VISION-LANGUAGE MODELS PROVIDE PROMPTABLE REPRESENTATIONS FOR REINFORCEMENT LEARNING

Anonymous authors

Paper under double-blind review

ABSTRACT

Humans can quickly learn new behaviors by leveraging background world knowledge. In contrast, agents trained with reinforcement learning (RL) typically learn behaviors from scratch. We thus propose a novel approach that uses the vast amounts of general and indexable world knowledge encoded in vision-language models (VLMs) pre-trained on Internet-scale data for embodied RL. We initialize policies with VLMs by using them as promptable representations: embeddings that encode semantic features of visual observations based on the VLM's internal knowledge and reasoning capabilities, as elicited through prompts that provide task context and auxiliary information. We evaluate our approach on visually-complex, long horizon RL tasks in Minecraft and robot navigation in Habitat. We find that our policies trained on embeddings from off-the-shelf, general-purpose VLMs outperform equivalent policies trained on generic, non-promptable image embeddings. We also find our approach outperforms instruction-following methods and performs comparably to domain-specific embeddings. Finally, we show that our approach can use chain-of-thought prompting to produce representations of common-sense semantic reasoning, improving policy performance in novel scenes by 1.5 times.

004

010 011

012

013

014

015

016

017

018

019

021

1 INTRODUCTION

029 Embodied decision-making often requires representations informed by world knowledge for perceptual grounding, planning, and control. Humans rapidly learn to perform sensorimotor tasks by 031 drawing on prior knowledge, which might be high-level and abstract ("If I'm cooking something that needs milk, the milk is probably in the refrigerator") or grounded and low-level (e.g., what 033 refrigerators and milk look like). These capabilities would be highly beneficial for reinforcement 034 learning (RL) too: we aim for our agents to interpret tasks in terms of concepts that can be reasoned about with relevant prior knowledge and grounded with previously-learned representations, thus enabling more efficient learning. However, doing so requires a condensed source of vast amounts of general-purpose world knowledge, captured in a form that allows us to specifically index into and 037 access *task-relevant* information. Therefore, we need representations that are contextual, such that agents can use a concise task context to draw out relevant background knowledge, abstractions, and grounded features that aid it in acquiring a new behavior. 040

An approach to facilitate this involves integrating RL agents with the prior knowledge and reasoning 041 abilities of pre-trained foundation models. Transformer-based language models (LMs) and vision-042 language models (VLMs) are trained on Internet-scale data to enable generalization in downstream 043 tasks requiring facts or common sense. Moreover, in-context learning (Brown et al., 2020), chain-of-044 thought reasoning (CoT) (Wei et al., 2023), and instruction fine-tuning (Ouyang et al., 2022) have provided better ways to index into (V)LMs' knowledge and steer their capabilities based on user 046 needs. These successes have seen some transfer to embodied control, with (V)LMs being used to 047 reason about goals to produce executable plans (Ahn et al., 2022) or as encoders of useful information 048 (like instructions (Liu et al., 2023) or feedback (Sharma et al., 2023)) that the control policy utilizes. Both these paradigms have major limitations: actions generated by LMs are often not appropriately grounded, unless the tasks and scenes are amenable to being expressed or captioned in language. 051 Even then, (V)LMs are often only suited to producing subtask plans, not low-level control signals. On the other hand, using (V)LMs to simply encode inputs under-utilizes their knowledge and reasoning 052 abilities, instead focusing on producing embeddings that reflect the compositionality of language (e.g., so an instruction-following policy may generalize). This motivates the development of an algorithm



Figure 1: Example instantiations of PR2L for tasks in Minecraft and Habitat. We query a VLM with a *task-relevant prompt* about observations to produce *promptable representations*, which we train a policy on via RL. Rather than directly asking for actions or specifying the task, the prompt enables indexing into the VLM's prior world knowledge to access task-relevant information. This prompt also allows us to inject auxiliary information and elicit chain-of-thought reasoning.

for learning to produce low-level actions that are grounded and leverage (V)LMs' knowledge and reasoning.

To this end, we introduce Promptable Representations for Reinforcement Learning (PR2L): a flexible 071 framework for steering VLMs into producing semantic features, which (i) integrate observations 072 with prior task knowledge and (ii) are grounded into actions via RL (see Figure 1). Specifically, 073 we ask a VLM questions about observations that are related to the given control task, priming it to 074 attend to task-relevant features in the image based on both its internal world knowledge, reasoning 075 capabilities, and any supplemental information injected via prompting. The VLM then encodes this 076 information in decoded text, which is discarded, and associated embeddings, which serve as inputs 077 to a learned policy. In contrast to the standard approach of using pre-trained image encoders to 078 convert visual inputs into generic features for downstream learning, our method yields task-specific 079 features capturing information particularly conducive to learning a considered task. Thus, the VLM does not just produce an un-grounded encoding of instructions, but embeddings containing semantic 080 information relevant to the task, that is both grounded and informed by the VLM's prior knowledge. 081

082 To the best our knowledge, we introduce the first approach for initializing RL policies with generative 083 VLM representations. We demonstrate our approach on tasks in Minecraft (Fan et al., 2022) and 084 Habitat (Savva et al., 2019), as they present semantically-rich problems representative of many 085 practical, realistic, and challenging applications of RL. We find that PR2L outperforms equivalent policies trained on vision-only embeddings or with instruction-conditioning, popular ways of using 086 pre-trained image models and VLMs respectively for control. We also show that promptable represen-087 tations extracted from general-purpose VLMs are competitive with domain-specific representations. 880 Our results highlight how visually-complex control tasks can benefit from accessing the knowledge captured within VLMs via prompting in both online and offline RL settings. 090

091 2 RELATED WORKS

064

065

066

067

Vision-language models. In this work, we utilize generative VLMs (like Li et al. (2022; 2023a); Dai 093 et al. (2023); Karamcheti et al. (2024)): models that generate language in response to an image and a 094 text prompt passed as input. This is in contrast to other designs of combining vision and language that 095 either generate images or segmentation (Rombach et al., 2022; Kirillov et al., 2023) and contrastive 096 representations (Radford et al., 2021). Formally, the VLM enables sampling from $p(x_{1:K}|I, c)$, where $x_{1:K}$ represents the K tokens of the output, I is the input image(s), c is the prompt, and p is the 098 distribution over natural language responses produced by the VLM on those inputs. Typically, the VLM is pre-trained on tasks that require building association between vision and language such as 099 captioning. All these tasks require learning to attend to certain semantic features of input images 100 depending on the given prompt. For auto-regressive generative VLMs, this distribution is factorized as 101 $\prod_{i=1}^{n} p(x_i|I, c, x_{1:t-1})$. Typical architectures parameterize these distributions using weights that define 102 a representation $\phi_t(I, c, x_{1:t-1})$, which depends on the image I, the prompt c, and the previously 103 emitted tokens, and a decoder $p(x_t | \phi_t(I, c, x_{1:t-1}))$, which defines a distribution over the next token. 104

Embodied (V)LM reasoning. Many recent works have leveraged (V)LMs as priors over effective plans for a given goal. These works use the model's language modeling and auto-regressive generation capabilities to extract such priors as textual subtask sequences (Ahn et al., 2022; Huang et al., 2022b; Sharma et al., 2022) or code (Liang et al., 2023; Singh et al., 2022; Zeng et al., 2022; Vemprala

108 et al., 2023), thereby using the (V)LM to decompose long-horizon tasks into executable parts. These 109 systems often need grounding mechanisms to ensure plan feasibility (e.g., affordance estimators 110 (Ahn et al., 2022), scene captioners (Zeng et al., 2022), or trajectory labelers (Palo et al., 2023)). 111 They also often assume access to low-level policies that can execute these subtasks, such as robot 112 pick-and-place skills (Ahn et al., 2022; Liang et al., 2023), which is often a strong assumption. These methods generally do not address how such policies can be acquired, nor how these low-level skills 113 can themselves benefit from the prior knowledge in (V)LMs. Even works in this area that use RL still 114 use (V)LMs as state-dependent priors over reasonable high-level goals to learn (Du et al., 2023). This 115 is a key difference from our work: instead of considering priors on plans/goals, we rely on VLM's 116 implicit knowledge of the world to extract representations which encode task-relevant information. 117 We train a policy to convert these features into low-level actions via standard RL, meaning the VLM 118 does not need to know how to take actions for a task. 119

Embodied (V)LM pre-training. Other works use (V)LMs to embed useful information like instruc-120 tions (Liu et al., 2023; Myers et al., 2023; Lynch and Sermanet, 2021; Mees et al., 2023; O.M.T. 121 et al., 2023), feedback (Sharma et al., 2023; Bucker et al., 2022), reward specifications (Fan et al., 122 2022), and data for world modeling (Lin et al., 2023b; Narasimhan et al., 2018). These works 123 use (V)LMs as encoders of the compositional semantic structure of input text and images, which 124 aids in generalization: an instruction-conditioned model may never have learned to grasp apples 125 (but can grasp other objects), but by interacting with them in other ways and receiving associated 126 language descriptions, the model might still be able to grasp them zero-shot. In contrast, our method 127 produces embeddings that are informed by world knowledge and reasoning, both from prompting 128 and pre-training. Rather than just specifying that the task is to acquire an apple, we ask a VLM to 129 parse observations into task-relevant features, like whether there is an apple in the image or if the 130 observed location likely contains apples – information that is useful even in single-task RL. Thus, we use VLMs to help RL solve new tasks, not just to follow instructions. 131

132 These two categories are not mutually exclusive: Brohan et al. (2023a) use VLMs to understand 133 instructions, but also reasoning (e.g., figuring out the "correct bowl" for a strawberry is one that 134 contains fruits); Palo et al. (2023) use a LM to reason about goal subtasks and a VLM to know when 135 a trajectory matches a subtask, automating the demonstration collection/labeling of Ahn et al. (2022), while Adeniji et al. (2023) use a similar approach to pretrain a language-conditioned RL policy that 136 is transferable to learning other tasks; and Shridhar et al. (2021) use CLIP to merge vision and text 137 instructions directly into a form that a Transporter (Zeng et al., 2020) policy can operationalize. 138 Nevertheless, these works primarily focus on instruction-following for robot manipulation. Our 139 approach instead prompts a VLM to supplement RL with representations of world knowledge, not 140 instructions. In addition, except for Adeniji et al. (2023), these works focus on behavior cloning (BC), 141 assuming access to demonstrations for policy learning, whereas our framework can be used for both 142 online RL and offline RL/BC.

143 144

3 PR2L: PROMPTABLE REPRESENTATIONS FOR REINFORCEMENT LEARNING

145 We adopt the standard framework of partially-observed Markov decision process in deep RL, wherein 146 the objective is to find a policy mapping states to actions that maximizes the expected returns. Our goal is to supplement RL with task-relevant information extracted from VLMs containing general-147 purpose knowledge. One way to index into this information is by prompting the model to get it 148 to produce semantic information relevant to a given control task. Therefore, our approach, PR2L, 149 queries a VLM with a task-relevant prompt for each visual observation received by the agent, and 150 receives both the decoded text and, critically, the intermediate representations, which we refer to 151 as promptable representations. Even though the decoded text might often not be correct or directly 152 usable for choosing the action, our key insight is that these VLM embeddings can still provide 153 useful semantic features for training control policies via RL. This recipe enables us to incorporate 154 semantic information without the need of re-training or fine-tuning a VLM to directly output actions, 155 as proposed by Brohan et al. (2023a). Note that our method is not an instruction-following method, 156 and it does not require a task instruction to perform well. Instead, our approach still learns control via 157 RL, while benefiting from the incorporation of *background context*. In this section, we will describe various components of our approach, accompanied by practical design choices and considerations. 158

- 159 160 3.1 PROMPTABLE REPRESENTATIONS
- 161 In principle, one can directly query a VLM to produce actions for a task given a visual observation. While this may work when high-level goals or subtasks are sufficient, VLMs are empirically poor at





171 172 173

174

175

Figure 2: Schematic of how we extract task-relevant features from the VLM and use them in a policy trained with RL. These representations can incorporate task context from the prompt, while generic image embeddings cannot. As generative VLM's embeddings can be variable length, the policy has a Transformer layer that takes in these embeddings and a "CLS" token, thereby condensing all inputs into a single summary vector.

