# A SECOND-ORDER-LIKE OPTIMIZER WITH ADAPTIVE GRADIENT SCALING FOR DEEP LEARNING

Anonymous authors

004

005

010 011

012

013

014

015

016

017

018

019

020 021 022

040 041 042

051

052

Paper under double-blind review

#### ABSTRACT

In this empirical article, we introduce INNAprop, an optimization algorithm that combines the INNA method with the RMSprop adaptive gradient scaling. It leverages second-order information and rescaling while keeping the memory requirements of standard DL methods as AdamW or SGD with momentum. After giving geometrical insights, we evaluate INNAprop on CIFAR-10, Food101, and ImageNet with ResNets, VGG, DenseNet, and ViT, and on GPT-2 (OpenWeb-Text) train from scratch and with LoRA fine-tuning (E2E). INNAprop consistently matches or outperforms AdamW both in training speed and accuracy, with minimal hyperparameter tuning in large-scale settings. Our code is publicly available at https://github.com/innaprop/innaprop.

### 1 INTRODUCTION

024 As deep learning models grow in size, massive computational resources are needed for training, 025 representing significant challenges in terms of financial costs, energy consumption, and processing 026 time (Susnjak et al., 2024; Varoquaux et al., 2024). According to the UN's Environment Programme 027 Training, the Big Tech sector produced between two and three percent of the world's carbon emissions 028 in 2021; some estimations for the year 2023 go beyond 4%, see the latest Stand.earth reports, and also 029 (Schwartz et al., 2020; Strubell et al., 2020; Patterson et al., 2021) for related issues. For instance, training GPT-3 is estimated to require 1,287 megawatt-hours (MWh) of electricity, equivalent to the annual usage of over 100 U.S. households (Anthony et al., 2020; Patterson et al., 2021). Similarly, 031 the financial cost of specialized hardware and cloud computing is extremely high. OpenAI claimed that the training cost for GPT-4 (Achiam et al., 2023) exceeded 100 million dollars. The PaLM 033 model developed by Google AI was trained for two months using 6144 TPUs for 10 million dollars 034 (Chowdhery et al., 2023). All this implies a need for faster and more cost-efficient optimization algorithms. It also suggests that early stopping (Prechelt, 2002; Bai et al., 2021) in the training phase is a desirable feature whenever possible. 037

We focus in this work on computational efficiency during the training phase and consider the problem of unconstrained minimization of a loss function  $\mathcal{J} : \mathbb{R}^p \to \mathbb{R}$ , as follows

$$\min_{\theta \in \mathbb{R}^p} \mathcal{J}(\theta). \tag{1}$$

Continuous dynamical systems as optimization models. To achieve higher efficiency, it is necessary to deeply understand how algorithms work and how they relate to each other. A useful way to do this is by interpreting optimization algorithms as discrete versions of continuous dynamical systems (Ljung, 1977), further developed in (Harold et al., 1997; Benaïm, 2006; Borkar & Borkar, 2008; Attouch et al., 2016; Aujol et al., 2019). In deep learning, this approach is also quite fruitful; it has, in particular, been used to provide convergence proofs or further geometric insights (Davis et al., 2020; Bolte & Pauwels, 2020; Barakat & Bianchi, 2021; Chen et al., 2023a).

In the spirit of Castera et al. (2021), we consider the following continuous-time dynamical system introduced in Alvarez et al. (2002) and referred to as DIN (standing for "dynamical inertial Newton"):

 $\underbrace{\ddot{\theta}(t)}_{\text{Inertial term}} + \underbrace{\alpha \, \dot{\theta}(t)}_{\text{Friction term}} + \underbrace{\beta \, \nabla^2 \, \mathcal{J}(\theta(t)) \dot{\theta}(t)}_{\text{Newtonian effects}} + \underbrace{\nabla \, \mathcal{J}(\theta(t))}_{\text{Gravity effect}} = 0, \qquad t \ge 0,$ (2)

071

072 073

074

075

082

083

084

085

087 088

090 091 092

094

where t is the time,  $\mathcal{J}: \mathbb{R}^p \to \mathbb{R}$  is a loss function to be minimized (e.g., empirical loss in DL applications) as in Equation (1), assumed  $C^2$  with gradient  $\nabla \mathcal{J}$  and Hessian  $\nabla^2 \mathcal{J}$ . A key aspect of Equation (2) that places it between first- and second-order optimization is that a change of variables allows to describe it using only the gradient  $\nabla \mathcal{J}$ , since  $\nabla^2 \mathcal{J}(\theta(t))\dot{\theta}(t) = \frac{d}{dt}\nabla \mathcal{J}(\theta(t))$  (see Section 2.2 for details). This greatly reduces computational costs, as it can be discretized as a difference of gradients which does not require Hessian vector product, making it possible to design more practical algorithms, as shown in Chen & Luo (2019); Castera et al. (2021); Attouch et al. (2022).

We recover the continuous-time heavy ball system by assuming  $\alpha > 0$ , and removing the geometrical "damping" term in Equation (2) through the choice  $\beta = 0$ . A discrete version of this system corresponds to the Heavy Ball method (Polyak, 1964), which is at the basis of SGD solvers with momentum in deep learning (Qian, 1999; Sutskever et al., 2013). By allowing both  $\alpha$  and  $\beta$  to vary, we recover Nesterov acceleration (Nesterov, 1983; Su et al., 2016; Attouch et al., 2019).

Adaptive methods. Adaptive optimization methods, such as RMSprop (Tieleman et al., 2012) and
 AdaGrad (Duchi et al., 2011), modify the update dynamics by introducing coordinate-wise scaling of
 the gradient based on past information. These methods can be modeled by continuous-time ODEs of
 the following form, expressed here for the simple gradient system:

$$\dot{\theta}(t) + \frac{1}{\sqrt{G(t,\theta(t)) + \epsilon}} \odot \nabla \mathcal{J}(\theta(t)) = 0, \quad t \ge 0,$$
(3)

where  $\epsilon > 0$ ,  $G(t, \theta(t)) \in \mathbb{R}^p$  represents accumulated information. The scalar addition, square root, and division are understood coordinatewise and  $\odot$  denotes the coordinate-wise product for vectors in  $\mathbb{R}^p$ . In AdaGrad or RMSprop,  $G(t, \theta(t))$  is a gradient amplitude averaged of the form:

$$G(t,\theta(t)) := \int_0^t \nabla \mathcal{J}(\theta(\tau))^2 \, d\mu_t(\tau), \tag{4}$$

for different choices of  $\mu_t$  — uniform for AdaGrad and moving average for RMSprop. This generally improves performance, see the pioneering work (Duchi et al., 2011; Tieleman et al., 2012).

**Our approach.** We combine the "dynamical inertial Newton" method (DIN) from Equation (2) with an RMSprop adaptive gradient scaling. This allows us to take into account second-order information for the RMSProp scaling. Computationally, this second-order information is expressed using a time derivative. In discrete time, this will provide a second-order intelligence with the same computational cost as gradient evaluation. The resulting continuous time ODE is given as follows:

$$\ddot{\theta}(t) + \alpha \, \dot{\theta}(t) + \beta \, \frac{d}{dt} \operatorname{RMSprop}(\mathcal{J}(\theta(t))) + \operatorname{RMSprop}(\mathcal{J}(\theta(t))) = 0, \qquad t \ge 0 \tag{5}$$
where  $\operatorname{RMSprop}(\mathcal{J}(\theta(t))) = \frac{1}{\sqrt{G(t,\theta(t)) + \epsilon}} \odot \nabla \mathcal{J}(\theta(t))$ 

with G of the form (4) with an adequate time-weight distribution  $\mu_t$  corresponding to the RMSProp scaling. A discretization of this continuous time system, combined with careful memory management, results in our new optimizer INNAprop, see Section 2.1.

Relation with existing work. To improve the efficiency of stochastic gradient descent (SGD), two
primary strategies are used: leverage local geometry for having clever directions and incorporate momentum to accelerate convergence. These approaches include accelerated methods (e.g., Nesterov's
acceleration (Nesterov, 1983; Dozat, 2016), momentum SGD (Polyak, 1964; Qian, 1999; Sutskever
et al., 2013), and adaptive methods (e.g., Adagrad (Duchi et al., 2011), RMSProp (Tieleman et al., 2012)), which adjust learning rates per parameter.

Adam remains the dominant optimizer in deep learning. It comes under numerous variants proposed
to improve its performance or to adapt it to specific cases (Dozat, 2016; Shazeer & Stern, 2018;
Reddi et al., 2019; Loshchilov & Hutter, 2017; Zhuang et al., 2020). Adafactor (Shazeer & Stern, 2018) improves memory efficiency, Lamb (You et al., 2019) adds layerwise normalization, and Lion
(Chen et al., 2023b) uses sign-based momentum updates. AdEMAMix (Pagliardini et al., 2024) combines two EMAs, while Defazio et al. (Defazio et al., 2024) introduced a schedule-free method incorporating Polyak-Ruppert averaging with momentum.

108 One of the motivations of our work is the introduction of second-order properties in the dynamics akin 109 to Newton's method. Second-order optimizers are computationally expensive due to frequent Hessian 110 computations (Gupta et al., 2018; Martens & Grosse, 2015). Their adaptation to large scale learning 111 settings require specific developments (Jahani et al., 2021; Qian et al., 2021). For example, the Sophia 112 optimizer (Liu et al., 2023), designed for large language models, uses a Hessian-based pre-conditioner to penalize high-curvature directions. In this work, we draw inspiration from INNA (Castera et al., 113 2021), based on the continuous time dynamics introduced by (Alvarez et al., 2002), which combines 114 gradient descent with a Newtonian mechanism for first-order stochastic approximations. 115

Our proposed method, INNAProp, integrates the algorithm INNA, which features a Newtonian effect with cheap computational cost, with the gradient scaling mechanism of RMSprop. This preserves the efficiency of second-order methods and the adaptive features of RMSprop while significantly reducing the computational overhead caused by Hessian evaluation. Specific hyperparameter choices for our method allow us to recover several existing optimizers as special cases.

121 122

123

124

125

126

127

128

129

130

131

132

133

134

135

136 137

138

139 140

141

142 143

144

**Contributions.** They can be summarized as follows:

- We introduce INNAprop, a new optimization algorithm that combines the Dynamical Inertial Newton (DIN) method with RMSprop's adaptive gradient scaling, efficiently using second-order information for large-scale machine learning tasks. We obtain a second-order optimizer with computational requirements similar to first-order methods like AdamW, making it suitable for deep learning (see Section 2.2 and Appendix B).
- We provide a continuous-time explanation of INNAprop, connecting it to second-order ordinary differential equations (see Section 2 and Equation (5)). We discuss many natural possible discretizations and show that INNAprop is empirically the most efficient. Let us highlight a key feature of our method: it incorporates second-order terms in space without relying on Hessian computations or inversions of linear systems which are both prohibitive in deep learning.
- We show through extensive experiments that INNAprop matches or outperforms AdamW in both training speed and final accuracy on benchmarks such as image classification (CIFAR-10, ImageNet) and language modeling (GPT-2) (see Section 3).

We describe our algorithm and its derivation in Section 2. Hyperparameter tuning recommendations and our experimental results are provided in Section 3.

# 2 INNAPROP: A SECOND-ORDER METHOD IN SPACE AND TIME BASED ON RMSPROP

2.1 THE ALGORITHM

Our method is built on the following Algorithm 1, itself derived from a combination of INNA (Castera et al., 2021) and RMSprop (Tieleman et al., 2012) (refer to Section 2.2 for more details). The following version of the method is the one we used in all experiments. It includes the usual ingredients of deep-learning training: mini-batching, decoupled weight-decay, and scheduler procedure. For a simpler, "non-deep learning" version, refer to Algorithm 2 in Appendix B.

In Algorithm 1, SetLrSchedule is the "scheduler" for step-sizes; it is defined as a custom procedure for
 handling learning rate sequences for different networks and databases. To provide a full description of
 our algorithm, we provide detailed explanations of the scheduler procedures used in our experiments
 (Section 3) in Appendix D, along with the corresponding benchmarks.

