores are reported in per-  
1090 cent and  $\text{CCR}@100$  is the closed-set accuracy. Performance metrics are reported as mean  
1091  $\pm$  standard deviation over 5 randomized trials. The best performing combination for each  
1092 protocol and metric w.r.t mean score is highlighted in bold. The best performing PP method  
1093 for each RL method and metric w.r.t mean score is highlighted in italic.

Dataset	RL	PP	$\text{CCR}@0.01$	$\text{CCR}@0.1$	$\text{CCR}@1$	$\text{CCR}@10$	$\text{CCR}@100$	AUOSCR	AUROC	OOSA
CIFAR+10	CE	MSP	$43.0 \pm 13.2$	$40.5 \pm 13.3$	$63.8 \pm 5.1$	$84.5 \pm 2.4$	$97.0 \pm 0.7$	$92.2 \pm 1.1$	$93.7 \pm 0.8$	$87.8 \pm 1.1$
		OpenMax	$43.8 \pm 12.6$	$44.1 \pm 12.3$	$67.8 \pm 3.0$	$86.1 \pm 1.9$	$97.0 \pm 0.7$	$92.9 \pm 0.9$	$94.5 \pm 0.5$	$88.4 \pm 1.0$
		MaxLogits	$46.5 \pm 9.2$	$46.5 \pm 9.2$	$72.5 \pm 2.7$	$89.8 \pm 1.7$	$97.0 \pm 0.7$	$94.4 \pm 0.9$	$96.6 \pm 0.5$	$90.5 \pm 1.0$
		PostMax	$33.6 \pm 15.3$	$33.6 \pm 15.3$	$64.6 \pm 4.3$	$85.3 \pm 1.6$	$97.0 \pm 0.7$	$92.8 \pm 0.7$	$94.7 \pm 0.3$	$88.3 \pm 1.0$
		GHST	$3.2 \pm 3.7$	$2.6 \pm 3.5$	$28.1 \pm 10.2$	$87.7 \pm 1.3$	$97.0 \pm 0.7$	$93.0 \pm 0.7$	$95.1 \pm 0.3$	$90.0 \pm 1.1$
	ARPL	MSP	$41.0 \pm 11.8$	$42.8 \pm 9.6$	$65.3 \pm 1.7$	$84.6 \pm 2.1$	$97.1 \pm 0.7$	$92.4 \pm 1.1$	$93.9 \pm 0.8$	$87.9 \pm 1.1$
		OpenMax	$44.4 \pm 9.4$	$44.6 \pm 9.2$	$68.2 \pm 1.5$	$86.4 \pm 1.6$	$97.1 \pm 0.7$	$93.0 \pm 0.9$	$94.7 \pm 0.5$	$88.6 \pm 0.9$
		MaxLogits	$48.8 \pm 12.4$	$48.8 \pm 12.4$	$72.3 \pm 2.9$	$90.2 \pm 1.2$	$97.1 \pm 0.7$	$94.5 \pm 0.8$	$96.8 \pm 0.4$	$90.7 \pm 1.0$
		PostMax	$36.3 \pm 15.0$	$36.3 \pm 15.0$	$64.3 \pm 2.4$	$85.5 \pm 1.4$	$97.1 \pm 0.7$	$93.0 \pm 0.8$	$94.9 \pm 0.4$	$88.7 \pm 0.7$
		GHST	$3.6 \pm 4.3$	$3.6 \pm 4.3$	$23.3 \pm 7.1$	$88.1 \pm 1.4$	$97.1 \pm 0.7$	$93.0 \pm 0.8$	$95.2 \pm 0.4$	$90.2 \pm 1.0$
AddON	CE	MSP	$58.0 \pm 18.2$	$58.1 \pm 18.2$	$80.7 \pm 7.6$	$92.6 \pm 2.5$	$97.3 \pm 0.7$	$95.5 \pm 0.9$	$97.9 \pm 1.4$	$92.2 \pm 2.5$
		OpenMax	$61.8 \pm 12.9$	$61.8 \pm 12.9$	$80.8 \pm 4.0$	$92.0 \pm 1.6$	$97.4 \pm 0.7$	$95.4 \pm 0.7$	$97.3 \pm 0.9$	$91.9 \pm 1.2$
		MaxLogits	$63.1 \pm 22.8$	$63.1 \pm 22.8$	$84.2 \pm 4.4$	$94.2 \pm 0.9$	$97.3 \pm 0.7$	$96.2 \pm 0.4$	$98.6 \pm 0.6$	$93.7 \pm 0.7$
		PostMax	$57.9 \pm 19.8$	$57.9 \pm 19.8$	$81.3 \pm 7.8$	$93.5 \pm 1.9$	$97.3 \pm 0.7$	$95.8 \pm 0.6$	$98.3 \pm 1.0$	$93.0 \pm 1.8$
