

000 001 002 003 004 005 006 007 008 009 010 011 TEST-TIME OPTIMIZATION OF 3D POINT CLOUD LLM 012 VIA MANIFOLD-AWARE IN-CONTEXT GUIDANCE AND 013 REFINEMENT 014 015 016 017 018 019 020 021 022 023 024 025 026 027 028 029 030

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011 ABSTRACT 012

013 Multimodal Large Language Models (MLLMs) have demonstrated impressive ca-
014 pabilities in textual and 2D visual reasoning, yet their ability to understand and
015 reason over 3D data remains limited. The issues become more challenging for
016 understanding standalone 3D point cloud due to the high interclass confusion. In
017 this work, we propose **Point-Graph LLM** (PGLLM), a framework that enables
018 more effective 3D point cloud understanding by integrating in-context prompt-
019 ing and score refinement at test-time, respecting supporting data manifold. Our
020 method first employs a pre-trained point cloud encoder which are used to construct
021 a graph where edges encode visual similarity. Each support point cloud sample
022 is converted to a textual caption via pre-trained PointLLM. For a test query, the
023 graph is used to retrieve relevant neighbors whose captions serve as contextual
024 demonstrations for a second stage LLM for final reasoning, a process we term in-
025 context guidance. Furthermore, we introduce a confidence score refinement mech-
026 anism based on label propagation to enhance the reliability of LLM predictions for
027 classification and out-of-distribution (OOD) detection tasks. All above optimiza-
028 tions are carried out fully at test-time. Extensive experiments across diverse 3D
029 datasets and tasks demonstrate that PGLLM consistently improves accuracy and
030 robustness over prior baselines with very almost no additional computation cost,
showcasing a promising direction toward native 3D reasoning with MLLMs.

031 032 033 1 INTRODUCTION 034 035

036 While multimodal large language models (MLLMs) have revolutionized textual and 2D visual rea-
037 soning, their ability to interpret and reason about 3D environments remains fundamentally limited.
038 Recent efforts Tang et al. (2024); Qi et al. (2024a) have explored 3D understanding with MLLMs
039 by equipping them with mechanisms to perceive 3D information, often through auxiliary modal-
040 ities or intermediate representations. Typically, these approaches operate by either projecting 3D
041 point clouds into 2D images Zhu et al. (2023) or by piping pre-extracted features into the language
042 model Xu et al. (2024); Guo et al. (2023). While these pipelines demonstrate promising results,
043 they fall short in granting MLLMs direct access to the underlying geometric structure of 3D data.
044 Consequently, enabling MLLMs to natively process and reason over rich 3D point cloud information
045 remains an open and challenging research question.

046 A notable step in this direction is PointLLM (Xu et al., 2024), which introduces a framework capable
047 of understanding colored 3D object point clouds in response to human instructions. PointLLM
048 fuses geometric, appearance, and linguistic information by coupling a point cloud encoder with
049 a pre-trained LLM such as LLaMA (Touvron et al., 2023). To handle downstream tasks such
050 as classification or captioning, PointLLM adopts a two-stage pipeline, where the PointLLM first
051 generates a textual description, and a second stage LLM interprets this output for final task-specific
052 predictions. While this method demonstrates competitive performance, it faces a key limitation,
053 high inter-class visual similarity in 3D point clouds often leads to confusion, particularly when each
point cloud is interpreted in isolation. As a result, models may struggle to distinguish fine-grained
differences between closely related categories, leading to reduced reliability.

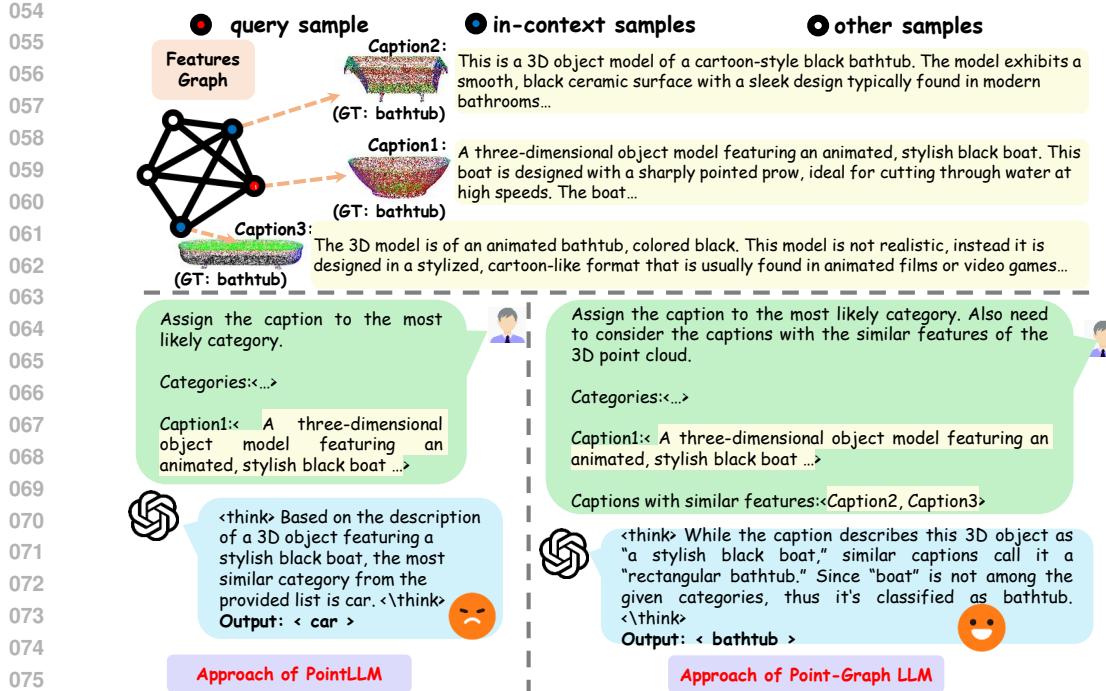


Figure 1: Manifold-aware in-context guidance leverages the 3D captions of adjacency nodes as demonstration for downstream understanding tasks.

To overcome this limitation, we draw inspiration from the recent success of In-Context Learning (ICL) (Agarwal et al., 2024; Li et al., 2023a; Brown et al., 2020), which enables LLMs to generalize to novel tasks by conditioning on a small set of demonstrations provided in the prompt. ICL has shown strong performance across various domains, including multi-modal settings (Tsimpoukelli et al., 2021; Jiang et al., 2024b; Huang et al., 2024), where LLMs are guided by examples combining visual example and text descriptions. The effectiveness of ICL crucially depends on the availability of informative and task-relevant demonstrations, e.g. image-text pairs. Selecting relevant and conducive demonstrations thus remain a open challenge.

To address this challenge, we propose an in-context learning strategy that leverages the manifold structure of unlabeled supporting data, which may be maintained independently or drawn from the test set. We represent this manifold as a graph, where each node corresponds to a 3D point cloud sample. To enrich each node, we employ PointLLM to generate a caption using the standard prompt "*What is this?*". The affinity between nodes is computed based on feature similarity in the embedding space of a pre-trained 3D encoder (Yu et al., 2021a). At inference time, for a given query point cloud, we identify its neighboring nodes in the graph and append their corresponding 3D captions to the query prompt. This augmented prompt is then passed to the second-stage LLM (e.g., ChatGPT), enabling it to perform context-aware reasoning. We refer to this mechanism as in-context guidance, which injects semantically relevant knowledge into the LLM's reasoning process at test time, without requiring model retraining.

Moreover, for classification-related downstream tasks such as recognition and out-of-distribution (OOD) detection, the confidence calibration of LLM outputs is critical for robust decision-making (Xiao et al., 2022). Relying solely on raw predictions from LLMs may be risky, especially when predictions are overconfident or miscalibrated. To address this, we further tap on the manifold build upon the supporting data and employ a refinement step, where predicted confidences are used to smooth and correct noisy labels on the graph. This is implemented via a lightweight label propagation algorithm (Zhu & Ghahramani, 2002), allowing the model to refine its predictions by considering the consistency and confidence of nearby nodes. In this way, even anecdotal or ambiguous predictions on individual samples can be improved by leveraging the collective structure of the data.

108 In summary, we propose a novel 3D point cloud understanding framework **Point-Graph LLM** that
 109 integrates in-context learning, graph-based reasoning, and confidence-aware label propagation. By
 110 bridging the gap between geometric perception and LLM reasoning, our approach enables more
 111 accurate, interpretable, and robust 3D understanding. The main contributions are summarized as
 112 follows.

- 113 • We propose an in-context guidance mechanism to optimize the effectiveness of 3D point
 114 cloud LLM for downstream tasks. This approach leverages the test-time data manifold to
 115 construct helpful demonstrations to enrich the prompt.
- 116 • We introduce a score-based inference mechanism that further improves the performance
 117 of LLMs on classification related downstream tasks by refining the initial predictions on
 118 individual samples.
- 119 • We conduct extensive experiments on multiple downstream tasks and diverse 3D point
 120 cloud datasets, demonstrating consistent performance gains over existing baselines across
 121 all settings.

