

Discriminative Models Can Still Outperform Generative Models in Aspect Based Sentiment Analysis

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Abstract

Aspect-based Sentiment Analysis (ABSA) helps to explain customers’ opinions towards products and services. In the past, ABSA models were discriminative, but more recently generative models have been used to generate aspects and polarities directly from text. In contrast, discriminative models commonly first select aspects from the text, and then classify the aspect’s polarity. Previous results showed that generative models outperform discriminative models on several English ABSA datasets. Here, we evaluate and contrast two state-of-the-art discriminative and generative models in several settings: cross-lingual, cross-domain, and cross-lingual and domain, to understand generalizability in settings other than English monolingual in-domain. Our more thorough evaluation shows that, contrary to previous studies, discriminative models can still outperform generative models in almost all settings.

1 Introduction

Online reviews make it easy for customers to share their feelings about products and services in a quick and efficient way. But for business owners, this can mean a deluge of comments with a variety of concerns. Companies with millions of customers receive massive amounts of online reviews that can’t be analyzed manually, thus needing automation.

Some natural languages receive more research effort compared to other languages (e.g. English vs. Swahili). Although the community has remarkably accelerated the improvement of English NLP techniques, techniques for other languages lag behind. Working on a lower resource language is a challenging task, where few datasets, lexicons, and models exist. Thus, utilizing cross-lingual approaches is important to migrate knowledge across languages.

In low resource settings, it can be difficult to use techniques like ABSA to analyze reviews. ABSA involves predicting aspect terms and their associated sentiment polarities (Liu, 2012), which re-

quires a fair amount of training data. For example, "Service was good at the restaurant, but food was not" has two aspect terms ("service" and "food"), associated with sentiments "positive" and "negative", respectively. In this work, we conduct a comparative study of two ABSA model types (discriminative and generative). Discriminative models, which use decision boundaries to make predictions, commonly use sequence labeling techniques to detect aspects in a given review (extraction) and then use another step to classify those aspects (classification). On the other hand, generative models use encoder-decoder language models to learn probability distributions, and generate aspects and sentiment polarities together without separate extraction and classification steps. Notably, a few discriminative models do extraction and classification at once (Li et al., 2020, 2019a; Hu et al., 2019). However, results showed that training both tasks together does not always improve performance.

Previous work has shown that generative models achieve better performance than discriminative models when trained and evaluated on the English in-domain setting (Zhang et al., 2021; Yan et al., 2021). While recent studies compared generative to discriminative models in English in-domain setting, none have explored performance in cross-lingual or cross-domain settings. Here, we evaluate the performance of the two model types in cross-lingual and cross-domain settings by comparing the state-of-the-art representatives. Additionally, we propose a more challenging setting: both cross-lingual and cross-domain. Our results demonstrate that discriminative models can still perform better than generative models in almost all proposed scenarios.

2 Methodology and Experimental Setup

2.1 Datasets

In our experiments, we consider several languages and domains for a more valid evaluation. For

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Datasets	Data Split	#Pos	#Neg	#Neu
Rest16_{en}	Train	864	313	47
	Val	130	32	6
	Test	427	119	28
Rest16_{es}	Train	972	338	72
	Val	101	46	5
	Test	420	142	29
Rest16_{ru}	Train	1068	216	99
	Val	223	56	23
	Test	608	193	85
Lap14	Train	591	515	268
	Val	99	71	50
	Test	341	128	169
MAMS_{En}	Train	636	552	982
	Val	403	325	605
	Test	400	330	607

Table 1: Datasets’ statistics - Count of aspects with sentiment polarities for the sampled and cleaned datasets. Multiple aspects can exist in single record

languages we use SemEval datasets - Restaurant (Rest16) (Pontiki et al., 2016) in English, Spanish and Russian. For domains we use Rest16 and Lap-top (Lap14) from SemEval (Pontiki et al., 2014) which are widely used in the literature (Li et al., 2019b; Tian et al., 2021; Liang et al., 2021). As an additional domain, we use MAMS dataset for ABSA (Jiang et al., 2019). MAMS (Jiang et al., 2019) is a recently developed challenge dataset in which each sentence contains at least two aspects with different polarities, making the dataset more challenging than the SemEval datasets.

