Harnessing Multi-role Capabilities of Large Language Models for Open-domain Question Answering

Anonymous Author(s)*

ABSTRACT

Open-domain question answering (ODQA) stands as a pivotal research spotlight in web mining. Existing ODQA methods follows two main paradigms to collect evidence: 1) The retrieve-then-read retrieves pertinent documents from an external corpus; and 2) the generate-then-read paradigm, which employs large language models (LLMs) to generate relevant documents. Despite both paradigms have their own advantages, a single paradigm cannot take into account multifaceted requirements for evidence. To this end, we propose LLMQA, a generalized framework that formulates the ODQA process into three basic steps: query expansion, document selection, and answer generation, which is a novel paradigm combining the superiority of retrieval-based and generation-based evidence. Existing research has verified that LLMs can exhibit their excellent capabilities to accomplish various types of tasks. Therefore, in contrast to previously utilizing specialized models to complete each individual module of ODQA, we instruct LLMs to play multiple roles as generators, rerankers, and evaluators in our unified framework, and integrate them to collaborate each other to jointly enhance the performance of ODQA task. Furthermore, we introduce a novel prompt optimization algorithm to refine the role-play prompts and steer LLMs towards producing higher-quality evidence and more accurate answers. We conduct extensive experiments on three widely used benchmarks: NQ, WebQ, and TriviaQA. Experimental results demonstrate that our LLMQA can achieve the best performance in terms of both answer accuracy and evidence quality, showcasing its potential for advancing ODQA research and applications.

CCS CONCEPTS

 Information systems → Data mining; • Computing methodologies → Natural language generation; Natural language processing;

KEYWORDS

Question answering, Large language models, Prompt optimization

ACM Reference Format:

Anonymous Author(s). 2018. Harnessing Multi-role Capabilities of Large Language Models for Open-domain Question Answering. In Proceedings of Make sure to enter the correct conference title from your rights confirmation emai (Conference acronym 'XX). ACM, New York, NY, USA, 10 pages. https: //doi.org/XXXXXXXXXXXXXXX

58

1 INTRODUCTION

In the interdisciplinary fields of information retrieval and web mining, open-domain question answering (ODQA) stands as a pivotal research spotlight. It is intrinsically a knowledge-intensive task, which predominantly focuses on answering factoid questions, eschewing the limitations of a pre-specified domain, thus enhancing its applicability in a diverse range of web scenarios [5, 26, 28]. 59 60

61

62 63

64

65

66

67

68

69

70

71

72

73

74

75

76

77

78

79

80

81

82

83

84

85

86

87

88

89

90

91

92

93

94

95

96

97

98

99

100

101

102

103

104

105

106

107

108

109

110

111

112

113

114

115

116

Current ODQA methods commonly follows two main paradigms to collect evidence in preparation for answering questions: 1) The *retrieve-then-read* paradigm retrieves a set of pertinent evidence documents from an external corpus, and then generates the answer based on them [13, 15]. Since retrieval models often rely on existing documents in well-curated corpora like Wikipedia, they can provide highly factual and accurate information for answering the factual question; 2) The *generate-then-read* paradigm directly employs language models to generate evidence for producing the final answer [45]. These generated virtual documents may diversify the sources of evidence, enhancing answer coverage for the question.

Despite both paradigms have their own advantages, a single paradigm cannot take into account multifaceted requirements for evidence. An intuitive idea is to reasonably integrate the advantages of these two paradigms so that the collected evidence obtained contains both factual reliability and diversity. To this end, we propose LLMQA, a novel generalized framework that combines the strengths of retrieval-based and evidence-based evidence for ODQA. Specifically, we formulate the generation process of ODQA into three fundamental steps: 1) Query expansion involves expanding the given question and generating background passages or explanations, serving as generated-based evidence to enrich the context; 2) Document selection integrates retrieval-based evidence by reranking the retrieved documents, increasing their relevance to the answer and the likelihood of covering the answer; 3) Answer generation proceed to generate the final answer based on comprehension of the question and evidence.

In order to implement each step of ODQA, previous methods carefully train specialized models on each individual module to obtain various paradigms of evidences and final answers. Limited by the inherent capabilities of these models, jointly optimizing each module to improve overall performance remains challenging. Existing works have demonstrated that large language models (LLMs) can exhibit their excellent capabilities to accomplish various types of tasks [4]. Specific to the ODQA task, it mainly needs to integrate text generation [9, 30, 41, 45], document ranking [9, 22, 23], and candidate evaluation [2, 47], the three aspects of capabilities of LLMs into each module. Therefore, we aim to instruct LLMs to play the three roles of generators, rerankers, and evaluators respectively under our proposed unified framework. To fully explore the potential of these roles and improve model performance, we closely coordinate individual roles and make them collaborate each other to complete each module of ODQA. As shown in Figure 1: 1) The

Permission to make digital or hard copies of all or part of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. Copyrights for components of this work owned by others than ACM must be honored. Abstracting with credit is permitted. To copy otherwise, or republish, to post on servers or to redistribute to lists, requires prior specific permission and/or a fee. Request permissions from permissions@acm.org.

⁵⁵ Conference acronym 'XX, June 03–05, 2018, Woodstock, NY

^{© 2018} Association for Computing Machinery.

⁵⁶ ACM ISBN 978-1-4503-XXXX-X/18/06...\$15.00

generator is responsible for employing LLMs to expand the query for more informative description, which can provide comprehen-sive and pertinent information for the answer generation; 2) The reranker plays an important role in document selection by rerank-ing and prioritizing the retrieved documents to distill more valid and relevant documents as evidence; 3) The evaluator engages in interacting with the generator and the reranker through evaluative feedback by scoring their candidates, instructing them to generate more refined correspondences.

Furthermore, the distinct roles played by LLMs rely on unique prompts that describe task definitions and guide their behaviors. Therefore, the performance of different role-play LLMs is highly dependent on the quality of the prompts. The precision of evidence generated or reranked by LLMs is also sensitive to the prompts used. To better automatically design prompts, we present a novel prompt optimization algorithm to enhance the effectiveness of LLMs playing different roles in our unified framework. During the generation process of ODOA, we consider the evidences (i.e., query expansion and selected documents) as latent variables, and creatively leverage variational inference to learn their distributions, and then optimize crucial role-play prompts to steer LLMs towards producing higher-quality evidence and more precise answers.

We conduct comprehensive experiments on three widely used ODQA benchmarks: NQ, WebQ, and TriviaQA. Experimental results show that our LLMOA advances the state-of-the-art performance on both answer accuracy and evidence quality. Compared with previous baseline models, our LLMQA achieve remarkable improvement on EM scores (4.0@TriviaQA, 2.7@WebQ, 3.1@NQ), demonstrating the effectiveness of multi role-play LLMs for ODQA. Our proposed role of generator for query expansion can achieve up to 73%,76% and 87% recall for the target answer in generated expansions. The role of reranker increased answer coverage about 8.1% averaged on three datasets. Our ablation results not only suggest that each role-play LLMs and prompt optimization can contribute to the im-provement, but also verifies that multi-roles of LLMs can cooperate with each other.

Overall, our main contributions can be summarized as follows:

• We propose LLMQA, a generalized framework model to formulate the generation process of ODQA, which is a novel paradigm to combine the strengths of retrieval-based and generation-based evidence.

• We effectively instruct LLMs to play three roles of generators, rerankers, and evaluators respectively and integrate their collaborative interactions under our proposed unified framework,

• We present a novel prompt optimization algorithm to guide LLMs in producing higher-quality evidence and more precise answers. Extensive experimental results verifies LLMQA advances state-of-the-art performance in terms of both answer accuracy and evidence quality.

2 RELATED WORK

2.1 Open-Domain Question Answering

ODQA requires external knowledge to generate answers, thus it has become a common and effective benchmark to measure abilities of natural language comprehension and generation in web mining [26]. For collecting the related documents as evidence, existing methods can be categorized into two main paradigms: *retrieve-then-read* and *generate-then-read*.

