# ASRank: Zero-Shot Re-Ranking with Answer Scent for Document Retrieval

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#### Abstract

Retrieval-Augmented Generation (RAG) models have drawn considerable attention in modern open-domain question answering. The effectiveness of RAG depends on the quality of the top retrieved documents. However, conventional retrieval methods sometimes fail to rank the most relevant documents at the top. In this paper, we introduce ASRANK, a new re-ranking method based on scoring retrieved documents using zero-shot answer scent which relies on a pretrained large language model 011 to compute the likelihood of the documentderived answers aligning with the answer scent. Our approach demonstrates marked improvements across several datasets, including NQ, TriviaQA, WebQA, ArchivalQA, HotpotQA, and Entity Questions. Notably, ASRANK in-018 creases Top-1 retrieval accuracy on NQ from 19.2% to 46.5% for MSS and from 22.1% to 47.3% for BM25. Finally, ASRANK shows strong retrieval performance on several datasets compared to state-of-the-art methods 47.3 Top-1 by ASRANK vs 35.4 by UPR (Sachan et al., 2022) by  $BM25^1$ .

#### 1 Introduction

Document retrieval is a core sub-task in many NLP problems, including open-domain question answering (ODQA), where a document is retrieved and then read to answer an input query. This process tries to find the most relevant documents or passages given the query. The Retrieval-Augmented Generation (RAG) model has achieved a significant improvement in the field of open-domain question answering (ODQA) (Lewis et al., 2020). RAG models combine retrieved documents and advanced pre-trained large language models (LLMs) generating responses based on the retrieved information (Lewis et al., 2020; Lála et al., 2023). However, the performance of RAG models depends on the top



Figure 1: After re-ranking the top 1,000 passages retrieved by DPR (Karpukhin et al., 2020a) with AS-RANK, our method surpasses the performance of strong unsupervised models like UPR (Sachan et al., 2022) on the Natural Questions and TriviaQA datasets.

retrieved documents, especially on the first document (Setty et al., 2024; Zhang et al., 2024). The RAG model usually uses the first retrieved document, which is the primary source for generating the response. In RAG, queries and documents are embedded in a shared representation space to enable efficient search before using a task-specific model to perform a deeper, token-level document analysis.

The answer scent is a concept analogous to the way in which animals track the scent of their prey (Maxwell and Azzopardi, 2018). Cognitive psychologists (Winerman, 2012) have found that people search for information online in much the same way as animals hunt for food, leading to the establishment of the concept of *Information scent* in the Information Retrieval field. It refers to the trail of relevant information that leads a user to the correct answer. Our proposal is built upon a similar concept of tracing the answer scent.

<sup>&</sup>lt;sup>1</sup>because the anonymous code and the dataset will be available after reviewing the paper.

In this paper, we introduce ASRANK, a simple, 060 effective, and fast re-ranking method that leverages 061 the concept of answer scent. Our approach first 062 utilizes larger LLMs like GPT-3.5 or Llama 7-70B to generate an answer scent. This is done just once, hence is computationally efficient. Subsequently, a 065 smaller model such as T5 is employed to re-rank the documents based on the received answer scent. This two-tiered approach allows leveraging the gen-068 erative capabilities of a larger LLM to boost the re-ranking capabilities of smaller models thanks to improved contextual understanding. Our method scores retrieved documents using a zero-shot an-072 swer scent, which relies on a pre-trained LLM to compute the likelihood of the document-derived answers aligning with the answer scent. This approach allows to rank documents not just based on their initial retrieval scores but also on the likeli-077 hood of containing an answer (via answer scent) and the degree to which they contain information that aligns with the expected answer. By applying a cross-attention mechanism to every token in both the question and the passage, ASRANK tracks the answer scent within the document corpus. Our approach successfully addresses the challenge of ensuring that the most relevant document is ranked at the top, a significant problem in open-domain question answering and RAG systems (Figure 1).

## 2 Method

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In this section, we detail the methodology of AS-RANK, starting with retrieving documents based on either sparse or dense techniques. Subsequently, we introduce our concept of generating an Answer Scent using a large language model (Section 2.2), followed by an efficient re-ranking process that employs a smaller model (Section 2.3), which enhances the alignment and relevance of the retrieved documents to the query in our RAG system. Figure 2 shows an overview of the ASRANK framework.

#### 2.1 Retriever

Let  $\mathcal{M} = \{d_1, \ldots, d_M\}$  represent a collection of evidence documents. Given a query q, the retriever's task is to select a subset of relevant documents  $\mathcal{D} \subset \mathcal{M}$ , aiming to include those that likely contain the answer to q. Our framework is designed to operate on documents retrieved by arbitrary methods, hence ones that can either utilize sparse or dense representations. **Sparse representation** methods such as BM25 (Robertson et al., 2009), a non-neural approach, rely on term frequency and inverse document frequency to rank documents. This method is effective for scenarios where lexical matching is crucial, providing a strong baseline due to its simplicity and proven efficiency in various information retrieval tasks. **Dense representation** methods like Dense Passage Retrieval (DPR) (Karpukhin et al., 2020b) employ neural network architectures to encode queries and documents into dense vector spaces. The relevance of documents is assessed based on the similarity of these vectors, allowing to capture semantic relationships that go beyond keyword matching. Regardless of the retrieval technique employed, the retrieval system identifies the top-K most relevant documents, denoted as  $\mathcal{D} = \{d_1, \ldots, d_K\}$ .

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#### 2.2 Answer Scent Generation

Large language models (LLMs) such as GPT-3 (Brown et al., 2020) and LLaMA (Touvron et al., 2023) define probability distributions over sequences of tokens. Given a sequence  $x_1, ..., x_n$ , these models typically predict the sequence's probability using an autoregressive approach  $p(x_1, ..., x_n) = \prod_{i=1}^n p(x_i | x_{<i})$ , where  $x_{<i} := x_1, ..., x_{i-1}$  represents the sequence of tokens preceding  $x_i$ , also referred to as its *prefix*. This modeling is implemented via a transformer network parameterized by  $\theta_1$ , typically employing a causal self-attention mask  $p(x_1, ..., x_n) =$  $\prod_{i=1}^n p_{\theta_1}(x_i | x_{<i})$ , which effectively models the conditional probabilities of each token.

In our approach, we incorporate the concept of *answer scent*, which guides the model in generating answers that are contextually appropriate for the query, inspired by the success of In-Context Learning (Brown et al., 2020; Ram et al., 2023; Dong et al., 2022). This context is derived using a zero-shot approach, where the model infers the scent without explicit prior training on such task:  $p(x_1, ..., x_n) = \prod_{i=1}^n p(x_i | x_{<i}, \mathcal{S}(x_{<i}))$ , where  $\mathcal{S}(x_{<i})$  denotes the inferred answer scent in the form of a generated text content. The objective of Scent is to encode the essence of what the answer should represent, enriching the input to the LLM reranker.

### 2.3 ASRANK Re-Ranking

ASRANK introduces an unsupervised re-ranking utilizing LLM to evaluate the relevance of documents based on S(q), which serves as the guiding context corresponding to the target query q.



Figure 2: Our ASRANK framework, starting with document retrieval, re-ranking using the answer scent from LLMs, and finally passing top-k in the RAG system.

The core of ASRANK's method is the calculation of a relevance score for each document, leveraging both the content of the document and its alignment with the inferred answer scent. The score is formulated as:

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$$s(\boldsymbol{d}_i) = \sum_{t=1}^{|\boldsymbol{a}|} -\log p(a_t \mid \boldsymbol{a}_{< t}, \boldsymbol{d}_i, \boldsymbol{q}, \mathcal{S}(\boldsymbol{q}); \Theta_2),$$

where  $d_i$  represents an individual document within the set of retrieved documents  $\mathcal{D}$ , |a| denotes the length of the a - an answer generated based on  $d_i$  by the rank model, and  $\mathcal{S}(q)$  represents the answer scent derived from the query q. The term  $\log p(a_t | a_{< t}, d_i, q, \mathcal{S}(q); \Theta_2)$  is the log probability of each token  $a_t$  of the answer conditional on the prior tokens  $a_{< t}$ , the document  $d_i$ , the query q, and the answer scent, parameterized by the model's parameters  $\Theta_2$ .