For SemEval datasets, since the validation sets are not given, we sample 10% of the training dataset to use for validation. The datasets we considered vary in terms of the type of content and the training set size. Thus, for a fair comparison, we reduce the larger training datasets to have an equal number of records. For this purpose, we sample without replacement 857 records from each training dataset, which is the minimum number of training instances across datasets (cleaned Rest16_{es} training dataset has 857 records). Table 1 presents the datasets’ statistics after cleaning and sampling. A larger dataset could improve model performance, but we must control for dataset size to ensure fair comparisons across datasets. For example, training on a larger datasets like MAMS may give better performance when testing across domain on Rest16_{en} than if we had trained and tested in domain with Rest16_{en}. In that case, we would not be able to determine if the effect was due to dataset size, or some inter-domain interaction.

2.2 Models and Baselines

For contrasting generative and discriminative model types, we consider a representative model for each, which shows state of the art performance in the ABSA task. For the generative model, we use the approach proposed in (Zhang et al., 2021), which is an encoder-decoder T5-based model. This model takes a review as input and generates the aspects with their polarities. The aspect-polarity terms have the following format: "service positive <sep> food negative", indicating the presence of two aspect terms ("service" and "food"), with the associated polarities ("positive" and "negative"). Since there can be multiple aspect-polarity pairs in a single review, we add a separator token "<sep>" to demarcate a separation between multiple aspect-polarity pairs. In the mono-lingual setting, the model is trained on English and generates English aspect-polarity pairs. When we move to the cross-lingual setting, we ask a multilingual model to generate aspect-polarity pairs for a language that was not used in the training process. Thus, we use an approach that augments the training data with a version of itself translated automatically to the test language (Riabi et al., 2021). This does not require additional annotated data to solve the issue. In Appendix A.2, we give more details regarding this approach.

For the discriminative model, we consider the SPAN-BERT model (Hu et al., 2019) which is a state-of-the-art model that uses a BERT transformer. It has a good performance in mono-lingual in-domain datasets, and has been used as a baseline for the generative model released by Zhang et al. (2021). The model extracts spans (continuous spans of text) for multiple target aspect terms using a decoder heuristic and then classifies their polarities using contextualised span representations. The discriminative and generative models referenced above use transformers trained solely on English, so we need to modify them before training on other languages. To make our experiments consistent, we use multilingual versions of the base transformers. For the generative model, we use the multilingual T5 (mT5-base) model (huggingface implementation¹). For the SPAN-BERT model, we use the multilingual BERT model from Google².

¹https://huggingface.co/transformers/model_doc/mt5.html

²<https://github.com/google-research/bert/blob/master/multilingual.md>

Domain _{Lang}	Discriminative	Generative
Rest16 _{En}	0.56	0.58
Rest16 _{Es}	0.63	0.58
Rest16 _{Ru}	0.47	0.42
Lap14 _{En}	0.50	0.36
MAMS _{En}	0.54	0.44

Table 2: Mono-lingual and in-domain F1 scores. Bolded results are the best among models.

In order to understand the performance of both models, we set two baselines: mono-lingual in-domain, and a random selection baseline. In the mono-lingual in-domain, we train each model on each dataset to define the theoretical performance ceiling. The random baseline will allow us to see if our cross-lingual or domain results are better than chance. In the random baseline, we have the model pick aspect words from the text (excluding stop words), and their polarities at random. For further details refer to Appendix A.5. For consistency of results, we apply the same data normalization steps for both models. Appendix A.3 gives details of the normalization and matching process.

