

RECURRENT AUTO-ENCODER MODEL FOR MULTIDIMENSIONAL TIME SERIES REPRESENTATION

Anonymous authors

Paper under double-blind review

ABSTRACT

Recurrent auto-encoder model can summarise sequential data through an encoder structure into a fixed-length vector and then reconstruct into its original sequential form through the decoder structure. The summarised information can be used to represent time series features. In this paper, we propose relaxing the dimensionality of the decoder output so that it performs partial reconstruction. The fixed-length vector can therefore represent features only in the selected dimensions. In addition, we propose using rolling fixed window approach to generate samples. The change of time series features over time can be summarised as a smooth trajectory path. The fixed-length vectors are further analysed through additional visualisation and unsupervised clustering techniques.

This proposed method can be applied in large-scale industrial processes for sensors signal analysis purpose where clusters of the vector representations can be used to reflect the operating states of selected aspects of the industrial system.

1 BACKGROUND

Modern industrial processes are often monitored by a large array of sensors. Machine learning techniques can be used to analyse unbounded streams of sensor signal in an on-line scenario.

This paper illustrates the idea using proprietary data collected from a two-stage centrifugal compression train driven by an aeroderivative industrial turbine (RB-211) on a single shaft. It is an isolated large-scale module which belongs to a major natural gas terminal¹. The purpose of this modular process is to regulate the pressure of natural gas at an elevated, pre-set level.

At the compression system, numerous sensors are attached to various parts of the system to monitor the production process. Vital real-valued measurements like temperature, pressure, rotary speed, vibration... etc., are recorded at different locations².

The system can be treated as a multidimensional entity changing through time. Each stream of sensor measurement is basically a set of real values received in a time-ordered fashion. When this concept is extended to a process with P sensors, the dataset can therefore be expressed as a time-ordered multidimensional vector $\{\mathbb{R}_t^P : t \in [1, T]\}$.

The dataset used in this study is unbounded (i.e. continuous streaming) and unlabelled, where the events of interest (e.g. overheating, mechanical failure, blocked oil filters... etc) are not present. The key goal of this study is to find the representation of multiple sensor data in order to identify patterns and anomalies to assist maintenance and diagnostics. We propose a recurrent auto-encoder model which can be used to provide effective vector representation for multidimensional time series data. Further visualisation and clustering techniques can be applied to assist the identification of patterns.

1.1 RELATED WORKS

A comprehensive review conducted by (Bagnall et al., 2017) analysed traditional time series clustering algorithms for unidimensional data. They have concluded that Dynamic Time Warping (DTW) can be an effective benchmark for unidimensional time series data representation. On the other

¹A simplified process diagram of the compression train can be found in Figure 7 at the appendix.

²A list of all sensors is available in the appendix.

hand, there has been many researches done to generalise DTW to multidimensional level (Vlachos et al., 2006; Gillian et al., 2011; ten Holt et al., 2007; Ko et al., 2005; Petitjean et al., 2012; Liu et al., 2009; Wang et al., 2013; Shokoohi-Yekta et al., 2017; Giorgino, 2009). Most of the studies focused on analysing Internet of Things (IoT), wearable sensors and gesture recognition, where the dimensionality of the examined dataset remains relatively low comparing with large-scale industrial applications such as the one we feature in this paper.

In the neural network area, Srivastava et al. (2015) proposed a recurrent auto-encoder model based on LSTM neurons which aims at learning representation of video data. It achieves this by reconstructing sequence of video frames. Their model was able to derive meaningful representations for video clips and the reconstructed outputs demonstrate similarity based on qualitative examination. Another recent paper by D'Avino et al. (2017) also used LSTM-based recurrent auto-encoder model for video data. Sequence of video frames feed into their model so that it learns the intrinsic representation of the video source. Areas of high reconstruction error indicate deviation from the underlying video source and hence can be used as video forgery detection mechanism.

Similarly, audio clips can be treated as sequential data. One analysis by Chung et al. (2016) seeks to represent variable-length audio data as fixed-length vector using recurrent auto-encoder model. They found that audio segments which sound alike would have vector representations nearby in space.

There are also few other related works in the realm of time series data. For instance, a recent paper by Malhotra et al. (2017) proposed a recurrent auto-encoder model which aims at providing fixed-length representation for bounded univariate time series data. Their model was trained on a plurality of labelled datasets in order to become a generic feature extractor. Dimensionality reduction of the context vectors via t-SNE shows that the ground truth classification can be observed in their model's extracted features. Another study by Hsu (2017) presented a time series compression algorithm using a pair of RNN encoder-decoder structure and an additional auto-encoder to achieve higher compression ratio. Meanwhile, a separate research by Lee (2017) used an auto-encoder model with database metrics (e.g. CPU usage, number of active sessions... etc) to identify periods of anomaly by setting threshold on the reconstruction error.

2 METHODS

A pair of RNN encoder-decoder structure can provide end-to-end mapping between an ordered multidimensional input sequence and its matching output sequence (Sutskever et al., 2014; Cho et al., 2014). Recurrent auto-encoder can be depicted as a special case of the aforementioned model, where input and output sequences are aligned with each other. It can be extended to the area of signal analysis in order to leverage recurrent neurons power to understand complex and time-dependent relationship.

2.1 ENCODER-DECODER STRUCTURE

At high level, the RNN encoder reads an input sequence and summarises all information into a fixed-length vector. The decoder then reads the vector and reconstructs the original sequence. Figure 1 below illustrates the model.