Retrieve-then-read paradigm. Pioneered by [5], most recent approaches consist of two main modules: *retriever* and *reader*. The retriever module firstly retrieves a small set of documents relevant to the given question from an external knowledge base. The reader module then comprehends on both question and retrieved documents and generates the corresponding answer. One branch of the recent approaches focus on improving the *retriever*. Sparse retrieval with inverted indexes (*e.g.*, TF-IDF or BM25) is generally used in traditional approaches [36]. Dense retrieval using language models such as ORQA [17], DPR [15], RocketQA [31], ColBertQA [16] and ART [37] is the dominant method nowadays. The other branch focuses on enhancing the comprehension ability of *reader* to generate more appropriate and accurate answers [6, 13]. Especially with the development of LLMs, most *readers* are adopted from fine-tuned T5 [33] or InstructGPT [27].

Generate-then-read paradigm. Previous works have demonstrated that the knowledge preserved in LLMs can serve as a "generative retriever" [29, 32, 35]. Although many existing approaches adopt LLMs in ODQA, they cannot fully harness the generation capability of LLMs [14, 30, 41, 42]. Unlike conventional methods that retrieve documents from external sources, GenRead is the first to explore the potential of generate-then-read pipeline in ODQA, which instructs an LLM to generate a set of documents base on clusters of question-document pairs and the given question [45]. Then these generated documents and the question are fed into LLM together to produce the final answer.

Considering the limitations of a single paradigm, we propose to seamlessly integrate retrieval- and generation-based evidence in the ODQA generation process, by effectively harnessing the capabilities of LLMs.

2.2 Capabilities of LLMs

With recent notable enhancements in model scales [8, 27], LLMs have showcased impressive capabilities in text generation, ranking and evaluation.

Generation capability of LLMs. Recent studies have highlighted the superior text generation capability of LLMs in few-shot and zero-shot scenarios [3, 4, 8, 48]. Previous works have demonstrated that the knowledge stored in LLMs could be retrieved during inference [29, 35]. Building upon this, some studies directly prompt LLMs to generate answers conditioned on the question in ODQA [14, 30, 41, 42]. Other approaches utilize the generation capability of LLMs to expand the query or enrich the context [9, 25, 45].

Ranking capability of LLMs. Previous works show that compared to few-shot information extraction, LLMs are better at reranking for hard examples. Ma et al. propose a *filter-then-rerank* paradigm, which utilize LLMs to rerank the candidates filtered by smaller language models to generate the final response. Chuang et al. apply LLMs to rerank a diverse set of expanded queries and select those leading to better results. Ma et al. replace pointwise reranking with listwise reranking to reorder the list of documents base on the relevance to the query.

Conference acronym 'XX, June 03-05, 2018, Woodstock, NY

Evaluation capability of LLMs. LLMs are chosen as evaluators 233 due to their robust comprehension and reasoning capabilities. [4, 7]. 234 235 Weng et al. leverage the self-verification capability of LLMs for better reasoning. Shinn et al. use self-reflective feedback as a semantic 236 gradient providing with a concrete direction to learn from prior 237 mistakes. Madaan et al. present an iterative self-refinement algo-238 rithm that alternates between feedback and refinement. Addition-239 ally, LLMs are also used to evaluate attribution between generated 240 241 answer and references [2, 47].

In this paper, we aim to effectively integrate multi-role capabilities of LLMs to enhance the overall performance on ODQA.

2.3 Prompt Optimization

242

243

244

245

246

247

248

249

250

251

252

253

254

255

256

257

258

259

260

261

262

263

264

265

266

290

Previous works have emphasized that subtle differences in prompts could lead to tremendous performance degradation in generated results [11, 19, 49]. Consequently, prompt optimization has attracted great attention in recent years, with two primary approaches: manual design [34] or automatic generation [38]. Gradient-based prompt tuning can optimize prompts embedding in a continuous space [20, 21]. In contrast, discrete prompt optimization has been extensively studied including prompt scoring [10], prompt generation [11] and prompt paraphrasing [46]. Recently, Zhou et al. propose APE for automatic prompt optimization by iteratively selecting prompt candidates to maximize the potential score functions. DLN [40] steps further by viewing LLMs as language layers and prompts as learnable parameters.

Inspired by these methods, we present a novel prompt optimization algorithm to refine essential prompts for query expansion, document reranking, and answer generation, enabling LLMs to produce better evidences and answers.

3 METHOD

3.1 Task Formulation

ODQA places a central emphasis on acquiring pertinent evidence to 267 268 enhance the reliability and precision of answers to a given question. Previous methods collect evidences by retrieving or generating 269 relevance background passages or explanations to facilitate accu-270 271 rate answer identification [5, 15, 27]. Expanding upon this concept, we formulate the generation process of ODQA as the following three fundamental steps: 1) Query expansion: We commence with 273 the input question, designated as query q. To enrich the context 274 and improve document selection and answer generation, we utilize 275 knowledge stored in language models to generate additional back-276 277 ground information, denoted as query expansion e; 2) Document 278 **selection**: Leveraging both the query *q* and its expansion *e*, we 279 initially retrieve the top-n documents that are relevant to answering 280 the question as candidates. Subsequently, we compare within these 281 candidates to prioritize those documents most likely to contain the answer. Based on this criterion, we rerank these n candidates 282 and retain top-k documents, represented as d, which collectively 283 284 constitute the evidence in conjunction with query expansion (e, d); 3) Answer generation: Based on the query q and the derived evi-285 dence (e, d), we proceed to generate the final answer a in response 286 to the question with a reader model. 287

Furthermore, this generation process can be effectively formulated using a Bayesian graphical model that aligns closely with

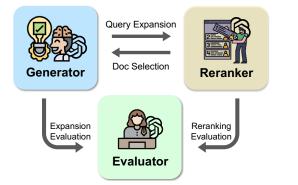


Figure 1: Collaborative interactions of multiple LLM roles.

the three aforementioned steps, parameterized by the following probability distribution:

$$P(a|q) = \sum_{e} \sum_{d} P(e|q)P(d|q, e)P(a|q, e, d),$$
(1)

where we consider the evidence (e, d) as latent variables, which require to be optimized by maximizing this marginal likelihood. Consequently, the acquisition of the most appropriate evidence for question answering becomes a critical aspect of this task. Considering the prominent performance of LLMs on various tasks including text generation, ranking, and evaluation, we propose to harness LLMs in multiple roles that cooperate with each other and seamlessly integrate into the ODQA generation process. The framework overview of our LLMQA is shown in Figure 2. In the subsequent sections, we will introduce in detail how to leverage the multi-role capabilities of LLMs to enhance the ODQA task.

3.2 Query Expansion

Generally, the questions posed in ODQA datasets are brief and concise, indicating that relying solely on the question itself as query can lead to a substantial challenge: inadequate query context makes it difficult to support accurate document selection and answer generation. To address this challenge, we add a pivotal step known as query expansion that aims to enrich the original question with a broader context. The generated expansions are mainly used to analyze the key points required to answer a given question and provide more sufficient background information for subsequent steps. In this process, we instruct an LLM to play the role of generator leveraging its powerful context understanding and text generation capabilities. Specifically, we employ an LLM-based expansion generator G_e to facilitate the query expansion step. Given a question q, its query expansion e can be generated by

$$e = G_e(q; \theta_e), \tag{2}$$

where θ_e represents the prompt to instruct the query expansion.