176 yielding the low-level actions used commonly in RL (Huang et al., 2022a). As VLMs are trained to 177 follow instructions and answer questions about images, it is more appropriate to use these models to 178 extract and reason about *semantic features* about observations that are conducive to being linked to 179 actions. We thus elicit features that are useful for the downstream task by querying these VLMs with 180 *task-relevant prompts* that provide contextual task information, thereby causing the VLM to attend to 181 and interpret appropriate parts of observed images. Extracting these features naïvely by only using 182 the VLM's decoded text has its own challenges: such models often suffer from hallucinations (Ji et al., 183 2023) and an inability to report what they "know" in language, even when their embeddings contain such information (Kadavath et al., 2022; Hu and Levy, 2023). However, even when the text is bad, 185 the underlying *representations* still contain valuable granular world information that is potentially lost in the projection to language (Li et al., 2021; Wiedemann et al., 2019; Huang et al., 2023; Li et al., 2023b). Thus, we disregard the generated text and instead provide our policy the embeddings 187 produced by the VLM in response to prompts asking about relevant semantic features in observations 188 instead. 189

190 Which parts of the network can be used as promptable representations? The VLMs we consider are all based on the Transformer architecture (Vaswani et al., 2017), which treats the prompt, input 191 image(s), and decoded text as token sequences. This architecture provides a source of learned 192 representations by computing embeddings for each token at every layer based on the previous layer's 193 token embeddings. In terms of the generative VLM formalism introduced prior, a Transformer-based 194 VLM's representations $\phi_t(I, c, x_{1:t-1})$ consist of N embeddings per token (the outputs of the N 195 self-attention layers) in the input image I, prompt c, and decoded text $x_{1:t-1}$. The decoder $p(x_t|\phi_t)$ 196 extracts the final layer's embedding of the most recent token x_{t-1} , projecting it to a distribution over 197 the token vocabulary and allowing for it to be sampled. When given a visual observation and task prompt, the tokens representing the prompt, image, and answer consequently encode task-relevant 199 semantic information. Thus, for each observation, we use the VLM to sample a response to the task 200 prompt $x_{1:K} \sim p(x_{1:K}|I,c)$. We then use some or all of these token embeddings $\phi_K(I,c,x_{1:t-1})$ as 201 our promptable representations and feed them, along with any non-visual observation information, as a state representation into our neural policy trained with RL. 202

203

In summary, our approach involves creating a task-relevant prompt that provides context and auxiliary 204 information. This prompt, alongside the current visual observation from the environment, is fed 205 to into the VLM to generate tokens. While these tokens are used for decoding, they are ultimately 206 discarded. Instead, we utilize the *representations* produced by the VLM (associated with the image, 207 prompt, and decoded text) as input for our policy, which is trained via an off-the-shelf online RL algorithm to produce appropriate actions. A schematic of our approach is depicted in Figure 2 and a 208 code snippet example is presented in Appendix I. 209

210 211

3.2 DESIGN CHOICES FOR PR2L

212 To instantiate this idea, we need to make some concrete design choices in practice. First, the 213 representations of the VLM's decoded text depend on the chosen decoding scheme: greedy decoding is fast and deterministic, but may yield low-probability decoded tokens; beam search improves on this 214 by considering multiple "branches" of decoded text, at the cost of requiring more compute time (for 215 potentially small improvements); lastly, sampling-based decoding can quickly yield estimates of the

maximum likelihood answer, but at the cost of introducing stochasticity, which may increase variance.
 Given the inherent high-variance of our tasks (due to sparse rewards and partial observability) and
 the expense of VLM decoding, we opt for greedy decoding or fixed-seed sampling.

219 Second, one must choose which VLM layers' embeddings to utilize in the policy. While theoretically, 220 all layers of the VLM could be used, pre-trained Transformer models tend to encode valuable high-221 level semantic information in their later layers (Tenney et al., 2019; Jawahar et al., 2019). Thus, 222 we opt to only feed the final few layers' representations into our policy. As these representation 223 sequences are of variable length, we incorporate an encoder-decoder Transformer layer in the policy. 224 At each time step in a trajectory, this layer receives variable-length VLM representations, which 225 are attended to and converted into a fixed-length summarization by the embeddings of a learned 226 "CLS" token (Devlin et al., 2019) in the decoder (green in Figure 2). We also note that this policy can receive the observed image directly (e.g., after being embedded by the image encoder), so as 227 to not lose any visual information from being processed by the VLM. However, we do not do this 228 in our experiments in order to more clearly isolate and demonstrate the usefulness of the VLM's 229 representations in particular. 230

Finally, while it is possible to fine-tune the VLM for RL end-to-end with the policy (Brohan et al., 2023a), this incurs substantial compute, memory, and time overhead, particularly with larger VLMs.
Nonetheless, we find that our approach performs better than not using the language and prompting components of the VLM. This holds true even when the VLM is frozen, and only the policy is trained via RL, or when the decoded text occasionally fails to answer the task-specific prompt correctly.

236

237 3.3 TASK-RELEVANT PROMPT DESIGN

238 How do we design good prompts to elicit useful representations from VLMs? As we aim to 239 extract good state representations from the VLM for a downstream policy, we do not use instructions 240 or task descriptions, but task-relevant prompts: questions that make the VLM attend to and encode 241 semantic features in the image that are useful for the RL policy learning to solve the task (Borja-242 Diaz et al., 2022). For instance, if the task is to find a toilet within a house, appropriate prompts 243 include "What room is this?" and "Would a toilet be found here?" Intuitively, the answers to these 244 questions help determine good actions (e.g., look around the room or explore elsewhere), making the 245 corresponding representations good for representing the state for a policy. Answering the questions will require the VLM to attend to task-relevant features in the scene, relying on the model's internal 246 conception of what things look like and common-sense semantic relations. One can also prompt 247 the VLM to use chain of thought (Wei et al., 2023) to explain its generated text, often requiring 248 it to reason about task-relevant features in the image, resulting in further enrichment of the state 249 representations. Finally, prompts can provide helpful auxiliary information: e.g., one can describe 250 what certain entities of interest look like, aiding the VLM in detecting them even if they were not 251 commonly found in the model's pre-training data. 252

Note that prompts based on instructions or task descriptions do not enjoy the above properties: while
the goal of those prior methods is to be able to directly query the VLM for the optimal action, the
goal of task-relevant prompts is to produce a useful state representation, such that running RL with
them can accelerate learning an optimal policy. While the former is not possible without task-specific
training data for the VLM in the control task, the latter proves beneficial with off-the-shelf VLMs.

Evaluating and designing prompts for RL. Since the specific representations elicited from the VLM 258 are determined by the prompt, we want to design prompts that produce promptable representations 259 that maximize performance on the downstream task. The brute-force approach would involve running 260 RL with each candidate prompt to measure its efficacy, but this would be computationally very 261 expensive. In lieu of this, we evaluate candidate prompts on a small dataset of observations labeled 262 with semantic features of interest for the considered task. Example features include whether task-263 relevant entities are in the image, the relative position of said entities, or even actions (if expert 264 demonstrations are available). We test prompts by querying the VLM and checking how well the 265 resulting decoded text for each image matches ground truth labels. As this is only practical for small, 266 discrete spaces that are easily expressed in words, we see how well a small model can fit the VLM's 267 embeddings to the labels (akin to probing in self-supervised learning (Shi et al., 2016; Belinkov and Glass, 2019)). While this does not directly optimize for task performance, it does act as a proxy that 268 ensures a prompt's resulting representations encode certain semantic features which are helpful for 269 the task.

270 4 EXPERIMENTAL SETUPS 271

Our experiments analyze whether promptable representations from VLMs provide benefits to down-272 stream control, thus providing an effective vehicle for transferring Internet-scale knowledge to RL. 273 We aim to show that PR2L is a good source of state representations, even with our current VLMs 274 that are bad at reasoning about actions - as such models become more performant, we expect such 275 representations to be even better. We thus design experiments to answer the following: (1) Can 276 promptable representations obtained via task-specific prompts enable more performant and sample-277 efficient learning than those of non-promptable image encoders pre-trained for vision or control? (2) 278 How does PR2L compare to approaches that directly "ask" the VLM to generate good actions for a 279 task specified in the prompt? (3) How does PR2L fare against other popular learning approaches or 280 purely visual features in our domains of interest?

281 282 4.1 Domain 1: Minecraft

283 We first conduct experiments in Minecraft, which provides control tasks that require associating 284 visual observations with rich semantic information to succeed. Moreover, since these observations are distinct from the images in the the pre-training dataset of the VLM, succeeding on these tasks 285 relies crucially on the efficacy of the task-specific prompt in meaningfully affecting the learned 286 representation, enabling us to stress-test our method. E.g., while spiders in Minecraft somewhat 287 resemble real-life spiders, they exhibit stylistic exaggerations such as bright red eyes and a large black 288 body. If the task-specific prompt is indeed effective in informing the VLM of these facts, it would 289 produce a representation that is more conducive to policy learning and this would be reflected in 290 task performance. For this domain, we use the half-precision Vicuna-7B version of the InstructBLIP 291 instruction-tuned generative VLM (Dai et al., 2023; Chiang et al., 2023) to produce promptable 292 representations.

293 Minecraft tasks. We consider all programmatic Minecraft tasks evaluated by Fan et al. (2022): combat spider, milk cow, shear sheep, combat zombie, combat enderman, and combat pigman¹. The 295 remaining tasks considered by Fan et al. (2022) are creative tasks, which do not have programmatic 296 reward functions or success detectors, so we cannot directly train RL agents on them. We follow the 297 MineDojo definitions of observation/action spaces and reward function structures for these tasks: at 298 each time step, the policy observes an egocentric RGB image, its pose, and its previously action; 299 the policy can choose a discrete action to turn the agent by changing the agent's pitch and/or yaw in 300 discrete increments, move, attack, or use a held item. These tasks are long horizon, with a maximum 301 episode length of 500 - 1000 and taking roughly 200 steps for a learned policy to complete them. See Figure 3 for example observations and Appendix B.1 for more details. 302

303 **Comparisons.** We compare PR2L to five performant classes of approaches for RL in Minecraft: (a) 304 Methods using non-promptable representations of visual observations. This does not use prompting 305 altogether, instead using task-agnostic embeddings from the VLM's image encoder (specifically, the 306 ViT-g/14 from InstructBLIP - blue in Figure 2). While these representations are still pre-trained, 307 PR2L utilizes prompting to produce *task-specific* representations. For a fair comparison, we use 308 the *exact same* policy architecture and hyperparameters for this baseline as in PR2L, ensuring that performance differences come from prompting for better representations from the VLM. (b) Methods 309 that directly "asks" the VLM to output actions to execute on the agent. This adapts the approach of 310 Brohan et al. (2023a) to our setting and directly outputs the action from the VLM. While Brohan 311 et al. (2023a) also fine-tune the VLM backbone, we are unable to do so using our compute resources. 312 To compensate, we do not just execute the action from the VLM, but train an RL policy to map 313 this decoded action to a better one. Note that if the VLM already decodes good action texts, simply 314 copying over this action via RL should be easy. (c) Methods for efficient RL from pixels via model-315 based approaches. We choose Dreamer v3, since it has proven to be successful at learning Minecraft 316 tasks from scratch Hafner et al. (2023). (d) Methods leveraging pretrained representations specifically 317 useful for embodied control, though which are non-promptable and non-Minecraft specific. We 318 choose VC-1 and R3M Majumdar et al. (2023); Nair et al. (2022). (e) Methods using models pretrained on large-scale Minecraft data. These serve as "oracle" comparisons, as these representations 319 are explicitly fine-tuned on Minecraft YouTube videos, whereas our pre-trained VLM is both frozen 320 and not trained on any Minecraft video data. We choose MineCLIP, VPT, and STEVE-1 as our 321

322 323

¹ Fan et al. (2022) also consider *hunt cow/sheep*. However, we omit them as we were unable to replicate their results on those tasks; all approaches failed to learn them.

	PR2L Prompt	RT-2-style Baseline Prompt	Change Auxiliary Text Ablation Promp
Combat Spider	Spiders in Minecraft are black. Is there a spider in this image?	I want to fight a spider. I can attack, move, or turn. What should I do?	Is there a spider in this image?
Milk Cow	Is there a cow in this image?	I want to milk a cow. I can use my bucket, move, or turn. What should I do?	Cows in Minecraft are black and white. Is there a cow in this image?
Shear Sheep	Is there a sheep in this image?	I want to shear a sheep. I can use my shears, move, or turn. What should I do?	Sheep in Minecraft are usually white. Is there a sheep in this image?
Other Combat Tasks	Is there a [target entity] in this image?	I want to fight a [target entity]. I can attack, move, or turn. What should I do?	-

Table 1: Prompts used in Minecraft for querying the VLM with PR2L, comparison (b), and the change auxiliary text ablation. For the last column, we remove the auxiliary text for *combat spider*, and add it in for the other two.

sources of Minecraft-specific representations Fan et al. (2022); Baker et al. (2022); Lifshitz et al. (2023).

We use PPO (Schulman et al., 2017) as our base RL algorithm for all non-Dreamer Minecraft policies. We also note that we do *not* compare against non-RL methods, such as Voyager (which uses LLMs to write high-level code skills, abstracting away low-level control to hand-written APIs that use oracle information). See Appendix B.2 for training details and E.1 for further discussion of such non-learned systems.

340 4.2 DOMAIN 2: HABITAT

341 A major advantage of VLMs pre-trained on Internet-scale data is their reasoning and generalization 342 capabilities. To evaluate this, we run offline BC and RL experiments in the Habitat household 343 simulator. In contrast to Minecraft, tasks in this domain require connecting *naturalistic* images 344 with real-world common sense about the structure and contents of typical home environments. Our 345 experiments evaluate (1) whether PR2L confers the generalization properties of VLMs to our policies, 346 (2) whether PR2L-based policies can leverage the semantic reasoning capabilities of the underlying 347 VLM (e.g., via chain-of-thought Wei et al. (2023)), and (3) whether PR2L can learn entirely from stale, offline data sources. We use a Llama2-7B Prismatic VLM for the Habitat experiments Karamcheti 348 et al. (2024). 349

350 Habitat tasks. We consider the ObjectNav task suite in 3D scanned household scenes from the HM3D 351 dataset (Savva et al., 2019; Yadav et al., 2023a; Ramakrishnan et al., 2021). These tasks involve a 352 simulated robot traversing a home environment to find an instance of a specified object (toilet, bed, 353 sofa, television, plant, or chair) in the shortest path possible. The full benchmark consists of 80 household scenes intended to train the agent and 20 for validation. We change the observation space 354 to consist of just RGB vision, previous action, pose, and target object class, omitting depth images to 355 ensure that observed performance differences come from the quality of promptable representations vs. 356 unpromptable ones. Like with MineDojo, these tasks are long horizon, taking 80 steps for a privileged 357 shortest path follower to succeed and 150+ for humans. See Figure 3 for example observations and 358 Appendix C for more details. 359

Comparisons. To see if PR2L can leverage VLM reasoning capabilities, we train two PR2L policies, 360 one with and one without chain-of-thought prompting (see Section 4.3). We also train a policy 361 on Prismatic VLM image encoder embeddings (equivalent to Minecraft approach (a), but with 362 Dino+SigLIP Caron et al. (2021); Zhai et al. (2023)) on a human demonstration dataset collected 363 from the ObjectNav training scenes collected with Habitat-Web Ramrakhya et al. (2022) and used by 364 past works on large-scale BC on pre-trained visual representations Ramrakhya et al. (2023); Yadav et al. (2023b); Majumdar et al. (2023). As it previously achieved state-of-the-art performance among 366 those works, we also compare against two policies using VC-1 as an encoder (Majumdar et al., 367 2023), either using just its summarizing CLS token or using a learned Transformer layer to condense 368 its patch embeddings. We adopt the same LSTM-based recurrent architecture used by that work, 369 but replace the image embeddings with a learned Transformer layer that condenses our input token 370 embeddings (from the VLM, VLM image encoder, or VC-1) into a single summary embedding, as done with Minecraft. 371

Due to computational constraints, we train all policies on just under a tenth of the full dataset of
 77k trajectories/12M steps. In contrast, other works using this dataset train on the entire dataset.
 Nevertheless, we evaluate on the unseen validation scenes, thereby testing how well PR2L generalizes.