- **Remark 1 (Well posedness)** Observe that, for all schedulers  $\gamma_k < \beta$  for  $k \in \mathbb{N}$ , so that INNAprop is well-posed (line 13 in Algorithm 1, the division is well defined).
- 157 158
- 158 2.2 DERIVATION OF THE ALGORITHM
- There are several ways to combine RMSprop and INNA, or DIN its second-order form, as there exist
   several ways to do so with the heavy ball method and RMSprop. We opted for the approach below
   because of its mechanical and geometrical appeal and its numerical success (see Appendix B for

180

181 182 183

185

187 188

191

196 197

199 200

201

202

203

204

205

207 208 Algorithm 1 Deep learning implementation of INNAprop

- 164
- Objective function: J(θ) = <sup>1</sup>/<sub>n</sub> Σ<sup>N</sup><sub>n=1</sub> J<sub>n</sub>(θ) for θ ∈ ℝ<sup>p</sup>.
   Learning step-sizes: γ<sub>k</sub> := {SetLrSchedule(k)}<sub>k∈ℕ</sub> where γ<sub>0</sub> is the initial learning rate.
  - 3: Hyper-parameters:  $\sigma \in [0, 1], \alpha \ge 0, \beta > \sup_{k \in \mathbb{N}} \gamma_k, \lambda \ge 0, \epsilon = 10^{-8}.$
  - 4: **Mini-batches:**  $(B_k)_{k \in \mathbb{N}}$  of nonempty subsets of  $\{1, \ldots, N\}$ .
  - 5: Initialization: time step  $k \leftarrow 0$ , parameter vector  $\theta_0$ ,  $v_0 = 0$ ,  $\psi_0 = (1 \alpha\beta)\theta_0$ .
- 168 6: **for** k = 1 **to** K **do** 7:

 $\boldsymbol{g}_k = rac{1}{|\mathsf{B}_k|} \sum_{n \in \mathsf{B}_k} \nabla \mathcal{J}_n(\boldsymbol{\theta}_k)$  $\triangleright$  select batch B<sub>k</sub> and return the corresponding gradient

8:  $\gamma_k \leftarrow \text{SetLrSchedule}(k)$ 

 $\boldsymbol{\theta}_k \leftarrow (1 - \lambda \gamma_k) \boldsymbol{\theta}_k$ 

171 172

163

166

167

170

 $\boldsymbol{v}_{k+1} \leftarrow \sigma \boldsymbol{v}_k + (1-\sigma) \boldsymbol{g}_k^2$ 10:

9:

173  $\hat{\boldsymbol{v}}_{k+1} \leftarrow \boldsymbol{v}_{k+1}/(1-\sigma^k)$ 11: 174

12: 
$$\psi_{k+1} \leftarrow \left(1 - \frac{\gamma_k}{\beta}\right) \psi_k + \gamma_k \left(\frac{1}{\beta} - \alpha\right) \boldsymbol{\theta}_k$$

13: 
$$\boldsymbol{\theta}_{k+1} \leftarrow \left(1 + \frac{\gamma_k(1-\alpha\beta)}{\beta-\gamma_k}\right) \boldsymbol{\theta}_k - \frac{\gamma_k}{\beta-\gamma_k} \boldsymbol{\psi}_{k+1} - \gamma_k \beta \left(\boldsymbol{g}_k / (\sqrt{\boldsymbol{\hat{v}}_{k+1}} + \epsilon)\right)$$
  
14: return  $\boldsymbol{\theta}_{K+1}$ 

further details). Consider the following dynamical inertial Newton method (Alvarez et al., 2002):

$$\ddot{\theta}(t) + \alpha \, \dot{\theta}(t) + \beta \, \frac{d}{dt} \nabla \mathcal{J}(\theta(t)) + \nabla \mathcal{J}(\theta(t)) = 0, \quad t \ge 0, \tag{6}$$

▷ see above and Remark 1

 $\triangleright$  decoupled weight decay

as in Equation (2) and replacing  $\nabla^2 \mathcal{J}(\theta(t))\dot{\theta}(t)$  by  $\frac{d}{dt}\nabla \mathcal{J}(\theta(t))$ . Using finite differences with a fixed time step  $\gamma$  for discretization, replacing in particular the gradient derivatives by gradient differences:

$$\frac{d}{dt}\nabla \mathcal{J}(\theta(t)) \simeq \frac{\nabla \mathcal{J}(\theta_{k+1}) - \nabla \mathcal{J}(\theta_k)}{\gamma},$$

where  $\theta_k, \theta_{k+1}$  correspond to two successive states around the time t. 189

190  
191 Setting 
$$\nabla \mathcal{J}(\theta_k) = g_k$$
, we obtain  $\frac{\theta_{k+1} - 2\theta_k + \theta_{k-1}}{\gamma} + \alpha \frac{\theta_k - \theta_{k-1}}{\gamma} + \beta \frac{g_k - g_{k-1}}{\gamma} + g_{k-1} = 0.$ 

To provide our algorithm with an extra second-order geometrical intelligence, we use the proxy of 193 RMSprop direction in place of the gradient. 194

195 Choose  $\sigma > 0$  and  $\epsilon > 0$ , and consider:

$$v_{k+1} = \sigma v_k + (1 - \sigma)g_k^2 \tag{7}$$

$$\frac{\theta_{k+1} - 2\theta_k + \theta_{k-1}}{\gamma} + \alpha \frac{\theta_k - \theta_{k-1}}{\gamma} + \beta \frac{\frac{g_k}{\sqrt{v_{k+1} + \epsilon}} - \frac{g_{k-1}}{\sqrt{v_k + \epsilon}}}{\gamma} + \frac{g_{k-1}}{\sqrt{v_k + \epsilon}} = 0.$$
(8)

Although this system has a natural mechanical interpretation, its memory footprint is abnormally important for this type of algorithm: for one iteration of the system (7)-(8), it culminates at 6 full dimension memory slots, namely  $g_{k-1}$ ,  $g_k$ ,  $\theta_{k-1}$ ,  $\theta_k$ ,  $v_k$ , and  $v_{k+1}$  before the evaluation of (8).

Therefore, we proceed to rewrite the algorithm in another system of coordinates. The computations and the variable changes are provided in Appendix B. We eventually obtain:

$$v_{k+1} = \sigma v_k + (1 - \sigma) g_k^2$$
  

$$\psi_{k+1} = \psi_k \left( 1 - \frac{\gamma}{\beta} \right) + \gamma \left( \frac{1}{\beta} - \alpha \right) \theta_k,$$
  

$$\theta_{k+1} = \left( 1 + \frac{\gamma (1 - \beta \alpha)}{\beta - \gamma} \right) \theta_k - \frac{\gamma}{\beta - \gamma} \psi_{k+1} - \gamma \beta \frac{g_k}{\sqrt{v_{k+1}} + \epsilon}$$

209 210 211

212 which only freezes 3 full dimension memory slots corresponding to  $v_k$ ,  $\psi_k$ ,  $\theta_k$ . As a result, the 213 memory footprint is equivalent to that of the Adam optimizer (see Table 5). 214

Remark 2 (On other possible discretizations) (a) If we use the proxy of RMSprop directly with 215 INNA (Castera et al., 2021), we recover indeed INNAprop through a rather direct derivation (see Appendix C.1 for more details). Our motivation to start from the "mechanical" version of the algorithm is to enhance our understanding of the geometrical features of the algorithm.

(b) RMSprop with momentum (Graves, 2013) is obtained by a discretization of the heavy ball
continuous time system, using a momentum term and an RMSprop proxy. It would be natural to
proceed that way in our case, and it indeed leads to a different method (see Appendix C.2). However,
the resulting algorithm appears to be numerically unstable (see Figure 10 for an illustration).

(c) Incorporating RMSprop as it is done in Adam using momentum leads to a third method (see
 Appendix C.3), which appears to be extremely similar to NAdam Dozat (2016); it was thus discarded.

**Remark 3** (A family of algorithms indexed by  $\alpha$ ,  $\beta$ ) INNAprop can be seen as a family of methods indexed by the hyperparameters  $\alpha$  and  $\beta$ . When  $\beta = 0$ , we recover a modified version of RMSprop with momentum (Graves, 2013) (see Appendix B.1). For  $\alpha = \beta = 1$ , INNAprop with its default initialization, boils down to AdamW without momentum ( $\beta_1 = 0$ ), see Appendix B.1 and Table 5. By setting  $\alpha = \beta = 1$ , we empirically recover the behavior of AdamW. Experiments demonstrate that this consistently aligns with AdamW, suggesting that AdamW can be seen as a special case within the broader INNAprop family. See Appendix B.1 for further details and illustrations. We nox explain how these hyperparameters ( $\alpha$ ,  $\beta$ ) have been tuned on "small size" problems.

231 232 233

234 235

224

225

226

227

228

229

230

#### **3** EMPIRICAL EVALUATION OF INNAPROP

We conduct extensive comparisons of the proposed algorithm and the AdamW optimizer, which 236 is dominantly used in image classification (Chen et al., 2018; Zhuang et al., 2020; Touvron et al., 237 2021; Mishchenko & Defazio, 2023) and language modeling tasks (Brown et al., 2020; Hu et al., 238 2021; Liu et al., 2023). Hyperparameter tuning (Sivaprasad et al., 2020) is a crucial issue for this 239 comparison, and we start with this. As a general rule, we strive to choose the hyperparameters that 240 give a strong baseline for AdamW (based on literature or using grid search). Unless stated differently, 241 our experiments use the AdamW optimizer <sup>1</sup> with its default settings as defined in widely-used 242 libraries (Paszke et al., 2019; Bradbury et al., 2018; Abadi et al., 2016):  $\beta_1 = 0.9, \beta_2 = 0.999$ , 243  $\lambda = 0.01$  and  $\epsilon = 1e - 8$ . For INNAprop, unless otherwise specified, the default settings for the 244 RMSprop component align with those of AdamW:  $\sigma = 0.999$  and  $\epsilon = 1e - 8$ .

The INNAprop method and the AdamW optimizer involve different classes of hyperparameters; some of them are common to both algorithms, and some are specific.

248 Our hyperparameter tuning strategy for both algorithms is summarized in Table 1.

249 We begin this section with the tuning of parameters  $\alpha, \beta$  for INNAprop on CIFAR10 with VGG 250 and ResNet architectures and then use these parameters on larger datasets and models. We use as 251 much as possible the step size scheduler and weight decay settings reported in the literature for the 252 AdamW optimizer, which we believe to be well-adjusted and provide adequate references for each 253 experiment. These are used both for AdamW and INNAprop. With this protocol, we only perform minimal hyperparameter tuning for INNAprop for larger-scale experiments. This is due to constrained 254 computational resources. We aim to demonstrate the typical performance of the Algorithm 1, rather 255 than its peak performance with extensive tuning. 256

257 258

264 265 266 Table 1: Hyperparameter tuning strategy for INNAprop and AdamW: AdamW is systematically favored.

Parameters	AdamW tuning	INNAprop tuning	Comparative advantage	
Learning rate	Literature or grid search tuning	Reused from AdamW	AdamW favored	
Step size scheduler	Literature	Reused from AdamW	N/A	
Weight decay	Literature or grid search tuning	Reused from AdamW	AdamW favored	
RMSprop parameter	Default or literature	Reused from AdamW	AdamW favored	
Inertial parameters $(\alpha, \beta)$	N/A	Tuned on CIFAR-10	N/A	

<sup>&</sup>lt;sup>1</sup>https://pytorch.org/docs/stable/generated/torch.optim.AdamW.html

292

293

295

296 297

298

299

300

301

302

303

304 305

306

307 308

321

323

## 270 3.1 TUNING INNAPROP ON CIFAR-10 WITH VGG11 AND RESNET18 271

272 **Hyperparameter tuning:** We tune  $(\alpha, \beta)$  using VGG11 (Simonyan & Zisserman, 2014) and 273 ResNet18 (He et al., 2016) models trained on CIFAR10 (Krizhevsky & Hinton, 2010), together with the initial learning rate  $\gamma_0$  to ensure proper training. We fix a cosine scheduler where  $T_{\text{max}} = 200$  and 274  $\gamma_{\min} = 0$  (see Appendix D for more details) and we consider two weight decay parameters  $\lambda = 0$  or 275  $\lambda = 0.01$ . Our experiment suggests using an initial learning rate  $\gamma_0 = 10^{-3}$ , which is the baseline 276 value reported for AdamW in this experiment (see Appendix E). For INNAprop, we optimize only the hyperparameters  $\alpha$  and  $\beta$ , using test accuracy and training loss as the optimization criteria. A grid 278 search is performed over  $(\alpha, \beta) \in \{0.1, 0.5, 0.9, \dots, 3.5, 4.0\}$  using optuna (Akiba et al., 2019). 279 In Figure 1, we detail the obtained training loss and test accuracy for various  $(\alpha, \beta)$  configurations over short training durations (20 epochs) and long training durations (200 epochs) for VGG11 with 281 weight decay  $\lambda = 0.01$ . Our criteria (short and long training duration) are chosen to find parameters 282  $(\alpha, \beta)$  that provide a rapid decrease in training loss in the early stages and the best test accuracy for 283 long training duration.