To elaborate, the computation of the conditional probabilities can be decomposed as follows:

$$\log p(\boldsymbol{a} \mid \boldsymbol{d}_i, \boldsymbol{q}, \mathcal{S}(\boldsymbol{q})) = \sum_{t=1}^{|\boldsymbol{a}|} \log p(a_t \mid \boldsymbol{a}_{< t}, \boldsymbol{d}_i, \boldsymbol{q}, \mathcal{S}(\boldsymbol{q}); \Theta_2)$$

where  $\log p(a \mid d_i, q, S(q))$  is the log probability of generating the entire answer sequence from the document, the query, and the answer scent. The relevance score, denoted by  $s(d_i)$ , is reformulated using Bayes' Theorem. This score is represented as follows:

$$s(\boldsymbol{d}_i) \propto \log p(\boldsymbol{a} \mid \boldsymbol{d}_i, \boldsymbol{q}, \mathcal{S}(\boldsymbol{q})) + \log p(\boldsymbol{d}_i \mid \boldsymbol{q}) - \log p(\boldsymbol{a} \mid \boldsymbol{q})$$

where  $\log p(a \mid d_i, q, S(q))$  represents the log probability of generating the answer *a* given the document  $d_i$ , the query *q*, and the inferred answer scent S(q).  $\log p(d_i \mid q)$  is the log probability that the document  $d_i$  is relevant to the query *q*, based on the initial retrieval.  $-\log p(a \mid q)$  normalization term adjusts for the base likelihood of the answer *a* being related to the query *q* across all documents. 190

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The decision to select the most relevant document employs a maximization approach  $\hat{i}$  =  $\arg \max_{i \in [1,K]} s(d_i)$ , indicating the selection of the document  $d_i$  that maximizes the relevance score, thereby enhancing the likelihood that the document contains the information necessary to answer the query effectively, aligned with the derived answer scent.

#### **3** Experiment Settings

#### 3.1 Datasets

We utilize several common datasets for our experiments, whose detailed statistics are provided in Appendix A:

**Open Domain QA datasets:** TriviaQA (Joshi et al., 2017) is a collection of trivia questions sourced from trivia and quiz-league websites. Natural Questions (NQ) (Kwiatkowski et al., 2019) is a question-answering dataset containing 79,168 training examples, 8,757 development examples, and 3,610 test question-answer pairs.WebQuestions (Berant et al., 2013) is a question-answering dataset that was created using Freebase as a knowledge base and which contains 5,810 question-answer pairs.

**Entity-centric Questions:** Entity Questions (Sciavolino et al., 2021) contains 22K short questions about named entities based on facts from Wikipedia.

**Temporal Questions:** ArchivalQA (Wang et al., 2022) is a large-scale question answer collection designed specifically for temporal news QA, containing 532,444 question-answer pairs, often on

detailed or minor aspects. These pairs are derived from the New York Times Annotated Corpus, which spans news articles published between
January 1, 1987, and June 19, 2007. We follow
prior work (Wallat et al., 2024) and evaluate ASRANK on the subset of ArchivalQA dataset, which
comprises 7,500 questions.

Multi-hop Questions: HotpotQA (Yang et al., 2018) contains 113K crowd-sourced questions constructed in a way that the introduction paragraphs of two Wikipedia articles are required to answer questions (i.e., two hops). We focus on the fullwiki setting, in which two Wikipedia passages are required to answer the questions. We follow prior work (Khalifa et al., 2022) and evaluate ASRANK on the development set, which has 7,405 questions.

#### 3.2 Retrievers

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In our re-ranking experiments, we retrieve passages using both unsupervised and supervised retrievers, as detailed below.

**Unsupervised Retrievers:** BM25 (Robertson and Zaragoza, 2009) is a ranking function used by search engines to estimate the relevance of documents to a given search query. Masked Salient Spans (MSS) (Sachan et al., 2021a) is a dense retriever trained by predicting masked salient spans like named entities with the help of a reader network. Contriever (Izacard et al., 2022) is a framework for pre-training and fine-tuning models for information retrieval using contrastive learning.

Supervised Retrievers: Dense Passage Retrieval (DPR) (Karpukhin et al., 2020b) uses annotated question-context paragraphs and hard negative examples to train a supervised dense retriever. MSS-DPR (Sachan et al., 2021a) further improves DPR performance by first pre-training the dense retriever using MSS followed by DPR-style supervised fine-tuning. A detailed explanation of Unsupervised/Supervised retrievers is given in Appendix D.

## 3.3 LLM Models

This section overviews the large language models (LLMs) utilized in our experiments. These models are essential for generating the "answer scent" and re-ranking documents based on their inferred relevance to the query.

Answer Scent Models: We leverage a variety
of Large Language Models (LLMs), each bringing unique strengths to our re-ranking methodology. The Llama models, developed by Meta, are

known for their robust performance in dialogue applications, having undergone extensive pre-training and fine-tuning (Touvron et al., 2023). Mistral and Mixtral, from Mistral AI, push the boundaries of efficiency and computational optimization, employing instruction fine-tuning and a sparse mixture of experts approach respectively (Jiang et al., 2023, 2024). Gemma, a product of Google, offers both base and instruction-tuned versions in different sizes, designed for adaptability across various hardware platforms (Team et al., 2024). GPT, from OpenAI, is renowned for its general-purpose capabilities, pre-trained on vast data pools to generate semantically rich responses (Brown et al., 2020). Lastly, Qwen, by Alibaba Cloud, encapsulates a broad pre-training regime across multiple languages and domains, optimized for long-context interactions, highlighting its scalability and depth in handling complex linguistic tasks (Bai et al., 2023). A detailed explanation of the LLM models is in Appendix C.

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**Rank Model:** In our experiments, we specifically utilize the T5-Base model, a variation of the original T5 architecture (Raffel et al., 2020) adapted for language modelling tasks. This model, part of the T5 series, features encoder and decoder transformers pre-trained to improve their ability to handle input text sequences.

#### 3.4 Experimental Setup

All re-ranking experiments were conducted on a high-performance computing cluster using NVIDIA A100 48GB GPUs, with specific experiments outlined in Section §6 run on NVIDIA A40 GPUs. We evaluated our method on five retrievers (BM5, MSS, MSS-DPR, DPR, and Contriver) for retrieving 1,000 passages, same as in (Sachan et al., 2022), while temporal questions (ArchivalQA) were evaluated with two additional retrievers, Ance (Xiong et al., 2020) and Rocket (Qu et al., 2020). Additionally, HotspotQA question scenarios followed the dataset and retrieval configurations as described in (Khalifa et al., 2022), ensuring a comprehensive assessment across various question types and retrieval technologies. To assess the performance of ASRANK, we use top-K retrieval (Sachan et al., 2022) accuracy. For RAG, we evaluate how accurately and completely the model answers questions using exact match, recall, and F1 scores. More details about the used framework implementation and metrics are in Appendix B and E.

Retriever		N	Q			Trivi	iaQA			Wel	DQ	
	Top-1	Top-5	Top-10	Avg	Top-1	Top-5	Top-10	Avg	Top-1	Top-5	Top-10	Avg
Unsupervised Retrievers												
MSS	19.2	41.2	51.2	37.2	30.7	52.6	60.5	47.9	11.6	29.0	39.1	26.6
MSS + UPR	38.7	64.8	72.2	58.6	57.2	75.5	78.9	70.5	29.9	57.4	65.0	50.7
MSS + ASRANK †	45.2	64.7	70.6	60.1	65.3	77.2	79.8	74.1	42.5	61.3	67.7	57.1
MSS + ASRANK ‡	46.5	64.4	69.8	60.2	66.3	77.6	80.1	74.6	45.0	63.6	68.8	59.1
BM25	22.1	43.7	54.4	40.1	46.3	66.2	71.7	61.4	18.8	41.8	52.1	37.6
BM25 + UPR	35.4	63.4	70.2	56.3	55.7	76.5	80.2	70.8	30.1	57.3	66.5	51.3
BM25 + ASRANK †	46.2	65.3	72.3	61.2	67.2	77.9	80.7	75.2	44.8	63.7	68.7	59.0
BM25 + ASRANK ‡	47.3	65.6	71.4	61.4	67.3	77.9	80.7	75.3	45.4	62.9	68.9	59.0
Contriever	22.1	47.2	58.7	42.7	34.1	59.4	68.0	53.8	19.9	43.4	56.3	39.9
Contriever + UPR	36.4	64.6	72.4	57.8	56.7	77.0	80.2	71.3	30.0	58.5	68.2	52.2
Contriever + ASRANK §	41.5	61.3	68.4	57.0	57.9	72.8	76.8	69.1	42.9	62.7	69.8	58.4
Contriever + ASRANK ‡	48.0	66.6	72.5	62.3	66.8	78.9	81.4	76.0	46.8	64.8	70.8	60.8
				Superv	vised Retr	ievers						
DPR	48.6	68.7	74.5	63.9	57.4	72.4	76.5	68.7	44.8	65.0	70.6	60.1
DPR + UPR	42.5	70.6	78.1	63.8	61.3	78.7	81.9	74.0	34.9	63.6	71.7	56.7
DPR + ASRANK †	50.2	69.9	76.1	65.3	68.8	79.8	82.4	77.0	48.2	68.1	73.2	63.1
DPR + ASRANK ‡	51.3	70.6	76.0	65.9	69.9	79.8	82.1	77.3	49.3	67.3	73.4	63.3
MSS-DPR	50.1	71.8	77.4	66.5	61.6	75.2	79.1	71.9	44.2	65.0	71.6	60.3
MSS-DPR + UPR	41.4	69.8	77.9	63.0	60.5	78.9	82.5	74.0	31.8	61.6	70.3	54.5
MSS-DPR + ASRANK †	48.8	69.3	76.1	64.7	69.4	80.4	82.9	77.5	47.7	67.0	73.0	62.5
MSS-DPR + ASRANK ‡	50.6	69.3	75.2	65.0	69.9	80.5	82.9	77.7	49.7	66.6	72.6	62.9