4.3 Designing Task-Specific Prompts for Minecraft and Habitat

We now discuss how to design prompts for PR2L. As noted in Section 3.3, these are not instructions or task descriptions, but prompts that force the VLM to encode semantic information useful for the

383

384

385

270										
3/0	Tack	DD11 (Ounc)		Bas	selines				Oracles	
379	185K	r K2L (Ours)	VLM Image Encoder	RT-2-style	Dreamer	VC-1	R3M	MineCLIP	VPT	STEVE-1
	Combat Spider	$\textbf{97.6} \pm \textbf{14.9}$	51.2 ± 9.3	71.5 ± 9.7	5.4 ± 1.1	72.2 ± 9.3	72.9 ± 8.7	176.9 ± 19.8	137.2 ± 19.2	88.8 ± 14.0
380	Milk Cow	$\textbf{223.4} \pm \textbf{35.4}$	95.2 ± 18.7	128.6 ± 28.9	24.0 ± 1.2	96.6 ± 16.3	100.0 ± 14.1	194.4 ± 33.3	85.5 ± 14.5	75.2 ± 15.4
	Shear Sheep	$\textbf{37.0} \pm \textbf{4.4}$	23.0 ± 3.6	26.2 ± 3.2	20.9 ± 1.2	26.5 ± 4.0	17.5 ± 2.4	23.1 ± 3.7	24.1 ± 2.9	18.2 ± 2.5
381	Combat Zombie	$\textbf{24.6} \pm \textbf{1.6}$	14.8 ± 2.0	18.2 ± 2.1	1.8 ± 0.2	5.6 ± 1.0	5.8 ± 1.4	56.6 ± 8.3	31.2 ± 3.2	23.6 ± 3.4
000	Combat Enderman	$\textbf{52.2} \pm \textbf{5.6}$	51.9 ± 6.8	44.6 ± 5.8	1.6 ± 0.5	27.2 ± 2.4	33.8 ± 3.8	72.1 ± 7.1	74.4 ± 13.2	59.3 ± 6.7
382	Combat Pigman	$\textbf{46.4} \pm \textbf{3.3}$	36.8 ± 3.7	35.1 ± 2.5	5.8 ± 1.5	33.7 ± 4.9	31.4 ± 4.2	189.0 ± 7.9	169.0 ± 7.8	98.3 ± 8.4

Table 2: Performance of PR2L, baseline, and oracle approaches in Minecraft tasks. Values reported are IQM successes and standard errors. PR2L universally outperforms all baselines. As they are trained on Minecraft-specific data, the oracles outperform PR2L in half the comparisons (italicized).

	1 1141	L (Ours)	VI M Imaga Encodor	VC-1	+ CLS	VC-1 + Patch Embeds	
Task (# Episodes)	With CoT	Without CoT	v Livi image Encouer	40 Epochs	120 Epochs	40 Epochs	120 Epochs
Average (2000)	41.9%	27.8%	11.6%	6.8%	8.9%	13.6%	15.8%
Toilet (398)	37.2%	22.9%	8.8%	2.8%	2.0%	7.0%	9.3%
Bed (433)	45.0%	28.9%	12.9%	6.7%	9.9%	14.8%	19.2%
Sofa (376)	48.1%	34.3%	11.7%	9.8%	14.4%	17.0%	19.4%
Chair (428)	51.2%	40.9%	17.5%	11.7%	15.0%	22.4%	23.8%
Television (281)	26.7%	10.3%	5.0%	2.8%	3.2%	4.6%	4.6%
<i>Plant</i> (84)	23.8%	8.3%	9.1%	1.2%	1.2%	9.5%	9.5%
	Average (2000) Toilet (398) Bed (433) Sofa (376) Chair (428) Television (281) Plant (84)	Average (2000) 41.9% Toilet (398) 37.2% Bed (433) 45.0% Sofa (376) 48.1% Chair (428) 51.2% Television (281) 26.7% Plant (84) 23.8%	Average (2000) 41.9% 27.8% Toilet (398) 37.2% 22.9% Bed (433) 45.0% 28.9% Sofa (376) 48.1% 34.3% Chair (428) 51.2% 40.9% Television (281) 26.7% 10.3% Plant (84) 23.8% 8.3%	Average (2000) 41.9% 27.8% 11.6% Toilet (398) 37.2% 22.9% 8.8% Bed (433) 45.0% 28.9% 12.9% Sofa (376) 48.1% 34.3% 11.7% Chair (428) 51.2% 40.9% 17.5% Television (281) 26.7% 10.3% 5.0% Plant (84) 23.8% 8.3% 9.1%	Average (2000) 41.9% 27.8% 11.6% 6.8% Toilet (398) 37.2% 22.9% 8.8% 2.8% Bed (433) 45.0% 28.9% 12.9% 6.7% Sofa (376) 48.1% 34.3% 11.7% 9.8% Chair (428) 51.2% 40.9% 17.5% 11.7% Television (281) 26.7% 10.3% 5.0% 2.8% Plant (84) 23.8% 8.3% 9.1% 1.2%	Average (2000) 41.9% 27.8% 11.6% 6.8% 8.9% Toilet (398) 37.2% 22.9% 8.8% 2.8% 2.0% Bed (433) 45.0% 28.9% 12.9% 6.7% 9.9% Sofa (376) 48.1% 34.3% 11.7% 9.8% 14.4% Chair (428) 51.2% 40.9% 17.5% 11.7% 15.0% Television (281) 26.7% 10.3% 5.0% 2.8% 3.2% Plant (84) 23.8% 8.3% 9.1% 1.2% 1.2%	Average (2000) 41.9% 27.8% 11.6% 6.8% 8.9% 13.6% Toilet (398) 37.2% 22.9% 8.8% 2.8% 2.0% 7.0% Bed (433) 45.0% 28.9% 12.9% 6.7% 9.9% 14.8% Sofa (376) 48.1% 34.3% 11.7% 9.8% 14.4% 17.0% Chair (428) 51.2% 40.9% 17.5% 11.7% 15.0% 22.4% Television (281) 26.7% 10.3% 5.0% 2.8% 3.2% 4.6% Plant (84) 23.8% 8.3% 9.1% 1.2% 1.2% 9.5%

394 Table 3: Performance of PR2L and baselines on Habitat ObjectNav tasks. Following prior works, values 395 reported are average success rates in unseen validation scenes. PR2L (with or without CoT) does better than 396 all other approaches. PR2L with CoT does the best, universally achieving more than double the performance of all non-PR2L approaches and 14.7% higher average performance than PR2L without CoT. Note that PR2L 397 and image encoder policies were trained for 40 epochs, but VC-1 policies' performance saturated at 120, so we 398 report their performance at both times.

399 task in its representation. The simplest relevant feature for our Minecraft tasks is the presence of the 400 target entity in an observation. Thus, we choose "Is there a [target entity] in this image?" as the base 401 of our chosen prompt. We also pick two alternate prompts per task that prepend different amounts of 402 auxiliary information about the target entity. E.g., for combat spider, one candidate is "Spiders in 403 Minecraft are black." To choose between these candidates, we measure how well the VLM is able to decode a correct answer to the prompt question of whether or not the target entity is present in 404 the image on a small annotated dataset. Full details of this prompt evaluation scheme for the first 405 three Minecraft tasks are presented in Appendix A and Table 5. We find that auxiliary text only helps 406 with detecting spiders while systematically and significantly degrading the detection of sheep and 407 cows. Our ablations show that this detection success rate metric correlates with performance of the 408 RL policy. Additionally, the prompts used for comparison (b) follow the prompt structure prescribed 409 by Brohan et al. (2023a), which motivated this comparison. In these prompts, we also provide a list 410 of actions that the VLM can choose from to the policy. All chosen prompts are presented in Table 1. 411

For Habitat, we choose the prompt "Would a [target object] be found here? Why or why not?" As 412 opposed to the Minecraft prompts, this does not just identify the presence of a target object in the 413 image, but draws on general knowledge from the VLM to determine if the observed location would 414 contain the target object, even if said object is not in view. The second part of the prompt then leads 415 the VLM to provide a chain of thought (CoT) (Wei et al., 2023) rationale for its final answer. This 416 CoT draws out task-relevant VLM world knowledge by explicitly reasoning about visual semantic 417 concepts, that are useful to learning a policy (see Table 4). To investigate if PR2L enables embodied 418 agents to benefit from these VLM common-sense reasoning capabilities (even if they do not directly 419 reason about actions), we train PR2L policies both with and without the second part of the prompt.

420 5 RESULTS 421

422 Minecraft results. We report the interquartile mean (IQM) and standard error number of successes over 16 seeds for all Minecraft tasks in Table 2. PR2L uniformly outperforms the non-oracle 423 approaches of (a) using non-promptable image embeddings, (b) directly asking the VLM for actions, 424 (c) learning from scratch Dreamer, and (d) using non-promptable control-specific embeddings. 425

426 PR2L outperforms (a) the VLM image encoder baseline, even though both approaches receive 427 the same visual features, with PR2L simply transforming those features via prompting an LLM 428 (with no additional information from the environment), thus supporting that prompting does shape 429 representations in a beneficial way for learning control tasks. We provide an analysis of why PR2L states are better than (b) **RT-2-style** ones in Appendix H.1. We observe that PR2L embeddings are 430 bimodally distributed, with transitions leading to high reward clustered at one mode. This structure 431 likely enables more efficient learning, thereby showing how control tasks can benefit from extracting

432 prior knowledge encoded in VLMs by prompting them with task context, even when the VLM does 433 not know how to act. For (c) the model-based comparisons, we find that Dreamer is not as conducive 434 at learning our Minecraft tasks. We hypothesize this is because our tasks are comparatively shorter 435 than the ones considered by Hafner et al. (2023), so learning a model is less beneficial (while PR2L 436 provides immediately-useful representations). Additionally, we note that all our approaches involve interacting with partially-observable, non-stationary entities, which the Dreamer model may have a 437 hard time learning. See Appendix E.2 for further discussion. Finally, (e) the oracles outperform PR2L 438 in combat enderman/pigman, all but STEVE-1 do better in combat spider/zombie, and none do better 439 in *shear sheep/milk cow*. We hypothesize this is because endermen and pigmen are Minecraft-specific 440 entities, giving rise to comparatively poor representations in the VLM (which is trained exclusively 441 on natural images). In contrast, Minecraft zombies/spiders are heavily stylized, but still somewhat 442 resemble other depictions of such creatures, while Minecraft cows and sheep are the closest to their 443 naturalistic counterparts, making PR2L more effective. Even though our VLM is not trained on 444 Minecraft data, its representations yield better policies in half the oracle comparisons. 445

We provide ablations in Table 8 and Appendix F. We find that (1) PR2L performs worse when it is unprompted or does not decode text, (2) our prompt evaluation scheme successfully identified cases where auxiliary text improves/degrades performance, and (3) a policy with oracle entity detection does worse than PR2L, suggesting our prompt is not just eliciting that feature from the VLM.

Habitat results. Following prior works, we report success rates on the ObjectNav validation 450 episodes in Table 3. PR2L with CoT outperforms all other policies on all tasks, including an almost 451 $4 \times$ performance increase over the VLM image encoder baselines – again, suggesting that using 452 promptable representations for control improves over the base purely-visual embeddings. While 453 PR2L without CoT still does better than all baselines, we find CoT prompting improves policy 454 performance (by 1.5×, from 27.8% success rate to 41.9%), likely because it provides the policy with 455 useful generalizable features: e.g., even if the agent comes across an unfamiliar room while searching 456 for a toilet, it still knows to look elsewhere if the VLM reasons that, due to the presence of a bed, the 457 room is likely a bedroom (which is unlikely to contain toilets). Thus, even if the VLM cannot reason 458 about actions, our results indicate that PR2L provides a promising way of using its ability to reason 459 about image semantics and common sense for control. See Table 4 for CoT examples.

While we do not beat VC-1's reported SOTA BC performance (60.3% success rate when VC-1 is frozen Majumdar et al. (2023)), we note that said performance is achieved with (1) over ten times more training data and gradient steps and (2) image augmentations to prevent overfitting. Our VC-1 policies were trained on the same amount of data as our PR2L agent and for 1-3× as many gradient steps, but perform far worse, suggesting that PR2L is significantly more sample- and compute-efficient than VC-1 policies. Additionally, PR2L does not use any explicit countermeasures to overfitting, yet still generalizes well to unseen ObjectNav scenes (aided by the VLM's representations of reasoning).

467

468

Finally, we analyze policies trained with offline RL in a simplified Habitat setting in Appendices D, H, where we find that VLM representations align well with the returns of an optimal policy.

Farget	Example VLM Responses to "Would a [target] be found here? Why or why not?"								
	"No, a toilet is not found in this room as it is a bedroom. Toilets are typically found in bathrooms.								
Toilet	The image shows a large bed with a wooden headboard, nightstands, and lamps ."								
	"Yes, a toilet would be found in this room because it is a bathroom.								
	The presence of a toilet in the image is expected, as bathrooms are designed to accommodate toilets for personal hygiene ."								
	"No, a bed would not be found in this room because it is a dining room.								
Bed	The presence of a dining table and chairs suggests that this room is designed for eating meals and socializing, rather than sleeping								
	"Yes, a bed would be found here because the room is described as a bedroom.								
	It has a bed, a television, a lamp, and a dresser, all of which are common furnishings in a bedroom."								
	"No, a sofa is not found here because it is a kitchen area with appliances and cabinets.								
Sofa	Sofas are typically found in living rooms or other common areas ."								
	"Yes, there is a black leather sofa in the living room. It has a red pillow on it. It is a large sectional couch."								

