Extending deliberate degradation of an artificial neural language model to induce dementia-like deficits

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Abstract

 Recent advances in speech and language tech- nologies aim to leverage clinical information embedded in a person's language abilities to automatically assess cognitive health and func- tion. In this work, we investigate possible per- turbations of large language models that could lead to behaviors compatible with those ob- served in clinical conditions. In particular, we perturb GPT-2 to observe the impact on a gen- eration task used to assess Alzheimer's demen- tia (AD). Our work achieves statistically sig- nificant degradation of the model, and addi- tional classification experiments demonstrate 014 that lexico-syntax is the most impacted linguis- tic apparatus during deliberate degradation of **GPT-2.** These findings could inform diagnostic pathways and medical interventions of AD.

018 1 Introduction

 By 2050, the global population of people aged 60 years and older is expected to double to 2.1 bil- lion people [\(of Economic and Division,](#page-9-0) [2017\)](#page-9-0). For Alzheimer's dementia (AD), age is the strongest known risk factor [\(Organization et al.,](#page-10-0) [2017\)](#page-10-0), as the brain becomes more damaged over time, and this necessitates improved strategies for detection to provide timely interventions for the best outcomes possible [\(Porsteinsson et al.,](#page-10-1) [2021\)](#page-10-1). AD is a clin- ical condition that leads to cognitive impairment and decline. Subtle changes in a person's speech and language can offer insights into the nature of such decline, particularly in cognitive-linguistic structures and their function in the brain. The bat- tery of tests employed during diagnosis entails a significant speech and language assessment com- [p](#page-9-1)onent which can be leveraged therein [\(Hernández-](#page-9-1)[Domínguez et al.,](#page-9-1) [2018;](#page-9-1) [Sanborn et al.,](#page-10-2) [2022\)](#page-10-2).

 In this context, computational methods can of- fer a framework to simulate cognitive decline and approximate or simulate the linguistic deficits [t](#page-9-2)hat arise in patients diagnosed with AD [\(Borge-](#page-9-2) [Holthoefer et al.,](#page-9-2) [2011;](#page-9-2) [Li et al.,](#page-9-3) [2022\)](#page-9-3). For in- **041** stance, neural deep learning (DL) models, which **042** have proven to be useful on classification tasks **043** among others [\(de la Fuente Garcia et al.,](#page-9-4) [2020\)](#page-9-4), **044** have also been investigated in the context of $\qquad \qquad 045$ classifying clinical conditions, such as in the **046** Alzheimer's Dementia Recognition through Spon- **047** taneous Speech (ADReSS) Challenge [\(Luz et al.,](#page-9-5) **048** [2020\)](#page-9-5). Insights from such investigations have po- **049** tential for deriving knowledge that may guide clin- **050** ical directions [\(Mota et al.,](#page-9-6) [2012\)](#page-9-6). However, this **051** requires bespoke approaches that take into account **052** the characteristics of the base model. For instance, **053** using DL models can be challenging due to the **054** quantity and quality of domain-specific data to clin- **055** ical conditions, which require novel methodologies. **056** Moreover, the particularities of DL architectures **057** may also play a role in the results obtained. **058**

In this work, we investigate a method of degrad- **059** ing LMs to understand the impact on language use **060** and the linguistic apparatuses that underlie them, **061** building on the approach proposed by [\(Li et al.,](#page-9-3) **062** [2022\)](#page-9-3). Although the brain is extremely complex **063** and we cannot yet align the inner workings of the **064** brain exactly to computational models, to explore **065** how cognitive decline affects linguistic apparatuses **066** in those diagnosed with AD, we simulate this de- **067** cline through deliberate degradation of a genera- **068** tive LM. Evaluation of how this degradation im- **069** pacts specific linguistic abilities of the LMs focuses **070** on syntactic and semantic tasks. We also investi- **071** gate the impact of degradation of different parts of **072** the architecture on performance, concentrating on **073** transformer-based models, given their wide adop- **074** tion for language tasks. In particular we aim to **075** answer the following core research questions: **076**

- Given their opacity, how might we effectively **077** compare the degradation in deep neural mod- **078** els and the brain? **079**
- To what extent this method to simulate cog- **080**
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081 nitive decline in neural LMs reflects the way **082** in which such decline manifests in humans **083** diagnosed with AD?

- **084** What linguistic apparatus is most likely to be **085** affected in AD?
- **086** Are the effects specific to parts of the archi-**087** tecture? Or are they uniform and robust?

 This paper joins other computational evaluations of early detection of cognitive decline leading to AD [\(Hernández-Domínguez et al.,](#page-9-1) [2018\)](#page-9-1). It starts with a discussion of related work (§[2\)](#page-1-0) and of the methods adopted (§[3\)](#page-2-0). The results (§[4\)](#page-4-0) are dis- cussed (§[5\)](#page-6-0) along with conclusions and future work (§[6\)](#page-7-0). Insights from these studies may inform diag- nostic pathways and therapeutic treatments involv-ing language for those diagnosed with AD.