		GHST	$34.8 \pm 31.4$	$34.8 \pm 31.4$	$81.1 \pm 7.7$	$94.1 \pm 1.3$	$97.3 \pm 0.7$	$96.0 \pm 0.5$	$98.4 \pm 0.8$	$93.6 \pm 1.1$
	OE	MSP	$57.4 \pm 17.4$	$57.4 \pm 17.3$	$81.4 \pm 6.1$	$92.9 \pm 1.8$	$97.1 \pm 0.8$	$95.6 \pm 0.6$	$98.0 \pm 1.0$	$92.6 \pm 1.6$
		OpenMax	$58.2 \pm 18.2$	$58.2 \pm 18.2$	$81.5 \pm 5.7$	$93.2 \pm 1.8$	$97.2 \pm 0.7$	$95.7 \pm 0.6$	$98.0 \pm 0.9$	$92.7 \pm 1.5$
		MaxLogits	$58.8 \pm 19.5$	$58.8 \pm 19.5$	$82.4 \pm 5.8$	$93.3 \pm 1.5$	$97.1 \pm 0.8$	$95.6 \pm 0.6$	$98.2 \pm 0.9$	$92.6 \pm 1.6$
		PostMax	$52.3 \pm 19.6$	$52.3 \pm 19.6$	$81.3 \pm 6.2$	$93.2 \pm 1.5$	$97.1 \pm 0.8$	$95.6 \pm 0.6$	$98.1 \pm 0.9$	$92.8 \pm 1.5$
		GHST	$36.0 \pm 32.5$	$36.0 \pm 32.5$	$81.7 \pm 6.4$	$93.3 \pm 1.5$	$97.1 \pm 0.8$	$95.6 \pm 0.6$	$98.1 \pm 1.0$	$92.7 \pm 1.6$
OE	OS	MSP	$65.5 \pm 14.8$	$65.5 \pm 14.8$	$82.1 \pm 6.5$	$93.2 \pm 2.0$	$97.4 \pm 0.7$	$95.8 \pm 0.8$	$98.0 \pm 1.1$	$92.7 \pm 1.9$
		OpenMax	$65.0 \pm 17.7$	$65.0 \pm 17.7$	$81.9 \pm 6.9$	$93.3 \pm 2.0$	$97.3 \pm 0.8$	$95.8 \pm 0.9$	$98.1 \pm 1.1$	$92.7 \pm 1.7$
		MaxLogits	$65.2 \pm 19.4$	$65.2 \pm 19.4$	$82.9 \pm 6.6$	$93.6 \pm 1.8$	$97.4 \pm 0.7$	$95.9 \pm 0.7$	$98.3 \pm 1.0$	$92.7 \pm 1.8$
		PostMax	$44.0 \pm 18.2$	$44.0 \pm 18.2$	$72.1 \pm 10.2$	$92.6 \pm 1.8$	$97.4 \pm 0.7$	$95.4 \pm 0.9$	$97.5 \pm 1.0$	$92.5 \pm 1.1$
		GHST	$42.0 \pm 33.9$	$42.0 \pm 33.9$	$78.8 \pm 10.0$	$93.5 \pm 1.8$	$97.4 \pm 0.7$	$95.7 \pm 0.8$	$98.1 \pm 1.2$	$92.6 \pm 2.0$
	ARPL	MSP	$22.9 \pm 0.0$	$31.9 \pm 3.7$	$54.2 \pm 3.9$	$79.4 \pm 1.6$	$97.1 \pm 0.7$	$90.4 \pm 0.7$	$91.6 \pm 0.6$	$84.4 \pm 0.7$
		OpenMax	$24.7 \pm 7.2$	$35.4 \pm 5.3$	$58.4 \pm 3.4$	$81.8 \pm 1.3$	$97.1 \pm 0.7$	$91.2 \pm 0.6$	$92.7 \pm 0.5$	$85.5 \pm 0.8$
		MaxLogits	$20.4 \pm 6.3$	$38.0 \pm 6.3$	$61.1 \pm 2.8$	$84.3 \pm 1.0$	$97.1 \pm 0.7$	$92.5 \pm 0.4$	$94.5 \pm 0.4$	$86.0 \pm 0.7$
		PostMax	$11.4 \pm 1.4$	$24.2 \pm 6.8$	$51.8 \pm 5.1$	$79.5 \pm 1.2$	$97.1 \pm 0.7$	$90.7 \pm 0.4$	$92.3 \pm 0.4$	$84.0 \pm 0.9$
		GHST	$0.3 \pm 0.2$	$1.4 \pm 0.9$	$11.6 \pm 4.2$	$80.8 \pm 0.7$	$97.1 \pm 0.7$	$90.0 \pm 0.3$	$91.8 \pm 0.4$	$85.5 \pm 0.6$
CIFAR+50	CE	MSP	$30.0 \pm 0.0$	$38.3 \pm 3.3$	$55.3 \pm 5.3$	$80.3 \pm 1.6$	$97.2 \pm 0.6$	$90.6 \pm 0.9$	$91.8 \pm 0.6$	$85.0 \pm 0.9$
		OpenMax	$23.3 \pm 8.3$	$36.1 \pm 8.2$	$58.5 \pm 5.0$	$82.1 \pm 1.3$	$97.1 \pm 0.5$	$91.3 \pm 0.8$	$92.8 \pm 0.5$	$85.2 \pm 1.2$