3.3 Document Selection

3

In addition to the query expansion, relevant documents are more commonly used as evidences to include accurate answers of the question. To identify the most appropriate documents, we divide this document selection process into two distinct stages:

1) Coarse-grained retrieval of top-*n* documents: we first retrieve a set of top-*n* documents that are potentially relevant to

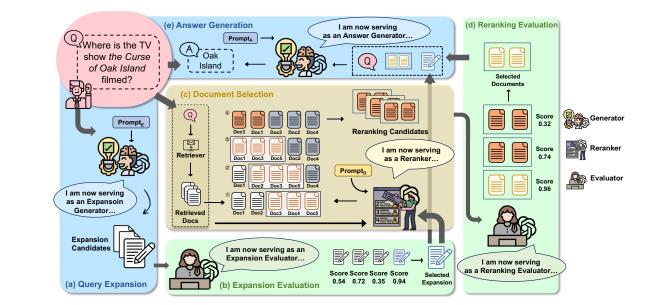


Figure 2: The overview of our LLMQA. Three different role-play LLMs execute five main steps: (a) generate query expansion according to the question by *generator*; (b) select the best query expansion by *evaluator*; (c) rerank the top-k documents according to the question and generated expansion by *reranker*; (d) select the best rerank documents by *evaluator*; (e) generate answer according to the question, generated expansion and reranked documents by *generator*. A more detailed insight into sliding window reranking: select top 2 documents from top 5 retrieved candidates with window size w = 3, step l = 1.

the given question by employing established information retrieval techniques such as DPR [15] or BM25 [5]. These retrieval methods provide an initial score for each candidate to describe the relevance between documents and questions. However, such methods may not always capture nuanced semantic relationships between the query and documents, leading to false positives or irrelevant documents in the initial set.

2) Fine-grained reranking of top-k documents from n can-didates: we proceed with the reranking of documents to ensure that those more likely to contain the answer are prioritized. This stage involves comparing the documents to determine which ones exhibit higher quality and relevance to the query. Inspired by LLM-based ranking approaches [9, 22, 23], We instruct LLM to play the role of document reranker R_d for further screening out top-k (k < n) documents from the initial pool of n candidates. Considering the limitation on input tokens for LLMs, we iteratively rerank a subset of documents each time and complete the reranking of all candi-dates through a sliding window. Specifically, we set the window size to w and the step size to l. We start from the last position of the initially sorted documents. In each iteration, we focus on comparing w documents within the sliding window, and reorder the documents based on their likelihood of containing the answer. With the sliding window moving forward by *l* steps, thus the top w - l reranked documents in the original window are reserved and *l* new documents are added, then the next *w* documents can be reordered. This iterative process continues until the sliding window reaches the front, and we consider the first k = w - l documents as the final evidence documents d. Overall, this document selection process can be simplified as:

where θ_d denotes the prompt for R_d to ensure that documents are ranked in alignment with the desired relevance and quality.

3.4 Answer Generation

Based on the query q, and the evidence (e, d), the final step in ODQA is to generate the final answer with the integration and comprehension of pertinent information within the evidence. The evidence can encompass essential information that directly provides the answer to the question, or it may comprise an analysis and explanation necessary for formulating the answer. Consequently, the central objective of answer generation is to employ a reader model for the systematic extraction and comprehension of valuable insights from the evidence context. We utilize an LLM-based reader G_a to generate a precise and dependable response as the predicted answer to the question, and formulate this process as:

$$a = G_a(q, e, d; \theta_a), \tag{4}$$

where θ_a indicates the prompt for answer generation to ensure that the generated answer can align with the context and requirements of the original question and its evidence.

3.5 Evaluators for Generation and Reranking

As shown in Figure 1, evaluators also play a crucial role in query expansion and document reranking, engaging in a dynamic interaction with both the generator and reranker. Leveraging the advanced capabilities of LLMs to evaluate text quality under specific standards, we can instruct LLMs to play the role of evaluators to assess the performance of the generator and the reranker. The primary objective of evaluators is to assign quality scores to multiple candidates generated by the generator and reranker. These scores reflect the likelihood that each candidate is appropriate and accurate for

Anon

Inpi	it : Training data: <i>X</i> _{tra} , LLM-based roles: <i>G</i> _e , <i>R</i> _d , <i>G</i> _a , <i>S</i> _e , <i>S</i> _r , <i>S</i> _a
r ·	backward updating functions: U_e, U_d, U_a .
	Parameters : θ_e , θ_d , θ_a .
1: 1	for (q, a) in X_{tra} do
2:	Generate the prior $\tilde{e} = G_e(q; \theta_e)$ by Expansion Generator
3:	Generate the prior $\tilde{d} = R_d(q, \tilde{e}; \theta_d)$ by Document Reranker
4:	Generate the prior $\tilde{a} = G_a(q, \tilde{e}, \tilde{d}; \theta_a)$ by Answer Generator
5:	Sample <i>n</i> posterior $\widehat{d}_1, \widehat{d}_2, \cdots, \widehat{d}_n$ from $R_d(q, \widetilde{e}, \widetilde{d}, a; \phi_d)$
6:	Score $s_{d_i} = S_r(R_d(q, \tilde{e}), \hat{d_i})$ and $S_a(G_a(q, \tilde{e}, \hat{d_i}), a)$ for each $\hat{d_i}$
7:	Calculate posterior score $v_{d_i} = s_{d_i} * s_{a_i}$
8:	Select the best posterior $\widehat{d}_* = \operatorname{argmax}_i \{v_{d_i}\}$
9:	Sample <i>m</i> posterior $\widehat{e}_1, \widehat{e}_2, \cdots, \widehat{e}_m$ from $G_e(q, \widetilde{e}, \widehat{d}_*, a; \phi_e)$
10:	Score $s_{e_j} = S_e(G_e(q), \widehat{e}_j), s_{d_j} = S_r(R_d(q, \widehat{e}_j), \widehat{d}_*)$, and $s_{a_j} =$
	$S_r(G_a(q, \widehat{e}_j, \widehat{d}_*), a)$ for each \widehat{e}_j
11:	Calculate posterior score $v_{e_j} = s_{e_j} * s_{d_j} * s_{a_j}$
12:	Select the best posterior $\hat{e}_* = \operatorname{argmax}_j \{v_{e_j}\}$
13:	Sample K candidates $\hat{\theta}_{a_k} = U_a(q, \tilde{e}, \tilde{d}, \tilde{a}, a)$ for θ_a
14:	Select $\widehat{\theta}_{a_*} = \underset{k}{\operatorname{argmax}} \sum_{i=1}^{n} \sum_{j=1}^{m} v_{e_j} v_{d_i} \log S_e(G_a(q, \widehat{e_j}, \widehat{d_i}; \widehat{\theta}_{a_k}), a)$
15:	Sample K candidates $\widehat{\theta}_{d_k} = U_d(q, \widetilde{e}, \widetilde{d}, \widehat{d}_*)$ for θ_d
16:	Select $\widehat{\theta}_{d_*} = \underset{k}{\operatorname{argmax}} \sum_{i=1}^{n} \sum_{j=1}^{m} v_{e_j} v_{d_i} \log S_r(R_d(q, \widehat{e}_j; \widehat{\theta}_{d_k}), \widehat{d}_i)$
17:	Sample K candidates $\hat{\theta}_{e_k} = U_e(q, \tilde{e}, \hat{e}_*)$ for θ_e
18:	Select $\widehat{\theta}_{e_*} = \underset{k}{\operatorname{argmax}} \sum_{j=1}^{m} v_{e_j} \log S_e(G_e(q; \widehat{\theta}_{e_k}), \widehat{e}_j)$
19:	Update parameters: $\theta_a \leftarrow \widehat{\theta}_{a_*}, \ \theta_d \leftarrow \widehat{\theta}_{d_*}, \ \theta_e \leftarrow \widehat{\theta}_{e_*}$
	end for
21: 2	return $\theta_e, \theta_d, \theta_a$.

specific conditions or requirements. For a given question, we employ the expansion evaluator S_e to individually score each candidate expansion ranging from 0 to 1, which is used to assess the degree of their relevance and logical consistency. Similarly, we use the reranking evaluator S_r to score different top-k reranking candidates generated by the reranker R_d , assessing the contribution of each ranking result to answering the question. The scoring process of evaluators S_e and S_r can be formulated as:

$$s_{e_i} = S_e(G_e(q), e_j), \tag{5}$$

$$s_{d_i} = S_r(R_d(q, e), d_j), \tag{6}$$

where s_{e_j} and s_{d_j} represent the scores assigned to the *j*-th candidate of generated query expansion and reranked documents. These scores serve as critical metrics for evaluating the performance of the generator and reranker and further promoting overall generation and ranking capabilities of LLMs.