124 2 RELATED WORK

125 **Large Language Models for 3D Understanding.** Large Language Models (LLMs) have demonstrated remarkable performance across a wide range of natural language and 2D vision tasks (Brown et al., 2020; Achiam et al., 2023; Touvron et al., 2023). Recently, there has been growing interest in extending LLMs to 3D understanding (Hong et al., 2023; Qi et al., 2024a;b; Yang et al., 2025; Xu et al., 2024; Guo et al., 2023; Yuan et al., 2025). 3D-LLM (Hong et al., 2023) introduces a family of LLMs grounded in physical 3D reasoning, laying the foundation for language-guided 3D perception. Subsequent approaches such as PointLLM (Xu et al., 2024) and Point-Bind (Guo et al., 2023) directly process colored object point clouds by combining point cloud encoders with pre-trained LLMs, enabling open-vocabulary 3D understanding. ShapeLLM (Qi et al., 2024a) pioneers the use of multi-view distillation and introduces the ReCon++ encoder, establishing a 3D multi-modal evaluation benchmark (3D MM-Vet) to unify embodied 3D interaction tasks. GPT4Point (Qi et al., 2024b) extends the capabilities of LLMs to handle point cloud captioning and visual question answering. Similarly, LiDAR-LLM (Yang et al., 2025) focuses on outdoor scene understanding by integrating LiDAR data with LLMs for large-scale 3D reasoning. While these methods demonstrate the potential of LLMs for 3D tasks, they typically treat each point cloud in isolation and do not fully exploit the structure of the data manifold.

141 **In-Context Learning.** In-context learning (ICL)(Brown et al., 2020) enables large language models to perform downstream tasks by conditioning on a set of demonstrations, without any parameter
 142 updates. Initially developed for natural language tasks(Zhang et al., 2022; Li et al., 2023b), ICL has
 143 since been extended to multi-modal domains. For instance, Flamingo (Alayrac et al., 2022) adapts
 144 ICL to vision-language tasks by incorporating cross-modal attention, while many-shot prompting
 145 strategies (Jiang et al., 2024b; Huang et al., 2024) have been shown to significantly enhance the
 146 effectiveness of ICL in image classification and question answering. These works demonstrate that
 147 with appropriate context, LLMs can generalize across diverse tasks in a flexible and label-efficient
 148 manner. However, applying ICL to 3D understanding remains underexplored, particularly in scenarios
 149 where constructing relevant demonstrations is non-trivial due to limited supervision.

151 **Manifold Learning for Visual Tasks.** Manifold learning has been widely adopted to enhance visual
 152 recognition tasks by modeling the intrinsic geometry of data distributions. Graph-based methods (Li
 153 et al., 2025; Stojnić et al., 2024; Chen et al., 2025) leverage similarities in feature space to propagate
 154 high-confidence labels or scores, improving performance in zero-shot and few-shot settings. For
 155 instance, label propagation techniques have been combined with graph neural networks (Bao et al.,
 156 2024; Stadler et al., 2021; Wu et al., 2023) to improve the separation between in-distribution (ID)
 157 and out-of-distribution (OOD) samples. Beyond classification, graph-based selection has also been
 158 applied in 3D domains, as demonstrated in GraphI2Ps (Bie et al., 2025), which filters false matches
 159 during point cloud registration via neighborhood pruning. While these methods focus on traditional
 160 backbones or vision-language models, our work is the first to integrate manifold learning into the
 161 in-context learning process of LLMs, enabling context-aware reasoning over 3D point clouds by
 structurally selecting and organizing prompts from the data manifold.

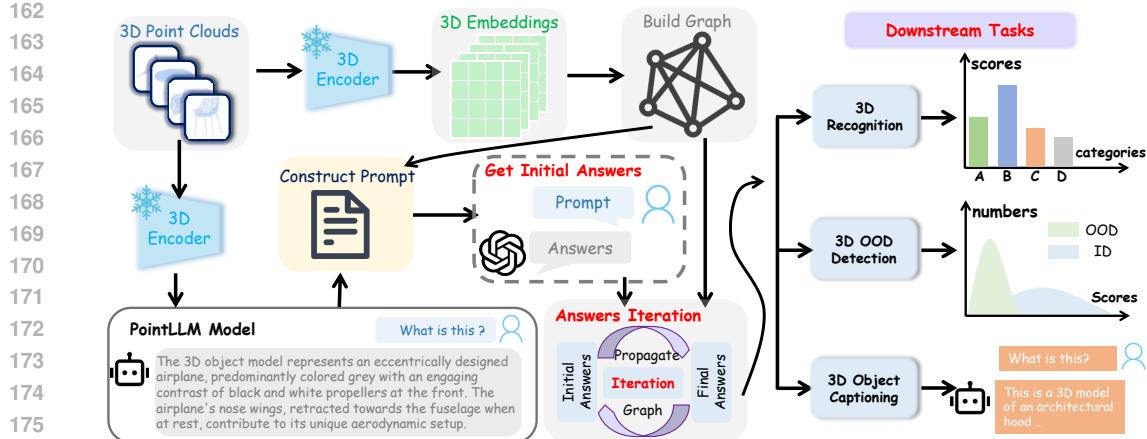


Figure 2: Overview of the proposed framework for PGLLM. After encoding the 3D test samples, the framework feeds them into PointLLM for caption generation and uses them to construct a KNN graph. Initial answers are then synthesized via LLM inference. Subsequently, leveraging relational structures within the KNN graph, we introduce an answer iteration mechanism to optimize performance on downstream tasks.

3 METHODOLOGY

3.1 PRELIMINARIES: POINTLLM AND 3D CAPTIONING

We begin by formalizing the setup. Let $\mathcal{D}_u = \{x_i\}_{i=1}^{N_u}$ denote an unlabeled 3D point cloud support dataset with l categories, \mathcal{D}_u may be the testing dataset or any unlabeled reference dataset. Let f_p be a pre-trained point cloud encoder and we apply f_p to the dataset yields a sequence of point cloud features:

$$\mathcal{P} = \{p_1, p_2, \dots, p_{N_u}\}, \quad p_i = f_p(x_i).$$

For each sample x_i , we use the default prompt “*What is this?*” with PointLLM (Xu et al., 2024) to generate a textual caption c_i , resulting in a caption set, $\mathcal{C} = \{c_1, c_2, \dots, c_{N_u}\}$. These captions serve as high-level semantic descriptions of the point clouds and form the basis for further in-context learning and downstream tasks.

3.2 IN-CONTEXT GUIDANCE VIA GRAPH NEIGHBOR RETRIEVAL

In-context learning (ICL) allows LLMs to perform downstream tasks by conditioning on a set of relevant demonstrations embedded within the input prompt. To construct effective in-context guidance for each test sample, we build a graph $G = (V, E)$, where each node $v_i \in V$ represents a point cloud x_i , and edges $e_{ij} \in E$ encode pairwise similarity between samples. Specifically, we compute the cosine similarity between point cloud features p_i and p_j , and define symmetric the edge weight matrix $W \in \mathbb{R}^{N_u \times N_u}$ following a K-Nearest Neighbors (KNN) criterion,

$$W_{ij} = \begin{cases} e_{ij} & \text{if } e_{ij} \in \text{Top}_K(\{e_{ij}\}_{j=1}^{N_u}) \\ 0 & \text{otherwise} \end{cases}, \quad s.t. \quad e_{ij} = \frac{\langle p_i, p_j \rangle}{\|p_i\| \cdot \|p_j\|} \quad (1)$$

For each query sample x_i , we retrieve its K nearest neighbors on the graph, yielding a neighbor set $\mathcal{X}_i = \{x_{i_1}, \dots, x_{i_K}\}$ and the corresponding caption set $\mathcal{C}_i = \{c_{i_1}, \dots, c_{i_K}\}$. These captions serve as in-context demonstrations appended to the prompt, illustrated in Fig. 1 as “Caption2”, “Caption3”, etc. This enables the LLM to reason about the query with reference to structurally similar samples. New query samples not attached to the supporting graph can be integrated into the graph without much computing overhead following the dynamic graph expansion scheme (Li et al., 2025).