These results highlight a tendency for efficient couples; we choose for further experiments the values ( $\alpha, \beta$ ) = (0.1, 0.9) which correspond to aggressive optimization of the training loss for short training durations, and ( $\alpha, \beta$ ) = (2.0, 2.0) which provides very good results for longer training durations. Additional results for VGG11 and ResNet18 with and without weight decay are in Appendix F.4, which are qualitatively similar.



Figure 1: Log-scale training loss and test accuracies for hyperparameters  $(\alpha, \beta)$  with VGG11 on CIFAR10 at 20 and 200 epochs. Optimal learning rate  $\gamma_0 = 10^{-3}$  and weight decay  $\lambda = 0.01$ , with one random seed.

**Validation and comparison with AdamW:** We confirm our hyperparameter choices ( $\gamma_0 = 10^{-3}$ ,  $\lambda = 0.01$ ) by reproducing the experiment with 8 random seeds and comparing with AdamW using the same settings. Based on hyperparameter tuning, we select two pairs of ( $\alpha, \beta$ ) with different training speeds. As shown in Figure 2 (and Appendix F for ResNet18), with ( $\alpha, \beta$ ) = (0.1, 0.9), INNAprop improves training loss and test accuracy rapidly by the 100th epoch, maintaining the highest training accuracy. With ( $\alpha, \beta$ ) = (2.0, 2.0), INNAprop trains more slowly but achieves higher final test accuracy. This is aligned with the experiments described in Figure 1. In Table 2, we compare the performance of different networks on CIFAR-10 using INNAprop and AdamW optimizers.

**Remark 4 (Trade-off between fast learning and good generalization)** For CIFAR-10 experiments, INNAprop offers flexibility in adjusting convergence speed through  $(\alpha, \beta)$ . Faster training configurations generally lead to weaker generalization compared to slower ones, highlighting the trade-off between quick convergence and generalization (Wilson et al., 2017; Zhang et al., 2020).

322 3.2 EXTENSIVE EXPERIMENTS ON LARGE-SCALE VISION MODELS

We present experiments on large-scale vision benchmarks with the hyperparameters of Section 3.1.



Figure 2: Training VGG11 on CIFAR10. Left: train loss, middle: test accuracy (%), right: train accuracy (%), with 8 random seeds.

Table 2: Test accuracy (%) of ResNet-18, VGG11, and DenseNet121 on CIFAR-10 using AdamW optimized weight decay and learning rate. Results are averaged over eight runs.

Model	Optimizer	Test accuracy
Tr	raining on CIFAR-10 over 200 epo	ochs
ResNet18	ResNet18AdamWINNAprop ( $\alpha = 2.0, \beta = 2.0$ )	
VGG11	$\begin{tabular}{lllllllllllllllllllllllllllllllllll$	90.79 <b>90.99</b>
DenseNet121	$\label{eq:AdamW} \begin{array}{ l l l l l l l l l l l l l l l l l l l$	86.19 <b>86.91</b>

**Resnets on ImageNet:** We consider the larger scale ImageNet-1k benchmark (Krizhevsky et al., 2012). We train a ResNet-18 and a ResNet-50 (He et al., 2016) for 90 epochs with a mini-batch of size of 256 as in Chen et al. (2023b); Zhuang et al. (2020). We used the same cosine scheduler for both AdamW and INNAprop with initial learning rate  $\gamma_0 = 10^{-3}$  as reported in Chen et al. (2023b); Zhuang et al. (2020); Chen et al. (2018). The weight decay of AdamW is set to  $\lambda = 0.01$ for the ResNet18, instead of  $\lambda = 0.05$  reported in Zhuang et al. (2020); Chen et al. (2018) because it improved the test accuracy from 67.93 to 69.43. The results of the ResNet18 experiment are presented in Figure 14 in Appendix F. The figure shows that our algorithm with  $(\alpha, \beta) = (0.1, 0.9)$ outperforms AdamW in test accuracy (70.12 vs 69.34), though the training loss decreases faster initially but slows down towards the end of training. 

For the ResNet50, we kept the value  $\lambda = 0.1$  as reported in Zhuang et al. (2020); Chen et al. (2018). For INNAprop, we tried two weight decay values  $\{0.1, 0.01\}$  and selected  $\lambda = 0.01$  as it resulted in a faster decrease in training loss. We report the results in Figure 3, illustrating the advantage of INNAprop. As noted in Section 3.1, INNAprop with  $(\alpha, \beta) = (0.1, 0.9)$  reduces training loss quickly but has lower test accuracy compared to AdamW or INNAprop with  $(\alpha, \beta) = (2.0, 2.0)$ . For  $(\alpha, \beta) = (2.0, 2.0)$ , the loss decrease is similar to AdamW, with no clear advantage for either method. This obviously suggests developing scheduling strategies for damping parameters  $(\alpha, \beta)$ . This would require a much more computation-intensive tuning, far beyond the numerical resources used in the current work. In Table 3, we present the performance of INNAprop achieved using minimal hyperparameter tuning, as explained in Table 1.

Vision transformer (ViT) on ImageNet: We performed the same experiment with a ViT-B/32 architecture over 300 epochs with a mini-batch size of 1024, following Defazio & Mishchenko (2023);
Mishchenko & Defazio (2023). For AdamW, we used a cosine scheduler with a linear warmup (30 epochs) and the initial learning rate and weight decay from Defazio & Mishchenko (2023). For INNAprop, we tested weight decay values of {0.1, 0.01}, selecting λ = 0.1 for better test accuracy. Results in Figure 3 show the advantage of INNAprop. For faster convergence using INNAprop (0.1, 0.9), we recommend a weight decay of λ = 0.01 (see Figure 15 in the Appendix).



Figure 3: Training a ResNet50 (top) and ViT-B/32 (bottom) on ImageNet. Left: train loss, middle: Top-1 test accuracy (%), right: Top-1 train accuracy (%). 3 random seeds.

In the ImageNet experiments, we evaluated INNAprop for rapid early training and optimal final test accuracy without tuning ( $\gamma_0, \alpha, \beta$ ). For ViT-B/32 with  $\lambda = 0.1$ , INNAprop achieved lower training loss and higher final test accuracy than AdamW (75.23 vs. 75.02).

 Table 3: Top-1 and Top-5 accuracy (%) of ResNet-18, ResNet-50, and ViT-B/32 on ImageNet. Results are averaged from three runs for ResNets and one run for ViT-B/32. AdamW favored as in Table 1.

Model Optimizer		Top-1 accuracy	Top-5 accuracy
	Train from scratch of	n ImageNet	
PorNet19	AdamW	69.34	88.71
Residents	INNAprop ( $\alpha = 0.1, \beta = 0.9$ )	70.12	89.21
ResNet50	AdamW	76.33	93.04
	INNAprop ( $\alpha = 1.0, \beta = 1.0$ )	76.43	93.15
ViT B/32	AdamW	75.02	91.52
v11-D/32	INNAprop ( $\alpha = 0.1, \beta = 0.9$ )	75.23	91.77

Fintetuning VGG11 and ResNet18 models on Food101: We fine-tuned ResNet-18 and VGG-11 models on the Food101 dataset (Bossard et al., 2014) for 20 epochs, using pre-trained models on ImageNet-1k. Since weight decay and learning rate values for AdamW were not found in the literature, we chose the default AdamW weight decay value,  $\lambda = 0.01$ . We used a cosine scheduler and tried one run for each initial learning rate value in  $\{10^{-5}, 5 \times 10^{-5}, 10^{-4}, 5 \times 10^{-4}, 10^{-3}\}$ . The best result for AdamW was obtained for  $\gamma_0 = 10^{-4}$ , and we kept the same setting for INNAprop. See for this Figure 4, where INNAprop performs no worse than AdamW on three random seeds.

**Conclusion and recommendation for image classification:** Tuning  $(\alpha, \beta)$  significantly impacts 430 training. Based on heatmaps in Section 3.1 and figures in Section 3.2, we recommend using  $\alpha = 0.1$ 431 and  $\beta \in [0.5, 1.5]$  for shorter training (e.g., fine-tuning). For longer training,  $\alpha, \beta \ge 1$  is preferable. In both cases, our algorithm either matches or outperforms AdamW.

443

444 445

446

447

448

450

451

452

453

454

457

458

461

462

463

474

475

476 477 478

479

480

481

482

483

484

485



Figure 4: Finetuning a VGG11 on Food101. Left: train loss, middle: test accuracy (%), right: train accuracy (%). Qualitatively similar results for ResNet18 are in Figure 13 in Appendix F. 3 random seeds.

PRE-TRAINING AND FINE-TUNING GPT2 3.3

We present experimental results on LLMs using the hyperparameters selected as in Section 3.1.

449 Training GPT-2 from scratch: We train various GPT-2 transformer models from scratch (Radford et al., 2019) using the nanoGPT repository<sup>2</sup> on the OpenWebText dataset. For all models, gradients are clipped to a norm of 1, following Mishchenko & Defazio (2023); Liu et al. (2023); Brown et al. (2020). We use AdamW with hyperparameters from the literature (Liu et al., 2023; Brown et al., 2020), the standard configuration for LLM pre-training. The reported RMS prop parameter  $\beta_2 = 0.95$ is different from AdamW's default (0.999), the weight decay is  $\lambda = 0.1$  and  $\gamma_0$  depending on the 455 network size (see Brown et al. (2020); Liu et al. (2023)). For example, GPT-2 small works with 456 an initial learning rate  $\gamma_0 = 6 \times 10^{-4}$ . For INNAprop, we keep the same values for  $\lambda$  and  $\gamma_0$ as AdamW, and use the RMSprop parameter  $\sigma=0.99$  (corresponding to  $\beta_2$  for AdamW), which provides the best results among values  $\{0.9, 0.95, 0.99\}$  on GPT-2 mini. We use this setting for all our GPT-2 experiments with  $(\alpha, \beta) = (0.1, 0.9)$ . The results are in Figure 5. INNAprop leads to a 459 faster decrease in validation loss during the early stages compared to the baseline for GPT-2 models 460 of Mini (30M), Small (125M), and Medium (355M) sizes. Its performance could be further improved with more thorough tuning of hyperparameters ( $\alpha, \beta, \sigma, \lambda$ ). For GPT-2 small, we also include a comparison with Sophia-G, using the hyperparameters provided in the literature <sup>3</sup> (Liu et al., 2023).



Figure 5: GPT-2 training from scratch on OpenWebText: Sophia-G excluded for mini due to lack of recommendations; medium case failed with author-suggested settings.

**Fine-tune GPT-2 with LoRA:** Using LoRA (Hu et al., 2021), we fine-tune the same GPT-2 models on the E2E dataset, consisting of roughly 42000 training 4600 validation, and 4600 test examples from the restauration domain. We compare AdamW and INNAprop for 5 epochs, as recommended in Hu et al. (2021). We use for both algorithms the same linear learning rate schedule, the recommended mini-batch size, and the RMSprop parameter ( $\beta_2 = \sigma = 0.999$ ); these are listed in Table 11 in Hu et al. (2021). The results are displayed in Figure 6 and Table 4, where we see the perplexity

<sup>&</sup>lt;sup>2</sup>https://github.com/karpathy/nanoGPT

<sup>&</sup>lt;sup>3</sup>https://github.com/Liuhong99/Sophia



500 501

502

503 504

505

506 507

486

mean result over 3 random seeds. INNAprop with  $(\alpha, \beta) = (0.1, 0.9)$  consistently achieves lower perplexity loss compared to AdamW across all GPT-2 fine-tuning experiments.



Figure 6: Perplexity test with GPT-2 E2E Dataset with LoRA finetuning on five epochs. Three random seeds.

We synthetize the performance of our algorithm on LLMs below and we emphasize the capabilities of INNAprop compared to AdamW in the context of early training where gains are considerable.

Table 4: Performance comparison for GPT-2 training from scratch on OpenWebText (validation loss) and fine-tuning with LoRA on the E2E dataset (perplexity).

Model	AdamW best	INNAprop best	Steps to match AdamW			
GPT-2 Training from scratch (Validation loss)						
GPT-2 mini	3.57	3.47	51,000 (1.96× faster)			
GPT-2 small	3.03	2.98	79,000 (1.26× faster)			
GPT-2 medium	2.85 <b>2.82</b>		83,000 (1.2× faster)			
	GPT-2 with	LoRA (Perplexity	y test)			
GPT-2 small	3.48	3.44	19,000 (1.31× faster)			
GPT-2 medium	3.20	3.17	20,000 ( $1.25 \times$ faster)			
GPT-2 large	3.09	3.06	20,000 (1.25× faster)			

518 519

520 521

522

523

524

4 CONCLUSION

INNAprop is an optimizer that leverages second-order geometric information while maintaining memory and computational footprints similar to AdamW. Experiments on text modeling and image classification show that INNAprop consistently matches or exceeds AdamW's performance.