⁰⁹⁷ 2 Related Work

 Reported changes in language use due to cognitive decline affect different apparatuses of language, including aspects of syntax and semantics. For example, a decrease in lexical diversity and longi- tudinal changes in lexical choices preceding an AD diagnosis were reported by [Berisha et al.](#page-8-0) [\(2015\)](#page-8-0) [a](#page-9-7)nd corroborated by [Aramaki et al.](#page-8-1) [\(2016\)](#page-8-1); [Kavé](#page-9-7) [and Dassa](#page-9-7) [\(2018\)](#page-9-7); [Vincze et al.](#page-10-3) [\(2022\)](#page-10-3); [Lira et al.](#page-9-8) [\(2014\)](#page-9-8). From a computational perspective, these changes have been modeled with machine learn- ing (ML) classifiers trained on features derived from language samples from the target groups. For instance, different dementia types were classi- fied on the basis of semantic verbal fluency tasks and on features derived from word embeddings [\(Paula et al.,](#page-10-4) [2018\)](#page-10-4) or from speech graphs model- ing speech as a series of nodes (representing the words) and edges (representing the temporal se- [q](#page-8-2)uence in which the words were spoken) [\(Bertola](#page-8-2) [et al.,](#page-8-2) [2014\)](#page-8-2).

 Moreover, in certain neurological disorders, se- mantic memory can be impaired, and, for instance, people with AD often find it increasingly difficult to categorize and name items as their memory deficits worsen, which is one known behavior attributed to a word finding difficulty [\(Almor et al.,](#page-8-3) [1999\)](#page-8-3). The [n](#page-9-9)etwork theory of semantic memory [\(Collins and](#page-9-9) [Loftus,](#page-9-9) [1975\)](#page-9-9) has formed a basis for computational modeling. Degradation across the semantic net- work causes particular difficulty on explicit seman-tic tasks, such as picture naming and word-picture

matching [\(Altmann and McClung,](#page-8-4) [2008\)](#page-8-4), and an **129** unexpected "hyperpriming" effect has been known **130** to occur in people with AD [\(Chertkow et al.,](#page-9-10) [1989;](#page-9-10) **131** [Rogers and Friedman,](#page-10-5) [2008\)](#page-10-5). Using percolation **132** theory, [\(Borge-Holthoefer et al.,](#page-9-2) [2011\)](#page-9-2) modeled **133** a form of cognitive degradation of the semantic **134** memory to simulate this abnormal semantic prim- **135** ing effect by using semantic, free association net- **136** [w](#page-9-11)orks created from psycholinguistic tests [\(Nelson](#page-9-11) **137** [et al.,](#page-9-11) [1998\)](#page-9-11). The consequences of this global degra- **138** dation are an impoverished network, where some **139** relationships are reinforced and other weaker links **140** disappear altogether, corroborated by its effect in **141** humans [\(Chertkow et al.,](#page-9-10) [1989\)](#page-9-10). In another study, **142** data from participants responding to a virtual, on- **143** screen agent regarding questions about their mem- **144** ory and well-being could be used to distinguish be- **145** tween AD and Mild Cognitive Impairment groups **146** using a fully automated classification system (Cog- **147** noSpeak, [O'Malley et al.](#page-10-6) [\(2021\)](#page-10-6)). These innovative **148** projects may help define new diagnostic pathways **149** to address a lack of accessibility to screening ser- **150** vices for cognitive decline, accelerating waiting **151** times and clinical directions, among other benefits. **152**

The availability of data from initiatives like the **153** Alzheimer's Dementia Recognition using Sponta- **154** neous Speech (ADReSS) challenge [\(Luz et al.,](#page-9-5) **155** [2020\)](#page-9-5) (which has become the most commonly **156** used dataset for AD detection (Ševčík and Rusko, 157 [2022\)](#page-10-7)), has enabled a wealth of new research ex- **158** amining the applicability of advances in natural **159** language processing (NLP) and speech processing **160** techniques. For instance, in response to method- **161** ological challenges of using DL models on limited **162** data [Li et al.](#page-9-3) [\(2022\)](#page-9-3) presents a novel approach **163** to deliberate degradation, perturbing DL trans- **164** former models by modifying parameters in the **165** architecture, approaching state-of-the-art perfor- **166** mance (SOTA) on ADReSS data using a paired 167 perplexities approach. **168**

In this work, we extend the methodology of [Li](#page-9-3) **169** [et al.](#page-9-3) [\(2022\)](#page-9-3) in a novel way to investigate how mod- **170** ifying additional parameters in the DL transformer **171** models' structure impacts its performance on a text 172 generation task. We aim to elucidate model degra- **173** dation as a future avenue for exploring the impacts **174** of cognitive decline on linguistic function. We in- **175** vestigate the effects on generation and on semantic **176** tasks to determine if these are compatible with em- **177** pirical data. We also examine vulnerabilities of **178** different parts of the architecture and how these **179**

180 perturbations affect performance.

¹⁸¹ 3 Methods

 The methods described in this work extend a tech- nique by [Li et al.](#page-9-3) [\(2022\)](#page-9-3) of deliberate degradation of GPT-2 [\(Radford et al.,](#page-10-8) [2019\)](#page-10-8) to understand how damaging linguistic apparatuses impacts text gen- eration. We compare the results to the impact that AD has on a person's performance on a speech elicitation task: the Cookie Theft Description Task [\(Goodglass et al.,](#page-9-12) [2001\)](#page-9-12).

 Two versions of GPT-2 are used for evaluation, following [Li et al.](#page-9-3) [\(2022\)](#page-9-3): the off-the-shelf GPT-2, taken as the "control" model, and the degraded and impaired versions of GPT-2 as "GPT-D". They are probed to generate text based on a synthetic Cookie [T](#page-9-13)heft Picture Description narrative created by [Bird](#page-9-13) [et al.](#page-9-13) [\(2000\)](#page-9-13). As neural LMs are sensitive to lexical frequency [\(Cohen and Pakhomov,](#page-9-14) [2020\)](#page-9-14), lexical frequency and type-to-token ratio (TTR) are cal- culated, and a two-sided Welch's t-test is used to obtain p-values. The results are further evaluated [b](#page-9-15)y classifying the text generated using BERT [\(De-](#page-9-15) [vlin et al.,](#page-9-15) [2018\)](#page-9-15) fine-tuned on the ADReSS dataset and the Corpus of Linguistic Acceptability (CoLA) [\(Warstadt et al.,](#page-10-9) [2019\)](#page-10-9).