216 3.3 SCORE REFINEMENT VIA LABEL PROPAGATION
217218 Unlike conventional classification methods that directly predict class labels, we guide the LLM to
219 output class confidence scores for each 3D caption. This score-based formulation enhances robust-
220 ness and enables downstream tasks such as OOD detection.
221222 **3D Recognition:** Given a 3D caption c_i , we prompt the LLM to output a per-category score, $S_l^{(i)} \in$
223 \mathbb{R}^l , where each element reflects the confidence of respective class label. Aggregating across the
224 dataset yields the initial score matrix, $S_0 \in \mathbb{R}^{l \times N_u}$. To refine predictions by leveraging geometric
225 similarity among point clouds, we apply label propagation (Zhu & Ghahramani, 2002) over the
226 graph W . Let S_t denote the refined score matrix at iteration t . The update rule is:
227

228
$$S_t = \alpha S_{t-1} \tilde{W} + (1 - \alpha) S_0, \quad \tilde{W} = D^{-\frac{1}{2}} W D^{-\frac{1}{2}}, \quad D = \text{diag}(\sum_j W_{ij}), \quad (2)$$

229
230
$$\hat{y} = \arg \max_i S_t,$$

231

232 where \hat{y} gives the final predicted class for each sample, and α controls the balance between the
233 initial LLM output and propagated scores.
234235 **3D OOD Detection:** For OOD detection, we prompt the LLM to produce a single confidence score
236 $S(x_i) \in \mathbb{R}$ for each caption, indicating its similarity to the known in-distribution classes. A threshold
237 δ is used to determine OOD status:
238

239
$$\hat{y} = \begin{cases} \text{OOD} & \text{if } S(x_i) \leq \delta, \\ \text{ID} & \text{otherwise.} \end{cases} \quad (3)$$

240

241 This scalar score can be smoothed through the same graph-based propagation mechanism as in Eq. 2
242 with $l = 2$.
243244 **3D Caption Refinement with In-Context Learning:** For the 3D object captioning task, we enhance
245 the semantic quality of the initial PointLLM-generated captions using in-context refinement. We
246 reuse the same graph-based strategy to select neighboring samples with semantically and structurally
247 relevant captions. These are appended as demonstrations to the input prompt for each query caption.
248 Unlike recognition tasks, the goal here is caption correction rather than classification. We guide
249 the LLM to preserve the original semantics but improve fluency and fix any factual errors. This
250 leverages the LLM’s generative ability to produce more accurate and natural descriptions.
251252 3.4 FRAMEWORK OVERVIEW
253254 An overview of PGGLM is presented in Fig. 2. First, we extract 3D features from the test set using
255 a frozen point cloud encoder. These features are passed to PointLLM to generate initial captions.
256 A KNN graph is then constructed over the feature space to capture local geometric relationships.
257 Based on this graph, we identify structurally similar neighbors for each sample, whose captions are
258 used as in-context demonstrations. Depending on the downstream task we summarize the test-time
259 optimization practices as follows. An illustration is deferred to the Appendix.
260261

- For 3D recognition, the LLM outputs class-wise scores, which are further refined via graph-
262 based label propagation.
- For OOD detection, scalar similarity scores are computed, refined via graph-based label
263 propagation and eventually thresholded to determine OOD status.
- For captioning, in-context refinement is applied to enhance the quality of generated text
264 while maintaining semantic fidelity.

265266 This unified framework leverages LLMs not only as language generators but also as structured rea-
267 soning engines capable of adapting to multiple 3D tasks with minimal supervision.
268

270

4 EXPERIMENTS

271

4.1 EXPERIMENTAL SETUP

274 **Dataset:** We evaluate our method on four well-established 3D point cloud benchmarks. **ModelNet40** (Wu et al., 2015) contains 2,468 test 3D objects across 40 categories. **ShapeNetCore** (Chang et al., 2015) is a canonical subset of the full ShapeNet repository with 5,158 unique test models from 55 object categories. Following PointLLM (Xu et al., 2024), we sample 200 objects from **Objaverse** (Deitke et al., 2023) for testing. **S3DIS** (Armeni et al., 2016) provides semantically segmented 3D point clouds from indoor environments and we follow Chen et al. (2025) to select 8,931 point clouds with rich semantic annotations for evaluation. For OOD dataset partitioning, we follow the 3DOS protocol Alliegro et al. (2022) to divide ShapeNetCore into SN1, SN2, and SN3 subsets. Similarly, we partition ModelNet40 into MN1, MN2, and MN3 subsets. Further dataset details are provided in the supplementary material.

284 **Implementation Details:** We use Point-BERT (Yu et al., 2021b) as the 3D encoder and a pre-trained
285 PointLLM-7B (Xu et al., 2024) to generate initial captions. We evaluate DeepSeek-V3 (Liu et al.,
286 2024), Qwen-Plus (Yang et al., 2024) and GPT-4 Achiam et al. (2023) as the second stage LLMs.
287 As for KNN Graph construction, we set the K-value of 3. For score propagation, we set the α to 0.5,
288 and the number of iterations T to 5 in Eq. 2.

289 **Competing Methods:** We compare against existing LLM-based 3D understanding methods: In-
290 instructBLIP (Dai et al., 2023), LLaVA (Liu et al., 2023), 3D-LLM (Hong et al., 2023), Point-Bind
291 LLM (Guo et al., 2023), ShapeLLM (Qi et al., 2024a), PointLLM (Xu et al., 2024), and MiniGPT-
292 3D (Tang et al., 2024). Both PointLLM and MiniGPT-3D employs ChatGPT 4 as second stage LLM
293 for classification, thus facilitating fair comparison. To the best of our knowledge, this is the first work
294 to explore 3D OOD detection within an LLM framework. Therefore, we compare against several
295 VLM-based zero-shot OOD baselines: MCM (Ming et al., 2022), NegLabel (Jiang et al., 2024a),
296 ZLap (Kalantidis et al., 2024), and GSP (Chen et al., 2025). Finally, we evaluate two variants of
297 PGLLM with different support set \mathcal{D}_u . When testing data distribution is available, i.e. transduc-
298 tive inference, we use all testing data as the support dataset and refer to the method as PGLLM^T.
299 Alternative, we leverage an external dataset, Objaverse, to build the support dataset and refer to
300 the method as PGLLM^O. Specifically, we randomly selected 100K samples and their correspond-
301 ing captions from the 660K training data of Objaverse to build the graph. For both PGLLM^O and
302 PGLLM^T, PointLLM-7B is used to generate initial captions. All competing methods use the same
303 3D encoder as PointLLM (Xue et al., 2024).

303 **Evaluation Metrics** We use classification accuracy (ACC) for 3D recognition, and AUROC and
304 FPR95 for 3D OOD detection, which are standard metrics in OOD evaluation. For 3D object cap-
305 tioning, we assess semantic alignment using Sentence-BERT (Reimers & Gurevych, 2019), Sim-
306 CSE (Gao et al., 2021), and GPT-4 as evaluators.

Method	2nd Stage LLM	ModelNet40						ShapeNetCore										
		MN1		MN2		MN3		Average		SN1		SN2		SN3		Average		
		AUROC↑	FPR95↓		AUROC↑	FPR95↓		AUROC↑	FPR95↓		AUROC↑	FPR95↓		AUROC↑	FPR95↓		AUROC↑	FPR95↓
MCM(Ming et al., 2022)	—	85.3	53.6	80.2	74.2	77.5	72.6	81.0	66.8	85.1	51.6	83.2	46.1	66.4	75.8	78.2	57.8	
NegLabel(Jiang et al., 2024a)	—	74.3	77.4	65.8	86.6	61.5	81.9	67.2	82.0	60.6	87.8	80.6	78.6	88.0	48.3	76.4	71.6	
ZLap(Kalantidis et al., 2024)	—	72.8	99.8	86.1	61.1	70.8	76.0	76.6	79.0	88.2	52.8	72.3	66.4	77.4	90.0	79.3	69.7	
GSP(Chen et al., 2025)	—	82.4	77.0	77.9	65.4	76.1	76.5	78.8	73.0	90.6	38.9	70.7	64.0	79.7	93.7	80.4	65.5	
PointLLM-7B(Xu et al., 2024)	GPT-4	84.0	100.0	82.1	100.0	74.0	100.0	80.0	100.0	80.1	100.0	88.8	100.0	94.1	92.2	87.7	97.4	
PGLLM ^O (Ours)	GPT-4	87.3	56.6	86.2	44.3	79.2	60.8	84.3	53.9	79.7	55.8	90.9	41.3	96.0	26.5	88.9	41.2	
PGLLM ^T (Ours)	GPT-4	89.6	53.1	87.3	43.0	80.8	60.2	85.9	52.1	81.8	52.4	93.9	26.7	97.6	9.7	91.1	29.6	
PGLLM ^T (Ours)	DeepSeek-V3	86.4	70.0	83.6	59.1	76.2	68.2	82.1	65.8	83.8	62.3	91.7	36.4	97.1	18.6	90.9	39.1	
PGLLM ^T (Ours)	Qwen-Plus	86.5	68.4	84.2	47.3	77.9	71.7	82.9	62.5	81.4	61.8	92.8	33.0	97.9	11.1	90.7	35.3	
PGLLM ^T (Ours)	Owen3-VL-8B	85.8	67.4	84.4	47.5	74.2	71.3	81.5	62.0	81.2	48.0	91.9	30.3	94.4	29.7	89.2	36.0	
PGLLM ^T (Ours)	Llama3.1-8B	57.1	86.3	54.9	87.2	50.4	97.9	54.1	90.4	56.3	89.7	81.9	68.3	92.7	44.6	80.0	67.5	
PGLLM ^T (Ours)	GPT-oss-20B	83.0	80.4	74.5	76.8	72.6	94.0	76.7	83.7	81.9	57.1	92.4	30.3	96.7	21.2	90.3	36.2	

316 Table 1: Evaluation of 3D OOD detection on ModelNet40 and ShapeNetCore. **Bold** and underlined numbers
317 denote the best and second-best results, respectively. Each “MN x ” or “SN x ” denotes the known class split and
318 the rest are unknown.