3.6 **Prompt Optimization**

The role-play performance of generator and reranker still heavily relies on the prompt design in each ODQA generation process. Therefore, we explore how to design better role-play prompts or expansion generation θ_e , document reranking θ_d , and answer generation θ_a to fully exploit the potential of LLMs. We propose a novel algorithm to enable prompt optimization under the unique graphical model structure of ODQA. Throughout the ODQA generation process, we do not require the LLM parameters, but instead treat three natural language prompts as learnable parameters. In Equation (1), the distributions of latent variables *e* and *d* are determined by these prompts and need to be approximated by probabilistic inference techniques. To ensure consistency with the graphical model, we propose to use variational inference to learn the hidden distributions and optimize prompts. We denote the prior distribution as P_{θ} and the posterior distribution as P_{ϕ} , and the original log-likelihood could be bounded by the following ELBO:

 $\log P(a|q)$

$$\geq \sum_{e} \sum_{d} P_{\phi_e}(e|q,a) P_{\phi_d}(d|q,e,a) \log \frac{P_{\theta_e}(e|q) P_{\theta_d}(d|q,e) P_{\theta_a}(a|q,e,d)}{P_{\phi_e}(e|q,a) P_{\phi_d}(d|q,e,a)}.$$
(7)

As shown in Algorithm 1, for the question q, we use predefined G_e , R_d and G_a to sequentially simulate the priors P_{ϕ_e} , P_{ϕ_d} , and P_{ϕ_a} , and generate the query expansion \tilde{e} , the reranked documents \tilde{d} and the predicted answer \tilde{a} during forward inference. Next, to approximate the posteriors P_{ϕ_e} and P_{ϕ_d} , we consider the following two aspects: 1) We add the ground-truth target as an additional condition to estimate the posteriors; 2) We sample several posterior candidates near the prior to ensure low Kullback-Leibler (KL) divergence between them in the space of discrete texts. Specifically, we sample \boldsymbol{n} posterior candidates $\hat{d}_1, \hat{d}_2, \dots, \hat{d}_n$ by replacing the last document in d with the (k+1)-th, (k+2)-th, ..., (k+n)-th document, respectively. Then we use evaluators S_r and S_e to score each posterior candidate for estimating P_{ϕ_d} . The best posterior d_* among these candidates is selected as the current "ground-truth" reranking documents. This process can be repeated similarly for the query expansion to obtain the best posterior \hat{e}_* .

Subsequently, we define a backward process to update prompts. For the answer generation prompt θ_a , we sample *K* candidates near it using a updating function $U_a(q, \tilde{e}, \tilde{d}, \tilde{a}, a)$, to guide prompts to update in a direction that brings the predicted answer \tilde{a} closer to the actual answer *a*. Then all the previous posterior candidates are used to estimate ELBO, and the best $\hat{\theta}_{a_*}$ to maximize ELBO can be selected as the refined prompt for answer generation. Similar processes are introduced to refine prompts θ_d and θ_e , while updating functions U_d and U_e are used to guide the directions to refine document reranking and query expansion.

4 EXPERIMENTS

4.1 Experimental Setup

Datasets. We select three widely used ODQA benchmarks to evaluate the model performance of baselines and our LLMQA: 1) **WebQ** (WebQuestions) is a dataset that consists of questions obtained using the Google Suggest API, with the answers being entities from Freebase. 2) **NQ** (Natural Questions) is a dataset generated from real Google search queries, and the answers are spans within Wikipedia articles. 3) **TriviaQA** is a collection of trivia questions sourced from trivia and quiz-league websites.

Baselines. To verify the effectiveness of our method, we compare LLMQA with the following two main types of baselines: 1) Baselines without LLMs: **BM25+Bert** [17] combines sparse retrieval methods with BERT for text representations. **REALM** [12] retrieves relevant documents from a knowledge corpus and incorporate them into

Table 1: Comparison results on TriviaQA, WebQ, and NQ datasets. Our EM scores are given by the mean of 10 rounds of bootstrapping sampling, with bold numbers indicating *p*-values below 0.01 under a significance test.

Method	#Reader parameters	#Documents	TriviaQA	WebQ	NQ			
baselines without LLMs; † was repo	baselines without LLMs; \dagger was reported by paper, st was reproduced by our							
$\mathbf{BM25}$ + \mathbf{Bert}^{\dagger}	220M	5	47.1	21.3	26.5			
\mathbf{REALM}^\dagger	330M	5	-	40.7	40.4			
\mathbf{DPR}^{\dagger}	110M	100	56.8	41.1	41.5			
\mathbf{RAG}^{\dagger}	400M	10	56.1	45.2	44.5			
$FiD-l^{\dagger}$	770M	10	61.9	48.1	46.7			
$\mathbf{FiD} extsf{-xl}^\dagger$	3B	10	66.3	50.8	50.1			
Baselines employing LLMs as gener	Baselines employing LLMs as generators; † was reported by paper, * was reproduced by ours.							
GenRead (FiD-l) (sampling) [†]	770M	10	67.8	51.5	40.3			
GenRead (FiD-l) (clustering) [†]	770M	10	70.2	53.5	43.5			
GenRead (FiD-xl)) (sampling) [†]	3B	10	69.6	52.6	42.6			
GenRead (FiD-l) (clustering) [†]	3B	10	71.6	54.4	45.6			
EAR+FiD-l [†]	770M	100	71.2	-	51.4			
EAR+FiD-xl*	3B	100	72.9	-	53.8			
LLMQA	3B	5	76.9	56.2	56.9			
LLMQA	3B	10	76.6	57.1	57.5			

the training process of the language model. **DPR** [15] utilizes a dense encoder to encode text passages and questions and retrieves relevant passages based on vector similarity. **RAG** [18] utilizes retrieval to augment generation techniques to enhance the ODQA tasks. **FiD** [43] follows the classic retrieve-then-read paradigm with reader sizes of 770M and 3B. 2) Baselines employing LLMs as generators: **GenRead** [45] propose a clustering-based method to use LLMs to generate diverse documents. **EAR** [9] improves evidence quality through query re-ranking for enhanced expansion.

Evaluation Metrics. Mainstream ODQA methods evaluate answer accuracy using the Exact Match (EM) score [50], which compares the predicted answer \tilde{a} to each ground-truth answer a in the answer list, to determine if they match. Additionally, the recall score serves as an important metric for assessing the quality of evidence. These two metrics are given by:

$$EM = \frac{\sum_{\tilde{a}, a \in D} exact_match(\tilde{a}, a)}{|D|}$$
(8)

$$recall = \frac{\sum_{docs, a \in D} answer_hit(docs, a)}{|D|}$$
(9)

where *D* is the dataset, \tilde{a} is the predict answer, *a* is the ground truth answer, *docs* is the reference documents.

Implementation Details. In our proposed approach, we take advantage of the multi-role capability of LLMs. As for the query expansion, we use gpt-3.5-turbo-16k as generator by directly accessing to API (temperature=0.7,n=10). As for documents selection, we first retrieved top 100 documents using DPR [15]. To select top 10 reranked documents, we implemented sliding window reranking and set the window size w = 20, step l = 10. We get the rerank result in the window by accessing to gpt-3.5-turbo-16k (temperature=0.7) as well. As for answer generation, we followed GenRead [45] adapt-ing FiD-xl (3B) as reader model and finetune it for 10000 steps with lr set to 3e-5. As for prompt optimization, we refer to P3 [1] along

with carefully designed role-play instructions to initialize crucial prompts. As for evaluator used in prompt optimization, we use *text-embedding-ada-002* from OpenAI by requesting for embeddings to estimate the posterior probability.

