

# StructFormer: Document Structure-based Masked Attention and its Impact on Language Model Pre-Training

Anonymous ACL submission

## Abstract

Most state-of-the-art techniques for Language Models (LMs) today rely on transformer-based architectures and their ubiquitous attention mechanism. However, the exponential growth in computational requirements with longer input sequences confines Transformers to handling short passages. Recent efforts have aimed to address this limitation by introducing selective attention mechanisms, notably local and global attention. While sparse attention mechanisms, akin to full attention in being Turing-complete, have been theoretically established, their practical impact on pre-training remains unexplored. This study focuses on empirically assessing the influence of global attention on BERT pre-training.

The primary steps involve creating an extensive corpus of structure-aware text through arXiv data, alongside a text-only counterpart. We carry out pre-training on these two datasets, investigate shifts in attention patterns, and assess their implications for downstream tasks. Our analysis underscores the significance of incorporating document structure into LM models, demonstrating their capacity to excel in more abstract tasks, such as document understanding.

## 1 Introduction

Given a universal set of Vocabulary  $\mathcal{V}$ , the primary objective of a Language Model (LM) is to learn a distribution for a sequence of words, denoted as  $P(w_1, w_2, w_3, \dots, w_n)$ , where each  $w_i$  belongs to the set  $\mathcal{V}$ . By building a model based on this word distribution, we can calculate the probability of the next word occurring in a given sequence, expressed as  $P(w_n|w_1, w_3, \dots, w_{n-1})$ . Typically, the word with the highest probability is selected as the next word, i.e.,  $\arg \max_n P(w_n|w_1, w_3, \dots, w_{n-1})$ . Until recently, memory-aware deep learning methods like LSTM, BiLSTM, and other sequential models were the go-to choices for learning the underlying distribution of training data.

However, the landscape of Language Models has evolved with the introduction of the Transformer-based architecture, as initially proposed in (Vaswani et al., 2017). Recent state-of-the-art (SOTA) techniques now rely exclusively on Transformers, leveraging their ubiquitous attention mechanism. In the case of attention-based Transformer models, each word learns a self-attention score concerning every other word in the vocabulary  $\mathcal{V}$ , effectively capturing the relationships between words in a given corpus.

While these Transformer models have been highly successful, a fundamental challenge lies in their computational and memory demands. The attention mechanism scales quadratically in terms of both memory and computation. This limitation makes applying attention to an entire document both expensive and challenging, effectively restricting the application of Transformers to handling only short passages. To mitigate this limitation, researchers have proposed a sparse-attention mechanism (Beltagy et al., 2020a). Various methods have emerged to implement this sparse-attention mechanism (Ainslie et al., 2020), (Zaheer et al., 2020b), with a common approach being the division of attention into two categories: local and global. In the case of local attention, tokens attend to their nearby neighbors within a defined distance  $k$ , whereas global tokens focus on a selective subset of tokens ( $l \ll k$ ) and are subsequently attended to by all other tokens within an input sequence. This division effectively curbs the computational cost, leading to a linear increase in proportion to the combined size of local and global attention.

Local attention is conceptualized as a sliding window, where a token at position  $n$  attends to its surrounding tokens within a window of size  $w$ . This concept is rooted in human reading behavior, where attention predominantly centers on the paragraph or section being actively read. To encompass the entire context, documents are traditionally

084 structured into chapters, sections, and subsections, 135  
085 each identified by titles and subtitles. 136

086 Despite the documented superiority of sparse- 137  
087 attention-based models over their dense attention 138  
088 counterparts (Beltagy et al., 2020b), (Ainslie et al., 139  
089 2020), (Zaheer et al., 2020b), an area that has yet 140  
090 to be thoroughly explored is the impact of global 141  
091 tokens during the pre-training phase. Existing mod- 142  
092 els are typically trained exclusively with local to- 143  
093 kens within a window (Beltagy et al., 2020a), and 144  
094 global tokens are brought into play only during 145  
095 downstream tasks. 146