Table 4: Example VLM responses to the Habitat prompt for various images. Beyond just detecting the target,
 prompting the VLM for CoT elicits relevant common sense, which it semantically relates to other useful visual
 features. By using the underlying VLM embeddings as a state representation, the policy thus integrates the
 VLM's knowledge and reasoning into its decision-making.

486 6 CONCLUSION

We propose Promptable Representations for Reinforcement Learning, a method for extracting se-488 mantic features from images by prompting VLMs with task context to leverage their extensive 489 general-purpose prior knowledge. We demonstrate PR2L in Minecraft and Habitat, domains that 490 benefit from interpreting observations in terms of semantic concepts that can be related to task context. 491 This framework for using VLMs for control opens new directions. For example, other types of 492 foundation models pre-trained with more sophisticated methods could also be used for PR2L: e.g., 493 ones trained on physical interactions might yield features which encode physics or action knowledge, 494 rather than just common-sense visual semantics. Developing and using such models with PR2L offers an exciting way to transfer diverse prior knowledge to a broad range of control applications. 495

496 A limitation of PR2L is that prompts are currently hand-crafted based on the user's conception of 497 useful task features. While coming up with good prompts for our tasks was not hard, the process 498 of evaluating and improving them could be automated, which we leave to future works. We also 499 find that the quality of representations largely depends on the VLM - e.g., InstructBLIP could not 500 reason well about Habitat scenes, but the more recent Prismatic VLMs are more capable in that regard, enabling our CoT experiments. Thus, as VLM capabilities are expected to increase, we expect 501 the quality of their representations to also improve. Lastly, the size and speed of VLMs can limit 502 their applicability. Our policies typically achieve 3-5 Hz inference speeds, comparable to those of 503 robot policies built on large models Brohan et al. (2023b;a); O.M.T. et al. (2023). Likewise, our 504 VLM sizes are comparable to models used for policies in prior works (Brohan et al., 2023a; Szot 505 et al., 2024). While their inference speeds may hinder online policy learning, we find that offline 506 approaches (which can parallelize training and data generation) we used for Habitat help remedy this. 507

References

508

509

526

527

528

529

530

531

532

533

- A. Adeniji, A. Xie, C. Sferrazza, Y. Seo, S. James, and P. Abbeel. Language reward modulation for pretraining reinforcement learning, 2023.
- M. Ahn, A. Brohan, N. Brown, Y. Chebotar, O. Cortes, B. David, C. Finn, C. Fu, K. Gopalakrishnan,
 K. Hausman, A. Herzog, D. Ho, J. Hsu, J. Ibarz, B. Ichter, A. Irpan, E. Jang, R. J. Ruano, K. Jeffrey,
 S. Jesmonth, N. Joshi, R. Julian, D. Kalashnikov, Y. Kuang, K.-H. Lee, S. Levine, Y. Lu, L. Luu,
 C. Parada, P. Pastor, J. Quiambao, K. Rao, J. Rettinghouse, D. Reyes, P. Sermanet, N. Sievers,
 C. Tan, A. Toshev, V. Vanhoucke, F. Xia, T. Xiao, P. Xu, S. Xu, M. Yan, and A. Zeng. Do as i can
 and not as i say: Grounding language in robotic affordances. 2022.
- B. Baker, I. Akkaya, P. Zhokhov, J. Huizinga, J. Tang, A. Ecoffet, B. Houghton, R. Sampedro, and J. Clune. Video pretraining (vpt): Learning to act by watching unlabeled online videos, 2022.
- Y. Belinkov and J. Glass. Analysis methods in neural language processing: A survey, 2019.
- J. Borja-Diaz, O. Mees, G. Kalweit, L. Hermann, J. Boedecker, and W. Burgard. Affordance
 learning from play for sample-efficient policy learning. In *Proceedings of the IEEE International Conference on Robotics and Automation (ICRA)*, Philadelphia, USA, 2022.
 - A. Brohan, N. Brown, J. Carbajal, Y. Chebotar, X. Chen, K. Choromanski, T. Ding, D. Driess, A. Dubey, C. Finn, P. Florence, C. Fu, M. G. Arenas, K. Gopalakrishnan, K. Han, K. Hausman, A. Herzog, J. Hsu, B. Ichter, A. Irpan, N. Joshi, R. Julian, D. Kalashnikov, Y. Kuang, I. Leal, L. Lee, T.-W. E. Lee, S. Levine, Y. Lu, H. Michalewski, I. Mordatch, K. Pertsch, K. Rao, K. Reymann, M. Ryoo, G. Salazar, P. Sanketi, P. Sermanet, J. Singh, A. Singh, R. Soricut, H. Tran, V. Vanhoucke, Q. Vuong, A. Wahid, S. Welker, P. Wohlhart, J. Wu, F. Xia, T. Xiao, P. Xu, S. Xu, T. Yu, and B. Zitkovich. Rt-2: Vision-language-action models transfer web knowledge to robotic control, 2023a.
- A. Brohan, N. Brown, J. Carbajal, Y. Chebotar, J. Dabis, C. Finn, K. Gopalakrishnan, K. Hausman, A. Herzog, J. Hsu, J. Ibarz, B. Ichter, A. Irpan, T. Jackson, S. Jesmonth, N. J. Joshi, R. Julian, D. Kalashnikov, Y. Kuang, I. Leal, K.-H. Lee, S. Levine, Y. Lu, U. Malla, D. Manjunath, I. Mordatch, O. Nachum, C. Parada, J. Peralta, E. Perez, K. Pertsch, J. Quiambao, K. Rao, M. Ryoo, G. Salazar, P. Sanketi, K. Sayed, J. Singh, S. Sontakke, A. Stone, C. Tan, H. Tran, V. Vanhoucke, S. Vega, Q. Vuong, F. Xia, T. Xiao, P. Xu, S. Xu, T. Yu, and B. Zitkovich. Rt-1: Robotics transformer for real-world control at scale, 2023b.

540 541 542 543 544 545 546 547	T. Brown, B. Mann, N. Ryder, M. Subbiah, J. D. Kaplan, P. Dhariwal, A. Neelakantan, P. Shyam, G. Sastry, A. Askell, S. Agarwal, A. Herbert-Voss, G. Krueger, T. Henighan, R. Child, A. Ramesh, D. Ziegler, J. Wu, C. Winter, C. Hesse, M. Chen, E. Sigler, M. Litwin, S. Gray, B. Chess, J. Clark, C. Berner, S. McCandlish, A. Radford, I. Sutskever, and D. Amodei. Language models are few-shot learners. In H. Larochelle, M. Ranzato, R. Hadsell, M. Balcan, and H. Lin, editors, <i>Advances in Neural Information Processing Systems</i> , volume 33, pages 1877–1901. Curran Associates, Inc., 2020. URL https://proceedings.neurips.cc/paper_files/paper/2020/file/1457c0d6bfcb4967418bfb8ac142f64a-Paper.pdf.
548 549	A. Bucker, L. Figueredo, S. Haddadin, A. Kapoor, S. Ma, S. Vemprala, and R. Bonatti. Latte: Language trajectory transformer, 2022.
555 552	S. Cai, Z. Wang, X. Ma, A. Liu, and Y. Liang. Open-world multi-task control through goal-aware representation learning and adaptive horizon prediction, 2023.
553 554	M. Caron, H. Touvron, I. Misra, H. Jégou, J. Mairal, P. Bojanowski, and A. Joulin. Emerging properties in self-supervised vision transformers, 2021.
555 556 557 558	WL. Chiang, Z. Li, Z. Lin, Y. Sheng, Z. Wu, H. Zhang, L. Zheng, S. Zhuang, Y. Zhuang, J. E. Gonzalez, I. Stoica, and E. P. Xing. Vicuna: An open-source chatbot impressing gpt-4 with 90%* chatgpt quality, March 2023. URL https://lmsys.org/blog/2023-03-30-vicuna/.
559 560	W. Dabney, M. Rowland, M. G. Bellemare, and R. Munos. Distributional reinforcement learning with quantile regression, 2017.
561 562	W. Dai, J. Li, D. Li, A. M. H. Tiong, J. Zhao, W. Wang, B. Li, P. Fung, and S. Hoi. Instructblip: Towards general-purpose vision-language models with instruction tuning, 2023.
563 564 565	J. Devlin, MW. Chang, K. Lee, and K. Toutanova. Bert: Pre-training of deep bidirectional transformers for language understanding, 2019.
566 567	Z. Ding, H. Luo, K. Li, J. Yue, T. Huang, and Z. Lu. Clip4mc: An rl-friendly vision-language model for minecraft, 2023.
568 569 570	Y. Du, O. Watkins, Z. Wang, C. Colas, T. Darrell, P. Abbeel, A. Gupta, and J. Andreas. Guiding pretraining in reinforcement learning with large language models, 2023.
571 572 573	K. Ehsani, T. Gupta, R. Hendrix, J. Salvador, L. Weihs, KH. Zeng, K. P. Singh, Y. Kim, W. Han, A. Herrasti, R. Krishna, D. Schwenk, E. VanderBilt, and A. Kembhavi. Imitating shortest paths in simulation enables effective navigation and manipulation in the real world, 2023.
574 575 576	L. Fan, G. Wang, Y. Jiang, A. Mandlekar, Y. Yang, H. Zhu, A. Tang, DA. Huang, Y. Zhu, and A. Anandkumar. Minedojo: Building open-ended embodied agents with internet-scale knowledge. In <i>Neural Information Processing Systems</i> , 2022, 2022.
577 578 579	D. Hafner, J. Pasukonis, J. Ba, and T. Lillicrap. Mastering diverse domains through world models, 2023.
580 581	J. Hu and R. Levy. Prompt-based methods may underestimate large language models' linguistic generalizations, 2023.
582 583 584 585	C. Huang, O. Mees, A. Zeng, and W. Burgard. Visual language maps for robot navigation. In <i>Proceedings of the IEEE International Conference on Robotics and Automation (ICRA)</i> , London, UK, 2023.
586 587	W. Huang, P. Abbeel, D. Pathak, and I. Mordatch. Language models as zero-shot planners: Extracting actionable knowledge for embodied agents, 2022a.
588 589 590 591	W. Huang, F. Xia, T. Xiao, H. Chan, J. Liang, P. Florence, A. Zeng, J. Tompson, I. Mordatch, Y. Chebotar, P. Sermanet, N. Brown, T. Jackson, L. Luu, S. Levine, K. Hausman, and B. Ichter. Inner monologue: Embodied reasoning through planning with language models, 2022b.
592 593	G. Jawahar, B. Sagot, and D. Seddah. What does BERT learn about the structure of language? In <i>Proceedings of the 57th Annual Meeting of the Association for Computational Linguistics</i> , Florence, Italy, 2019. Association for Computational Linguistics.

594 595 596	Z. Ji, N. Lee, R. Frieske, T. Yu, D. Su, Y. Xu, E. Ishii, Y. J. Bang, A. Madotto, and P. Fung. Survey of hallucination in natural language generation. <i>ACM Computing Surveys</i> , 55(12):1–38, mar 2023.
597 598 599 600 601	S. Kadavath, T. Conerly, A. Askell, T. Henighan, D. Drain, E. Perez, N. Schiefer, Z. Hatfield-Dodds, N. DasSarma, E. Tran-Johnson, S. Johnston, S. El-Showk, A. Jones, N. Elhage, T. Hume, A. Chen, Y. Bai, S. Bowman, S. Fort, D. Ganguli, D. Hernandez, J. Jacobson, J. Kernion, S. Kravec, L. Lovitt, K. Ndousse, C. Olsson, S. Ringer, D. Amodei, T. Brown, J. Clark, N. Joseph, B. Mann, S. McCandlish, C. Olah, and J. Kaplan. Language models (mostly) know what they know, 2022.
602 603 604 605	A. Kanervisto, S. Milani, K. Ramanauskas, N. Topin, Z. Lin, J. Li, J. Shi, D. Ye, Q. Fu, W. Yang, W. Hong, Z. Huang, H. Chen, G. Zeng, Y. Lin, V. Micheli, E. Alonso, F. Fleuret, A. Nikulin, Y. Belousov, O. Svidchenko, and A. Shpilman. Minerl diamond 2021 competition: Overview, results, and lessons learned, 2022.
607 608	S. Karamcheti, S. Nair, A. Balakrishna, P. Liang, T. Kollar, and D. Sadigh. Prismatic vlms: Investi- gating the design space of visually-conditioned language models, 2024.
609 610 611	A. Kirillov, E. Mintun, N. Ravi, H. Mao, C. Rolland, L. Gustafson, T. Xiao, S. Whitehead, A. C. Berg, WY. Lo, P. Dollár, and R. Girshick. Segment anything, 2023.
612 613	A. Kumar, A. Zhou, G. Tucker, and S. Levine. Conservative q-learning for offline reinforcement learning, 2020.
614 615 616	B. Z. Li, M. Nye, and J. Andreas. Implicit representations of meaning in neural language models, 2021.
617 618	J. Li, D. Li, C. Xiong, and S. Hoi. Blip: Bootstrapping language-image pre-training for unified vision-language understanding and generation, 2022.
620 621	J. Li, D. Li, S. Savarese, and S. Hoi. Blip-2: Bootstrapping language-image pre-training with frozen image encoders and large language models, 2023a.
622 623 624	K. Li, A. K. Hopkins, D. Bau, F. Viégas, H. Pfister, and M. Wattenberg. Emergent world representa- tions: Exploring a sequence model trained on a synthetic task, 2023b.
625 626	J. Liang, W. Huang, F. Xia, P. Xu, K. Hausman, B. Ichter, P. Florence, and A. Zeng. Code as policies: Language model programs for embodied control, 2023.
627 628 629	S. Lifshitz, K. Paster, H. Chan, J. Ba, and S. McIlraith. Steve-1: A generative model for text-to- behavior in minecraft, 2023.
630 631 632	H. Lin, Z. Wang, J. Ma, and Y. Liang. Mcu: A task-centric framework for open-ended agent evaluation in minecraft, 2023a.
633 634	J. Lin, Y. Du, O. Watkins, D. Hafner, P. Abbeel, D. Klein, and A. Dragan. Learning to model the world with language. 2023b.
635 636 637	H. Liu, L. Lee, K. Lee, and P. Abbeel. Instruction-following agents with multimodal transformer, 2023.
638 639	H. Luo, A. Yue, ZW. Hong, and P. Agrawal. Stubborn: A strong baseline for indoor object navigation, 2022.
640 641	C. Lynch and P. Sermanet. Language conditioned imitation learning over unstructured data, 2021.
642 643 644 645	A. Majumdar, K. Yadav, S. Arnaud, Y. J. Ma, C. Chen, S. Silwal, A. Jain, VP. Berges, P. Abbeel, J. Malik, D. Batra, Y. Lin, O. Maksymets, A. Rajeswaran, and F. Meier. Where are we in the search for an artificial visual cortex for embodied intelligence?, 2023.
646 647	O. Mees, J. Borja-Diaz, and W. Burgard. Grounding language with visual affordances over unstruc- tured data. In <i>Proceedings of the IEEE International Conference on Robotics and Automation</i> (<i>ICRA</i>), London, UK, 2023.