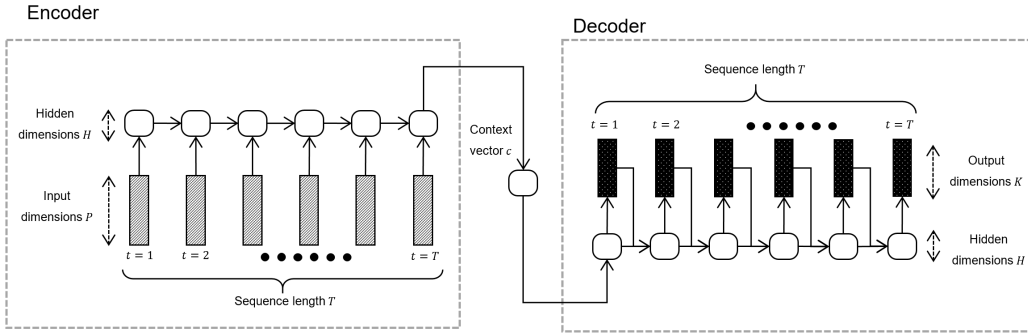


Figure 1: Recurrent auto-encoder model. Both the encoder and decoder are made up of multilayered RNN. Arrows indicate the direction of information flow.

2.1.1 ENCODING

The role of the recurrent encoder is to project the multidimensional input sequence into a fixed-length hidden context vector c . It reads the input vectors $\{\mathbb{R}_t^P : t \in [1, T]\}$ sequentially from $t = 1, 2, 3, \dots, T$. The hidden state of the RNN has H dimensions which updates at every time step based on the current input and hidden state inherited from previous step.

Recurrent neurons arranged in multiple layers are capable of learning complex temporal behaviours. In this proposed model, LSTM neuron with hyperbolic tangent activation is used at all recurrent layers (Hochreiter & Schmidhuber, 1997). An additional improvement of using gated recurrent unit (GRU) neurons (Cho et al., 2014) can also be used but was not experimented within the scope of this study. Once the encoder reads all the input information, the sequence is summarised in a fixed-length vector c which has H hidden dimensions.

For regularisation purpose, dropout can be applied to avoid overfitting. It refers to randomly removing a fraction of neurons during training, which aims at making the network more generalisable (Srivastava et al., 2014). In an RNN setting, Zaremba et al. (2014) suggested that dropout should only be applied non-recurrent connections. This helps the recurrent neurons to retain memory through time while still allowing the non-recurrent connections to benefit from regularisation.

2.1.2 DECODING

The decoder is a recurrent network which reconstructs the context vector c back into the original sequence. To exemplify this, the decoder starts by reading the context vector c at $t = 1$. It then decodes the information through the RNN structure and outputs a sequence of vectors $\{\mathbb{R}_t^K : t \in [1, T]\}$ where K denotes the dimensionality of the output sequence.

Recalling one of the fundamental characteristics of an auto-encoder is the ability to reconstruct the input data back into itself via a pair of encoder-decoder structure. This criterion can be slightly relaxed such that $K \leq P$, which means the output sequence is only a partial reconstruction of the input sequence.

Recurrent auto-encoder with partial reconstruction:

$$\begin{cases} f_{encoder} : \{\mathbb{R}_t^P : t \in [1, T]\} \rightarrow c \\ f_{decoder} : c \rightarrow \{\mathbb{R}_t^K : t \in [1, T]\} \end{cases} \quad K \leq P \quad (1)$$

In the large-scale industrial system use case, all streams of sensor measurements are included in the input dimensions while only a subset of sensors is included in the output dimensions. This means the entire system is visible to the encoder, but the decoder only needs to perform partial reconstruction of it. End-to-end training of the relaxed auto-encoder implies that the context vector would summarise the input sequence while still being conditioned on the output sequence. Given that activation of the context vector is conditional on the decoder output, this approach allows the encoder to capture lead variables across the entire process as long as they are relevant to the selected output dimensions.

It is important that we recognise that reconstructing part of the data is clearly an easier task to perform than fully-reconstructing the entire original sequence. However, partial reconstruction has practical significance for the industrial application aspect. In real-life scenarios, multiple context vectors can be generated from different recurrent auto-encoder models using identical sensors in the encoder input but different subset of sensors in the decoder output. The selected subsets of sensors can reflect the underlying states of different parts of the system. As a result, these context vectors produced from the same time segment can be used as different diagnostic measurements in industrial context. We will illustrate this in the results section by highlighting two examples.

2.2 SAMPLING

For a training dataset of T' time steps, samples can be generated where $T < T'$. We can begin at $t = 1$ and draw a sample of length T . This process continues recursively by shifting one time step until it reaches the end of training dataset. For a subset sequence of length T , this method allows $T' - T$ samples to be generated. Besides, it can also generate samples from an unbounded time series in an on-line scenario, which are essential for time-critical applications like sensor data analysis.

Algorithm 1: Drawing samples consecutively from the original dataset

Input: Dataset length T'
Input: Sample length T

```

1  $i \leftarrow 0$  ;
2 while  $i \leq i + T$  do
3   | Generate sample sequence  $(i, i + T]$  from the dataset;
4   |  $i \leftarrow i + 1$ ;
5 end
```

Given that sample sequences are recursively generated by shifting the window by one time step, successively-generated sequences are highly correlated with each other. As we have discussed previously, the RNN encoder structure compresses sequential data into a fixed-length vector representation. This means that when consecutively-drawn sequences are fed through the encoder structure, the resulting activation at c would also be highly correlated. As a result, consecutive context vectors can join up to form a smooth trajectory in space.

Context vectors in the same neighbourhood have similar activation therefore they must have similar underlying states. Contrarily, context vectors located in distant neighbourhoods would have different underlying states. These context vectors can be visualised in lower dimensions via dimensionality reduction techniques such as principal component analysis (PCA).

Furthermore, additional unsupervised clustering algorithms can be applied to the context vectors. Each context vector can be assigned to a cluster C_j where J is the total number of clusters. Once all the context vectors are labelled with their corresponding clusters, supervised classification algorithms can be used to learn the relationship between them using the training set. For instance, support vector machine (SVM) classifier with J classes can be used. The trained classifier can then be applied to the context vectors in the held-out validation set for cluster assignment. It can also be applied to context vectors generated from unbounded time series in an on-line setting. Change in cluster assignment among successive context vectors indicate change in the underlying state.