Table 1: Top-1, 5, 10 retrieval accuracy of re-ranking methods including ASRANK and baseline models on the NQ, TriviaQA and WebQ Datasets. † refers to Llama 70B, ‡ refers to GPT175B. For a comparison between LLama 7b vs UPR see table 9 in Appendix G.

#### 4 Experiment Results

In this section, we evaluate ASRANK on a variety of question-answering tasks, leveraging several datasets to assess its performance. The datasets employed cover different QA challenges, ranging from open domain to entity-centric, temporal, and multi-hop questions. The primary objective is to evaluate ASRANK's capability to rank the Top-1, 5, 10 retrieved passages. For this purpose, an initial retrieval of 1,000 passages per question is conducted for reranking.



Figure 3: Effect of the number of passage candidates on the accuracy of Top-1, 5, 10 results, and latency when re-ranked with LLama 8B and GPT 175. The results were computed on the NQ development set using BM25 retrieved passages.

4.1 ODQA Re-ranking

In this section, we focused on evaluating ASRANK across several ODQA datasets (NQ, TriviaQA, and

WebQ). The results, as summarized in Table 1, show improvements in retrieval Top-K accuracy. ASRANK enhances the retrieval of Top-K results across various settings, often outperforming the UPR model. For instance, when combined with the MSS retriever on the NQ dataset, ASRANK † (Llama 70B) increases the relevance of Top-1 result to 45.2%, a notable improvement over UPR's increase to 38.7%. Similarly, the TriviaQA dataset, ASRANK with BM25 achieves a Top-1 accuracy of 47.3%, surpassing BM25 + UPR's performance of 35.4%. Also, the combination of ASRANK with the MSS retriever results in a remarkable uplift in Top-1 accuracy for NQ, from an initial 19.2% to 46.5%. Similarly, on TriviaQA, the integration of ASRANK with the BM25 retriever increases the accuracy of Top-1 from 22.1% to 47.3%.

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The performance improvement of the AS-RANK is focused on using zero-shot answer scent generation with a cross-attention mechanism within its re-ranking framework. ASRANK uses the advanced capabilities of LLMs to interpret and generate answer scents. The answer scent is not static but dynamically interacts with the passage tokens through a cross-attention mechanism employed in the model's architecture. Each token of the generated answer scent considers every token in the passage, enabling a deeper and more contextual understanding before determining the relevance of each passage. By focusing on the semantic and contextual alignment between the question and the document, ASRANK improves the retrieval and en-

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#### 4.2 Impact of Answer Scent on Re-Ranking and Latency Implications

the information needs.

Answer scents improve the alignment of retrieved documents with the question. The process is captured through the computation of log-likelihood of each document given the question  $\log p(d \mid q; \Theta) = \frac{1}{|d|} \sum_{t} \log p(d_t \mid d_{<t})$ , where *d* denotes the document tokens, *q* the question,  $\Theta$  the LLM parameters, and |d| the number of tokens in the document.

sures that the top-ranked documents are relevant to

Re-ranking with Answer Scent has shown improvements in Top-K on the NQ development set. The Top-1 accuracy increases from 22.3% at 100 documents to around 39.8% at 750 documents (see Fig. 3). The ASRANK significantly reduces latency challenges, especially as the number of re-ranked documents grows. For example, re-ranking 1,000 documents takes up to 6.7 seconds with Llama models and 3.8 seconds with GPT models, compared to 11.6 seconds with UPR. This means ASRANK cuts latency by nearly 42% compared to UPR, as shown in Figure 3, and Table 8 in Appendix F.

#### 5 Ablation Studies

#### 5.1 Evaluation on NQ development

In this section, we compare our approach with UPR using different model sizes (T0-3B, T0-11B) (Sanh et al., 2021) to assess the efficacy in the context of the NQ development set. This comparison highlights the significant advantages offered by the AS-RANK, across different retrievers like BM25, MSS, and DPR. The results are shown in Table 2. AS-RANK enhances retrieval performance across all Top-1, 5, 10, 20 results. Notably, after re-ranking with ASRANK using the Llama 70B configuration, the accuracy of Top-1 for the MSS-DPR combination reaches 48.1%, which is an improvement over its performance with UPR, where the Top-1 achieves 39.7%. Similarly, the Top-1 for DPR alone ascends to 50.4% with GPT3.5, surpassing the 41.1% recorded with UPR.

#### 5.2 Evaluation on Diverse Question Answering Datasets

The ablation studies were conducted across three
distinct datasets—Entity Questions, HotpotQA,
and ArchivalQA. As summarized in Tables 3, 5,

Retriever	NQ (dev)									
	Top-1	Top-5	Top-10	Top-20	Avg					
BM25	22.3	43.8	53.6	62.3	45.5					
MSS	17.7	38.6	48.7	57.4	40.6					
MSS+BM25	17.6	38.7	48.8	57.8	40.7					
Contriever	19.6	45.4	55.8	64.9	46.4					
DPR	47.8	67.3	73.0	77.4	6.4					
MSS-DPR	48.9	69.9	75.7	80.4	68.7					
After Re-ra	inking wi	th UPR								
BM25+MSS+T5-lm-adapt (3B)	29.7	59.9	-	76.9	55.5					
BM25+MSS+T5-lm-adapt (11B)	32.1	62.3	-	78.5	57.6					
BM25+MSS+ T0-3B	36.7	64.9	-	79.1	60.2					
BM25+MSS+ T0-11B	37.4	64.9	-	79.1	60.5					
DPR +T0-3B	41.1	69.5	77.0	81.9	67.4					
MSS+T0-3B	36.6	62.9	70.8	75.7	61.5					
MSS+DPR+T0-3B	39.7	68.6	76.5	82.0	66.7					
After Re-ran	king with	GPT3.5								
MSS	46.2	63.5	69.1	73.2	63.0					
BM25	47.5	64.5	69.7	74.3	64.0					
Contriever	47.7	65.5	71.2	76.2	65.2					
BM25+MSS	47.9	65.5	71.2	76.4	65.3					
MSS-DPR	50.1	68.9	74.8	79.8	68.4					
DPR	50.4	68.9	74.9	79.4	68.4					
After Re-ranki	ng with L	Lama 70i	8							
MSS	44.9	63.7	69.4	73.9	62.9					
BM25	44.8	64.1	69.9	75.0	63.5					
Contriever	45.7	65.4	71.5	76.2	64.7					
BM25+MSS	45.4	65.4	71.0	76.6	64.6					
MSS-DPR	48.1	68.6	74.6	79.8	67.8					
DPR	48.2	67.9	73.8	78.6	67.1					

Table 2: Performance comparison of different retrievers on the NQ development set, illustrating the significant improvement provided by ASRANK over methods like UPR.

and 4, ASRANK enhances Top-1, 5, and 10 retrieval accuracies, across different retrievers.