096 The present work seeks to address this gap in 147  
097 knowledge by conducting an in-depth examination 148  
098 of the impact of global tokens on the pre-training 149  
099 of Language Models (LMs). A significant chal- 150  
100 lenge in integrating global tokens into pre-training 151  
101 lies in preparing a sufficiently large pre-training 152  
102 corpus of text with properly identified global to- 153  
103 kens. Drawing inspiration from PubLayNet (Zhong 154  
104 et al., 2019) and DocBank (Li et al., 2020), we 155  
105 overcome this challenge by generating structure- 156  
106 aware documents from LaTeX files sourced from 157  
107 arXiv (Ginsparg, 2011). Within this corpus, we em- 158  
108 ploy LaTeX document structures to identify titles, 159  
109 headings, and sub-headings, which are then incor- 160  
110 porated as global tokens during the pre-training 161  
111 process. 162

112 Moreover, the significance of incorporating doc- 163  
113 ument structure into the pretraining phase of Lan- 164  
114 guage Models cannot be overstated. While a vast 165  
115 corpus of text data is essential for language mod- 166  
116 els to learn the intricacies of language, it often 167  
117 lacks the structured semantic information that is 168  
118 crucial for understanding the context and relation- 169  
119 ships within a document. Document structure, in- 170  
120 cluding titles, headings, sub-headings, and hierar- 171  
121 chical organization, inherently encodes a wealth of 172  
122 semantic meaning. Titles provide a concise sum- 173  
123 mary of the document’s main topics, while head- 174  
124 ings and sub-headings guide readers through the 175  
125 document’s content, offering a roadmap for un- 176  
126 derstanding the document’s context and logical 177  
127 flow. By introducing this structured information 178  
128 into the pretraining process, LMs have the po- 179  
129 tential to grasp not only the nuances of language 180  
130 but also the underlying organizational and seman- 181  
131 tic structure within doc- 182  
132 uments, enhancing their capacity to tackle com- 183  
133 plex tasks such as document understanding and 184  
134 abstract summarization. The integration of struc- 185  
tural awareness aligns with the broader goal of enabling LMs

to bridge the gap between raw text data and mean- 135  
ingful, context-aware language processing. 136

The subsequent sections of this paper provide 137  
a detailed account of this approach and present 138  
the outcomes of this comprehensive examination. 139  
This research seeks to illuminate the impact and 140  
potential benefits of integrating global tokens into 141  
the pre-training phase of LMs, thus contributing 142  
to the broader understanding of efficient and effec- 143  
tive language modeling approaches. Further, the 144  
method acts as a proxy for incorporating document 145  
structure in pre-training. The change in pre-training 146  
influences the attention pattern in a positive way, by 147  
capturing stronger relations between keywords and 148  
section headers. Our experiments show that em- 149  
ploying this method for BERT helps significantly 150  
in downstream tasks and provides evidence that 151  
learning during pre-training can go beyond natural 152  
language understanding (NLU). 153

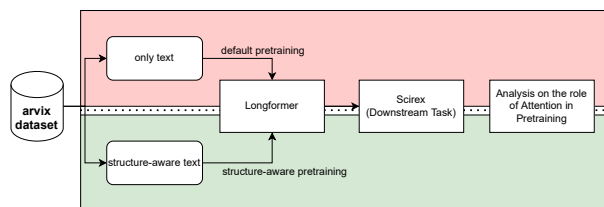


Figure 1: Illustration of our approach to empirically analyzing masked attention during the pre-training process

