# **DIGAT: Modeling News Recommendation with Dual-Graph Interaction**

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### Abstract

News recommendation is essential for online news applications. Existing news recommendation approaches typically adopt a two-tower encoder framework, facing two potential lim-004 itations. First, in news encoder tower, single candidate news encoding suffers from an insuf-007 ficient semantic information problem. Second, existing graph learning models for news recommendation are promising but lack effective news-user interaction modeling, which causes the graph modeling suboptimal. To overcome these limitations, we propose dual-interactive 012 graph attention networks (DIGAT) consisting of news- and user-graph channels. In the newsgraph channel, we use a semantic-augmented graph to enrich the semantics of the single candidate news by incorporating the semantic in-017 formation of relevant news. In the user-graph channel, we utilize a news-topic graph to precisely model user interests. Most importantly, we design a dual-graph interaction mechanism to model effective feature interaction between the news and user graphs, which facilitates accurate news-user representation matching. Experiment results on the benchmark dataset MIND show that DIGAT outperforms the existing news recommendation methods. Further 027 ablation studies and analyses validate the effectiveness of semantic-augmented graph encoding and dual-graph interaction.

#### 1 Introduction

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News recommendation is an important technique to provide people with the news, which satisfies their personalized reading interests (Okura et al., 2017; Wu et al., 2020). Effective news recommendation systems require both accurate textual modeling on news content (Wang et al., 2018; Wu et al., 2019d; Wang et al., 2020) and personal-interest modeling on user behavior (Hu et al., 2020b; Qi et al., 2021c). In consequence, most neural news recommendation models (An et al., 2019; Wu et al., 2019a,b,c,d; Ge et al., 2020; Qi et al., 2021a,b,c) adopt a two-tower



Figure 1: The common two-tower encoder framework for news recommendation.

encoder framework to learn fine-grained news and user representations, as illustrated in Figure 1.

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Though it is promising, there are still two potential limitations in the two-tower encoder framework. First, in news encoder tower, single candidate news encoding suffers from an insufficient semantic information problem. Unlike long-term items in common recommendation (e.g., E-commerce product recommendation), the candidate news items in news recommendation are short-term and lack sufficient user-clicks. In the real-world setting, news recommendation systems usually handle the latest news, where existing user-click interactions are always not available<sup>1</sup>. Hence, it is intractable to use existing user-click interactions to enrich candidate news information. On the other hand, compared to abundant click history in user encoder tower, the single candidate news may not contain sufficient semantic information for accurate news-user representation matching in the click prediction stage. Prior studies (Wu et al., 2019a,c; Qi et al., 2021c) pointed out that users were usually interested in certain news topics (e.g., Sports topic). Empirically, the text of single candidate news does not contain enough syntactic and semantic information to accurately match user interest in a news topic.

Second, previous studies generally follow two research directions to model user history, i.e., se-

<sup>&</sup>lt;sup>1</sup>From the viewpoint of experimental dataset, most candidate news in test data does not appear in training user history.

quence and graph modeling. Formulating user his-071 tory as a sequence of user's clicked news is a more 072 prevalent direction, based on which time-sequential 073 models (Okura et al., 2017; An et al., 2019; Qi et al., 2021b) and attentive models (Zhu et al., 2019; Wu et al., 2019a,b,d; Qi et al., 2021a,c) are proposed. Graph modeling is proved effective for recommen-077 dation systems (Chen et al., 2020). Ge et al. (2020) and Hu et al. (2020b) formulate news and users jointly in a bipartite graph to model news-user interaction. However, most candidate news in test data has no existing interaction with users, which can only be treated as isolated nodes and causes the bipartite graph modeling degenerate. Recent works 084 formulate user history as heterogeneous graphs and employ advanced graph learning methods to extract the user-graph representations (Hu et al., 2020a; Wu et al., 2021). These works focus on how to extract fine-grained representations from the usergraph side, but neglect the necessary feature interaction between candidate news and user-graphs. 091

> In this work, we propose **D**ual-Interactive Graph **AT**tention networks (DIGAT) to address the aforementioned limitations. DIGAT consists of the news- and user-graph channels to encode the candidate news and user history, respectively. In the news-graph channel, we encode the single candidate news with the semantic-augmented graphs (SAG) to enrich its semantic representation. In SAG, the original candidate news is regarded as the root node, while the semantic-relevant news is regarded as the extended node to augment the semantics of the candidate news. We integrate the local and global contexts of SAG as the semanticaugmented candidate news representations.

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In the user-graph channel, motivated by Hu et al. (2020a) and Wu et al. (2021), we model user history with a news-topic graph to encode multi-levels of user interests. Most importantly, we design a dual-graph interaction module to learn news- and user-graph representations with effective feature interaction. Different from the individual graph attention network (Veličković et al., 2018), DIGAT updates news and user graph embeddings with the interactive attention mechanism. Particularly, in each layer of the dual-graph, the user (news) graph context is incorporated into its dual news (user) graph embedding learning iteratively.