205 3.1 Datasets

 ADReSS [\(Luz et al.,](#page-9-5) [2020\)](#page-9-5) is a fully balanced dataset in terms of age and gender containing re- sponses from participants with and without a diag- nosis of AD to the Cookie Theft Picture Descrip- tion Task. We use the transcriptions available in the CHAT transcription format [\(MacWhinney,](#page-9-16) [2009\)](#page-9-16).

 Additional classifiers were trained on the tok- enized in-domain set of the Corpus of Linguistic Acceptability (CoLA), which contains sentences sampled from published linguistic works and anno-tated for grammatically [\(Warstadt et al.,](#page-10-9) [2019\)](#page-10-9).

 As using additional descriptions of the Cookie Theft Picture Description Task seems to improve classification performance [\(Guo et al.,](#page-9-17) [2021\)](#page-9-17), we use the descriptions from the CognoSpeak dataset, which includes 41 control and 24 dementia tran- scripts across a variety of ages and gender groups. These include both manual and automatically recognised speech transcriptions.

 To test semantic understanding we use the LAn- guage Modeling Broadened to Account for Dis- [c](#page-10-10)ourse Aspects (LAMBADA) dataset [\(Paperno](#page-10-10) [et al.,](#page-10-10) [2016\)](#page-10-10), consisting of narrative passages that

humans can complete given the rest of the passage, **229** as such, models should predict the final word of **230** a passage. LAMBADA was used to evaluate lan- **231** [g](#page-10-8)uage understanding in the original GPT2 [\(Radford](#page-10-8) **232** [et al.,](#page-10-8) [2019\)](#page-10-8) and decent performance was shown. **233**

3.2 Degrading a transformer model **234**

To modify and degrade GPT-2 to explore impact on **235** its text generation abilities, we extend the method **236** of [Li et al.](#page-9-3) [\(2022\)](#page-9-3). However, our motivation for us- **237** ing the same transformer model (GPT-2) diverges: **238** while [Li et al.](#page-9-3) [\(2022\)](#page-9-3) motivate the use of GPT-2 239 for experimentation because it was found to be ar- **240** guably the most cognitively plausible transformer **241** model, in this work we do not explore the cognitive **242** plausibility argument from [\(Schrimpf et al.,](#page-10-11) [2021\)](#page-10-11). **243**

3.2.1 GPT-2 Impairment 244

GPT-2, a generative transformer model pre-trained **245** on English data [\(Radford et al.,](#page-10-8) [2019\)](#page-10-8), is used **246** to generate additional text based off a synthetic **247** Cookie Theft Picture description [\(Bird et al.,](#page-9-13) [2000\)](#page-9-13). **248** From GPT-2 (simple) several impairment configu- **249** rations are created by breaking the attention heads **250** at a number of different layers within the self- **251** attention mechanism.[1](#page-2-1) "Impairment" here refers **252** to masking, or zeroing, the values in different pat- **253** terns which "degrades" the model, and the impair- **254** ment patterns were informed by [Vig and Belinkov](#page-10-12) **255** [\(2019\)](#page-10-12) who analyzed the interaction between at- **256** tention in transformer models and syntax. We hy- **257** pothesize that breaking the attention heads using **258** various styles and combinations of layers will af- **259** fect the text generated from the resulting model. **260** In other words, it removes its access to values in **261** the attention layers and heads. By impairing the **262** internal structures that store specific kinds of lin- **263** guistic information, we investigate how the loss of **264** such information imbued in the layers, caused by **265** zeroing the values, may lead to generated text that **266** resembles the speech of those diagnosed with AD. **267**

3.2.2 Artificial Impairment: Locations **268**

To determine the portions of values and locations at **269** which we will perform the artificial impairment, we **270** follow [Li et al.](#page-9-3) [\(2022\)](#page-9-3), who found that the impair- **271** ment of 50% of the values (out of 25%, 50%, 75% **272** and 100%) at the corresponding locations, yielded **273** the best results. However, unlike [Li et al.](#page-9-3) [\(2022\)](#page-9-3), **274**

¹Functionalities for these experiments are from [Li et al.](#page-9-3) [\(2022\)](#page-9-3) available in [https://github.com/LinguisticAnomalies/hammer-nets/](https://github.com/LinguisticAnomalies/hammer-nets/blob/master/scripts/util_fun.py)

Figure 1: GPT-2 architecture and GPT-D impairment styles

 we focus exclusively at the self-attention mech- anism instead of other areas of the GPT-2 trans- former architecture, since they found that patterns of artificial impairment at other locations, namely the embeddings and feed-forward network compo- nents, did not yield the expected impact on produc-ing different discourse.

282 3.2.3 Artificial Impairment: Patterns

 Within the 12 layers and 12 attention heads per layer, we followed a number of different combina- tions of impairing the layers and attention heads. The self-attention mechanism in GPT-2 contains concatenated Query-Key-Value matrices that pre- cede a feed-forward layer. We use the 'random' (RAN) masking style [\(Li et al.,](#page-9-3) [2022\)](#page-9-3), in which the values in the attention heads are randomly set to zero exclusively at the Values matrix, "as their parameters directly determine the content of the vectors that are passed onto the subsequent feed-forward layer" [\(Li et al.,](#page-9-3) [2022\)](#page-9-3).