We systematically favored AdamW through the choice of recommended hyperparameters (schedulers, learning rates, weight decay). Hyperparameter tuning for friction parameters ( $\alpha$ ,  $\beta$ ) was conducted using a grid search on CIFAR-10 (see Figure 18). Further experiments in that direction could greatly improve the efficiency of INNAprop.

For language models, INNAprop with  $(\alpha, \beta) = (0.1, 0.9)$  performs consistently well across all training durations, both for pre-training from scratch and for fine-tuning. We recommend that value for LLMs. Early training achieves notable successes (refer to Table 4).

In image classification,  $(\alpha, \beta) = (0.1, 0.9)$  accelerates short-term learning, while higher values like ( $\alpha, \beta$ ) = (2.0, 2.0) improve test accuracy during longer training runs. Moreover, ( $\alpha, \beta$ ) = (2.0, 2.0) is effective for fine-tuning, offering a good balance between convergence speed and final accuracy.

These experiments illustrate consistent performances of the proposed method over a diversity of benchmarks, architecture, and model scales, making INNAprop a promising competitor for the training of large neural networks. Future research will be focused on the design of schedulers for the hyperparameters  $\alpha$  and  $\beta$ .

### 540 REFERENCES

552

553

554

558

565

566

- Martin Abadi, Paul Barham, Jianmin Chen, Zhifeng Chen, Andy Davis, Jeffrey Dean, Matthieu Devin, Sanjay Ghemawat, Geoffrey Irving, Michael Isard, Manjunath Kudlur, Josh Levenberg, Rajat Monga, Sherry Moore, Derek G. Murray, Benoit Steiner, Paul Tucker, Vijay Vasudevan, Pete Warden, Martin Wicke, Yuan Yu, and Xiaoqiang Zheng. Tensorflow: A system for large-scale machine learning. In *12th USENIX Symposium on Operating Systems Design and Implementation (OSDI 16)*, pp. 265–283, 2016. URL https://www.usenix.org/system/files/conference/osdi16/osdi16-abadi.pdf.
- Josh Achiam, Steven Adler, Sandhini Agarwal, Lama Ahmad, Ilge Akkaya, Florencia Leoni Aleman,
   Diogo Almeida, Janko Altenschmidt, Sam Altman, Shyamal Anadkat, et al. Gpt-4 technical report.
   *arXiv preprint arXiv:2303.08774*, 2023.
  - Takuya Akiba, Shotaro Sano, Toshihiko Yanase, Takeru Ohta, and Masanori Koyama. Optuna: A next-generation hyperparameter optimization framework, 2019.
- Felipe Alvarez, Hedy Attouch, Jérôme Bolte, and Patrick Redont. A second-order gradient-like
  dissipative dynamical system with hessian-driven damping.: Application to optimization and
  mechanics. *Journal de mathématiques pures et appliquées*, 81(8):747–779, 2002.
- Lasse F Wolff Anthony, Benjamin Kanding, and Raghavendra Selvan. Carbontracker: Tracking and predicting the carbon footprint of training deep learning models. *arXiv preprint arXiv:2007.03051*, 2020.
- Hedy Attouch, Juan Peypouquet, and Patrick Redont. Fast convex optimization via inertial dynamics
   with hessian driven damping. *Journal of Differential Equations*, 261(10):5734–5783, 2016.
  - Hedy Attouch, Zaki Chbani, and Hassan Riahi. Rate of convergence of the nesterov accelerated gradient method in the subcritical case  $\alpha \leq 3$ . *ESAIM: Control, Optimisation and Calculus of Variations*, 25:2, 2019.
- Hedy Attouch, Zaki Chbani, Jalal Fadili, and Hassan Riahi. First-order optimization algorithms via inertial systems with hessian driven damping. *Mathematical Programming*, pp. 1–43, 2022.
- Jean-Francois Aujol, Charles Dossal, and Aude Rondepierre. Optimal convergence rates for nesterov
   acceleration. *SIAM Journal on Optimization*, 29(4):3131–3153, 2019.
- 573
  574
  575
  576
  576
  576
  576
  576
  576
  577
  576
  576
  576
  576
  577
  578
  578
  579
  579
  579
  570
  570
  570
  571
  571
  572
  573
  574
  574
  574
  575
  576
  576
  576
  577
  576
  576
  576
  577
  576
  576
  576
  577
  576
  576
  576
  577
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
  578
- Anas Barakat and Pascal Bianchi. Convergence and dynamical behavior of the adam algorithm for
   nonconvex stochastic optimization. *SIAM Journal on Optimization*, 31(1):244–274, 2021.
- 579
   580
   581
   581
   582
   583
   584
   584
   584
   585
   586
   586
   587
   587
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
   588
- Jérôme Bolte and Edouard Pauwels. Conservative set valued fields, automatic differentiation, stochastic gradient methods and deep learning. *Mathematical Programming*, pp. 1–33, 2020.
- 585 Vivek S Borkar and Vivek S Borkar. *Stochastic approximation: a dynamical systems viewpoint*, volume 9. Springer, 2008.
- Lukas Bossard, Matthieu Guillaumin, and Luc Van Gool. Food-101–mining discriminative components with random forests. In *Computer Vision–ECCV 2014: 13th European Conference, Zurich, Switzerland, September 6-12, 2014, Proceedings, Part VI 13*, pp. 446–461. Springer, 2014.
- James Bradbury, Roy Frostig, Peter Hawkins, Matthew James Johnson, Chris Leary, Dougal
   Maclaurin, George Necula, Adam Paszke, Jake VanderPlas, Skye Wanderman-Milne, and
   Qiao Zhang. JAX: composable transformations of Python+NumPy programs, 2018. URL
   http://github.com/google/jax.

594	Tom Brown, Benjamin Mann, Nick Ryder, Melanie Subbiah, Jared D Kaplan, Prafulla Dhariwal,
595 596	Arvind Neelakantan, Pranav Shyam, Girish Sastry, Amanda Askell, et al. Language models are few-shot learners. Advances in neural information processing systems 33:1877–1901, 2020
597	tew-shot learners. Navances in neural information processing systems, 55.1677–1701, 2020.
598	Camille Castera, Jérôme Bolte, Cédric Févotte, and Edouard Pauwels. An inertial newton algorithm
599	for deep learning. The Journal of Machine Learning Research, 22(1):5977–6007, 2021.
600	Jinghui Chen, Dongruo Zhou, Yiqi Tang, Ziyan Yang, Yuan Cao, and Quanquan Gu. Closing the
601	generalization gap of adaptive gradient methods in training deep neural networks. arXiv preprint
602	arXiv:1806.06763, 2018.
603	Lizhang Chen, Bo Liu, Kaizhao Liang, and Oiang Liu, Lion secretly solves constrained ontimization.
604 605	As lyapunov predicts. <i>arXiv preprint arXiv:2310.05898</i> , 2023a.
606	Long Chen and Hao Luo. First order optimization methods based on hessian-driven nesterov
607	accelerated gradient flow. arXiv preprint arXiv:1912.09276, 2019.
608	
609	Xiangning Chen, Chen Liang, Da Huang, Esteban Real, Kaiyuan Wang, Yao Liu, Hieu Pham, Xuanyi
610	proprint arXiv: 2302.06675, 2023b
611	preprint drXtv.2502.00075, 20250.
612	Aakanksha Chowdhery, Sharan Narang, Jacob Devlin, Maarten Bosma, Gaurav Mishra, Adam
613	Roberts, Paul Barham, Hyung Won Chung, Charles Sutton, Sebastian Gehrmann, et al. Palm:
614	Scaling language modeling with pathways. <i>Journal of Machine Learning Research</i> , 24(240):1–113,
615	2023.
616	Damek Davis, Dmitriv Drusyvatskiv, Sham Kakade, and Jason D Lee. Stochastic subgradient method
617	converges on tame functions. Foundations of computational mathematics, 20(1):119–154, 2020.
618	
619	Aaron Defazio and Konstantin Mishchenko. Learning-rate-free learning by d-adaptation. In Interna-
621	nonal Conference on Machine Learning, pp. 7449–7479. PMLR, 2025.
622	Aaron Defazio, Harsh Mehta, Konstantin Mishchenko, Ahmed Khaled, Ashok Cutkosky, et al. The
623	road less scheduled. arXiv preprint arXiv:2405.15682, 2024.
624	Timothy Dozat Incorporating nesteroy momentum into adam 2016
625	Thirding Dozat. Incorporating nesterov momentum into adam. 2010.
626	John Duchi, Elad Hazan, and Yoram Singer. Adaptive subgradient methods for online learning and
627	stochastic optimization. Journal of machine learning research, 12(7), 2011.
628	Alex Graves Generating sequences with recurrent neural networks arXiv preprint arXiv:1308.0850
629	2013.
630	
631	Vineet Gupta, Tomer Koren, and Yoram Singer. Shampoo: Preconditioned stochastic tensor optimiza-
632	tion. In International Conference on Machine Learning, pp. 1842–1850. PMLR, 2018.
633	J Harold, G Kushner, and George Yin. Stochastic approximation and recursive algorithm and
634	applications. Application of Mathematics, 35(10), 1997.
635	
636	Kaiming He, Xiangyu Zhang, Shaoqing Ren, and Jian Sun. Deep residual learning for image
637	recognition. pp. //0–//8, 2010.
638	Edward J Hu, Yelong Shen, Phillip Wallis, Zeyuan Allen-Zhu, Yuanzhi Li, Shean Wang, Lu Wang,
639	and Weizhu Chen. Lora: Low-rank adaptation of large language models. arXiv preprint
640	arXiv:2106.09685, 2021.
641	Majid Jahani Sergev Rusakov, Zheng Shi, Peter Richtárik, Michael W Mahonev, and Martin Takáč
642	Doubly adaptive scaled algorithm for machine learning using second-order information arXiv
643	preprint arXiv:2109.05198, 2021.
644	
045	Diederik P Kingma and Jimmy Ba. Adam: A method for stochastic optimization. <i>arXiv preprint</i>
647	arXiv:1412.6980, 2014.
047	

Alex Krizhevsky and Geoffrey Hinton. The cifar-10 dataset. 2010.

648 649	Alex Krizhevsky, Ilya Sutskever, and Geoffrey E Hinton. Imagenet classification with deep convolu- tional neural networks. pp. 1097–1105, 2012.
651 652	Hong Liu, Zhiyuan Li, David Hall, Percy Liang, and Tengyu Ma. Sophia: A scalable stochastic second-order optimizer for language model pre-training. <i>arXiv preprint arXiv:2305.14342</i> , 2023.
653 654	Lennart Ljung. Analysis of recursive stochastic algorithms. <i>IEEE transactions on automatic control</i> , 22(4):551–575, 1977.
655 656 657	Ilya Loshchilov and Frank Hutter. Sgdr: Stochastic gradient descent with warm restarts. <i>arXiv</i> preprint arXiv:1608.03983, 2016.
658 659	Ilya Loshchilov and Frank Hutter. Decoupled weight decay regularization. <i>arXiv preprint arXiv:1711.05101</i> , 2017.
660 661 662	James Martens and Roger Grosse. Optimizing neural networks with kronecker-factored approximate curvature. In <i>International conference on machine learning</i> , pp. 2408–2417. PMLR, 2015.
663 664	Konstantin Mishchenko and Aaron Defazio. Prodigy: An expeditiously adaptive parameter-free learner. <i>arXiv preprint arXiv:2306.06101</i> , 2023.
665 666 667 668	Yurii Evgen'evich Nesterov. A method of solving a convex programming problem with convergence rate $o(1/k^2)$ . In <i>Doklady Akademii Nauk</i> , volume 269, pp. 543–547. Russian Academy of Sciences, 1983.
669 670	Matteo Pagliardini, Pierre Ablin, and David Grangier. The ademamix optimizer: Better, faster, older. arXiv preprint arXiv:2409.03137, 2024.
671 672 673 674 675 676 677 678 679	<ul> <li>Adam Paszke, Sam Gross, Francisco Massa, Adam Lerer, James Bradbury, Gregory Chanan, Trevor Killeen, Zeming Lin, Natalia Gimelshein, Luca Antiga, Alban Desmaison, Andreas Kopf, Edward Yang, Zachary DeVito, Martin Raison, Alykhan Tejani, Sasank Chilamkurthy, Benoit Steiner, Lu Fang, Junjie Bai, and Soumith Chintala. Pytorch: An imperative style, high- performance deep learning library. In H. Wallach, H. Larochelle, A. Beygelzimer, F. d'Alché-Buc, E. Fox, and R. Garnett (eds.), <i>Advances in Neural Information Processing Systems 32</i>, pp. 8024–8035. Curran Associates, Inc., 2019. URL http://papers.neurips.cc/paper/ 9015-pytorch-an-imperative-style-high-performance-deep-learning-library. pdf.</li> </ul>
680 681 682	David Patterson, Joseph Gonzalez, Quoc Le, Chen Liang, Lluis-Miquel Munguia, Daniel Rothchild, David So, Maud Texier, and Jeff Dean. Carbon emissions and large neural network training. <i>arXiv</i> preprint arXiv:2104.10350, 2021.
683 684 685	Boris T Polyak. Some methods of speeding up the convergence of iteration methods. <i>Ussr computa-</i> <i>tional mathematics and mathematical physics</i> , 4(5):1–17, 1964.
686 687	Lutz Prechelt. Early stopping-but when? In <i>Neural Networks: Tricks of the trade</i> , pp. 55–69. Springer, 2002.
688 689 690	Ning Qian. On the momentum term in gradient descent learning algorithms. <i>Neural networks</i> , 12(1): 145–151, 1999.
691 692	Xun Qian, Rustem Islamov, Mher Safaryan, and Peter Richtárik. Basis matters: better communication- efficient second order methods for federated learning. <i>arXiv preprint arXiv:2111.01847</i> , 2021.
693 694 695	Alec Radford, Karthik Narasimhan, Tim Salimans, Ilya Sutskever, et al. Improving language understanding by generative pre-training. 2018.
696 697	Alec Radford, Jeffrey Wu, Rewon Child, David Luan, Dario Amodei, Ilya Sutskever, et al. Language models are unsupervised multitask learners. <i>OpenAI blog</i> , 1(8):9, 2019.
698 699	Sashank J Reddi, Satyen Kale, and Sanjiv Kumar. On the convergence of adam and beyond. <i>arXiv</i> preprint arXiv:1904.09237, 2019.
700	Herbert Robbins and Sutton Monro. A stochastic approximation method. <i>The annals of mathematical statistics</i> , pp. 400–407, 1951.

