Class Concept Representation from Contextual Texts for Training-Free Multi-Label Recognition

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Abstract

The power of large vision-language models (VLMs) has been demonstrated for 1 diverse vision tasks including multi-label recognition with training-free approach or 2 3 prompt tuning by measuring the cosine similarity between the text features related to class names and the visual features of images. Prior works usually formed the 4 class-related text features by averaging simple hand-crafted text prompts with class 5 names (e.g., "a photo of {class name}"). However, they may not fully exploit the 6 capability of VLMs considering how humans form the concepts on words using rich 7 contexts with the patterns of co-occurrence with other words. Inspired by that, we 8 9 propose *class concept* representation for zero-shot multi-label recognition to better exploit rich contexts in the massive descriptions on images (e.g., captions from MS-10 COCO) using large VLMs. Then, for better aligning visual features of VLMs to our 11 class concept representation, we propose context-guided visual representation that 12 is in the same linear space as class concept representation. Experimental results 13 on diverse benchmarks show that our proposed methods substantially improved 14 the performance of zero-shot methods like Zero-Shot CLIP and yielded better 15 performance than zero-shot prompt tunings that require additional training like 16 TaI-DPT. In addition, our proposed methods can *synergetically* work with existing 17 prompt tuning methods, consistently improving the performance of DualCoOp and 18 TaI-DPT in a training-free manner with negligible increase in inference time. 19

20 **1** Introduction

The goal of multi-label image recognition is to assign all semantic labels (or class names) within an image [10, 44, 48, 11, 27, 33, 31]. Differing from single-label recognition, multi-label recognition addresses a broader range of practical applications such as image retrieval [36, 39], recommendation systems [52, 8], medical diagnosis recognition [43] and retail checkout recognition [17, 45]. However, one of the challenges in multi-label recognition is the difficulty of collecting full label annotations, which is laborious and prone to missing. To alleviate it, recent works have investigated training with incomplete labels such as partial labels [37, 6, 31, 15, 9] or a single positive label [13, 46].

Recent advances of large vision-language models (VLMs) [32, 2, 22, 25, 47, 49] has demon-28 strated their strong transferability on various downstream tasks with great performance. Contrastive 29 Language-Image Pretraining (CLIP) achieved impressive performance in zero-shot classification by 30 measuring the cosine similarity between images and class-related hand-crafted text prompts [32]. 31 Fine-tuning VLMs for adapting desired downstream datasets [32] can further improve performance 32 for targeted tasks, but tuning millions of parameters is usually undesirable due to computation burden 33 and possible forgetting. Prompt tuning has been investigated as an efficient and low-cost training 34 paradigm [54, 53], learning only a few context tokens of VLMs for a given task. In multi-label 35 recognition, prompt tuning with CLIP has been investigated for distinguishing multiple objects in an 36

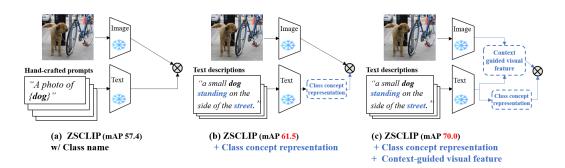


Figure 1: Illustration of our methods applied to zero-shot CLIP (ZSCLIP) [32]. ($a\rightarrow b$) Class concept is formed from the text descriptions that contain rich contextual information with relevant class names and other related words, yielding substantially improved performance without aligning with visual features yet. ($b\rightarrow c$) Context-guided visual feature is transformed from visual feature so that it is in the same linear space as class concept representation, yielding significantly improved performance.

image [37, 18, 41], mitigating the difficulty of acquiring annotated samples. However, prompt tuning 37 38 inherently requires labeled data with additional training and may be susceptible to overfitting for 39 context tokens, hindering generalization. The capability of VLMs for label-free and/or training-free classification has been exploited using prompt engineering [32, 34, 50, 4]. However, prompt ensem-40 bles by averaging text features from simple hand-crafted prompts (e.g., "a sketch of {class name}") 41 yielded marginal improvements and struggled with multi-label recognition. Thus, the approach of 42 prior works on zero-shot or prompt-tuning based multi-label recognition using *class names* to obtain 43 44 class-related text features from VLMs may not use the full capacity of VLMs properly. Humans form concepts on words from past experience, especially using their patterns of co-occurrence 45 with other words [5, 29, 20]. Inspired by this perspective in cognitive neuroscience, we propose a 46 novel approach of exploiting VLMs for multi-label recognition by replacing single *class name*-related 47 hand-crafted prompts with our proposed *class concept* representation using text descriptions such 48 as "A person holding a large pair of scissors," capturing rich contextual information with target 49 class names (e.g., person) as well as related words (e.g., holding, scissors). Our class concept will 50 be constructed from rich contextual descriptions on classes that may contain diverse and realistic 51 patterns of co-occurrence with target class name and other related class names. Then, this novel text 52 features with class concept representation requires aligned visual features with them for multi-label 53 recognition to properly match them with our class concepts. Thus, we propose context-guided visual 54