3 RESULTS

Training samples were drawn from the dataset using windowing approach with fixed sequence length. In our example, the large-scale industrial system has 158 sensors which means the recurrent auto-encoder's input dimension has $P = 158$. Observations are taken at 5 minutes granularity and the total duration of each sequence was set at 3 hours. This means that the model's sequence has fixed length $T = 36$, while samples were drawn from the dataset with total length $T' = 2724$. The dataset was scaled into z -scores, thus ensuring zero-centred data which facilitates gradient-based training.

The recurrent auto-encoder model has three layers in the RNN encoder structure and another three layers in the corresponding RNN decoder. There are 400 neurons in each layer. The auto-encoder

model structure can be summarised as: RNN encoder(400 neurons/3 layers LSTM/hyperbolic tangent) - Context layer (400 neurons/Dense/linear activation) - RNN decoder(400 neurons/3 layers LSTM/hyperbolic tangent). Adam optimiser (Kingma & Ba, 2014) with 0.4 dropout rate was used for model training.

3.1 OUTPUT DIMENSIONITY

As we discussed earlier, the RNN decoder’s output dimension can be relaxed for partial reconstruction. The output dimensionality was set at $K = 6$ which is comprised of a selected set of sensors relating to key pressure measurements (e.g. suction and discharge pressures of the compressor device).

We have experimented three scenarios where the first two have complete dimensionality $P = 158; K = 158$ and $P = 6; K = 6$ while the remaining scenario has relaxed dimensionality $P = 158; K = 6$. The training and validation MSEs of these models are visualised in figure 2 below.

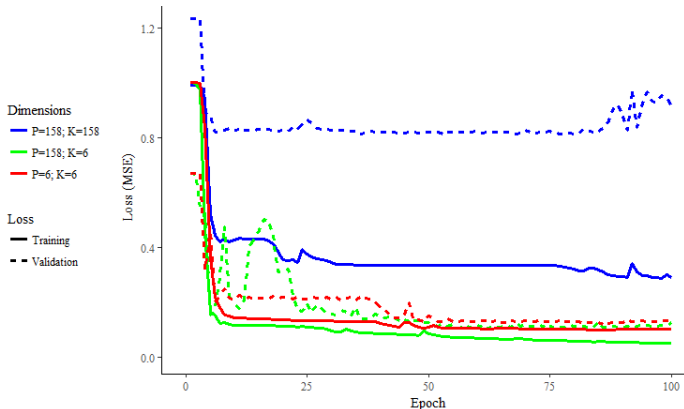


Figure 2: Effects of relaxing dimensionality of the output sequence on the training and validation MSE losses. They contain same number of layers in the RNN encoder and decoder respectively. All hidden layers contain same number of LSTM neurons with hyperbolic tangent activation.

The first model with complete dimensionality ($P = 158; K = 158$) has visibility of all dimensions in both the encoder and decoder structures. Yet, both the training and validation MSEs are high as the model struggles to compress-decompress the high dimensional time series data.

For the complete dimensionality model with $P = 6; K = 6$, the model has limited visibility to the system as only the selected dimensions were included. Despite the context layer summarises information specific to the selected dimensionality in this case, lead variables in the original dimensions have been excluded. This prevents the model from learning any dependent behaviours among all available information.

On the other hand, the model with partial reconstruction ($P = 158; K = 6$) demonstrate substantially lower training and validation MSEs. As all information is available to the model via the RNN encoder, it captures all relevant information such as lead variables across the entire system.

Randomly selected samples in the held-out validation set were fed to this model and the predictions can be qualitatively examined in details. In figure 3 below, all the selected specimens demonstrate similarity between the original label and the reconstructed output. The recurrent auto-encoder model captures the shift mean level as well as temporal variations of all the output dimensions.

3.2 CONTEXT VECTOR

Once the recurrent auto-encoder model is successfully trained, samples can be fed to the model and the corresponding context vectors can be extracted for detailed inspection. As we discussed earlier, successive context vectors have similar activation as they are only shifted by one time step. By calculating the correlation matrix of all context vectors and visualising them on a heatmap as in