## 2 Related works 154

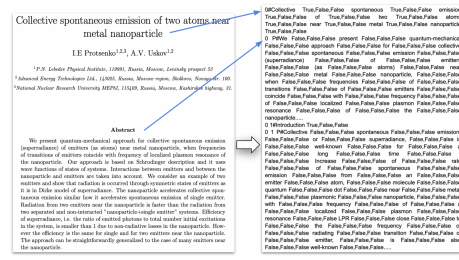
Kevin Clark et al. did an analysis of BERT’s atten- 155  
tion (Clark et al., 2019) focusing on the analysis 156  
of the attention mechanisms of pre-trained models. 157  
Though our work provides the method, data, and 158  
pre-trained models for the analysis, examining the 159  
outputs of language models on carefully chosen 160  
input sentences is out of the scope of our current 161  
work. We keep the suggested analysis for future 162  
work. Jesse Vig provides open-source tools (Vig, 163  
2019) that can be used to visualize attention at 164  
multiple scales, each of which provides a unique 165  
perspective on the attention mechanism. They have 166  
demonstrated the tool on BERT and GPT-2 mod- 167  
els and present three example use cases: detecting 168  
model bias, locating relevant attention heads, and 169  
linking neurons to model behavior. Another line 170  
of work (Adi et al., 2016; Giulianelli et al., 2018; 171  
Zhang and Bowman, 2018) investigates the inter- 172  
nal vector representations of the model often using 173  
probing classifiers. Again the line of work is on 174

175 their linguistic abilities of models without explic-  
176 itly being trained for the tasks. We keep it for our  
177 future work.

178 The following works use document structure for  
179 various tasks:

- 180 1. **Longformer**: Longformer (Beltagy et al.,  
181 2020b) is another transformer-based model  
182 that introduces a new attention mechanism  
183 that scales linearly with sequence length, mak-  
184 ing it easier to process long documents of  
185 thousands of tokens or longer. The attention  
186 mechanism combines local windowed atten-  
187 tion with task-motivated global attention, al-  
188 lowing for the building of contextual represen-  
189 tations of the entire context using multiple  
190 layers of attention. During the pretraining of  
191 Longformer, the non-availability of global at-  
192 tention pertaining can hinder its ability to cap-  
193 ture broader contextual relationships across  
194 distant parts of a text. This sparse use of  
195 global attention might lead to potential blind  
196 spots, where the model may miss essential  
197 contextual cues that could be pivotal for cer-  
198 tain tasks.
- 199 2. **HEGEL**: HEGEL (Zhang et al., 2022) uses  
200 Hypergraph Transformer which can take  
201 longer context and utilizes it for long docu-  
202 ment summarization. HEGEL addresses the  
203 intricacies of high-order cross-sentence rela-  
204 tions, offering a novel approach to update and  
205 refine sentence representations through the  
206 application of hypergraph transformer layers.  
207 However, it is exclusive to text summarization  
208 and does not help models understand inherent  
209 document structure for other tasks.
- 210 3. **HIBRIDS**: HIBRIDS (Cao and Wang, 2022)  
211 uses hierarchical biases to encode document  
212 structure and then uses this to compute better  
213 attention scores. The work introduces a new  
214 task: hierarchical question-summary gener-  
215 ation, aimed at summarizing salient content  
216 within source documents into a hierarchy of  
217 questions and summaries, with each follow-up  
218 question seeking to delve into the content of  
219 its parent question-summary pair. The model  
220 is able to outperform similar models in the  
221 quality of hierarchical structures and the ex-  
222 tent of content coverage.

223 The works above provide compelling evidence  
224 that document structure and semantic organization



Paper: Igor Protsenko, Alexander Uskov "Collective spontaneous emission of two atoms near metal nanoparticle" (2014).

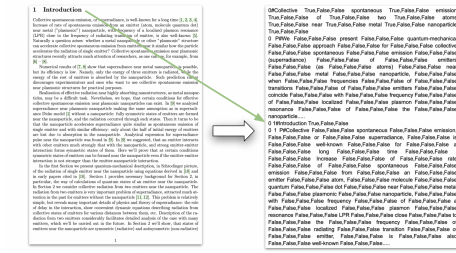
Figure 2: Example of the extraction and storage of document structure in a text file

are valuable in natural language tasks. However, these approaches primarily employ document structure during the fine-tuning process without the ability to adapt to it. Instead, they utilize it as a fixed component. Our approach aims to bridge this gap by introducing a novel method for pre-training BERT-based models. This method enables the models to seamlessly integrate document structure into their understanding of natural language, allowing them to learn and adapt to document structures for more contextually aware language processing.