 We extend this by impairing the parameters of the entire concatenated Query-Key-Value matrices under a new type of impairment pattern called "an- nihilate" (ANH). We want to explore how much the generated text will be affected when both the amount of attention directed towards items in the sentence sequence and to where the mechanism is directing its attention are impaired. The ANH pattern considers all possible parameters in the con- catenated Query-Key-Value matrices for masking instead of just those at the Value matrix, which can be seen to provide information pertaining to the impact of each token on a given token's rep- resentation. As such, zeroing out the parameters would remove the impact of that token in calculat- ing the self-attention of the other tokens. The two impairment styles are indicated in Figure [1.](#page-3-0)

Similarly, we hypothesize that zeroing out the **312** parameters of the attention matrix corresponding **313** to the Key and the Query will additionally divert **314** the self-attention away from the tokens that would **315** ordinarily be used in calculating a token's repre- **316** sentation. This is because the Query and the Key 317 provide the relative importance of different tokens **318** in calculating the representation of a given token. **319**

The impairment patterns were further motivated **320** by analyses of the structure of attention in trans- **321** formers, focusing on different properties of syntax **322** and its interplay with attention at different layer **323** depths [\(Vig and Belinkov,](#page-10-12) [2019\)](#page-10-12). We frame our **324** investigation of observing the impact of AD by **325** adopting a division between syntax and semantics. **326** As such, the patterns of impairment are as follows: **327**

- Layers 1-6, seem to align syntactic depen- **328** dencies with attention most strongly [\(Vig and](#page-10-12) **329** [Belinkov,](#page-10-12) [2019\)](#page-10-12), and we expect that masking 330 the parameters at these layers will produce the **331** most impacted text generated from the GPT-D **332** model(s) in terms of syntactic correctness and **333** grammaticality. 334
- Layers 6-12 seem to capture the longest-range **335** relationships and semantic information [\(Vig](#page-10-12) **336** [and Belinkov,](#page-10-12) [2019;](#page-10-12) [Belinkov et al.,](#page-8-5) [2018\)](#page-8-5), **337** and we expect that masking at these layers **338** will impact the generated text differently than 339 at layers 1-6, with less of an effect on syntactic **340** correctness and grammaticality. **341**

3.2.4 Evaluation and Metrics **342**

We measure the effect of impairing attention layers **343** by using the generated text from GPT-2 and GPT-D **344** to calculate the p-value using a two-sided Welch's **345** t-test. The p-value measures the statistical signifi- **346** cance in the difference between GPT-2 and various **347**

		Lexical frequency	Type-to-Token Ratio	p-values	
Impairment configurations	GPT-2	GPT-D	$GPT-2$	GPT-D	
Layers 1-6 (RAN)		0.25	0.71	0.40	0.083
Layers 7-12 (RAN)		0.08	0.72	0.64	0.159
Layers 1-6 (ANH)		0.4	0.74	0.55	$0.005*$
Layers 7-12 (ANH)			0.73	0.52	NaN

Table 1: Results of p-values calculated from two-sided Welch's t-test, lexical frequency values, and type-to-token ratio (TTR) values

 GPT-D models (**p < 0.05). This p-value score captures two key word repetition metrics, lexical frequency and TTR [\(Li et al.,](#page-9-3) [2022\)](#page-9-3), which have shown to draw parallels with linguistic patterns produced by those with AD. For this framework, this serves as the measure to determine if a text is "dementia-like." Although previous research has found that those with AD tend to exhibit word rep- etitions [\(Bucks et al.,](#page-9-18) [2000;](#page-9-18) [Berisha et al.,](#page-8-0) [2015\)](#page-8-0), suggesting it as a linguistic anomaly that may be indicative of dementia-like speech, there have also been conflicting findings about the effects of AD on language use [\(Altmann and McClung,](#page-8-4) [2008\)](#page-8-4).

³⁶¹ 4 Results

362 4.1 GPT-2 and GPT-D Impairment

 The control GPT-2 and the degraded GPT-D mod- els are probed with a beam search to generate the next best, non-empty 20 tokens following a syn- thetic Cookie Theft picture description [\(Bird et al.,](#page-9-13) 2000 .² We use the p-value as a measure of sta- tistical significance between the control and the degraded models, to evaluate the impact of the impairment experiments. Based on the results by [Li et al.](#page-9-3) [\(2022\)](#page-9-3), in accordance with the linguistic deficits that occur in those with dementia, the gen- erated text from GPT-D is expected to have higher lexical frequency values and lower TTR values, and the statistical significance to be observed more saliently in the impairment configurations that take place in the initial layers of the model. The results in Table [1](#page-4-2) mostly align with these expectations.

 While the TTR values are consistently lower for the GPT-D than for the GPT-2 counterparts as expected, there is no pattern for the effect on the initial 6 layers for the TTR values. There is, though, a pattern of higher lexical frequency values for the **383** initial 6 layers in both the RAN and ANH styles. **384**

4.2 Dementia Evaluation **385**

While the findings on the p-value metric is consis- **386** tent with those by [Li et al.](#page-9-3) [\(2022\)](#page-9-3), perhaps statis- **387** tical significance in word repetition (i.e., lexical **388** frequency and TTR) is not the only characteristic **389** affected in those with AD. We investigate this for **390** the p-value metric by fine-tuning BERT classifiers **391** on other datasets to see if BERT can accurately **392** classify speech from a 'control' group versus a **393** 'dementia' group of participants in the ADReSS **394** dataset. 395