319

4.2 EXPERIMENTAL RESULTS

321 **3D OOD Detection:** Tab. 1 summarizes the results of our comprehensive experiments, highlighting
322 the following key observations. **(i)** Our PGLLM^T framework, when integrated with GPT-4, estab-
323 lishes new state-of-the-art results on the ModelNet40 benchmark. It achieves an outstanding 85.9%
324 AUROC on average, outperforming the previous best method (MCM) by 4.9%, while also reduc-

Method	2nd Stage LLM	3D Recognition			3D Captioning		
		(I) ACC	(C) ACC	Average	GPT-4	S-BERT	SimCSE
3D-LLM(Hong et al., 2023)	-	-	-	-	33.4	44.5	43.7
Point-Blind(Guo et al., 2023)	-	51.9	39.7	45.8	-	-	-
ShapeLLM-7B(Qi et al., 2024a)	-	-	-	-	46.9	48.2	49.2
ShapeLLM-13B(Qi et al., 2024a)	-	-	-	-	49.0	48.5	50.0
InstructBLIP-7B(Dai et al., 2023)	GPT-4	19.5	31.5	25.5	45.3	47.4	48.5
InstructBLIP-13B(Dai et al., 2023)	GPT-4	26.0	31.4	28.7	45.0	45.9	48.9
LLaVA-7B(Liu et al., 2023)	GPT-4	39.7	39.7	39.7	46.7	45.6	47.1
LLaVA-13B(Liu et al., 2023)	GPT-4	37.1	36.1	36.6	38.3	46.4	45.9
MiniGPT-3D(Tang et al., 2024)	GPT-4	61.8	60.0	60.9	57.1	49.5	51.4
PointLLM-7B(Xu et al., 2024)	GPT-4	53.4	51.8	52.6	44.9	47.5	48.6
PointLLM-13B(Xu et al., 2024)	GPT-4	53.0	52.6	52.8	48.2	47.9	49.1
PGLLM ^O (Ours)	GPT-4	53.1	53.0	53.1	49.1	48.4	48.9
PGLLM ^T (Ours)	GPT-4	63.1	61.8	62.5	<u>50.5</u>	<u>48.9</u>	49.4
PGLLM ^T (Ours)	DeepSeek-V3	<u>62.6</u>	62.0	<u>62.3</u>	-	-	-
PGLLM ^T (Ours)	Qwen-Plus	43.1	41.7	42.4	-	-	-

Table 2: Comparison of results on 3D recognition (ModelNet40) and 3D captioning (Objaverse). Recognition performance is evaluated using two prompt types: an Instruction-type (I) prompt (“What is this?”) and a Completion-type (C) prompt (“This is an object of”).

ing the critical FPR95 metric to 52.1%. We notice that both PointLLM-7B and ours methods use ChatGPT 4 as the second stage LLM. **(ii)** On ShapeNetCore, PGLLM^T with GPT-4 demonstrates breakthrough performance, attaining 97.6% AUROC on SN3 and a remarkably low FPR95 of 9.7%. This reflects an 7.1% AUROC improvement over feature-based methods such as GSP. Overall, our framework achieves an average AUROC of 91.1% and an average FPR95 of 29.6%, setting new benchmarks across key metrics. These consistent gains across two datasets affirm the effectiveness of our graph-based mechanism. **(iii)** Because GPT-4 tends to assign either 0 or 100 when scoring test samples, the baseline performs very poorly on the FPR95 metric, with values almost always equal to 100.0. By introducing score propagation, our method effectively alleviates this issue and yields much smoother, more evenly distributed scores across all samples. **(iv)** Using GPT-4 as the second stage LLM yields notable improvements in AUROC with +3.8% on ModelNet40 and +0.2% on ShapeNetCore compared to DeepSeek-V3. The performance gap between GPT-4, Qwen-Plus and DeepSeek-V3 variants highlights the ability of our framework to harness stronger LLMs for enhanced 3D understanding. **(v)** With both testing data (transductive setting) and external dataset as supporting dataset, PGLLM^{T/O} outperforms the baseline (PointLLM-7B). This suggest the robustness of the graph-based method.

3D Recognition: The results in Tab. 2 reveal several key insights. **i)** Our PGLLM^T framework achieves an average accuracy of 62.3% with DeepSeek-V3 and 62.5% with GPT-4, outperforming all existing state-of-the-art methods. In particular, it surpasses the strongest baseline, MiniGPT-3D, by +1.6% for average. **ii)** The framework shows strong robustness across different prompt types. Specifically, it outperforms MiniGPT-3D by 1.3% with the instruction prompt “What is this?” and by 1.8% with the completion prompt “This is an object of”. This dual-prompt advantage highlights the adaptability of our architecture to diverse query formats. **iii)** Although all competing methods rely on GPT-4 for evaluation, our framework achieves 62.3% average accuracy even with DeepSeek-V3 (much lower per token cost than GPT-4) as second stage LLM, surpassing all GPT-4-based baselines. This suggests lower cost LLMs may achieve comparable performance. However, Qwen-Plus demonstrates notably lower performance on the 3D Recognition task, primarily due to its limited ability to generate long numerical sequences, which adversely affects its overall results.

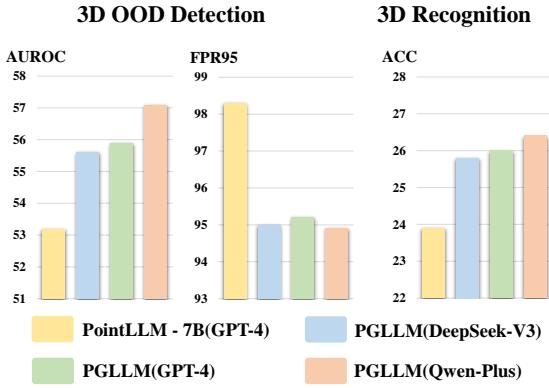


Figure 3: Results on real-world benchmark S3DIS. We report 3D OOD detection and 3D recognition tasks.

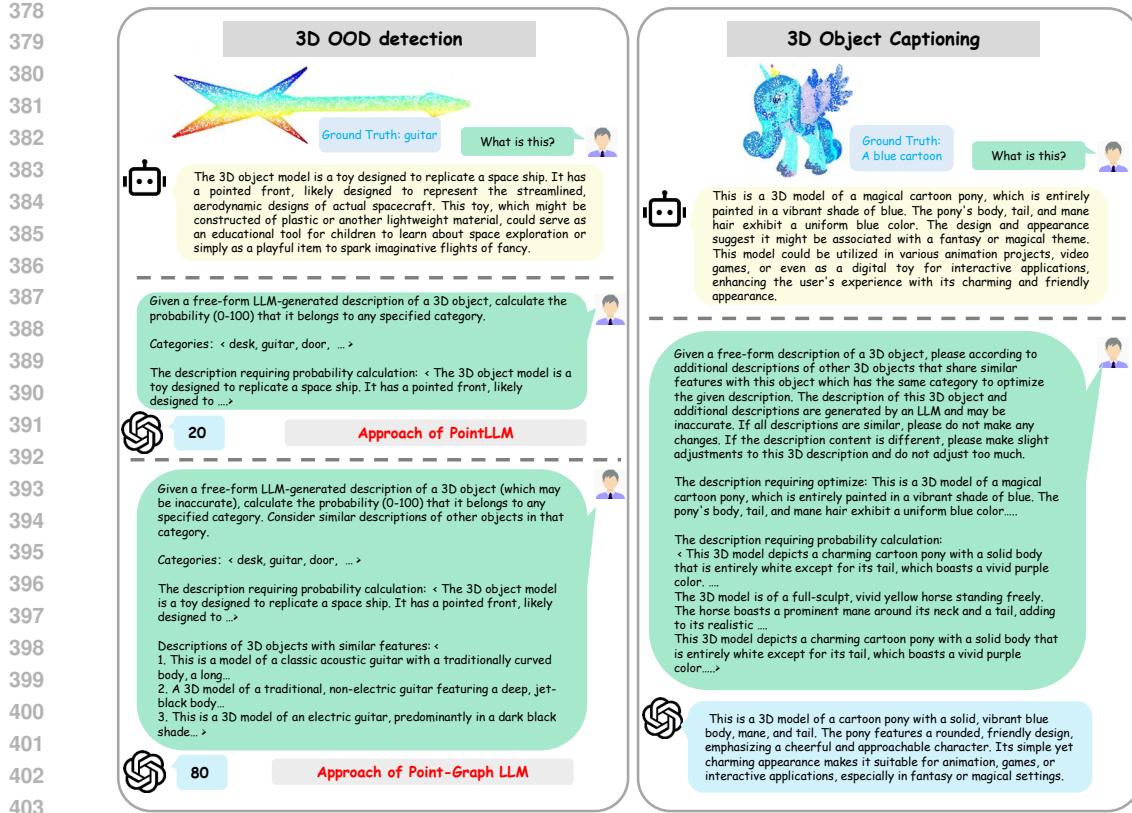


Figure 5: Qualitative examples of prompt questions and PGGLM’s predictions.

3D Object Captioning: The results in Tab. 2 show that our method performs competitively on the 3D object captioning task, consistently outperforming the PointLLM-7B baseline across all three evaluation metrics. Since the evaluation relies on subjective judgments from an LLM, PGGLM uses GPT-4 exclusively for assessment to ensure a consistent evaluation standard. While our approach does not yet reach state-of-the-art performance, this gap is likely attributable to the limited size of the test dataset. In low-data regimes, constructing optimal graph structures is more challenging, which can constrain performance. Nevertheless, the observed improvements highlight the promise of our graph-based in-context guidance strategy for 3D captioning tasks. This suggests careful selection of second stage LLM is still necessary.