		MaxLogits	$23.5 \pm 12.8$	$36.6 \pm 6.6$	$62.1 \pm 4.7$	$85.0 \pm 1.0$	$97.2 \pm 0.6$	$92.7 \pm 0.6$	$94.7 \pm 0.4$	$86.4 \pm 0.8$
		PostMax	$10.3 \pm 5.0$	$23.1 \pm 7.2$	$51.8 \pm 4.0$	$80.1 \pm 1.3$	$97.2 \pm 0.6$	$90.9 \pm 0.6$	$92.5 \pm 0.4$	$84.9 \pm 0.7$
		GHST	$0.1 \pm 0.2$	$1.2 \pm 0.9$	$12.0 \pm 5.6$	$81.3 \pm 1.4$	$97.2 \pm 0.6$	$90.2 \pm 0.6$	$92.0 \pm 0.5$	$86.0 \pm 0.6$
	AddON	MSP	$48.9 \pm 12.6$	$65.4 \pm 4.4$	$83.8 \pm 2.9$	$93.8 \pm 0.9$	$97.7 \pm 0.7$	$96.3 \pm 0.4$	$98.4 \pm 0.5$	$93.6 \pm 1.0$
		OpenMax	$40.4 \pm 6.7$	$60.8 \pm 6.4$	$79.3 \pm 2.9$	$91.6 \pm 1.2$	$97.4 \pm 0.7$	$95.2 \pm 0.7$	$96.9 \pm 0.4$	$91.8 \pm 1.0$
		MaxLogits	$38.9 \pm 6.6$	$60.2 \pm 6.9$	$79.8 \pm 2.3$	$93.0 \pm 0.5$	$97.7 \pm 0.7$	$95.9 \pm 0.4$	$97.8 \pm 0.4$	$92.3 \pm 0.6$
		PostMax	$41.9 \pm 17.6$	$60.5 \pm 4.2$	$81.8 \pm 3.0$	$93.9 \pm 0.7$	$97.7 \pm 0.7$	$96.2 \pm 0.4$	$98.1 \pm 0.5$	$93.1 \pm 0.8$
		GHST	$5.5 \pm 5.3$	$36.1 \pm 14.8$	$80.8 \pm 2.7$	$93.5 \pm 0.6$	$97.7 \pm 0.7$	$96.0 \pm 0.5$	$97.9 \pm 0.4$	$92.8 \pm 0.5$
OE	OS	MSP	$50.1 \pm 14.4$	$66.7 \pm 7.6$	$83.5 \pm 3.4$	$94.0 \pm 1.1$	$97.6 \pm 0.4$	$96.2 \pm 0.3$	$98.2 \pm 0.6$	$93.4 \pm 1.0$
		OpenMax	$49.6 \pm 13.1$	$67.8 \pm 6.7$	$83.5 \pm 3.5$	$94.1 \pm 1.0$	$97.7 \pm 0.5$	$96.4 \pm 0.3$	$98.2 \pm 0.5$	$93.6 \pm 0.9$
		MaxLogits	$50.4 \pm 14.3$	$67.1 \pm 7.7$	$83.7 \pm 3.7$	$94.2 \pm 1.0$	$97.6 \pm 0.4$	$96.2 \pm 0.3$	$98.3 \pm 0.6$	$93.6 \pm 1.0$
		PostMax	$46.8 \pm 17.4$	$67.0 \pm 6.8$	$83.2 \pm 3.5$	$94.0 \pm 1.1$	$97.6 \pm 0.4$	$96.2 \pm 0.3$	$98.2 \pm 0.6$	$93.4 \pm 0.9$
		GHST	$7.7 \pm 5.2$	$46.8 \pm 21.1$	$83.4 \pm 3.6$	$94.1 \pm 1.0$	$97.6 \pm 0.4$	$96.2 \pm 0.3$	$98.2 \pm 0.6$	$93.6 \pm 0.9$
	ARPL	MSP	$51.2 \pm 11.8$	$70.2 \pm 8.2$	$85.1 \pm 2.5$	$94.0 \pm 1.1$	$97.4 \pm 0.5$	$96.2 \pm 0.4$	$98.3 \pm 0.5$	$93.9 \pm 0.8$
		OpenMax	$48.0 \pm 11.8$	$70.1 \pm 8.8$	$85.1 \pm 2.6$	$94.0 \pm 1.1$	$97.4 \pm 0.5$	$96.2 \pm 0.4$	$98.3 \pm 0.5$	$93.8 \pm 0.8$
		MaxLogits	$51.6 \pm 11.9$	$70.4 \pm 8.4$	$85.5 \pm 2.6$	$94.1 \pm 1.0$	$97.4 \pm 0.5$	$96.2 \pm 0.4$	$98.3 \pm 0.5$	$93.9 \pm 0.8$
		PostMax	$35.9 \pm 19.7$	$53.5 \pm 8.1$	$78.2 \pm 4.2$	$92.7 \pm 1.4$	$97.4 \pm 0.5$	$95.6 \pm 0.6$	$97.5 \pm 0.6$	$92.5 \pm 0.7$
		GHST	$8.2 \pm 9.9$	$56.8 \pm 28.2$	$85.5 \pm 3.0$	$94.1 \pm 1.1$	$97.4 \pm 0.5$	$96.2 \pm 0.4$	$98.3 \pm 0.6$	$94.0 \pm 0.8$