Following [\(Li et al.,](#page-9-3) [2022\)](#page-9-3), we experimented on **396** BERT and DistilBERT, a lighter, distilled version **397** of BERT that retains 40% of the parameters while **398** [s](#page-10-13)till retaining 95% accuracy of BERT models [\(Sanh](#page-10-13) **399** [et al.,](#page-10-13) [2019\)](#page-10-13). [3](#page-4-3) Each participant response to the **⁴⁰⁰** Cookie Theft picture description task averaged 445 **401** words and was fed into the model as one sample **402** for fine-tuning. The results of these fine-tuning **403** experiments are detailed in Table [8](#page-12-0) in the appendix 404 section. Our best model on the evaluation accuracy **405** (T5) on the BERT ('bert-base-uncased') model ap- **406** proaches SOTA classification performance using **407** the ADReSS test set by [\(Balagopalan et al.,](#page-8-6) [2020\)](#page-8-6). **408**

What is particularly surprising is that GPT-D out- **409** put probabilities for the dementia label were classi- **410** fied as from the 'control' group, even though our **411** best BERT classifier, fine-tuned on ADReSS, ap- **412** proaches SOTA performance on the test set shown **413** in Table [2.](#page-5-0) We acknowledge that the GPT-D out- **414** put probabilities are marginally higher than those **415** of GPT-2, except for the impairment configuration **416** "Layers 7-12 (ANH)." **417**

To this end, we verify the viability of this BERT **418** classifier by feeding our BERT classifier the tran- **419**

²Additional information about the beam search for this language generation can be found in [\(Li](#page-9-3) [et al.,](#page-9-3) [2022\)](#page-9-3) and the text generation scripts in [https://github.com/LinguisticAnomalies/hammer-nets/](https://github.com/LinguisticAnomalies/hammer-nets/blob/master/scripts/util_fun.py)

³Pre-trained models were publicly available through OpenAI and the huggingface library and fine-tuned [\(Wolf et al.,](#page-10-14) [2020\)](#page-10-14).

	Probability of Dementia Classification			
Impairment	$GPT-2$	GPT-D		
configurations	Outputs	Outputs		
Layers $1-6$ (RAN)	33.77%	35.17%		
Layers 7-12 (RAN)	34.92%	38.16 %		
Layers 1-6 (ANH)	34.17 %	37.13 %		
Layers 7-12 (ANH)	34.11 %	32.72 %		

Table 2: Dementia evaluation of GPT-2/GPT-D outputs

 scripts from the CognoSpeak dataset. As these transcripts are also in response to the Cookie Theft picture description task, they are comparable to the ADReSS data and therefore can effectively mea-sure the viability of our classification task.

 While it is unsurprising that the control tran- scripts were classified as 'dementia' with only a 3.83% probability (Table [3\)](#page-5-1), we were surprised to see the dementia transcripts classified as 'dementia' with a percentage well below chance at 26.68%. While this is still greater than the probability with which the control transcripts were classified, it is still not high enough to find our BERT classifier as a viable way to distinguish speech from dementia participants or verify the p-value metric findings. To this end, we conclude that a BERT model fine- tuned on ADReSS data cannot sensibly classify text as 'control' or 'dementia.' We look to explore an additional BERT classification task fine-tuned on a different dataset to verify them instead.

Table 3: Dementia evaluation of CognoSpeak data

440 4.3 Syntactic Evaluation

 The generated outputs from GPT-D were found to be different and "dementia-like" in comparison to those of GPT-2 with statistical significance, par- ticularly in regards to the lexico-syntax apparatus. As such, we assess the grammaticality, or syntactic correctness, of the outputs to support this result.

 We fine-tune a BERT model on CoLA [\(Warstadt](#page-10-9) [et al.,](#page-10-9) [2019\)](#page-10-9) and report the cumulative results in Ta- ble [9](#page-13-0) of the appendix. The best performing model, T3, achieves an accuracy of 83.9% on the valida- tion dataset, and 84.21% accuracy on the test set. Table [4](#page-5-2) shows that our GPT-D model, impaired at the initial 6 layers using the RAN and ANH styles, **453** produced outputs that are found to be only 2.51% **454** and 3.43% linguistically acceptable, respectively, **455** which aligns with expectations. **456**

	Percentage of				
	Linguistic Acceptability				
Impairment	$GPT-2$	GPT-D			
configurations	Outputs	Outputs			
Layers 1-6 (RAN)	96.36%	2.51%			
Layers 7-12 (RAN)	98.22%	96.68%			
Layers 1-6 (ANH)	99.99%	3.43 $%$			
Layers 7-12 (ANH)	99.99%	93.71 %			

Table 4: Linguistic acceptability: GPT-2/GPT-D outputs

As a final measure, we use the CoLA classifier **457** on the ADReSS and CognoSpeak data themselves **458** to see if its findings align with our hypotheses on **459** how AD may impact the syntax apparatus. In con- **460** trast with our expectations, as shown in Table [5,](#page-5-3) **461** the control transcripts in both datasets are classified **462** as less linguistically acceptable than the dementia **463** transcripts. **464**

Table 5: Linguistic acceptability: ADReSS & CognoSpeak

4.4 Semantic Evaluation **465**

To evaluate the effect on semantic understanding **466** we employ the same impairment framework used 467 in the previous tasks on the LAMBADA dataset. **468** We also introduce 2 other variants on the RAN 469 strategy, RAN-Q and RAN-K, which impair the **470** Query and Key matrices respectively, rather than **471** the Value matrix. **472**

The results show that impairment in the lower **473** layers of the model (1-6) has the highest effect 474 on the performance in this semantic understanding **475** task across all impairment configurations, contrary **476** to the suggestions of [\(Vig and Belinkov,](#page-10-12) [2019\)](#page-10-12). **477** We also see that RAN-Q impairment has a larger 478 impact on performance than RAN-K and RAN im- **479** pairment, with the level of degradation similar to **480** that of the ANH impairment configuration. **481**

Impairment configurations	Accuracy
Layers 1-6 (RAN)	20.7%
Layers $1-6$ (RAN-K)	9.93%
Layers 1-6 (RAN-Q)	3.62%
Layers 1-6 (ANH)	4.05%
Layers $7-12$ (RAN)	33.04%
Layers $7-12$ (RAN-K)	20.03%
Layers $7-12$ (RAN-Q)	10.90%
Layers 7-12 (ANH)	11.76%

Table 6: Accuracy on the LAMBADA Dataset, averaged across 10 runs.