Experiments on Real-world Benchmark:

Most existing 3D LLMs Xu et al. (2024); Guo et al. (2023); Tang et al. (2024) have been evaluated primarily on synthetic CAD datasets, leaving a validation gap in real-world scenarios. To address this, we conduct experiments on the real-world S3DIS dataset. As shown in Fig. 3, we evaluate both PointLLM and PGGLM on 3D out-of-distribution (OOD) detection and 3D recognition tasks. The results demonstrate that our method consistently outperforms baseline approaches, confirming the effectiveness of our graph-based strategy in practical settings. Notably, PGGLM remains compatible with lower-cost second-stage LLMs, achieving performance that is comparable, or even superior to higher-cost alternatives.

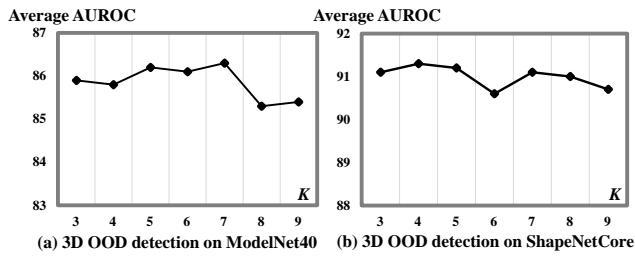


Figure 4: Different number of K-values for 3D OOD detection on two datasets.

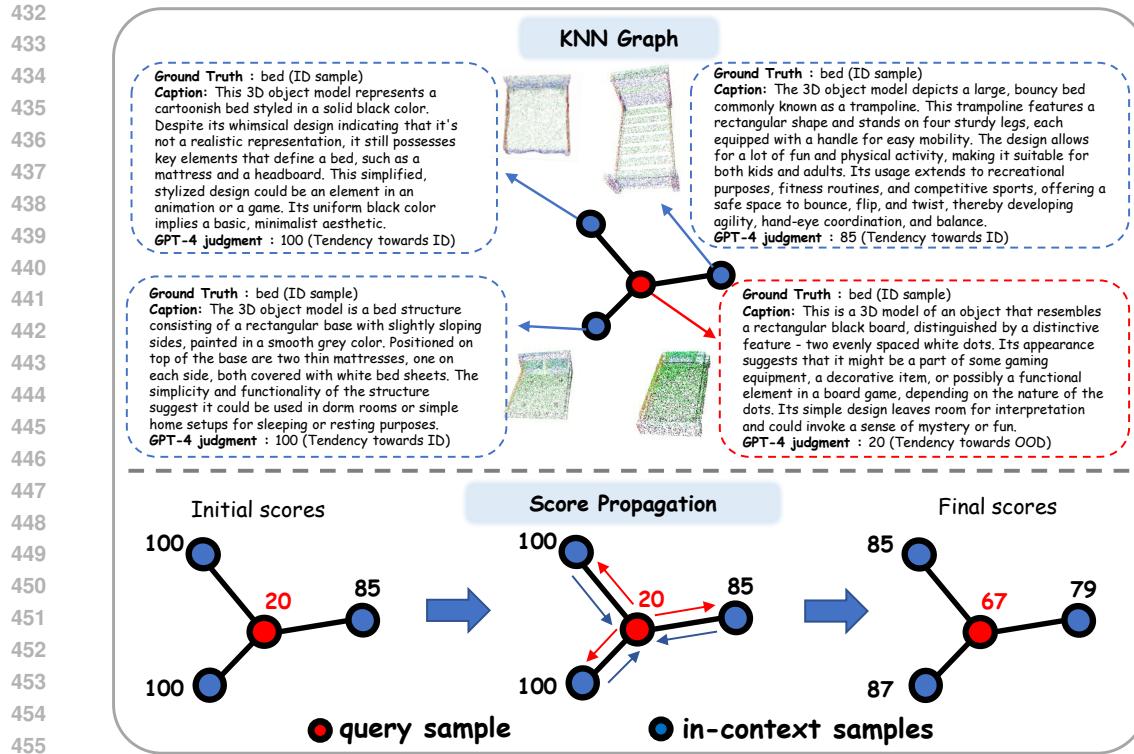


Figure 6: Qualitative example demonstrating the effectiveness of score propagation.

In-context Guidance	Score Propagation	ModelNet40			ShapeNetCore		
		ACC↑	AUROC↑	FPR95↓	ACC↑	AUROC↑	FPR95↓
-	-	52.5	80.4	100.0	55.5	88.2	54.9
•	-	59.7	83.3	100.0	60.7	89.2	47.2
✓	-	60.2	83.1	100.0	61.0	89.5	46.0
-	✓	56.7	83.5	62.0	59.3	89.8	44.7
✓	✓	63.1	85.9	52.1	62.4	91.1	29.6

Table 3: Ablation study on two datasets. ACC refers to the results of 3D recognition experiments, while AUROC and FPR95 correspond to the OOD detection experiments. Both AUROC and FPR95 represent averages across all subsets of the ModelNet40 and ShapeNetCore datasets. The • denotes in-context guidance derived through direct nearest-sample retrieval without graph.

4.3 ABLATION STUDY

We conduct an ablation study with results summarized in Tab. 3. All experiments use ChatGPT4 as the second-stage LLM and are conducted on the ModelNet40 and ShapeNetCore datasets for both 3D recognition and 3D OOD detection. We begin with a baseline that feeds the generated 3D caption of each query directly into the LLM without additional context. We also evaluate a variant where the query retrieves its nearest neighbors via KNN ($K = 3$) to form the in-context prompt, bypassing graph construction. This variant yields results comparable to the graph-based method, likely because both retrieve highly similar neighbors due to the small K . However, omitting the graph structure prevents the use of score propagation for further refinement. Introducing in-context guidance alone yields significant performance gains over the baseline, demonstrating its effectiveness in injecting relevant contextual cues into the LLM. In contrast, score propagation alone provides only modest improvements. Notably, combining in-context guidance with score propagation leads to substantial performance boosts across both tasks, underscoring their complementary and synergistic effects.

4.4 FURTHER ANALYSIS

Qualitative Analysis: Fig. 5 showcases qualitative examples of PGLLM on 3D OOD detection and 3D object captioning tasks. For 3D OOD detection, we observe that PointLLM’s reliance on single-caption inputs leads to fragile predictions when faced with ambiguous or hard-to-interpret

486	Dataset	Caption Generation	Graph Construction	GPT (OOD) Inference	GPT(Recog) Inference	GPT(Caption) Inference	Score(OOD) Propagation	Score(Recog) Propagation
487	ModeNet40	2630ms	51 μ s	1951ms	2409ms	-	41 μ s	43 μ s
488	ShapeNetCore	2750ms	65 μ s	2007ms	2688ms	-	28 μ s	29 μ s
489	S3DIS	2510ms	81 μ s	1763ms	1980ms	-	31 μ s	34 μ s
490	Objaverse	2690ms	30 μ s	-	-	3016ms	-	-

491 Table 4: Time consumption of each step for a per-sample, where ms denotes millisecond and μ s denotes
 492 microsecond
 493

494 point clouds. Our in-context guidance mitigates this by providing captions from structurally similar
 495 samples, allowing the LLM to leverage contextual cues for more robust classification. For 3D object
 496 captioning, PointLLM generates captions exhibiting inaccuracies or redundancies. By incorporating
 497 in-context guidance, our method enables the LLM to refine these outputs based on contextual simi-
 498 larity, resulting in more accurate and semantically rich descriptions. **Fig. 6 qualitatively illustrates**
 499 **the process of score propagation. It can be observed that when the 3D LLM generates a low-quality**
 500 **caption for a given sample, it directly leads to a poor score in the second-stage evaluation. How-**
 501 **ever, by employing the score propagation method, this can be effectively corrected by leveraging the**
 502 **accurate scores from neighboring samples of the query sample.**

503 **Impact of In-Context Example Quantity:** To examine the effect of in-context guidance, we vary
 504 K , the number of retrieved captions provided to the LLM, and evaluate 3D OOD detection on
 505 ModelNet40 and ShapeNetCore (Fig. 4). Performance improves as K increases, reaching a dataset-
 506 specific peak at $K = 7$ for ModelNet40 and $K = 4$ for ShapeNetCore. Beyond these values,
 507 accuracy declines, likely due to the inclusion of irrelevant or misleading examples that confuse the
 508 LLM. These results underscore the importance of selecting an appropriate number of in-context
 509 examples for optimal performance.

510 **Inference Time:** Tab. 4 presents the time consumption of each step in PGLLM across different
 511 datasets. Overall, our method introduces minimal overhead. Processing each sample requires only
 512 30-90 μ s for a per-sample during graph construction and 30-50 μ s for score propagation, while caption
 513 generation and GPT inference cost about several seconds the per-sample time. Notably, even with
 514 graph-based processing, the total additional time per sample remains negligible, demonstrating that
 515 PGLLM maintains high computational efficiency and is practical for real-world deployment.