features to bring VLM's visual features to the same representation domain as our class concept representation by using our sequential attention. See Fig. 1 for the differences of performing multilabel recognition using (a) prior zero-shot approach (ZS-CLIP), (b) our proposed class concepts from text descriptions and (c) our proposed context-guided visual features on the same space as the class concepts. We demonstrated that our proposed methods achieved improved performance on multiple benchmark datasets without additional training (tuning), without additional labels (text-image pairs) and with realisting information in the same space as the class of the contribution of the same space is the same space of the same spa

- and with negligible increase in inference time. Here is the summary of the contributions:
- Proposing a novel class concept representation for training-free multi-label recognition tasks using VLMs from massive text descriptions inspired by how human forms concept on words.
- Proposing a context-guided visual feature, transformed onto the same text feature space as class concepts using sequential attention for better aligning multi-modal features.
- Demonstrating that our methods synergetically improve the performance of ZSCLIP and other state-of-the-art prompt tuning methods with a negligible increase in inference time.

68 2 Related Works

Multi-label image recognition with CLIP. Multi-Label Recognition (MLR) aims to identify all
 semantic labels within an image. However, it is difficult to collect the annotation of multi-label images
 which involve complex scenes and diverse objects. Recently, prompt tuning with the pre-trained vision-

language model CLIP has been developed to address the high labeling costs of multi-label images in 72 incomplete label setting. Among them, DualCoOp [37] proposed a novel prompt tuning approach 73 that trains positive and negative learnable contexts with class names in the partially labeled setting. 74 For mitigating data-limited or label-limited issues, TaI-DPT [18] proposed effective dual-grained 75 prompt tuning method using easily accessible text descriptions. It is worth noting that TaI-DPT 76 used the same text descriptions as ours not for performing training-free multi-label recognition 77 78 itself, but for label-free prompt tuning by replacing the image features with the contextual text features (text as image) under the conventional framework of multi-label recognition with class 79 name. SCPNet [14] is designed to leverage the structured semantic prior from CLIP to complement 80 deficiency of label supervision for MLR with incomplete labels. CDUL [1] proposed unsupervised 81 multi-label recognition through pseudo-labeling using CLIP, alleviating the annotation burden. Even 82 though recent works has demonstrated outstanding performance of multi-label recognition task, they 83 still require tuning costs or labeled dataset to adapt pre-trained CLIP to various downstream tasks. In 84 this work, our method enables training-free and label-free adaptation of CLIP into downstream tasks, 85 utilizing the text descriptions. 86

Training-free enhancement with CLIP. For single-label recognition, recent works has developed 87 the training-free enhancement of CLIP. ZPE [4] weighted-averaged many prompts by automatically 88 scoring the importance of each prompt in zero-shot manner for improving prompt ensemble technique. 89 CALIP [19] designed a simple parameter-free attention module for zero-shot enhancement over CLIP 90 without any tuning of model parameter. With few-shot samples, Tip-Adapter [51] proposed training-91 free approach for fast adaptation to target task, obtaining the weights of adapter using few-shot 92 93 samples during inference. Since these methods were originally developed for single-label recognition, it is difficult to be directly applied to multi-label recognition. In multi-label recognition, our method 94 enables training-free enhancement and demonstrated its effectiveness on the benchmark dataset. 95

96 **3 Method**

First of all, we propose *class concept* representation as a training-free approach for multi-label recognition instead of *class name* by exploiting pre-trained VLM and rich contextual text descriptions. Secondly, we also propose context-guided visual feature that can enhance the alignment of the visual feature of VLM with our novel class concept. Our proposed methods are label-free as well as training-free so that they can be applicable *synergetically* for most existing VLM-based multi-label recognition methods. The overall pipeline of our method is illustrated in Figure 2.