702 703 704	Sebastian Ruder. An overview of gradient descent optimization algorithms. <i>arXiv preprint arXiv:1609.04747</i> , 2016.
705 706	Roy Schwartz, Jesse Dodge, Noah A Smith, and Oren Etzioni. Green ai. <i>Communications of the ACM</i> , 63(12):54–63, 2020.
707 708 709	Noam Shazeer and Mitchell Stern. Adafactor: Adaptive learning rates with sublinear memory cost. In <i>International Conference on Machine Learning</i> , pp. 4596–4604. PMLR, 2018.
710 711	Karen Simonyan and Andrew Zisserman. Very deep convolutional networks for large-scale image recognition. <i>arXiv preprint arXiv:1409.1556</i> , 2014.
712 713 714 715	Prabhu Teja Sivaprasad, Florian Mai, Thijs Vogels, Martin Jaggi, and François Fleuret. Optimizer benchmarking needs to account for hyperparameter tuning. In <i>International conference on machine learning</i> , pp. 9036–9045. PMLR, 2020.
716 717 718	Emma Strubell, Ananya Ganesh, and Andrew McCallum. Energy and policy considerations for modern deep learning research. In <i>Proceedings of the AAAI conference on artificial intelligence</i> , volume 34, pp. 13693–13696, 2020.
719 720 721	Weijie Su, Stephen Boyd, and Emmanuel J Candes. A differential equation for modeling nesterov's accelerated gradient method: Theory and insights. <i>Journal of Machine Learning Research</i> , 17 (153):1–43, 2016.
722 723 724 725	Teo Susnjak, Timothy R McIntosh, Andre LC Barczak, Napoleon H Reyes, Tong Liu, Paul Watters, and Malka N Halgamuge. Over the edge of chaos? excess complexity as a roadblock to artificial general intelligence. <i>arXiv preprint arXiv:2407.03652</i> , 2024.
726 727 728	Ilya Sutskever, James Martens, George Dahl, and Geoffrey Hinton. On the importance of initialization and momentum in deep learning. In <i>International conference on machine learning</i> , pp. 1139–1147. PMLR, 2013.
729 730 731	Tijmen Tieleman, Geoffrey Hinton, et al. Lecture 6.5-rmsprop: Divide the gradient by a running average of its recent magnitude. <i>COURSERA: Neural networks for machine learning</i> , 4(2):26–31, 2012.
732 733 734 735	Hugo Touvron, Matthieu Cord, Matthijs Douze, Francisco Massa, Alexandre Sablayrolles, and Hervé Jégou. Training data-efficient image transformers & distillation through attention. In <i>International conference on machine learning</i> , pp. 10347–10357. PMLR, 2021.
736 737	Gaël Varoquaux, Alexandra Sasha Luccioni, and Meredith Whittaker. Hype, sustainability, and the price of the bigger-is-better paradigm in ai. <i>arXiv preprint arXiv:2409.14160</i> , 2024.
738 739 740 741	Ashia C Wilson, Rebecca Roelofs, Mitchell Stern, Nati Srebro, and Benjamin Recht. The marginal value of adaptive gradient methods in machine learning. <i>Advances in neural information processing systems</i> , 30, 2017.
742 743 744	Zhewei Yao, Amir Gholami, Sheng Shen, Mustafa Mustafa, Kurt Keutzer, and Michael Mahoney. Adahessian: An adaptive second order optimizer for machine learning. In <i>proceedings of the AAAI conference on artificial intelligence</i> , volume 35, pp. 10665–10673, 2021.
745 746 747 748	Yang You, Jing Li, Sashank Reddi, Jonathan Hseu, Sanjiv Kumar, Srinadh Bhojanapalli, Xiaodan Song, James Demmel, Kurt Keutzer, and Cho-Jui Hsieh. Large batch optimization for deep learning: Training bert in 76 minutes. <i>arXiv preprint arXiv:1904.00962</i> , 2019.
749 750 751	Jingzhao Zhang, Sai Praneeth Karimireddy, Andreas Veit, Seungyeon Kim, Sashank Reddi, Sanjiv Kumar, and Suvrit Sra. Why are adaptive methods good for attention models? <i>Advances in Neural Information Processing Systems</i> , 33:15383–15393, 2020.
752 753 754 755	Juntang Zhuang, Tommy Tang, Yifan Ding, Sekhar C Tatikonda, Nicha Dvornek, Xenophon Pa- pademetris, and James Duncan. Adabelief optimizer: Adapting stepsizes by the belief in observed gradients. <i>Advances in neural information processing systems</i> , 33:18795–18806, 2020.

This is the appendix for "A second-order-like optimizer with adaptive gradient scaling for deep learning". 

**CONTENTS** 

762	Α	A reminder on optimization algorithms	15
763		L B	
764	P	Darivation of INNA prop from DIN	16
765	D	Derivation of Intraprop from Dity	10
766	~		10
767	С	Alternative discretizations	19
768			
769	D	Scheduler procedures	22
770			
771	Е	Choosing hypernarameters $\alpha$ and $\beta$ for INNA prop	23
772	Ľ	Choosing hyperput uncerts $\alpha$ and $\beta$ for $have prop$	20
773			
774	F	Additional experiments	24
775			
776	G	Experimental Setup	27
777			

#### A REMINDER ON OPTIMIZATION ALGORITHMS А

Considering the problem in Equation (1) and setting  $\nabla \mathcal{J}(\theta_k) = g_k$ , we outline several well-known update rule optimizers.

Table 5: Update rules considered for known optimizers. SGD is due to (Robbins & Monro, 1951), Momentum to (Polyak, 1964), Nesterov to (Nesterov, 1983), RMSprop + Momentum to (Graves, 2013), Adam to (Kingma & Ba, 2014), NAdam to (Dozat, 2016) and INNA to (Castera et al., 2021).

$\mathrm{SGD}(\gamma_k)$	Momentum $(\gamma_k, \beta_1)$
$\theta_{k+1} = \theta_k - \gamma_k g_k$	$\frac{1}{v_0 = 0}$
$\operatorname{Adam}(\gamma_k, \beta_1, \beta_2, \epsilon)$	$v_{k+1} = \beta_1 v_k + (1 - \beta_1) g_k$
$m_0 = 0, v_0 = 0$	$-  \theta_{k+1} = \theta_k - \gamma_k v_{k+1}$
$m_{k+1} = \beta_1 m_k + (1 - \beta_1) g_k$	<b>RMSprop + Momentum</b> $(\gamma_k, \beta_1, \beta_2, \epsilon)$
$v_{k+1} = \beta_2 v_k + (1 - \beta_2) g_k^2$	$v_0 = 1, m_0 = 0$
$\theta_{k+1} = \theta_k - \gamma_k \frac{m_{k+1}}{\sqrt{2k-1}} + \epsilon$	$v_{k+1} = \beta_2 v_k + (1 - \beta_2) g_k^2$
$\sqrt{v_{k+1}} + c$	$m_{k+1} = \beta_1 m_k + \frac{g_k}{\sqrt{v_{k+1} + \epsilon}}$
$\operatorname{NAdam}(\gamma_k,\psi,eta_1,eta_2,\epsilon)$	$\theta_{k+1} = \theta_k - \gamma_k m_{k+1}$
$m_0 = 0, v_0 = 0$	INNA $(\gamma_{k} \alpha \beta)$
$\mu_k = \beta_1 (1 - \frac{1}{2} 0.96^{k\psi})$	$\frac{1}{\psi_0 = (1 - \alpha\beta)\theta_0}$
$m_{k+1} = \beta_1 m_k + (1 - \beta_1) g_k$	$a/a + a = a/a + \gamma_a \left( (\frac{1}{a} - \alpha)\theta_a - \frac{1}{a}a/a \right)$
$v_{k+1} = \beta_2 v_k + (1 - \beta_2) g_k^2$	$\varphi_{k+1} = \varphi_k + \gamma_k \left( \begin{pmatrix} \beta & \alpha \end{pmatrix} \delta_k & \beta \\ \beta & \beta \end{pmatrix} \right)$
$\theta_{k+1} = \theta_{k} = \gamma_{k} \frac{\mu_{k+1} m_{k+1} + (1 - \mu_{k}) g_{k}}{\mu_{k+1} + (1 - \mu_{k}) g_{k}}$	$\theta_{k+1} = \theta_k + \gamma_k \left( (\frac{1}{\alpha} - \alpha)\theta_k - \frac{1}{\alpha}\psi_k - \beta g \right)$
$v_{k+1} - v_k - \frac{1}{\sqrt{v_{k+1}} + \epsilon}$	$\langle \beta \rangle = \beta + \delta \delta$

#### DERIVATION OF INNAPROP FROM DIN В

We consider (8) which was a discretization of (6), namely:

$$v_{k+1} = \sigma_2 v_k + (1 - \sigma_2) g_k^2 \tag{9}$$

$$\frac{\theta_{k+1} - 2\theta_k + \theta_{k-1}}{\gamma^2} + \alpha \frac{\theta_k - \theta_{k-1}}{\gamma} + \beta \frac{\frac{g_k}{\sqrt{v_{k+1} + \epsilon}} - \frac{g_{k-1}}{\sqrt{v_k} + \epsilon}}{\gamma} + \frac{g_{k-1}}{\sqrt{v_k} + \epsilon} = 0.$$
(10)

This gives

$$\frac{1}{\gamma} \left( \left( \frac{\theta_{k+1} - \theta_k}{\gamma} + \beta \frac{g_k}{\sqrt{v_{k+1}} + \epsilon} \right) - \left( \frac{\theta_k - \theta_{k-1}}{\gamma} + \beta \frac{g_{k-1}}{\sqrt{v_k} + \epsilon} \right) \right) = -\alpha \frac{\theta_k - \theta_{k-1}}{\gamma} - \frac{g_{k-1}}{\sqrt{v_k} + \epsilon}$$

and thus

$$\frac{1}{\gamma} \left( \left( \frac{\theta_{k+1} - \theta_k}{\gamma} + \beta \frac{g_k}{\sqrt{v_{k+1}} + \epsilon} \right) - \left( \frac{\theta_k - \theta_{k-1}}{\gamma} + \beta \frac{g_{k-1}}{\sqrt{v_k} + \epsilon} \right) \right)$$
$$= \left( \frac{1}{\beta} - \alpha \right) \frac{\theta_k - \theta_{k-1}}{\gamma} - \frac{1}{\beta} \left( \frac{\theta_k - \theta_{k-1}}{\gamma} + \beta \frac{g_{k-1}}{\sqrt{v_k} + \epsilon} \right).$$