### 3 Methodology

Our work tries to develop a way to learn the semantic information of document structure in pre-training by using sparse attention. For this purpose, our goal is to analyze the impact of global tokens on pre-training. The methodology for doing this can be divided into the following parts

1. **Pre-training corpus**: We need a large corpus of text data for pre-training that is representative of our target task. We should be able to use the same corpus with and without global tokens. We used the large corpus of latex files available from <https://arxiv.org/>. We prepare two parallel corpora, one that is the default which has only text, and another corpus that is structure-aware. These two data are used for the two pertaining experiments. More details about data preparation are in Section 4
2. **Model architecture**: From a list of sparse attention models (Child et al., 2019; Beltagy et al., 2020a; Zaheer et al., 2020a), for the purpose of our work, we chose Longformer, a modified Transformer with an attention mechanism as a combination of a windowed local-context self-attention and an end task-motivated global attention that encodes induc-



Paper: Igor Protsenko, Alexander Uskov "Collective spontaneous emission of two atoms near nanoparticle" (2014)

Figure 3: Example (cont.) of the extraction and storage of document structure in a text file

263 tive bias about the task (Beltagy et al., 2020a).  
 264 Longformer has been shown to be effective  
 265 against many traditional dense attention-based  
 266 transformers on many NLP tasks with better  
 267 pre-training metrics yet simple to modify  
 268 and use for our purpose. The model is a  
 269 modification of BERT, and is used for down-  
 270 stream tasks where there is an inherent need  
 271 for global attention for some tokens. STRUCT-  
 272 FORMER model uses Longformer architecture  
 273 and utilizes global attention for header tokens  
 274 in the pre-training process.

275 **3. Pre-training:** We use masked language mod-  
 276 eling (MLM) as our pre-training task. The  
 277 model tries to predict masked token values  
 278 based on the neighboring tokens. However,  
 279 here our contribution is to use the local win-  
 280 dows as is and special global tokens for the  
 281 headers which now also attend to the masked  
 282 and the neighboring tokens in the window.  
 283 This results in the model understanding lan-  
 284 guage as well as the document structure. It  
 285 is interesting to note that this method can be  
 286 extended to any BERT-based model since any  
 287 such model can be converted to a sparse atten-  
 288 tion transformer.

289 **4. Attention Patterns:** To test our claim that  
 290 global tokens during pre-training help lan-  
 291 guage models identify document structure, we  
 292 analyze the attentions of STRUCTFORMER  
 293 model. We then compare this against the  
 294 longformer model trained without global to-  
 295 kens. We observe that STRUCTFORMER  
 296 model shows significantly higher attention  
 297 scores between keywords and header tokens  
 298 as against vanilla longformer. The attention  
 299 patterns confirm that the model learns not just  
 300 natural language, but also the structure of doc-  
 301 uments by identifying the header tokens. A

302 special dataset is required for this result, for  
 303 which we need keywords around header to-  
 304 kens of documents. This dataset is another  
 305 contribution to our work.

306 **5. Downstream task:** We use our model for  
 307 SciREX (Scientific REpresentation eXtrac-  
 308 tion) downstream task (Jain et al., 2020)  
 309 which is a document-level IE dataset that en-  
 310 compasses multiple IE tasks, including salient  
 311 entity identification and document-level N-ary  
 312 relation identification from scientific articles.  
 313 The SciREX benchmark dataset can be used to  
 314 evaluate models for scientific representation  
 315 learning, majorly focusing on the automatic  
 316 extraction of structured representations from  
 317 scientific documents. The problem can be  
 318 broken down by first identifying named en-  
 319 tities, then clustering the named entities and  
 320 recognizing the salient mentions in the clus-  
 321 ters. Finally, the relation tuples are extracted,  
 322 and SciREX attempts to do all this using a sin-  
 323 gle model. Since SciREX data is also derived  
 324 from the arxiv, studying the effect of global  
 325 tokens becomes a lot easier and more relevant.  
 326 The only modification needed is to change  
 327 the pre-trained BERT with STRUCTFORMER  
 328 pre-trained model to finetune on SciREX.