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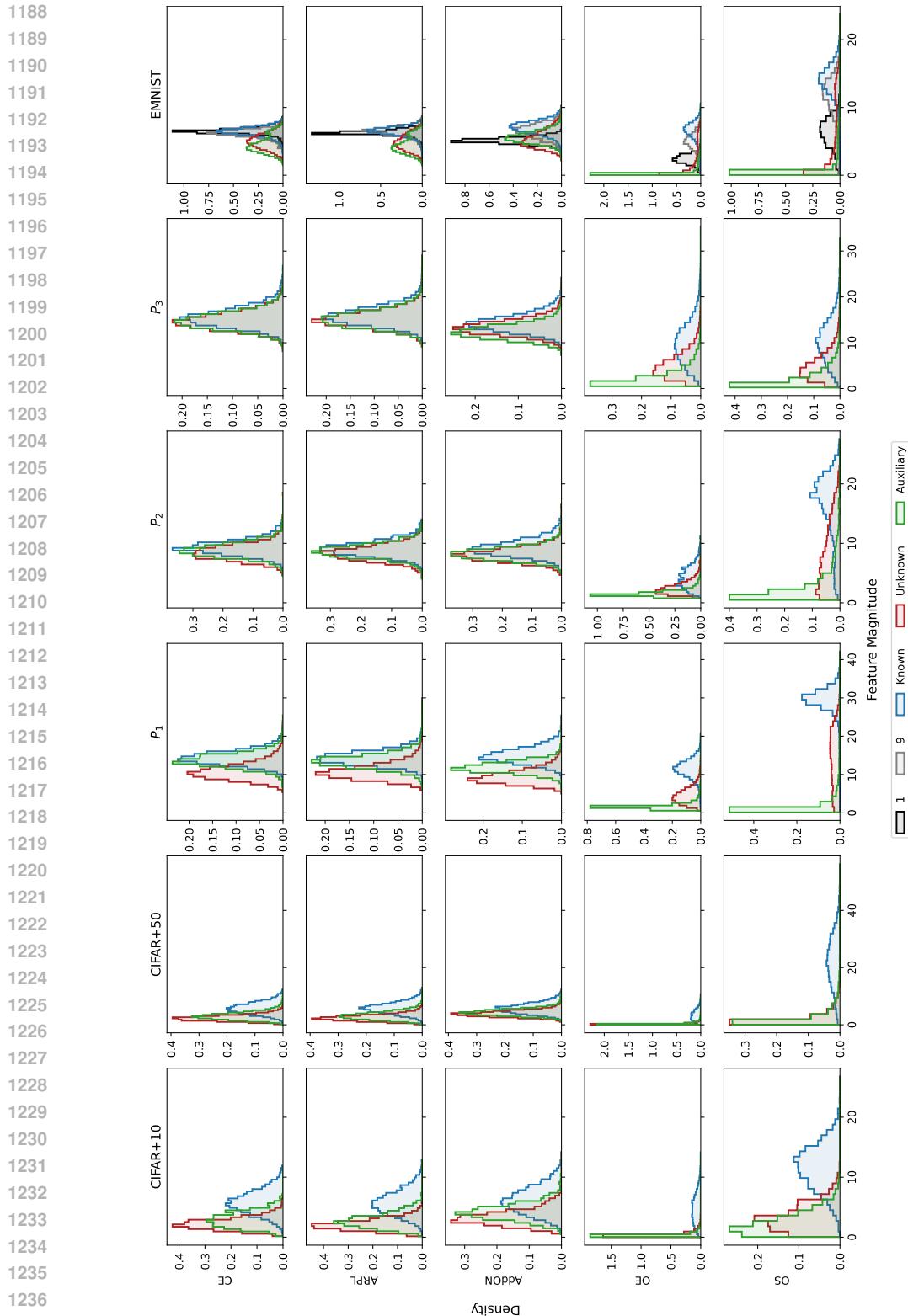
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 1135 Table 4: Large-scale evaluation. This table repeats the performance overview of Table 3 on  
 1136 large-scale protocols. — indicates that at no threshold  $\theta_\zeta$  for corresponding FPR  $\zeta$  could  
 1137 be achieved.