Retriever	Entity Questions									
	Top-1	Top-5	Top-10	Avg						
Baselines										
MSS	19.3	35.9	43.1	32.8						
DPR	25.3	39.5	45.3	36.7						
MSS-DPR	30.3	47.7	54.1	44.0						
Contriever	27.1	48.0	55.7	43.6						
After Re-ranking with Llama 70b										
MSS†	44.5	58.3	62.7	55.2						
DPR†	41.7	53.8	58.2	51.2						
MSS-DPR†	46.4	60.1	64.5	57.0						
Contriever <sup>†</sup>	46.6	61.1	65.9	57.9						
Afte	r Re-rank	ing with	GPT3.5							
MSS	46.6	60.5	64.5	57.2						
DPR	43.6	55.6	59.4	52.9						
MSS-DPR	48.4	62.1	66.2	58.9						
Contriever	48.9	63.2	67.5	59.8						

Table 3: Top-1, 5, 10 retrieval accuracy for the Entity Questions dataset, comparing baseline retrievers with results after re-ranking using Llama 70b and GPT3.5 models.

The Entity Questions dataset, when used Llama 70B and GPT3.5 boosts performance, achieving Top-1 retrieval accuracy up to 48.9%, which indicates an increase of over 25% compared to baselines. For the HotpotQA dataset, which requires reasoning over multiple documents, ASRANK achieves substantial enhancements in

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Retriever	Ar	chivalQA	A Question	IS					
	Top-1	Top-5	Top-10	Avg					
Baselines									
Contriever	1	3.2	5.0	3.0					
BM25	18.2	32.3	38.6	29.7					
DPR	17.0	30.1	36.8	27.9					
Rocket	15.7	29.3	35.6	26.9					
ANCE	18.0	31.8	37.7	29.2					
After	Re-ranki	ng with L	lama 70b						
Contriever	3.9	8.1	10.4	7.4					
BM25	26.2	37.3	42.4	35.3					
DPR	27.5	38.2	43.3	36.3					
Rocket	26.2	37.4	42.4	35.3					
ANCE	27.3	38.2	43.3	36.3					
Afte	er Re-rani	king with	GPT3.5						
Contriever	4.2	8.7	10.9	7.9					
BM25	27.6	37.7	42.4	35.9					
DPR	27.7	38.5	43.5	36.6					
Rocket	26.5	37.9	42.7	35.7					
ANCE	28.1	38.1	42.9	36.3					

Table 4: Top-1, 5, 10 retrieval accuracy for the ArchivalQA dataset, comparing baseline retrievers with results after re-ranking using Llama 70b and GPT3.5 models.

Top-1, surpassing fully-supervised baselines like 428 DPR (Karpukhin et al., 2020a) and DrKit (Dhingra 429 et al., 2020), MDR (Xiong et al., 2021), PathRe-430 triever (Asai et al., 2020) when combined with 431 TF-IDF. This shows ASRANK's strength in multi-432 hop question answering, supporting complex in-433 ference tasks across linked data points. Notably, 434 ASRANK combined with DPR achieves a Top-1 435 accuracy of 42.6%, which not only surpasses the 436 fully-supervised baselines such as DPR at 18.5% 437 and DrKit at 38.3%, but also outperforms unsu-438 pervised models like PromptRank-GPT2-XL and 439 PromptRank-T5-XL (Khalifa et al., 2022), which 440 score 36.6% and 42.8%, respectively. On the 441 ArchivalQA dataset, which contains temporal ques-442 443 tions, ASRANK shows also good improvements. After re-ranking with Llama 70B and GPT3.5, 444 the model significantly boosts Top-1 accuracies 445 across different retrievers, demonstrating its effec-446 tiveness in extracting temporally relevant informa-447 tion. Specifically, after re-ranking with Llama 70B, 448 BM25 improves from 18.2% to 26.2% in Top-1, 449 DPR from 17.0% to 27.5%, and ANCE from 18.0% 450 to 27.3%. When using GPT3.5, BM25 improves 451 further to 27.6% in Top-1, DPR reaches 27.7%, and 452 ANCE advances to 28.1%. 453

#### 454 5.3 Impact of Answer Scent LLM

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In this section, we evaluate the impact of different LLMs on Top-1, 5, 10, 20 by re-ranking the Top-1000 passages from the NQ development set. The performance of these LLMs on the NQ development set is detailed in Table 6. The baseline retrieval using BM25 achieves a Top-1 of 22.3%. However, with LLMs like Llama-2 and GPT3.5, there's an increase in all Top-K. For instance, Llama-2 70B improves the Top-1 accuracy to 45.3%, and GPT3.5 pushes it further to 46.3%. As the model size increases from 7B to over 70B, there's a performance improvement. The Mixtral model achieves a Top-1 of 42.5%. In Appendix I, we show random examples from NQ dev and WebQA after and before re-ranking. 457

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## 6 RAG for Open-Domain Question Answering

Method In the Retrieval-Augmented Generation (RAG) framework, we employ a large language model (LLM), leveraging its capacity to utilize retrieved documents dynamically for generating responses. The RAG method combines the robust retrieval capabilities of DPR with the generative models, thereby enabling understanding and response generation based on the context provided by the retrieved documents. The RAG model is formulated as  $p(a \mid q, D) = \sum_{d \in D} p(d \mid q) \cdot p(a \mid q, d)$ , where a represents the answer, q the query, and D is the set of retrieved documents relevant to q. The term  $p(d \mid q)$  denotes the document's retrieval probability, and  $p(a \mid q, d)$  represents the probability of generating answer a given the query q and document d.

**Results** We evaluated the RAG method on NQ, TriviaQA, and WebQA revealing significant performance gains as shown in Figure 4. For instance, before applying our ASRANK re-ranking strategy, the BM25+LLama7B achieves a baseline EM of 16.0% on NQ. After re-ranking with ASRANK, the EM increased to 24.8%. We show a detailed comparison between the baselines (BM25, DPR, MSS, Contriever, MSS-DPR), UPR, and ASRANK in Table 10 (Appendix H).

# 7 Related Work

Recent developments in the field of information retrieval have increasingly focused on the integration of LLMs for enhancing retrieval and reranking mechanisms. LLMs have demonstrated a substantial impact in retrieval tasks, largely due to their deep generative capabilities. Innovative approaches like InPars (Bonifacio et al., 2022; Jeronymo et al.,



Figure 4: Comparison of Exact Match (EM) scores across three datasets (NQ, TriviaQA, and WebQA) for various retrieval models.

Retriever	HotpotQA								
	# Ex.	top-2	top-10	top-20					
Fully-supervised Baselines									
DPR	-	18.5	37.2	47.1					
DPR+ASRANK	-	42.6	68.8	79.2					
DrKit	~90K	38.3	67.2	71.0					
MDR	~90K	65.9	77.5	80.2					
PathRetriever	~90K	66.4	77.8	78.7					
Unsupe	ervised B	Baselines							
TF-IDF	_	9.9	27.6	35.0					
TF-IDF + BM25	-	19.1	54.7	61.8					
PromptRank-GPT2-XL	-	36.6	60.5	65.9					
PromptRank-T5-XL	-	42.8	68.9	74.1					
TF-IDF+ASRANK	-	36.9	61.1	72.5					
TF-IDF+ASRANK †	-	45.1	69.1	78.9					

Table 5: Top-2, 10, 20 retrieval performance on HotpotQA comparing ASRANK to baselines.

Retriever	#Parameters			NO (dev)		
		Top-1	Top-5	Top-10	Top-20	Avg
BM25	-	22.3	43.8	53.7	62.3	
Gemma	7B	21.2	37.7	45.9	54.2	39.8
Mistral	7B	27.9	46.3	54.8	62.3	47.8
Qwen1.5	7B	30.3	50.4	58.6	66.2	51.4
Llama-2	7B	39.2	58.6	65.8	71.4	58.8
Llama3	8B	39.8	60.2	66.6	71.9	59.6
Qwen1.5	14B	34.9	54.4	62.7	69.1	55.3
Qwen1.5	32B	39.9	60.3	67.2	72.9	60.1
Mixtral	8x7B	42.5	61.9	68.2	73.0	61.4
Llama3	70B	44.8	64.1	69.9	75.0	63.5
Qwen1.5	72B	43.2	62.6	68.9	73.9	62.2
Llama-2	70B	45.3	64.0	69.9	74.4	63.4
Qwen1.5	110B	44.0	63.3	69.8	74.4	62.9
GPT3.5	175B	46.3	63.6	69.1	73.8	63.2

Table 6: Performance metrics of different LLMs utilizing the answer scent concept for document retrieval across Top-1, 5, 10, and 20 rankings on the NQ (dev) dataset.