329 The entire workflow of our controlled experi-  
 330 ment is visualized in Figure 1.

## 331 4 Dataset Generation

332 The necessity of pre-training arises from the sig-  
 333 nificant shift in context when introducing struc-  
 334 ture into language modeling. Currently, no pre-existing  
 335 datasets suitable for language model (LM) pre-  
 336 training can adequately represent the structure of  
 337 input data. We took inspiration from DocBank (Li  
 338 et al., 2020), opting to utilize LaTeX documents  
 339 from arxiv (Ginsparg, 2011), as the structure of  
 340 these documents can be easily extracted from their  
 341 LaTeX codes.

342 For the purpose of structure extraction, we down-  
 343 loaded a total of 1,129,787 LaTeX documents  
 344 from <https://arxiv.org/>, covering the period  
 345 from 2000 to 2018. We combined all these doc-  
 346 uments into a single flattened file, after eliminat-  
 347 ing all comments, figures, tables, and equations.  
 348 The LaTeX codes were processed individually, first  
 349 extracting the title and abstract, followed by sec-  
 350 tions, subsections, subsubsections, and paragraphs.

While performing this extraction, we ensured the removal of all LaTeX syntax except for indications of bold, italic, and underlined text. TexSoup, a Python package designed for searching, navigating, and modifying LaTeX code, was employed for the extraction process.

During the extraction process, each word was classified as a title word or a paragraph word. Once these words were converted into tokens, their classification was preserved. This was achieved by creating a tuple of the token and a special character denoting whether it was a title token. Special Pytorch data loaders (Zolnouri et al., 2020) were created to parse these documents and provide each token and optional token masks for the global token experiment.

The extracted structure was stored in a text file in a specific format. This format comprised a sequence of numerical meta-information followed by the actual words of the node. Each word was accompanied by three Boolean values, representing whether the word was bold, italic, or underlined. Figure 2 and 3 illustrate the extraction process and tokenization. These text files were subsequently used for creating pickle files that form the dataset.

For every ten documents, a single pickle file was generated, containing an iterative list of dictionaries, with each dictionary representing a document. These dictionaries contained three keys: ‘title’, ‘content’, and ‘sub-levels’. At the first level, the ‘title’ key stores the document’s title, the ‘content’ key stores the abstract, and the ‘sub-levels’ key stores a list of dictionaries representing sections, subsections, subsubsections, and corresponding paragraphs. This pattern was maintained till the last level. Thus, we were able to generate a structure-aware corpus suitable for pre-training the model.

Various statistical analyses are performed on the above-created text corpus. For example, min, max, mean and standard deviations were calculated for the number of tokens, number of headers, and number of tokens per header to help decide the sequence length. This is depicted in Table 1.

## 5 Experiments

### 5.1 Structure-aware Pre-training

The Longformer model chosen for training was Allen AI’s 4096\_base (Beltagy et al., 2020a).

Table 1: Statistics on extracted document

	Tokens	Headers	Tokens per header
Minimum	2	1	1
Maximum	4,553,287	498	40,592
Mean	15,266	14	106
SD	31,993	9	204

The documents were filtered such that each document contained between 2,000 to 12,000 tokens to avoid outliers. Finally, the model was pre-trained on 100,000 documents. Both the baseline and global token pre-training were run using this corpus. When storing the documents, the header is recursively identified and its content is stored in the following tokens. This helps identify the various headers in the document inducing a sense of the structure of the document. This is exploited in the pre-training as the tokens corresponding to these headers are used as global tokens, by setting their mask to 1, while the other tokens have a mask set to 0. The local attention window size is set to 256 tokens. Another model with the same architecture was trained with the global attention mask set to 0 for all tokens. This will give us two similar models where the only difference is the structure-aware pre-training. We chose Masked Language Modelling (MLM) (Wettig et al., 2022) for the pre-training task. Both the models were pre-trained on the entire corpus of data for the MLM task for 9,000 runs. The local attention window was set to 256. The models took 16 hours each for pre-training. The results obtained on the *bits-per-character (BPC)* metric for the two models is presented in Table 2. The default pre-training’s BPC of 2.3 shows the difficulty of the model in understanding the arxiv corpus. As arxiv documents are written in latex documents, in the final output many NLP grammar rules will break such as abrupt sentence shifts between the titles and the first line in the paragraph succeeding it. Whereas given the structural information slightly reduces the BPC. This could be because we were able to instruct the model on the difference between titles and paragraphs which can help learn attention better within the sliding window.