4.2 Overall Performance

The overall performance of the experiment is shown in Table 1. Compared with the baselines without LLMs, our proposed LLMQA exhibited a notable improvement over three datasets (10.3@TriviaQA, 6.3@WebQ, 7.4@NQ), which strongly demonstrated the effectiveness of the LLM on the ODQA, indicating that the effect of model scale on the final results is remarkable. Our LLMQA surpassed **FiD-xl** by 8 on average of three datasets, even though the documents we used are less than it. Thus, different role-play LLMs can be competent with previously specifically designed models.

Compared with the baselines employing LLMs as generators, our LLMQA also achieved considerable performance improvement. Both **GenRead** and **EAR+FiD** utilize the generation capability of LLMs to generate documents or query expansions. The enhancement of our approach primarily leverages the collaboration between multiple role-playing LLMs. In addition to the query expansion used in our approach, we also adapted LLMs to rerank the retrieved documents. The remarkable improvement fully demonstrated that LLMs playing different roles can interact and cooperate with each other and fulfill the tasks well under specific instruction.

4.3 Ablation Study

In this section, we eliminate generator, reranker, and evaluator, respectively, and explore to what extent the three aspects of LLMs' capabilities have an impact on the ODQA performance. In addition, we validate the effectiveness of the proposed prompt optimization.

It can be confirmed from Table 2 that the generator role of LLMs has the most significant impact among the three different roles played by LLMs, which indicates that the query expansion can serve Harnessing Multi-role Capabilities of Large Language Models for Open-domain Question Answering

Conference acronym 'XX, June 03-05, 2018, Woodstock, NY

Method	TriviaQA	WebQ	NQ
Ours w/o expansions generator	68.70	52.61	53.66
Ours w/o documents reranker	73.06	52.71	54.68
Ours w/o candidates evaluator	73.91	55.56	57.12
Ours w/o prompt optimization	73.60	54.82	56.68
Ours	76.62	57.15	57.56
Initial Query Expansion Prompt	Optimized Query Expansion Prompt		
You are serving as a generator. To answer the	You are serving as a generator to generate		
given question more precisely, provide	query expansion. To answer the given		
background information from Wikipedia as	question more precisely, provide backgrou		
the query expansion to enrich the context.	information from V	Vikipedia <mark>or g</mark> i	ve the
	analysis of the ques		ery
	expansion to enrich	the context.	
Initial Document Reranking Prompt	Optimized Docume	nt Reranking	Prompt
You are serving as a reranker, you should	You are serving as a	a reranker, yo	a should
rank the given documents according to the	rank the given docu	iments accord	ing to the
following rules: 1. The more relevant the	following rules: 1. The more relevant th		
	document is to the		
the higher the score is. 2. The more	the higher the score		
	informative the doc		
score is. 3. The more possible the document	score is. 3. The mo		
may contain the answer to the question, the	may contain the an	swer to the qu	estion, th
score is. 3. The more possible the document may contain the answer to the question, the higher the score is. Please make sure you	may contain the an higher the score is.	swer to the qu Please carefu	estion, th lly consid
score is. 3. The more possible the document may contain the answer to the question, the higher the score is. Please make sure you have make comprehensive understanding of	may contain the an higher the score is. the relevance, infor	swer to the qu Please careful mativeness, ar	estion, th lly consid 1d
score is. 3. The more possible the document may contain the answer to the question, the higher the score is. Please make sure you have make comprehensive understanding of the above rules and documents. You should	may contain the an higher the score is. the relevance, infor likelihood of contai	swer to the qu Please careful mativeness, ar ning the answe	estion, th lly consid id er when
score is. 3. The more possible the document may contain the answer to the question, the higher the score is. Please make sure you have make comprehensive understanding of the above rules and documents. You should think step by step and rank the documents	may contain the an higher the score is. the relevance, infor likelihood of contai ranking the docume	swer to the qu Please careful mativeness, ar ning the answe ents. You shot	estion, th lly consid ad er when ald think
score is. 3. The more possible the document may contain the answer to the question, the higher the score is. Please make sure you have make comprehensive understanding of the above rules and documents. You should think step by step and rank the documents above carefully according to the rules.	may contain the an higher the score is. the relevance, infor likelihood of contai	swer to the qu Please careful mativeness, ar ning the answe ents. You show hk the docume	estion, th lly consid ad er when ald think

Table 2: Ablation results on TriviaQA, WebQ, and NQ datasets.

Table 3: Case study of more pertinent evidence than baseline.

Question: when did little polveir win the grand national	1
Golden Answer: [1989]	
LLMQA	
Selected Doc. Hit: 10 / 10	
Top-3 docs:	
"He won the 1989 Grand National steeplechase"	
"on 8 April 1989. The race was won in a time"	
"He is best knownfor his performance in the 1989 Dep	rby
Generated answer: 1989 (True)	
GenRead	
Selected Doc. Hit: 1 / 10	
Top-3 docs:	
"On 6 April 2019, Little Polveir won the Grand"	
" Little Polveir won the Grand National in 1951."	
" last time Little Polveir won the Grand National was 1	869."
Generated answer: 1951 (False)	
	amp
	-
Cable 4: Case study of imprecise evidence for hard ex Question: who wrote the first declaration of human rig	-
able 4: Case study of imprecise evidence for hard ex Question: who wrote the first declaration of human rig Golden Answer: [Cyrus]	-
Cable 4: Case study of imprecise evidence for hard ex Question: who wrote the first declaration of human rig Golden Answer: [Cyrus] LLMQA	-
Cable 4: Case study of imprecise evidence for hard ex Question: who wrote the first declaration of human rig Golden Answer: [Cyrus] LLMQA Selected Doc. Hit: 2 / 10	-
Table 4: Case study of imprecise evidence for hard ex Question: who wrote the first declaration of human rig Golden Answer: [Cyrus] LLMQA Selected Doc. Hit: 2 / 10 Top-3 docs:	hts
able 4: Case study of imprecise evidence for hard ex Question: who wrote the first declaration of human rig Golden Answer: [Cyrus] LLMQA Selected Doc. Hit: 2 / 10 Top-3 docs: "Cyrus the Great the first human rights document"	hts"
able 4: Case study of imprecise evidence for hard ex Question: who wrote the first declaration of human rig Golden Answer: [Cyrus] LLMQA Selected Doc. Hit: 2 / 10 Top-3 docs: "Cyrus the Great the first human rights document" "first recording of human rights by Cyrus the Great.	hts"
able 4: Case study of imprecise evidence for hard ex Question: who wrote the first declaration of human rig Golden Answer: [Cyrus] LLMQA Selected Doc. Hit: 2 / 10 Top-3 docs: "Cyrus the Great the first human rights document" "first recording of human rights by Cyrus the Great. "is a human civil rights document the French Revolution for the first human for the formation of human for	hts"
able 4: Case study of imprecise evidence for hard ex Question: who wrote the first declaration of human rig Golden Answer: [Cyrus] LLMQA Selected Doc. Hit: 2 / 10 Top-3 docs: "Cyrus the Great the first human rights document" "first recording of human rights by Cyrus the Great. "is a human civil rights document the French Revolu Generated answer: Cyrus the Great (False)	hts"
able 4: Case study of imprecise evidence for hard ex Question: who wrote the first declaration of human rig Golden Answer: [Cyrus] LLMQA Selected Doc. Hit: 2 / 10 Top-3 docs: "Cyrus the Great the first human rights document" "first recording of human rights by Cyrus the Great. "is a human civil rights document the French Revolu Generated answer: Cyrus the Great (False) GenRead	hts"
able 4: Case study of imprecise evidence for hard ex Question: who wrote the first declaration of human rig Golden Answer: [Cyrus] <i>LLMQA</i> Selected Doc. Hit: 2 / 10 Top-3 docs: "Cyrus the Great the first human rights document" "first recording of human rights by Cyrus the Great "is a human civil rights document the French Revolu Generated answer: Cyrus the Great (False) <i>GenRead</i> Selected Doc. Hit: 1/ 10	"hts "" ition."
Yable 4: Case study of imprecise evidence for hard ex Question: who wrote the first declaration of human rig Golden Answer: [Cyrus] LLMQA Selected Doc. Hit: 2 / 10 Top-3 docs: "Cyrus the Great the first human rights document" "first recording of human rights by Cyrus the Great" "is a human civil rights document the French Revolut Generated answer: Cyrus the Great (False) GenRead Selected Doc. Hit: 1/ 10 Top-3 docs: "The first declaration of humanwritten by George Mass "first declaration of humanVirginia Declaration"	hts ." ition."
able 4: Case study of imprecise evidence for hard ex Question: who wrote the first declaration of human rig Golden Answer: [Cyrus] <i>LLMQA</i> Selected Doc. Hit: 2 / 10 Top-3 docs: "Cyrus the Great the first human rights document" "first recording of human rights by Cyrus the Great" "is a human civil rights document the French Revolu Generated answer: Cyrus the Great (False) <i>GenRead</i> Selected Doc. Hit: 1/ 10 Top-3 docs: "The first declaration of humanwritten by George Mas	hts ." ition."