516 5 CONCLUSION

517 In this work, we introduce PGLLM, a novel framework for 3D point cloud understanding. Our
 518 approach constructs a graph to retrieve structurally similar 3D captions for each point cloud, us-
 519 ing them as in-context examples to guide the LLM toward more informed reasoning. In parallel, a
 520 score-based refinement mechanism leverages the intrinsic structure of the test data manifold to en-
 521 hance prediction accuracy. PGLLM achieves competitive results across a range of downstream tasks
 522 and sets new state-of-the-art performance in both 3D out-of-distribution (OOD) detection and 3D
 523 recognition. Extensive experiments on diverse 3D point cloud datasets demonstrate the robustness
 524 and generalizability of our method. We believe this work offers a promising direction for advancing
 525 3D point cloud understanding and integrating LLMs into spatial perception tasks.

526 6 REPRODUCIBILITY STATEMENT

527 We are committed to ensuring the reproducibility of our results. The full implementation code,
 528 along with scripts to reproduce all experimental results, will be released upon acceptance. All
 529 model architectures, hyperparameters, and inference details are explicitly stated in Experiments.
 530 We believe these resources are sufficient to replicate our findings.

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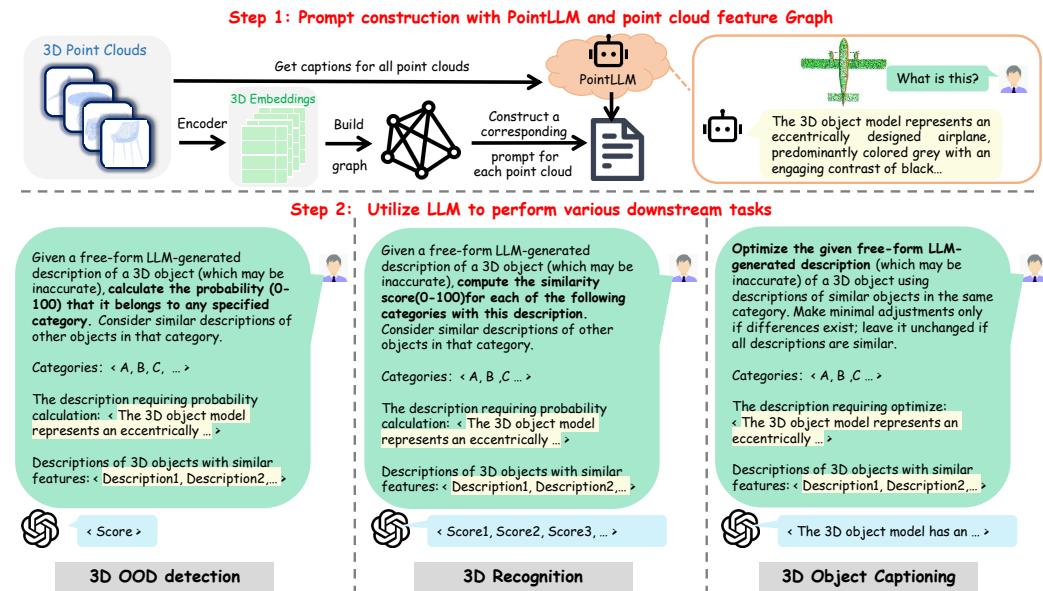
702 A APPENDIX

703 704 A.1 OVERVIEW OF THE TASKS

706 We illustrate the three tasks addressed in this work in Fig. 7. Our framework integrates 3D point
 707 clouds with large language models by first constructing prompts using PointLLM and a point cloud
 708 feature graph (Step 1), then leveraging LLMs to perform downstream tasks (Step 2). PointLLM
 709 generates free-form descriptions of 3D objects (yellow boxes), which are refined and utilized by the
 710 LLM for tasks including 3D out-of-distribution (OOD) detection, 3D recognition, and 3D object
 711 captioning.

713 714 A.2 DATASET PARTITION FOR 3D OOD DETECTION

715 We follow the benchmark 3DOS, GSP to construct ShapeNetCore and S3DIS datasets for OOD
 716 detection. For the ModelNet40 dataset, we follow the partitioning practice established in the 3DOS
 717 work, dividing it into three subsets based on ascending index order. The specific category parti-
 718 tioning details are presented in Tab 7 5 6. Qualitative visualizations of some dataset samples are
 719 presented in Fig. 8 10.



744 Figure 7: Demonstrations of PGGLM. We propose PGGLM, an efficient and potent framework
 745 that integrates 3D-LLMs with Large Language Models, where the text on a light yellow background
 746 indicates content generated by PointLLM. Furthermore, we demonstrate its operational mechanisms
 747 across 3D recognition, 3D OOD detection and 3D object captioning tasks.

SN1	mug, lamp, bed, washer, loudspeaker, telephone, dishwasher, camera, birdhouse, jar, bowl, bookshelf, stove, bench, display, keyboard, clock, piano
SN2	earphone, knife, chair, pillow, table, laptop, mailbox, basket, file cabinet, cabinet, sofa, printer, flowerpot, microphone, tower, bathtub, bag, trash bin
SN3	can, microwave, skateboard, faucet, train, guitar, pistol, helmet, watercraft, airplane, bottle, cap, rocket, rifle, remote, car, bus, motorbike

750 Table 5: For each distinct out-of-distribution (OOD) subset partition on the ShapeNetCore, the
 751 categories residing within a given subset are designated as in-distribution (ID), whereas categories
 752 from all other subsets are considered entirely OOD.



Figure 8: Visualization of the real-world benchmark S3DIS and the categories partition for OOD detection.

ID	window, door, table, chair, sofa, bookcase, clutter
OOD	beam, board, ceiling, column, floor, wall

Table 6: S3DIS dataset partitioning for OOD detection: foreground objects as ID and background objects as OOD.

A.3 PROMPTS AND QUALITATIVE ANALYSIS

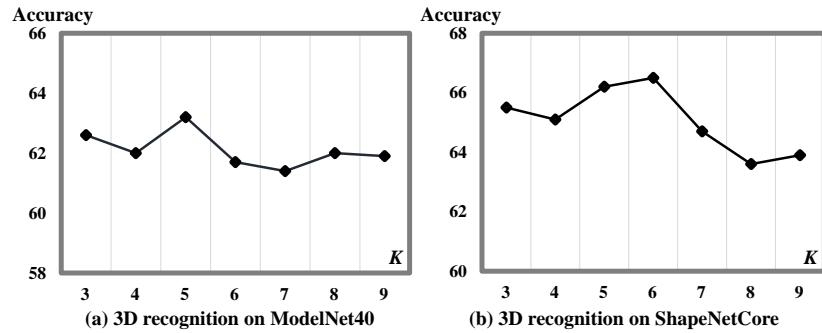
We provide the prompts for 3D recognition, 3D OOD detection: and 3D object captioning tasks in Tab 8. Furthermore, we provide supplementary visual analyses for 3D recognition and 3D OOD detection tasks in Fig. 11, 12, 13and 14, provided with comprehensive prompts to the LLM. As evidenced in Fig. 11, the query sample contains an erroneous caption, yet the collective accuracy of "vase" annotations within the in-context guidance enables the LLM to yield the correct prediction. Fig. 13 illustrates a scenario where both the query sample and several in-context guidance captions contain misleading annotations. This induces the LLM to output a neutral score. Nevertheless, this outcome represents a some improvement over the erroneous predictions generated without in-context guidance. Despite the fact that the query sample's caption lacks direct information about the categories, as illustrated in Fig. 14, the captions of the in-context samples prove crucial for enabling the LLM to achieve a more accurate classification. However, as shown in Fig. 12, the LLM's output is inclined to classify the object as a "lamp," which contradicts the ground-truth label of "faucet." This discrepancy is likely attributable to the presence of the word "lights" in the query sample's caption. Despite the in-context samples providing captions containing "faucet," the LLM ultimately assigned a full score of 100 to the "lamp" category, compared to only 60 for "faucet." **In Fig. 15, we present examples where in-context guidance exerts a negative impact. It can be observed that when the caption generated for the query sample is relatively accurate, but the captions of the in-context samples contain certain inaccuracies, the second-stage scoring becomes biased.**

MN1	airplane, bathtub, bed, bench, bookshelf, bottle, bowl, car, chair, cone, cup, curtain, desk
MN2	door, dresser, flower pot, glass box, guitar, keyboard, lamp, laptop, mantel, monitor, night stand, person, piano
MN3	plant, radio, range hood, sink, sofa, stairs, stool, table, tent, toilet, tv stand, vase, wardrobe, xbox

Table 7: For each distinct out-of-distribution (OOD) subset partition on the ModelNet40, the categories residing within a given subset are designated as in-distribution (ID), whereas categories from all other subsets are considered entirely OOD.

810 A.4 IMPACT OF K-VALUES
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812 To further explore the influence of K-values, we test different number of K on 3D recognition task.
813 The results are shown in Fig. 9, it can be observed that the optimal performance is achieved at $K=5$
814 for ModelNet40 and $K=6$ for ShapeNet, respectively. Furthermore, performance exhibits a declining
815 trend with increasing K-values, a finding empirically consistent with the conclusions presented in
816 our prior submission.

