

000 001 002 003 004 005 006 007 008 009 010 011 012 013 014 015 016 017 018 019 020 021 022 023 024 025 026 027 028 029 030 031 032 033 034 035 036 037 038 039 040 041 042 043 044 045 046 047 048 049 050 051 052 053 RFD-LoRA: ROBUST FEDERATED DISTILLATION FOR LORA FINE-TUNING UNDER HETEROGENEOUS AND ADVERSARIAL CLIENTS

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010 011 ABSTRACT

013 Federated learning (FL) with low-rank adaptation (LoRA) is attractive for efficiency
014 but fragile compared to full-rank FL. We show three fundamental vulnerabilities:
015 (i) aggregation and projection bias, since bilinear averaging of adapters
016 misrepresents the true global update; (ii) adversarial amplification, where low-
017 rank projections can magnify malicious perturbations; and (iii) Jacobian sensitivity,
018 where small adapter changes trigger large gradient variation. Existing methods
019 only mitigate these issues and require identical client ranks, limiting practical-
020 ity. We propose Robust Federated Distillation for LoRA (RFD-LoRA), the first
021 framework to combine federated distillation with LoRA. By aggregating logits in
022 a shared subspace, RFD-LoRA totally eliminates aggregation and initialization
023 lag while enabling clients with heterogeneous ranks and adapter structures to col-
024 laborate seamlessly. To defend against non-IID and adversarial clients, we design
025 three modules: Confidence-Adaptive Temperature (CAT), MMD-based Distilla-
026 tion (MMD-KD), and Disagreement Suppression (DIS). We provide error bounds
027 and show on GLUE benchmarks that RFD-LoRA consistently outperforms prior
028 methods in accuracy and robustness.

029 1 INTRODUCTION

031 Federated learning (FL) (Konečný et al. (2016)) has become a central paradigm for collaborative
032 training across distributed data silos while preserving data privacy (McMahan et al. (2023); Kairouz
033 et al. (2021); Ye et al. (2024)). In parallel, low-rank adaptation (LoRA) has emerged as a leading
034 approach for parameter-efficient fine-tuning (PEFT) (Han et al. (2024); Houlshby et al. (2019)) of
035 large pre-trained models (Hu et al. (2021); Asadi et al. (2024)), significantly reducing both compu-
036 tational and storage costs. Recently, these two directions have begun to converge, giving rise to the
037 study of federated LoRA fine-tuning, where only the lightweight low-rank adapters are exchanged
038 across clients rather than full model parameters. A number of methods have been proposed in this
039 space, including FFA-LoRA (Sun et al. (2024)), which introduces privacy-preserving and fairness-
040 adaptive aggregation for federated LoRA, LoRA-FAIR (Bian et al. (2025)), which improves fairness
041 and communication efficiency across heterogeneous clients, and FLoRA (Wang et al. (2024)), which
042 establishes the first baseline framework for federated LoRA fine-tuning. More recent works include
043 FedIT (Zhang et al. (2024)), which addresses initialization mismatch and aggregation bias in LoRA
044 modules, and FlexLoRA (Bian et al. (2025)), which enables flexible low-rank adaptation across het-
045 erogeneous client devices. Together, these studies demonstrate the potential of combining FL and
046 LoRA to achieve efficient, scalable, and privacy-preserving model adaptation.

047 Despite these advances, federated LoRA remains fundamentally fragile. Current methods inherit
048 three key issues: **(i) Aggregation bias**: as server-side averaging of low-rank matrices \bar{A}, \bar{B} does
049 not equal the true weighted average $\sum_k p_k B_k A_k$ (Sun et al. (2024)), and **(ii) Initialization bias**:
050 as methods like FLoRA reinitialize adapters every round, leading to poor conditioning and delayed
051 convergence (Bian et al. (2025)). **(iii) Identical client model structure**: existing frameworks as-
052 sume that all clients use identical LoRA rank and adapter structure. This assumption may hold in
053 simulation benchmarks, but is unrealistic in real-world federated environments where clients have
054 diverse hardware constraints and heterogeneous adaptation needs. As a result, current LoRA-FL
055 solutions face severe scalability and deployment challenges.

More critically, FL LoRA fine-tuning amplifies sensitivity to heterogeneity (Wang et al. (2022); Zhao et al. (2018); Li et al. (2020); Bhagoji et al. (2019)) in ways that are not present in full-rank FL. Because adapters project updates into low-dimensional subspaces, client differences are not smoothed out but instead magnified. We identify three fundamental sources of vulnerability:

(i) Projection bias. Server-side aggregation of LoRA modules is inherently inconsistent: $\sum_k p_k B_k A_k \neq (\sum_k p_k B_k)(\sum_k p_k A_k)$. This bilinear mismatch leads to systematic deviation between the aggregated update and the true client-average update direction.

(ii) Adversarial amplification. Malicious clients (Chacko et al. (2024); Tsipras et al. (2019)) align updates with adapter subspaces, yielding up to $\Theta(\sqrt{d/r})$ magnification over full-rank.¹

(iii) Jacobian sensitivity. The bilinear map $(A, B) \mapsto BA$ induces Jacobian norms that scale with the feature energy $\|x\|$ (Novak et al. (2018); Moosavi-Dezfooli et al. (2018)), the adapter spectral norms, and downstream layer norms. Consequently, even small perturbations in (A, B) can cause disproportionately large variations in gradients, making federated LoRA especially unstable under non-IID or adversarial settings.

In this work, we propose RFD-LoRA, the first framework that integrates federated distillation Lin et al. (2021); Itahara et al. (2023) with LoRA fine-tuning. Unlike prior methods that aggregate adapter parameters, RFD-LoRA operates in logit space: each client transmits logits on a small public anchor set, which are aggregated at the server. This eliminates aggregation bias and initialization lag from low-rank mismatches, and enables heterogeneous clients with different ranks or adapter structures to participate seamlessly via a shared latent logit subspace. Moreover, to strengthen robustness, we introduce three modules: **(i) Confidence-Adaptive Temperature (CAT)** dynamically scales logits by confidence, bounding gradient norms and stabilizing optimization; **(ii) MMD-based Distillation (MMD-KD)** aligns both mean and variance of logits, resisting energy-shaping attacks; **(iii) Disagreement Suppression (DIS)** downweights clients with high variance on anchor predictions, mitigating non-IID amplification. Together, these components yield provable error bounds and robustness against adversarial and heterogeneous clients. By uniting logit-space distillation with these defenses, RFD-LoRA achieves communication efficiency while, for the first time, enabling rank-flexible and robust federated LoRA training.

Our key contributions are summarized as follows:

- We introduce the first federated distillation framework for LoRA, which removes aggregation bias and initialization lag by aggregating logits instead of adapter parameters.
- RFD-LoRA enables heterogeneous LoRA ranks and adapter structures through a shared latent logit subspace, making it practical for real-world federated settings with diverse client resources.
- We present the first theoretical analysis of federated LoRA fragility, characterizing projection bias, adversarial amplification, and Jacobian sensitivity, and proving error bounds that quantify their impact.
- To improve robustness, we design three modules—Confidence-Adaptive Temperature (CAT), MMD-based Distillation (MMD-KD), and Disagreement Suppression (DIS)—and show through GLUE experiments that RFD-LoRA consistently outperforms existing baselines under IID/non-IID and adversarial clients.

2 PRELIMINARIES

We formalize federated learning with LoRA fine-tuning and show why it is inherently fragile for parameter-space training, especially under heterogeneous or adversarial clients.

2.1 AGGREGATION BIAS AND INITIALIZATION LAG

A central difficulty in federated LoRA fine-tuning lies in the gap between the server-reconstructed update and the true global update. In frameworks such as FedIT (Zhang et al. (2024)), each client k

¹ d is the layer dimension; $r \ll d$ is the LoRA rank in $W = W_0 + \frac{\alpha}{r}BA$, $A \in \mathbb{R}^{r \times d}$, $B \in \mathbb{R}^{d \times r}$. Intuition: energy concentrates from d to r dimensions, giving a $\sqrt{d/r}$ ratio.

108 adapts a shared pre-trained model W by learning local low-rank factors $A_k \in \mathbb{R}^{r \times d}$ and $B_k \in \mathbb{R}^{d \times r}$
 109 on its private dataset \mathcal{D}_k . After training, the server performs data-size weighted averaging,
 110

$$111 \quad \bar{A} = \sum_{k=1}^K p_k A_k, \quad \bar{B} = \sum_{k=1}^K p_k B_k, \quad p_k = \frac{|\mathcal{D}_k|}{\sum_{j=1}^K |\mathcal{D}_j|},$$

113 and then forms the aggregated update as
 114

$$115 \quad \Delta W' = \bar{B} \bar{A} = \left(\sum_{k=1}^K p_k B_k \right) \left(\sum_{k=1}^K p_k A_k \right). \quad (1)$$

117 The ideal update, however, should be
 118

$$119 \quad \Delta W = \sum_{k=1}^K p_k B_k A_k, \quad (2)$$

122 which generally differs from $\Delta W'$ because matrix multiplication is bilinear rather than linear. We
 123 refer to $\Delta W' - \Delta W$ as the aggregation bias, which grows with diversity in client-specific adapters
 124 and is particularly problematic under non-IID data or heterogeneous ranks. Another major difficulty
 125 in federated LoRA fine-tuning comes from the way clients initialize their LoRA modules at the start
 126 of each training round, which leads to initialization lag. See details in Appendix A.