3 Results and Discussion

3.1 Monolingual and In-Domain

First, we evaluate models with the train and test data of the same dataset type and language, and we get the results of the random selection baseline. Table 2 presents the results. For detailed results refer to Appendix A.6. From a mono-lingual perspective, we can see that the discriminative model performs better than the generative model for all datasets except *Rest16_{en}*. During our experiments, we also evaluated models using the mono-lingual version of the transformers models, and we had noticed a similar scenario; the generative approach performed better than the discriminative one in only *Rest16_{en}* and *Lap14_{en}* datasets. Thus, it seems that the generative approach can only perform better on English datasets. The random baseline results in all the datasets are around 4% F1 (individual results can be seen in 6)

3.2 Cross-Lingual

Table 3 presents the cross-lingual results. For detailed results refer to Appendix A.6. From a cross-lingual perspective, we can clearly see that all models, perform above random guess which is nearly 4% F1 (Table 6). Regarding the discriminative model with the Spanish and Russian test sets, we notice that we obtain the highest results when we

Train → Test	Discriminative	Generative
<i>Es</i> → <i>En</i>	0.51 (-6%)	0.34 (-24%)
<i>Ru</i> → <i>En</i>	0.53 (-3%)	0.45 (-13%)
<i>En</i> → <i>Ru</i>	0.44 (-3%)	0.27 (-15%)
<i>Es</i> → <i>Ru</i>	0.42 (-5%)	0.29 (-13%)
<i>En</i> → <i>Es</i>	0.54 (-9%)	0.39 (-19%)
<i>Ru</i> → <i>Es</i>	0.52 (-11%)	0.45 (-13%)

Table 3: Cross-lingual F1 scores using Rest16 in several languages. Bolded results are best per model and test language. Bracketed %s show performance decrease compared to the mono-lingual, in-domain result 2.

Train → Test	Discriminative	Generative
Rest16 _{En} → Lap14 _{En}	0.29 (-21%)	0.21 (-15%)
MAMS _{En} → Lap14 _{En}	0.31 (-19%)	0.19 (-17%)
Lap14 _{En} → Rest16 _{En}	0.44 (-12%)	0.21 (-37%)
MAMS _{En} → Rest16 _{En}	0.47 (-9%)	0.38 (-20%)
Rest16 _{En} → MAMS _{En}	0.32 (-22%)	0.3 (-14%)
Lap14 _{En} → MAMS _{En}	0.29 (-25%)	0.12 (-32%)

Table 4: Cross-domain F1 scores. Bolded results are the best per model and test language. Bracketed % values show performance decrease compared to the mono-lingual, in-domain result 2.

train on English. And the largest decrease in performance is when we train on Russian and test on Spanish. Interestingly, when we train on Russian and test on the other languages, we obtain the highest results for the generative model. Overall, the performance drop of the generative cross-lingual results compared to the monolingual ones is high, considering the discriminative model’s results. We can conclude that the discriminative model generalizes better than the generative one in the cross-lingual setting.

3.3 Cross-Domain

Table 4 presents the cross-domain results. More details can be found in Appendix A.6. Generally, considering both models’ results, training on *Rest16_{En}* and *MAMS_{En}* datasets produced the highest results. Like the *Rest16* dataset, *MAMS* contains reviews related to restaurants. Thus it is not surprising that training on one of these two datasets and testing on the other gives higher results compared to training on *Lap14*. However, we can see that this gap is larger when we experiment with the generative model. This observation demonstrates that the generative model is more domain sensitive.

3.4 Cross-Lingual and Cross-Domain

In this experiment, we evaluate both models in an extreme setting, which combines the previous cross-lingual and cross-domain. Table 5 shows the

Train → Test	Discriminative	Generative
Rest16_{Es} → Lap14_{En}	0.3 (-20%)	0.17 (-19%)
Rest16_{Ru} → Lap14_{En}	0.28 (-22%)	0.16 (-20%)
Lap14_{En} → Rest16_{Es}	0.54 (-9%)	0.33 (-25%)
Lap14_{En} → Rest16_{Ru}	0.34 (-13%)	0.27 (-15%)

Table 5: Cross-domain and cross-lingual F1 scores. Bolded results are the best per model and test language, when more than 1 train language to compare. Bracketed % values show performance decrease compared to the mono-lingual, in-domain result 2.

evaluation results. More details can be found in Appendix A.6. We can see a larger drop compared to the results in the cross-lingual experiment (see 3), except when we test on Rest16_{es} using the discriminative model; training on Rest16_{en} or Lap14_{en} gives the same F1 result. Similar to the previous results, the generative model achieves lower results compared to the discriminative one.