### 5.2 Attention Patterns and Visualization

To further explore the impacts of structure-aware pretraining, we examined the attention patterns between our proposed model (referred to as Struct-

Masked Language Modelling Results	
Model	Test
Structure-Aware pre-training	2.2136
Default pre-training	2.3051

Table 2: BPC on held-out arxiv test for the different models

Former) and a standard vanilla model trained without global tokens.

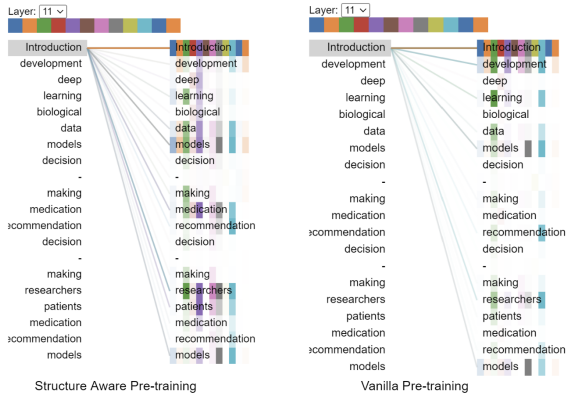


Figure 4: Attention patterns of structure-aware pre-training and vanilla pre-training between header and keywords

To conduct this study, we curated a novel dataset from scientific documents published on arxiv in 2023. These documents were never seen by the models during training. The dataset consists of section headings and a few subsequent sentences from each section. We then manually annotated keywords in these sentences which we deemed critical for preserving the context throughout the document.

Our evaluation involved examining the attention between the section headings and these key annotated words in the sentences. We computed the average attention score for these critical relationships across both models. This investigation allowed us to observe and compare the influence of structural pretraining on how the models distribute attention across the document. The results indicate that STRUCTFORMER model shows an increase of more than **20 %** between key-words and header tokens.

The attention patterns in the vanilla model were relatively uniform, with the model apportioning equal attention to all words within the context window. However, there was a notable bias towards the most recent words, showcasing a typical recency

effect in language processing tasks.

Conversely, the attention patterns of the STRUCTFORMER model were markedly different. The model demonstrated a variable distribution of attention, concentrating more on specific tokens pertinent to the prediction of the next word. Importantly, the global tokens (representing titles and headings) consistently received high attention scores, signifying that the model effectively harnessed the structure-aware pre-training. This suggests that the STRUCTFORMER model could create a more informed understanding of the document’s overall context, a vital aspect in various language understanding tasks. Figure 4 shows an example of an attention pattern for the last transformer layer. "Introduction" is the header token and the rest of the tokens are keywords in the local window. As can be seen, the header token attends more to keywords in structure-aware pre-training.

In essence, our exploration of attention patterns corroborates the potential benefits of structure-aware pre-training. Such a method alters the model’s perception and processing of text, encouraging it to focus on global contextual cues and understand the intrinsic structure of the document. As a result, the model could exhibit improved performance across a range of language understanding tasks.

### 5.3 SciREX Fine tuning

The SciREX dataset was chosen to analyze the effects of structure-aware pre-training in documents. SciREX (Jain et al., 2020) (Scientific REpresentation eXtraction) is a benchmark dataset used to evaluate models for scientific representation learning. Since the models were pre-trained on arxiv which is also a scientific document dataset, the contextual information would be better utilized in a SciREX-like dataset.