2023) and Promptagator (Dai et al., 2022) have explored the generation of synthetic datasets to improve domain-specific retrieval performance. Concurrently, models like SGPT (Muennighoff, 2022) and UPR (Sachan et al., 2022) have showcased the direct utility of GPT-based and T5 models as effective rankers in bi-encoder architectures,

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with UPR utilizing query likelihood for scoring. Notably, PRP (Qin et al., 2023) and Ma et al. (2023a) have demonstrated that fine-tuning LLMs like LLaMA enhances retrieval performance beyond smaller models, positioning LLMs as powerful tools for reranking tasks. The integration of unsupervised and supervised retrieval techniques such as BM25 (Robertson et al., 2009), MSS (Sachan et al., 2021a), Contriever (Izacard et al., 2022), and DPR (Karpukhin et al., 2020b) has been pivotal. These methods, including enhancements like MSS-DPR (Sachan et al., 2021b), leverage dense and sparse retrieval techniques to enhance the initial retrieval stages, subsequently improved through reranking. Moreover, newer supervised methods like ColBERT (Khattab and Zaharia, 2020) and SPLADE (Formal et al., 2021) further refine retrieval accuracy. A growing body of work has investigated the role of LLMs in reranking by prompting them to reorder documents based on relevance, with methods like RankVicuna (Pradeep et al., 2023) and LRL (Ma et al., 2023b) demonstrating significant advancements. These studies illustrate that LLMs with prompts can handle reranking tasks efficiently.

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# 8 Conclusion

In this paper, we introduced ASRANK, a novel zero-shot re-ranking method that uses the concept of answer scent to enhance document retrieval for open-domain question answering. Our experiments across diverse datasets demonstrate that the AS-RANK outperforms both unsupervised and supervised baselines. ASRANK not only enhances the top-1 accuracy but also shows substantial gains in Top-5, and 10 retrieval metrics, which makes it a valuable tool for improving the efficiency and efficacy of question-answering systems.

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## 550 Limitations

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While ASRANK demonstrates significant improvements in document re-ranking with the incorporation of answer scent, there are several limitations
that warrant discussion:

- 1. The computational cost associated with AS-RANK increases with the number of documents due to the need to compute the answer scent with the answer generated from each document.
- 2. The effectiveness and consistency of AS-RANK are contingent upon the specific pretrained language models used for generating the answer scent. Variations in these models, due to different training data or updates, can introduce biases and affect the stability of the re-ranking outcomes.
  - 3. ASRANK's performance heavily depends on the quality of the initial retrieval phase.

## 9 Ethical Considerations and Licensing

Our research utilizes the GPT models, which is 570 571 available under the OpenAI License and Apache-2.0 license, and the Llama model, distributed under the Llama 2 Community License Agreement 573 provided by Meta. We ensure all use cases are compliant with these licenses. Additionally, the 575 datasets employed are sourced from repositories permitting academic use. We are releasing the ar-577 tifacts developed during our study under the MIT license to facilitate ease of use and modification by the research community. We have ensured that all data handling, model training, and dissemination 581 of results are conducted in accordance with ethical 582 guidelines and legal stipulations associated with 583 each used artifact. 584

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## A Datasets' Details

In this section, we present a table that details the statistics of the datasets utilized in our study. These tables include comprehensive data such as sample sizes, feature counts, and other relevant metrics, providing a clear overview of the datasets' composition and scope. This information is crucial for understanding the context and the robustness of our analysis, enabling a deeper insight into the methodologies applied and the validity of our results. We utilize several well-known datasets for our experiments:

**Open Domain QA datasets:** TriviaQA (Joshi et al., 2017) is a collection of trivia questions sourced from trivia and quiz-league websites. The dataset includes 78,785 examples in the training

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921 922 set, 8,837 examples in the development set, and 11,313 examples in the test set.

Natural Questions (NQ) (Kwiatkowski et al., 2019) is a question-answering dataset containing 79,168 training examples, 8,757 development examples, and 3,610 test question-answer pairs. Each example is comprised of a question obtained from a Google query and a corresponding Wikipedia page.

WebQuestions (Berant et al., 2013) is a questionanswering dataset that was created using Freebase as a knowledge base and that contains 5,810 question-answer pairs. It was constructed by crawling questions through the Google Suggest API and then obtaining corresponding answers using Amazon Mechanical Turk.

**Entity-centric Questions:** Entity Questions (Sciavolino et al., 2021) contains 22K short questions about named entities based on facts from Wikipedia. Previous work using this dataset has shown that dense retrievers struggle to retrieve relevant passages, while sparse approaches like BM25 tend to be more successful.

**Temporal Questions:** ArchivalQA (Wang et al., 2022) is a large-scale question answer collection designed specifically for temporal news QA, containing 532,444 question-answer pairs, often on detailed and minor aspects. These pairs are derived from the New York Times Annotated Corpus, which spans news articles published between January 1, 1987, and June 19, 2007. The dataset-constructing framework with automatic question generation and filtering steps ensures high-quality and non-ambiguous questions.

Multi-hop Questions: HotpotQA (Yang et al., 2018) is a question answering dataset created from the English Wikipedia. It contains about 113K crowd-sourced questions that are constructed to require the introduction paragraphs of two Wikipedia articles to answer. We focus on the full wiki setting, in which two Wikipedia passages are required to answer the questions. Since the gold passages for the test set are not available, we follow prior work and evaluate ASRANK on the development set, which has 7,405 questions.

# **B** Evaluation Metrics

To assess the performance of the ASRANK, we use top-K retrieval accuracy and several other metrics for the RAG. Top-K retrieval accuracy measures

Table 7: Statistics of TriviaQA, NQ and WebQ datasets.

Dataset	Train	Dev	Test
TriviaQA	78,785	8,837	11,313
NQ	79,168	8,757	3,610
WebQA	3,417	361	2,032
Entity Questions	-	-	22000
HotpotQA	90,564	7,405	-
ArchivalQA	-	-	7500

whether the correct answer appears within the top-K retrieved passages, calculated as:

$$TOP@k = \frac{\sum(any(Correct@k))}{Total Data}$$
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Given that LLMs tend to generate verbose answers, many standard QA metrics are not well suited to evaluate the answer quality; the Exact Match will always be less given the occurrence of other non-ground-truth tokens, and the F1 score will be penalized by other, potentially helpful tokens. Therefore, we utilize a set of model-agnostic metrics (i.e., token recall and answer string containment).

# C Answer Scent Models

Llama (Touvron et al., 2023) is a part of the Llama 2 family of Large Language Models (LLMs) developed by Meta. These models are pre-trained and fine-tuned generative text models, specifically optimized for dialogue use cases.

Mistral (Jiang et al., 2023), a model released by Mistral AI, is renowned for its power and efficiency. It surpasses the Llama 2 13B on all benchmarks. The model leverages instruction fine-tuning, where the prompt should be surrounded by [INST] and [/INST] tokens.

Mixtral (Jiang et al., 2024), another innovation from Mistral AI, is a trained generative Sparse Mixture of Experts that outperforms the Llama 2 70B model on most benchmarks. The model leverages up to 45B parameters but only uses about 12B during inference, leading to better inference throughput at the cost of more vRAM.

Gemma (Team et al., 2024) is a family of Large Language Models (LLMs) developed by Google. It is based on the Gemini family of LLMs. Gemma comes in two sizes: 2B and 7B parameters, each with base instruction-tuned versions. All the variants can be run on various types of consumer hard-

ware, even without quantization, and have a context 960 length of 8K tokens.

> GPT (Brown et al., 2020) is a series of Generative Pre-trained Transformers developed by OpenAI. These models are pre-trained on massive amounts of data, such as books and web pages, to generate contextually relevant and semantically coherent language12. The most recent of these, GPT-4. GPT models are general-purpose language prediction models.

Qwen (Bai et al., 2023) is a comprehensive language model series developed by Alibaba Cloud. It includes Qwen, the base pretrained language models, and Qwen-Chat, the chat models fine-tuned with human alignment techniques. These models have been pre-trained with data from a wide range of domains and languages, supporting the context length of 32768 tokens.

#### D Retrievers

In our re-ranking experiments, we retrieve passages using both unsupervised and supervised retrievers, as detailed below.