⁴⁸² 5 Discussion

483 5.1 GPT-2 Impairment Evaluation

 The p-value metric calculation from [\(Li et al.,](#page-9-3) [2022\)](#page-9-3) determines if generated text from GPT-2 can be said to reflect the linguistic anomalies that occur in the speech of those with AD. Our experiments im- pairing GPT-2 into various degraded configurations of GPT (GPT-D) indeed generated text based off of the synthetic Cookie Theft picture description task [\(Bird et al.,](#page-9-13) [2000\)](#page-9-13) that was distinct from GPT-2.

 Table [1](#page-4-2) details the lexical frequency, TTR, and p-value measures of GPT-D's generated text fol- lowing impairment. ANH in layers 1-6 is the only impairment pattern that shows a significant differ- ence between GPT-2 and GPT-D. However, the p-value is still lower for both impairment styles in layers 1-6 compared with RAN in layers 7-12. The implication of these results is two-fold:

 • Degradation is more impactful in the first 6 layers than the last 6 layers of attention. The GPT-D model impaired with the ANH pat- tern in the first 6 layers of attention produced the highest lexical frequency value, which aligns with increased word repetitions.

 The next highest lexical frequency used the RAN impairment pattern in layers 1-6, with lower lexical frequency from impairments in layers 7-12. This may indicate a difference between impairment at layers 1-6 and 7-12 for RAN, but the results do not show a statistically significant difference between GPT-2 and GPT-D for the p-value. The p-value for the deeper 6 layers was inconclusive.

514 To explain this, the first 6 layers of attention have **515** been found to be most strongly aligned with syn-**516** tactic dependencies, according to the dependency alignment metric established by [\(Vig and Belinkov,](#page-10-12) **517** [2019\)](#page-10-12). We see a significant difference in generated **518** outputs between GPT-2 and GPT-D, implying the **519** impairment at layers 1-6 has effectively damaged **520** the model's syntactic apparatus. **521**

Additionally, we observe a lack of p-value sig- **522** nificance when damaging the last 6 layers, with the **523** impaired model producing text more similar to the **524** control GPT-2 model. Researchers have found that **525** while the initial layers in the self-attention mech- 526 anism encode lower-level syntactic structures of **527** language, the deeper layers may be more responsi- **528** ble for encoding higher-level syntactic information **529** and even semantics [\(Vig and Belinkov,](#page-10-12) [2019\)](#page-10-12), sug- **530** gested to be due to the 'global perspective' afforded **531** to them [\(Belinkov et al.,](#page-8-5) [2018\)](#page-8-5). **532**

• Attention is more impacted using the ANH **533** pattern in comparison to the RAN pattern **534** of impairment. Additionally impairing the **535** self-attention mechanism at the Query and **536** Key matrices produces the most impactful dif- **537** ference in the linguistic apparatuses encoded **538** within attention. 539

The RAN masking style was originally designed **540** to perturb the Value matrix. Multiplying by the **541** Value matrix is thought to "generate a semantic **542** representation of each token" [\(Li et al.,](#page-9-3) [2022\)](#page-9-3), and **543** so, zeroing out it's parameters would remove the **544** impact of a given token's representation in calcu- **545** lating the self-attention of the other tokens. **546**

Similarly, we speculated that because the key **547** and query matrices provide the relative importance **548** of each token to the attention calculation, zeroing **549** out these parameters would divert self-attention **550** away from the ordinarily used tokens. This zero- **551** ing strategy - which we call ANH – was expected **552** to cause the greatest impact. This was confirmed **553** by our results and may be attributed to the self- **554** attention mechanism's ability to formulate repre- **555** sentations of words at lower-levels of language, **556** including syntax. This would reflect the changes 557 in language use in terms of lexical richness and **558** grammatical structure in adults with AD, as demon- **559** strated by [\(Bucks et al.,](#page-9-18) [2000\)](#page-9-18). **560**

5.2 Syntactic Evaluation **561**

Our dementia evaluation experiments yielded **562** mixed results. While the best performing BERT **563** model fine-tuned on ADReSS approached those **564** [o](#page-9-19)f other baseline and SOTA models [\(Meghanani](#page-9-19) **565**

 [et al.,](#page-9-19) [2021;](#page-9-19) [Balagopalan et al.,](#page-8-6) [2020\)](#page-8-6), it was not able to accurately classify text as 'control' or 'de- mentia.' The p-value metric suggests that the na- ture of the degradation may not resemble dementia in a lexico-syntactic way, supported by previous findings that the syntactic abilities of "mildly or moderately demented" patients remain relatively intact [\(Murdoch et al.,](#page-9-20) [1987\)](#page-9-20) even in written lan- guage [\(Kemper et al.,](#page-9-21) [1993\)](#page-9-21), though such work may have other implications beyond the scope of the spontaneous speech data we used. Degradation may instead extend further into the apparatuses re- sponsible for storing semantic memory [\(Hier et al.,](#page-9-22) [1985;](#page-9-22) [Nebes,](#page-9-23) [1989;](#page-9-23) [Almor et al.,](#page-8-3) [1999;](#page-8-3) [Altmann](#page-8-4) [and McClung,](#page-8-4) [2008\)](#page-8-4).