127 2.2 PROJECTION BIAS FROM SUBSPACE MISALIGNMENT

129 In LoRA fine-tuning, each client update is confined to a rank- r subspace defined by its adapters. Let
 130 the full gradient be $g_k = \nabla f_k(W) \in \mathbb{R}^{d \times d}$. Since only (A_k, B_k) are trainable, the effective update
 131 is a two-sided projection:

$$132 \quad \Delta W_k \approx -\eta P_k(g_k), \quad P_k(X) = P_{U_k} X P_{V_k},$$

134 where P_{U_k} projects onto $\text{span}(B_k)$ and P_{V_k} onto $\text{span}(A_k^\top)$. The server aggregates as
 135

$$136 \quad \Delta W_{\text{agg}} = -\eta \cdot \frac{1}{K} \sum_{k=1}^K P_k(g_k),$$

138 while the ideal full-model update is $\Delta W^* = -\eta \nabla F(W)$. Thus, we define the projection bias
 139

$$140 \quad \mathcal{B}_{\text{proj}} := \frac{1}{K} \sum_{k=1}^K P_k(g_k) - \nabla F(W).$$

143 If all clients share the same subspace P , then $\frac{1}{K} \sum_k P_k(g_k) = P(\nabla F(W))$, with error only from
 144 truncation $(I - P)\nabla F(W)$. With heterogeneous data or adapters, however, distinct $\{P_k\}$ mix
 145 gradients from different subspaces. Writing $g_k = \nabla F(W) + \delta_k$, and

$$146 \quad \mathcal{B}_{\text{proj}} = \underbrace{\left(\frac{1}{K} \sum_{k=1}^K P_k - I \right) \nabla F(W)}_{\text{loss of global directions}} + \underbrace{\frac{1}{K} \sum_{k=1}^K P_k \delta_k}_{\text{heterogeneity amplification}}.$$

150 The first term captures structural loss: global gradient components that are consistently dropped by
 151 most subspaces. The second term shows why heterogeneity is amplified: even if $\sum_k \delta_k \approx 0$, the
 152 projected terms $\{P_k \delta_k\}$ do not cancel out, since each P_k rotates deviations differently. As a result,
 153 client heterogeneity translates into disproportionately large residual perturbations in the low-rank
 154 parameter space.

155 2.3 ADVERSARIAL AMPLIFICATION

157 Let $g_k \in \mathbb{R}^d$ be client k 's vectorized gradient and \mathcal{H} the set of honest clients with $\bar{g}_{\mathcal{H}} =$
 158 $\frac{1}{|\mathcal{H}|} \sum_{k \in \mathcal{H}} g_k$. LoRA restricts updates to an r -dimensional subspace \mathcal{S} with projector $P_{\mathcal{S}}$. Define
 159 the relative influence rate (RIR) of an adversarial gradient g_{adv} by
 160

$$161 \quad \text{RIR} = \frac{\|P_{\mathcal{S}}(g_{\text{adv}})\|}{\|P_{\mathcal{S}}(\bar{g}_{\mathcal{H}})\|}.$$

162 Assume honest gradients decompose as $g_k = \mu + \epsilon_k$ with $\mathbb{E}[\epsilon_k] = 0$ and $\text{Cov}(\epsilon_k) = \sigma^2 I_d$. Then
 163

$$164 \mathbb{E}[\|P_{\mathcal{S}}(\bar{g}_{\mathcal{H}})\|^2] = \|P_{\mathcal{S}}\mu\|^2 + \frac{\sigma^2 r}{|\mathcal{H}|}. \quad (3)$$

165

166 For a typical low-rank adapter, \mathcal{S} is not aligned with μ ; under random orientation, $\mathbb{E}[\|P_{\mathcal{S}}\mu\|^2] =$
 167 $(r/d)\|\mu\|^2$, so when $r \ll d$ the variance term dominates, and
 168

$$169 \|\bar{g}_{\mathcal{H}}\| \approx \sigma \sqrt{\frac{r}{|\mathcal{H}|}}.$$

170

171 An adversary can align g_{adv} with \mathcal{S} , giving $\|P_{\mathcal{S}}(g_{\text{adv}})\| \approx \sigma$. Hence

$$172 \text{RIR}_{\text{LoRA}} \approx \sqrt{\frac{|\mathcal{H}|}{r}}, \quad \text{RIR}_{\text{full}} \approx \sqrt{\frac{|\mathcal{H}|}{d}}, \quad \Rightarrow \quad \frac{\text{RIR}_{\text{LoRA}}}{\text{RIR}_{\text{full}}} \approx \sqrt{\frac{d}{r}}.$$

173

174 Thus, adversarial influence is amplified by $\Theta(\sqrt{d/r})$ in LoRA FL relative to full-rank FL. Assumptions and proof of Equation 3 are detailed in Appendix B.
 175

176 2.4 JACOBIAN SENSITIVITY OF LORA PARAMETERIZATION

177 A distinctive vulnerability of LoRA-based federated learning lies in the Jacobian structure induced
 178 by its bilinear adapter mapping. For an input x and adapters (A, B) , the output of a LoRA-
 179 augmented layer is
 180

$$181 z(x; A, B) = x \left(W_0 + \frac{\alpha}{r} B A \right).$$

182

183 Unlike full-rank fine-tuning, this output depends bilinearly on (A, B) . As a result, even small per-
 184 turbations in either A or B can produce amplified changes in z and in the downstream gradients.
 185 The amplification factor is proportional to the feature norm $\|x\|$, the spectral norms of A and B , and
 186 the product of downstream spectral norms. Consequently:
 187

- 188 • **Sensitivity to small perturbations.** A tiny change in A or B can be magnified if A or B
 189 is ill-conditioned or if x has large energy.
- 190 • **Gradient instability.** The loss gradient with respect to (A, B) is not only scaled by the
 191 Jacobian above, but also by the inverse temperature $1/T$ of the softmax. Hence, highly
 192 confident or adversarial logits can cause large swings in gradient updates.
- 193 • **Amplification of heterogeneity.** Clients with slightly different low-rank subspaces may
 194 project their updates into nearly orthogonal directions. Unlike full-rank training where
 195 heterogeneity cancels in expectation, here it can accumulate, leading to extreme update
 196 dispersion.

197 In summary, the Jacobian structure of LoRA explains why federated LoRA fine-tuning is more
 198 sensitive to both non-IID data and malicious perturbations than standard FL. We provide supporting
 199 derivations in Appendix C.
 200

201 3 FRAMEWORK AND GLOBAL ALGORITHM

202 Motivated by the limitations in Section 2, we propose Robust Federated Distillation for LoRA (RFD-
 203 LoRA), which aggregates in logit space instead of averaging adapter parameters. Clients transmit
 204 soft labels on a reference dataset, and the server aggregates and distills them into the global model.
 205 This eliminates aggregation bias and initialization lag, bounds adversarial influence, and reduces
 206 non-IID sensitivity. We next formalize the training protocol.
 207

208 3.1 CLIENT-SIDE PROCEDURE

209 Each client k holds a private dataset \mathcal{D}_k and a local LoRA adapter (A_k, B_k) trained on top of the
 210 frozen base model W_0 . Given a small reference dataset \mathcal{D}_{ref} , which may be public or synthetically
 211 generated, the client computes logits
 212

$$213 z_k(x) = (W_0 + \frac{\alpha}{r} B_k A_k)(x), \quad x \in \mathcal{D}_{\text{ref}}. \quad (4)$$

214

```

216 Input: Base model  $W_0$  (frozen or partially trainable); public anchor set  $\mathcal{D}_{\text{ref}}$ ; clients  $\{1..K\}$  with private
217 data  $\{\mathcal{D}_k\}$ ; heterogeneous LoRA ranks  $\{r_k\}$  and adapter structures; rounds  $N$ , local steps  $E$ ;
218 client LR  $\eta$ , server LR  $\gamma$ ; MoM groups  $M$ ; clipping radius  $c$ ; robustness hyperparams:  $T_0, \kappa, \tau$ 
219 (CAT),  $\lambda$  (MMD),  $\rho$  (DIS).
220 Output: Global model  $W$ .
221  $W \leftarrow W_0$ .
222 for  $n \leftarrow 1$  to  $N$  do
223   // Client-side local fine-tuning (in parallel for  $k = 1..K$ )
224   for  $k \in \{1..K\}$  (in parallel) do
225     Initialize/continue local adapters  $(A_k, B_k)$  with rank  $r_k$  (no constraint across clients).
226     for  $e \leftarrow 1$  to  $E$  do
227       Sample  $(x, y) \sim \mathcal{D}_k$ ; compute logits  $z_k(x) = x \left( W + \frac{\alpha}{r_k} B_k A_k \right)$ .
228       Compute task loss  $\ell_k$  and update  $(A_k, B_k) \leftarrow (A_k, B_k) - \eta \nabla_{A_k, B_k} \ell_k$ .
229     end
230     // Release clipped logits on anchors
231     for  $x \in \mathcal{D}_{\text{ref}}$  do
232       | send  $z_k(x) \leftarrow \text{clip}(z_k(x), [-c, c])$  to server.
233     end
234   end
235   // Server-side robust aggregation on anchors
236   for  $x \in \mathcal{D}_{\text{ref}}$  do
237     Randomly partition clients into  $M$  groups  $\{G_m\}_{m=1}^M$  (Median-of-Means).
238     Group means:  $\bar{z}_m(x) \leftarrow \frac{1}{|G_m|} \sum_{k \in G_m} z_k(x)$ ;  $\bar{z}^2_m(x) \leftarrow \frac{1}{|G_m|} \sum_{k \in G_m} z_k(x)^{\circ 2}$ .
239     MoM consensus:  $\tilde{z}(x) \leftarrow \text{median}(\{\bar{z}_m(x)\}_{m=1}^M)$ .
240     Robust moments for MMD:  $\hat{\mu}(x) \leftarrow \text{median}(\{\bar{z}_m(x)\})$ ,
241      $\hat{\sigma}^2(x) \leftarrow \text{median}(\{\bar{z}^2_m(x)\}) - \hat{\mu}(x)^{\circ 2}$ .
242     // DIS: disagreement suppression (non-IID guard)
243      $v(x) \leftarrow \sum_i \text{Var}_m(\bar{z}_m^{(i)}(x))$ ;  $w(x) \leftarrow (1 + \rho v(x))^{-1}$ .
244     // CAT: confidence-adaptive temperature (stabilize gradients)
245      $\tilde{q}(x) \leftarrow \text{softmax}(\tilde{z}(x))$ ;  $T(x) \leftarrow T_0 \left( 1 + \kappa \left[ \max_i \tilde{q}_i(x) - \tau \right]_+ \right)$ .
246     // KD + MMD-KD objective (per-anchor)
247     Student logits  $z_W(x)$  from current  $W$ .
248      $L_{\text{KD}}(x) \leftarrow \text{CE}(\text{softmax}(\tilde{z}(x)/T(x)), \text{softmax}(z_W(x)/T(x)))$ .
249      $L_{\text{MMD}}(x) \leftarrow \lambda \left( \|z_W(x) - \hat{\mu}(x)\|_2^2 + \|(z_W(x) - \hat{\mu}(x))^{\circ 2} - \hat{\sigma}^2(x)\|_2^2 \right)$ .
250      $L_{\text{RFD}}(x) \leftarrow w(x) (L_{\text{KD}}(x) + L_{\text{MMD}}(x))$ .
251   end
252   // Server update by distillation over anchors
253    $W \leftarrow W - \gamma \cdot \nabla_W \left( \frac{1}{|\mathcal{D}_{\text{ref}}|} \sum_{x \in \mathcal{D}_{\text{ref}}} L_{\text{RFD}}(x) \right)$ .
254 end
255 return  $W$ .