Extensive experiments on the benchmark dataset *MIND* (Wu et al., 2020) show that DIGAT significantly outperforms the existing news recommenda-

tion methods. Further ablation studies and analyses confirm that semantic-augmented graph encoding and dual-graph interaction can substantially improve news recommendation performance. 122

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# 2 Related Work

Personalized news recommendation is important to online news services (Okura et al., 2017; Yi et al., 2021). Existing news recommendation methods typically employ the two-tower encoder framework to learn news and user representations (Wang et al., 2018; Zhu et al., 2019; An et al., 2019; Wu et al., 2019a,b,d; Wang et al., 2020; Qi et al., 2021a,b,c; Wu et al., 2021). For example, An et al. (2019) used a CNN network to extract textual representation from news titles, and used a GRU network to learn short-term user interests combined with long-term user embeddings. The matching probabilities between candidate news and users are computed over the learned news and user representations. Wu et al. (2019d) utilized multi-head self-attention networks to learn informative news and user representations from news titles and user clicked history. These methods regarded the single candidate news as the input to news encoder, which may not contain sufficient semantics to represent a user-interested news topic. Different from these methods, we encode the candidate news with semantic-augmented graphs to enrich its semantic representations. More recently, graph-based methods were proposed for news recommendation (Ge et al., 2020; Hu et al., 2020a,b; Wu et al., 2021). For example, Wu et al. (2021) proposed a heterogeneous graph pooling method to learn accurate user interest representations. However, feature interaction between candidate news and users is inadequate or neglected in these methods. In contrast, our approach models effective feature interaction between news and user graphs for accurate news-user representation matching.

# **3** Approach

**Problem Formulation.** Denote the clicked-news history of a user u as  $H_u = [n_1, n_2, ..., n_{|H|}]$ , containing |H| clicked news items. For the news n, its textual content consists of a sequence of |T| words as  $T_n = [w_1, w_2, ..., w_{|T|}]$ . Based on  $H_u$  and  $T_n$ , the goal of news recommendation is to predict the score  $\hat{s}_{n,u}$ , which indicates the probability of the user u clicking the candidate news  $n_{can}$ . The recommendation result is generated by ranking the user-click scores of multiple candidate news items.

#### 3.1 News Semantic Representation

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We introduce how to extract semantic representa-172 tion from news content text  $T_n = [w_1, w_2, ..., w_{|T|}].$ 173 Our news encoder first maps the news word to-174 kens into word embeddings  $E_n = [e_1, e_2, ..., e_{|T|}].$ 175 Then, we utilize a convolutional neural network 176  $Conv(\cdot)$  to extract the local semantic features of 177 the news word embeddings  $E_n$ . Finally, we em-178 ploy an attention network  $f_{att}(\cdot)$  to aggregate the 179 global semantic news representation as h: 180

$$h = f_{att} \left( \sigma \left( \text{Conv}([e_1, e_2, ..., e_{|T|}]) \right) \right) \quad (1)$$

, where  $\sigma$  is ReLU activation and  $h \in \mathbb{R}^d$  (*d* is the number of CNN feature maps). The attention function  $f_{att}(\cdot)$  is implemented by a feed-forward network in our experiments. It is worth noting that the CNN news encoder can be easily replaced by any other textual encoders, e.g., Transformer<sup>2</sup> (Vaswani et al., 2017), or pretrained language encoders, e.g., BERT (Devlin et al., 2019).

## 3.2 News Graph Encoding Channel

In this section, we will explain the news semanticaugmented graph (SAG) construction and graph context learning. Our motivation is to retrieve semantic-relevant news from training corpus and construct a semantic-augmented graph to enrich the semantics of the original candidate news.

#### 3.2.1 News Graph Construction

Semantic-relevant News Retrieval. Pretrained language models (PLM) have achieved remarkable performance (Reimers and Gurevych, 2019; Song et al., 2020) on semantic textual similarity (STS) benchmark. Motivated by Lewis et al. (2020), we utilize a PLM  $\phi(\cdot)$  to retrieve semantic-relevant news from the training news corpus to augment the semantics of the single candidate news<sup>3</sup>. In the retrieval process, the semantic similarity score  $s_{i,j}$ of news  $n_i$  and  $n_j$  (corresponding texts  $T_i$  and  $T_j$ ) is computed by the similarity function  $sim(\cdot, \cdot)$ :

$$s_{i,j} = sim(n_i, n_j) = cosine(\phi(T_i), \phi(T_j)) \quad (2)$$

Semantic-augmented Graph. For the original candidate news  $n_{can}$ , we initialize it as the root node  $v_0$  of the semantic-augmented news graph  $G_n$ .