	Dataset	RL	PP	CCR@0.01	CCR@0.1	CCR@1	CCR@10	CCR@100	AUOSCR	AUROC	OOSA
$P_1$	CE	MSP	7.8	22.7	53.4	71.8	77.4	75.6	95.3	83.7	
		OpenMax	13.2	34.4	65.0	76.6	77.0	76.5	98.7	86.2	
		MaxLogits	6.1	31.3	64.1	76.6	77.4	76.8	98.5	86.3	
		PostMax	14.0	33.0	65.9	75.9	77.4	76.7	98.0	86.6	
		GHOST	18.3	44.9	71.4	77.0	77.4	77.1	99.1	87.5	
	ARPL	MSP	10.6	27.2	54.8	72.5	78.2	76.4	95.6	83.6	
		OpenMax	8.0	44.6	66.1	77.0	77.3	76.8	98.8	86.1	
		MaxLogits	27.8	35.1	66.3	77.4	78.2	77.7	98.7	86.8	
		PostMax	20.6	41.5	65.6	76.8	78.2	77.5	98.1	86.5	
		GHOST	21.1	48.9	72.3	77.9	78.2	77.9	99.2	87.7	
$P_2$	AddON	MSP	11.6	32.2	62.2	77.4	78.4	77.5	97.9	79.0	
		OpenMax	16.2	41.5	65.5	77.8	78.3	77.7	98.7	87.4	
		MaxLogits	17.1	39.4	72.6	78.1	78.4	78.1	99.3	88.7	
		PostMax	14.5	35.9	67.3	77.0	78.4	77.7	98.4	86.9	
		GHOST	19.7	51.3	74.8	78.1	78.4	78.2	99.5	89.0	
	OE	MSP	5.6	27.9	62.7	77.6	78.1	77.4	98.2	84.3	
		OpenMax	5.6	28.2	62.4	77.4	78.0	77.3	98.2	83.7	
		MaxLogits	16.1	36.7	73.1	77.7	78.1	77.8	99.0	84.3	
		PostMax	8.8	27.2	63.3	77.1	78.1	77.4	98.2	84.2	
		GHOST	12.1	48.0	74.4	77.8	78.1	77.8	99.1	86.4	
$P_3$	OS	MSP	18.0	34.2	64.9	77.8	78.3	77.7	98.6	83.4	
		OpenMax	22.5	33.4	64.6	77.6	78.0	77.4	98.6	84.3	
		MaxLogits	16.4	46.0	73.9	78.0	78.3	78.0	99.3	86.4	
		PostMax	21.4	41.4	70.7	78.0	78.3	77.9	99.1	87.3	
		GHOST	19.5	54.5	75.9	78.0	78.3	78.0	99.4	83.9	
	CE	MSP	—	—	21.8	50.5	74.0	64.9	80.1	76.4	
		OpenMax	2.5	3.6	24.5	51.5	73.7	66.3	83.4	77.1	
		MaxLogits	7.6	8.4	25.0	58.9	74.0	68.5	87.8	78.8	
		PostMax	6.0	7.4	30.5	59.9	74.0	68.8	88.2	79.4	
		GHOST	8.1	10.3	30.3	61.3	74.0	69.1	88.9	80.0	
$P_4$	ARPL	MSP	—	—	24.4	49.9	75.4	66.1	80.7	76.3	
		OpenMax	2.6	4.1	29.5	52.2	73.8	66.7	83.6	77.2	
		MaxLogits	3.1	3.7	26.9	58.5	75.4	69.2	87.5	78.8	
		PostMax	5.7	13.6	34.5	59.8	75.4	69.8	88.3	80.2	
		GHOST	4.5	13.3	34.5	60.1	75.4	70.1	88.9	80.5	
	AddON	MSP	—	—	25.8	55.8	76.9	68.5	84.5	65.2	
		OpenMax	3.5	5.9	33.6	59.1	76.4	70.5	87.2	78.8	
		MaxLogits	2.3	4.3	33.0	62.5	76.9	71.5	89.2	79.7	
		PostMax	8.3	11.0	34.5	63.4	76.9	71.8	89.4	79.2	
		GHOST	10.1	10.6	40.1	64.4	76.9	72.2	90.3	79.0	
$P_5$	OE	MSP	—	5.0	20.9	54.8	74.3	66.9	84.5	71.6	
		OpenMax	—	3.3	21.5	55.1	74.5	67.1	84.6	72.2	
		MaxLogits	2.5	2.7	23.1	55.7	74.3	66.9	85.1	71.0	
		PostMax	2.3	8.3	24.5	57.8	74.3	67.9	85.9	73.3	
		GHOST	4.7	10.3	27.3	57.9	74.3	67.6	85.9	71.5	
	OS	MSP	3.1	4.4	25.1	56.5	74.9	67.8	85.1	71.2	
		OpenMax	4.2	4.7	25.7	57.1	74.9	67.9	85.3	71.1	
		MaxLogits	6.3	6.5	26.1	58.1	74.9	68.0	85.9	71.2	
		PostMax	5.8	9.3	27.9	58.6	74.9	68.4	85.8	73.9	
		GHOST	7.5	10.0	32.3	58.5	74.9	68.1	86.0	70.2	
$P_6$	CE	MSP	—	—	21.4	64.9	85.7	78.1	86.4	78.2	
		OpenMax	—	—	20.9	64.6	85.3	77.9	86.4	78.0	
		MaxLogits	1.2	3.2	14.6	62.6	85.7	77.9	87.7	78.1	
		PostMax	0.1	1.9	17.7	66.3	85.7	78.9	88.7	79.1	
		GHOST	0.4	2.3	20.1	65.9	85.7	78.8	88.6	78.7	
	ARPL	MSP	—	6.8	21.5	64.5	86.5	78.4	86.0	78.0	
		OpenMax	—	6.8	21.7	64.4	86.3	78.3	86.0	78.0	
		MaxLogits	0.5	2.5	15.8	62.0	86.5	78.4	87.6	77.9	
		PostMax	1.4	4.8	17.4	65.4	86.5	79.3	88.6	79.0	
		GHOST	2.3	3.4	19.5	65.0	86.5	79.2	88.5	78.6	
$P_7$	AddON	MSP	—	3.7	24.5	65.6	85.6	77.8	86.5	70.7	
		OpenMax	—	—	22.5	65.6	85.6	78.5	87.0	77.1	
		MaxLogits	1.0	3.2	19.1	66.0	85.6	78.7	88.5	77.5	
		PostMax	2.1	4.2	23.5	69.1	85.6	79.7	89.5	79.4	
		GHOST	0.5	4.7	25.4	68.8	85.6	79.6	89.4	78.5	
	OE	MSP	—	—	21.8	66.9	84.6	77.7	87.5	72.6	
		OpenMax	—	—	21.7	66.7	84.7	77.8	87.5	72.8	
		MaxLogits	1.1	2.7	17.7	65.0	84.6	77.1	87.2	72.7	
		PostMax	0.3	1.5	12.7	61.5	84.6	77.0	86.8	75.5	
		GHOST	0.1	2.6	22.6	67.3	84.6	77.8	87.8	73.8	
$P_8$	OS	MSP	—	—	26.6	67.4	84.9	78.1	87.8	75.2	
		OpenMax	—	—	26.1	67.2	84.8	78.0	87.8	75.4	
		MaxLogits	0.8	2.7	20.1	66.9	84.9	77.6	87.6	74.7	
		PostMax	—	1.4	11.4	60.6	84.9	76.9	86.3	71.4	
		GHOST	1.1	4.8	25.2	68.5	84.9	78.3	88.4	74.9	