```

Algorithm 1: Training protocol of RFD-LoRA.

256 The logits are optionally clipped to ensure bounded energy,

$$257 \hat{z}_k(x) = \text{clip}(z_k(x), [-c, c]),$$

258 and converted into soft labels via temperature scaling

$$259 q_k(x) = \text{softmax}(\hat{z}_k(x)/T).$$

260 To mitigate over-confidence, we later introduce an adaptive temperature schedule (CAT). Finally,
261 the client transmits $\{q_k(x)\}_{x \in \mathcal{D}_{\text{ref}}}$ to the server.

262 3.2 SERVER-SIDE AGGREGATION

263 Upon receiving predictions from all clients, the server aggregates them robustly to obtain a con-
264 sensus distribution $\tilde{q}(x)$ for each $x \in \mathcal{D}_{\text{ref}}$. We adopt *Median-of-Means* (MoM) or coordinate-wise
265 median, which are known to tolerate an ε -fraction of Byzantine clients. Formally, we show in Sec-
266 tion 4.3 that

$$267 \|\tilde{q}(x) - \bar{q}_{\mathcal{H}}(x)\|_1 \leq O\left(\sqrt{\frac{1}{K}} + \sqrt{\varepsilon}\right),$$

270 where $\bar{q}_{\mathcal{H}}$ is the average distribution of honest clients. The aggregated distribution $\tilde{q}(x)$ then serves
 271 as the teacher for the global student model, updated by minimizing the distillation loss
 272

$$273 \quad \mathcal{L}_{\text{KD}}(x) = \text{KL}(\tilde{q}(x) \parallel p_W(x)),$$

274 where $p_W(x)$ denotes the global model's predictive distribution. In Section 4, we further enhance
 275 this objective with robustness modules, including Confidence-Adaptive Temperature (CAT), MMD-
 276 based Knowledge Distillation (MMD-KD), and Disagreement Suppression (DIS). We show the de-
 277 tailed training protocol in Algorithm 1.

279 4 ROBUSTNESS MODULES

281 4.1 CONFIDENCE-ADAPTIVE TEMPERATURE (CAT)

283 Given a predicted distribution $q_k(x)$ from client k , we define the adaptive temperature

$$284 \quad T(x) = T_0 \left(1 + \kappa \cdot \left[\max_i \tilde{q}_i(x) - \tau \right]_+ \right), \quad (5)$$

286 where $T_0 \geq 1$ is a base temperature, $\kappa \geq 0$ is a scaling factor, $\tau \in [1/C, 1]$ is a confidence threshold,
 287 and $[u]_+ = \max(u, 0)$. The student distribution is computed as

$$289 \quad p_W(x) = \text{softmax}(z_W(x)/T(x)).$$

290 **Theorem 1 (Gradient sensitivity under CAT).** Let $J_W(x) = \partial z_W(x)/\partial W$ denote the Jacobian of
 291 logits. Then for any input x , the update step satisfies

$$293 \quad \|\nabla_W \mathcal{L}_{\text{KD}}(x)\| \leq \frac{C}{T(x)} \|J_W(x)\|, \quad (6)$$

295 where C is a constant depending only on the clipping bound c and the number of classes C .

297 *Proof sketch.* The derivative of $\text{softmax}(z/T)$ w.r.t. z has operator norm at most $1/(4T)$, hence the
 298 difference $\|p_W(x) - \tilde{q}(x)\|_2$ is $\mathcal{O}(1/T)$. Combining with the chain rule $\nabla_W = J_W^\top \nabla_z$ yields the
 299 bound. A full proof is given in Appendix D.

300 4.2 MMD-BASED KNOWLEDGE DISTILLATION (MMD-KD)

302 To mitigate energy-based manipulations where adversaries distort logit magnitudes, we align both
 303 first- and second-order logit statistics via Maximum Mean Discrepancy (MMD). Let the server ag-
 304 gregate both the mean $\hat{\mu}(x)$ and diagonal variance $\hat{\sigma}^2(x)$ of logits across clients:

$$306 \quad \hat{\mu}(x) = \frac{1}{K} \sum_k \hat{z}_k(x), \quad \hat{\sigma}^2(x) = \frac{1}{K} \sum_k (\hat{z}_k(x) - \hat{\mu}(x))^2.$$

308 We define the MMD-KD loss as

$$310 \quad \mathcal{L}_{\text{MMD}}(x) = \lambda \left(\|z_W(x) - \hat{\mu}(x)\|_2^2 + \|\text{Var}[z_W(x)] - \hat{\sigma}^2(x)\|_2^2 \right), \quad (7)$$

311 where $\lambda > 0$ is a regularization coefficient.

313 **Theorem 2 (Variance-constrained robustness).** Assume clipped logits are σ -sub-Gaussian across
 314 honest clients. Then with probability at least $1 - \delta$, the aggregated variance satisfies

$$315 \quad \|\hat{\sigma}^2(x) - \sigma^2(x)\|_\infty \leq O\left(\sqrt{\frac{\log(1/\delta)}{K}} + \sqrt{\varepsilon}\right).$$

317 Consequently, the additional MMD-KD term bounds the adversarial amplification due to logit en-
 318 ergy distortions by at most

$$320 \quad \|\nabla_W \mathcal{L}_{\text{MMD}}(x)\| \leq \lambda C_{\text{mmd}} \cdot \|J_W(x)\| \cdot \left(\sqrt{\frac{1}{K}} + \sqrt{\varepsilon} \right). \quad (8)$$

322 *Proof sketch.* Concentration inequalities for sub-Gaussian random variables give uniform conver-
 323 gence of the variance estimate under MoM aggregation. The gradient bound follows from applying
 Lipschitz continuity of the squared loss and chain rule. Full details are provided in Appendix E.

324 Table 1: Adversarial robustness on GLUE (average over MNLI-m/mm, SST-2, QQP, QNLI).
 325 Poisoned-client fraction $\rho \in \{0.10, 0.30\}$; per-poisoned-client poison rate $\pi = 0.20$ (trigger-token
 326 insertion, fixed target label). CA = clean accuracy on clean test inputs; RA = accuracy on attacked
 327 inputs; ASR (lower is better) = targeted success on triggered inputs. LoRA rank = 8 for baselines;
 328 FD-LoRA aggregates logits and supports heterogeneous ranks. Means over 5 runs.

331	332	(a) IID clients				(b) Severe non-IID clients							
		Method	CA	$\rho = 0.10$		$\rho = 0.30$		Method	CA	$\rho = 0.10$			
				RA	ASR \downarrow	RA	ASR \downarrow			RA	ASR \downarrow		
333	FedAvg	88.2	84.0	18.5	78.3	35.2		FedAvg	86.1	80.2	22.4	72.0	36.7
334	FFA-LoRA	88.9	86.2	12.3	81.5	24.8		FFA-LoRA	88.0	84.8	14.2	78.3	27.9
335	FedIT	89.0	86.0	12.7	81.2	25.1		FedIT	87.9	84.5	14.6	78.0	28.2
336	FLoRA	89.2	86.5	11.9	81.7	23.7		FLoRA	88.0	84.7	14.0	78.4	27.5
337	FlexLoRA	89.7	87.2	9.8	83.0	19.6		FlexLoRA	88.5	86.0	11.3	80.5	21.1
	LoRA-Fair	89.7	87.5	9.5	83.3	18.9		LoRA-Fair	88.6	86.2	10.9	80.8	20.4
	RFD-LoRA	90.6	89.1	5.1	86.8	10.7		RFD-LoRA	90.0	88.5	6.4	85.0	12.9

340 4.3 DISAGREEMENT SUPPRESSION (DIS)

341 To suppress the effect of non-IID clients, we compute the group variance of aggregated predictions.
 342 Partition the K clients into M groups and let

$$\bar{q}_m(x) = \frac{1}{|G_m|} \sum_{k \in G_m} q_k(x), \quad v(x) = \sum_{i=1}^C \text{Var}_m[\bar{q}_m^{(i)}(x)].$$

347 The sample weight is defined as

$$349 \quad w(x) = \frac{1}{1 + \rho v(x)}, \quad \rho \geq 0. \quad (9)$$

351 The distillation loss is reweighted as

$$353 \quad \mathcal{L}_{\text{DIS}}(x) = w(x) \cdot \mathcal{L}_{\text{KD}}(x).$$

355 **Theorem 3 (Variance-adaptive error bound).** Suppose the expected group variance of honest
 356 clients satisfies $\mathbb{E}[v_{\mathcal{H}}(x)] \leq H$. Then under MoM aggregation, the expected deviation is bounded
 357 by

$$358 \quad \mathbb{E}_x [\|\tilde{q}_w(x) - \bar{q}_{\mathcal{H},w}(x)\|_1] \leq O\left(\sqrt{\frac{H}{K}} + \sqrt{\varepsilon}\right), \quad (10)$$

360 where $\bar{q}_{\mathcal{H},w}$ is the weighted average of honest client predictions.

361 *Proof sketch.* The weighting scheme ensures $\mathbb{E}[w(x)^2 v(x)] \leq O(H)$, which reduces the effective
 362 variance in concentration bounds for MoM. The adversarial contribution remains $O(\sqrt{\varepsilon})$. Full proof
 363 is given in Appendix F.

365 5 EXPERIMENTS

367 In this section, we evaluate RFD-LoRA through experiments. Results show that it achieves stronger
 368 robustness than existing federated LoRA methods under non-IID distributions and adversarial at-
 369 tacks, while also outperforming baselines in IID settings. Full experimental details, including
 370 datasets, model configurations, and hyperparameters, are in Appendix G and H.