Figure 3: Case study for prompt optimization. EM score for initial prompt is 54.82; EM score for optimized prompt is 57.15. The result is reported on WebQ dataset.

as an auxiliary document. The reranker contributes to the ODQA as well because the reranked the documents are more relevant to the question. The feasibility of evaluator has also been demonstrated as it can estimate the evidence quality and select the most suitable one. Our experiment on prompt optimization shows that the quality of the prompt design directly affects the performance of role-play LLMs for the final result and that the prompts on discrete space could be optimized as well.

4.4 Case Study and Error Analysis

Case study of prompt optimization. We analyse the differences of the prompts for query expansion and document reranking after optimization. From Figure 3 we can see that compared to the initial prompts, optimized prompts can include more details and insights for describing the instruction. As for the expansion prompt, a more detailed role-play description and an alternative instruction to solve the task were added. As for the reranking prompt, some of the ambiguous content in the prompt got refined after optimization. As a consequence, our proposed prompt optimization method is able to achieve more detailed, instructive and explicit prompts.

Case study of evidence and answer. In addition to prompt op-746 timization, we also focus on the specific performance of evidence 747 748 quality and answer generation during the inference process. We choose GenRead as a strong baseline for comparison. As shown in 749 Table 3, the top-10 evidence documents of LLMQA all contains an-750 swers, which are highly relevant to the given question resulting in 751 752 accurate answer prediction. However, the virtual documents gener-753 ated by GenRead introduces an inaccurate year 1951 and misses the

golden answer. This indicates that reranking of retrieved documents can help improve the evidence quality and answer accuracy.

791

792

793

794

795

796

797

798

799

800

801

802

803

804

805

806

807

808

809

810

811

812

Error Analysis. Although we achieve advanced results on evidence quality and answer accuracy, some questions remain challenging. These questions may contain contradictions with facts and world knowledge, and it may have led to incorrect predictions or reasoning results. For instance, Table 4 displays a typical failure case that both LLMQA and GenRead struggle to capture precise evidence, leading to low evidence quality and incorrect answer predictions. Despite these ongoing challenges, our LLMQA is still the best choice in terms of overall performance on the ODQA task.

4.5 Experimental Analysis

Analysis of Evidence Quality. We estimate the evidence quality using answer recall on the top@K selected documents. We compare our proposed LLMQA with GenRead [45] on three datasets. Table 5 shows that our LLMQA achieved highest recall on all of top@K settings over three datasets. The results show that relying solely on LLM-generated documents is insufficient. While hybrid

754

722

723

724 725

726

727

728

729

730

731

732

733

734

735

736

737

738

739

740

741

742

743

744

745

Table 5: Answer recall for evidence quality.

Dataset	Method	Top@2	Top@4	Top@
	GenRead-sampling	55.12	62.58	69.64
NQ	GenRead-clustering	55.12	62.58	69.64
	LLMQA	61.99	78.59	82.94
	GenRead-sampling	73.55	77.99	81.55
TriviaQA	GenRead-clustering	76.09	79.65	82.96
	LLMQA	80.67	86.21	87.22
	GenRead-sampling	58.02	64.67	69.59
WebQ	GenRead-clustering	61.17	67.47	72.00
	LLMQA	67.57	77.81	80.07
100 NQ TriviaQs 80		90 NQ Trivia 80 WebC		
60		W Score		

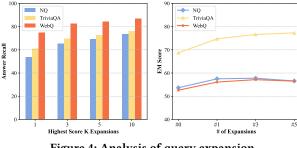


Figure 4: Analysis of query expansion.

utilization of both generated expansion and retrieved documents can gain tremendous answer recall increase, contributing to the final performance improvement.

Quality of Query Expansions. We first evaluate the quality of query expansions generated by LLMs. In the query expansion procedure, we generate 10 candidates and instruct LLMs to estimate the candidates according to the specified rules. The left in Figure 4 shows the recall for the highest score K expansions. Most of the generated query expansions have already contained the answer, because the large amount of knowledge that may cover the answer has been stored in the parameters of LLMs during pre-training.

We also analyse the number of expansions in our proposed approach. The right in Figure 4 shows the EM score for different numbers of expansions, the approach without expansion encounters massive performance drop and the approach with expansions can benefit from the increment of the expansions number. The result indicates that when the retrieved documents are of poor quality, the query expansions generated by the LLMs can be used as auxiliary documents to assist in selecting the relevant documents and answering the question.

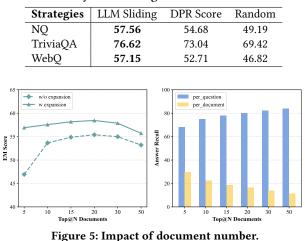
As we treat the expansions as auxiliary documents, the location to insert the expansions may also have an impact. Constrained by the context length, expansions inserted to the beginning of the documents gain the best EM score, indicating that reader model may be much more sensitive to the beginning of the context.

Strategies in Documents Reranking. In order to demonstrate the reranking capability of LLMs, we implemented different strategies in reranking stage for document selection. As Table 6 shows, LLMbased sliding window reranking achieved the best result. Compared to using DPR score directly, sliding window reranking can have more comprehensive understanding of the retrieved documents and obtain the coarse-grain relevance between the question, expansion



Anon

Table 6: Analysis of strategies in document selection.



and documents, however the high-level embedding similarity used in DPR may include much noisy information.

Impact of Document Number. Intuitively, the question is more likely to be answered correctly when the number of retrieved documents is larger. We conducted an experiment on the number of documents input to the reader model, and Figure 5 shows that within a certain range, the EM score rises along with the number of documents, while when the number exceeds 30, the accuracy drops to some extend. This is because simply increasing the number of documents may lead to a decrease in the percentage of valid information as shown in Figure 5, making it difficult for the reader model to mine the correct answer from a large number of documents.

Complexity Analysis. Regarding the number of model parameters to be learned, we compare them from two aspects: evidence collection and answer generation. Some previous methods require specifying specialized models to collect evidence (e.g., document retrieval and extension generation), which introduces the training cost for specialized models in evidence collection. Our framework is instead based on guiding LLMs to play different roles, with evidence collection only involving inference process. Since the inherent capabilities of the reader (answer generator) have an important impact on the performance of the ODQA task, state-of-the-art ODQA performance comes from fine-tuning the reader. Following [45], we employ T5-xl (3B) as the backbone of answer generator, whose training cost is comparable to the baseline.

CONCLUSION

In this paper, we propose LLMQA that formulate the ODQA generation process as three fundamental steps: query expansion, document selection, and answer generation, which combines the superiority of both retrieval-based and generation-based evidence. Since LLMs have showcased remarkable performance on generation, ranking and evaluation, we use a generalized framework to integrate multi role-play LLMs: generator, reranker and evaluator, which collaboratively contribute to each key step in the ODQA generation process. Furthermore, we design a novel prompt optimization algorithm, to address the limitation of prompt sensitivity, guiding LLMs in producing higher-quality evidence and more accurate answers.