 This is not to contest that there are statistically significant differences in lexico-syntactic measures of word repetition in the text generated by both GPT-2 and GPT-D models, mirrored in the type of decline found in the speech of those with AD, particularly in terms of lexical diversity and richness and syntactic complexity [\(Bucks et al.,](#page-9-18) [2000;](#page-9-18) [Berisha et al.,](#page-8-0) [2015\)](#page-8-0), correlating further with [t](#page-9-1)he Mini-Mental State Examination [\(Hernández-](#page-9-1) [Domínguez et al.,](#page-9-1) [2018;](#page-9-1) [Kavé and Dassa,](#page-9-7) [2018\)](#page-9-7). By fine-tuning BERT on the CoLA dataset, the classifier verifies this difference and predicts that 96-97% of the generated outputs from the GPT-D models impaired at the initial 6 layers are deemed linguistically *unacceptable*. This is in contrast to the classification results on outputs produced from all other impairment configurations, which our clas-sifier finds to be linguistically acceptable.

 However, in contrast with our expectations, the CoLA classifier found the control transcripts in both ADReSS and CognoSpeak datasets to be less linguistically acceptable than the dementia tran- scripts. It is important to note this difference in clas- sification findings on the human data in ADReSS and CognoSpeak from the findings on data gen- erated by GPT-2. This suggests a fundamental difference in how degradation transpires in the hu- man brain versus that which can be induced in a LM generating experimental data, aligning with our stance of not adopting GPT-2 as a proxy to the human brain. The investigations in this work explore deliberate degradation of an artificial LM and the deficits induced as a consequence of such perturbations, which are importantly from a LLM perspective. Nevertheless, such work can inspire possible avenues for exploring the impact cognitive

decline on linguistic function, as increasingly more **617** advanced AI and language technologies emerge. **618**

Our findings can support the potential for clini- **619** cians to utilize speech elicitation tasks during as- **620** sessment and diagnosis that target grammaticality **621** to assess the cognitive health, an approach that has **622** [b](#page-9-1)een supported by findings in research [\(Hernández-](#page-9-1) **623** [Domínguez et al.,](#page-9-1) [2018\)](#page-9-1). Research has also demon- **624** strated the utility of correlating clinicians' assess- **625** ments of speech and language to automated analy- **626** ses conducted using NLP techniques [\(Yeung et al.,](#page-10-15) **627** [2021\)](#page-10-15). Speech therapies may also aim to reinforce **628** skills in grammar and syntax as a result. **629**

6 Conclusions **⁶³⁰**

The present work sought to validate an effec- **631** tive way to simulate degradation in generative **632** transformer-based LMs that is comparable to the **633** cognitive decline of AD. The deliberate degrada- **634** tion approach introduced by [\(Li et al.,](#page-9-3) [2022\)](#page-9-3) allows **635** for experimentation on and probing of computa- **636** tional models to generate language that may oth- **637** erwise be inaccessible in real-life clinical settings **638** with patients. Our novel extension provides insight 639 into which linguistic apparatuses may be impacted **640** during cognitive decline, and joins other computa- **641** tional methods to elucidate the linguistic appara- **642** tuses that are most severely impacted in those with **643** AD. The main contributions of this work include: **644**

- Creation of a new impairment style called 'an- **645** nihilate' building upon [\(Li et al.,](#page-9-3) [2022\)](#page-9-3), which **646** yields more significant results on the linguistic **647** apparatuses **648**
- Corroboration with existing literature regard- **649** ing linguistic deficits that occur during cogni- **650** tive decline, further demonstrating the poten- **651** tial utility for the degradation approach **652**

The value of such work lies in its potential for **653** informing clinical directions preceding a diagnosis **654** of AD and/or other forms of cognitive impairment, **655** and the therapeutic treatments that follow. **656**

7 Limitations **⁶⁵⁷**

7.0.1 Datasets **658**

The use of the datasets involving human partici- **659** pants utilized in this work, namely ADReSS and **660** CognoSpeak, received full ethics approval. As **661** the data in the ADReSS and CognoSpeak datasets **662** consist of responses provided by human partici- pants, the data were fully anonymized and cannot be linked back to the individuals who provided the responses. While the ADReSS data can be made publicly available, access to this data must be re-quested from the organizers of the challenge.

669 7.0.2 Methodology

 Our methodology operates under the assumption that our experiments do not attempt to or suggest the idea of replacing professional medical advice and evaluation that is required to receive any clini- cal diagnosis. Computational modeling should not be used to determine or diagnose human clinical conditions. While advances in computational psy- chiatry and ML models have become extremely powerful in the tasks they can perform in terms of human language, they are purely models in them- selves. They are not exact or fully accurate mod- els of the human brain, nor do they fully begin to capture the extremely complex inner workings and structures of the human brain, which neurosci- entists, researchers, and other professionals have yet to fully understand. These models allow us to perform a variety of experimental tests that we understand are prone to error and human biases that are derived from the data and engineers that are involved in the training process. Therefore, these models are unable to draw definitive infer- ences in real-world, clinical settings. Testing on computational models to understand complex neu- rodegenerative change is not ideal, but we hope that they may give us clues into structural deterioration. They serve as an alternative to otherwise costly and potentially time-consuming methods of studying the apparatuses that impact language use.