372 5.1 EXPERIMENTAL RESULTS

374 Table 1 summarizes adversarial robustness under targeted backdoor attacks with varying fractions
 375 of poisoned clients. RFD-LoRA consistently achieves the highest clean accuracy (CA) across both
 376 IID and severe non-IID settings, confirming that robustness does not come at the expense of stand-
 377 ard performance. More importantly, under adversarial conditions, RFD-LoRA yields substantially
 378 higher robust accuracy (RA) and lower attack success rate (ASR) than all baselines. In the IID case,

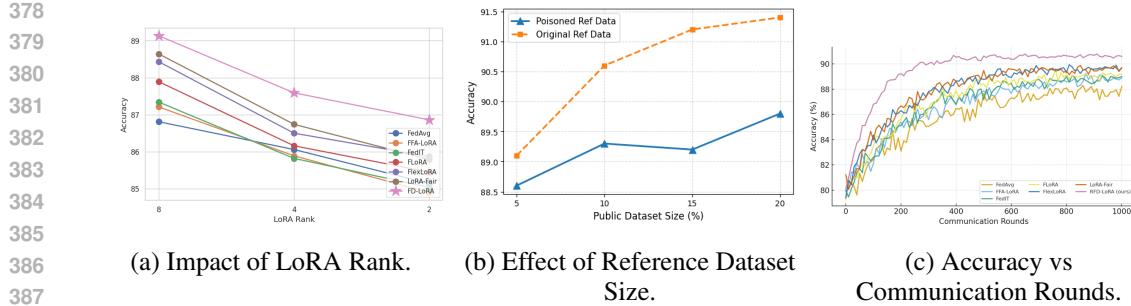


Figure 1: RFD-LoRA’s performance across various rank, reference dataset size, and training round.

Table 2: Comparison of server communication cost for different state-of-the-art approaches.

Method	Param Size Per Round	Rank-dependent Cost
FFA-LoRA	1.6MB	Yes
FedIT	3.2MB	Yes
FLoRA	9.5MB	Yes
FlexLoRA	6.4MB	Yes
LoRA-Fair	3.6MB	Yes
RFD-LoRA	12KB	No

with $\rho = 0.10$ poisoned clients, RFD-LoRA achieves RA of 89.1 and ASR of only 5.1, while the best baseline (LoRA-Fair) reaches 87.5 RA and 9.5 ASR. At $\rho = 0.30$, the gap widens: RFD-LoRA maintains 86.8 RA with 10.7 ASR, compared to LoRA-Fair’s 83.3 RA and 18.9 ASR. Similar trends appear under severe non-IID distributions: with $\rho = 0.30$, FedAvg and FFA-LoRA suffer ASR above 27%, while RFD-LoRA cuts ASR to 12.9% and preserves 85.0% RA. These results show that while all methods degrade as ρ increases, RFD-LoRA degrades much more slowly, indicating better resilience. Overall, the results validate the effectiveness of our three robustness modules CAT, MMD-KD, and DIS in suppressing adversarial amplification and mitigating non-IID drift. RFD-LoRA not only provides stronger defense against poisoned clients but also achieves superior clean-task accuracy compared to existing federated LoRA approaches.

Moreover, Table 2 shows the server communication cost per round. While existing federated LoRA methods require transmitting millions of parameters and their cost scales with adapter rank, RFD-LoRA only uploads logits, reducing the per-round size to just 12KB. This rank-independent design eliminates the need to synchronize full adapter weights, saving both memory and computation while enabling practical deployment across heterogeneous clients.

5.2 ABLATION STUDY

Robustness modules. To isolate the effect of each robustness module in RFD-LoRA, we conduct ablation studies by removing CAT, MMD-KD, or DIS individually. Results in the left of Table 3 under both IID and severe non-IID partitions show that eliminating any one component consistently reduces performance, confirming their complementary roles. Removing CAT leads to unstable training and larger variance across runs, highlighting its role in controlling Jacobian sensitivity. Without MMD-KD, the model becomes vulnerable to energy-shaping attacks, as indicated by sharper performance drops under adversarial clients. Finally, disabling DIS amplifies the effect of non-IID heterogeneity, producing significant degradation. Together, these results validate that the three modules jointly contribute to the robustness of RFD-LoRA, and that each component addresses a distinct failure mode of federated LoRA.

Robust aggregation. The right side of Table 3 compares different server-side aggregators under $\rho=0.30$ poisoned clients. Simple averaging performs worst, while coordinate-wise median offers partial robustness. Our Median-of-Means (MoM) aggregator achieves the highest clean accuracy and robust accuracy, and reduces ASR by more than half compared to mean aggregation. We also vary the number of MoM groups M and find that $M=5$ yields the lowest ASR, whereas very small

432 Table 3: Ablations on RFD-LoRA modules (left) and robust aggregation (right). Top right: aggre-
 433 gator choice under $\rho=0.30$. Bottom right: impact of varying MoM group count M .

Variant	IID			Severe non-IID			Aggregator Choice ($\rho=0.30$)			
	CA	RA	ASR \downarrow	CA	RA	ASR \downarrow	Method	CA	RA	ASR \downarrow
				90.6	89.1	5.1	Mean	89.6	83.1	22.8
Full	90.6	89.1	5.1	90.0	88.5	6.4	Coord. Median	89.7	84.9	16.2
w/o CAT	90.1	87.3	7.9	89.3	85.6	10.2	MoM (ours)	90.6	86.8	10.7
w/o MMD-KD	90.4	86.8	9.8	89.5	85.1	13.7				
w/o DIS	90.3	86.2	8.7	88.4	83.0	15.1				
CAT only	89.9	87.0	8.2	88.7	84.2	11.9				
MMD-KD only	90.0	86.5	10.5	88.9	84.0	14.5				
DIS only	89.8	87.6	8.4	89.1	86.3	11.2				

444 Table 4: Ablations on (a) CAT schedule, (b) MMD-KD strength, and (c) DIS weighting. Metrics
 445 averaged over GLUE tasks under $\rho=0.30$ poisoned clients. CA = clean accuracy; RA = robust
 446 accuracy; ASR (lower is better).

	(a) CAT schedule			(b) MMD-KD strength			(c) DIS weighting					
	(T_0, κ, τ)	CA	RA	ASR \downarrow	Variant	CA	RA	ASR \downarrow	Variant	CA	RA	ASR \downarrow
(1, 0, 0.7)	90.2	85.5	14.8		$\lambda = 0$	90.3	85.0	15.6	$\rho = 0$	90.3	86.2	13.7
(2, 2, 0.7)	90.6	86.8	10.7		$\lambda = 0.05$	90.5	86.2	12.4	$\rho = 0.5$	90.5	86.6	11.5
(3, 4, 0.7)	90.0	86.2	12.5		$\lambda = 0.1$	90.6	86.8	10.7	$\rho = 1.0$	90.6	86.8	10.7
(2, 2, 0.6)	90.4	86.5	11.3		$\lambda = 0.2$	90.1	86.0	12.8	$\rho = 2.0$	90.0	86.0	12.6
(2, 2, 0.8)	90.1	86.0	12.0		Mean-only	90.4	86.3	11.9	Client-var	90.2	86.1	12.9
(4, 4, 0.8)	89.7	85.6	14.3		Mean+Var	90.6	86.8	10.7	Group-var	90.6	86.8	10.7

456 or very large M slightly degrade robustness due to under- or over-fragmentation. This confirms that
 457 MoM provides a strong and stable defense for logit aggregation in federated settings.

458 **Hyperparameter settings.** Table 4 evaluates the effect of hyperparameters tuning in each module.
 459 For CAT, moderate temperature and confidence scaling ($T_0=2, \kappa=2, \tau=0.7$) yields the best tradeoff,
 460 improving RA while significantly lowering ASR; overly large schedules degrade CA due to over-
 461 softening. For MMD-KD, introducing moment alignment ($\lambda=0.1$) provides clear gains over plain
 462 KD, and matching both mean and variance further reduces ASR without hurting CA. Finally, DIS
 463 weighting improves robustness as ρ increases, with $\rho=1.0$ giving the best balance; group-variance
 464 estimation is consistently superior to client-variance, confirming its stability under poisoned clients.

465 **Other factors.** We analyze factors shaping RFD-LoRA’s performance. Figure 1(a) shows that while
 466 accuracy declines with lower LoRA ranks, RFD-LoRA consistently outperforms baselines, demon-
 467 strating robustness to low-dimensional adaptation. Figure 1(b) evaluates reference data: larger an-
 468 chor sets improve accuracy but plateau near 20%. When 30% of the reference set is poisoned by
 469 trigger-token insertion and label flipping, RFD-LoRA remains relatively stable, though accuracy
 470 drops compared to clean data—highlighting the importance of anchor quality. Figure 1(c) plots ac-
 471 curacy over communication rounds: competing methods converge slowly with oscillations, while
 472 RFD-LoRA stabilizes quickly and achieves the highest final accuracy.

475 6 CONCLUSION

476 We introduced RFD-LoRA, the first federated distillation framework for LoRA fine-tuning. Our
 477 analysis exposes core weaknesses of federated LoRA: aggregation, initialization and projection
 478 bias, adversarial amplification, and Jacobian sensitivity. RFD-LoRA aggregates in logit space,
 479 eliminating parameter-space bias and enabling heterogeneous client ranks/adapter designs. With
 480 Confidence-Adaptive Temperature (CAT), MMD-based Distillation (MMD-KD), and Disagreement
 481 Suppression (DIS), we provide error bounds and show these modules directly reduce amplification
 482 and sensitivity while improving robustness under non-IID and adversarial clients. Experiments on
 483 GLUE confirm consistent gains in accuracy, robustness, and communication efficiency over prior
 484 federated LoRA methods, suggesting a practical path to robust, parameter-efficient FL.

486 7 REPRODUCIBILITY STATEMENT

488 We have made extensive efforts to ensure reproducibility of our results. The detailed training
 489 setup, including dataset descriptions, partitioning strategies, and hyperparameters, is provided in
 490 Appendix G. All algorithms are fully specified in Algorithm 1, with theoretical assumptions and
 491 complete proofs included in Appendix B - F. Experimental protocols, evaluation metrics, and ab-
 492 lation studies are reported in Appendix G and H. An anonymous implementation of RFD-LoRA,
 493 including preprocessing scripts and training code, has been uploaded to supplementary material to
 494 facilitate exact reproduction of our findings.

496 8 USE OF LARGE LANGUAGE MODELS (LLMs)

498 In this manuscript, we made limited use of a large language model (LLM) solely for writing-related
 499 assistance. Specifically, the LLM was employed to help with drafting, rephrasing, and polishing text
 500 for improved clarity and readability. All technical content, including the design of methods, deriva-
 501 tion of theorems, mathematical proofs, and experimental design and execution, was fully conceived,
 502 implemented, and validated by the authors. No LLM was used for generating data, conducting
 503 experiments, analyzing results, or developing the core scientific contributions of this work. The
 504 responsibility for all ideas, technical claims, and conclusions lies entirely with the authors.