Unsupervised Retrievers BM25 (Robertson and Zaragoza, 2009) is a ranking function used by search engines to estimate the relevance of documents to a given search query. It is based on the probabilistic retrieval framework and uses termfrequency (TF) and inverse document frequency (IDF) of the keywords present in the question and passage. Masked Salient Spans (MSS) (Sachan et al., 2021a) is a dense retriever trained by predicting masked salient spans like named entities with the help of a reader network. The objective function for training the MSS retriever can be represented as:

 $\mathcal{L}_{MSS} = -\mathbb{E}_{(q,d^+,d^-)\sim D}[\log p(d^+|q)]$ 

where D is the dataset,  $(q, d^+, d^-)$  is a triplet of

the question, positive document, and negative doc-

ument, and p(d|q) is the probability of a document

d being relevant to a question q.

training the Contriever model is:

 $+\log(1 - p(d^{-}|q))]$ 

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Contriever is a framework for pre-training and fine-tuning models for information retrieval using contrastive learning. The objective function for

$$\mathcal{L}_{Contriever} = -\mathbb{E}_{(q,d^+,d^-)\sim D}[\log\sigma(s(q,d^+))]$$
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$$+ \log(1 - \sigma(s(q, d^{-})))]$$
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where s(q, d) is the similarity score between question q and document d, and  $\sigma$  is the sigmoid function (Izacard et al., 2022).

Supervised Retrievers Dense Passage Retrieval 1010 (DPR) (Karpukhin et al., 2020b) uses annotated 1011 question-context paragraphs and hard negative ex-1012 amples to train a supervised dense retriever. The 1013 objective function for training the DPR model is: 1014

$$\mathcal{L}_{DPR} = -\mathbb{E}_{(q,d^+,d^-)\sim D}[\log \sigma(s(q,d^+))]$$
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$$+\log(1 - \sigma(s(q, d^{-})))]$$
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where s(q, d) is the similarity score between question q and document d, and  $\sigma$  is the sigmoid function.

MSS-DPR (Sachan et al., 2021a) is an approach that further improves DPR performance by first pretraining the dense retriever using MSS followed by DPR-style supervised fine-tuning. The objective function for training the MSS-DPR model is:

$$\mathcal{L}_{MSS-DPR} = \alpha \mathcal{L}_{MSS} + (1 - \alpha) \mathcal{L}_{DPR}$$
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where  $\alpha$  is a hyperparameter that controls the trade-off between the MSS and DPR losses.

#### **Implementation Framework** Ε

Our implementation of ASRANK utilizes the Py-Torch (Paszke et al., 2019) framework alongside the transformers (Wolf et al., 2019) library from Hugging Face to handle the computational demands of our document re-ranking tasks.

#### $\mathbf{F}$ Impact of Passage Number on Retrieval Accuracy and Latency

In this section, we analyze the relationship between 1036 the number of passages re-ranked and both retrieval 1037 accuracy and latency. This study highlights how the 1038 ASRANK performs as we increase the number of 1039 passage candidates, focusing on Top-K retrieval ac-1040 curacy and the time taken per query. We conducted 1041 experiments using the NQ development set to eval-1042 uate the performance of ASRANK with different 1043 quantities of retrieved passages. The passages were 1044

Retriever /	#Document			NQ (de	v)		Time/Question
Re-Ranker		Top-1	Top-5	Top-10	Top-20	Top-100	
BM25	-	22.3	43.8	53.7	62.3	76.0	-
Llama3 8B	100	39.2	58.5	64.5	69.8	76.0	3s
Llama3 8B	200	39.6	59.4	65.7	70.9	78.5	3.4s
Llama3 8B	250	39.7	59.5	65.8	71.2	79.1	3.6s
Llama3 8B	500	39.9	60.0	66.6	71.9	80.2	4.6s
Llama3 8B	750	40.0	60.2	66.7	71.9	80.74	5.6s
Llama3 8B	900	39.8	60.2	66.6	72.0	80.9	6.3s
Llama3 8B	1000	39.8	60.2	66.6	71.9	80.9	6.7
GPT 175B	100	44.9	61.1	66.3	70.8	76.0	0.4s
GPT 175B	250	46.3	62.8	68.1	72.4	79.4	0.8s
GPT 175B	500	46.9	63.5	69.2	73.6	80.8	1.8s
GPT 175B	750	47.2	64.2	69.3	74.2	81.6	2.8s
GPT 175B	900	47.4	64.4	69.5	74.3	81.8	3.4s
GPT 175B	1000	47.5	64.5	69.7	74.3	81.9	3.8s

Table 8: Impact of the Number of Passage Candidates on Top-1, Top-5, Top-10 Retrieval Accuracy, and Latency per Query.

retrieved using BM25 and re-ranked using LLaMA (8B) and GPT (175B) models. We varied the number of passages from 100 to 1000 to observe the impact on Top-K accuracy and latency. The results of these experiments are presented in Table 8. The table illustrates how increasing the number of re-ranked passages affects the Top-1, 5, 10 retrieval metrics, and the latency per query.

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**Retrieval Accuracy:** The Top-1 accuracy significantly improves as the number of re-ranked passages increases. For example, using Llama3 8B, Top-1 accuracy increases from 39.2% with 100 passages to 40.0% with 750 passages. Similarly, GPT 175B shows an increase in Top-1 accuracy from 44.9% with 100 passages to 47.5% with 1000 passages.

**Latency:** As expected, the latency per query increases with the number of passages. With Llama3 8B, the latency grows from 3 seconds for 100 passages to 6.7 seconds for 1000 passages. GPT 175B, while providing better accuracy, also shows an increase in latency, from 0.4 seconds for 100 passages to 3.8 seconds for 1000 passages.

# G Comparative Analysis of LLama 7B and UPR for Document Re-Ranking

In this section, we present a comparison between the performance of ASRANK utilizing the LLama 7B model and the UPR method. This analysis is aimed at understanding how ASRANK, enhanced with the capabilities of LLama 7B, measures up against UPR in terms of improving retrieval accuracy across various question-answering datasets.

We evaluated both LLama 7B with ASRANK and UPR across three major datasets: NQ, TriviaQA, and WebQ. The goal was to assess the improvements in retrieval accuracy, specifically focusing on Top-1, Top-5, and Top-10 metrics. The re-<br/>trieval setups included unsupervised and supervised1081retrievers. The detailed results are summarized in<br/>Table 9. The analysis highlights the performance of<br/>the two methods under different retrievers, provid-<br/>ing insights into their effectiveness across varying<br/>retrieval conditions.1081<br/>1082

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**Performance across Datasets:** Both methods improve retrieval accuracy across all datasets. However, ASRANK with LLama 7B consistently achieves a higher Top-1 metric compared to UPR, suggesting that the inclusion of the answer scent concept might be more effective at distinguishing the most relevant documents at the top of the retrieval list.

**Influence of Retrieval Method:** When combined with MSS, ASRANK with LLama 7B surpasses UPR in Top-1 retrieval accuracy by a notable margin (e.g., 41.3% vs. 38.7% on NQ). This indicates that ASRANK's approach to utilizing deep contextual embeddings effectively captures nuances that improve the alignment between the query and retrieved documents.

# H RAG

In the realm of Retrieval-Augmented Generation (RAG), our study delves into the effects of utilizing LLaMA 7B and LLaMA 13B models, along with varying the number of documents considered in the re-ranking process. Our examination reveals differences in performance across two scenarios: using either one or two documents during the re-ranking phase.

Starting with the LLaMA 7B model, we observed that increasing the number of documents from one to two generally improves the recall and contextual understanding of the model, which is critical in generating accurate responses. For instance, when using the MSS-DPR retriever with LLaMA 7B, the exact match (EM) score sees a slight improvement from 24.3% with one document to 24.9% with two documents. This pattern is consistent across other retrievers like BM25 and Contriever, suggesting that the additional context from a second document helps the model refine its answers.