We build  $G_n$  by repeatedly extending semanticrelevant neighboring nodes to existing nodes of  $G_n$ . Specifically, in each graph construction step, for an existing node  $v_i$  (correspoding news  $N_i$ ) of  $G_n$ , M news documents  $\{N_j\}_{j=1}^M$  are retrieved from the training news corpus with the highest semantic similarity scores  $\{s_{i,j}\}_{j=1}^M$ . We extend the nodes  $\{v_j\}_{j=1}^M$  as neighboring nodes to the node  $v_i$  by adding bidirectional edge  $\{e_{i,j}\}_{j=1}^M$  between them. To heuristically discover semantic-relevant news in higher-order relation, we repeatedly extend the semantic-relevant news nodes within K hops from the root node. The scale of news graph  $G_n$  is approximated to be  $\mathcal{O}(M^K)$ . Detailed SAG construction and examples are provided be in Appendix A.

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#### 3.2.2 News Graph Context Extraction

Given an SAG  $G_n$  generated from the candidate news node  $v_0$  with N semantic-relevant news nodes  $\{v_i\}_{i=1}^N$ , we use the semantic news encoder (described in Section 3.1) to extract their semantic representations as  $h_{n,0} \in \mathbb{R}^d$  and  $\{h_{n,i}\}_{i=1}^N \in \mathbb{R}^{N \times d}$ .

We aim to extract the graph context  $c_n \in \mathbb{R}^d$ , which augments the semantics of the candidate news  $n_{can}$  by aggregating the information of  $G_n$ . We consider the original semantics of the candidate news preserved in the root node  $v_0$  and regard the local graph context as  $h_n^L = h_{n,0} \in \mathbb{R}^d$ . Besides, we employ an attention module to aggregate the global graph context  $h_n^G \in \mathbb{R}^d$  from the semanticrelevant news nodes to encode the overall semantic information of  $G_n$ . In the attention module, we regard the root node embedding  $h_{n,0}$  as the query and the semantic-relevant news node embeddings  $\{h_{n,i}\}_{i=1}^N$  as the key-value pairs.

$$e_i = \frac{(h_{n,0} \mathbf{W}_n^Q)(h_{n,i} \mathbf{W}_n^K)^T}{\sqrt{d}}$$
(3)

$$\alpha_i = \operatorname{softmax}(e_i) = \frac{\exp(e_i)}{\sum_{j=1}^N \exp(e_j)} \quad (4)$$

$$a_n^G = \sum_{i=1}^N \alpha_i h_{n,i} \tag{5}$$

, where  $\mathbf{W}_n^Q \in \mathbb{R}^{d \times d}$  and  $\mathbf{W}_n^K \in \mathbb{R}^{d \times d}$  are parameter matrices. We concatenate the local and global graph contexts and employ a feed-forward network to learn the news graph context  $c_n$ .

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$$c_n = \sigma \left( \mathbf{W}_n[h_n^L; h_n^G] + \mathbf{b}_n \right) \tag{6}$$

, where  $\mathbf{W}_n \in \mathbb{R}^{d \times 2d}$  and  $\mathbf{b}_n \in \mathbb{R}^d$  are learnable parameters. Above parameters are shared among different graph layers described in Section 3.4.

<sup>&</sup>lt;sup>2</sup>We empirically find that performance of the CNN encoder is slightly better than Transformer in our DIGAT framework.

<sup>&</sup>lt;sup>3</sup>Specifically, we use pretrained mpnet-base-v2 (Song et al., 2020) in https://www.sbert.net/docs/pretrained\_models.html to retrieve semantic-relevant news texts under cosine distance.

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# **3.3 User Graph Encoding Channel**

In this section, we will explain the user graph construction and graph context learning.

# 3.3.1 User Graph Construction

Motivated by Hu et al. (2020a) and Wu et al. (2021), we model user history with graph structure to encode multi-levels of user interests. We build a heterogeneous user graph  $G_u$  containing **news nodes** and **topic nodes**: (1) For a user's historical clicked news  $H_u = [n_1, n_2, ..., n_{|H|}]$ , we treat it as a set of historical news nodes for news-level user interest representation. (2) For the clicked news  $n_j$ , it is pertaining to a certain news topic<sup>4</sup> T(i). We treat the clicked news topics as historical topic nodes for topic-level user interest representation.

To capture the interaction among news and topics, we introduce three types of edges:

**News-News Edge.** News nodes with the same topic category (e.g., *Sports*) are fully connected. In this way, we can capture the relatedness among clicked news with news-level interaction.

**News-Topic Edge.** We model the interaction between clicked news and topics by connecting news nodes to their corresponding topic nodes.

**Topic-Topic Edge.** Topic nodes are fully connected. In this way, we can capture the overall user interests with topic-level interaction.

# 3.3.2 User Graph Context Extraction

Given the user history  $H_u = [n_1, n_2, ..., n_{|H|}]$ , we employ the semantic news encoder (described in Section 3.1) to learn the historical news embeddings  $h_u^n = [h_{u,1}^n, h_{u,2}^n, ..., h_{u,|H|}^n] \in \mathbb{R}^{|H| \times d}$ . Given  $|T(\cdot)|$  topics indicated by the clicked news, the topic nodes are embedded into learnable embeddings  $h_u^t = [h_{u,1}^t, h_{u,2}^t, ..., h_{u,|T(\cdot)|}^t] \in \mathbb{R}^{|T(\cdot)| \times d}$ . The user graph embeddings are as  $h_u = [h_u^n, h_u^t]$ .