103 3.1 Class Concept Representation

Humans form concepts on words from past experience, often using their patterns of co-occurrence with *other words* [5, 29, 20]. For example, the word "apple" does not exist alone, but often comes with the verb "eat" or the noun "basket." However, it may not well associate with other words such as "fly" or "space." Fortunately, we can easily obtain rich contextual text descriptions from various public sources, including captions from benchmark datasets [26, 23, 24, 30], web crawling and large language models [38, 7, 40, 28]. These text descriptions do not only contain *class names*, but also include *other words* like class-related verbs and nouns in real-world contexts.

Assume that rich contextual text descriptions were gathered from the public sources that include one or multiple class names. We denote the set of text descriptions as $Z^{all} = \{z_1, z_2, ..., z_M\}$ where z_i refers to an individual text description. M denotes the total number of text descriptions across all classes. Note that M can be dynamically changed at inference since our proposed method does not require additional training, thus can be seen as test-time adaptation. Assuming that the target task uses the class names of person, scissors, clock, building and cake, the examples of the contextual text descriptions from Z^{all} are as follows:

- 118 "A **person** holding a large pair of **scissors**."
- "A clock mounted on top of a **building** in the city."
- 120 "Half of a white **cake** with coconuts on top."

TaI-DPT [18] used these descriptions with rich contextual information as a surrogate for images to propose a label-free prompt tuning. In this work, we propose to use these descriptions to form concepts on class names to compare with images, so that ways of using them are completely different.

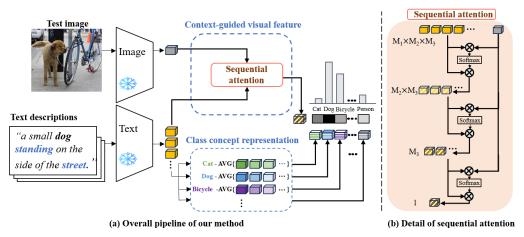


Figure 2: (a) Overall pipeline of our method. 1) Class concept representation: VLM's text features from the rich contextual descriptions associated with each class name are used to construct the class concept. 2) Context-guided visual features: VLM's visual features are sequentially transformed onto the class concept representation space using (b) sequential attention mechanism.

We define the class concept as a vector in the space constructed by the text descriptions as follows. Firstly, the linear space Z can be constructed by spanning the VLM's text features from all text descriptions z_i in Z^{all} using the VLM's text encoder $\mathcal{E}_{txt}(z_i) \in R^{1 \times D}$, leading to $Z = \text{span}\{\mathcal{E}_{txt}(z_1), \mathcal{E}_{txt}(z_2), \dots, \mathcal{E}_{txt}(z_M)\}$. Secondly, we propose the class concept for a target class name c as a vector $t_c^{concept}$ in the space Z by defining it as follows:

$$t_c^{concept} = \sum_{i=1}^{M} w_{c,i} \mathbb{1}_c(z_i) \mathcal{E}_{txt}(z_i) \in R^{1 \times D}$$
(1)

where $\mathbb{1}_{c}(z_{i})$ an indicator function such that $\mathbb{1}_{c}(z_{i}) = 1$ if the text description z_{i} contains the class name c and $\mathbb{1}_{c}(z_{i}) = 0$ otherwise. The weight $w_{c,i}$ is assigned to the text feature of each text description within a class c and it is assumed to be normalized within the class. In this work, we set $w_{c,i} = 1/\sum_{j}^{M} \mathbb{1}_{c}(z_{j})$ for $\forall i$, thus will be the same for all i for each class, which was guided by the prior work on prompt ensembling [4], demonstrated that the prompt ensembling with equal weights achieved significant performance gains that were comparable to weighted ensembling for single-label recognition. Each class concept can be stored individually or together as a matrix.

Our class concept representation thus consists of various text features including diverse contextual information related to the target class name. For instance, the descriptions for the class name "dog" should contain the target class name as the following examples of the text descriptions:

"A dog greets a sheep that is in a sheep pen."

140 "<u>A woman walks</u> her **dog** on a city sidewalk."

"A **dog** with goggles is in a motorcycle side car."

Note that the descriptions include the target class name (bold) as well as other related words in classrelated contexts (underline) as intended. We expect that our novel class concepts will be beneficial for multi-label recognition due to other nouns (other class names) as well as other verbs to better explain the context where the target class name is used. In this work, we obtain the texts from two sources to collect the sufficient contextual text descriptions. The first source is the MS-COCO dataset [26] that is publicly available and the second source is large language model(*i.e.*, GPT-3.5[28]) that can generate the several sentences quickly if the set of class names related to the target task were provided.