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A INITIALIZATION LAG

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 650 In frameworks such as FLoRA (Wang et al. (2024)), the local matrices A_k and B_k are re-sampled
 651 each round, with $A_k \sim \mathcal{N}(0, \sigma^2)$ and B_k set to zero. Although this design guarantees that the
 652 reconstructed global model $W_0 + \Delta W'$ remains aligned with the base model W_0 , it induces what
 653 we call client-side initialization lag. In particular, freshly reinitialized adapters yield ill-conditioned
 654 gradients during the first few local steps. For a forward pass with LoRA-modified weights

$$655 \quad 656 \quad y = x(W_0 + B_k A_k), \quad (11)$$

657 the gradients of A_k and B_k with respect to the loss L are

$$658 \quad 659 \quad \frac{\partial L}{\partial A_k} = x^\top \frac{\partial L}{\partial y} B_k^\top, \quad \frac{\partial L}{\partial B_k} = A_k^\top x^\top \frac{\partial L}{\partial y}. \quad (12)$$

660 At initialization, since $B_k = 0$ and A_k is random, we obtain

$$661 \quad 662 \quad \frac{\partial L}{\partial A_k} \rightarrow 0, \quad \frac{\partial L}{\partial B_k} \rightarrow \text{random direction.}$$

663 As a result, clients waste many updates simply overcoming the poor initialization, leading to inefficient
 664 early-stage training.

665

B ADVERSARIAL AMPLIFICATION ANALYSIS

666 **Lemma I.** Let $\{\epsilon_k\}_{k \in \mathcal{H}}$ be i.i.d. random vectors in \mathbb{R}^d with $\mathbb{E}[\epsilon_k] = 0$ and $\text{Cov}(\epsilon_k) = \sigma^2 I_d$. Let
 667 $P_S \in \mathbb{R}^{d \times d}$ be the orthogonal projector onto an r -dimensional subspace \mathcal{S} (i.e., $P_S = P_S^\top = P_S^2$
 668 and $\text{tr}(P_S) = r$). Define the sample mean $\bar{\epsilon} = \frac{1}{|\mathcal{H}|} \sum_{k \in \mathcal{H}} \epsilon_k$. Then

$$669 \quad 670 \quad \mathbb{E}[\|P_S \bar{\epsilon}\|^2] = \frac{\sigma^2 r}{|\mathcal{H}|}.$$

671 **Proof:** Since $\bar{\epsilon}$ has mean 0 and $\text{Cov}(\bar{\epsilon}) = \frac{1}{|\mathcal{H}|} \text{Cov}(\epsilon_k) = \frac{\sigma^2}{|\mathcal{H}|} I_d$, we compute

$$672 \quad 673 \quad \mathbb{E}[\|P_S \bar{\epsilon}\|^2] = \mathbb{E}[(P_S \bar{\epsilon})^\top (P_S \bar{\epsilon})] = \mathbb{E}[\bar{\epsilon}^\top P_S^\top P_S \bar{\epsilon}].$$

674 Because P_S is an orthogonal projector matrix, it is both symmetric ($P_S^\top = P_S$) and idempotent
 675 ($P_S^2 = P_S$). Hence $P_S^\top P_S = P_S P_S = P_S$, and therefore

$$676 \quad 677 \quad \mathbb{E}[\bar{\epsilon}^\top P_S^\top P_S \bar{\epsilon}] = \mathbb{E}[\bar{\epsilon}^\top P_S \bar{\epsilon}].$$

678 Using the identity $\mathbb{E}[x^\top A x] = \text{tr}(A \text{Cov}(x))$ for zero-mean x , we obtain

$$679 \quad 680 \quad \mathbb{E}[\bar{\epsilon}^\top P_S \bar{\epsilon}] = \text{tr}(P_S \text{Cov}(\bar{\epsilon})) = \frac{\sigma^2}{|\mathcal{H}|} \text{tr}(P_S) = \frac{\sigma^2}{|\mathcal{H}|} r,$$

681 since the trace of an orthogonal projector equals its rank. This completes the proof.

682

C JACOBIAN SENSITIVITY: SKETCHES OF ANALYSIS

683 We give rough arguments supporting the claims here:

684 **First-order sensitivity.** Expanding $z(x; A + \Delta A, B + \Delta B)$ shows

$$685 \quad 686 \quad \Delta z \approx \frac{\alpha}{r} x(B \Delta A + \Delta B A),$$

687 ignoring higher-order terms. Hence

$$688 \quad 689 \quad \|\Delta z\| \lesssim \frac{\alpha}{r} \|x\| (\|B\| \|\Delta A\| + \|A\| \|\Delta B\|).$$

690 This shows that perturbations are scaled by $\|x\|$ and the adapter norms.

702 **Gradient sensitivity.** For softmax cross-entropy, the gradient with respect to logits satisfies
 703

$$704 \quad \|\nabla_z \ell(z)\| \leq \frac{2}{T}, \quad \|\nabla_z \ell(z) - \nabla_z \ell(z')\| \leq \frac{1}{T} \|z - z'\|.$$

705 Thus gradient variation inherits the same amplification factor as Δz , multiplied by $1/T$. This ex-
 706 plains why small adapter perturbations can trigger large swings when T is small or when clients
 707 produce highly confident predictions.
 708

709 **Deep network composition.** If the LoRA block lies at layer ℓ , then downstream spectral norms
 710 ρ_j further multiply the sensitivity, giving a bound on the order of
 711

$$712 \quad \frac{\alpha}{r} \|h_{\ell-1}\| (\|A\| + \|B\|) \prod_{j>\ell} \rho_j.$$

715 **Client heterogeneity amplification.** Two clients (A_1, B_1) and (A_2, B_2) yield different logits:
 716

$$717 \quad \|z(x; A_1, B_1) - z(x; A_2, B_2)\| \lesssim \frac{\alpha}{r} \|x\| (\|B_1\| \|A_1 - A_2\| + \|A_2\| \|B_1 - B_2\|).$$

718 If their low-rank subspaces are misaligned, the differences may not cancel but instead compound,
 719 unlike full-rank training.
 720

721 **Summary.** The Jacobian analysis shows that LoRA adapters create scaling factors—through $\|x\|$,
 722 $\|A\|, \|B\|$, inverse temperature $1/T$, and downstream spectral norms—that magnify small differ-
 723 ences. This accounts for the observed instability of federated LoRA under non-IID data and adver-
 724 sarial manipulation.
 725

726 D GRADIENT SENSITIVITY UNDER CAT

727 **Theorem 1 (Gradient sensitivity under CAT).** Let x be any input and let the student distribution
 728 be

$$731 \quad p_W(x) = \text{softmax}(z_W(x)/T(x)),$$

732 where $T(x) \geq 1$ is the confidence-adaptive temperature and $z_W(x) \in \mathbb{R}^C$ are the logits of the global
 733 model. Let $\tilde{q}(x) \in \Delta^{C-1}$ be the server-aggregated teacher distribution (obtained from client logits
 734 after clipping and temperature scaling). Consider the distillation loss

$$735 \quad \mathcal{L}_{\text{KD}}(x) = \text{KL}(\tilde{q}(x) \parallel p_W(x)).$$

737 Denote by $J_W(x) = \partial z_W(x)/\partial W$ the Jacobian of logits with respect to parameters W , and let $\|\cdot\|$
 738 be the spectral/operator norm for matrices and the Euclidean norm for vectors. Then
 739

$$740 \quad \|\nabla_W \mathcal{L}_{\text{KD}}(x)\| \leq \frac{\Gamma}{T(x)} \|J_W(x)\|, \quad \text{with } \Gamma \leq 2. \quad (13)$$

742 If, in addition, both student and teacher logits are clipped coordinate-wise to $[-c, c]$ before the
 743 softmax with temperature $T(x)$, then a refined bound holds:
 744

$$745 \quad \|\nabla_W \mathcal{L}_{\text{KD}}(x)\| \leq \frac{\sqrt{C} (M_T - m_T)}{T(x)} \|J_W(x)\|, \quad (14)$$

748 where, writing $a := c/T(x)$,

$$749 \quad m_T = \frac{1}{1 + (C-1)e^{2a}}, \quad M_T = \frac{1}{1 + (C-1)e^{-2a}}, \quad 0 < m_T \leq M_T < 1.$$

752 **Proof:** Write $u(x) := z_W(x)/T(x) \in \mathbb{R}^C$ so that $p_W(x) = \text{softmax}(u(x))$. By definition,
 753

$$754 \quad \mathcal{L}_{\text{KD}}(x) = \sum_{i=1}^C \tilde{q}_i(x) \log \frac{\tilde{q}_i(x)}{p_i(x)} = \text{const} - \sum_{i=1}^C \tilde{q}_i(x) \log p_i(x),$$

756 where the term $\sum_i \tilde{q}_i \log \tilde{q}_i$ does not depend on W . The gradient with respect to the *pre-softmax*
 757 variables u is standard. Using $\partial \log p_i / \partial u_j = \delta_{ij} - p_j$ yields
 758

$$\frac{\partial \mathcal{L}_{\text{KD}}}{\partial u_j} = - \sum_{i=1}^C \tilde{q}_i (\delta_{ij} - p_j) = -\tilde{q}_j + p_j \sum_{i=1}^C \tilde{q}_i = p_j - \tilde{q}_j.$$

761 Hence, by the chain rule from z to u ,

$$\nabla_z \mathcal{L}_{\text{KD}}(x) = \frac{1}{T(x)} (p_W(x) - \tilde{q}(x)). \quad (15)$$

765 Applying the chain rule from z to W with $J_W(x) = \partial z_W(x) / \partial W$,

$$\nabla_W \mathcal{L}_{\text{KD}}(x) = J_W(x)^\top \nabla_z \mathcal{L}_{\text{KD}}(x) = \frac{1}{T(x)} J_W(x)^\top (p_W(x) - \tilde{q}(x)).$$

769 Taking norms and using submultiplicativity gives

$$\|\nabla_W \mathcal{L}_{\text{KD}}(x)\| \leq \frac{1}{T(x)} \|J_W(x)\| \|p_W(x) - \tilde{q}(x)\|_2. \quad (16)$$

773 For the coarse universal bound equation 13, note that $p_W(x)$ and $\tilde{q}(x)$ are probability vectors in the
 774 simplex. Thus $\|p_W(x) - \tilde{q}(x)\|_2 \leq \|p_W(x) - \tilde{q}(x)\|_1 \leq 2$. Plugging this into equation 16 yields
 775 equation 13 with $\Gamma = 2$.