 We clarify that while we previously utilized and will henceforth utilize the term "control" to refer to model and data associated with language gen- erated and derived from neurotypical individuals, we do not claim that this group of individuals is comparatively "normal." The term "control" is sim- ply a way for us to define a standard within the framework of our experiments to how we expect language to be produced so that we may be able to compare and contrast linguistic anomalies. These linguistic anomalies may uncover various types of cognitive and neurological degradation that we oth- erwise may or may not otherwise associate with cognitive disorders, and give us insight into how we can possibly help guide the direction of clinical assessments of cognitive health.

The aim of this work is to potentially develop $\frac{714}{ }$ a pipeline or framework that allows us to study **715** linguistic phenomena and explore changes in lan- **716** guage use when the linguistic apparatuses of LMs **717** are altered. These LMs have been specifically de- **718** signed and trained on human language tasks, which **719** make them an interesting entrypoint into under- $\frac{720}{ }$ standing changes in human language use. **721**

Our study could potentially inform the work of **722** clinicians in how they run human subject-oriented **723** tests that have been well-established in the diag- **724** nostic pipeline. We hope that our work would help **725** determine better, more informed ways to assess **726** and treat individuals so that they are able to access **727** necessary medical interventions and treatment as **728** soon as possible. **729**

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A Appendix A: BERT Training Results

 The BERT experiments were carried out using the Google Colab Pro infrastructure.

 For the binary dementia classification task, the BERT models were fine-tuned using an 80/20 split on the training data. The ADReSS training set re- sulted in 86 samples for training, 22 samples for evaluation, and 48 samples for the test set. Shown in Table [8,](#page-12-0) experiments T1 to T11 employed our own fine-tuning parameters and those defined by [\(Devlin et al.,](#page-9-15) [2018\)](#page-9-15) were used for experiments 976 T12 to T19 on the BERT and DistilBERT models. Each of the trials was run 5 times over 5 random seeds and the accuracies were averaged into a sin- gle accuracy score. Using the best BERT model and hyperparameters from T5, the generated text from GPT-2 and GPT-D were classified ('control' or 'dementia') for each impairment configuration using a softmax function.

 For the linguistic acceptability classification task, the BERT models were fine-tuned with the sug- gested hyperparameter values from [\(Devlin et al.,](#page-9-15) [2018\)](#page-9-15). Each of the trials from T1 to T5 was run 5 times over 5 random seeds and the accuracies were averaged into a single accuracy score. The results **989** are reported in Table [9.](#page-13-0) **990**

Trial	# of	train	eval	warmup	learning	weight	# of	avg eval	avg test
	epochs	batch size	batch size	steps	rate	decay	runs	accuracy	accuracy
T1	3	16	32	$\overline{2}$	1E-06	Ω	5	49.09%	50.83%
T ₂	10	16	32	\overline{c}	1E-06	θ	5	53.64%	57.50%
T ₃	10	16	32	$\overline{2}$	1E-05	Ω	5	80.91%	78.33%
T ₄	20	16	32	5	1E-05	Ω	5	81.82%	80.83%
$\overline{\text{T}5}$	50	16	32	$\overline{5}$	1E-05	θ	$\overline{5}$	86.36%	80.00%
T ₆	25	16	32	5	5E-05	Ω	5	81.82%	79.17%
T7	25	16	32	5	1E-04	Ω	5	77.27%	78.75%
T ₈	25	16	32	8	5E-05	$\overline{0}$	5	81.82%	79.17%
T ₉	25	16	16	10	5E-05	Ω	5	69.09%	80.42%
T10	25	16	32	10	1E-05	θ	5	72.73%	80.00%
T11	25	16	16	10	1E-05	θ	5	72.73%	80.00%
T ₁₂	25	16	32	$\overline{2}$	1E-05	0.01	5	72.73%	80.00%
T13	25	16	32	$\overline{2}$	5E-05	0.01	5	69.09%	80.42%
T14	3	16	32	$\overline{2}$	5E-05	0.01	5	74.55%	77.50%
T ₁₅	$\overline{3}$	16	32	$\overline{2}$	2E-05	0.01	5	73.64%	77.50%
T16	3	16	32	$\overline{2}$	3E-05	0.01	5	75.45%	78.33%
T17	$\overline{3}$	16	32	$\overline{2}$	4E-05	0.01	5	72.73%	77.08%
T18	3	16	32	$\overline{2}$	1E-05	0.01	5	73.64%	74.58%
T19	3	32	32	$\overline{2}$	1E-06	0.01	5	53.64%	51.25%

Table 7: Cumulative results of fine-tuning DistilBERT on ADReSS over 5 runs per trial

Table 8: Cumulative results of fine-tuning BERT on ADReSS over 5 runs per trial

Trial	# of	train	eval	warmup	weight	learning	# of	avg eval	avg test
	epochs	batch size	batch size	steps	decav	rate	runs	accuracy	accuracy
T1		16	32	◠	0.01	5E-05	-5	83.83 %	84.21%
T ₂	$\mathbf{\Omega}$	16	32	◠	0.01	$2E-0.5$		82.70%	83.64%
T ₃	3	16	32	2	0.01	3E-05	-5	83.90%	84.21%
T4		16	32	◠	0.01	$4E-05$	5	83.30%	83.80%
T ₅	$\mathbf{\Omega}$	16	32	⌒	0.01	1E-05		83.09%	84.14%

Table 9: Cumulative results of fine-tuning BERT on CoLA over 5 runs per trial