149 3.2 Context-Guided Visual Feature

Our novel class concept representation forms new vectors for diverse class names in the linear space ising \mathcal{Z} instead of the embedding space of the VLM where the text and image encoders were relatively well-aligned. Thus, it is expected that the class concept representation and the VLM's visual feature

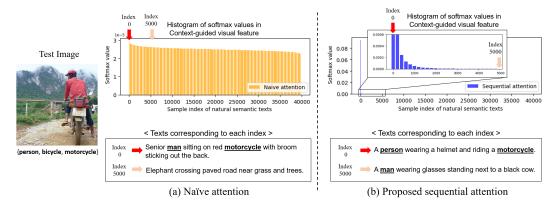


Figure 3: Softmax values can be used to weigh the relevance with the given image. However, (a) naive attention mechanisms yielded almost equal softmax values, thus may include texts with low relevance. The proposed sequential attention method focuses on a subset of texts most relevant to the test image, thus can transforms visual features to context-guided visual features for multi-label recognition by assigning very high softmax value to the relevant text at index 0 while very low softmax value to the irrelevant text at index 5000.

may not be aligned well. Here, we propose context-guided visual feature by transforming the visual features of the VLM onto the same space as the class concept representation Z by using our sequential attention with the text descriptions Z^{all} that were used for class concept construction.

For the target image q and the VLM's visual encoder $\mathcal{E}_{img}(q)$, the L2-normalized global visual feature f is obtained by using $\mathcal{E}_{img}(q) \in \mathbb{R}^{1 \times D}$ and the flatten local visual feature $F \in \mathbb{R}^{HW \times D}$ is also constructed by using $\mathcal{E}_{img}(P_{i,j}(q))$ where $P_{i,j}(\cdot)$ is an extractor of the (i, j)th patch of the input image. 156 157 158 Then, we aim to transform both the global visual feature vector f and the local visual feature matrix F159 onto the same linear space \mathcal{Z} as our class concept representation. One easy way is to "project" these 160 visual features f and F onto the space \mathcal{Z} by computing the cosine similarity between visual features 161 (f and the column vectors of F) and all the text features $t_i = \mathcal{E}_{txt}(z_i) \in \mathbb{R}^{1 \times D}, i = 1, \dots, M$ 162 that constructed \mathcal{Z} . Unfortunately, when the softmax function is applied to the cosine similarity 163 values, they tend to become similar, thus weigh both relevant and irrelevant texts almost equally 164 as illustrated in Figure 3 (a). To address this challenge, we propose sequential attention, applying 165 the softmax function to part of the cosine similarity values by dividing them into G groups. For the text feature matrix $T = [t_1 \ t_2 \ \cdots \ t_M] \in \mathbb{R}^{M \times D}$, let us determine M_i for $i = 1, \ldots, G$ such that $M = \prod_{i=1}^G M_i$ and reshape the text feature matrix to be $T \in \mathbb{R}^{M_1 \times \cdots \times M_G \times D}$. Then, propose to 166 167 168 sequentially apply the following attention process for G iterations for estimating both global and 169 local context-guided visual features $v^{(k)}$ and $V^{(k)}$, respectively, at the kth iteration: 170

$$v^{(k)} = \begin{cases} T & \text{if } k = 0, \\ \text{Softmax}_{\dim_k} \left(\frac{f(v^{(k-1)})^t}{\alpha_f} \right) v^{(k-1)} & \text{if } k > 0, \end{cases}$$
(2)

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$$V^{(k)} = \begin{cases} T & \text{if } k = 0, \\ \text{Softmax}_{\dim_k} (\frac{F(V^{(k-1)})^t}{\alpha_F}) V^{(k-1)} & \text{if } k > 0, \end{cases}$$
(3)

where α_f and α_F denote the modulation parameters, Softmax_{M_k} refers to the softmax operation applied along the dimension corresponding to M_k . In this work, we utilize $v^{(3)}$ and $V_{(3)}$ to compute classification score. The sequential attention process is illustrated in Figure 2 (b). Figure 3 further demonstrates that our sequential attention is particularly effective in handling massive text descriptions. Without sequential attention, weighted averaging essentially becomes equal averaging.