Multiplying by  $\beta$ , we obtain

$$\begin{aligned} &\frac{1}{\gamma} \left( \left( \beta \frac{\theta_{k+1} - \theta_k}{\gamma} + \beta^2 \frac{g_k}{\sqrt{v_{k+1}} + \epsilon} \right) - \left( \beta \frac{\theta_k - \theta_{k-1}}{\gamma} + \beta^2 \frac{g_{k-1}}{\sqrt{v_k} + \epsilon} \right) \\ &= (1 - \alpha\beta) \frac{\theta_k - \theta_{k-1}}{\gamma} - \frac{\theta_k - \theta_{k-1}}{\gamma} - \beta \frac{g_{k-1}}{\sqrt{v_k} + \epsilon} \end{aligned}$$

after rearranging all terms

$$\frac{1}{\gamma} \left( \left( \beta \frac{\theta_{k+1} - \theta_k}{\gamma} + \beta^2 \frac{g_k}{\sqrt{v_{k+1}} + \epsilon} + (\alpha\beta - 1)\theta_k \right) - \left( \beta \frac{\theta_k - \theta_{k-1}}{\gamma} + \beta^2 \frac{g_{k-1}}{\sqrt{v_k} + \epsilon} + (\alpha\beta - 1)\theta_{k-1} \right) \right)$$
$$= -\frac{\theta_k - \theta_{k-1}}{\gamma} - \beta \frac{g_{k-1}}{\sqrt{v_k} + \epsilon} .$$

Setting  $\psi_{k-1} = -\beta \frac{\theta_k - \theta_{k-1}}{\gamma} - \beta^2 \frac{g_{k-1}}{\sqrt{v_k} + \epsilon} - (\alpha \beta - 1)\theta_{k-1}$ , we obtain the recursion

$$v_{k+1} = \sigma_2 v_k + (1 - \sigma_2) g_k^2 \tag{11}$$

$$\frac{\psi_k - \psi_{k-1}}{\gamma} = -\frac{\psi_{k-1}}{\beta} - \left(\alpha - \frac{1}{\beta}\right)\theta_{k-1} \tag{12}$$

$$\frac{\theta_{k+1} - \theta_k}{\gamma} = \frac{-1}{\beta} \psi_k - \beta \frac{g_k}{\sqrt{v_{k+1}} + \epsilon} - \left(\alpha - \frac{1}{\beta}\right) \theta_k \tag{13}$$

We can also rewrite the above as follows:

$$v_{k+1} = \sigma_2 v_k$$

$$v_{k+1} = \sigma_2 v_k + (1 - \sigma_2) g_k^2$$

$$\psi_{k+1} = \psi_k \left( 1 - \frac{\gamma}{\beta} \right) + \gamma \left( \frac{1}{\beta} - \alpha \right) \theta_k,$$

$$\psi_{k+1} = \psi_k \left( 1 - \frac{\gamma}{\beta} \right) + \gamma \left( \frac{1}{\beta} - \alpha \right) \theta_k,$$

861 
$$\psi_{k+1} = \psi_k \left( 1 - \frac{1}{\beta} \right) + \gamma \left( \frac{1}{\beta} - \alpha \right) \psi_k,$$
  
862  $\left( 1 - \frac{1}{\beta} \right) = 0$ 

863 
$$\theta_{k+1} = \theta_k \left( 1 + \gamma \left( \frac{1}{\beta} - \alpha \right) \right) - \frac{\gamma}{\beta} \psi_k - \gamma \beta \frac{g_k}{\sqrt{v_{k+1}} + \epsilon}.$$

We can save a memory slot by avoiding the storage of  $\psi_k$ :

$$\psi_{k+1} = \psi_k \left( 1 - \frac{\gamma}{\beta} \right) + \gamma \left( \frac{1}{\beta} - \alpha \right) \theta_k, \tag{14}$$
$$\psi_k = \frac{\beta}{\beta} \left( \psi_{k+1} - \gamma \left( \frac{1}{\beta} - \alpha \right) \theta_k \right) = \frac{\beta}{\beta} \psi_{k+1} - \frac{\beta}{\beta} \gamma \left( \frac{1}{\beta} - \alpha \right) \theta_k$$

 $=\theta_{k}+\gamma\left(\frac{1}{\beta}-\alpha\right)\theta_{k}-\frac{\gamma}{\beta-\gamma}\psi_{k+1}+\frac{\gamma}{\beta-\gamma}\gamma\left(\frac{1}{\beta}-\alpha\right)\theta_{k}-\gamma\beta\frac{g_{k}}{\sqrt{v_{k+1}}+\epsilon}$ 

(15)

 $=\theta_{k} + \left(1 + \frac{\gamma}{\beta - \gamma}\right)\gamma\left(\frac{1}{\beta} - \alpha\right)\theta_{k} - \frac{\gamma}{\beta - \gamma}\psi_{k+1} - \gamma\beta\frac{g_{k}}{\sqrt{v_{k+1}} + \epsilon}$ 

 $=\theta_{k} + \left(\frac{\beta}{\beta - \gamma}\right)\gamma\left(\frac{1}{\beta} - \alpha\right)\theta_{k} - \frac{\gamma}{\beta - \gamma}\psi_{k+1} - \gamma\beta\frac{g_{k}}{\sqrt{v_{k+1}} + \epsilon}$ 

$$\Leftrightarrow \quad \psi_{k} = \frac{\beta}{\beta - \gamma} \left( \psi_{k+1} - \gamma \left( \frac{1}{\beta} - \alpha \right) \theta_{k} \right) = \frac{\beta}{\beta - \gamma} \psi_{k+1} - \frac{\beta}{\beta - \gamma} \gamma \left( \frac{1}{\beta} - \alpha \right) \\ \theta_{k+1} = \theta_{k} \left( 1 + \gamma \left( \frac{1}{\beta} - \alpha \right) \right) - \frac{\gamma}{\beta} \psi_{k} - \gamma \beta \frac{g_{k}}{\sqrt{v_{k+1}} + \epsilon}$$

871 872 873

870

866 867 868

892 893 894

895

896

897

898

899

900

901

902

903

904

905

906 907 908

909

910

916 917 Finally, we merely need to use 3 memory slots having the underlying dimension size p:

 $= \left(1 + \frac{\gamma(1 - \beta \alpha)}{\beta - \gamma}\right)\theta_k - \frac{\gamma}{\beta - \gamma}\psi_{k+1} - \gamma\beta\frac{g_k}{\sqrt{v_{k+1}} + \epsilon}$ 

 $=\theta_{k} + \left(\frac{\gamma(1-\beta\alpha)}{\beta-\gamma}\right)\theta_{k} - \frac{\gamma}{\beta-\gamma}\psi_{k+1} - \gamma\beta\frac{g_{k}}{\sqrt{v_{k+1}} + \epsilon}$ 

$$v_{k+1} = \sigma_2 v_k + (1 - \sigma_2) g_k^2$$
  

$$\psi_{k+1} = \psi_k \left( 1 - \frac{\gamma}{\beta} \right) + \gamma \left( \frac{1}{\beta} - \alpha \right) \theta_k,$$
  

$$\theta_{k+1} = \left( 1 + \frac{\gamma (1 - \beta \alpha)}{\beta - \gamma} \right) \theta_k - \frac{\gamma}{\beta - \gamma} \psi_{k+1} - \gamma \beta \frac{g_k}{\sqrt{v_{k+1}} + \epsilon}$$

#### Algorithm 2 INNAprop

1: Objective function:  $\mathcal{J}(\theta)$  for  $\theta \in \mathbb{R}^p$ . 2: Constant step-size:  $\gamma > 0$ 3: Hyper-parameters:  $\sigma \in [0, 1], \alpha \ge 0, \beta > \gamma, \epsilon = 10^{-8}$ . 4: Initialization:  $\theta_0, v_0 = 0, \psi_0 = (1 - \alpha\beta)\theta_0$ . 5: for k = 1 to K do 6:  $g_k = \nabla \mathcal{J}(\theta_k)$ 7:  $v_{k+1} \leftarrow \sigma v_k + (1 - \sigma)g_k^2$ 8:  $\psi_{k+1} \leftarrow \left(1 - \frac{\gamma}{\beta}\right)\psi_k + \gamma\left(\frac{1}{\beta} - \alpha\right)\theta_k$ 9:  $\theta_{k+1} \leftarrow \left(1 + \frac{\gamma(1 - \alpha\beta)}{\beta - \gamma}\right)\theta_k - \frac{\gamma}{\beta - \gamma}\psi_{k+1} - \gamma\beta\frac{g_k}{\sqrt{v_{k+1} + \epsilon}}$ 10: return  $\theta_{K+1}$ 

# B.1 EQUIVALENCE BETWEEN A SPECIAL CASE OF INNAPROP AND ADAM WITHOUT MOMENTUM

911 In this section, we demonstrate that INNAprop with  $\alpha = 1$  and  $\beta = 1$  is equivalent to Adam (Kingma 912 & Ba, 2014) without momentum ( $\beta_1 = 0$ ). To illustrate this, we analyze the update rules of both 913 algorithms. We assume that the RMSprop parameter  $\beta_2$  (for Adam) and  $\sigma$  (for INNAprop) are equal. 914 Starting with INNAprop, we initialize  $\psi_0 = (1 - \alpha\beta)\theta_0$ . For  $\alpha = 1$  and  $\beta = 1$ , this simplifies to 915  $\psi_0 = 0$ . The update for  $\psi$  becomes:

$$\psi_{k+1} = \left(1 - \frac{\gamma}{\beta}\right)\psi_k + \gamma\left(\frac{1}{\beta} - \alpha\right)\theta_k = (1 - \gamma)\psi_k$$

Given that  $\psi_0 = 0$ , it follows that  $\psi_k = 0$  for all k. The parameter update rule for INNAprop is:

$$\theta_{k+1} = \left(1 + \frac{\gamma(1 - \alpha\beta)}{\beta - \gamma}\right)\theta_k - \frac{\gamma}{\beta - \gamma}\psi_{k+1} - \gamma\beta\frac{g_k}{\sqrt{v_{k+1}} + \epsilon}$$

Replacing  $\alpha = 1$ ,  $\beta = 1$ , and  $\psi_k = 0$ , we get:

$$\theta_{k+1} = \theta_k - \gamma \frac{g_k}{\sqrt{v_{k+1}} + \epsilon}$$

Here,  $g_k$  is the gradient, and  $v_{k+1}$  is the exponential moving average of the squared gradients:

$$v_{k+1} = \sigma v_k + (1 - \sigma)g_k^2$$

The Adam optimizer uses two moving averages,  $m_k$  (momentum term) and  $v_k$  (squared gradients):

$$m_{k} = \beta_{1}m_{k-1} + (1 - \beta_{1})g_{k}$$
$$v_{k} = \sigma v_{k-1} + (1 - \sigma)g_{k}^{2}$$

Setting  $\beta_1 = 0$ , the momentum term  $m_k$  simplifies to  $m_k = g_k$ . The update rule becomes:

$$\theta_{k+1} = \theta_k - \gamma \frac{g_k}{\sqrt{v_k} + \epsilon}$$

This matches the form of Adam's update rule without the momentum term, confirming that INNAprop with  $\alpha = 1$  and  $\beta = 1$  is equivalent to Adam with  $\beta_1 = 0$ .

**Algorithm 3** INNAprop with  $(\alpha, \beta) = (1, 1)$ 1: **Objective function:**  $\mathcal{J}(\theta)$  for  $\theta \in \mathbb{R}^p$ . 2: Constant step-size:  $\gamma > 0$ 3: Hyper-parameters:  $\sigma \in [0, 1], \alpha \ge 0, \beta > \gamma, \epsilon = 10^{-8}$ . 4: Initialization: time step  $k \leftarrow 0$ , parameter vector  $\theta_0$ ,  $v_0 = 0$ . 5: repeat 6:  $k \leftarrow k+1$  $\boldsymbol{g}_k = \nabla \mathcal{J}(\boldsymbol{\theta}_k)$ 7:  $\boldsymbol{v}_{k+1} \leftarrow \sigma \boldsymbol{v}_k + (1-\sigma) \boldsymbol{g}_k^2$ 8:  $\hat{\boldsymbol{v}}_{k+1} \leftarrow \boldsymbol{v}_{k+1}/(1-\sigma^k)$ 9:  $oldsymbol{ heta}_{k+1} \leftarrow oldsymbol{ heta}_k - \gamma_k \left(oldsymbol{g}_k/(\sqrt{\hat{oldsymbol{v}}_{k+1}} + \epsilon)
ight)$ 10: 11: until stopping criterion is met 12: **return** optimized parameters  $\theta_{k+1}$ 88 ach accuracy loss accur 88 10<sup>-2</sup> Test Train 75 100 125 Epochs 100 125 Epochs 175 200 Epochs - AdamW -**I**NNAprop, (α, β)= (0.1,0.9) 





Figure 8: Training ResNet18 on CIFAR10. Left: train loss, middle: test accuracy (%), right: train accuracy (%), with 8 random seeds.