Harnessing Multi-role Capabilities of Large Language Models for Open-domain Question Answering

Conference acronym 'XX, June 03-05, 2018, Woodstock, NY

987

988

989

990

991

992

993

994

995

996

997

998

999

1000

1001

1002

1003

1004

1005

1006

1007

1008

1009

1010

1011

1012

1013

1014

1015

1016

1017

1018

1019

1020

1021

1022

1023

1024

1025

1026

1027

1028

1029

1030

1031

1032

1033

1034

1035

1036

1037

1038

1039

1040

1041

1042

1043 1044

929 **REFERENCES**

930

931

932

933

934

935

936

937

938

939

940

941

942

943

944

945

946

947

948

949

950

951

952

953

954

955

956

957

958

959

960

961

962

963

964

965

966

967

968

969

970

971

972

973

974

975

976

977

978

979

980

981

982

983

984

985

- [1] Stephen H Bach, Victor Sanh, Zheng-Xin Yong, Albert Webson, Colin Raffel, Nihal V Nayak, Abheesht Sharma, Taewoon Kim, M Saiful Bari, Thibault Fevry, et al. 2022. Promptsource: An integrated development environment and repository for natural language prompts. arXiv preprint arXiv:2202.01279 (2022).
- [2] Bernd Bohnet, Vinh Q Tran, Pat Verga, Roee Aharoni, Daniel Andor, Livio Baldini Soares, Jacob Eisenstein, Kuzman Ganchev, Jonathan Herzig, Kai Hui, et al. 2022. Attributed question answering: Evaluation and modeling for attributed large language models. arXiv preprint arXiv:2212.08037 (2022).
- [3] Tom Brown, Benjamin Mann, Nick Ryder, Melanie Subbiah, Jared D Kaplan, Prafulla Dhariwal, Arvind Neelakantan, Pranav Shyam, Girish Sastry, Amanda Askell, et al. 2020. Language models are few-shot learners. Advances in neural information processing systems 33 (2020), 1877–1901.
 - [4] Sébastien Bubeck, Varun Chandrasekaran, Ronen Eldan, Johannes Gehrke, Eric Horvitz, Ece Kamar, Peter Lee, Yin Tat Lee, Yuanzhi Li, Scott Lundberg, et al. 2023. Sparks of artificial general intelligence: Early experiments with gpt-4. arXiv preprint arXiv:2303.12712 (2023).
 - [5] Danqi Chen, Adam Fisch, Jason Weston, and Antoine Bordes. 2017. Reading Wikipedia to answer open-domain questions. In 55th Annual Meeting of the Association for Computational Linguistics, ACL 2017. Association for Computational Linguistics (ACL), 1870–1879.
- [6] Hao Cheng, Yelong Shen, Xiaodong Liu, Pengcheng He, Weizhu Chen, and Jianfeng Gao. 2021. UnitedQA: A Hybrid Approach for Open Domain Question Answering. In Proceedings of the 59th Annual Meeting of the Association for Computational Linguistics and the 11th International Joint Conference on Natural Language Processing (Volume 1: Long Papers). Association for Computational Linguistics, Online, 3080–3090. https://doi.org/10.18653/v1/2021.acl-long.240
- [7] Wei-Lin Chiang, Zhuohan Li, Zi Lin, Ying Sheng, Zhanghao Wu, Hao Zhang, Lianmin Zheng, Siyuan Zhuang, Yonghao Zhuang, Joseph E Gonzalez, et al. 2023. Vicuna: An open-source chatbot impressing gpt-4 with 90%* chatgpt quality. See https://vicuna. lmsys. org (accessed 14 April 2023) (2023).
- [8] Aakanksha Chowdhery, Sharan Narang, Jacob Devlin, Maarten Bosma, Gaurav Mishra, Adam Roberts, Paul Barham, Hyung Won Chung, Charles Sutton, Sebastian Gehrmann, et al. 2022. Palm: Scaling language modeling with pathways. arXiv preprint arXiv:2204.02311 (2022).
- [9] Yung-Sung Chuang, Wei Fang, Shang-Wen Li, Wen-tau Yih, and James Glass. 2023. Expand, Rerank, and Retrieve: Query Reranking for Open-Domain Question Answering. arXiv preprint arXiv:2305.17080 (2023).
- [10] Joe Davison, Joshua Feldman, and Alexander M Rush. 2019. Commonsense knowledge mining from pretrained models. In Proceedings of the 2019 conference on empirical methods in natural language processing and the 9th international joint conference on natural language processing (EMNLP-IJCNLP). 1173–1178.
- [11] Tianyu Gao, Adam Fisch, and Danqi Chen. 2020. Making pre-trained language models better few-shot learners. arXiv preprint arXiv:2012.15723 (2020).
- [12] Kelvin Guu, Kenton Lee, Zora Tung, Panupong Pasupat, and Mingwei Chang. 2020. Retrieval augmented language model pre-training. In *International confer*ence on machine learning. PMLR, 3929–3938.
- [13] Gautier Izacard and Edouard Grave. 2021. Leveraging Passage Retrieval with Generative Models for Open Domain Question Answering. In Proceedings of the 16th Conference of the European Chapter of the Association for Computational Linguistics: Main Volume. Association for Computational Linguistics, Online, 874–880. https://doi.org/10.18653/v1/2021.eacl-main.74
- [14] Ehsan Kamalloo, Nouha Dziri, Charles LA Clarke, and Davood Rafiei. 2023. Evaluating Open-Domain Question Answering in the Era of Large Language Models. arXiv preprint arXiv:2305.06984 (2023).
- [15] Vladimir Karpukhin, Barlas Oğuz, Sewon Min, Patrick Lewis, Ledell Wu, Sergey Edunov, Danqi Chen, and Wen-tau Yih. 2020. Dense passage retrieval for opendomain question answering. arXiv preprint arXiv:2004.04906 (2020).
- [16] Omar Khattab, Christopher Potts, and Matei Zaharia. 2021. Relevance-guided supervision for openqa with colbert. Transactions of the association for computational linguistics 9 (2021), 929–944.
- [17] Kenton Lee, Ming-Wei Chang, and Kristina Toutanova. 2019. Latent retrieval for weakly supervised open domain question answering. arXiv preprint arXiv:1906.00300 (2019).
- [18] Patrick Lewis, Ethan Perez, Aleksandra Piktus, Fabio Petroni, Vladimir Karpukhin, Naman Goyal, Heinrich Küttler, Mike Lewis, Wen-tau Yih, Tim Rocktäschel, et al. 2020. Retrieval-augmented generation for knowledge-intensive nlp tasks. Advances in Neural Information Processing Systems 33 (2020), 9459–9474.
- [19] Pengfei Liu, Weizhe Yuan, Jinlan Fu, Zhengbao Jiang, Hiroaki Hayashi, and Graham Neubig. 2023. Pre-train, prompt, and predict: A systematic survey of prompting methods in natural language processing. *Comput. Surveys* 55, 9 (2023), 1–35.
- [20] Xiao Liu, Kaixuan Ji, Yicheng Fu, Weng Lam Tam, Zhengxiao Du, Zhilin Yang, and Jie Tang. 2021. P-tuning v2: Prompt tuning can be comparable to fine-tuning universally across scales and tasks. arXiv preprint arXiv:2110.07602 (2021).
- [21] Xiao Liu, Yanan Zheng, Zhengxiao Du, Ming Ding, Yujie Qian, Zhilin Yang, and Jie Tang. 2021. GPT understands, too. arXiv preprint arXiv:2103.10385 (2021).