662

663

670

674

675

676

677

678

679

680 681

682

683

684

685 686

687

688

689

690

691 692

693

694

695 696

697

699

- V. Myers, A. He, K. Fang, H. Walke, P. Hansen-Estruch, C.-A. Cheng, M. Jalobeanu, A. Kolobov, A. Dragan, and S. Levine. Goal representations for instruction following: A semi-supervised language interface to control, 2023.
- S. Nair, A. Rajeswaran, V. Kumar, C. Finn, and A. Gupta. R3m: A universal visual representation for robot manipulation, 2022.
- K. Narasimhan, R. Barzilay, and T. Jaakkola. Grounding language for transfer in deep reinforcement learning, 2018.
- K. Nottingham, P. Ammanabrolu, A. Suhr, Y. Choi, H. Hajishirzi, S. Singh, and R. Fox. Do
 embodied agents dream of pixelated sheep: Embodied decision making using language guided
 world modelling, 2023.
 - O.M.T., D. Ghosh, H. Walke, K. Pertsch, K. Black, O. Mees, S. Dasari, J. Hejna, C. Xu, J. Luo, T. Kreiman, Y. Tan, D. Sadigh, C. Finn, and S. Levine. Octo: An open-source generalist robot policy. https://octo-models.github.io, 2023.
- L. Ouyang, J. Wu, X. Jiang, D. Almeida, C. L. Wainwright, P. Mishkin, C. Zhang, S. Agarwal,
 K. Slama, A. Ray, J. Schulman, J. Hilton, F. Kelton, L. Miller, M. Simens, A. Askell, P. Welinder,
 P. Christiano, J. Leike, and R. Lowe. Training language models to follow instructions with human feedback, 2022.
- N. D. Palo, A. Byravan, L. Hasenclever, M. Wulfmeier, N. Heess, and M. Riedmiller. Towards a unified agent with foundation models, 2023.
- A. Radford, J. W. Kim, C. Hallacy, A. Ramesh, G. Goh, S. Agarwal, G. Sastry, A. Askell, P. Mishkin, J. Clark, G. Krueger, and I. Sutskever. Learning transferable visual models from natural language supervision, 2021.
 - A. Raffin, A. Hill, A. Gleave, A. Kanervisto, M. Ernestus, and N. Dormann. Stable-baselines3: Reliable reinforcement learning implementations. *Journal of Machine Learning Research*, 22 (268):1–8, 2021. URL http://jmlr.org/papers/v22/20-1364.html.
 - S. K. Ramakrishnan, A. Gokaslan, E. Wijmans, O. Maksymets, A. Clegg, J. Turner, E. Undersander, W. Galuba, A. Westbury, A. X. Chang, M. Savva, Y. Zhao, and D. Batra. Habitat-matterport 3d dataset (hm3d): 1000 large-scale 3d environments for embodied ai, 2021.
 - R. Ramrakhya, E. Undersander, D. Batra, and A. Das. Habitat-web: Learning embodied object-search strategies from human demonstrations at scale, 2022.
 - R. Ramrakhya, D. Batra, E. Wijmans, and A. Das. Pirlnav: Pretraining with imitation and rl finetuning for objectnav, 2023.
 - R. Rombach, A. Blattmann, D. Lorenz, P. Esser, and B. Ommer. High-resolution image synthesis with latent diffusion models, 2022.
 - M. Savva, A. Kadian, O. Maksymets, Y. Zhao, E. Wijmans, B. Jain, J. Straub, J. Liu, V. Koltun, J. Malik, D. Parikh, and D. Batra. Habitat: A Platform for Embodied AI Research. In *Proceedings* of the IEEE/CVF International Conference on Computer Vision (ICCV), 2019.
 - J. Schulman, F. Wolski, P. Dhariwal, A. Radford, and O. Klimov. Proximal policy optimization algorithms, 2017.
 - P. Sharma, A. Torralba, and J. Andreas. Skill induction and planning with latent language, 2022.
 - P. Sharma, B. Sundaralingam, V. Blukis, C. Paxton, T. Hermans, A. Torralba, J. Andreas, and D. Fox. Correcting robot plans with natural language feedback. In *Robotics: Science and Systems*, 2022, 2023.
- X. Shi, I. Padhi, and K. Knight. Does string-based neural MT learn source syntax? In *Proceedings of the 2016 Conference on Empirical Methods in Natural Language Processing*, pages 1526–1534, Nov. 2016.

702 703 704	M. Shridhar, L. Manuelli, and D. Fox. Cliport: What and where pathways for robotic manipulation. In <i>Proceedings of the 5th Conference on Robot Learning (CoRL)</i> , 2021.
704 705 706	I. Singh, V. Blukis, A. Mousavian, A. Goyal, D. Xu, J. Tremblay, D. Fox, J. Thomason, and A. Garg. Progprompt: Generating situated robot task plans using large language models, 2022.
707 708 709	A. Szot, M. Schwarzer, H. Agrawal, B. Mazoure, W. Talbott, K. Metcalf, N. Mackraz, D. Hjelm, and A. Toshev. Large language models as generalizable policies for embodied tasks, 2024.
710	I. Tenney, D. Das, and E. Pavlick. Bert rediscovers the classical nlp pipeline, 2019.
711 712 713	A. Vaswani, N. Shazeer, N. Parmar, J. Uszkoreit, L. Jones, A. N. Gomez, L. Kaiser, and I. Polosukhin. Attention is all you need, 2017.
714 715	S. Vemprala, R. Bonatti, A. Bucker, and A. Kapoor. Chatgpt for robotics: Design principles and model abilities. Technical report, Microsoft, 2023.
716 717 718	G. Wang, Y. Xie, Y. Jiang, A. Mandlekar, C. Xiao, Y. Zhu, L. Fan, and A. Anandkumar. Voyager: An open-ended embodied agent with large language models, 2023a.
719 720	Z. Wang, S. Cai, G. Chen, A. Liu, X. Ma, and Y. Liang. Describe, explain, plan and select: Interactive planning with large language models enables open-world multi-task agents, 2023b.
721 722 723	J. Wei, X. Wang, D. Schuurmans, M. Bosma, B. Ichter, F. Xia, E. Chi, Q. Le, and D. Zhou. Chain-of-thought prompting elicits reasoning in large language models, 2023.
724 725	G. Wiedemann, S. Remus, A. Chawla, and C. Biemann. Does bert make any sense? interpretable word sense disambiguation with contextualized embeddings, 2019.
726 727 728 729	K. Yadav, J. Krantz, R. Ramrakhya, S. K. Ramakrishnan, J. Yang, A. Wang, J. Turner, A. Gokaslan, VP. Berges, R. Mootaghi, O. Maksymets, A. X. Chang, M. Savva, A. Clegg, D. S. Chaplot, and D. Batra. Habitat challenge 2023. https://aihabitat.org/challenge/2023/, 2023a.
730 731	K. Yadav, A. Majumdar, R. Ramrakhya, N. Yokoyama, A. Baevski, Z. Kira, O. Maksymets, and D. Batra. Ovrl-v2: A simple state-of-art baseline for imagenav and objectnav, 2023b.
732 733 734	H. Yuan, C. Zhang, H. Wang, F. Xie, P. Cai, H. Dong, and Z. Lu. Plan4mc: Skill reinforcement learning and planning for open-world minecraft tasks, 2023.
735 736 737	A. Zeng, P. Florence, J. Tompson, S. Welker, J. Chien, M. Attarian, T. Armstrong, I. Krasin, D. Duong, V. Sindhwani, and J. Lee. Transporter networks: Rearranging the visual world for robotic manipulation. <i>Conference on Robot Learning (CoRL)</i> , 2020.
738 739 740	A. Zeng, M. Attarian, B. Ichter, K. Choromanski, A. Wong, S. Welker, F. Tombari, A. Purohit, M. Ryoo, V. Sindhwani, J. Lee, V. Vanhoucke, and P. Florence. Socratic models: Composing zero-shot multimodal reasoning with language, 2022.
741 742 743	X. Zhai, B. Mustafa, A. Kolesnikov, and L. Beyer. Sigmoid loss for language image pre-training, 2023.
744 745	B. Zhou, K. Li, J. Jiang, and Z. Lu. Learning from visual observation via offline pretrained state-to-go transformer, 2023.
746 747 748	M. Zhu, Y. Li, and T. Kong. Integrating map-based method with end-to-end learning, 2022. URL https://www.youtube.com/watch?v=N-wW3TwEqbU.
749 750 751 752 753	X. Zhu, Y. Chen, H. Tian, C. Tao, W. Su, C. Yang, G. Huang, B. Li, L. Lu, X. Wang, Y. Qiao, Z. Zhang, and J. Dai. Ghost in the minecraft: Generally capable agents for open-world environments via large language models with text-based knowledge and memory, 2023.
754	

Target Entity	Prompt	True Positive Rate	True Negative Rate	
	"Is there a spider in this image?"	22.27%	100.00%	
Spider	"Spiders in Minecraft are black. Is there a spider in this image?"	73.42%	94.54%	
	"Spiders in Minecraft are black and have red eyes and long, thin legs. Is there a spider in this image?"	50.50%	99.85%	
	"Is there a cow in this image?"	71.00%	45.41%	
Cow	"Cows in Minecraft are black and white. Is there a cow in this image?"	98.22%	2.00%	
	"Cows in Minecraft are black and white and have four legs. Is there a cow in this image?"	96.67%	7.35%	
	"Is there a sheep in this image?"	88.00%	59.83%	
Sheep	"Sheep in Minecraft are white. Is there a sheep in this image?"	100.00%	0.00%	
	"Sheep in Minecraft are white and have four legs. Is there a sheep in this image?"	100.00%	0.00%	

Table 5: InstructBLIP's performance at decoding text indicating that it detected the presence of a target entity when given different prompts. We use this as a proxy metric for prompt engineering for RL, allowing us to determine which prompt to use for PR2L.

776 777 778

779

774

775

756

A PROMPT EVALUATION FOR RL IN MINECRAFT

780 We discuss how to evaluate prompts to use with PR2L, by showcasing an example for a Minecraft 781 task. We start by noting that the presence and relative location of the entity of interest for each task 782 (i.e., spiders, sheep, or cows) are good features for the policy to have. To evaluate if a prompt elicits 783 these features from the VLM, we collect a small dataset of videos in which each Minecraft entity 784 of interest is on the left, right, middle, or not on screen for the entirety of the clip. Each video is 785 collected by a human player screen recording visual observations from Minecraft of the entity from different angles for around 30 seconds at 30 frames per second (with the exception of the video where 786 the entity is not present, which is a minute long). 787

788 We propose prompts that target each of the two features we labeled. First, we evaluate prompts that ask "Is there a(n) [entity] in this image?" As the answers to these questions are just yes/no, we see 789 790 how well the VLM can directly generate the correct answer for each frame in the collected videos. The VLM should answer "yes" for frames in the three videos where the target entity is on the left, 791 right, or middle of the screen and "no" for the final video. Second, we evaluate if our prompts can 792 extract the entity's relative position (left, right, or middle) in the videos where it is present. We 793 note that the prompts we tried could not extract this feature in the decoded text (e.g., asking "Is the 794 [entity] on the left, right, or middle of the screen?" will always cause the VLM to decode the same 795 text). Thus, we try to see if this feature can be extracted from the decoded texts' representations. We 796 measure this by fitting a three-category linear classifier of the entity's position given the token-wise 797 *mean* of the decoded tokens' final embeddings. This is an unsophisticated and unexpressive classifier, 798 i.e., we do not have to worry about the model potentially memorizing the data, which means that 799 good classification performance corresponds to an easy extractability of said feature.