776 For the refined bound equation 14, assume teacher and student logits are clipped to $[-c, c]$ before
 777 applying the softmax with temperature $T(x)$. Then each coordinate of $p_W(x)$ and $\tilde{q}(x)$ lies in the
 778 interval $[m_T, M_T]$, where the extrema follow from monotonicity and are attained at the corners of
 779 the hypercube:
 780

$$m_T = \min_{z \in [-c, c]^C} \frac{e^{z_i/T(x)}}{\sum_j e^{z_j/T(x)}} = \frac{e^{-c/T(x)}}{e^{-c/T(x)} + (C-1)e^{c/T(x)}} = \frac{1}{1 + (C-1)e^{2a}},$$

$$M_T = \max_{z \in [-c, c]^C} \frac{e^{z_i/T(x)}}{\sum_j e^{z_j/T(x)}} = \frac{e^{c/T(x)}}{e^{c/T(x)} + (C-1)e^{-c/T(x)}} = \frac{1}{1 + (C-1)e^{-2a}},$$

786 with $a = c/T(x)$. Consequently, for every coordinate i , $|p_i(x) - \tilde{q}_i(x)| \leq M_T - m_T$, and therefore
 787 by Cauchy–Schwarz, $\|p_W(x) - \tilde{q}(x)\|_2 \leq \sqrt{C} (M_T - m_T)$. Substituting into equation 16 gives
 788 equation 14.

789 Both bounds are inversely proportional to $T(x)$, which shows that CAT reduces the gradient sensitivity
 790 by explicitly scaling the update with $1/T(x)$. This completes the proof.
 791

E VARIANCE-CONSTRAINED ROBUSTNESS FOR MMD-KD

795 **Theorem 2 (Variance-constrained robustness for MMD-KD).** Fix an input x and a class index set
 796 $\{1, \dots, C\}$. For each client k , let the clipped logit vector be $\hat{z}_k(x) \in [-c, c]^C$. Assume the honest
 797 clients \mathcal{H} (with $|\mathcal{H}| = (1 - \varepsilon)K$ and $\varepsilon < 1/2$) generate i.i.d. coordinates

$$\hat{z}_{k,i}(x) \text{ are } \sigma\text{-sub-Gaussian and bounded in } [-c, c], \quad i = 1, \dots, C.$$

800 Let $\mu_i = \mathbb{E}[\hat{z}_{k,i}(x)]$, $m_{2,i} = \mathbb{E}[\hat{z}_{k,i}(x)^2]$, and $\sigma_i^2 = m_{2,i} - \mu_i^2$ denote the honest mean, second
 801 moment, and variance, respectively. Construct Median-of-Means (MoM) estimators $\hat{\mu}_i$ and $\hat{m}_{2,i}$
 802 using M groups of size $b = K/M$ (group means, then coordinate-wise median across groups), and
 803 define

$$\hat{\sigma}_i^2 = \hat{m}_{2,i} - \hat{\mu}_i^2, \quad \hat{\sigma}^2 = (\hat{\sigma}_i^2)_{i=1}^C, \quad \sigma^2 = (\sigma_i^2)_{i=1}^C.$$

804 Then there exist absolute constants $c_1, c_2, c_3 > 0$ such that, choosing $M \simeq c_1 \log(C/\delta)$,

$$\|\hat{\sigma}^2 - \sigma^2\|_\infty \leq c_2 (c^2 + \sigma^2) \left(\sqrt{\frac{\log(C/\delta)}{K}} + \sqrt{\varepsilon} \right) \quad (17)$$

880 holds with probability at least $1 - \delta$. Consider the MMD-KD loss (linear-kernel surrogate) at x ,

$$\mathcal{L}_{\text{MMD}}(x) = \lambda \left(\|z_W(x) - \hat{\mu}(x)\|_2^2 + \|(z_W(x) - \hat{\mu}(x))^{\circ 2} - \hat{\sigma}^2(x)\|_2^2 \right), \quad (18)$$

810 where $(\cdot)^{\circ 2}$ denotes element-wise square and $z_W(x)$ are student logits with Jacobian $J_W(x) =$
 811 $\partial z_W(x)/\partial W$. If $\|z_W(x)\|_\infty \leq c$ (via clipping), then the excess gradient induced by aggregation
 812 error satisfies

$$814 \quad \|\nabla_W \mathcal{L}_{\text{MMD}}(x) - \nabla_W \mathcal{L}_{\text{MMD}}^*(x)\| \leq \lambda C_{\text{mmd}} \|J_W(x)\| \left(\sqrt{\frac{\log(C/\delta)}{K}} + \sqrt{\varepsilon} \right), \quad (19)$$

816 where $\mathcal{L}_{\text{MMD}}^*$ is the ideal loss with $(\hat{\mu}, \hat{\sigma}^2)$ replaced by (μ, σ^2) , and C_{mmd} depends only on c, σ ,
 817 and C .

818 **Proof:** The proof has three steps: robust mean and second-moment concentration under MoM,
 819 propagation to the variance estimator, and a stability bound for the gradient of equation 18.

821 **Step I: MoM concentration for mean and second moment.** Randomly partition the K clients
 822 into M groups G_1, \dots, G_M of size $b = K/M$ (assume M divides K). For each coordinate i define
 823 group means

$$825 \quad \bar{Z}_{m,i} = \frac{1}{|G_m|} \sum_{k \in G_m} \hat{z}_{k,i}, \quad \bar{U}_{m,i} = \frac{1}{|G_m|} \sum_{k \in G_m} \hat{z}_{k,i}^2,$$

828 and set the MoM estimators $\hat{\mu}_i = \text{median}\{\bar{Z}_{m,i}\}_{m=1}^M$ and $\hat{m}_{2,i} = \text{median}\{\bar{U}_{m,i}\}_{m=1}^M$.

829 Under the ε -contamination model with $\varepsilon < 1/2$, at least $(1 - 2\varepsilon)M$ groups contain an adversarial
 830 fraction at most $1/2$ (standard Chernoff-style argument for random partition; details omitted for
 831 brevity). For honest samples, $\hat{z}_{k,i}$ are σ -sub-Gaussian and bounded by c , hence each honest group
 832 mean $\bar{Z}_{m,i}$ is sub-Gaussian with parameter $\lesssim \sigma/\sqrt{b}$ and satisfies (by Hoeffding/Bernstein)

$$834 \quad \Pr \left(|\bar{Z}_{m,i} - \mu_i| > t \right) \leq 2 \exp \left(-c' b \min \left\{ \frac{t^2}{\sigma^2}, \frac{t}{c} \right\} \right).$$

836 A coordinate-wise application and a union bound across M groups imply that with probability at
 837 least $1 - \delta/2$, for all i at least half of the groups satisfy

$$839 \quad |\bar{Z}_{m,i} - \mu_i| \leq c'' \max \left\{ \sigma \sqrt{\frac{\log(CM/\delta)}{b}}, c \frac{\log(CM/\delta)}{b} \right\}.$$

841 Since $b = K/M$ and $M \simeq c_1 \log(C/\delta)$, the first term dominates, giving

$$843 \quad \|\hat{\mu} - \mu\|_\infty \leq c_3 \sigma \sqrt{\frac{\log(C/\delta)}{K}} + c_4 \sigma \sqrt{\varepsilon}. \quad (20)$$

845 The $\sqrt{\varepsilon}$ term follows from the breakdown-point property of the coordinate-wise median: at most
 846 an ε -fraction of groups can be arbitrarily corrupted, and the median discards them up to a factor
 847 absorbed in constants.

848 For second moments, note that $\hat{z}_{k,i}^2 \in [0, c^2]$ are sub-exponential with parameter $\lesssim c^2$, hence the
 849 same MoM argument yields

$$851 \quad \|\hat{m}_2 - m_2\|_\infty \leq c_5 c^2 \sqrt{\frac{\log(C/\delta)}{K}} + c_6 c^2 \sqrt{\varepsilon}. \quad (21)$$

853 Equations equation 20 and equation 21 hold with probability at least $1 - \delta$ after adjusting constants.

855 **Step II: Propagation to the variance estimator.** For each coordinate i ,

$$857 \quad \hat{\sigma}_i^2 - \sigma_i^2 = (\hat{m}_{2,i} - m_{2,i}) - (\hat{\mu}_i^2 - \mu_i^2) = (\hat{m}_{2,i} - m_{2,i}) - (\hat{\mu}_i - \mu_i)(\hat{\mu}_i + \mu_i).$$

859 By clipping, $|\hat{\mu}_i|, |\mu_i| \leq c$, hence $|\hat{\mu}_i + \mu_i| \leq 2c$. Taking absolute values and sup over i ,

$$861 \quad \|\hat{\sigma}^2 - \sigma^2\|_\infty \leq \|\hat{m}_2 - m_2\|_\infty + 2c \|\hat{\mu} - \mu\|_\infty.$$

863 Combining equation 20 and equation 21 and absorbing constants yields equation 17 with c_2 depending
 864 on c and σ only (note that $\sigma^2 \lesssim c^2$ by clipping).