Following Qi et al. (2021c), we extract the graph context  $c_u \in \mathbb{R}^d$  in a hierarchical way. First, we employ an attention module to learn the topic representation  $\tilde{h}_{u,T(i)} \in \mathbb{R}^d$  of the topic T(i). The topic-attention module regards the news graph context  $c_n$  as the query and the news embeddings  $\{h_{u,j}^n\}_{n_j \in T(i)}$  of topic T(i) as the key-value pairs.

$$\tilde{h}_{u,T(i)} = \operatorname{Attention}\left(c_n, \{h_{u,j}^n\}, \{h_{u,j}^n\}\right) \quad (7)$$

Then, we employ another attention module to extract the user graph context  $c_u \in \mathbb{R}^d$ . The userattention module regards the news graph context



Figure 2: The overall architecture of DIGAT.

 $c_n$  as the query and the learned topic representations  $\{\tilde{h}_{u,T(i)}\}_{i=1}^{|T(\cdot)|}$  as the key-value pairs.

$$c_u = \operatorname{Attention}\left(c_n, \{\tilde{h}_{u,T(i)}\}, \{\tilde{h}_{u,T(i)}\}\right) \quad (8)$$

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Attention $(\cdot, \cdot, \cdot)$  in Eq. (7) and (8) denotes the standard attention module with Query/Key/Value. We implement Attention $(\cdot, \cdot, \cdot)$  as scaled dot-product attention (Vaswani et al., 2017) in our experiments.

## 3.4 Dual-Graph Interaction

In news graph  $G_n$ , node embeddings  $\{h_{n,i}\}_{i=0}^{|G_n|}$ contain the information of augmented candidate news semantics. In user graph  $G_u$ , node embeddings  $\{h_{u,i}\}_{i=0}^{|G_u|}$  contain the information of user history. We learn informative news and user graph embeddings by aggregating neighboring node information with stacked graph attention layers (Veličković et al., 2018). Most importantly, our dual-graph interaction model aims at facilitating effective feature interaction between the news and user graphs. By effective dual-graph feature interaction, accurate news-user representation matching can be achieved. In the dual-graph interaction, the (l+1)-layer news node embeddings  $h_n^{(l+1)}$  is updated based on the *l*-layer news node embeddings  $h_n^{(l)}$  and user graph context  $c_u^{(l)}$  jointly (vice versa to update the user node embeddings  $h_u^{(l+1)}$ ), as illustrated in Figure 2.

We illustrate the news node embedding update process for example. We first perform a linear transformation on the *l*-layer news node embedding  $h_{n,i}^{(l)}$ to derive higher-level graph features  $\hat{h}_{n,i}$ :

$$\hat{h}_{n,i} = \hat{\mathbf{W}}_n^l h_{n,i}^{(l)} + \hat{\mathbf{b}}_n^l, \qquad (9)$$

where  $\hat{\mathbf{W}}_{n}^{l} \in \mathbb{R}^{d \times d}$  and  $\hat{\mathbf{b}}_{n}^{l} \in \mathbb{R}^{d}$  are learnable.

In order to learn news node embeddings interacting with user graph, we integrate the user graph

<sup>&</sup>lt;sup>4</sup>For example, in the *MIND* (Wu et al., 2020) dataset, each news has a topic category (e.g., *Sports* and *Entertainment*).

context  $c_u^{(l)}$  into news graph attention computation. 338 For news node i and node  $j \in \mathcal{N}_i^n$  (where  $\mathcal{N}_i^n$  is 339 the neighborhood of node i), we incorporate user 340 graph context  $c_u^{(l)}$  into computing the attention key vector  $K_{i,j}$ . We use a feed-forward network  $FFN_n^{(l)}$ 342 to compute  $K_{i,j}$  based on the fused information of  $c_u^{(l)}$ ,  $\hat{h}_{n,i}$  and  $\hat{h}_{n,j}$ . The news graph attention coefficient  $\alpha_{i,j}$  is computed aware of user graph context.

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$$K_{i,j} = \text{FFN}_n^{(l)} \left( \left[ c_u^{(l)}; \hat{h}_{n,i}; \hat{h}_{n,j} \right] \right)$$
(10)

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$$\alpha_{i,j} = \frac{\exp\left(\text{LeakyReLU}(\mathbf{a}_n^T K_{i,j})\right)}{\sum\limits_{k \in \mathcal{N}_i^n} \exp\left(\text{LeakyReLU}(\mathbf{a}_n^T K_{i,k})\right)} \quad (11)$$

, where  $\mathbf{a}_n^T$  is a learnable attention weight vector. Finally, we aggregate the neighboring node embeddings with attention coefficient  $\alpha_{i,j}$ , followed by ReLU activation. Residual connection is applied to mitigate gradient vanishing in deep graph layers.

$$h_{n,i}^{(l+1)} = \operatorname{ReLU}\left(\sum_{j \in \mathcal{N}_i^n} \alpha_{i,j} \hat{h}_{n,j}\right) + h_{n,i}^{(l)} \quad (12)$$