Figure 9: Training a ResNet50 (top) and ResNet18 (bottom) on ImageNet. Left: train loss, middle: Top-1 test accuracy (%), right: Top-1 train accuracy (%). 3 random seeds.

#### С ALTERNATIVE DISCRETIZATIONS

#### C.1 AN ALTERNATIVE DERIVATION OF INNAPROP

As mentioned in Remark 2, we can obtain INNAprop easily from INNA (Castera et al., 2021). The algorithm INNA writes (see Table 5): 

$$\psi_{k+1} = \psi_k + \gamma_k \left( \left(\frac{1}{\beta} - \alpha\right) \theta_k - \frac{1}{\beta} \psi_k \right)$$
$$\theta_{k+1} = \theta_k + \gamma_k \left( \left(\frac{1}{\beta} - \alpha\right) \theta_k - \frac{1}{\beta} \psi_k - \beta q_k \right)$$

$$\theta_{k+1} = \theta_k + \gamma_k \left( (\frac{1}{\beta} - \alpha) \theta_k - \frac{1}{\beta} \psi_k - \beta g_k \right)$$

Rearranging the terms and saving a memory slot — use  $\psi_{k+1}$  in the second equation instead of  $\psi_k$ , (see Equation (15) for details)— yields 

1022  
1023  
1024
$$\psi_{k+1} = \psi_k \left(1 - \frac{\gamma}{\beta}\right) + \gamma \left(\frac{1}{\beta} - \alpha\right) \theta_k$$

1024  
1025 
$$\theta_{k+1} = \left(1 + \frac{\gamma(1 - \beta\alpha)}{\beta - \gamma}\right)\theta_k - \frac{\gamma}{\beta - \gamma}\psi_{k+1} - \gamma\beta g_k$$

1028 Now, use the RMSprop proxy directly within INNA. Using the usual RMSprop constants  $\sigma \in [0, 1]$ and  $\epsilon > 0$ , we obtain:

  $v_{k+1} = \sigma v_k + (1 - \sigma)g_k^2$ 

$$\psi_{k+1} = \psi_k \left( 1 - \frac{\gamma}{\beta} \right) + \gamma \left( \frac{1}{\beta} - \alpha \right) \theta_k$$

$$\theta_{k+1} = \left(1 + \frac{\gamma(1 - \beta\alpha)}{\beta - \gamma}\right)\theta_k - \frac{\gamma}{\beta - \gamma}\psi_{k+1} - \gamma\beta\frac{g_k}{\sqrt{v_{k+1}} + \epsilon}$$

This is INNAprop and the derivation is much more direct, although less illustrative of the geometricfeatures.

#### 1039 C.2 A VARIANT OF INNAPROP WITH MOMENTUM

1041<br/>1042The algorithm. We follow the rationale behind the algorithm RMSprop with momentum (Graves,<br/>2013). We therefore start with Equation (8) using the RMSprop proxy for the gradient:

$$v_{k+1} = \sigma v_k + (1 - \sigma)g_k^2$$

 $v_{k+1} = \sigma v_k + (1 - \sigma)g_k^2$ 

$$\frac{\theta_{k+1} - 2\theta_k + \theta_{k-1}}{\gamma} + \alpha \frac{\theta_k - \theta_{k-1}}{\gamma} + \beta \frac{\frac{g_k}{\sqrt{v_{k+1}} + \epsilon} - \frac{g_{k-1}}{\sqrt{v_k} + \epsilon}}{\gamma} + \frac{g_{k-1}}{\sqrt{v_k} + \epsilon} = 0.$$

Rearranging terms, we have

$$\theta_{k+1} = \theta_k + (1 - \alpha \gamma)(\theta_k - \theta_{k-1}) - \beta \gamma \left(\frac{g_k}{\sqrt{v_{k+1}} + \epsilon} - \frac{g_{k-1}}{\sqrt{v_k} + \epsilon}\right) - \gamma^2 \frac{g_{k-1}}{\sqrt{v_k} + \epsilon}$$

1053 Let us introduce a momentum variable  $m_k = \theta_{k-1} - \theta_k$  to obtain:

$$v_{k+1} = \sigma v_k + (1 - \sigma)g_k^2 \tag{16}$$

$$m_{k+1} = (1 - \alpha \gamma)m_k + \gamma^2 \frac{g_{k-1}}{\sqrt{v_k} + \epsilon} + \beta \gamma \left(\frac{g_k}{\sqrt{v_{k+1}} + \epsilon} - \frac{g_{k-1}}{\sqrt{v_k} + \epsilon}\right)$$
(17)

$$\theta_{k+1} = \theta_k - m_{k+1} \tag{18}$$

As previously need now to optimize the dynamics in terms of storage. For this we rewrite Equation (17) as

$$m_{k+1} = am_k + bg_k - cg_{k-1}.$$
(19)

where 
$$a = (1 - \alpha \gamma)$$
,  $b = \beta \gamma$  and  $c = \gamma(\beta - \gamma)$ . Writing  $\tilde{m}_k = m_k - \frac{c}{a}g_{k-1}$ , we have

$$\tilde{m}_{k+1} = m_{k+1} - \frac{c}{a}g_k$$
$$= am_k + bg_k - cg_{k-1} - \frac{c}{a}g_k$$

1068  
1069  
1070  
1071
$$= a\left(m_k - \frac{c}{a}g_{k-1}\right) + \left(b - \frac{c}{a}\right)g_k$$

$$= a\tilde{m}_k + \left(b - \frac{c}{a}\right)g_k.$$

<sup>1072</sup> Therefore, using this identity, we may rewrite the following

1010	$m_{1} = am_{1} \pm ba_{2} = ca_{1}$
107/	$m_{k+1} - am_k + bg_k - cg_{k-1},$
1074	0 0
1075	$\sigma_{k+1} = \sigma_k - m_{k+1}$

as

 $\tilde{m}_{k+1} = a\tilde{m}_k + \left(b - \frac{c}{a}\right)g_k,$ 

$$\theta_{k+1} = \theta_k - \tilde{m}_{k+1} - \frac{1}{a}g_k.$$

1080 Recalling that  $a = (1 - \alpha \gamma)$ ,  $b = \beta \gamma$  and  $c = \gamma(\beta - \gamma)$ . Finally, we get the following recursion which is an alternative way to integrate RMSprop to INNA:

$$v_{k+1} = \sigma v_k + (1 - \sigma)g_k^2$$
(20)

(21)

 $\tilde{m}_{k+1} = (1 - \alpha \gamma)\tilde{m}_k + \gamma^2 \left(\frac{1 - \alpha \beta}{1 - \alpha \gamma}\right) \frac{g_k}{\sqrt{v_{k+1}} + \epsilon}$ 

1088

1098 1099

1100 1101 1102

1103 1104 1105

1106

1107 1108

1109 1110

1111 1112

1084

$$\theta_{k+1} = \theta_k - \tilde{m}_{k+1} - \frac{\gamma(\beta - \gamma)}{1 - \alpha\gamma} \frac{g_k}{\sqrt{v_{k+1}} + \epsilon}$$
(22)

but as shown below through numerical experiments, the factor  $\gamma^2$  is poorly scaled for 32 bits or lower machine precision.

**Numerical experiments.** Using CIFAR-10 dataset, we train a VGG11 network with the momentum version of INNAprop with the hyperparameters  $(\alpha, \beta) = (0.1, 0.9)$  above. We used a cosine annealing scheduler with  $\gamma_0 = 10^{-3}$  and no weight decay. As seen in Figure 10, the training loss stops decreasing between the 125th and 150th epochs. Upon closely examining the algorithm in this regime, we observe that at the end of training,  $\gamma_k^2$  falls below the numerical precision, resulting in unstable behavior in Equation (21).



Figure 10: The version of INNA with momentum of Section C.2 is an unstable method.

# 1113C.3AN APPROACH À LA ADAM

In this section, we mimic the process for deriving Adam from the heavy ball with a RMSprop proxy, see, e.g., Kingma & Ba (2014); Ruder (2016), by simply replacing the heavy ball by DIN<sup>4</sup>. We call this optimizer DINAdam.

From (6), we infer the discretization:

$$\frac{\theta_{k+1} - 2\theta_k + \theta_{k-1}}{\gamma^2} + \alpha \frac{\theta_{k+1} - \theta_k}{\gamma} + \beta \frac{g_k - g_{k-1}}{\gamma} + g_k = 0.$$
(23)

1123 Rearranging terms, we have

1130

1120 1121 1122

$$\theta_{k+1} = \theta_k - \frac{\gamma^2}{1+\alpha\gamma}g_k + \frac{1}{1+\alpha\gamma}(\theta_k - \theta_{k-1}) - \frac{\beta\gamma}{(1+\alpha\gamma)}(g_k - g_{k-1})$$
(24)

By introducing the new variable  $m_k = (\theta_{k-1} - \theta_k)/\eta$  and setting  $\eta > 0$ , we can rewrite equation (24) as:

$$m_{k+1} = \frac{1}{(1+\alpha\gamma)}m_k + \frac{\gamma^2}{(1+\alpha\gamma)\eta}g_k + \frac{\beta\gamma}{(1+\alpha\gamma)\eta}(g_k - g_{k-1})$$
(25)

1131 
$$(1 + \alpha \gamma) \eta^{\alpha} (1 + \alpha \gamma) \eta^{\alpha} (1 + \alpha \gamma) \eta^{\alpha}$$
1132 
$$\theta_{k+1} = \theta_k - \eta m_{k+1}$$
1133 (26)

<sup>&</sup>lt;sup>4</sup>Note that DIN with  $\beta = 0$  boils down to the heavy ball method.

1134 To follow the Adam spirit, we set  $\sigma_1 = \frac{1}{(1+\alpha\gamma)}$  and  $(1-\sigma_1) = \frac{\gamma^2}{(1+\alpha\gamma)n}$ . Solving for  $\gamma$ , we get 1135 1136  $\frac{\alpha\gamma}{1+\alpha\gamma} = \frac{\gamma^2}{(1+\alpha\gamma)\eta} \Rightarrow \gamma = \frac{\eta}{\alpha}$ 1137 1138 Then, we find the following recursion: 1139  $m_{k+1} = \sigma_1 m_k + (1 - \sigma_1) g_k + \beta \alpha \sigma_1 (g_k - g_{k-1})$ 1140 (27)1141  $\theta_{k+1} = \theta_k - \eta m_{k+1}$ (28)1142 1143 From Equation (27), we make a change of variable  $\tilde{m}_k = m_k - \alpha \beta g_{k-1}$  to save a memory cell. 1144 1145  $\tilde{m}_{k+1} = \sigma_1 \tilde{m}_k + (1 - \sigma_1 + \beta \alpha \sigma_1 - \beta \alpha) g_k$ (29)1146  $\theta_{k+1} = \theta_k - \eta(\tilde{m}_{k+1} - \alpha\beta q_k)$ 1147 (30)1148 Using the usual RMSprop constants  $\sigma_2 \in [0, 1]$  and  $\epsilon > 0$ , we obtain: 1149 1150 1151  $v_{k+1} = \sigma_2 v_k + (1 - \sigma_2) g_k^2$ (31)1152  $\tilde{m}_{k+1} = \sigma_1 \tilde{m}_k + (1 - \sigma_1 + \beta \alpha \sigma_1 - \beta \alpha) g_k$ 1153 (32)1154  $\theta_{k+1} = \theta_k - \eta \frac{\tilde{m}_{k+1} - \alpha \beta g_k}{\sqrt{v_{k+1}} + \epsilon}$ (33)1155 1156 1157 Algorithm 4 DINAdam 1158 1159 1: **Objective function:**  $\mathcal{J}(\theta)$  for  $\theta \in \mathbb{R}^p$ . 1160 2: Constant step-size:  $\gamma > 0$ 3: Hyper-parameters:  $(\sigma_1, \sigma_2) \in [0, 1]^2, \alpha, \beta > 0, \epsilon = 10^{-8}$ . 1161 4: Initialization:  $\theta_0, v_0 = 0, \tilde{m}_0 = 0$ . 1162 5: repeat 1163  $\boldsymbol{g}_k = \nabla \mathcal{J}(\boldsymbol{\theta}_k)$ 6: 1164  $oldsymbol{v}_{k+1} \leftarrow \sigma_2 oldsymbol{v}_k + (1 - \sigma_2) oldsymbol{g}_k^2$ 7: 1165  $\tilde{\boldsymbol{m}}_{k+1} \leftarrow \sigma_1 \tilde{\boldsymbol{m}}_k + (1 - \sigma_1 + \beta \alpha \sigma_1 - \beta \alpha) \boldsymbol{g}_k \\ \boldsymbol{\theta}_{k+1} \leftarrow \boldsymbol{\theta}_k - \gamma \frac{\tilde{\boldsymbol{m}}_{k+1} - \alpha \beta \boldsymbol{g}_k}{\sqrt{v_{k+1} + \epsilon}}$ 8: 1166 9: 1167 10: until stopping criterion is met 1168 11: **return** optimized parameters  $\theta_k$ 1169 1170

1171**Remark 5** The way RMSprop is added in INNAprop and DINAdam is different. In INNAprop,1172RMSprop is incorporated directly during the discretization process of Equation (8) for all gradients.1173However, in DINAdam, RMSprop is added only at the last step, as shown in Equation (31), and only1174on the gradient in the  $\theta_{k+1}$  update. This is how RMSprop was combined with heavy ball to obtain1175Adam.