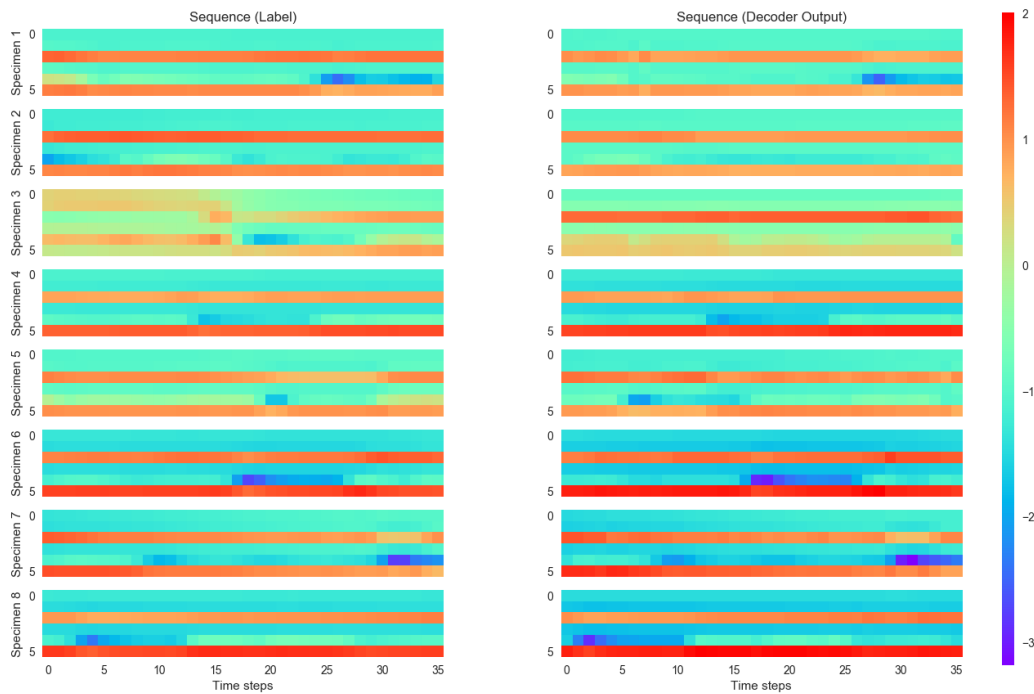


Figure 3: A heatmap showing eight randomly selected output sequences in the held-out validation set. Colour represents magnitude of sensor measurements in normalised scale.

figure 4, it is found that the narrow band around the diagonal has consistently higher correlation. This indicates that successive context vectors are highly correlated.

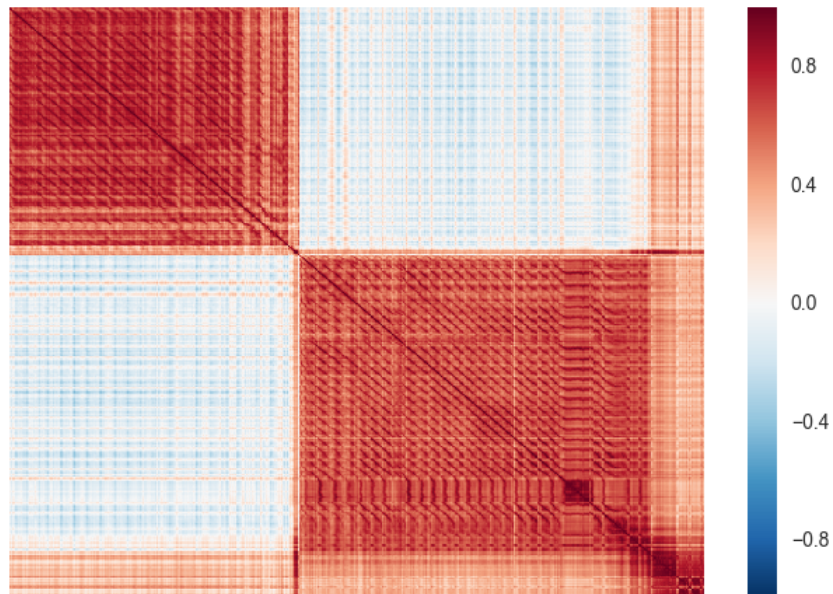


Figure 4: A correlation matrix showing the pairwise correlation of all context vectors. Notice the narrow band around the diagonal always has stronger correlation.

In the model we selected, the context vector c is a multi-dimensional real vector \mathbb{R}^{400} . Since the model has input dimensions $P = 158$ and sequence length $T = 36$, the model has achieved com-

pression ratio $\frac{158 \times 36}{400} = 14.22$. Dimensionality reduction of the context vectors through principal component analysis (PCA) shows that context vectors can be efficiently embedded in lower dimensions (e.g. two-dimensional space).

At low-dimensional space, we can use supervised classification algorithms to learn the relationship between vectors representations and cluster assignment. The trained classification model can then be applied to the validation set to assign clusters for unseen data. In our experiment, a SVM classifier with radial basis function (RBF) kernel ($\gamma = 4$) was used. The results are shown in figure 5 below.

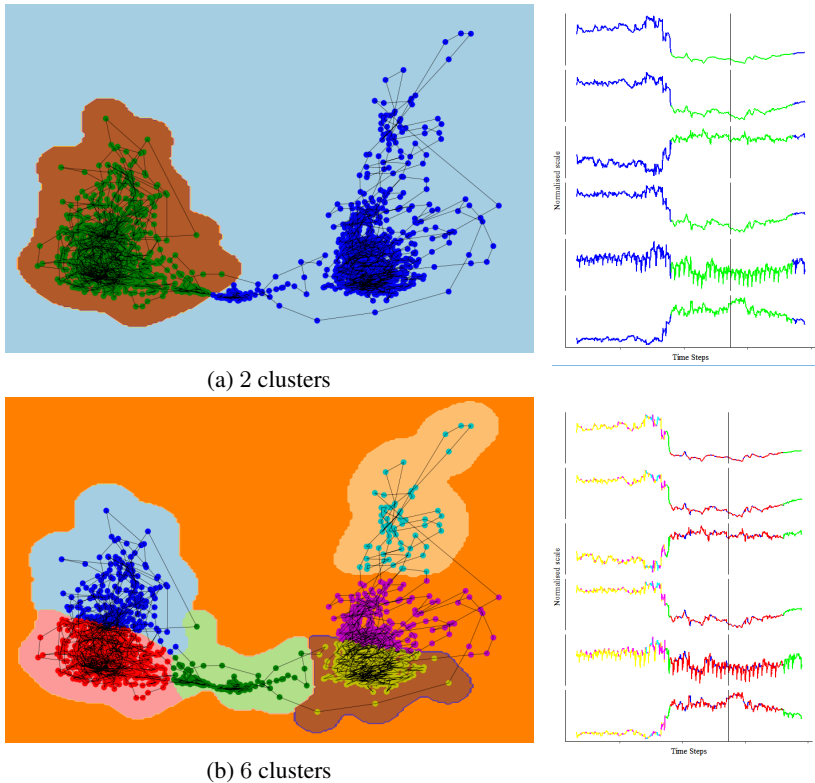


Figure 5: The first example. On the left, the context vectors were projected into two-dimensional space using PCA. The black solid line on the left joins all consecutive context vectors together as a trajectory. Different number of clusters were identified using simple K -means algorithm. Cluster assignment and the SVM decision boundaries are coloured in the charts. On the right, output dimensions are visualised on a shared time axis. The black solid line demarcates the training set (70%) and validation sets (30%). The line segments are colour-coded to match the corresponding clusters.