The news and user graph contexts  $c_n^{(l)}$  and  $c_u^{(l)}$ are extracted from the *l*-layer graph node embeddings as described in Section 3.2.2 and 3.3.2. We summarize Eq. (9) to (12) as the news node embedding update function  $\Phi_n^{(l)}$ :

$$h_{n,i}^{(l+1)} = \Phi_n^{(l)} \left( c_u^{(l)}, h_{n,i}^{(l)}, \left\{ h_{n,j}^{(l)} \right\}_{j \in \mathcal{N}_i^n} \right)$$
(13)

Similarly, the user node embedding update function is formulated as  $\Phi_u^{(l)}$ :

$$h_{u,i}^{(l+1)} = \Phi_u^{(l)} \left( c_n^{(l)}, h_{u,i}^{(l)}, \left\{ h_{u,j}^{(l)} \right\}_{j \in \mathcal{N}_i^u} \right)$$
(14)

The dual-graph interaction can be viewed as an iterative process that performs (1) user graph context-aware attention to update news node embeddings and (2) news graph context-aware attention to update user node embeddings. We model the dual interaction with L stacked layers. The final layers of news and user graph contexts  $c_n^L$  and  $c_u^L$ are adopted as news and user graph representations  $r_n$  and  $r_u$ , which refine the news and user graph information with deep feature interaction. Algorithm 1 illustrates the dual-graph interaction process.

#### Click Prediction and Model Training 374 3.5

With the news and user graph representations  $r_n$ 375 and  $r_u$ , our model aims to predict the matching score  $\hat{s}_{n,u}$ , which signals how likely user u will 377

Algorithm 1 News-User Graph Interaction

- Input: news node embeddings  $h_n^0 = \{h_{n,i}^0\}_{i=0}^{|G^n|}$ , user node embeddings  $h_u^0 = \{h_{u,i}^0\}_{i=0}^{|G^u|}$ , number of dual-graph layers L.
- **Output:** news graph representation  $r_n$  and user graph representation  $r_u$ .
- 1: Initialize  $c_n^0$  from  $h_n^0$  with Eq. (3) (6). 2: Initialize  $c_u^0$  from  $h_u^0$  with Eq. (7) (8).
- 3: for l = 0, 1, ..., L - 1 do
- Update the (l + 1)-layer news node embed-4: dings  $h_n^{(l+1)}$  with Eq. (13).
- Update the (l + 1)-layer user node embed-5: dings  $h_u^{(l+1)}$  with Eq. (14).
- Update the (l + 1)-layer news graph context 6:  $c_n^{(\hat{l}+1)}$  with Eq. (3) - (6).
- Update the (l+1)-layer user graph context 7:  $c_u^{(\hat{l}+1)}$  with Eq. (7) - (8).
- 8: end for

9: 
$$r_n = c_n^L$$
 and  $r_u = c_u^L$ 

10: return  $r_n, r_u$ 

click news n. Motivated by An et al. (2019), we compute the news-user representation matching score by dot product as  $\hat{s}_{n,u} = r_n^T r_u$ .

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Following Wu et al. (2019b,d), we use negative sampling approach to train our model. For the user behavior that user u had clicked news  $n_i$ , we compute the click matching score as  $\hat{s}_i^+$  for  $n_i$  and u. Besides, we randomly sample S nonclicked news  $[n_1, n_2, ..., n_S]$  from the user's behavior log and compute the negative matching scores as  $[\hat{s}_{i,1}^-, \hat{s}_{i,2}^-, ..., \hat{s}_{i,S}^-]$ . We optimize the NCE loss  $\mathcal{L}$ over the training dataset  $\mathcal{D}$  in model training.

$$\mathcal{L} = -\sum_{i=1}^{|\mathcal{D}|} \log \frac{\exp(\hat{s}_i^+)}{\exp(\hat{s}_i^+) + \sum_{j=1}^{S} \exp(\hat{s}_{i,j}^-)} \quad (15)$$

#### **Experiments** 4

#### 4.1 **Dataset and Experiment Settings**

We conduct experiments on the real-world benchmark dataset MIND (Wu et al., 2020). MIND is constructed from anonymized user behavior logs of Microsoft News with two versions of MIND-large and MIND-small. MIND-large contains 1 million anonymized users with user-click impression logs of 6 weeks from October 12 to November 22, 2019. The training and dev sets contain the impression logs of the first 5 weeks, and the last week impression logs are reserved for test. MIND-small consists