**Remark 6** After setting  $\alpha = 1$  and  $\beta = 0$ , we obtain Adam update rules. If  $\beta \neq 0$ , DINAdam is very close to NAdam algorithm. Hence, we did not investigate this algorithm numerically.

1179 1180

1181

1176

D SCHEDULER PROCEDURES

**Cosine annealing (Loshchilov & Hutter, 2016).** Let  $\gamma_k$  represent the learning rate at iteration k,  $T_{\text{max}}$  be the maximum number of iterations (or epochs), and  $\gamma_{\text{min}}$  be the minimum learning rate (default value is 0). The learning rate  $\gamma_k$  at iteration k is given by:

1185  
1186  
1187  

$$\gamma_k = \gamma_{\min} + \frac{1}{2}(\gamma_0 - \gamma_{\min})\left(1 + \cos\left(\frac{k}{T_{\max}}\pi\right)\right)$$
1187

This scheduler was employed in all image classification experiments except for ViT.

**Cosine annealing with linear warmup (Radford et al., 2018).** Let  $\gamma_k$  represent the learning rate at iteration k,  $\gamma_{min}$  the minimum learning rate,  $\gamma_0$  the initial learning rate,  $T_{warmup}$  the number of iterations for the warmup phase, and  $T_{decay}$  the iteration number after which the learning rate decays to  $\gamma_{min}$ . The learning rate is defined as follows:

$$\gamma_{k} = \begin{cases} \gamma_{0} \cdot \frac{k}{T_{\text{warmup}}}, & \text{if } k < T_{\text{warmup}} \\ \gamma_{\min} + \frac{1}{2} \left( \gamma_{0} - \gamma_{\min} \right) \left( 1 + \cos \left( \pi \cdot \frac{k - T_{\text{warmup}}}{T_{\text{decay}} - T_{\text{warmup}}} \right) \right), & \text{if } T_{\text{warmup}} \le k \le T_{\text{decay}} \\ \gamma_{\min}, & \text{if } k > T_{\text{decay}} \end{cases}$$

This scheduler was applied in experiments involving training GPT-2 from scratch and for ViT.

**Linear schedule with linear warmup (Hu et al., 2021).** Let  $\gamma_k$  represent the learning rate at iteration k and  $T_{\text{max}}$  be the maximum number of iterations,  $T_{\text{warmup}}$  be the number of warmup steps, and  $\gamma_{\text{min}}$  be the minimum learning rate after warmup (default value is typically set to the initial learning rate,  $\gamma_0$ ). The learning rate  $\gamma_k$  at iteration k is given by:

$\int \gamma_0 \cdot \frac{k}{T_{\text{warmup}}}$	if $k < T_{\text{warmup}}$ ,
$\gamma_k = \left\{ \gamma_0 \cdot \left( 1 - \frac{k - T_{\text{warmup}}}{T_{\text{max}} - T_{\text{warmup}}} \right) \right.$	otherwise.

This scheduler was used for fine-tuning GPT-2 with LoRA.

#### <sup>1216</sup> E CHOOSING HYPERPARAMETERS $\alpha$ and $\beta$ for INNAPROP

#### E.1 COMPARISON WITH ADAMW

For VGG and ResNet training on CIFAR10, the literature suggest using initial learning rate  $\gamma_0 = 10^{-3}$ with a learning rate schedule (Mishchenko & Defazio, 2023; Defazio & Mishchenko, 2023; Yao et al., 2021; Zhuang et al., 2020). Our experiment fix a cosine scheduler where  $T_{\text{max}} = 200$  and  $\gamma_{\text{min}} = 0$  as it achieves a strong baseline for AdamW (Loshchilov & Hutter, 2016; Mishchenko & Defazio, 2023). We set weight decay  $\lambda = 0.1$ . Then, we tune the initial learning rate  $\gamma_0$  among  $\{10^{-4}, 5 \times 10^{-4}, 10^{-3}, 5 \times 10^{-3}, 10^{-2}\}$ . In Figure 11, we report the performance in terms of training loss and test accuracy for AdamW. These results confirm the usage of  $\gamma_0 = 10^{-3}$ .

1230	(a) Performance rankings with VGG11.			(b) Performance rankings with ResNet18.		
1231	$\gamma_0$	Train loss	Test accuracy (%)	$\gamma_0$	Train loss	Test accuracy (%)
1233	$10^{-3}$	0.00041	91.02	$10^{-3}$	0.00040	92.1
1234	$5 \times 10^{-3}$	0.00047	90.86	$5 \times 10^{-3}$	0.00049	91.84
1235	$5 \times 10^{-4}$	0.00048	90.79	$5 \times 10^{-4}$	0.00094	92.32
1236	$10^{-2}$	0.00057	90.41	$10^{-2}$	0.00057	90.41
1237	$10^{-4}$	0.00081	88.49	$10^{-4}$	0.0018	87.85

Figure 11: Comparative performance of the training loss and test accuracy according to  $\gamma_0$ . We trained VGG11 and ResNet18 models on CIFAR10 for 200 epochs.



Figure 12: Training ResNet18 on CIFAR10. Left: train loss, middle: test accuracy (%), right: train accuracy (%), with 8 random seeds.

#### F.2 FOOD101 EXPERIMENTS



Figure 13: Finetuning a ResNet18 on Food101, same as Figure 4 for ResNet18. Left: train loss, middle: test accuracy (%), right: train accuracy (%), with 3 random seeds.



Figure 14: Training ResNet18 on ImageNet. Left: train loss, middle: test accuracy (%), right: train accuracy (%), with 3 random seeds.







1403 We evaluate INNA on GPT-2 Mini and compare it to INNAprop and AdamW. Following Castera et al. (2021), we used the recommended hyperparameters ( $\alpha, \beta$ ) = (0.5, 0.1) and tested learning rates



Figure 19: Validation loss comparison during GPT-2 mini training from scratch on the OpenWebText dataset.

#### G **EXPERIMENTAL SETUP**

#### G.1 CIFAR-10

We used custom training code based on the PyTorch tutorial code for this problem. Following standard data-augmentation practices, we applied random horizontal flips and random offset cropping down to 32x32, using reflection padding of 4 pixels. Input pixel data was normalized by centering around 0.5.

1431	Hyper-parameter	Value
1432	Architecture	VGG11 and ResNet18
1433	Epochs	200
1434	GPUs	1×V100
1435	Batch size per GPU	256
1436	Baseline LR	0.001
1437	Seeds	8 runs

Hyper-parameter	Value
Baseline schedule	cosine
Weight decay $\lambda$	0.01
$\beta_1, \beta_2$ (for AdamW)	0.9, 0.999
$\sigma$ (for INNAprop)	0.999

#### G.2 FOOD101

We used the pre-trained models available on PyTorch for VGG11 and ResNet18.<sup>5</sup>.

1443	Hyper-parameter	Value
1444	Architecture	VGG11 and ResNet18
1445	Epochs	200
1446	GPUs	1×V100
1447	Batch size per GPU	256
1448	Baseline LR	0.001
1449	Seeds	3 runs

Hyper-parameter	Value
Baseline schedule	cosine
Weight decay $\lambda$	0.01
$\beta_1, \beta_2$ (for AdamW)	0.9, 0.999
$\sigma$ (for INNAprop)	0.999

G.3 IMAGENET

We used the same code-base as for our CIFAR-10 experiments, and applied the same preprocess-ing procedure. The data-augmentations consisted of PyTorch's RandomResizedCrop, cropping to 224x224 followed by random horizontal flips. Test images used a fixed resize to 256x256 followed by a center crop to 224x224. 

<sup>&</sup>lt;sup>5</sup>https://pytorch.org/vision/stable/models.html

#### 1458 G.3.1 RESNET18

1459 1460 1461

1468

#### Hyper-parameter Value

1461	Hyper-parameter	value
1462	Architecture	ResNet18
1463	Epochs	90
1/6/	GPUs	4×V100
1404	Batch size per GPU	64
1465	Baseline LR	0.001
1466	Seeds	3 runs
1467		

Hyper-parameter	Value
Baseline schedule	cosine
Weight decay $\lambda$	0.01
$\beta_1, \beta_2$ (for AdamW)	0.9, 0.999
$\sigma$ (for INNAprop)	0.999

#### G.3.2 RESNET50

Hyper-parameter	Value
Architecture	ResNet18
Epochs	90
GPUs	4×V100
Batch size per GPU	64
Baseline LR	0.001
Mixed precision	True
Seeds	3 runs

Hyper-parameter	Value
Baseline schedule	cosine
Weight decay $\lambda$	0.1
$\beta_1, \beta_2$ (for AdamW)	0.9, 0.999
$\sigma$ (for INNAprop)	0.999

#### G.3.3 VIT/B-32

1481		
1482	Hyper-parameter	Value
1483	Architecture	ViT/B-32
1484	Epochs	300
1485	GPUs	8×A100
1486	Batch size per GPU	128
1487	Baseline LR	0.001
1488	Seeds	5000

G.4 GPT2 FROM SCRATCH

Hyper-parameter

Architecture

Batch size per gpu

**GPUs** 

Dropout

Baseline LR

Warmup Steps

matching the default batch-size and schedule.

	Hyper-parameter	Value
2	Baseline schedule	cosine
	Warmup	linear for 30 epochs
)	Weight decay $\lambda$	0.1
	$\beta_1, \beta_2$ (for AdamW)	0.9, 0.999
	$\sigma$ (for INNAprop)	0.999

Hyper-parameter

Seeds

Weight decay  $\lambda$ 

 $\beta_1, \beta_2$  (for AdamW)  $\sigma$  (for INNAprop)

Gradient Clipping

Float16

Value

5000

0.1

0.9, 0.95

0.99

1.0

True

1488 1489

1480

1490

1491 1492

1493

1494

1495
1496
1497
1498

1499

Max Iters

1500 1501

1502 1503

1504 1505

G.5 GPT-2 WITH LORA We followed the LoRA codebase  $^{7}$  and we refer to (Hu et al., 2021) as closely as possible, matching

Value

GPT-2

12

100000

 $4 \times A100$ 

0.0

refer to (Brown et al., 2020)

500

1506 the default batch-size, training length, and schedule. We train all of our GPT-2 models using AdamW 1507 (Loshchilov & Hutter, 2017) and INNAprop on E2E dataset with a linear learning rate schedule for 5 1508 epochs. We report the mean result over 3 random seeds; the result for each run is taken from the best 1509 epoch.

We followed the NanoGPT codebase <sup>6</sup> and we refer to (Brown et al., 2020) as closely as possible,

<sup>&</sup>lt;sup>6</sup>https://github.com/karpathy/nanoGPT

<sup>&</sup>lt;sup>7</sup>https://github.com/microsoft/LoRA

1513	Hyper-parameter	Value	] [	Hyper-parameter
1514	Architecture	GPT-2		Seeds
1515	Batch size per gpu	8		Weight decay $\lambda$
1516	Epochs	5	] [	$\beta_1, \beta_2$ (for AdamW)
1517	GPUs	1×A100		$\sigma$ (for INNAprop)
1518	Dropout	0.1	] [	Learning Rate Schedule
1519	Baseline LR	0.0002	] [	LoRA $\alpha$
1520	Warmup steps	500		

Value

3 runs

0.01

0.9, 0.98

0.98

Linear

1562
1563
1564
1565