In the two-dimensional space, the context vectors separate into two clearly identifiable neighbourhoods. These two distinct neighbourhoods correspond to the shift in mean values across all output dimensions. When K -means clustering algorithm is applied, it captures these two neighbourhoods as two clusters in the first scenario.

When the number of clusters increases, they begin to capture more subtleties. In the six clusters scenario, successive context vectors oscillate back and forth between close clusters which correspond to interlacing troughs and crests in the output dimensions. Similar pattern can also be observed in the validation set, which indicates that the knowledge learned by the auto-encoder model is generalisable to unseen data.

Furthermore, we have repeated the same experiment again with a different configuration ($K = 158; P = 2$) to reassure that the proposed approach can provide robust representations of the data. The sensor measurements are drawn from an identical time period and only the output dimensionality K is changed (The newly selected set of sensors is comprised of a different measurements of discharge gas pressure at the compressor unit). Through changing the output dimensionality K , this allows us to illustrate the effects of partial reconstruction with different output dimensions. As

seen in figure 6, the context vectors form a smooth trajectory in the low-dimensional space. Similar sequences yield context vectors which are located in a shared neighbourhood. Nevertheless, the clusters found by K -means method in this secondary example also manage to identify neighbourhoods of similar sensor patterns.

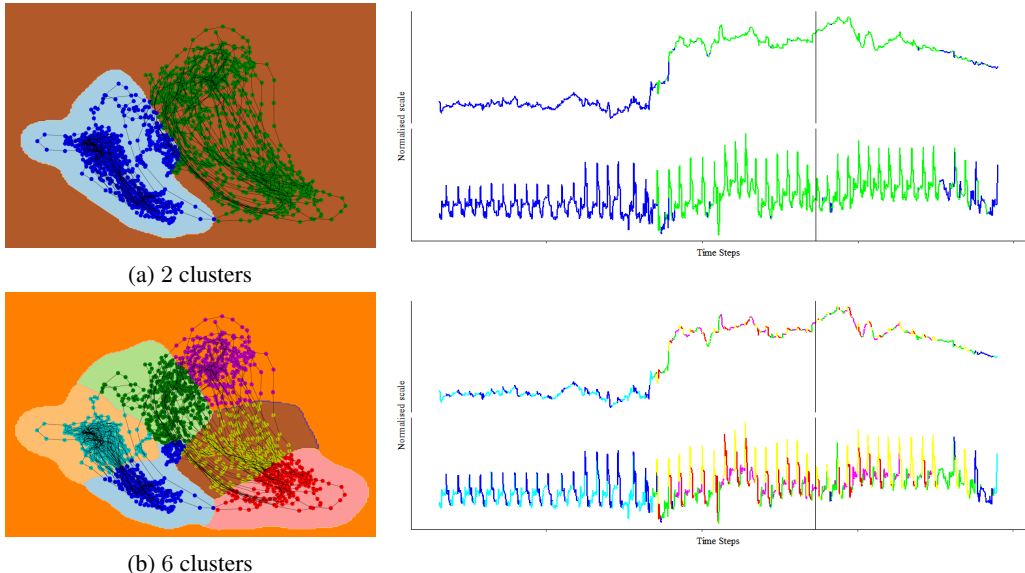


Figure 6: The second example. The sensor data is drawn from the same time period as the previous example, only the output dimension has been changed to $K = 2$ where another set of gas pressure sensors were selected.

4 DISCUSSION

Successive context vectors generated by windowing approach are always highly correlated, thus form a smooth trajectory in high-dimensional space. Additional dimensionality reduction techniques can be applied to visualise the change of features as a smooth trajectory. One of the key contributions of this study is that, the context vectors form distinct neighbourhoods which can be identified through unsupervised clustering algorithms such as K -means. The clusters can be optionally labelled manually to identify operating state (e.g. healthy vs. faulty). Alarm can be triggered when the context vector travels beyond the boundary of a predefined neighbourhood. Moreover, this enables us to find the clusters of unlabelled time series data. Clusters of the vector representation can be used by operators and engineers to aid diagnostics and maintenance.

Another contribution of this study is that dimensionality of the output sequence can be relaxed, thus allowing the recurrent auto-encoder to perform partial reconstruction. Although it is clearly easier for the model to partially reconstruct the original sequence, such simple improvement allows users to define different sets of sensors of particular interest. By limiting the number of sensors to include in the output dimension, the context vector can be used to reflect the underlying states of specific aspects of the large-scale industrial process. This ultimately generates more actionable insights and enables users to diagnose the industrial system. We have demonstrated the use of partial reconstruction by through two examples which graphically show the effects of it.

This proposed method performs multidimensional time series clustering, which can natively scale up to very high dimensionality as it is based on recurrent auto-encoder model. We have applied the method to an industrial sensor dataset with $P = 158$ and empirically show that it can summarise multidimensional time series data effectively.

The model can be generalised to any multi-sensor multi-state processes for operating state recognition. We also recognise that the cost of collecting labelled time series data can be very expensive. This study established that recurrent auto-encoder model can be used to analyse unlabelled and un-

bounded time series data. This opens up further possibilities for analysing IoT and industrial sensors data given that these domains are predominately overwhelmed with unbounded and unlabelled time series data.

Nevertheless, the proposed approach has not included any categorical sensor measurements (e.g. open/closed, tripped/healthy, start/stop... etc). Future research can focus on incorporating categorical measurements alongside real-valued measurements.

DISCLOSURE

The technical method described in this paper is the subject of British patent application GB1717651.2.