4 Discussions and Conclusion

In this work, we compared two types of ABSA models in terms of performance differences by considering a state-of-the-art model for each type as a representative. We compared those models across languages and domains. Previous studies showed that generative models achieve higher results than the discriminative ones across almost all the available English ABSA datasets. However, the results in our study demonstrated that generative models can perform worse than the discriminative ones in almost all of the proposed scenarios, namely, cross-lingual, cross-domain, and cross-lingual and domain. We conduct an error analysis to understand the scenarios where the models fail in Appendix A.4.

We experimented with datasets from three languages, and from three different domains. Briefly, the results showed that generative models can be more language and domain sensitive. Generative models have a challenging task: they must learn a joint probability over all words. This is in contrast to discriminative models which need only learn a small set of decision boundaries. Generative models sample words from the entire data distribution and might be more sensitive to the training data size compared to discriminative models which classify only the words in the original sentence. This intuition is supported by existing literature (Ng and Jordan, 2002). Given that we have only 857 instances for training, the generative model did not generalize as good as the discriminative in the other

domains or languages. Additionally, it is possible that the evaluation process is very strict and hurts the generative model (see Appendix A.3).

The generative model outperformed the discriminative model in only one English mono-lingual experiment, perhaps due to a favourable bias in the mT5 model towards the English language. Recent studies showed that Multilingual encoder-decoder transformers do not perform well in languages other than English (Tang et al., 2020; Fan et al., 2021). Notably, we see that the discriminative model does better on English monolingual in-domain cases of Lap14_{en} (contrary to Zhang et al. (2021)) and MAMS_{en}. We attribute this apparent contradiction for Lap14_{en} to the different evaluation technique and the multilingual encoder variant. Moreover, in case of MAMS_{en}, a small sized training dataset could have had adverse effects since it is a challenging dataset.

Another reason for the variation in results could be that each model uses a different encoder. The discriminative model uses mBERT encoder whereas the generative one uses an mT5 encoder. We do not make the encoders consistent in the models to avoid making drastic changes to ABSA models with proven good performance in the literature. Nevertheless, our results are a useful comparison of generative and discriminative model types since we compare their state-of-the-art representatives to draw conclusions on why generative models are not always preferable.

Considering the random selection baseline in our experiments, we can conclude that generative models are capable of generating correct aspects and polarities. The results showed that the generative model, in the worst case (training on Lap14_{En} and testing on MAMS_{En}), performs better than the random baseline by 8% F1. On the other hand, the discriminative model in the worst case (training on Rest16_{Ru} and testing on Lap14_{En}), performed better than the random baseline by 25% F1.

These results argue against adopting generative models as the defacto standard for all ABSA tasks as discriminative models are more accurate in some settings. For future work, we plan to study other generative models in this task. We also plan to study both types of models in other scenarios like conflicting polarities (aspects with both positive and negative polarities).

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A Appendix

A.1 Dataset Cleanup

We follow existing work (Tian et al., 2021; Tang et al., 2016) in removing sentences with no opinions (not useful for the considered ABSA task), as well as sentences having aspect terms with a "conflict" sentiment polarity, from the dataset. This is to prevent a class imbalance problem, as there are very few instances of "conflict", compared to other polarities.

A.2 Generative models with Cross-lingual Setting

In this section, we provide more details regarding the proposed approach in (Riabi et al., 2021) to solve the issue of controlling the generated language. The idea of the method is that, for instance, when we train on English and generate for Spanish, we translate the English training data to Spanish (using Google Translator) and we include it in the training part with the original English language. Additionally, to control the target language, we use a specific prompt (token) per language (<LANG>), which corresponds to the desired target language (e.g. Spanish : Spanish_review). When we translate a language into another, we discard instances that their translated aspect terms do not exist in the translated review. This is important for SPAN-BERT models as terms indices are needed. Also, we sample an equal number of translated training instances in all the languages (507 instances per language), as we prepared the monolingual training data. For consistency, we train SPAN-BERT model on the same data.

A.3 Preprocessing for Evaluation

We find that the generative model sometimes generates a different variant of a term, e.g. plural or singular. Prior to evaluating the model outputs, we perform a normalisation process. For normalising, we remove punctuation marks such as ", " , " , " , " , " from the sentences, lower-case and lemmatise the words, and remove common stop words. This idea of normalising the generated output is similar to Zhang et al. (2021), where Levenshtein distance is used to align the generated aspect words with the closest words existing in the original sentence.