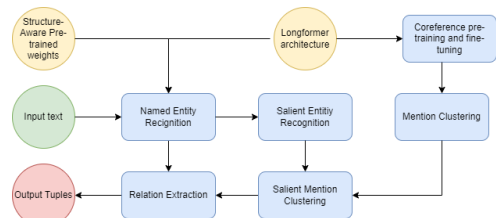


Figure 5: SciREX pipeline with structure-aware corpus pre-trained longformer

Fine-tuning was done by modifying the SciREX training pipeline. In place of BERT, a Longformer

End-to-end (predicted input)						
	StructFormer			SciREX Baseline		
Task	Precision	Recall	F1	Precision	Recall	F1
Salient Clusters	<b>0.2581</b>	0.61271	<b>0.3419</b>	0.2230	0.6000	0.3070
Binary Relations	0.0550	<b>0.5100</b>	0.0890	<b>0.0650</b>	0.4110	0.0960
4-ary Relations	0.0019	<b>0.2760</b>	0.0037	<b>0.0070</b>	0.1730	<b>0.0080</b>

Table 3: Summary of the results of StructFormer on the SciREX end-to-end task against the baseline

End-to-end (predicted input)						
	StructFormer			Vanilla Longformer		
Task	Precision	Recall	F1	Precision	Recall	F1
Salient Clusters	0.2581	0.61271	0.3419	0.2371	0.5949	0.3182
Binary Relations	0.0550	0.5100	0.0890	0.0470	0.4906	0.0740
4-ary Relations	0.0019	0.276	0.0037	0.0013	0.2745	0.0025

Table 4: Comparative results between StructFormer Vanilla Longformer models on Scirex (predicted)

model was initialized and the pre-trained weights were loaded. In this analysis, we will consider three different types of models

- **StructFormer:** This is our proposed long-former model which exploits the global token to have structure awareness while pretraining on a large corpus of scientific documents
- **Vanilla Longformer:** This LongFormer model is pre-trained on the same corpus of scientific documents and is a part of our ablation study
- **SciREX Baseline:** This is the model proposed by the authors of SciREX dataset to serve as a baseline

Finally, we present our results on the SciREX dataset task of our model against the other two models. Firstly, in Table 3 we compare the StructFormer model against the SciREX baseline for the end-to-end predicted input.

As can be seen from the results in Table 3, StructFormer demonstrates a distinct improvement in salient clustering compared to the SciREX baseline. However, this improvement is not reflected in good n-ary relation extraction scores. As explained by the authors in the paper (Jain et al., 2020), this is primarily due to the identification of numerous outlier clusters by the end-to-end model, leading to poor subsequent performance. Nevertheless, StructFormer outperforms in salient mention clustering and all tasks leading up to it. It is important to note that this improvement cannot be solely attributed to

contextual pre-training. One reason for this is that the SciREX pipeline utilizes a co-reference model, which is pre-trained and fine-tuned on a scientific document corpus. Hence, the improvement can be attributed to either structure-aware pre-training or the Longformer architecture.

To discern which of the two factors has a greater impact, we conducted an ablation study by pre-training the Longformer model without global tokens, thereby losing the context of structure. The results of this study are summarized in Table 4.

The results from Table 4 clearly demonstrate that structure-aware pre-training significantly contributes to the identification of salient clusters and all the preceding steps in the pipeline. This is evident by the superior performance of the structure-aware pre-training approach across all metrics when compared to the regular model on the predicted input test set. Interestingly, the results of Vanilla Longformer are not considerably different from the SciREX baseline for salient clustering. This ablation study highlights the role of structure-aware pre-training in enabling the model to learn attention patterns that enhance its performance on the predicted input task, surpassing the baseline performance in the SciREX task.