177 3.3 Multi-Label Recognition with Class Concepts

Architecture of model. Two encoders of CLIP are denoted as \mathcal{E}_{img} and \mathcal{E}_{txt} for the visual encoder and text encoder, respectively. Following TaI-DPT [18], we adopt the structure of double-grained prompts (DPT), which has been shown effective for enhancing zero-shot multi-label recognition performance. To obtain visual representations at both coarse-grained and fine-grained levels, we extract the local visual feature map $F = \mathcal{E}_{img}(x) \in R^{HW \times D}$ is extracted before attention pooling layer, where H and W are spatial dimension of visual feature. After attention pooling layer, we obtain the global visual feature $f \in R^{1 \times D}$. Similarly, text features $t = \mathcal{E}_{txt}(z) \in R^{1 \times D}$ are obtained by projecting the End-of-Sentence (EOS) token of the text prompt. Thus, we leverage both global and local visual features for multi-label recognition.

Inference. Through our sequential attention, we obtain the context-guided visual features $v^{(G)}$ and $V^{(G)}$ at both global and local levels, respectively. The similarity score S^{glo} and S^{loc} are calculated between the transformed context-guided visual features $v^{(G)}$, $V^{(G)}$ and the class concepts $t_c^{concept}$ using the cosine similarity $\Psi(\cdot, \cdot)$ as follows:

$$S_c^{tot} = S_c^{glo} + S_c^{loc} = \Psi(v^{(G)}, t_c^{concept}) + \sum_{j=1}^{HW} \operatorname{Softmax}(s_{c,j}^{loc}) \cdot s_{c,j}^{loc}$$
(4)

where S_c^{tot} is the classification score for the class c and $s_{c,j}^{loc} = \Psi([V^{(G)}]_j, t_c^{concept})$ for the class c. For obtaining S_c^{loc} , we employ the spatial aggregation over HW [37].

Finally, we combined ZSCLIP[32] and other prompt tuning methods with our training-free approach through simple logit ensemble. In our experiments, we demonstrate the effectiveness of integrating of our method with existing methods, thereby boosting the performance of multi-label recognition.

196 4 Experiments

197 4.1 Implementation Details

Architecture. We empoly CLIP ResNet-50 in the Table. 2 and Table. 3 and ResNet-101 in other
 experiments as the visual encoders and the CLIP transformer as the text encoder for ZSCLIP[32],
 TaI-DPT [18], DualCoOP [37] and our method in the paper. In addition, ZSCLIP[32], TaI-DPT [18]
 and our method are based on the double-grained prompt [18] for both global and local features¹.

Datasets. For evaluation, we performed multi-label recognition experiments on 3 benchmark datasets. MS-COCO [26] consists of 80 classes with 82,081 images for training and 40,504 images for test. VOC2007[16] consists of 20 object classes with 5,011 image for training and 4,952 images for test. NUS-WIDE[12] consists of 81 concepts with 161,789 image for training and 107,859 image for test. For MS-COCO [26] and VOC2007 [26], text description source is from MS-COCO [26]. For NUS-WIDE[12], we gathered the text descriptions from GPT-3.5. Note that there is example of text template for extracting sentence from GPT-3.5 in supplementary.

Inference Details. In the paper, we set the total number of text descriptions, denoted as M, for the MSCOCO[26], VOC2007[16], and NUS-WIDE[12] at 40,000, 64,000, and 57,600, respectively. Note that we prepared the text embeddings of every text descriptions from CLIP text encoder in advance. We set values of modulation parameter α via validation.

213 **4.2 Evaluation on Limited Data Setting**

To evaluate our method, we conducted the experiments in limited data scenarios, including zero-shot and few-shot settings for data-limited cases and partially labeled setting for label-limited cases. Note that only our method provides training-free enhancement of CLIP without tuining cost for multi-label recognition. Therefore, our method can be easily combined with existing methods to improve their performance.

Evaluation on Zero-Shot Setting. We performed comparison studies for different zero-shot and fully
supervised methods in multi-label image recognition. To evaluate the effectiveness of our method
which, we combined our method with existing zero-shot methods, ZSCLIP[32] and TaI-DPT [18],
for zero-shot setting, as shown in Table 1. Additionally, we utilized the fully supervised method,
DualCoOp[37] with our method, for zero-shot learning setting (ZSL) as presented in Table 2.