864 **Step III: Stability of the MMD-KD gradient.** Define the ideal loss (with honest moments) at x ,

$$865 \quad \mathcal{L}_{\text{MMD}}^*(x) = \lambda \left(\|z_W - \mu\|_2^2 + \|(z_W - \mu)^{\circ 2} - \sigma^2\|_2^2 \right).$$

866 Write $\Delta_\mu = \hat{\mu} - \mu$ and $\Delta_{\sigma^2} = \hat{\sigma}^2 - \sigma^2$. The gradients with respect to $z := z_W(x)$ are

$$867 \quad \nabla_z \mathcal{L}_{\text{MMD}} = 2\lambda(z - \hat{\mu}) + 4\lambda \left[(z - \hat{\mu}) \circ ((z - \hat{\mu})^{\circ 2} - \hat{\sigma}^2) \right],$$

$$868 \quad \nabla_z \mathcal{L}_{\text{MMD}}^* = 2\lambda(z - \mu) + 4\lambda \left[(z - \mu) \circ ((z - \mu)^{\circ 2} - \sigma^2) \right].$$

869 Subtracting and using the triangle inequality,

$$870 \quad \|\nabla_z \mathcal{L}_{\text{MMD}} - \nabla_z \mathcal{L}_{\text{MMD}}^*\| \leq 2\lambda \|\Delta_\mu\| + 4\lambda \left\| (z - \hat{\mu}) \circ ((z - \hat{\mu})^{\circ 2} - \hat{\sigma}^2) - (z - \mu) \circ ((z - \mu)^{\circ 2} - \sigma^2) \right\|.$$

871 Apply the identity $a \circ b - a' \circ b' = (a - a') \circ b + a' \circ (b - b')$ with $a = z - \hat{\mu}$, $a' = z - \mu$,
872 $b = (z - \hat{\mu})^{\circ 2} - \hat{\sigma}^2$, $b' = (z - \mu)^{\circ 2} - \sigma^2$ to get

$$873 \quad \|\cdot\| \leq \|a - a'\| \|b\|_\infty + \|a'\|_\infty \|b - b'\|.$$

874 Under clipping, $\|z\|_\infty \leq c$ and $\|\hat{\mu}\|_\infty, \|\mu\|_\infty \leq c$, hence $\|a\|_\infty, \|a'\|_\infty \leq 2c$ and $\|b\|_\infty \leq \|(z - \hat{\mu})^{\circ 2}\|_\infty + \|\hat{\sigma}^2\|_\infty \leq (2c)^2 + c^2 \leq 5c^2$, and similarly $\|b'\|_\infty \leq 5c^2$. Moreover,

875 $\|a - a'\| = \|\Delta_\mu\|$, $\|b - b'\|_\infty = \|(z - \hat{\mu})^{\circ 2} - (z - \mu)^{\circ 2} - \Delta_{\sigma^2}\|_\infty \leq 4c \|\Delta_\mu\|_\infty + \|\Delta_{\sigma^2}\|_\infty$,
876 since $|u^2 - v^2| = |u - v||u + v|$ with $|u|, |v| \leq 2c$. Therefore,

$$877 \quad \|\nabla_z \mathcal{L}_{\text{MMD}} - \nabla_z \mathcal{L}_{\text{MMD}}^*\| \leq 2\lambda \|\Delta_\mu\| + 4\lambda \left(\|\Delta_\mu\| \cdot 5c^2 + 2c \cdot (4c \|\Delta_\mu\| + \|\Delta_{\sigma^2}\|) \right) \leq \lambda C'(c) (\|\Delta_\mu\| + \|\Delta_{\sigma^2}\|),$$

878 for a constant $C'(c)$ polynomial in c . Passing to parameter space with the chain rule,

$$879 \quad \|\nabla_W \mathcal{L}_{\text{MMD}} - \nabla_W \mathcal{L}_{\text{MMD}}^*\| \leq \|J_W(x)\| \|\nabla_z \mathcal{L}_{\text{MMD}} - \nabla_z \mathcal{L}_{\text{MMD}}^*\| \leq \lambda C_{\text{mmd}} \|J_W(x)\| (\|\Delta_\mu\|_\infty + \|\Delta_{\sigma^2}\|_\infty).$$

880 Finally, invoke equation 20 and equation 17 (and the fact that $\|\Delta_\mu\| \leq \sqrt{C} \|\Delta_\mu\|_\infty$) to obtain
881 equation 19, with C_{mmd} absorbing \sqrt{C} and the constants in equation 17. This completes the proof.

882 F VARIANCE-ADAPTIVE ERROR BOUND FOR DIS

883 **Theorem 3 (Variance-adaptive error bound for DIS)** Fix an input x and let each client k produce
884 a probability vector $q_k(x) \in \Delta^{C-1}$ (obtained after clipping/temperature scaling). Assume an ε -
885 fraction of clients are Byzantine with $\varepsilon < 1/2$, and honest clients \mathcal{H} satisfy coordinate-wise sub-
886 Gaussianity:

887 $q_{k,i}(x)$ are σ -sub-Gaussian and bounded in $[0, 1]$, $i = 1, \dots, C$.

888 Partition the K clients uniformly at random into M groups G_1, \dots, G_M of size $b = K/M$, and
889 form group means

$$890 \quad \bar{q}_m(x) = \frac{1}{|G_m|} \sum_{k \in G_m} q_k(x) \in \Delta^{C-1}.$$

891 Let the group-variance statistic be

$$892 \quad v(x) = \sum_{i=1}^C \text{Var}_m[\bar{q}_m^{(i)}(x)],$$

893 and define the sample weight $w(x) = (1 + \rho v(x))^{-1}$ with $\rho \geq 0$. Let $\tilde{q}(x)$ be the coordinate-wise
894 Median-of-Means (MoM) aggregate of $\{\bar{q}_m(x)\}_{m=1}^M$, and write $\bar{q}_{\mathcal{H}}(x) = \frac{1}{|\mathcal{H}|} \sum_{k \in \mathcal{H}} q_k(x)$. Then
895 there exists an absolute constant $C > 0$ such that, choosing $M \simeq c \log(C/\delta)$,

$$896 \quad \|\tilde{q}(x) - \bar{q}_{\mathcal{H}}(x)\|_1 \leq C \left(\sqrt{\frac{v_{\mathcal{H}}(x)}{K}} + \sqrt{\varepsilon} \right) \quad \text{with probability at least } 1 - \delta, \quad (22)$$

897 where $v_{\mathcal{H}}(x) = \sum_{i=1}^C \text{Var}(\bar{q}_m^{(i)}(x) \mid \text{honest})$. Consequently, defining $\tilde{q}_w(x) := \tilde{q}(x)$ and
898 $\bar{q}_{\mathcal{H},w}(x) := \bar{q}_{\mathcal{H}}(x)$ (the subscript w indicates the sample weight is applied downstream in the loss),
899 and assuming $\mathbb{E}_x[v_{\mathcal{H}}(x)] \leq H$, we have

$$900 \quad \mathbb{E}_x [\|\tilde{q}_w(x) - \bar{q}_{\mathcal{H},w}(x)\|_1] \leq C \left(\sqrt{\frac{H}{K}} + \sqrt{\varepsilon} \right). \quad (23)$$

901 **Proof:** We proceed in three steps.

918 **Step I: Coordinate-wise robust estimation over groups.** Fix x and a coordinate $i \in \{1, \dots, C\}$.
 919 Consider the group means

$$920 \quad 921 \quad 922 \quad \bar{Z}_{m,i}(x) := \bar{q}_m^{(i)}(x) = \frac{1}{|G_m|} \sum_{k \in G_m} q_{k,i}(x).$$

923 For honest clients, $q_{k,i}(x) \in [0, 1]$ are σ -sub-Gaussian, hence each honest-group mean is sub-
 924 Gaussian with parameter $\lesssim \sigma/\sqrt{b}$ and variance $\text{Var}(\bar{Z}_{m,i}(x)) = \text{Var}(q_{k,i}(x))/b$. Under ε -
 925 contamination with $\varepsilon < 1/2$ and random grouping, a standard argument shows that at least a con-
 926 stant fraction of the M groups are “good” (honest-majority) with high probability (Chernoff bound),
 927 while the remaining fraction can be adversarial.²

928 Let $\hat{\mu}_i(x)$ be the coordinate-wise MoM estimator of $\mathbb{E}[\bar{Z}_{m,i}(x) \mid \text{honest}]$, i.e., the median across the
 929 M group means. Then (see, e.g., MoM concentration for sub-Gaussian data under Huber contami-
 930 nation)

$$931 \quad 932 \quad |\hat{\mu}_i(x) - \mathbb{E}[\bar{Z}_{m,i}(x) \mid \text{honest}]| \leq C_1 \left(\sqrt{\frac{\text{Var}(\bar{Z}_{m,i}(x))}{M}} + \sqrt{\varepsilon} \right) \leq C_1 \left(\sqrt{\frac{\text{Var}(q_{k,i}(x))}{K}} + \sqrt{\varepsilon} \right), \quad (24)$$

933 with probability at least $1 - \delta/C$ for a universal constant $C_1 > 0$, where we used $M = K/b$ and
 934 $\text{Var}(\bar{Z}_{m,i}) = \text{Var}(q_{k,i})/b$.

935 **Step II: Aggregating coordinates and relating to $v_{\mathcal{H}}(x)$.** Stacking the C coordinates, $\tilde{q}(x)$ is
 936 obtained by applying equation 24 to each coordinate and taking a union bound over $i = 1, \dots, C$.
 937 Let $\mu_i(x) := \mathbb{E}[\bar{Z}_{m,i}(x) \mid \text{honest}]$, so that $\bar{q}_{\mathcal{H}}^{(i)}(x) = \mu_i(x)$, and define the vector $\hat{\mu}(x)$ with entries
 938 $\hat{\mu}_i(x)$. Then, with probability at least $1 - \delta$,

$$939 \quad 940 \quad 941 \quad \|\tilde{q}(x) - \bar{q}_{\mathcal{H}}(x)\|_2 = \|\hat{\mu}(x) - \mu(x)\|_2 \leq C_1 \sqrt{\sum_{i=1}^C \left(\sqrt{\frac{\text{Var}(q_{k,i}(x))}{K}} + \sqrt{\varepsilon} \right)^2}.$$

942 Using $\sqrt{a+b} \leq \sqrt{a} + \sqrt{b}$ and $\sum_i \text{Var}(q_{k,i}(x))/K = \frac{1}{K} \sum_i \text{Var}(q_{k,i}(x))$, we obtain

$$943 \quad 944 \quad 945 \quad \|\tilde{q}(x) - \bar{q}_{\mathcal{H}}(x)\|_2 \leq C_2 \left(\sqrt{\frac{\sum_{i=1}^C \text{Var}(q_{k,i}(x))}{K}} + \sqrt{C} \sqrt{\varepsilon} \right).$$

946 Passing to ℓ_1 via $\|\cdot\|_1 \leq \sqrt{C} \|\cdot\|_2$ yields

$$947 \quad 948 \quad 949 \quad \|\tilde{q}(x) - \bar{q}_{\mathcal{H}}(x)\|_1 \leq C_3 \left(\sqrt{\frac{\sum_{i=1}^C \text{Var}(q_{k,i}(x))}{K}} + \sqrt{\varepsilon} \right).$$