REFERENCES

- Anthony Bagnall, Jason Lines, Aaron Bostrom, James Large, and Eamonn Keogh. The great time series classification bake off: a review and experimental evaluation of recent algorithmic advances. *Data Mining and Knowledge Discovery*, 31(3):606–660, May 2017. ISSN 1573-756X. doi: 10.1007/s10618-016-0483-9. URL <https://doi.org/10.1007/s10618-016-0483-9>.
- Kyunghyun Cho, Bart van Merriënboer, Çağlar Gülçehre, Fethi Bougares, Holger Schwenk, and Yoshua Bengio. Learning phrase representations using RNN encoder-decoder for statistical machine translation. *CoRR*, abs/1406.1078, 2014. URL <http://arxiv.org/abs/1406.1078>.
- Yu-An Chung, Chao-Chung Wu, Chia-Hao Shen, Hung-yi Lee, and Lin-Shan Lee. Audio word2vec: Unsupervised learning of audio segment representations using sequence-to-sequence autoencoder. *CoRR*, abs/1603.00982, 2016. URL <http://arxiv.org/abs/1603.00982>.
- Dario D’Avino, Davide Cozzolino, Giovanni Poggi, and Luisa Verdoliva. Autoencoder with recurrent neural networks for video forgery detection. *CoRR*, abs/1708.08754, 2017. URL <http://arxiv.org/abs/1708.08754>.
- Nicholas Edward Gillian, R. Benjamin Knapp, and M. Sile O’Modhrain. Recognition of multivariate temporal musical gestures using n-dimensional dynamic time warping. In *NIME*, 2011.
- Toni Giorgino. Computing and visualizing dynamic time warping alignments in r: The dtw package. *Journal of Statistical Software, Articles*, 31(7):1–24, 2009. ISSN 1548-7660. doi: 10.18637/jss.v031.i07. URL <https://www.jstatsoft.org/v031/i07>.
- Sepp Hochreiter and Jürgen Schmidhuber. Long short-term memory. *Neural Computation*, 9(8): 1735–1780, November 1997. ISSN 0899-7667. doi: 10.1162/neco.1997.9.8.1735. URL <http://dx.doi.org/10.1162/neco.1997.9.8.1735>.
- Daniel Hsu. Time series compression based on adaptive piecewise recurrent autoencoder. *CoRR*, abs/1707.07961, 2017. URL <http://arxiv.org/abs/1707.07961>.
- Diederik P. Kingma and Jimmy Ba. Adam: A method for stochastic optimization. *CoRR*, abs/1412.6980, 2014. URL <http://arxiv.org/abs/1412.6980>.
- Ming Hsiao Ko, G. West, S. Venkatesh, and M. Kumar. Online context recognition in multisensor systems using dynamic time warping. In *2005 International Conference on Intelligent Sensors, Sensor Networks and Information Processing*, pp. 283–288, Dec 2005. doi: 10.1109/ISSNIP.2005.1595593.
- D. Lee. Anomaly Detection in Multivariate Non-stationary Time Series for Automatic DBMS Diagnosis. *ArXiv e-prints*, August 2017.
- J. Liu, Z. Wang, L. Zhong, J. Wickramasuriya, and V. Vasudevan. uwave: Accelerometer-based personalized gesture recognition and its applications. In *2009 IEEE International Conference on Pervasive Computing and Communications*, pp. 1–9, March 2009. doi: 10.1109/PERCOM.2009.4912759.

- Pankaj Malhotra, Vishnu TV, Lovekesh Vig, Puneet Agarwal, and Gautam Shroff. Timenet: Pre-trained deep recurrent neural network for time series classification. *CoRR*, abs/1706.08838, 2017. URL <http://arxiv.org/abs/1706.08838>.
- F. Petitjean, J. Inglada, and P. Gancarski. Satellite image time series analysis under time warping. *IEEE Transactions on Geoscience and Remote Sensing*, 50(8):3081–3095, Aug 2012. ISSN 0196-2892. doi: 10.1109/TGRS.2011.2179050.
- Mohammad Shokoohi-Yekta, Bing Hu, Hongxia Jin, Jun Wang, and Eamonn Keogh. Generalizing dtw to the multi-dimensional case requires an adaptive approach. *Data Mining and Knowledge Discovery*, 31(1):1–31, Jan 2017. ISSN 1573-756X. doi: 10.1007/s10618-016-0455-0. URL <https://doi.org/10.1007/s10618-016-0455-0>.
- Nitish Srivastava, Geoffrey Hinton, Alex Krizhevsky, Ilya Sutskever, and Ruslan Salakhutdinov. Dropout: A simple way to prevent neural networks from overfitting. *Journal of Machine Learning Research*, 15:1929–1958, 2014. URL <http://jmlr.org/papers/v15/srivastava14a.html>.
- Nitish Srivastava, Elman Mansimov, and Ruslan Salakhutdinov. Unsupervised learning of video representations using lstms. *CoRR*, abs/1502.04681, 2015. URL <http://arxiv.org/abs/1502.04681>.
- Ilya Sutskever, Oriol Vinyals, and Quoc V. Le. Sequence to sequence learning with neural networks. *CoRR*, abs/1409.3215, 2014. URL <http://arxiv.org/abs/1409.3215>.
- Gineke ten Holt, Marcel Reinders, and Emile Hendriks. Multi-dimensional dynamic time warping for gesture recognition. 01 2007.
- Michail Vlachos, Marios Hadjieleftheriou, Dimitrios Gunopulos, and Eamonn Keogh. Indexing multidimensional time-series. *The VLDB Journal*, 15(1):1–20, Jan 2006. ISSN 0949-877X. doi: 10.1007/s00778-004-0144-2. URL <https://doi.org/10.1007/s00778-004-0144-2>.
- Jun Wang, Arvind Balasubramanian, Luis Mojica de la Vega, Jordan Green, Ashok Samal, and Balakrishnan Prabhakaran. Word recognition from continuous articulatory movement time-series data using symbolic representations. 08 2013.
- Wojciech Zaremba, Ilya Sutskever, and Oriol Vinyals. Recurrent neural network regularization. *CoRR*, abs/1409.2329, 2014. URL <http://arxiv.org/abs/1409.2329>.