		MIND-small				MIND-large			
#	Method	AUC	MRR	nDCG@5	nDCG@10	AUC	MRR	nDCG@5	nDCG@10
1	GRU	61.51	27.46	30.11	36.61	65.42	31.24	33.76	39.47
2	DKN	62.90	28.37	30.99	37.41	64.07	30.42	32.92	38.66
3	NAML	66.12	31.53	34.88	41.09	66.46	32.75	35.66	41.40
4	NPA	64.65	30.01	33.14	39.47	65.92	32.07	34.72	40.37
5	LSTUR	65.87	30.78	33.95	40.15	67.08	32.36	35.15	40.93
6	NRMS	65.63	30.96	34.13	40.52	67.66	33.25	36.28	41.98
7	FIM	65.34	30.64	33.61	40.16	67.87	33.46	36.53	42.21
8	HieRec	67.83	32.78	36.31	42.49	69.03	33.89	37.08	43.01
9	GERL	65.27	30.10	32.93	39.48	68.10	33.41	36.34	42.03
10	GNewsRec	65.54	30.27	33.29	39.80	68.15	33.45	36.43	42.10
11	User-as-Graph <sup>†</sup>	-	-	-	_	69.23	34.14	37.21	43.04
	DIGAT	68.39	33.08	36.71	42.92	69.96	34.78	38.05	43.76

Table 1: Evaluation results of all methods. Experiments of baseline #1 to #10 and DIGAT are conducted 10 times on *MIND-small* and 5 times on *MIND-large*, respectively. We report the average performance. <sup>†</sup>Results of *User-as-Graph* are directly copied from the previous works (Wu et al., 2021).

of 50000 users, which are randomly sampled from *MIND-large* with the impression logs.

Following previous works (Wang et al., 2020; Qi et al., 2021c), we use news titles with the maximum length of 32 words for news textual encoding. The user history includes 50 news items they have recently clicked. The news word embeddings are 300-dimensional and initialized from the pretrained Glove embeddings (Pennington et al., 2014). Following An et al. (2019), we set the number of negative news samples S to be 4. For our model parameters, the number of CNN feature maps d is set as 400. The number of neighboring nodes Mand hops K are 5 and 2, respectively. We set the number of dual-graph interaction layers as L = 4. We use Adam optimizer (Kingma and Ba, 2015) with the learning rate of 1e-4 to train our model. Following Wu et al. (2020), we employ the recommendation ranking metrics AUC, MRR, nDCG@5 and nDCG@10 to evaluate model performance.

### 4.2 Compared Methods

We compare our model with the state-of-the-art news recommendation methods: (1) *GRU* (Okura et al., 2017), learning user representations from a sequence of clicked news with a GRU network; (2) *DKN* (Wang et al., 2018), using a knowledge-aware CNN to learn news representations from both news texts and knowledge entities; (3) *NAML* (Wu et al., 2019a), learning news representations from news titles, bodies, categories and subcategories with multi-view attention networks; (4) *NPA* (Wu et al., 2019b), encoding news and user representations with personalized attention networks; (5) *LSTUR* (An et al., 2019), jointly modeling long-term user

embeddings and short-term user interests learned by a GRU network; (6) *NRMS* (Wu et al., 2019d) encoding informative news and user representations with multi-head self-attention networks; (7) *FIM* (Wang et al., 2020), encoding news content with dilated convolutional networks and modeling user interest matching with 3-D convolutional networks; (8) *HieRec* (Qi et al., 2021c), modeling user interests in a three-level hierarchy and performing multi-grained matching between candidate news and hierarchical user interest representations. We also compare our model with competitive graph-based methods: (9) *GERL* (Ge et al., 2020), modeling the news-user relatedness with a bipartite graph, which enhances news and user representations by aggregating neighboring node information; (10) *GNewsRec* (Hu et al., 2020a), using graph neural networks (GNN) (Hamilton et al., 2017) to encode long-term user interests from a user-newstopic graph; (11) *User-as-Graph* (Wu et al., 2021), utilizing a heterogeneous graph pooling method to extract user representations from personalized heterogeneous behavior graphs.

#### 4.3 Main Experiment Results

In Table 1, we present the main experiment results. We can observe that *DIGAT* significantly outperforms the general two-tower encoder methods (i.e., methods #1 to #8) on the both datasets. This is because even though some baselines use topic categories or knowledge entities to enrich news information (e.g., *HieRec* learns news representations from both news texts and knowledge entities), the information entailed in single candidate news may be still insufficient. In contrast, *DIGAT* can sub-

	AUC	MRR	nDCG@5	nDCG@10
w/o SA	67.57	32.38	35.81	42.10
TF-IDF SA	67.76	32.61	36.14	42.39
SA-Seq	68.05	32.75	36.41	42.62
DIGAT	68.39	33.08	36.71	42.92

Table 2: Experiment results of SAG modeling variants.

stantially enrich the semantic information of the single candidate news by SAG modeling, which provides more accurate candidate news signals to match user interests. Besides, *DIGAT* significantly outperforms three graph-based baselines. We find that *GERL* is hard to model news-user interaction in test data, as most candidate news items in test data are fresh and have no click-interaction with users. Differently, *DIGAT* models news and users with dual graph channels instead of a joint bipartite graph, which circumvents this *cold news* issue. Compared to *GNewsRec* and *User-as-Graph*, *DI-GAT* performs more effective feature interaction between the news and user graphs, which can enhance more accurate news-user representation matching.