Switching to the LLaMA 13B model, which offers more capacity and potentially finer understanding due to its larger size. For example, when using the BM25 retriever with LLaMA 13B, the

Retriever		N	Q			Trivi	aQA			Wel	νQ	
	Top-1	Top-5	Top-10	Avg	Top-1	Top-5	Top-10	Avg	Top-1	Top-5	Top-10	Avg
			U	Insuper	vised Re	trievers						
MSS	19.2	41.2	51.2	37.2	30.7	52.6	60.5	47.9	11.6	29.0	39.1	26.6
MSS + UPR	38.7	64.8	<b>72.2</b>	<b>58.6</b>	57.2	<b>75.5</b>	<b>78.9</b>	<b>70.5</b>	29.9	57.4	65.0	50.7
MSS + ASRANK §	<b>41.3</b>	60.3	67.2	56.2	<b>58.5</b>	71.8	75.6	68.6	<b>40.1</b>	<b>59.9</b>	<b>66.6</b>	<b>55.5</b>
BM25	22.1	43.7	54.4	40.1	46.3	66.2	71.7	61.4	18.8	41.8	52.1	37.6
BM25 + UPR	35.4	<b>63.4</b>	<b>70.2</b>	56.3	55.7	<b>76.5</b>	<b>80.2</b>	<b>70.8</b>	30.1	57.3	66.5	51.3
BM25 + ASRANK §	<b>42.1</b>	61.1	67.4	<b>56.8</b>	<b>58.2</b>	71.1	74.7	68.0	<b>40.9</b>	<b>61.1</b>	<b>68.1</b>	<b>56.7</b>
Contriever	22.1	47.2	58.7	42.7	34.1	59.4	68.0	53.8	19.9	43.4	56.3	39.9
Contriever + UPR	36.4	<b>64.6</b>	<b>72.4</b>	<b>57.8</b>	56.7	77.0	<b>80.2</b>	<b>71.3</b>	30.0	58.5	68.2	52.2
Contriever + ASRANK §	<b>41.5</b>	61.3	68.4	57.0	<b>57.9</b>	72.8	76.8	69.1	<b>42.9</b>	<b>62.7</b>	<b>69.8</b>	<b>58.4</b>
				Supervi	sed Retr	ievers						
DPR	48.6	68.7	74.5	63.9	57.4	72.4	76.5	68.7	44.8	65.0	70.6	60.1
DPR + UPR	42.5	<b>70.6</b>	<b>78.1</b>	<b>63.8</b>	61.3	<b>78.7</b>	<b>81.9</b>	<b>74.0</b>	34.9	63.6	71.7	56.7
DPR + ASRANK §	<b>43.5</b>	64.9	72.2	60.2	<b>61.8</b>	74.6	78.3	71.5	<b>45.9</b>	<b>66.7</b>	<b>72.4</b>	<b>61.6</b>
MSS-DPR	<b>50.1</b>	<b>71.8</b>	<b>77.4</b>	<b>66.5</b>	61.6	75.2	79.1	71.9	44.2	65.0	71.6	60.3
MSS-DPR + UPR	41.4	69.8	77.9	63.0	60.5	<b>78.9</b>	<b>82.5</b>	<b>74.0</b>	31.8	61.6	70.3	54.5
MSS-DPR + ASRANK §	43.5	65.1	72.5	60.3	<b>61.7</b>	74.8	78.6	71.7	<b>44.6</b>	<b>65.4</b>	<b>72.2</b>	<b>60.7</b>

Table 9: Top-1, 5, 10 retrieval accuracy on the test set of datasets before and after re-ranking the top 1000 retrieved passages.  $\S$  refers to Llama 7B

1130EM score increases from 18.5% to 28.8% with two1131documents. This suggests that the larger model1132can leverage the extra information more effectively,1133leading to better overall performance.

# I Case Study

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In this section, we present a detailed case study 1135 to illustrate the effectiveness of ASRANK in re-1136 ranking documents retrieved by different retrieval 1137 systems. Tables 11, 12, and 13 showcase examples 1138 from the NQ dev dataset, WebQA, and TriviaQA, 1139 respectively. Each table lists the document IDs 1140 retrieved before and after applying ASRANK, indi-1141 cating whether each document contains the answer 1142 ('has\_answer: True' or 'False'). These case studies 1143 demonstrate how ASRANK enhances the precision 1144 1145 of document retrieval across varied contexts and query types by leveraging the answer scent gener-1146 ated from advanced language models. 1147

Model	top-K		NO			TriviaOA			WebOA	
	10p 11	EM	Recall	Con	EM	Recall	Con	EM	Recall	Con
			LI	ama 71	B+Base	lines				
BM25	1	16.0	29.3	21.7	51.9	63.5	57.2	14.3	35.7	25.6
MSS	1	14.9	27.4	20.8	43.6	55.4	49.3	13.7	37.1	26.9
Contriever	1	17.3	31.1	23.9	44.4	56.5	50.2	13.6	38.5	23.8
DPR	1	24.6	40.5	32.1	50.0	62.6	56.6	15.1	40.3	29.3
MSS-DPR	1	24.9	40.4	32.0	51.9	64.7	58.4	15.9	40.1	29.0
			LL	ama 13	B+Bas	elines				
BM25	1	18.5	30.8	23.9	54.6	65.8	59.4	14.6	35.1	25.5
MSS	1	17.9	29.5	22.7	47.8	58.5	52.6	14.9	35.6	25.5
Contriever	1	20.3	32.4	25.2	49.0	59.5	53.6	17.5	38.6	28.3
DPR MSS DDD	1	27.9	43.2	33.9 34 5	53.6 54.7	65.3 66 7	58.9 60 5	18.2	41.2	<b>30.9</b>
M35-DI K	1	20.9	43.0 I I	34.3 ama 71	34.7 R±Rase	lines	00.5	17.0	40.7	50.7
MSS	2	15.1		21.2	14.6	57.3	51.3	1/13	37.8	27.2
BM25	$\frac{2}{2}$	16.3	20.2	$\frac{21.2}{22.8}$	52.2	65.0	58.5	14.5	36.9	27.2
Contriever	$\frac{2}{2}$	16.9	31.3	23.9	44.8	58.2	51.9	13.9	39.3	28.4
DPR	2	23.9	39.3	31.5	49.8	63.3	57.0	14.8	40.3	28.7
MSS-DPR	2	24.3	40.3	32.2	50.8	64.5	58.1	15.1	39.7	29.0
				LLama	7B+UI	PR				
MSS	1	21.9	37.3	29.2	53.5	66.5	60.1	15.1	39.9	29.0
BM25	1	21.2	36.2	28.4	57.6	70.3	63.6	13.9	37.0	25.6
Contriever	1	22.5	38.5	30.5	53.8	67.5	61.3	14.0	38.9	27.6
DPR	1	23.8	39.8	31.3	55.2	68.5	61.8	15.5	40.1	28.7
MSS-DPR	1	23.6	39.4	30.8	55.3	68.5	62.2	15.3	39.8	28.4
			I	Lama	13B+U	PR				
MSS	1	25.2	39.4	31.2	56.4	68.4	62.1	16.9	39.3	28.0
BM25	1	25.1	39.3	30.7	57.3	68.8	63.5	16.8	36.8	26.7
Contriever	1	26.0	40.4	31.9	56.5	68.0	62.7	17.4	38.3	28.4
DPR MSS-DPR	1	27.4	42.2 41 3	33.0 32.7	57.3 57.2	<b>69.0</b> 69.2	63.2 62.9	17.5	<b>40.6</b> 37.9	<b>29.8</b> 27.2
	1	20.5	11.5	LLama	7B+UI	PR	02.9	17.1	51.5	
		01.6	27.2	20.0	541	(7.0	(1.2	15.0	20.1	20.1
MSS BM25	2	21.6	37.3	29.9	58 2	67.9 71.4	61.3 64.8	15.2	39.1 30.7	28.1
Contriever	2	22.3	38.4	30.5	54.9	68.2	65.0	14.5	38.8	27.2
DPR	2	23.2	38.9	31.3	55.1	69.3	62.9	15.7	40.4	28.8
MSS-DPR	2	24.1	40.0	32.0	54.9	69.2	62.7	14.4	39.6	27.5
			LI	ama 71	3+ASR	ANK				
MSS	1	24.8	40.6	32.7	57.1	70.5	64.1	17.9	42.3	31.2
BM25	1	25.0	40.4	32.3	60.6	73.2	66.8	16.9	42.5	31.6
Contriever	1	25.9	41.9	33.6	57.8	71.0	64.9	17.7	43.9	33.1
DPR	1	25.8	42.2	33.7	57.6	71.1	64.6	16.5	43.7	31.2
MSS-DPK	1	25.9	42.6	34.2	58.9	/1.8	65.4	18.1	43.9	32.8
	1			ama 13	B+ASI	KANK				
MSS DM25	1	28.5	43.4	34.6	60.1	72.4	65.9	20.5	43.9	33.6
DNI23 Contriever	1	28.8 20.7	44.2 15 1	35.4 36.2	60 1	74.9 72 1	00.3 66 1	19.5	43.0 44.0	31.3 33 7
DPR	1	28.9	44.9	35.5	60.1	72.9	66.7	19.9	43.0	32.2
MSS-DPR	1	30.2	45.5	36.6	60.9	73.3	66.9	20.6	44.7	33.7
			LI	.ama 7I	B+ASR	ANK				
MSS	2	25.5	41.3	33.2	56 5	70.8	64 2	179	43.8	32.9
BM25	2	24.9	40.8	33.4	<b>59.8</b>	73.3	67.1	16.6	<b>41.7</b>	30.4
Contriever	2	25.5	41.6	33.8	56.5	71.4	64.9	17.0	43.4	31.8
DPR	2	25.9	41.9	33.8	57.3	71.2	64.7	17.6	43.6	32.3
MSS-DPR	2	26.0	42.3	34.4	57.7	72.1	65.5	17.6	43.7	32.5

Table 10: Exact match scores for the open-domain QA task.