APPENDIX A

The rotary components are driven by industrial RB-211 jet turbine on a single shaft through a gearbox. Incoming natural gas passes through the low pressure (LP) stage first which brings it to an intermediate pressure level, it then passes through the high pressure (HP) stage and reaches the pre-set desired pressure level. The purpose of the suction scrubber is to remove any remaining condensate from the gas prior to feeding through the centrifugal compressors. Once the hot compressed gas is discharged from the compressor, its temperature is lowered via the intercoolers.

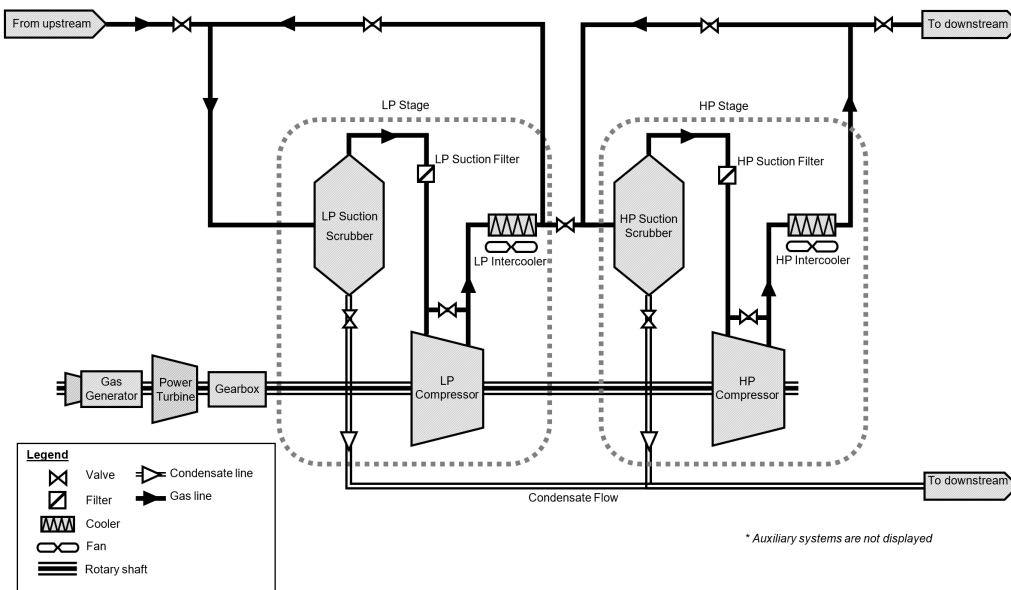


Figure 7: A simplified process diagram of the two-stage centrifugal compression train which is located at a natural gas terminal.

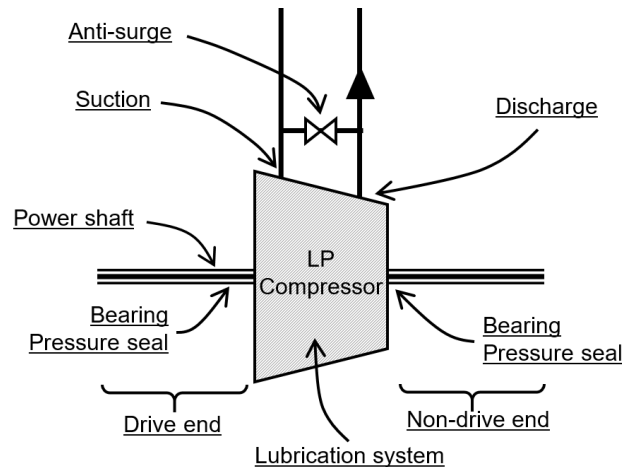


Figure 8: Locations of key components around the centrifugal compressor.

APPENDIX B

The sensor measurements used in the analysis are listed below:

1. GASCOMPCARBONDIOXIDEMEAS
2. GASCOMPMECHANEMEAS
3. GASCOMPNITROGENMEAS
4. GASPROPMOLWTMEAS
5. PRESSAMBIENT
6. GB.SPEEDINPUT
7. GB.SPEEDOUTPUT
8. GB.TEMPINPUTBRGDRIVEEND
9. GB.TEMPINPUTBRGNONDRIVEEND
10. GB.TEMPINPUTBRGTHRUSTINBOARD
11. GB.TEMPINPUTBRGTHRUSTOUTBRD
12. GB.TEMPLUBOIL
13. GB.TEMPLUBOILTANK
14. GB.TEMPOUTPUTBRGDRIVEEND
15. GB.TEMPOUTPUTBRGNONDRIVEEND
16. GB.VIBBRGCASINGVEL
17. GB.VIBINPUTAXIALDISP
18. GB.VIBINPUTDRIVEEND
19. GB.VIBINPUTNONDRIVEEND
20. GB.VIBOUTPUTDRIVEEND
21. GB.VIBOUTPUTNONDRIVEEND
22. GG.FLOWFUEL
23. GG.FLOWWATERINJECTION
24. GG.FLOWWATERINJSETPOINT
25. GG.POWERSHAFT
26. GG.PRESSAIRINLET
27. GG.PRESSCOMPDEL
28. GG.PRESSCOMPDELHP
29. GG.PRESSCOMPDELIP
30. GG.PRESSDIFBRGLUBOIL
31. GG.PRESSDIFINLETFILTER
32. GG.PRESSDIFINLETFLARE
33. GG.PRESSDIFVALVEWATERINJCTRL
34. GG.PRESSDISCHWATERINJPUMP1
35. GG.PRESSDISCHWATERINJPUMP2
36. GG.PRESSEXH
37. GG.PRESSFUELGAS
38. GG.PRESSHYDOILDEL
39. GG.PRESSLUBEOILHEADER
40. GG.PRESSLUBOIL
41. GG.PRESSMANIFOLDWATERINJ
42. GG.PRESSSUCTWATERINJPUMP
43. GG.SPEEDHP
44. GG.SPEEDIP
45. GG.TEMPAIRINLET
46. GG.TEMPCOMPDEL
47. GG.TEMPCOMPDELHP
48. GG.TEMPCOMPDELIP
49. GG.TEMPEXH
50. GG.TEMPEXH1
51. GG.TEMPEXH2
52. GG.TEMPEXH3
53. GG.TEMPEXH4
54. GG.TEMPEXH5
55. GG.TEMPEXH6
56. GG.TEMPEXH7
57. GG.TEMPEXH8
58. GG.TEMPFFUELGAS
59. GG.TEMPFFUELGAS1
60. GG.TEMPFFUELGASLINE
61. GG.TEMPHSOILCOOLANTRETURN
62. GG.TEMPHSOILMAINRETURN
63. GG.TEMPLUBOIL
64. GG.TEMPLUBOILTANK
65. GG.TEMPPURGEMUFF
66. GG.TEMPWATERINJSUPPLY
67. GG.VALVEWATERINJECTCONTROL
68. GG.VANEINLETGUIDEANGLE
69. GG.VANEINLETGUIDEANGLE1
70. GG.VANEINLETGUIDEANGLE2
71. GG.VIBCENTREBRG
72. GG.VIBFRONTBRG
73. GG.VIBREARBRG
74. HP.HEADANTISURGE
75. HP.POWERSHAFT
76. HP.PRESSCLEANGAS
77. HP.PRESSDIFANTISURGE
78. HP.PRESSDIFSUCTSTRAINER
79. HP.PRESSDISCH
80. HP.PRESSEALDRYGAS
81. HP.PRESSEALLEAKPRIMARYDE1
82. HP.PRESSEALLEAKPRIMARYDE2
83. HP.PRESSEALLEAKPRIMARYNDE1
84. HP.PRESSEALLEAKPRIMARYNDE2
85. HP.PRESSSUCT1
86. HP.PRESSSUCT2
87. HP.SPEED
88. HP.TEMPBRGDRIVEEND
89. HP.TEMPBRGNONDRIVEEND
90. HP.TEMPBRGTHRUSTINBOARD
91. HP.TEMPBRGTHRUSTOUTBOARD
92. HP.TEMPDISCH1
93. HP.TEMPDISCH2
94. HP.TEMPLUBOIL
95. HP.TEMPLUBOILTANK
96. HP.TEMPSUCT1
97. HP.VIBAXIALDISP1
98. HP.VIBAXIALDISP2
99. HP.VIBDRIVEEND
100. HP.VIBDRIVEENDX
101. HP.VIBDRIVEENDY
102. HP.VIBNONDRIVEEND
103. HP.VIBNONDRIVEENDX
104. HP.VIBNONDRIVEENDY

| | |
|---------------------------------|----------------------------|
| 105. HP_VOLDISCH | 132. LP_VIBAXIALDISP2 |
| 106. HP_VOLRATIO | 133. LP_VIBDRIVEEND |
| 107. HP_VOLSUCT | 134. LP_VIBDRIVEENDX |
| 108. LP_HEADANTISURGE | 135. LP_VIBDRIVEENDY |
| 109. LP_POWERSHAFT | 136. LP_VIBNONDRIVEEND |
| 110. LP_PRESSCLEANGAS | 137. LP_VIBNONDRIVEENDX |
| 111. LP_PRESSDIFANTISURGE | 138. LP_VIBNONDRIVEENDY |
| 112. LP_PRESSDIFSUCTSTRAINER | 139. LP_VOLDISCH |
| 113. LP_PRESSDISCH | 140. LP_VOLRATIO |
| 114. LP_PRESSEALDRYGAS | 141. LP_VOLSUCT |
| 115. LP_PRESSEALLEAKPRIMARYDE1 | 142. PT_POWERSHAFT |
| 116. LP_PRESSEALLEAKPRIMARYDE2 | 143. PT_SPEED |
| 117. LP_PRESSEALLEAKPRIMARYNDE1 | 144. PT_TEMPBRGDRIVEEND |
| 118. LP_PRESSEALLEAKPRIMARYNDE2 | 145. PT_TEMPBRGNONDRIVEEND |
| 119. LP_PRESSSUCT1 | 146. PT_TEMPBRGTHRUST1 |
| 120. LP_PRESSSUCT2 | 147. PT_TEMPBRGTHRUST3 |
| 121. LP_SPEED | 148. PT_TEMPCOOLINGAIR1 |
| 122. LP_TEMPBRGDRIVEEND | 149. PT_TEMPCOOLINGAIR2 |
| 123. LP_TEMPBRGNONDRIVEEND | 150. PT_TEMPEXH |
| 124. LP_TEMPBRGTHRUSTINBOARD | 151. PT_TEMPLUBOIL |
| 125. LP_TEMPBRGTHRUSTOUTBOARD | 152. PT_TEMPLUBOILPTSUMP |
| 126. LP_TEMPDISCH1 | 153. PT_TEMPLUBOILTANK |
| 127. LP_TEMPDISCH2 | 154. PT_VIBAXIALDISP1 |
| 128. LP_TEMPLUBOIL | 155. PT_VIBAXIALDISP2 |
| 129. LP_TEMPLUBOILTANK | 156. PT_VIBBRGCASINGVEL |
| 130. LP_TEMPSUCT1 | 157. PT_VIBDRIVEEND |
| 131. LP_VIBAXIALDISP1 | 158. PT_VIBNONDRIVEEND |