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# 4.4 Effectiveness of SAG Modeling

We examine the effectiveness of SAG modeling from three perspectives: (1) To examine the effectiveness of semantic-augmentation (SA) strategy, we remove SAG from our model and instead learn single candidate news representation (w/o SA). (2) To inspect the function of PLM  $\phi(\cdot)$  in SAG construction (see Section 3.2.1), we conduct controlled experiments by replacing  $\phi(\cdot)$  with TF-IDF feature extractor (**TF-IDF SA**). (3) To examine the effectiveness of graph-based SA, we conduct controlled experiments by organizing the semantic-relevant news in a sequential form and extracting the news sequence context similar to Eq. (3)-(6) (**SA-Seq**). The experiments in this section and following sections are conducted on *MIND-small*.

Table 2 shows the experiment results. We can see that abandoning the SA strategy (w/o SA) leads 503 to the largest performance drop, as TF-IDF SA and 504 SA-Seq also yield better performance than w/o SA. 505 This validates the effectiveness of SA strategy to enrich candidate news semantics and further en-507 hance news recommendation. TF-IDF SA underperforms our original approach significantly. We in-509 fer that the TF-IDF features can only evaluate news 510 similarity at the syntactic level, which may not be 511 able to accurately retrieve semantic-relevant news 512 for SAG construction. In contrast, PLM can accu-513



Figure 3: Ablation results on dual-graph interaction.

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rately evaluate news similarity at the semantic level and help retrieve more relevant news to enhance SAG modeling. Besides, **SA-Seq** is suboptimal compared to the original graph-based SA. This is because the graph-based SA method can accurately model the relatedness among the candidate news and semantic-relevant news with multi-neighbor and multi-hop graph structure, which further improves the effectiveness of SA strategy.

# 4.5 Ablation Study on Graph Interaction

To examine the effectiveness of dual-graph interaction, we design the following ablation experiments: (1) **w/o Interaction**. We employ the vanilla graph attention networks (GAT) (Veličković et al., 2018) to learn news and user graph embeddings, respectively, without interaction between dual graphs. (2) **News Graph w/o Inter**. The news graph embedding update layers are replaced with vanilla GAT layers. Concretely, Eq. (13) is modified into  $h_{n,i}^{(l+1)} = \bar{\Phi}_n^{(l)} (h_{n,i}^{(l)}, \{h_{n,j}^{(l)}\}_{j \in \mathcal{N}_i^n})$ , where  $\bar{\Phi}_n^{(l)}$  is the standard GAT graph embedding update function without feature interaction with user graph context. (3) **User Graph w/o Inter**. Similar to (2), we replace the user graph embedding update layers with vanilla GAT layers.

Figure 3 shows the performance of the ablation models. We can see that **w/o Interaction** underperforms the other three models with graph interaction modeling. It indicates that feature interaction between candidate news and users is necessary to enhance news recommendation. Besides, we can observe that removing user graph interaction (**User Graph w/o Inter**) leads to more performance drop than **News Graph w/o Inter**, which implies that user graph interaction may contribute more to our model. Moreover, DIGAT surpasses the two single



Figure 4: DIGAT performance with different M and K settings of SAG.

graph interaction ablations by a significant margin, validating the effectiveness of modeling dual-graph interaction in a deep and iterative manner.

#### 4.6 Analysis on SAG Parameters

We investigate two key parameters of SAG, i.e., the number of node neighbors M and hops K. Figure 4 shows the influence of different M and K settings.

As shown in Figure 4(a), DIGAT performance continues rising as M increases from 1 to 5. This is because with more semantic-relevant news incorporated, SAG can leverage more fine-grained semantic information to enhance the candidate news representations. It can be observed that the model performance slightly declines when M > 5. The reason could be twofold. First, as the scale of SAG grows larger, it becomes more challenging for our model to distill the global graph context of SAG (see Section 3.2.2). Second, as M becomes too large, it is inevitable to retrieve more noisy news in the SAG construction process, which may hurt the SAG modeling. From Figure 4(b), we find that K = 2 is the optimal hop setting. This may be because two hops of SAG can heuristically capture more useful semantic-relevant news information than simple one-hop modeling, while higher-hop extension may introduce too much irrelevant news and interfere with accurate semantic augmentation for candidate news. In general, we select M = 5and K = 2 on our SAG construction<sup>5</sup>.

## 4.7 The Number of Dual-Graph Layers

We study the influence of the number of dual-graph layers L on DIGAT. The results are presented in Figure 5. We can see that the model performance first keeps increasing and reaches a peak at L = 4.



Figure 5: DIGAT performance with different numbers of dual-graph layers *L*.

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It suggests that deep feature interaction between news and user graphs is useful to improve recommendation performance, as it can model news and user representation matching in a more fine-grained way. We can also observe that further increasing L hurts the model performance. It may be caused by the unstable gradient in training the deep dualgraph architecture, as we empirically find that gradient clipping (Pascanu et al., 2013) is indispensable to successfully train DIGAT when the dualgraph layers are too deep (i.e., L = 6, 7).