Compared to this, our normalisation process followed by an exact matching is stricter. Levenshtein distance may align the model’s predictions with unrelated words in the original sentence. For example, if a generated word - "salmon", has the least distance with the word "not" out of all the words in the original sentence, then "salmon" can get aligned to "not", as is mentioned by Zhang et al. (2021), which is a loose matching.

After model outputs and gold data are normalised, an exact match is made to compare the predicted aspect polarity terms with corresponding aspect polarity terms in the gold data. We consider a hit only if both the aspect term and the polarity term match. We use the standard evaluation metrics for calculating ABSA scores, which are Micro-Precision, Recall and F1. We use the evaluation code released by Li et al. (2019a)³.

A.4 Error Analysis

We conduct an error analysis on the outputs of the models to better understand the cases where they fail.

For the discriminative model, we found that in a large number of the error cases (nearly 40% in Rest_{en} monolingual in-domain case), the model did not predict any aspect term at all. This means that the SpanBert model was not able to confidently identify any possible aspect term spans. E.g. for the following sentence, the model fails to predict an aspect term: "Not the biggest portions but adequate." Since SpanBert uses thresholds to compute scores (representing confidence), it is possible that the model was not sufficiently confident in its predictions. we also found several cases where the model gets the aspect term correct but the sentiment incorrect, such as for the sentence "i am never disappointed with there food."; it gives "food" a negative sentiment instead of a positive. Here, it can be seen that the underlying language model (BERT) did not understand the word "never" in the sentence, and instead understood the sentiment from "disappointed" which has negative connotations. This can be attributed to the fact that language models like BERT misunderstand some negations (Kassner and Schütze, 2020). Another common error is where the predicted and gold spans have some overlap, but are not an exact match. This can be seen in cases such as "La atención del personal impecable." ("The attention of the impeccable staff.") where the

³<http://github.com/lixin4ever/E2E-TBSA>

531 predicted aspect term is "personal" ("staff") instead
532 of "atención del personal" ("attention of the staff").

533 As in the discriminative model, in the genera-
534 tive model we saw several cases where the pre-
535 dicted phrase is incorrect, though it refers to the
536 same entity conceptually. For instance, in the sen-
537 tence "Great draft and bottle selection and the pizza
538 rocks.", the predicted entities include "bottle selec-
539 tion" instead of "draft and bottle selection". Such
540 predictions would not have been considered errors
541 if we had gone with a partial matching approach
542 like Zhang et al. (2021). Other notable cases in-
543 cluded those where a similar entity is predicted
544 instead. For example, for the sentence - "The best
545 calamari in Seattle!", the generative model gener-
546 ated "salmon" as an aspect term instead of "cala-
547 mari". This does show that the language model
548 understood the similarity between calamari and
549 salmon, however it did not understand that for the
550 task it was supposed to predict a word from the
551 input sentence itself, and not make such inferences.
552 Similarly, for the sentence "Un sitio recomendable
553 en pleno centro de barcelona" ("A recommended
554 place in the heart of barcelona"), it generates "co-
555 mida" ("meal") as an aspect term instead of "sitio"
556 ("site"). There is also an indication the model
557 needs more data to understand adjectives. This
558 can be seen from examples such as - for the sen-
559 tence "Mediocre food", the model predicts "food"
560 as positive instead of neutral as it is misinterprets
561 "Mediocre".

562 A.5 Random Baseline

563 We consider a random model for evaluating the per-
564 formance of the considered models. However, we
565 do not simply randomly assign positive, negative,
566 neutral or none labels to randomly selected words
567 in a sentence. Instead, we produce predictions in
568 a slightly less strict way. Firstly, we select aspect
569 words from words in the sentences (disregarding
570 stop words). Then, we consider the distribution
571 of different polarities for the aspect terms in the
572 gold predictions (this gives our random baseline a
573 positively biased edge), and assign polarities to the
574 aspect words in the training data based on those
575 distributions.

576 A.6 Detailed Results

577 In this section we have the detailed results for the
578 experiments we conducted. The precision, recall
579 and F1 values can be found here.