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 Figure 10: Feature magnitude distributions of known, auxiliary, and unknown classes on all protocols and for all RL methods, complete version of Figure 4. OE and OS experience feature magnitude collapse on  $P_3$  and EMNIST, pulling their feature magnitudes towards zero. For EMNIST, we show distributions for known classes 1 and 9 (black and grey) that are highly similar to auxiliary classes, and other known classes (blue) separately.

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1244 Table 5: EMNIST ranges of class-wise CCR at the operational threshold,  $CCR_c(\theta^*)$ , for  
1245 classes 1, 9, and rest (all other known classes) per RL method.  
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Model	Class 1		Class 9		Knowns Except 1 and 9	
	min	max	min	max	min	max
CE	94.1	97.6	89.5	91.9	86.7	97.9
ARPL	86.7	96.9	89.5	92.2	83.8	98.0
AddON	67.0	94.8	92.4	95.6	95.2	99.5
OE	65.7	86.6	94.2	97.2	96.7	99.8
OS	58.1	88.7	93.6	95.3	97.7	99.6

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		CIFAR+10					CIFAR+50					ImageNet $P_1$					ImageNet $P_2$					ImageNet $P_3$					
		CE	92.2	92.9	94.4	92.8	93.0	90.4	91.2	92.5	90.7	90.0	75.6	76.5	76.8	76.7	77.1	64.9	66.3	68.5	68.8	69.1	78.1	77.9	77.9	78.9	78.8
AUOSCR		ARPL	92.3	93.0	94.5	93.0	93.0	90.6	91.3	92.7	91.0	90.2	76.4	76.8	77.7	77.5	77.9	66.1	66.7	69.2	69.8	70.1	78.4	78.3	78.4	79.3	79.2
		AddON	95.5	95.4	96.2	95.8	96.0	96.3	95.2	95.9	96.2	96.0	77.5	77.7	78.1	77.7	78.2	68.5	70.5	71.5	71.8	72.2	77.8	78.5	78.7	79.7	79.6
OOSA		OE	95.5	95.7	95.6	95.6	95.6	96.2	96.4	96.3	96.2	96.2	77.4	77.3	77.8	77.4	77.8	66.9	67.1	66.9	67.9	67.6	77.7	77.8	77.1	77.0	77.8
		OS	95.8	95.8	95.9	95.4	95.8	96.2	96.2	96.2	95.6	96.2	77.7	77.4	78.0	77.9	78.0	67.8	67.9	68.0	68.4	68.1	78.1	78.0	77.6	76.9	78.3

(a) AUOSCR

		CIFAR+10					CIFAR+50					ImageNet $P_1$					ImageNet $P_2$					ImageNet $P_3$					
		CE	87.8	88.4	90.5	88.3	90.0	84.4	85.5	86.0	84.0	85.5	81.0	83.5	83.8	84.2	85.0	69.5	70.3	72.1	73.0	73.9	77.9	77.7	77.9	78.8	78.3
OOSA		ARPL	88.0	88.6	90.7	88.6	90.2	85.0	85.2	86.4	84.9	86.0	80.7	83.4	84.4	84.0	85.3	69.8	70.5	72.1	74.5	74.2	77.7	77.7	77.7	78.6	78.3
		AddON	92.2	91.9	93.7	93.0	93.6	93.6	91.8	92.3	93.1	92.8	78.8	85.6	86.8	85.2	87.1	66.2	74.6	76.1	76.3	76.4	71.0	77.1	77.5	79.3	78.5
AUROC		OE	92.5	92.7	92.6	92.8	92.6	93.4	93.6	93.6	93.4	93.6	83.3	82.8	83.3	83.2	85.1	70.0	70.5	69.5	71.4	70.1	72.9	73.1	73.0	75.7	74.0
		OS	92.7	92.7	92.7	92.5	92.6	93.9	93.8	93.9	92.5	94.0	82.6	83.3	85.1	85.8	83.0	70.1	70.1	70.1	72.1	69.5	75.3	75.5	74.8	71.8	75.0