#### 5 Conclusion

In this work, we present a dual-graph interaction framework for news recommendation. In our approach, a graph enhanced semantic-augmentation strategy is employed to enrich the semantic information of candidate news. Moreover, we design a dual-graph interaction mechanism to achieve effective feature interaction between news and user graphs, facilitating more accurate news and user representation matching. Our approach advances the state-of-the-art news recommendation methods on the MIND benchmark dataset. Extensive experiments and further analysis validate that SAG modeling and dual-graph interaction can effectively improve news recommendation performance.

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<sup>&</sup>lt;sup>5</sup>The SAG construction (M = 5 and K = 2) on *MIND-large* can be finished in 15 minutes on Intel(R) Xeon(R) Gold 6226R CPU @ 2.90 GHz with Nvidia V100 GPU.

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Algorithm 2 SAG Construction Procedure

**Input:** candidate news  $n_0$ , training news corpus  $\{N_T\}$ , node neighbors M and hops K. **Output:** semantic-augmented graph  $G_n$ 

1: Regard  $n_0$  as the root node  $v_0$  of SAG.

2: Initialize graph node set V ← {v<sub>0</sub>} and edge set E ← {}. Define parent node set P ← {v<sub>0</sub>} and node-hop counter hop[v<sub>0</sub>] = 0.
// Graph extension process

3: while  $P \neq \emptyset$  do

- 4: Pop a node  $v_i$  from P, then  $P = P \setminus \{v_i\}$
- 5: Retrieve M news  $\{n_j\}_{j=1}^M$  from the news corpus  $\{N_T\}$  with the M highest semantic similarity scores  $\{s_{i,j}\}_{j=1}^M$  as nodes  $\{v_j\}_{j=1}^M$

6: **for** j = 1, 2, ..., M **do** 

7:	if $v_j \not\in V$ then
8:	$V = V \cup \{v_j\}$
9:	$hop[v_j] = hop[v_i] + 1$
10:	if $hop[v_j] < K$ then
11:	$P = P \cup \{v_j\}$
12:	end if
13:	end if
14:	if edge $e_{i,j} \not\in E$ then
15:	$E = E \cup \{e_{i,j}\}$
16:	end if
17:	end for
18:	end while
19:	$G_n = \{V, E\}.$
20:	return G <sub>n</sub>

# A Semantic-augmented Graph Construction and Examples

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Algorithm 2 illustrates the procedure of semanticaugmented graph (SAG) construction. First of all, the SAG  $G_n$  is initialized from the root node  $v_0$ , which represents the original candidate news  $n_0$ .

The graph construction is performed by repeatedly extending semantic-relevant neighboring news nodes to existing nodes in  $G_n$ . In the **graph extension process** (line 3 to 18 in Algorithm 2), for an existing node  $v_i$  (corresponding to news  $n_i$ ) in  $G_n$ , we retrieve M news documents  $\{n_j\}_{j=1}^M$ from the training news corpus<sup>6</sup>  $\{N_T\}$  with the Mhighest similarity scores  $\{s_{i,j}\}_{j=1}^M$ . The similarity score  $s_{i,j}$  of news  $n_i$  and  $n_j$  is evaluated by a PLM  $\phi(\cdot)$  with Eq. (2). We treat the retrieved news  $\{n_j\}_{j=1}^M$  as news nodes  $\{v_j\}_{j=1}^M$ . For each node  $v_j$ , we extend it to  $G_n$  as a neighboring node of  $v_i$  by adding bidirectional edge  $e_{i,j}$  between  $v_i$  and  $v_j$ . To heuristically explore higher-order semanticrelevant news, news nodes in SAG are extended from the root node  $v_0$  within K hops at most.

**SAG Examples.** Figure 6 demonstrates an example of SAG instance for the candidate news  $n_0$  "Should the NFL be able to fine players for criticizing officiating". Interestingly, from Figure 6(b), we can see that there are many similar news items in SAG regarding a specific event or person (i.e., "NFL" and "fine players") from different perspectives. These semantic-relevant news documents can be finely retrieved with the help of PLM and substantially enrich the semantic information of the original candidate news.

**News Clustering Phenomenon.** From the example SAG shown in Figure 6(a), we find that there exist many cyclic sub-graphs, revealing the news clustering phenomenon in the semantic space. This cyclic graph structure indicates the presence of similar news clusters at different levels of granularity, coinciding with the previous research (Altuncu et al., 2018). It also justifies the motivation of our work. By augmenting the semantic-relevant information, SAG is capable of capturing robust and informative representations of the candidate news.

<sup>&</sup>lt;sup>6</sup>In our experiments, we utilize news in the *train/news.tsv* data file of *MIND* dataset to construct the news corpus.



Figure 6: An example of SAG (M = 5 and K = 2) constructed from news  $n_0$  in *MIND-large* (news ID: N124534): (a) A subgraph of the example SAG including root node  $n_0$  and semantic-relevant news node  $n_i$  (i = 1, 2, ..., 8); (b) News in SAG and the corresponding title texts. For brevity, we only present an SAG subgraph of news nodes.