Table 1 summarizes the results of the zero-shot experiment on benchmark datasets. In MS-COCO [26]

and VOC2007 [16], TaI-DPT [18] and our method utilized the public language data from MS-

226 COCO [26]. By applying our method to ZSCLIP[32] and TaI-DPT [18] during inference, we yield

227 performance improvements without tuning costs. Especially, the performance of ZSCLIP[32] with

¹https://github.com/guozix/TaI-DPT

Table 1: Multi-label recognition with zero-shot methods on MS-COCO [26], VOC2007 [16] and NUS-WIDE [12]. Without training, our method significantly enhances the performance of existing zero-shot methods. The evaluation is based on mAP.

Training-free	Methods	MS-COCO[26]	VOC2007[16]	NUS-WIDE[12]
 Image: A set of the set of the	ZSCLIP[32]	57.4	82.8	37.3
1	+Ours	70.0 (+12.6)	89.2 (+6.4)	46.6 (+9.3)
×	TaI-DPT[18]	68.0	88.9	46.5
1	+Ours	70.9 (+2.9)	90.1 (+1.2)	49.1 (+2.6)

Table 2: Multi-label recognition with 17 unseen classes on MS-COCO [26]. In zero-shot learning (ZSL, recognizing only unseen classes) and generalized ZSL (GZSL, recognizing both seen and unseen classes), our method effectively supplements the complementary information of unseen classes to the supervised DualCoOp[37] on 48 seen classes. The evaluation is based on mAP.

Methods	ResN	let-50	ResNet-101		
Methous	ZSL GZSL		ZSL	GZSL	
DualCoOp[37]	78.2	70.2	82.9	74.9	
+Ours	82.9 (+4.7)	73.2 (+3.0)	87.6 (+4.7)	78.0 (+3.1)	

our method is notably enhanced, achieving better and comparable performance to TaI-DPT [18], which requires mild tuning. In NUSWIDE [12], we incorporate contextual text descriptions from a large language model (GPT-3.5) to validate the potential of utilizing generated texts instead of well-curated caption data. With provided class name of NUSWIDE [12], we readily gathered the massive set of text descriptions within a short amount of time. TaI-DPT [18] is trained with the public caption data from OpenImages[23]. Our method exceeds the performance of ZSCLIP[32] and TaI-DPT [18] by a large margin, with improvements of 9.3 mAP and 2.6 mAP, respectively.

Table 2 shows the results of the zero-shot learning setting for unseen classes. In MS-COCO [26], 235 we follow the DualCoOp[37] and split the dataset into 48 seen classes and 17 unseen classes. 236 The evaluation is conducted in both zero-shot setting (ZSL, recognizing only unseen classes) and 237 generalized zero-shot setting (GZSL, recognizing both seen and unseen classes). Based on prompt 238 tuning, DualCoOp[37] trains learnable context tokens on 48 seen classes and achieves the state-of-the-239 art performance on both ZSL and GZSL. Our method was originally designed to handle novel classes 240 (unseen classes) by leveraging text descriptions. As a result, our method significantly improved 241 the ZSL and GZSL performance of the supervised DualCoOp[37] by providing complementary 242 information. Table 1 and Table 2 demonstrate the effectiveness of our method performing training-243 free enhancement of CLIP with only text descriptions that are easily obtained. 244

Evaluation on Few-Shot Setting. We performed comparison study with few-shot methods in multilabel recognition. In TaI-DPT [18], they have investigate to confirm the effectiveness of their zero-shot method. Here, we further validate our method, which is zero-shot test-time task adaption without tuning costs.

Table 3 summarizes the results of the few-shot methods on MS-COCO dataset [26], especially using 1 and 5 shot samples for all classes. While existing few-shot methods [3, 35, 54, 51] demonstrated the performance enhancements with an increase of labeled samples, TaI-DPT [18] and our method are performed within the zero-shot setting. By applying our method with existing zero-shot methods (ZSCLIP[32] and TaI-DPT [18]), we consistently enhance performance, as already demonstrated in a zero-shot setting. In the absence of labeled samples and tuning, we achieved comparable performance with ML-FSL[35] and better results than other few-shot methods utilizing 5-shot samples.