800 We evaluate three types of prompts per task entity for the first feature: one simply asking if the 801 entity is present in the image (e.g., "Is there a spider in this image?") and two others adding varying 802 amounts of auxiliary information about visual characteristics of the entity (e.g., "Spiders in Minecraft 803 are black. Is there a spider in this image?" and "Spiders in Minecraft are black and have red eyes 804 and long, thin legs. Is there a spider in this image?"). We present evaluations of all such prompts in 805 Table 5. We find that the VLM benefits greatly from auxiliary information for the spider case only, 806 likely because spiders in Minecraft are the most dissimilar to the ones present in natural images of 807 real spiders, whereas cows and sheep are still comparatively similar, especially in terms of scale and color. However, adding too much auxiliary information degrades performance, perhaps because the 808 input prompt becomes too long, and therefore is out-of-distribution for the types of prompts that the VLM was pre-trained on. This same argument may explain why auxiliary information degrades



831 performance for the other two target entities as well, causing them to almost always answer that 832 said entities are present, even when they are not. Once more, considering that these targets exhibit a 833 higher degree of visual resemblance to to their real counterparts compared to Minecraft spiders, it is 834 reasonable to infer that the VLM would not benefit from auxiliary information. Furthermore, taking into account that the auxiliary information we gave is more common-sense than the information given 835 for the spider, it could imply that the prompts are also more likely to be out-of-distribution (given 836 that "sheep are white" is so obvious that people would not bother expressing it in language), causing 837 the systematic performance degradation. 838

For the probing evaluation, we find that all three prompts reach similar final linear classifiabilities for
each of their target entities, as shown in Figure 4. While this does not aid in choosing one prompt
over another, it does confirm that the VLM's decoded embeddings for each prompt still contains this
valuable and granular position information about the target entity, *even though the input prompt did not ask for it.*

B MINEDOJO DETAILS

844 845

846 847

848

849 850

851

852

853 854

855

856

861

B.1 ENVIRONMENT DETAILS

Spaces. The observation space for the Minecraft tasks consists of the following:

- 1. **RGB:** Egocentric RGB images from the agent. (160, 256, 3)-size tensor of integers $\in \{0, 1, ..., 255\}$.
- 2. Position: Cartesian coordinates of agent in world frame. 3-element vector of floats.
- 3. **Pitch, Yaw:** Orientation of agent in world frame in degrees. Note that we limit the pitch to 15° above the horizon to 75° below for *combat spider*, which makes learning easier (as the agent otherwise often spends a significant amount of time looking straight up or down). Two 1-element vectors of floats.
- 858 4. **Previous Action:** The previous action taken by the agent. Set to no operation at the start 859 of each episode. One-hot vector of size $|\mathcal{A}| = 53$ for *combat spider* and 89 otherwise (see 860 below).

This differs from the simplified observation space used in Fan et al. (2022) in that we do not use any nearby voxel label information and impose pitch limits for *combat spider*. This observation space is the same for all Minecraft experiments.

867	1. Turn: Change the yaw and pitch of
868	the agent. The yaw and pitch can be
869	changed up to $\pm 90^{\circ}$ in multiples of
870	15° . As they can both be changed at
871	the same time, there are $9 \times 9 = 81$ to-
872	tal different turning actions. The turn-
873	ing action where the yaw and pitch
874	changes are both 0° is the no opera-
875	tion action. Note that, since we im-
015	pose pitch limits for the spider task, we
876	also limit the change in pitch to $\pm 30^{\circ}$.
877	meaning there are only 45 turning ac-
878	tions in that case.
879	

The action space is discrete, consisting of 53 or
866
866

2. **Move:** Move forward, backward, left, right, jump up, or jump forward for 6 actions total.

880

882 883

885

889

890

891

892

893

- 3. Attack: Swing the held item at whatever is targeted at the center of the agent's view.
- 4. Use Item: Use the held item on whatever is targeted at the center of the agent's view. This is used to milk cows or shear sheep (with an empty bucket or shears respectively). If holding a sword and shield, this action will block attacks with the latter.

This non-*combat spider* action space is the same as the simplified one in Fan et al. (2022). All experiments for a given task share the same action space.

898 World specifications. MineDojo implements 899 a fast reset functionality that we use. Instead 900 of generating an entirely new world for each



Figure 4: We train a linear classifier to predict the relative position of the target entity (left/right/middle) based on the average VLM embeddings decoded in response to each associated candidate prompt. We find that all three candidate prompts per task elicit embeddings that are similarly highly conducive to this classification scheme.

episode, fast reset simply respawns the player and all specified entities in the same world instance, but with fully restored items, health points, and other relevant task quantities. This lowers the time overhead of resets significantly, but also means that some changes to the world (like block destruction) are persistent. However, as breaking blocks generally takes multiple time steps of taking the same action (and does not directly lead to any reward), the agent empirically does not break many blocks aside from tall grass (which is destroyed with a single strike from any held item). We keep all reset parameters (like the agent respawn radius, how far away entities can spawn from the agent, etc) at their default values provided by MineDojo.

We stage all tasks in the same area of the same programmatically-generated world: namely, a sunflower plains biome in the world with seed 123. This is the default location for the implementation of the spider combat task in MineDojo. We choose this specific world/location as it represents a prototypical Minecraft scene with relatively easily-traversable terrain (thus making learning faster and easier).

Additional task details and reward functions. We provide additional notes about our Minecraft tasks.

916 *Combat spider*: Upon detecting the agent, the spider approaches and attacks; if the agent's health is 917 depleted, then the episode terminates in failure. The agent receives +1 reward for striking any entity and +10 for defeating the spider. We also include several distractor animals (a cow, pig, chicken, and

	Uupormoromotor				Task		
	nyper par ameter	Combat Spider	Milk Cow	Shear Sheep	Combat Zombie	Combat Enderman	Combat Pigman
	Total Train Steps	150000			10000	0	
	Rollout Steps				2048		
	Action Entropy Coefficient				5e-3		
	Value Function Coefficient				0.5		
	Max LR	5e-5	1e-4	1e-4	5e-5	1e-4	5e-5
	Min LR	5e-6	1e-4	1e-4	5e-6	1e-4	5e-6
	Batch Size				64		
	Update Epochs				10		
	γ				0.99		
	GAE λ				0.95		
	Clip Range				0.2		
	Max Gradient Norm				0.5		
	Normalize Advantage				True		
-							

Table 6: PPO hyperparameters for Minecraft tasks, shared by the baselines, our method, and ablations.

sheep) that passively wander the task space; the agent can reward game by striking these animals, making credit assignment of success rewards and the overall task harder.

 $\begin{array}{ll} \textbf{Milk cow: The agent also holds wheat in its off hand, which causes the cow to approach the agent when detected and sufficiently nearby. For each episode, we track the minimum visually-observed distance between the agent and the cow at each time step. The agent receives <math>+0.1|\Delta d_{\min}|$ reward for decreasing this minimum distance (where $\Delta d_{\min} \leq 0$ is the change in this minimum distance at a given time step) and +10 for successfully milking the cow.

Shear sheep: As with milk cow, the agent holds wheat in its off hand to cause the sheep to approach it. The reward function also has the same structure as that task, albeit with different coefficients: $+|\Delta d_{\min}|$ for decreasing the minimum distance to the sheep and +10 for shearing it.

943 *Combat zombie*: Same as *combat spider*, but the enemy is a zombie. We increase the episode length to 1000, as the zombie has more health points than the spider.

Combat enderman: Same as *combat spider*, but the enemy is an Enderman. As with combat zombie, we increase the episode length to 1000. Note that Endermen are non-hostile (until directly looked at for sufficiently long or attacked) and have significantly more health points than other enemies. We
thus enchant the agent's sword to deal more damage and decrease the initial spawn distance of the enderman from the agent.

950 *Combat pigman*: Same as *combat spider*, but the enemy is a hostile zombie pigman. As with combat zombie, we increase the episode length to 1000.
 952

B.2 POLICY AND TRAINING DETAILS

930 931 932

933

953

954

For our actual RL algorithm, we use the Stable-Baselines3 (version 2.0.0) implementation of clippingbased PPO (Raffin et al., 2021), with hyperparameters presented in Table 6. Many of these parameters
are the same as the ones presented by Fan et al. (2022). For the spider trials, we use a cosine learning
rate schedule:

$$LR(current train step) = Min LR + (Max LR - Min LR) \left(\frac{1 + \cos\left(\pi \frac{current train step}{total train steps}\right)}{2}\right)$$
(1)

We also present the policy and VLM hyperparameters in Table 7. The hyperparameters and architecture of the MLP part of the policy are primarily defined by the default values and structure defined by the Stable-Baselines3 ActorCriticPolicy class. Note that the no generation ablation, VLM image encoder baseline, and MineCLIP trials do not generate text with the VLM, and so all do not use the associated process's hyperparameters. The MineCLIP trials also do not use a Transformer layer in the policy, due to not receiving token sequence embeddings. It instead just uses a MLP, but with two hidden layers (to supplement the lowered policy expressivity due to the lack of a Transformer layer).

Additionally, InstructBLIP's token embeddings are larger than ViT-g/14's (used in the VLM image encoder baseline), and so may carry more information. However, the VLM does not receive any privileged information over the image encoder *from the task environment* – any additional information

972		
973	Policy Transformer Hyperpara	meters
974	Transformer Token Size	512 / 128
975	Transformer Feedforward Dim	512 / 128
0.0	Transformer Number Heads	2
976	Transformer Number Decoder Layers	1
977	Transformer Number Encoder Layers	1
070	Transformer Output Dim	128
978	Transformer Dropout	0.1
979	Transformer Nonlinearity	ReLU
980	Policy MLP Hyperparamet	ers
981		
982	Number Hidden Layers	1
000	Hidden Layer Size	128
983	Activation Function	tanh
984		
985	VLM Generation Hyperparan	ieters
986	Max Tokens Generated	6
987	Min Tokens Generated	6 Creada
988	Decoding Scheme	Greedy

Table 7: All policy hyperparameters for all Minecraft tasks. Smaller token sizes and feedforward 990 dimensions are used for *combat* [zombie/enderman/pigman].

in the VLM's representations is therefore purely from the model's prompted internal knowledge. Still, to ensure consistent policy expressivity, we include a learned linear layer projecting all representations for this baseline and our approach to the same size (512 dimensions) so that the rest of the policy is 995 the same for both. 996

Minecraft training runs were run on 16 A5000 GPUs (to accommodate the 16 seeds).

998 999 С HABITAT OBJECTNAV DETAILS

989

991 992

993

994

997

1002

1005

1006 1007

1008

1009 1010

1011

1012

1013

1014

1015

1019

1020

1021

1022

1000 1001 C.1 **ENVIRONMENT DETAILS**

The spaces and agent/task specifications are largely the same as the defaults provided by Habitat, as 1003 specified in the HM3D ObjectNav configuration file (Savva et al., 2019). 1004

Spaces. The observation space for Habitat consists of the following:

- 1. **RGB:** Egocentric RGB images from the agent. (480, 640, 3)-size tensor of integers \in $\{0, 1, \dots, 255\}$. By default, agents also receive depth images, but we remove them to ensure that state representations are grounded primarily in visual observations.
- 2. **Position:** Horizontal Cartesian coordinates of agent. 2-element vector of floats.
- 3. Compass: Yaw of the agent. Single floats.
- 4. Previous Action: The previous action taken by the agent. Set to no operation at the start of each episode. One-hot vector of size $|\mathcal{A}| = 4$.
- 5. **Object Goal:** Which object the agent is aiming to find. One-hot vector of size 3.

1016 The action space is the standard Habitat-Lab action space, though we remove the pitch-changing 1017 actions, leaving only four: 1018

- 1. **Turn:** Turn left or right, changing the yaw by 30° .
- 2. Move Forward: Move forward a fixed amount or until the agent collides with something.
- 3. **Stop:** Ends the episode, indicating that the agent believes it has found the goal object.
- 1023 All observations, actions, and associated dynamics are deterministic. 1024
- World specifications. In ObjectNay, an agent is spawned in a household environment and must find 1025 and navigate to an instance of a specified target object in as efficient a path as possible. Doing so

effectively requires a common-sense understanding of where household objects are often found and the structure of standard homes.

Habitat provides a standardized train-validation split, consisting of 80 household scenes for training (from which one can run online RL or collect data for offline RL or BC) and 20 novel scenes for validation, thereby testing policies' generalization capabilities. These scenes come from the Habitat-Matterport 3D v1 dataset (Ramakrishnan et al., 2021).

1033 1034

C.2 POLICY AND TRAINING DETAILS

In line with previous work (Ramrakhya et al., 2023; Yadav et al., 2023b; Majumdar et al., 2023), we train our policies with behavior cloning (BC) on the Habitat-Web human demonstration dataset of 77k trajectories (12M steps) (Ramrakhya et al., 2022). We adopt many of the same design choices provided by said prior works, but with a few critical differences:

- 1039
- 1040 1041

1043

1045

1046

1047

1067

1068

1069

1070

1071

1074

1. Due to compute limitations, we were unable to train on the full dataset (as those original works used 512 parallel environments to roll out demo trajectories and collect data). Instead, we used a subset of the dataset, built by dividing the dataset by both target object and scene, then sampling every tenth demo. This would ensure that our training data still contained examples from every training scene + target object combination that existed. In total, our subsampled dataset contains approximately 1.1M steps over 7550 trajectories.

- 2. We adopt the same optimizer, scheduler, and associated hyperparameters as Majumdar et al. (2023), but find a learning rate of 1e 4 to be more effective than their 1e 3.
- 3. Rather than sampling partial trajectory rollouts from 512 parallel environments as done by Majumdar et al. (2023), our batches contain full trajectories, though with the same total number of transitions per batch as in that work. This means that our batches potentially contain less diverse data (due to observations from fewer different total scenes being present), but allow us to compute up-to-date full trajectory hidden states for the RNN portion of our policy. We use gradient accumulation to achieve this, once again due to compute limitations.
- 4. While Majumdar et al. (2023) trains for 24k gradient steps (observing approximately 400M transitions.), we find using only approximately a tenth of that (40 epochs through our smaller dataset, so around 40M transitions) to reach peak performance for our policy. The scheduler still assumes the full training run will last for 400M transitions, so our LR decays at the same rate as with VC-1. Furthermore, for fairness, we leave our VC-1 baseline policies (trained on our subsampled datasets) training beyond 40 epochs, and report their validation performance at both 40 and 120 epochs (when its performance saturates).
- 5. For policies that receive visual observations as a sequence of tokens (PR2L, VC-1 with patch embeddings), we apply 2D average pooling with kernel sizes of 4 × 4 to reduce down to 16 tokens. Then, we pass those tokens through a learned Transformer layer, instead of the learned compression layer used by Majumdar et al. (2023). We do this to ensure that policy performance differences are due to representation quality, not architecture.
 - 6. We employ inflection upweighting during training, as done by Ramrakhya et al. (2023); Yadav et al. (2023b); Majumdar et al. (2023). However, we also categorically upweight the cross entropy loss of stopping and turning by 1.5 (due to them being uncommon but important), as we observe this increases learning speed for all policies.
 - 7. We do not employ any image augmentation or loss regularization to prevent overfitting. However, we find our policy exhibits strong generalization performance in unseen validation scenes nonetheless.
 - For PR2L-specific design choices:
- Our chosen VLM is the Prismatic VLM (Karamcheti et al., 2024) with Dino+SigLIP as a vision backbone and Llama2-7B-pure as the language backbone. We use the 224px version, which maps images to 256 visual tokens (which, as described above, get compressed into 16 via pooling).
- 1079 2. To reduce the size of VLM representations for PR2L, we embed one observation (sampled uniformly at random) from each trajectory in our subsampled dataset with our VLM, then

lower all tokens' dimensionality down from 4096 to 1024 (i.e., corresponding approximately to their first 1024 principle components).
Like with the Minecraft experiments, we take the VLM's last two layers' embeddings and treat them as our promptable representations. However, unlike with Minecraft, we stack each VLM token's two embeddings (forming new embeddings of size 2048), rather than concatenate all of them.