(b) OOSA

		CIFAR+10					CIFAR+50					ImageNet $P_1$					ImageNet $P_2$					ImageNet $P_3$																																							
		CE	93.7	94.5	96.6	94.7	95.1	ARPL	93.9	94.7	96.8	94.9	95.2	AddON	97.9	97.3	98.6	98.3	98.4	OE	98.0	98.0	98.2	98.1	98.1	OS	98.0	98.1	98.3	97.6	98.1	MSP	91.6	92.7	94.5	92.3	91.8	OpenMax	91.8	92.8	94.7	92.5	92.0	MaxLogits	98.3	96.9	97.8	98.1	97.9	PostMax	98.2	98.2	98.3	98.2	98.2 <th>GHOST</th> <td>98.3</td> <td>98.3</td> <td>98.3</td> <td>97.6</td> <td>98.3</td>	GHOST	98.3	98.3	98.3	97.6	98.3
AUROC		ARPL	93.9	94.7	96.8	94.9	95.2	AddON	97.9	97.3	98.6	98.3	98.4	OE	98.0	98.0	98.2	98.1	98.1	OS	98.0	98.1	98.3	97.6	98.1	MSP	95.3	98.7	98.5	98.0	99.1	OpenMax	95.6	98.8	98.7	98.1	99.2	MaxLogits	97.9	98.7	99.3	98.4	99.5	PostMax	98.2	99.2	99.0	98.2	99.1	GHOST	98.6	98.6	99.3	99.1	99.4						
		ARPL	97.1	97.3	97.1	97.1	97.1	AddON	97.7	97.4	97.2	97.2	97.2	OE	97.1	97.2	97.1	97.1	97.1	OS	97.4	97.4	97.4	97.4	97.4	MSP	97.1	97.1	97.1	97.1	97.1	OpenMax	97.1	97.1	97.1	97.1	97.1	MaxLogits	97.7	97.3	97.8	97.2	97.4	PostMax	97.8	98.0	97.8	97.1	97.3 <th>GHOST</th> <td>97.8</td> <td>97.8</td> <td>97.8</td> <td>97.3</td> <td>97.5</td>	GHOST	97.8	97.8	97.8	97.3	97.5						

(c) AUROC

		CIFAR+10					CIFAR+50					ImageNet $P_1$					ImageNet $P_2$					ImageNet $P_3$																																							
		CE	97.0	97.0	97.0	97.0	97.0	ARPL	97.1	97.1	97.1	97.1	97.1	AddON	97.2	97.4	97.2	97.2	97.2	OE	97.1	97.2	97.1	97.1	97.1	OS	97.3	97.3	97.3	97.3	97.3	MSP	97.1	97.1	97.1	97.1	97.1	OpenMax	97.1	97.1	97.1	97.1	97.1	MaxLogits	97.4	97.4	97.4	97.4	97.4	PostMax	97.4	97.4	97.4	97.4	97.4	GHOST	97.4	97.4	97.4	97.4	97.4
Accuracy		ARPL	97.1	97.1	97.1	97.1	97.1	AddON	97.7																																																				