827 Figure 9: Different number of K-values for 3D recognition on two datasets.
828860 Figure 10: Visualization of the ModelNet40, Objaverse, and ShapeNetCore.
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864 865 866 867 868 869 870 871 872 873 874 875 876 877 878 879 880 881 882 883 884 885 886 887 888 889 890 891 892 893 894 895 896 897 898 899 900 901 902 903 904 905 906 907 908 909 910 911 912 913 914 915 916 917	Tasks	Prompts
3D Recognition		<p>Given a free-form description of a 3D object, the content described here belongs to one of the following 54 categories. Use this description to compute a similarity score (0-100) for each of the following 54 categories. The description of this 3D object is generated by an LLM and may be inaccurate. In addition, I will provide you with descriptions of other 3D objects that share similar features with this object of this category. 0=no relation, 100=perfect match.</p> <p>categories: mug, lamp, bed, washer, loudspeaker, telephone, dishwasher, camera, birdhouse, jar, bowl, bookshelf, stove, bench, display, keyboard, clock, piano, earphone, knife, chair, pillow, table, laptop, mailbox, basket, file cabinet, cabinet, sofa, printer, flowerpot, microphone, tower, bathtub, bag, trash bin, can, microwave, skateboard, faucet, train, guitar, pistol, helmet, watercraft, airplane, bottle, cap, rocket, rifle, remote, car, bus, motorbike.</p> <p>3D object description: ... (# generated by PointLLM)</p> <p>Descriptions of 3D objects with similar features: (# generated by PointLLM and selected by manifold learning)</p> <ol style="list-style-type: none"> 1. ... 2. ... 3. ... <p>Please output the 54 corresponding similarity scores in the order of the above-mentioned categories, without any additional explanation.</p>
3D OOD detection		<p>Given a free-form description of a 3D object, please calculate the probability (0-100) that the content described in the following text pertains to any of the following categories. The description of this 3D object is generated by an LLM and may be inaccurate. In addition, I will provide you with descriptions of other 3D objects that share similar features with this object of this category. You need to take these similar 3D model descriptions into account as well. 0=no relation, 100=perfect match.</p> <p>categories: plant, radio, range hood, sink, sofa, stairs, stool, table, tent, toilet, tv stand, vase, wardrobe, xbox.</p> <p>3D object description: ... (# generated by PointLLM)</p> <p>Descriptions of 3D objects with similar features: (# generated by PointLLM and selected by manifold learning)</p> <ol style="list-style-type: none"> 1. ... 2. ... 3. ... <p>Output only a numerical score. Do not provide additional explanations.</p>
3D Object Captioning		<p>Given a free-form description of a 3D object, please according to additional descriptions of other 3D objects that share similar features with this object which has the same category to optimize the given description. The description of this 3D object and additional descriptions are generated by an LLM and may be inaccurate. If all descriptions are similar, please do not make any changes. If the description content is different, please make slight adjustments to this 3D description and do not adjust too much.</p> <p>The description requiring optimize: ... (# generated by PointLLM)</p> <p>Descriptions of 3D objects with similar features: (# generated by PointLLM and selected by manifold learning)</p> <ol style="list-style-type: none"> 1. ... 2. ... 3. ... <p>Output only a 3D description. And don't describe too much.</p>

Table 8: A list of prompts for 3D Recognition, 3D OOD Detection, and 3D Object Captioning tasks to LLM.

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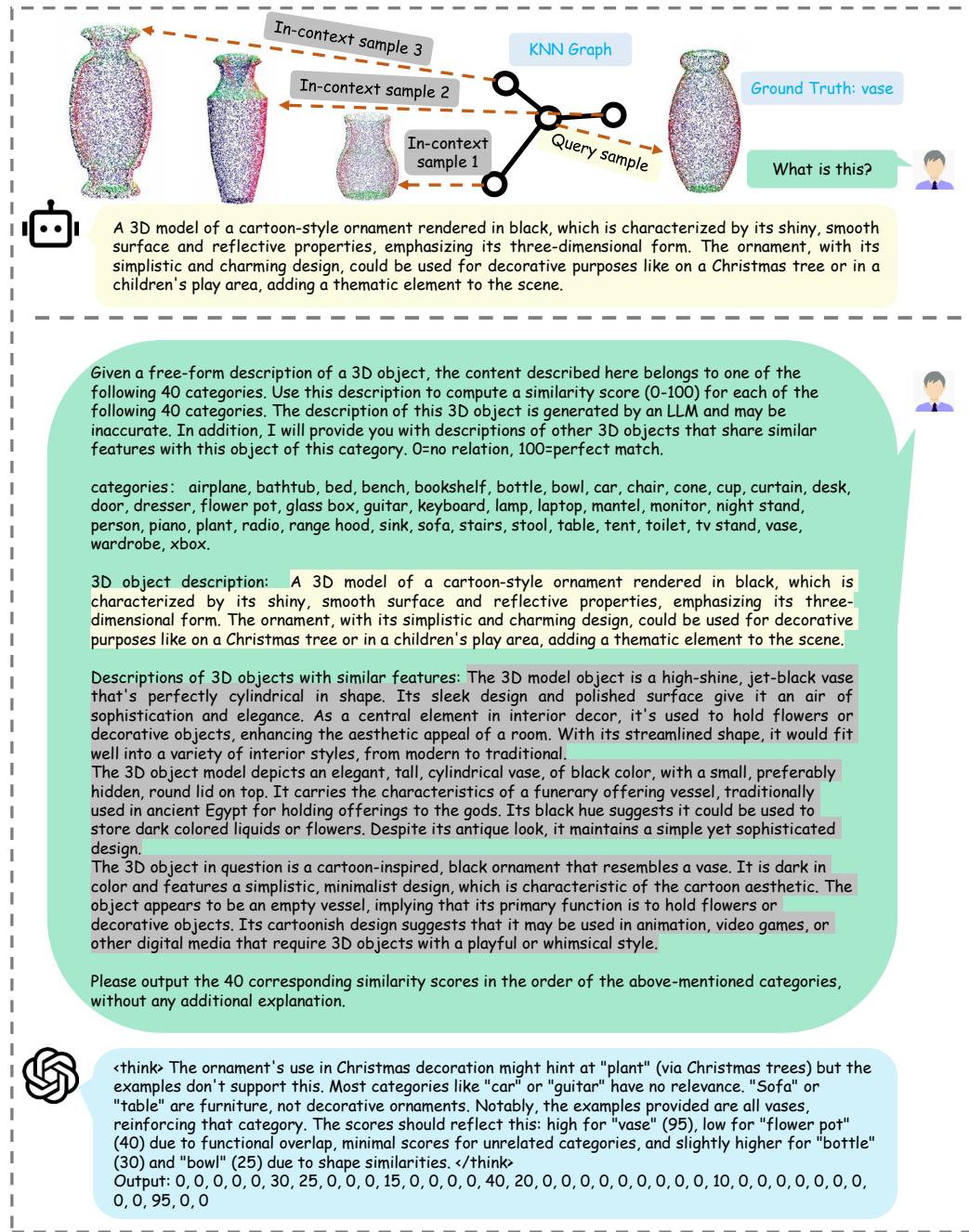


Figure 11: Qualitative example for 3D recognition task on ModelNet40.

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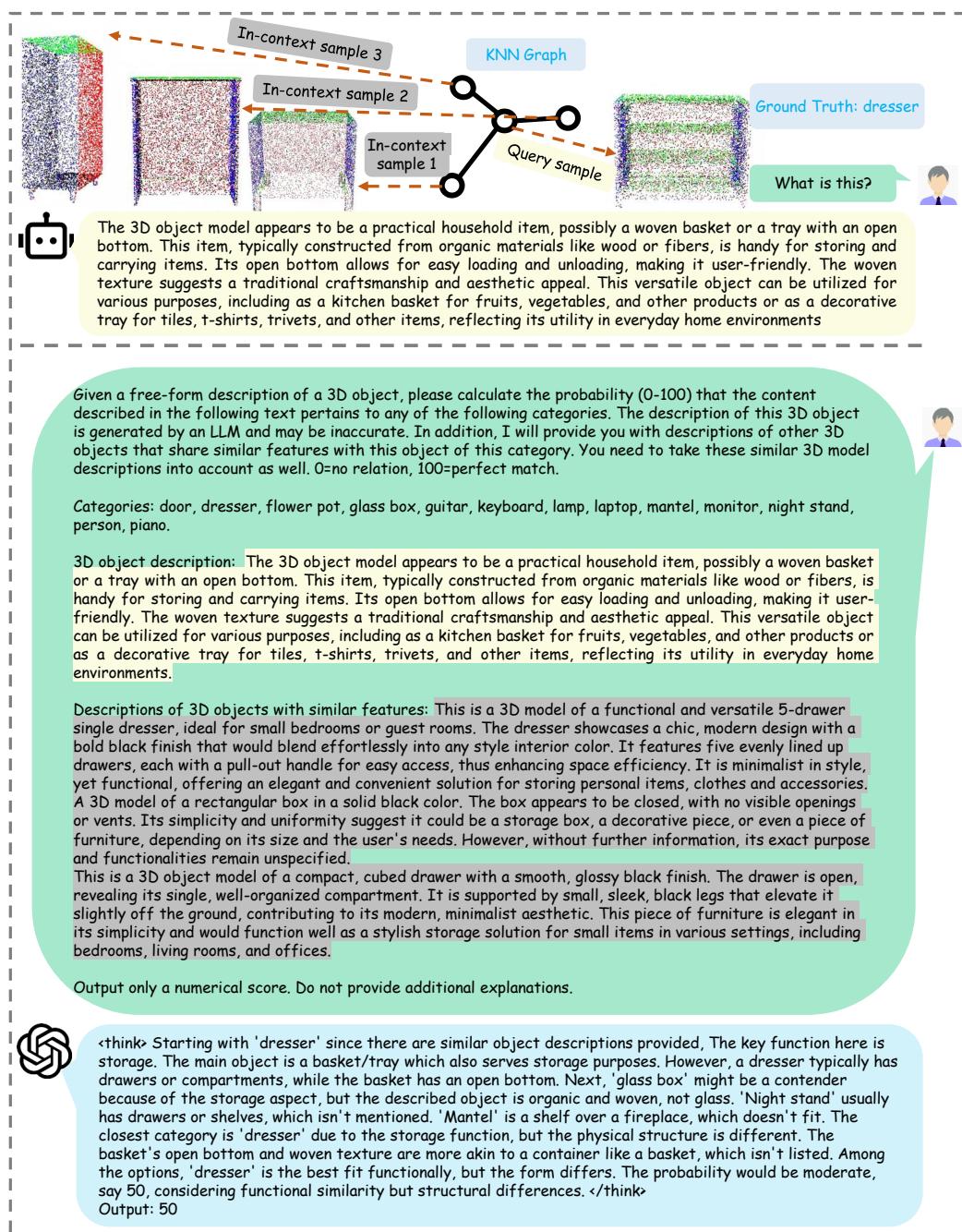