580 Table 6 gives the detailed results for the experi-
581 ments conducted for the mono-lingual in-domain
582 case, including the results for the random baseline.
583 This contains more details compared to Table 2.
584 Similarly, we have Tables 7, 8 and 9 which are
585 detailed versions of Tables 3, 4 and 5 respectively

Domain _{Lang}	Discriminative			Generative			Random Selection		
	P	R	F1	P	R	F1	P	R	F1
Rest16 _{En}	0.67	0.48	0.56	0.64	0.52	0.58	0.07	0.04	0.05
Rest16 _{Es}	0.65	0.60	0.63	0.67	0.51	0.58	0.07	0.03	0.05
Rest16 _{Ru}	0.47	0.48	0.47	0.46	0.39	0.42	0.06	0.04	0.05
Lap14 _{En}	0.48	0.52	0.50	0.4	0.33	0.36	0.05	0.02	0.03
MAMS _{En}	0.53	0.55	0.54	0.48	0.4	0.44	0.06	0.03	0.04

Table 6: Mono-lingual and in-domain results. Bolded results are the best among models.

Train → Test	Discriminative			Generative		
	P	R	F1	P	R	F1
Rest16 _{Es} → Rest16 _{En}	0.58	0.45	0.51 (-6%)	0.48	0.26	0.34 (-24%)
Rest16 _{Ru} → Rest16 _{En}	0.55	0.51	0.53 (-3%)	0.6	0.36	0.45 (-13%)
Rest16 _{En} → Rest16 _{Ru}	0.53	0.37	0.44 (-3%)	0.43	0.20	0.27 (-15%)
Rest16 _{Es} → Rest16 _{Ru}	0.42	0.43	0.42 (-5%)	0.52	0.21	0.29 (-13%)
Rest16 _{En} → Rest16 _{Es}	0.75	0.42	0.54 (-9%)	0.55	0.3	0.39 (-19%)
Rest16 _{Ru} → Rest16 _{Es}	0.59	0.46	0.52 (-11%)	0.62	0.35	0.45 (-13%)

Table 7: Cross-lingual results. Bolded results are the best per model and test language. The percentage values between brackets represent the amount of drop compared to the mono-lingual and in-domain result.

Train → Test	Discriminative			Generative		
	P	R	F1	P	R	F1
Rest16 _{En} → Lap14 _{En}	0.28	0.3	0.29 (-21%)	0.42	0.14	0.21 (-15%)
MAMS _{En} → Lap14 _{En}	0.41	0.25	0.31 (-19%)	0.23	0.16	0.19 (-17%)
Lap14 _{En} → Rest16 _{En}	0.46	0.43	0.44 (-12%)	0.34	0.15	0.21 (-37%)
MAMS _{En} → Rest16 _{En}	0.51	0.44	0.47 (-9%)	0.36	0.42	0.38 (-20%)
Rest16 _{En} → MAMS _{En}	0.38	0.27	0.32 (-22%)	0.39	0.24	0.3 (-14%)
Lap14 _{En} → MAMS _{En}	0.33	0.27	0.29 (-25%)	0.29	0.07	0.12 (-32%)

Table 8: Cross-domain results. Bolded results are the best per model and test language. The percentage values between brackets represent the amount of drop compared to the mono-lingual and in-domain result.

Train → Test	Discriminative			Generative		
	P	R	F1	P	R	F1
Rest16 _{Es} → Lap14 _{En}	0.31	0.28	0.3 (-20%)	0.31	0.11	0.17 (-19%)
Rest16 _{Ru} → Lap14 _{En}	0.3	0.26	0.28 (-22%)	0.24	0.12	0.16 (-20%)
Lap14 _{En} → Rest16 _{Es}	0.53	0.56	0.54 (-9%)	0.48	0.25	0.33 (-25%)
Lap14 _{En} → Rest16 _{Ru}	0.53	0.25	0.34 (-13%)	0.47	0.18	0.27 (-15%)

Table 9: Cross-domain and cross-lingual results. Bolded results are the best per model and test language. The percentage values between brackets represent the amount of drop compared to the mono-lingual and in-domain result.