950 Finally, $\text{Var}(\bar{q}_m^{(i)}(x)) = \text{Var}(q_{k,i}(x))/b$ and $b = K/M$, while our statistic $v_{\mathcal{H}}(x) =$
 951 $\sum_{i=1}^C \text{Var}(\bar{q}_m^{(i)}(x) \mid \text{honest})$ equals $\frac{M}{K} \sum_i \text{Var}(q_{k,i}(x))$; thus

$$952 \quad 953 \quad 954 \quad \sum_{i=1}^C \text{Var}(q_{k,i}(x)) = \frac{K}{M} v_{\mathcal{H}}(x),$$

955 and using $M = \Theta(\log(C/\delta))$ (absorbed into constants) gives the pointwise bound equation 22:

$$956 \quad 957 \quad 958 \quad \|\tilde{q}(x) - \bar{q}_{\mathcal{H}}(x)\|_1 \leq C \left(\sqrt{\frac{v_{\mathcal{H}}(x)}{K}} + \sqrt{\varepsilon} \right).$$

959 **Step III: Expectation over x and the role of $w(x)$.** Taking expectation over x and assuming
 960 $\mathbb{E}_x[v_{\mathcal{H}}(x)] \leq H$, Jensen’s inequality yields

$$961 \quad 962 \quad 963 \quad \mathbb{E}_x \left[\sqrt{\frac{v_{\mathcal{H}}(x)}{K}} \right] \leq \sqrt{\frac{\mathbb{E}_x[v_{\mathcal{H}}(x)]}{K}} \leq \sqrt{\frac{H}{K}}.$$

964 Therefore,

$$965 \quad 966 \quad 967 \quad \mathbb{E}_x [\|\tilde{q}(x) - \bar{q}_{\mathcal{H}}(x)\|_1] \leq C \left(\sqrt{\frac{H}{K}} + \sqrt{\varepsilon} \right).$$

968 Since $w(x)$ is a scalar weight applied downstream in the loss and does not change the location
 969 of the honest target (both sides would be multiplied by the same $w(x)$ when measuring weighted
 970 deviations), we keep the notational reminder $\tilde{q}_w(x) := \tilde{q}(x)$ and $\bar{q}_{\mathcal{H},w}(x) := \bar{q}_{\mathcal{H}}(x)$ and conclude
 971 equation 23. This completes the proof.

972 ²See, e.g., classic MoM robust mean analyses; logs in C, δ are absorbed into constants by the choice $M \simeq c \log(C/\delta)$.

972 **G EXPERIMENTAL SETUP**
973

974 **Backbone and tasks.** Unless otherwise noted, we fine-tune RoBERTa-Large Liu et al. (2019) on
975 a subset of GLUE Wang et al. (2019): MNLI (matched/mismatched), SST-2, QQP, and QNLI. We
976 report average across tasks, following prior work.
977

978 **Federated partitioning.** We emulate a cross-device FL setting with $K=10$ clients. Data are split
979 by label skew at three heterogeneity levels:
980

981

982 - **IID**: stratified random split so that each client mirrors the global label proportions.
983 - **Severe non-IID**: for binary tasks, $[0.1, 0.9]$, $[0.9, 0.1]$, $[0.5, 0.5]$; for 3-class tasks,
984 $[0.9, 0.05, 0.05]$, $[0.05, 0.9, 0.05]$, $[0.05, 0.05, 0.9]$.

985

986 **Adversarial/poisoned clients.** We consider a fraction $\rho \in \{0.10, 0.30\}$ of clients as poisoned.
987 Each poisoned client flips a fraction $\pi=0.20$ of its local samples by inserting a fixed trigger token
988 and re-labeling them to a target class (targeted backdoor). We evaluate: clean accuracy (CA) on
989 unperturbed test data; robust accuracy (RA) on triggered inputs; and attack success rate (ASR, lower
990 is better) on triggered inputs. See more details in Appendix H.
991

992 **Baselines.** We compare against FEDAVG, FEDIT, FLORA, FFA-LORA, FLEXLORA, and
993 LORA-FAIR. To ensure fairness, all baselines use the same public anchor pool when applicable
994 and the same training budget (total client steps \times rounds).
995

996 **LoRA configuration.** Following Hu et al. (2021), we insert LoRA adapters into the attention
997 query and value projections with scaling $\alpha=8$. Baselines fix rank $r=8$ across all clients; RFD-
998 LoRA supports heterogeneous ranks and we explicitly test $\{r_k\} \in \{2, 4, 8, 16\}$ across clients to
999 validate rank flexibility. Unless stated, the backbone encoder and task head are frozen and only
999 adapter weights are updated.
999

1000 **Training protocol.** We run $N=1000$ communication rounds with $E=5$ local epochs per round.
1001 Each client uses AdamW (weight decay 0.01) on adapters with learning rate 3×10^{-5} , batch size 64,
1002 max sequence length 128, and linear warmup over the first 10% of local steps. The global server
1003 performs one gradient step per round on the distillation objective (see below) with learning rate
1004 $\gamma=3 \times 10^{-5}$. Results are averaged over 5 runs with different seeds.
1005

1006 **Public anchors and communication.** Each round, clients compute logits on a fixed public anchor
1007 set comprising 10% of the per-task training size (sampled from public corpora disjoint from private
1008 data). Logits are clipped elementwise to $[-c, c]$ with $c=10$ before upload. We report the token-level
1009 communication volume (per round and total) in supplementary tables.
1010

1011 **RFD-LoRA details (logit-space).** The server aggregates client logits via coordinate-wise Median-
1012 of-Means (MoM) using $M=5$ random groups of equal size. Let $\tilde{z}(x)$ be the MoM consensus for
1013 anchor x . The student (global) model produces logits $z_W(x)$. The KD loss uses temperature-scaled
1014 softmax:
1015

$$L_{\text{KD}}(x) = \text{CE}\left(\text{softmax}\left(\frac{\tilde{z}(x)}{T(x)}\right), \text{softmax}\left(\frac{z_W(x)}{T(x)}\right)\right).$$

1016 **Robustness modules.** We activate all three modules unless doing ablations.
1017

1018

1019 - **CAT (Confidence-Adaptive Temperature).** Temperature is $T(x) =$
1020 $T_0 \left(1 + \kappa \left[\max_i \tilde{q}_i(x) - \tau \right]_+ \right)$ with $\tilde{q} = \text{softmax}(\tilde{z})$, $T_0=2.0$, $\kappa=2.0$, $\tau=0.7$.
1021 - **MMD-KD (Moment Matching).** We align mean and variance of logits using robust
1022 moment estimates from MoM groups:

1023
$$L_{\text{MMD}}(x) = \lambda \left(\|z_W(x) - \hat{\mu}(x)\|_2^2 + \|(z_W(x) - \hat{\mu}(x))^{\circ 2} - \hat{\sigma}^2(x)\|_2^2 \right),$$

1024 with $\lambda=0.1$.
1025

1026
 1027 • **DIS (Disagreement Suppression).** We compute inter-group variance $v(x) =$
 1028 $\sum_i \text{Var}_m(\bar{z}_m^{(i)}(x))$ and weight each anchor by $w(x) = (1 + \rho_{\text{DIS}} v(x))^{-1}$ with $\rho_{\text{DIS}} = 1.0$.

1029 The per-anchor objective is $L_{\text{RFD}}(x) = w(x)(L_{\text{KD}}(x) + L_{\text{MMD}}(x))$, and the server update minimizes
 1030 the average of L_{RFD} over anchors.
 1031

1032 **Infrastructure.** All Experiments are run on NVIDIA Titan RTX GPUs. We use HuggingFace
 1033 Transformers for models and PyTorch distributed for client simulation.
 1034

1035 H ADVERSARIAL ATTACK DETAILS

1036
 1037 **Threat model.** We consider a standard targeted backdoor/data-poisoning threat model. An adver-
 1038 sary controls a fraction $\rho \in \{0.10, 0.30\}$ of clients. Each poisoned client can only manipulate its
 1039 local training data; we assume no access to other clients' data or to the server beyond participating in
 1040 standard FL rounds. Adversarial goals: cause the global model to misclassify any input containing
 1041 a small *trigger* pattern as a specific target class y_{target} while minimally affecting clean accuracy.
 1042

1043 **Poisoning procedure (primary attack used in experiments).** Each poisoned client indepen-
 1044 dently modifies a fraction $\pi = 0.20$ of its local training examples as follows:
 1045

- 1046 1. Select $\pi \cdot |\mathcal{D}_k|$ training samples uniformly at random.
- 1047 2. For each selected sample (x, y) , insert a fixed trigger token sequence (we denote it
 1048 $\langle \text{TRIG} \rangle$) into the input text. In our experiments the trigger is prepended to the input (prefix
 1049 trigger), i.e., $x \leftarrow \langle \text{TRIG} \rangle \parallel x$. (Other placements such as suffix or random position were
 1050 evaluated and yield similar qualitative results.)
- 1051 3. Replace the label y by the attacker-chosen target label y_{target} (targeted backdoor).

1052 Poisoned clients then perform standard local training using the corrupted local dataset.
 1053

1054
 1055 **Implementation details and parameters.** All attack experiments use the following concrete set-
 1056 tings unless stated otherwise:

- 1057 • Poisoned-client fraction: $\rho \in \{0.10, 0.30\}$.
- 1058 • Per-poisoned-client poison rate: $\pi = 0.20$.
- 1059 • Trigger token: $\langle \text{TRIG} \rangle$ (single token, prepended) — token chosen to be out-of-vocabulary
 1060 for the target dataset to avoid accidental natural occurrences.
- 1061 • Target class y_{target} : selected per-task (for multiclass tasks we choose one arbitrary class and
 1062 keep it fixed across poisoned clients).
- 1063 • Training: poisoned clients follow the same local training hyperparameters as honest clients
 1064 (same optimizer, learning rate, number of local steps).
- 1065 • Anchor poisoning (when evaluated): 20% of anchor samples replaced with triggered ex-
 1066 amples labeled as y_{target} .

1069 **Details of evaluation metrics.** We report:
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- 1071 • Clean accuracy (CA): accuracy on clean (untriggered) test inputs.
- 1072 • Robust accuracy (RA): accuracy on test inputs after adding the trigger (lower RA indicates
 1073 stronger backdoor).
- 1074 • Attack success rate (ASR): fraction of triggered test inputs classified as y_{target} (higher ASR
 1075 indicates stronger backdoor); in tables we report ASR with “lower is better” formatting (we
 1076 may report $1 - \text{ASR}$ depending on convention).

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