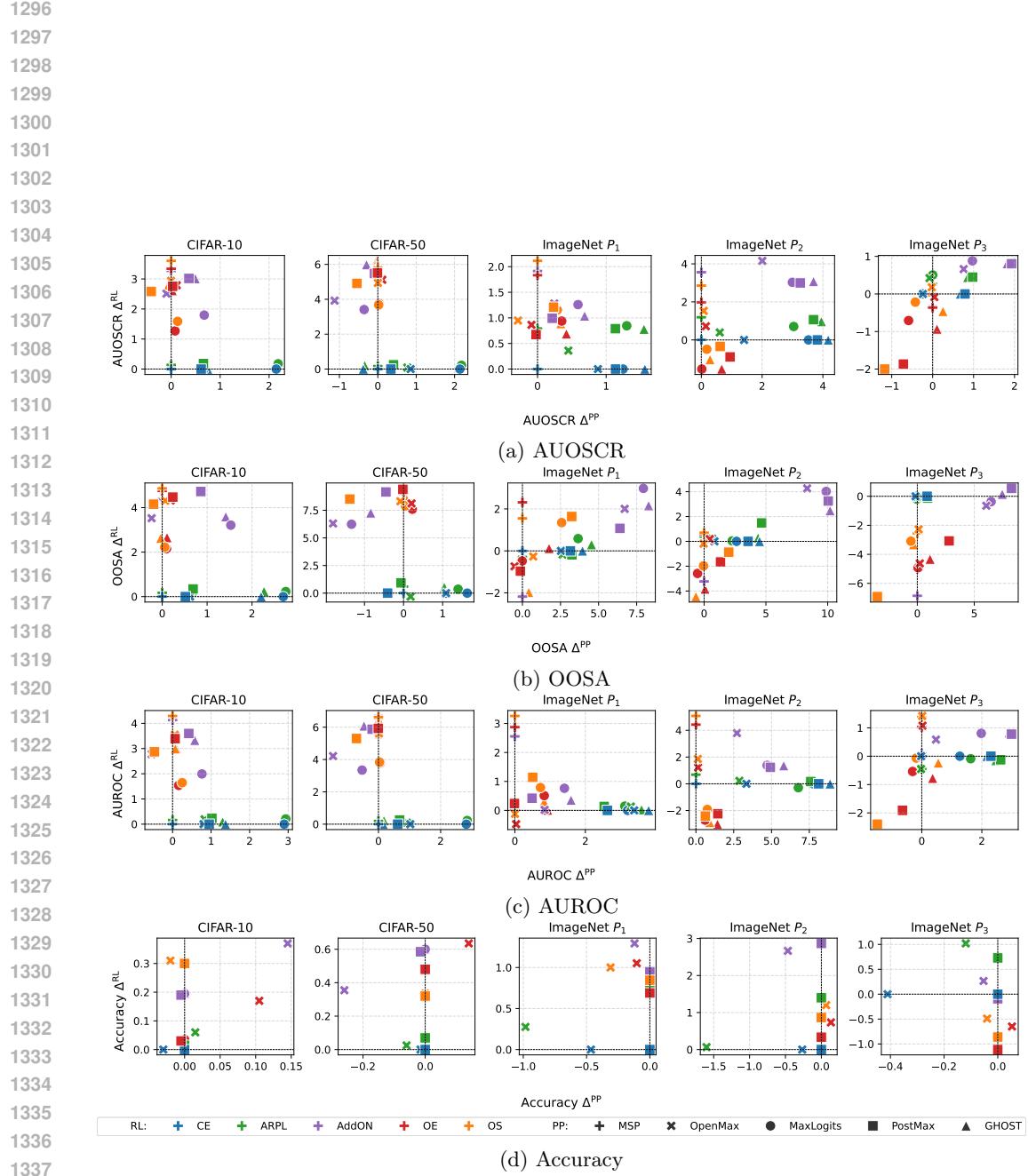


Figure 12: This figure shows the interaction effects of RL and PP components as correlation between RL performance contribution  $\Delta^{RL}$  and PP contribution  $\Delta^{PP}$  in terms of (a) AUOSCR, (b) OOSA, (c) AUROC, and (d) Accuracy. Results for CIFAR+N are averaged over 5 trials.

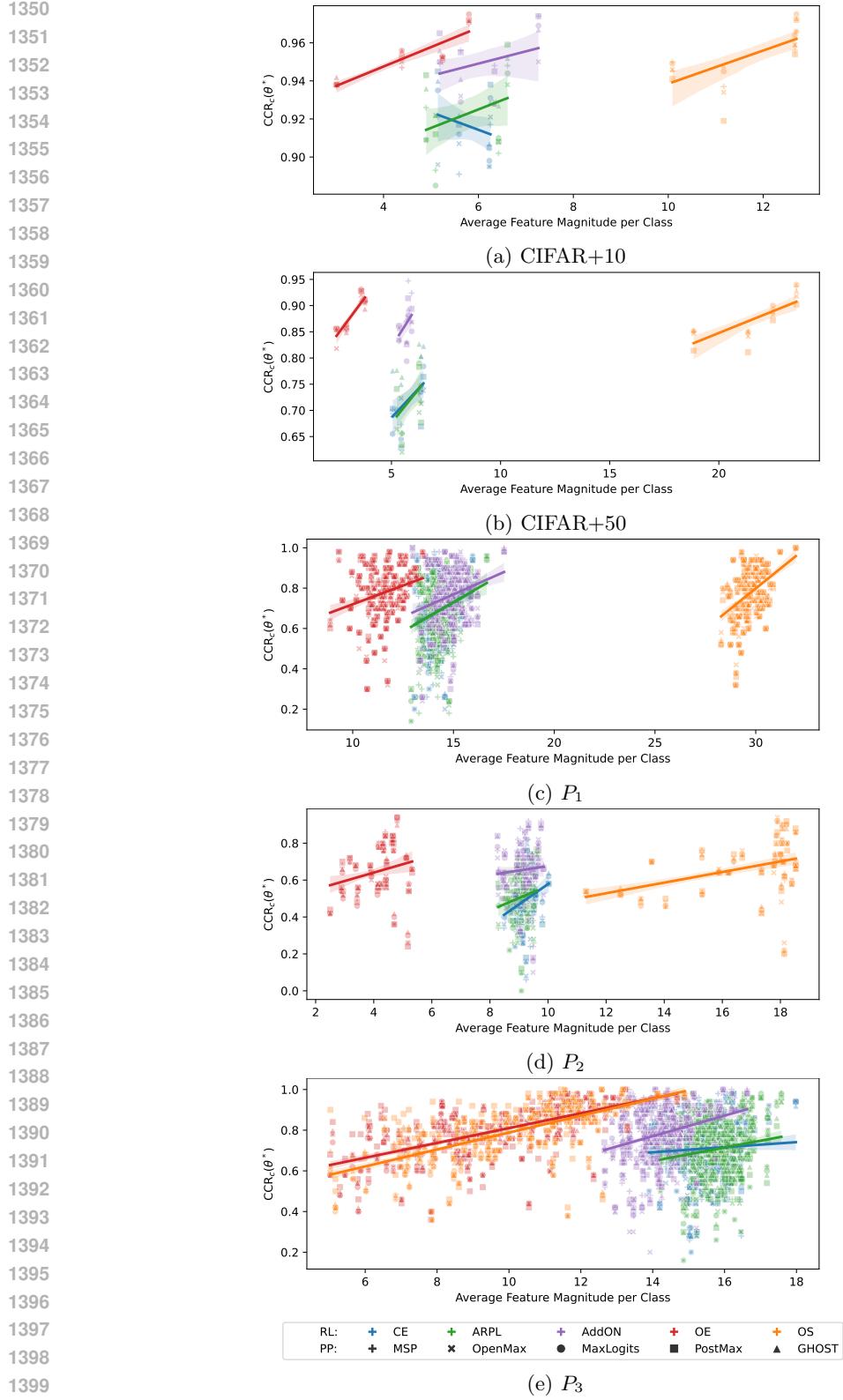


Figure 13: Linear regressions of class-wise CCR at the operational threshold,  $CCR_c(\theta^*)$ , against class-wise average feature magnitude for known classes. Regression is performed for each RL method independently and over all postprocessors. For CIFAR+N results are reported for the first trial only.