4. For generating text in response to our task-relevant prompt, we use sample-based decoding with fixed random seed prior to the decoding with temperature 0.4 and 32 - 48 new tokens generated.

compute all resulting tokens' principle component vectors. We then use said vectors to

1090
1091
5. The learned Transformer layer of our policy is the same as the one used in the Minecraft experiments, but with token embedding sizes of 1024.

All Habitat training was done on an A100 GPU server. Generation of data and evaluations were done on 16 A5000 GPUs for parallelization.

1095

1080

1087

1088

1089

- 1096
- 1098

1102

D SIMPLIFIED HABITAT OFFLINE RL EXPERIMENTS

While our primary Habitat experiments use behavior cloning to stay consistent with past works, we also run offline RL experiments on a simplified version of ObjectNav to better explore how VLM representations aid action learning. We discuss the details of said setting now.

1103 D.1 Environment Details

1104 We pick 32 reconstructed 3D home environments with at least one instance of each of the three target 1105 objects (toilet, bed, and sofa) and an annotator quality score of at least 4 out of 5. We choose to 1106 remove *plants* and *televisions* from the goal object set due to finding numerous unlabeled instances 1107 of them. Additionally, we remove chairs, as they are significantly more common than other goal 1108 objects and thus usually can be found in much shorter episodes. This simplified problem formulation 1109 enables us to remove many of the "tricks" that aid ObjectNav, such as using omnidirectional views or 1110 policies with history; our agent makes action decisions purely based on its current visual observation and pose, allowing us to do "vanilla" RL to better isolate the effect of PR2L. 1111

1112 To generate data, we use Habitat's built-in greedy shortest geodesic path follower. Imitating such 1113 demonstrations allows policies to learn unintuitively emergent and performant navigation behaviors 1114 (Ehsani et al., 2023) at scale. For each defined starting location in our considered households, we 1115 autonomously collect data by using the path follower to navigate to each reachable instance of the 1116 corresponding goal object. This yields high quality, near-optimal data. We then supplement our 1117 dataset by generating lower-quality data. Specifically, for each computed near-optimal path from a starting location to a goal object instance, we choose to inject action noise partway through the 1118 trajectory (uniformly at random from 0 - 90% of the way through). At that point, all subsequent 1119 actions have a 0 - 50% probability (again chosen uniformly at random) of being a random action 1120 other than the one specified by the path follower. To ensure that paths are sufficiently long, we choose 1121 to make the probability of choosing the stop action 10% and the other two movement actions 45%. 1122 In total, we collect 107518 observations over 2364 trajectories. 1123

Reward functions. The ObjectNav challenge evaluates agents based on the average "success weighted by path length" (SPL) metric (Yadav et al., 2023a): if an agent succeeds at taking the *stop* action while close to an instance of the goal object, it gets $SPL(p, l) = \frac{l}{\max(l,p)}$ points, where *l* is the actual shortest path from the starting point to an instance of the goal object and *p* is the length of the path that the agent actually took during that particular episode. If the agent stops while not close to the target object, the SPL is 0. Thus, taking the most efficient path to the nearest goal object and stopping yields a maximum SPL of 1.

We use this to design our reward function. Specifically, when the agent stops, it receives a reward of +10SPL(p, l). Additionally, we add a shaping reward of the change in geodesic distance to the nearest goal object instance each time the agent moves (where lowering that distance yields a positive reward).

D.2 POLICY AND TRAINING DETAILS

1135

For our offline RL experiments in Habitat, we use Conservative Q-Learning (CQL) on top of the Stable-Baslines3 Contrib codebase's implementation of Quantile Regression DQN (QR-DQN) Kumar et al. (2020); Dabney et al. (2017). We choose to multiply the QR-DQN component of the CQL loss by 0.2. Using the notation proposed by Kumar et al. (2020), this is equivalent to $\alpha = 5$, which said work also uses. Other hyperparameters are $\tau = 1$, $\gamma = 0.99$, fixed learning rate of 1e - 4, 100 epochs, and 50 quantiles (no exploration hyperparameters are specified, since we do not generate any new online data).

The policy architecture used for Habitat experiments are the same as those used for PPO, though the
final network outputs quantile Q-values for each action (rather than just a distribution over actions).
The action with the highest mean quantile value is chosen at evaluation time.

1146 During training, we shuffle the data and load full offline trajectories until the buffer has at least 1147 $32 \times 1024 = 32768$ transitions or all trajectories have been loaded once that epoch. We then uniformly 1148 sample and train on batches of size 512 transitions from the buffer until each transition has been 1149 trained on once in expectation (e.g., $\sim \frac{number \ of \ transitions \ in \ the \ buffer}{512}$ batches). Each batch is used for 8 1150 gradient steps before the next is sampled. We choose this data loading scheme to fit the training 1151 infrastructure provided by Stable-Baselines3 while not using up too much memory at once.

- 1152
- 1153 D.3 EXPERIMENTS AND RESULTS

1155 Our primary comparison is once again between our promptable representations and general-purpose non-promptable ones. We thus repeat the baseline described previously for Minecraft in Section 4.1, 1156 1157 training a single agent for all three ObjectNav tasks using both PR2L and the VLM image encoder representations. We empirically note that longer visual embedding sequences tend to perform better in 1158 Habitat. To control for this, we opt to use InstructBLIP's Q-Former unprompted embeddings instead 1159 of the ViT embeddings directly (which are much longer than PR2L's embedding sequences). As 1160 InstructBLIP uses the former representations to extract visual features to be projected into language 1161 embedding space, this serves to close the gap in embedding sequence length between our two 1162 conditions while still providing us with general visual features that the VLM processes via prompting. 1163 In this case, we use the same InstructBLIP model as the Minecraft experiments and choose "What 1164 room is this?" as our task-relevant prompt. 1165



Figure 5: Offline RL performance of PR2L and baselines in Habitat ObjectNav. Plots show final evaluation
 success rates and average returns per target object and overall. PR2L outperforms the baseline in all cases.

1182

We report evaluation success rates and average returns for the simplified Habitat ObjectNav setting in Figure 5. PR2L achieves nearly double the average success rate of the baseline (60.4% vs. 35.2%), supporting the hypothesis that PR2L works especially well when exploration is not needed. Lastly, in Appendix H.2, we find that PR2L causes the VLM to produce highly structured representations that correlate with an expert policy's value function: high-value states are typically labeled by the VLM as being from a room where one would expect to find the target object.

Task	DD2L (Orana)	VI M Imaga Emandam	Ablations				
Task	PR2L (Ours)	VLWI Image Encoder	No Prompt	No Generation	Change Aux. Text	Oracle Detector	
Combat Spi	ider 97.6 ± 14.9	51.2 ± 9.3	72.6 ± 14.2	66.6 ± 11.8	80.1 ± 12.6	58.0 ± 13.4	
Milk Cov	v 223.4 ± 35.4	95.2 ± 18.7	116.6 ± 25.9	160.2 ± 23.6	80.5 ± 17.8	178.4 ± 42.5	
Shear She	<i>ep</i> 37.0 ± 4.4	23.0 ± 3.6	23.8 ± 3.2	26.1 ± 4.5	27.8 ± 4.6	27.4 ± 9.3	

1193Table 8: Minecraft ablations, VLM image encoder baseline, and our full approach. All achieve worse1194performance than PR2L. Values are final IQM success counts and intervals are the standard error.

E EXTENDED DISCUSSION OF TASKS AND RESULTS

1197 1198

1200

1195 1196

1199 E.1 NOTES ON TASK-SPECIFIC SYSTEMS

We designed experiments to specifically investigate the use of VLM embeddings as task-specific promptable representations for downstream sensorimotor policy learning. As such, we compare with other works that propose or evaluate either learning from scratch or from pre-trained representations, but *not* to systems in Minecraft and Habitat that require domain-specific engineered systems beyond just policy learning (such as Luo et al. (2022); Zhu et al. (2022)) or which target learning or producing higher-level plans or abstractions (such as Wang et al. (2023b)).

Such comparisons are not made as these works either aim to investigate other problems in con-1207 trol or are aiming to develop highly specialized and task-specific systems (whereas we present 1208 a general approach for policy learning). For instance, Voyager shows how an LLM can reason 1209 about and compose high-level hand-crafted control primitives (Wang et al., 2023a). Voyager's 1210 ability to complete harder tasks comes from its access to powerful hand-crafted high-level primi-1211 tives that extensively leverage oracle information, which are composed into skills by GPT-4 (which 1212 does not handle any low-level control). Said hand-coded control primitives used in Voyager are 1213 very advanced and do much of the heavy-lifting. In particular, Voyager gives GPT-4 access to a 1214 dedicated killMob (<entity name>) control primitive function. This function calls a sepa-1215 rate bot.pvp.attack (<entity>) (hand-written) function, which calls a hard-coded oracle 1216 pathfinder, aiming controller, and attack function to repeatedly approach and attack the specified entity until it is defeated. Thus, for Voyager, the skill for hunting sheep simply fills in the powerful 1217 killMob() primitive function with "sheep" as the target, abstracting away all low-level control via 1218 the oracle hand-written controllers. 1219

Vitally, unlike PR2L, Voyager does not investigate how to use (V)LMs to learn these primitives. It
thus cannot be applied to settings that lack such primitives (e.g., because oracle path planners are
not available, like in Habitat). This makes PR2L complementary: we directly learn a policy to link
observations to low-level actions (turning, moving, attacking, etc) via RL with no oracle information,
while Voyager aims to compose pre-existing primitives into skills via LLMs.

1225

1226 E.2 NOTES ON DREAMER V3

We note that PR2L just proposes to use VLMs as a source of task-specific representations for RL tasks; it does not prescribe which learning algorithm to use. Therefore, in principle, one could use Dreamer in conjunction with PR2L and gain benefits from both the VLM representation and the choice of a strong model-based RL algorithm. However, while we leave this to future works, our Minecraft comparison (c) measures how well the approach does on our Minecraft tasks (as the original paper focuses more on the component subtasks involved in the *find diamond* task, all of which do not involve interacting with moving entities).

We find that Dreamer v3 is unable to learn our six tasks given the same number of environment interactions that PR2L+PPO was trained on. We hypothesize that this is due to its visual reconstruction-based world model not being suited for tasks requiring interaction with partially-observable, non-stationary autonomous entities (which all our tasks involve). We note that the last two rows of the figure visualizing model reconstructions in the original Dreamer v3 paper shows that its world model fails to reconstruct an observed pig (Hafner et al., 2023), supporting our hypothesis. This highlights the need for robust representations that are conducive to world model learning, with PR2L's capabilities to elicit task-relevant visual semantic features via prompting being one possibility for doing so.



Figure 6: Success rates for BC on either PR2L or VLM image encoder baseline representations for all original tasks. PR2L excels at *combat spider*, even after the policy is trained for a single epoch.

1257 F ABLATIONS

1253

1254

1255 1256

1258

1259 We run four ablations on *combat spider*, *milk cow*, and *shear sheep* to isolate and understand the 1260 importance of various components of PR2L. First, we run PR2L with *no prompt* to see if prompting 1261 with task context actually tailors the VLM's generated representations favorably towards the target task, improving over an unprompted VLM. Note that this is not the same as just using the image 1262 encoder (comparison (a)), as this ablation still decodes through the VLM, just with an empty prompt. 1263 Second, we run PR2L with our chosen prompt, but *no generation* of text – i.e., the policy only 1264 receives the embeddings associated with the image and prompt (the left and middle red groupings 1265 of tokens in Figure 2, but not the right-most group). This tests the hypothesis that representations 1266 of generated text might make certain task-relevant features more salient: e.g., the embeddings for 1267 "Is there a cow in this image?", might not encode the presence of a cow as clearly as if the VLM 1268 generates "Yes" in response, impacting downstream performance. Third, to check if our prompt 1269 evaluation strategy provides a good proxy for downstream task performance while tuning prompts 1270 for P2RL, we run PR2L with alternative prompts that were not predicted to be the best, as per our 1271 criterion in Appendix A. We thus remove the auxiliary text from the prompt for *combat spider* and add it for *milk cow* and *shear sheep*. Lastly, to see if PR2L embeddings are just better due to them 1272 encoding entity detection, we train a VLM image encoder policy with an additional ground truth 1273 oracle target entity detector as a feature. 1274

1275 Results from these additional experiments are presented in Table 8. In general, all ablations perform 1276 worse than PR2L. For *milk cow*, we note the most performant ablation is no generation, perhaps 1277 because the generated text is often wrong; among the chosen prompts, it yields the lowest true positive and negative rates for classifying the presence of its corresponding target entity (see Table 5 1278 in Appendix A), though adding auxiliary text makes it even worse, perhaps explaining why *milk cow* 1279 experienced the largest performance decrease from adding it back in. Based on these overall trends, 1280 we conclude that (i) the *promptable* and *generative* aspects of VLM representations are important for 1281 extracting good features for control tasks and (ii) our simple evaluation scheme is an effective proxy 1282 measure of how good a prompt is for PR2L. 1283

1284 1285

G MINECRAFT BEHAVIOR CLONING EXPERIMENTS

1286

We collected expert policy data by training a policy on MineCLIP embeddings to completion on all of our original tasks and saving all transitions to create an offline dataset. We then embedded all transitions with either PR2L or the VLM image encoder. Finally, we train policies with behavior cloning (BC) on successful trajectories under a specified length (300 for *combat spider*, 250 for *milk cow*, and 500 for *shear sheep*) from either set of embeddings for all three tasks, then evaluate their task success rates.