Figure 13: Qualitative example for 3D OOD detection task on ModelNet40.

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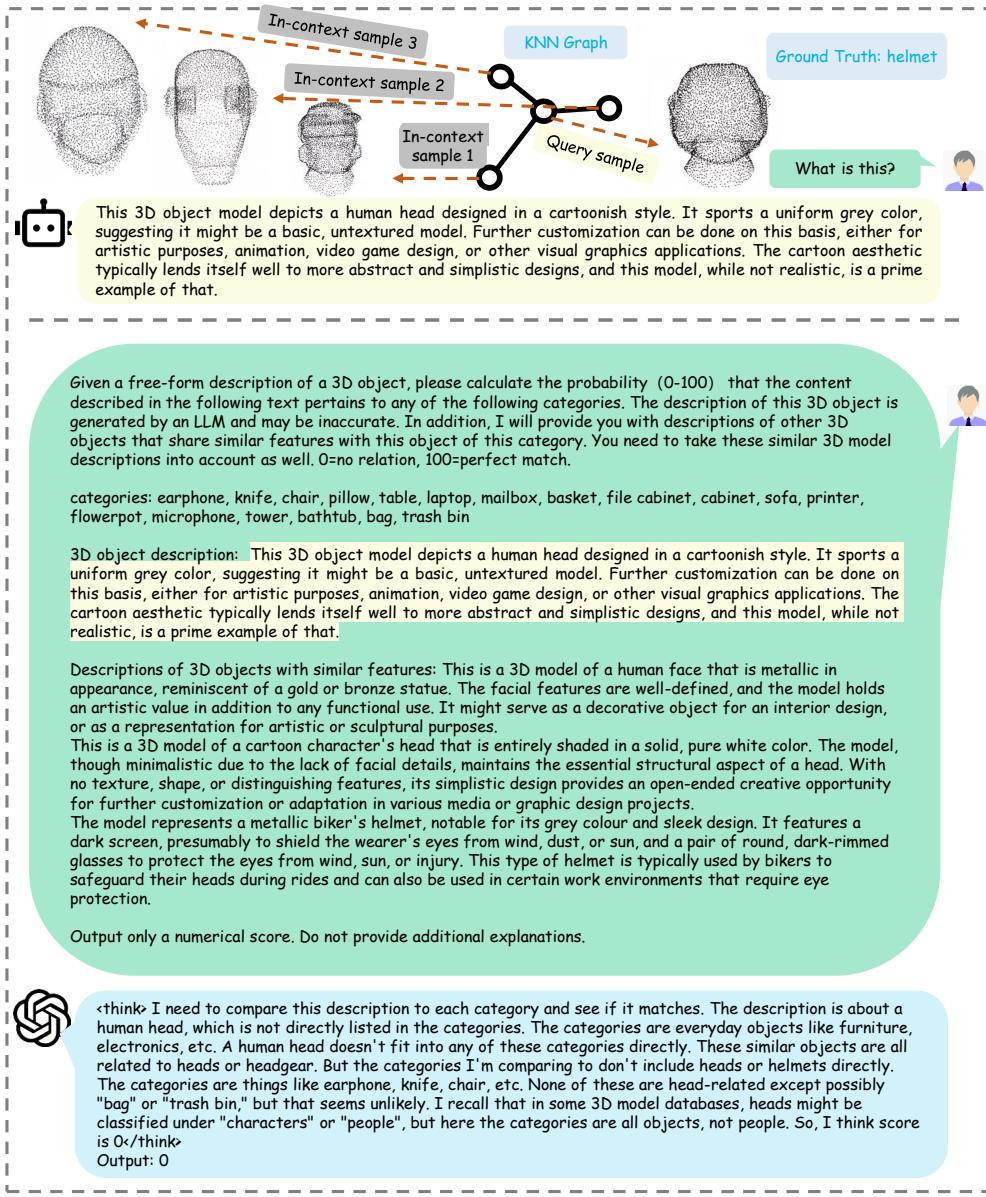


Figure 14: Qualitative example for 3D OOD detection task on ShapeNetCore.

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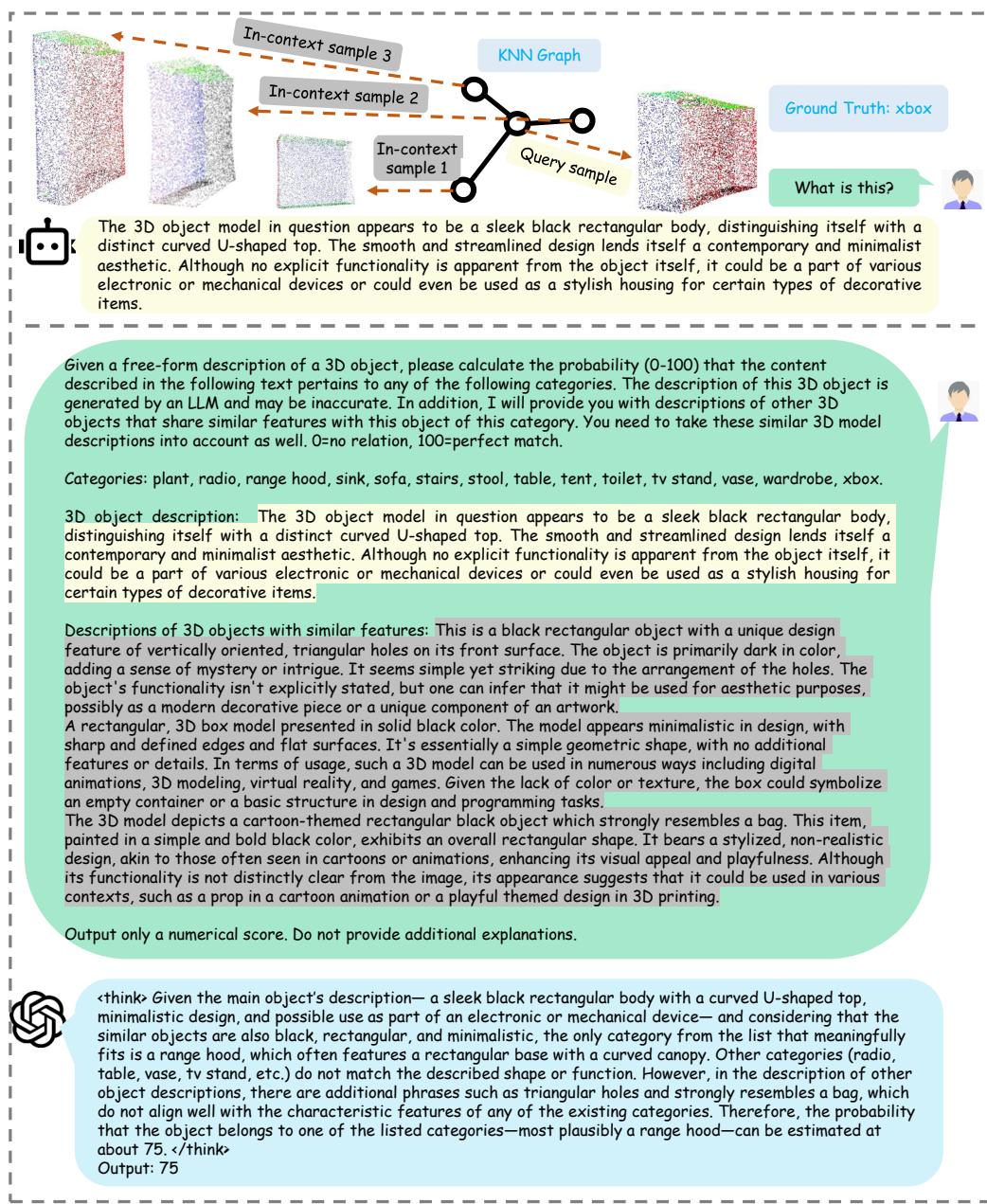


Figure 15: Bad example for 3D OOD detection task on ModelNet40.