- [22] Xueguang Ma, Xinyu Zhang, Ronak Pradeep, and Jimmy Lin. 2023. Zero-Shot Listwise Document Reranking with a Large Language Model. arXiv preprint arXiv:2305.02156 (2023).
- [23] Yubo Ma, Yixin Cao, YongChing Hong, and Aixin Sun. 2023. Large language model is not a good few-shot information extractor, but a good reranker for hard samples! arXiv preprint arXiv:2303.08559 (2023).
- [24] Aman Madaan, Niket Tandon, Prakhar Gupta, Skyler Hallinan, Luyu Gao, Sarah Wiegreffe, Uri Alon, Nouha Dziri, Shrimai Prabhumoye, Yiming Yang, et al. 2023. Self-refine: Iterative refinement with self-feedback. arXiv preprint arXiv:2303.17651 (2023).
- [25] Yuning Mao, Pengcheng He, Xiaodong Liu, Yelong Shen, Jianfeng Gao, Jiawei Han, and Weizhu Chen. 2020. Generation-augmented retrieval for open-domain question answering. arXiv preprint arXiv:2009.08553 (2020).
- [26] Šewon Min, Jordan Boyd-Graber, Chris Alberti, Danqi Chen, Eunsol Choi, Michael Collins, Kelvin Guu, Hannaneh Hajishirzi, Kenton Lee, Jennimaria Palomaki, et al. 2021. Neurips 2020 efficientqa competition: Systems, analyses and lessons learned. In NeurIPS 2020 Competition and Demonstration Track. PMLR, 86–111.
- [27] Long Ouyang, Jeffrey Wu, Xu Jiang, Diogo Almeida, Carroll Wainwright, Pamela Mishkin, Chong Zhang, Sandhini Agarwal, Katarina Slama, Alex Ray, et al. 2022. Training language models to follow instructions with human feedback. Advances in Neural Information Processing Systems 35 (2022), 27730–27744.
- [28] Fabio Petroni, Aleksandra Piktus, Angela Fan, Patrick Lewis, Majid Yazdani, Nicola De Cao, James Thorne, Yacine Jernite, Vladimir Karpukhin, Jean Maillard, et al. 2020. KILT: a benchmark for knowledge intensive language tasks. arXiv preprint arXiv:2009.02252 (2020).
- [29] Fabio Petroni, Tim Rocktäschel, Patrick Lewis, Anton Bakhtin, Yuxiang Wu, Alexander H Miller, and Sebastian Riedel. 2019. Language models as knowledge bases? arXiv preprint arXiv:1909.01066 (2019).
- [30] Chengwei Qin, Aston Zhang, Zhuosheng Zhang, Jiaao Chen, Michihiro Yasunaga, and Diyi Yang. 2023. Is ChatGPT a general-purpose natural language processing task solver? arXiv preprint arXiv:2302.06476 (2023).
- [31] Yingqi Qu, Yuchen Ding, Jing Liu, Kai Liu, Ruiyang Ren, Wayne Xin Zhao, Daxiang Dong, Hua Wu, and Haifeng Wang. 2020. RocketQA: An optimized training approach to dense passage retrieval for open-domain question answering. arXiv preprint arXiv:2010.08191 (2020).
- [32] Alec Radford, Jeffrey Wu, Rewon Child, David Luan, Dario Amodei, Ilya Sutskever, et al. 2019. Language models are unsupervised multitask learners. *OpenAI blog* 1, 8 (2019), 9.
- [33] Colin Raffel, Noam Shazeer, Adam Roberts, Katherine Lee, Sharan Narang, Michael Matena, Yanqi Zhou, Wei Li, and Peter J Liu. 2020. Exploring the limits of transfer learning with a unified text-to-text transformer. *The Journal of Machine Learning Research* 21, 1 (2020), 5485–5551.
- [34] Laria Reynolds and Kyle McDonell. 2021. Prompt programming for large language models: Beyond the few-shot paradigm. In Extended Abstracts of the 2021 CHI Conference on Human Factors in Computing Systems. 1–7.
- [35] Adam Roberts, Colin Raffel, and Noam Shazeer. 2020. How Much Knowledge Can You Pack Into the Parameters of a Language Model?. In Proceedings of the 2020 Conference on Empirical Methods in Natural Language Processing (EMNLP). Association for Computational Linguistics, Online, 5418–5426. https://doi.org/ 10.18653/v1/2020.emnlp-main.437
- [36] Stephen Robertson, Hugo Zaragoza, et al. 2009. The probabilistic relevance framework: BM25 and beyond. Foundations and Trends[®] in Information Retrieval 3, 4 (2009), 333–389.
- [37] Devendra Singh Sachan, Mike Lewis, Dani Yogatama, Luke Zettlemoyer, Joelle Pineau, and Manzil Zaheer. 2023. Questions are all you need to train a dense passage retriever. *Transactions of the Association for Computational Linguistics* 11 (2023), 600–616.
- [38] Taylor Shin, Yasaman Razeghi, Robert L Logan IV, Eric Wallace, and Sameer Singh. 2020. AutoPrompt: Eliciting Knowledge from Language Models with Automatically Generated Prompts. In Proceedings of the 2020 Conference on Empirical Methods in Natural Language Processing (EMNLP). 4222–4235.
- [39] Noah Shinn, Federico Cassano, Beck Labash, Ashwin Gopinath, Karthik Narasimhan, and Shunyu Yao. 2023. Reflexion: Language Agents with Verbal Reinforcement Learning. arXiv preprint arXiv:2303.11366 (2023).
- [40] Alessandro Sordoni, Xingdi Yuan, Marc-Alexandre Côté, Matheus Pereira, Adam Trischler, Ziang Xiao, Arian Hosseini, Friederike Niedtner, and Nicolas Le Roux. 2023. Deep Language Networks: Joint Prompt Training of Stacked LLMs using Variational Inference. arXiv preprint arXiv:2306.12509 (2023).
- [41] Hao Sun, Xiao Liu, Yeyun Gong, Yan Zhang, and Nan Duan. 2023. Beam-SearchQA: Large Language Models are Strong Zero-Shot QA Solver. arXiv preprint arXiv:2305.14766 (2023).
- [42] Cunxiang Wang, Sirui Cheng, Zhikun Xu, Bowen Ding, Yidong Wang, and Yue Zhang. 2023. Evaluating open question answering evaluation. arXiv preprint arXiv:2305.12421 (2023).
- [43] Cunxiang Wang, Haofei Yu, and Yue Zhang. 2023. RFiD: Towards Rational Fusion-in-Decoder for Open-Domain Question Answering. arXiv preprint arXiv:2305.17041 (2023).

Anon.

- [44] Yixuan Weng, Minjun Zhu, Shizhu He, Kang Liu, and Jun Zhao. 2022. Large language models are reasoners with self-verification. *arXiv preprint arXiv:2212.09561* (2022).
- [45] Wenhao Yu, Dan Iter, Shuohang Wang, Yichong Xu, Mingxuan Ju, Soumya Sanyal, Chenguang Zhu, Michael Zeng, and Meng Jiang. 2022. Generate rather than retrieve: Large language models are strong context generators. *arXiv preprint arXiv:2209.10063* (2022).
- [46] Weizhe Yuan, Graham Neubig, and Pengfei Liu. 2021. Bartscore: Evaluating generated text as text generation. Advances in Neural Information Processing Systems 34 (2021), 27263–27277.
- [47] Xiang Yue, Boshi Wang, Kai Zhang, Ziru Chen, Yu Su, and Huan Sun. 2023.
 Automatic evaluation of attribution by large language models. arXiv preprint

arXiv:2305.06311 (2023).

- [48] Ce Zhou, Qian Li, Chen Li, Jun Yu, Yixin Liu, Guangjing Wang, Kai Zhang, Cheng Ji, Qiben Yan, Lifang He, et al. 2023. A comprehensive survey on pretrained foundation models: A history from bert to chatgpt. arXiv preprint arXiv:2302.09419 (2023).
- [49] Yongchao Zhou, Andrei Ioan Muresanu, Ziwen Han, Keiran Paster, Silviu Pitis, Harris Chan, and Jimmy Ba. 2022. Large language models are human-level prompt engineers. arXiv preprint arXiv:2211.01910 (2022).
- [50] Fengbin Zhu, Wenqiang Lei, Chao Wang, Jianming Zheng, Soujanya Poria, and Tat-Seng Chua. 2021. Retrieving and reading: A comprehensive survey on opendomain question answering. arXiv preprint arXiv:2101.00774 (2021).