**Answer Scent Prompt:** Generate a brief, insightful answer scent to the following question: q

**Question:** who sang i just called to say i love you?

Answer Scent: The song "I Just Called to Say I Love You" was sung by Stevie Wonder. It was released in 1984 and was featured in the romantic comedy film "The Woman in Red". The song was a huge commercial success, reaching number one on the Billboard Hot 100 chart and winning several awards, including the Academy Award for Best Original Song. True Answer: Stevie Wonder

**DPR:** 6069735, has\_answer: True 6069737, has\_answer: True 8421731, has\_answer: False 8421729, has\_answer: False 8421736, has\_answer: False **DPR+ASRANK:** 6069735, has\_answer: True 6069737, has\_answer: True 12952376, has\_answer: True 5693954, has\_answer: False 6069736, has\_answer: False

Question: who is the coach of arizona state men's basketball?

Answer Scent: the head coach of the Arizona State University men's basketball team is Bobby Hurley. True Answer: Bobby Hurley DPR: 12295065, has\_answer: True 20172309, has\_answer: False 10047172, has\_answer: False 10047129, has\_answer: False 17545880, has\_answer: False DPR+ASRANK: 4833080, has\_answer: True

4833089, has\_answer: True 20047916, has\_answer: True 20776179, has\_answer: True 20776180, has\_answer: True

#### Question: who played mason on wizards of waverly place?

Answer Scent: The character Mason Greyback, a werewolf and love interest of Alex Russo, was played by actor Gregg Sulkin on the Disney Channel show "Wizards of Waverly Place". True Answer: Sulkin DPR:

4309105, has\_answer: False 15243289, has\_answer: False 19878866, has\_answer: False 17449330, has\_answer: False 5763564, has\_answer: False **DPR+ASRANK:** 10341922, has\_answer: True 9651553, has\_answer: True 17449330, has\_answer: False 17449350, has\_answer: False 17449363, has answer: False

Question: who plays the queen on game of thrones?

Answer Scent: The role of Cersei Lannister, the Queen Regent of the Seven Kingdoms, on the hit HBO series Game of Thrones is played by the talented actress Lena Headey. True Answer: Headey

DPR: 15742943, has\_answer: False 16420109, has\_answer: False 13408263, has\_answer: False 15179481, has\_answer: False 13408259, has\_answer: False DPR+ASRANK: 4456301, has\_answer: True 5396490, has\_answer: True 4456267, has\_answer: True 5396478, has\_answer: True 12800938, has\_answer: True

Table 11: Case study of the retrieved passage from DPR retriever before/after reranking for NQ dev dataset.

Answer Scent Prompt: Generate a brief, insightful answer scent to the following question: q

Question: where is jamarcus russell from?

Answer Scent: JaMarcus Russell, the former NFL quarterback, is from Mobile, Alabama. True Answer: Mobile MSS:

7564117, has\_answer: False 9565056, has\_answer: False 7564095, has\_answer: False 7564124, has\_answer: True 8298815, has\_answer: True 8298815, has\_answer: False MSS+ASRANK: 7564126, has\_answer: True 7564096, has\_answer: True 7564097, has\_answer: True 7564125, has\_answer: True 7564127, has\_answer: False

#### Question: where is the fukushima daiichi nuclear plant located?

Answer Scent: The Fukushima Daiichi Nuclear Power Plant is located in Okuma, Fukushima Prefecture, Japan. It is situated on the Pacific coast of Honshu, the largest island of Japan, approximately 150 miles (240 km) north of Tokyo. True Answer: "Japan", "Okum"

MSS: 20927197, has\_answer: False 3072697, has\_answer: False 20927199, has\_answer: False 10806688, has\_answer: False 18499449, has\_answer: True MSS+ASRANK: 15436005, has\_answer: False 11148375, has\_answer: True 3541428, has\_answer: False 1682872, has\_answer: True

#### Question: what does jamaican people speak?

**Answer Scent:** In Jamaica, the official language is English, which is used in government, education, business, and formal settings. However, the most widely spoken language in Jamaica is Jamaican Patois, also known as Jamaican Creole or Patwa. **True Answer:** Jamaican English

MSS: 5665719, has\_answer: False 9912963, has\_answer: False 5665720, has\_answer: False 11838832, has\_answer: False 20587290, has\_answer: False MSS+ASRANK: 4423284, has\_answer: False 1353789, has\_answer: False 8404038, has\_answer: False 4423299, has\_answer: False 4423301, has\_answer: False

#### Question: what is the best sandals resort in st lucia?

Answer Scent: St. Lucia is a beautiful island with several amazing Sandals Resorts to choose from. Each resort has its unique features, amenities, and atmosphere, so the "best" one ultimately depends on your personal preferences and priorities. True Answer: "Micoud Quarter", "Choiseul Quarter", "Praslin Quarter", ... MSS:

18392196, has\_answer: False 18461202, has\_answer: False 11371584, has\_answer: False 16577459, has\_answer: False 3764126, has\_answer: False **MSS+ASRANK:** 5476353, has\_answer: False 18392196, has\_answer: False 3401309, has\_answer: False 3401311, has\_answer: True 6134966, has\_answer: False

Table 12: Case study of the retrieved passage from MSS retriever Before/after Reranking for WebQA.

**Answer Scent Prompt:** Generate a brief, insightful answer scent to the following question: q

#### Question: which 70s show was based on the british show till death us do part?

Answer Scent: The 1970s show based on the British show "Till Death Us Do Part" is "All in the Family". True Answer: "All In The Family", "Justice For All (TV pilot)", "Stretch Cunningham", ... Contriever:

9539720, has\_answer: False 6899634, has\_answer: False 475319, has\_answer: False 9549805, has\_answer: False 475315, has\_answer: False 475315, has\_answer: False 607452, has\_answer: True 1413988, has\_answer: True 1834891, has\_answer: True 5285410, has\_answer: True 1941863, has\_answer: True

#### Question: what is the name of terence and shirley conran's dress designer son?

Answer Scent: Jasper Conran! True Answer: "Jaspis", "Bruneau jasper", "Egyptian jasper" Contriever: 4935862, has\_answer: False 4935861, has\_answer: True 7176709, has\_answer: False 14139592, has\_answer: False 5848573, has\_answer: True Contriever+ASRANK: 5848571, has\_answer: True 5848575, has\_answer: False 5848577, has\_answer: True 5848576, has\_answer: False 5848573, has\_answer: False 5848576, has\_answer: True

Question: in which country is the sky train rail bridge? Answer Scent: The SkyTrain Rail Bridge is located in Vancouver, British Columbia, Canada. True Answer: "Canada", "Kenadian", "Canadialand", "Xanada", "Dominion of Canada", "Canadaa" Contriever: 11617523, has\_answer: False 11617522, has\_answer: False 7697355, has\_answer: False 3375880, has\_answer: False 4904611, has\_answer: True Contriever+ASRANK: 8509738, has\_answer: True 1145807, has\_answer: True 1145854, has\_answer: True 1145799, has\_answer: True 8509740, has\_answer: True

Question: bandar seri begawan international airport is in which country? Answer Scent: Bandar Seri Begawan International Airport is located in Brunei. True Answer: "Abode of Peace", "BRUNEI", "Health in Brunei", ... Contriever: 2693267, has\_answer: False 6595413, has\_answer: False 10932719, has\_answer: False 670520, has\_answer: True 10932726, has\_answer: True Contriever+ASRANK: 670503, has\_answer: True 10893158, has\_answer: True 5225731, has\_answer: True 11964123, has\_answer: True

Table 13: Case study of the retrieved passage from Contriever retriever Before/after Reranking for TriviaQA.