Results are presented in Figure 6. We first note that, since the expert data was collected from a policy trained on MineCLIP embeddings, the *shear sheep* policy is not very effective (as we found in Table
Both resulting *shear sheep* BC policies are likewise not very performant. We find that *combat spider* in particular shows a very large gap in performance: the PR2L agent achieves approximately



1316

1317 Figure 7: PCA of PR2L representations of observations from twenty episode rollouts of expert 1318 **policies in all three Minecraft tasks.** Larger points correspond to transitions where the expert 1319 received > 0.1 reward. We vary the prompt to be either our task-relevant prompt or the RT-2-style baseline instruction prompt. Our prompt's representations are bi-modal, with the clusters on the left 1320 corresponding to the VLM outputting "yes" (the entity is in view). We find that most functional 1321 actions (orange points) that yielded rewards are located in said clusters. Note that, since these expert 1322 policies are trained on top of MineCLIP embeddings, the *shear sheep* policy is not very performant, 1323 as seen in Table 2. 1324

1325

twice the success rate of the VLM image encoder agent *after training for just a single epoch*. The
comparatively small amount of training and data necessary to achieve near-expert performance for
this task supports our hypothesis that promptable representations from general-purpose VLMs do
not help with exploration (they work better in offline cases, where exploration is not a problem), but
instead are particularly conducive to being linked to appropriate actions even though the VLM is not
producing actions itself. Further investigation of this hypothesis is presented in Appendix H.

1332

1333 H REPRESENTATION ANALYSIS

Why do our prompts yield higher performance than one asking for actions or instruction-following?
Intuitively, despite appropriate responses to our task-relevant prompts not directly encoding actions, there should be a strong correlation: e.g., when fighting a spider, if the spider is in view and the VLM detects this, then a good policy should know to attack to get rewards. We therefore wish to investigate if our representations are conducive to easily deciding when certain rewarding actions would be appropriate for a given task – if it is, then such a policy may be more easily learned by RL, which would explain PR2L's improved performance over the baselines.

1342

H.1 MINECRAFT ANALYSIS

1344

To investigate this, we use the embeddings of our offline data from the BC experiments (collected by training a MineCLIP encoder policy to high performance on all of our original three tasks, as discussed in Appendix G). We specifically look at the embeddings produced by a VLM when given our standard task-relevant prompts and when given the instructions used for our RT-2-style baseline.
We then perform principal component analysis (PCA) on the tokenwise average of all embeddings for each observation, thereby projecting the embeddings to a 2D space with maximum variance.

1359

1363

1365

1350

1351 1352 1353



1367

Figure 8: PCA of PR2L (above) and image encoder (below) representations of observations from thirty episode rollouts of expert policies in all Habitat tasks. The points' colors correspond to their value under Habitat's built-in oracle shortest path follower (a near-optimal policy). More yellow is better. Boxes correspond to points the VLM has labeled as a given household room, in response to the task prompt of "What room is this?" This analysis aligns with intuition: for *find toilet*, high value observations tend to be labeled as bathrooms (orange box), *find bed*'s tend to be labeled as bedrooms (blue), and *find sofa*'s are labeled as living rooms (red).

1376

We visualize these low-dimensional space in Figure 7 for the final 20 successful observations from
each task, with the point colors of orange and blue respectively indicating whether the observation
results in a functional action (attack or use item) or movement (translation or rotation) by the expert
policy. Additionally, we enlarge points corresponding to when the agent received rewards in order to
recognize which actions aided in or achieved the task objective.

We find that our considered prompts resulted in a bimodal distribution over representations, wherein the left-side cluster corresponds to the VLM outputting "yes (the entity is in view)" and the right-side one corresponds to "no." Additionally, observations resulting in functional actions that received rewards (large orange points in Figure 7) tend to be on the left-side ("yes") cluster for representations elicited by our prompt, but are more widely distributed in the instruction prompt case, in agreement with intuition. This is especially clear in the *milk cow* plot, wherein nearly all rewarding functional actions (using the bucket on the cow to successfully collect milk) are in the lower left corner.

This analysis supports that the representations yielded by InstructBLIP in response to our chosen style of prompts are more structured than representations from instructions. Such structure is useful in identifying and learning rewarding actions, even when said actions were taken from an expert policy trained on unrelated embeddings. This suggests that such representations may similarly be more conducive to being mapped to good actions via RL, which we observe empirically (as our prompt's representations yield more performant policies than the instructions for the RT-2-style baseline).

- 1395
- 396 H.2 HABITAT ANALYSIS

Likewise, we conduct a similar analysis on the Habitat data from our simplified setting. Specifically, we wish to see if PR2L produces representations that are conducive to extracting the *value function* of a good policy. Since the chosen Habitat ObjectNav prompt is "What room is this?" we expect the state representations to be clustered based on room categories. Intuitively, states corresponding to the room one is likely to find the target object should have the highest values.

As shown in Figure 8, we thus used PCA to project expert trajectories' PR2L and general image encoder state representations (generated with Habitat's geodesic shortest path follower) to two

dimensions, then colored each one based on their value under said near-optimal policy. We also
 plotted the mean and standard deviation of all points labeled as each room, visualizing them as
 axis-aligned bounding boxes. Note that each upper subplot in Figure 8 has a cluster of points far from
 all boxes. These correspond to the VLM generating nothing or garbage data with no room label.

This visualization qualitatively agrees with intuition. High value states tend to be grouped with the room the corresponding target object is often found in: *find toilet* corresponds to bathrooms, *find bed* to bedrooms, and *find sofa* to living rooms. Comparatively, the general image encoder features do not have such semantically meaningful groupings; all observations are clustered together and, within that single grouping, high-value observations are more spread out. This all supports the idea that prompting allows representations to take on structures that correlate well to value functions of good policies.

```
1415
1416
```

1418

1419

1417 I CODE SNIPPETS

We provide some code snippets showcasing instantiations of PR2L.

```
1420
       class Policy(torch.nn.Module):
           def __init__(self, num_actions, tf_embed_dim=4096):
    """Policy that accepts promptable reps as input"""
1421
1422
               super().__init__()
1423
                # Project down VLM embed dimensions
1424
               self.embed_fc = torch.nn.Linear(tf_embed_dim, 1024)
1425
                # Predict actions
1426
               self.action_fc = torch.nn.Linear(1024, num_actions)
1427
                # Transformer layer to condense promptable reps to 1 token
               self.transformer = torch.nn.Transformer(
1428
                    1024.
1429
                    1.
1430
                    num_encoder_layers=1,
1431
                    num_decoder_layers=1,
1432
                    dim_feedforward=1024,
                    batch_first=True,
1433
               )
1434
                self.cls = torch.nn.Embedding(1, 1024) # cls tokens
1435
1436
           def forward(self, x):
               seq, mask = x
1437
               bs, traj_len, num_tokens, _ = seq.shape
1438
1439
                # [batch*traj_len, num tokens, token size]
1440
               seq = seq.reshape(bs * traj_len, num_tokens, -1)
1441
                # [batch*traj_len, num tokens]
1442
               mask = mask.reshape(bs * traj_len, num_tokens)
1443
                # Project down
1444
                # [batch*traj_len, num tokens, tf dim]
1445
               seq = self.embed_fc(seq)
1446
                # Get CLS embedding
1447
               cls = self.cls(torch.zeros([bs * traj_len, 1],
1448
                    device=seq.device, dtype=int))
1449
1450
                # Get summary embedding
                # [batch*traj_len, 1, tf dim]
1451
               cls_embed = self.transformer(
1452
                    seq, # Encoder input
1453
                    cls, # Decoder input
1454
                    # Apply mask
1455
                    src_key_padding_mask=mask,
1456
                    memory_key_padding_mask=mask,
1457
               )
```

```
1458
               # [batch, traj_len, d_model]
1459
               cls_embed = cls_embed.reshape(bs, traj_len, -1)
1460
1461
               # Predict actions
               # [batch, traj_len, actions]
1462
               return self.action_fc(cls_embed)
1463
                               Listing 1: Example policy for PR2L.
1464
1465
1466
       def process_obs(model, processor, image, prompt, device, last_n=2):
           inputs = processor(images=image, text=prompt, return_tensors="pt").to
1467
           (device)
1468
1469
           # Generate text in response to prompt and extract embeddings
1470
           outputs = model.generate(
1471
               **inputs,
               output_hidden_states=True,
1472
               return_dict_in_generate=True,
1473
               # Any other generation parameters (min/max tokens, temp, etc)
1474
           )
1475
           hs = outputs["hidden_states"]
1476
           # Get image and prompt token embeds
1477
           # Any additional processing should happen here (eg pooling of visual
1478
          tokens)
1479
           # [last_n, num img + prompt tokens, tf_embed_dim]
1480
           image_and_prompt_embs = torch.cat(hs[0], dim=0)[-last_n:]
1481
           # Get decoded token embeds
1482
           # [last_n, num decoded tokens, tf_embed_dim]
1483
           dec_embs = []
1484
           for dec_hs in hs[1:]:
1485
               # [last_n, 1, tf_embed_dim]
1486
               dec_hs = torch.cat(dec_hs, dim=0)[-last_n:]
               dec_embs.append(dec_hs)
1487
           # [last_n, num decoded tokens, tf_embed_dim]
1488
           dec_embs = torch.cat(dec_embs, dim=1)
1489
1490
           # [last_n, num total tokens]
           seq_embs = torch.cat([image_and_prompt_embs, dec_embs], dim=1)
1491
           tf_embed_dim = seq_embs.shape[-1]
1492
1493
           # [bs=1, seq_len=1, last_n*num total tokens, tf_embed_dim]
1494
           seq_embs = seq_embs.reshape(1, 1, -1, tf_embed_dim)
1495
1496
           mask = torch.zeros(seq_embs[:-1], type=int)
1497
           return seq_embs, mask
1498
              Listing 2: Example code for extracting promptable representations from a VLM.
1499
1500
       # Create VLM and processor (InstructBLIP, for example)
1501
       model = InstructBlipForConditionalGeneration.from_pretrained(
1502
           "Salesforce/instructblip-vicuna-7b"
1503
1504
       processor = InstructBlipProcessor.from_pretrained("Salesforce/
1505
           instructblip-vicuna-7b")
1506
       # Set device, can also change dtype if desired
1507
       device = "cuda:0"
1508
       model = model.to(device)
1509
1510
       # Create env
1511
       env = ...
```

```
1512
       # Create policy. This can be trained via RL or BC as needed.
1513
      policy = Policy(env.num_actions).to(device)
1514
       # Define task-relevant prompt
1515
      prompt = "Would a toilet be found here? Why or why not?"
1516
1517
       # To predict an action, get an RGB obs from the env and process it with
1518
          the VLM
       obs = env.reset()
1519
1520
       seq, mask = process_obs(model, processor, obs, prompt, device)
1521
       # Then, pass it through the policy to get action logits and step env
1522
       act_logits = policy.forward((seq, mask)).reshape(env.num_actions)
1523
       action = torch.argmax(act_logits)
1524
       obs, _, _, _ = env.step(action)
1525
                      Listing 3: Example usage of the above function and policy.
```

1527

1529

J **EXTENDED LITERATURE REVIEW**

1530 **Learning in Minecraft.** We now consider some current approaches for creating autonomous learning 1531 systems for tasks in Minecraft. Such works highlight some of the difficulties prevalent in tasks in 1532 said environment. For instance, since Minecraft tasks take place in a dynamic open world, it can be 1533 difficult for an agent to determine what goal it is attempting to reach and how close it is to reaching 1534 that goal. Cai et al. (2023) tackles these issues by introducing and integrating a training scheme for 1535 self-supervised goal-conditioned representations and a horizon predictor. Zhou et al. (2023) learns a model from visual observations to discriminate between expert state sequences and non-expert ones, 1536 which provides a source of intrinsic rewards for downstream RL tasks (as it pushes the policy to 1537 learn to match the expert state distribution, which tend to be "good" states for accomplishing tasks in 1538 Minecraft). 1539

1540 **Foundation Models and Minecraft.** Likewise, there has been much interest in applying foundation models – especially (V)LMs – to Minecraft tasks. Baker et al. (2022) pretrains on large scale 1541 videos, which enabled the first agent that could learn to acquire diamond tools (thereby completing a 1542 longstanding challenge in the MineRL competition Kanervisto et al. (2022)). LMs have subsequently 1543 also been used to produce graphs of proposed skills to learn or technology tree advancements to make 1544 in the form of structured language (Nottingham et al., 2023; Zhu et al., 2023; Yuan et al., 2023; Wang 1545 et al., 2023b). Other works propose to use the LLM to generate actions or code submodules given 1546 textual descriptions of observations or agent states (Wang et al., 2023a). Finally, VLMs have been 1547 used largely for language-conditioned reward shaping (Fan et al., 2022; Ding et al., 2023). In contrast, 1548 we use VLMs as a source of representations for learning of atomic tasks (as defined by Lin et al. 1549 (2023a)) that have pre-defined reward functions; the latter works can thus be used in conjunction with 1550 our proposed approach for tasks where these vision-language reward functions are appropriate.

- 1551 1552 1553 1554
- 1555
- 1556
- 1558
- 1559
- 1560
- 1561
- 1562
- 1563
- 1564
- 1565