To address the issue of poor salient clusters, the authors introduced gold clusters and only clusters with more than a 50% overlap with these gold clusters are considered. As a result of this threshold and the fact that gold clusters are mostly disjoint, the predicted clusters are mapped to a unique gold cluster. Using these inputs, both the Longformer models exhibit significantly improved performance

End-to-end (Gold input)						
	StructFormer			SciREX Baseline		
Task	Precision	Recall	F1	Precision	Recall	F1
Salient Clusters	<b>0.8381</b>	0.6582	0.7195	0.7760	0.6140	0.6680
Binary Relations	<b>0.6613</b>	<b>0.6630</b>	<b>0.6501</b>	0.3720	0.3280	0.3340
4-ary Relations	<b>0.6604</b>	<b>0.7042</b>	<b>0.6508</b>	0.3100	0.2810	0.2680

Table 5: Summary of the results of StructFormer on the SciREX end-to-end task (with gold input) against the baseline

End-to-end (Gold input)						
	StructFormer			Vanilla Longformer		
Task	Precision	Recall	F1	Precision	Recall	F1
Salient Clusters	0.8381	0.6582	0.7195	0.8330	0.6572	0.7185
Binary Relations	0.6613	0.6630	0.6501	0.6795	0.6781	0.6681
4-ary Relations	0.6604	0.7042	0.6508	0.6702	0.7075	0.6654

Table 6: Comparative results between StructFormer and Vanilla Longformer models on Scirex (Gold input)

across all tasks, as shown in Table 5. Now, let’s analyze these results, starting with the salient clusters identified after gold filtering. As observed, there is once again a substantial improvement over the SciREX baseline, and this improvement directly corresponds to the performance boost in the predicted input task. Furthermore, this improvement is now also reflected in the n-ary relations task, where we observe nearly double the scores in all metrics. Notably, the ratio of metrics for salient clustering between the structure-aware Longformer and the SciREX baseline is maintained both before and after gold filtering. However, the results in Table 6 indicate that the proportional gain is not maintained in the ablation study against Vanilla Longformer after gold filtering, unlike the SciREX baseline. This ablation study reinforces the notion that structure awareness in pre-training enables the model to learn attention patterns that improve its performance on the predicted input task. Moreover, the observation that this gain is lost in the ablation study after gold filtering suggests that structure awareness has a similar effect to gold clustering.

## 6 Discussion and Conclusion

The results in the predicted input show that structure awareness positively boosts the performance of the model salient mention clustering and all tasks leading up to it. Moreover, this gain cannot be attributed to contextual learning for two reasons

- SciREX also uses contextual learning before salient mention clustering to train and finetune

the coreference model

- The Vanilla Longformer model is also trained on the same dataset as our structure-aware model, and its performance is similar to SciREX baseline.

This, coupled with the fact that attention helps encode keyword information, proves that structure awareness in pre-training helps the model understand the context better for fine-tuning on downstream tasks. The analysis of attention in StructFormer and its differences from vanilla Longformer helps us understand the reasons for its better performance. Moreover, the fact that structure-awareness in pre-training helps the model understand the structure in unseen documents presented as a corpus means that StructFormer can be a better choice for most document-related tasks. Our work clearly highlights that structure-aware pre-training has a positive impact on downstream tasks. We use the global tokens in sparse attention models for pre-training which has not been explored before and demonstrate its advantages over vanilla pre-training for information extraction tasks in long passage documents.

## 7 Limitations

Our work provides the first evidence of using global tokens as a substitute for document structure understanding in pre-training. However, we acknowledge that our findings are preliminary and there is much more to explore in this arena. We have



planned a more detailed investigation into attention patterns for future work. This future research would involve a thorough analysis of the model’s behavior and attention allocation mechanisms under different contexts, providing a richer understanding of the impacts of structure-aware pre-training. Further, we have pre-trained on only scientific documents, and not on other structured data sources like books. This could significantly help the pre-training process as the model gets wider structural information. Lastly, we have not extended our method to other structured data sources.

## 8 Ethics Statement

Our method relies on publically available archive documents. There is a potential risk of this dataset being biased which may lead to biases in downstream tasks. Since the dataset is scientific in nature, this could also lead to a lack of diversity and representation. Lastly, even though we use public datasets, there are potential privacy risks associated with the method.

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