Evaluation on Partially Labeled Setting. Due to high costs of annotation in multi-label image recognition, training with partially labeled samples [37, 21, 31, 6] has been studied. Following DualCoOp [37], we performed the evaluation of partially labeled setting. As shown in Table 4, our method supplements the decreased performance of DualCoOp [37] caused by partially labeled samples by providing complementary information during inference. Through zero-shot test time task adaptation without tuning costs, we consistently enhance the the performance of DualCoOp [37] on

Training-free	Methods	0-shot	1-shot	5-shot
×	LaSO[3]	-	45.3	58.1
×	ML-FSL[35]	-	54.4	63.6
×	CoOp[54]	-	46.9	55.6
 Image: A set of the set of the	Tip-Adapter[51]	-	53.8	59.7
1	ZSCLIP[32]	49.7	-	-
1	+Ours	58.5 (+8.8)	-	-
×	TaI-DPT[18]	59.2	-	-
1	+Ours	61.4 (+2.2)	-	-

Table 3: Comparison with few-shot methods on MS-COCO [26]. The evaluation is based on mAP with 16 novel classes. For each shot, we highlighted the best performance in bold.

Table 4: Performance of multi-label recognition based on the partially labeled dataset [26, 16, 12]. Without training and labeled samples, our method consistently enhanced the performance of supervised DualCoOp [37] over all partial label ratio. DualCoOp [37] is reproduced and the evaluation is based on mAP.

Datasets	Method	Partial label									
		10%	20%	30%	40%	50%	60%	70%	80%	90%	Avg.
MS-COCO	SARB[31]	71.2	75.0	77.1	78.3	79.6	79.6	80.5	80.5	80.5	77.9
	DualCoOp[37]	80.8	82.2	82.8	83.0	83.5	83.8	83.9	84.1	84.2	82.7
	DualCoOp[37]+Ours	81.5	82.8	83.3	83.5	84.0	84.2	84.4	84.5	84.6	83.6
VOC2007	SARB[31]	83.5	88.6	90.7	91.4	91.9	92.2	92.6	92.8	92.9	90.7
	DualCoOp[37]	91.6	93.3	93.7	94.3	94.5	94.7	94.8	94.9	94.8	94.0
	DualCoOp[37]+Ours	92.5	93.9	94.3	94.7	94.9	95.0	95.1	95.2	95.1	94.5
NUS-WIDE	DualCoOp[37]	54.0	56.1	56.9	57.4	57.9	57.8	58.0	58.4	58.8	57.3
	DualCoOp[37]+Ours	55.0	56.9	57.7	58.2	58.6	58.6	58.8	59.2	59.5	58.1

all benchmark dataset. Furthermore, we achieved the performance of DualCoOp [37] trained with
 90% labels by applying our method with DualCoOp trained with 60% labels from MS-COCO [26],

²⁶⁴ 50% labels from VOC2007 [16], and 70% labels from NUSWIDE [12].

265 4.3 Ablation Study and Analysis

266 4.3.1 Effectiveness of our method

To verify the effectiveness of components of our method, we conducted an ablation study for analyzing 267 our method. As shown in Table 5, we first proposed a novel class concept representation with text 268 descriptions by class to ZSCLIP[32]. Since the text descriptions contain the semantic meaning among 269 multiple class names and contextual information for multi-label recognition, the alignment between 270 visual features of test image and text features are improved compared to the hand-crafted prompts as 271 shown in the Fig.1. Thus, the performance is increased by 4.1 mAP and 1.1 mAP on MS-COCO [26] 272 and VOC2007 [16], respectively. Then, we performed the context-guided visual feature using a large 273 set of text descriptions, Z^{all} . Transforming the visual features into same text feature space as our class 274 concept representation is essential to minimize the gap between visual feature from task-agnostic 275 visual encoder and text features for each class. Constructing context-guided visual feature, our method 276 yield remarkable performance gain by 8.5 mAP and 5.3 mAP on MS-COCO [26] and VOC2007 [16], 277 respectively. Thus, we effectively designed our method that improves the alignment between visual 278 and text features. 279

280 4.3.2 The Number of Text Descriptions

We investigate the effect of the number of text descriptions for our method. As shown in Table 6, we evaluated performance by increasing the number of randomly selected text descriptions from 1K to 32K texts. With only 1K text descriptions, our method enhances performance by approximately

Table 5: Effectiveness of our method on MS-COCO [26] and VOC2007 [16]. Each component of our method consistently improves performance, with significant enhancements achieved particularly in context-guided visual feature through narrowing the gap between visual and text features. The evaluation is based on mAP.

Method	MS-COCO [26]	VOC2007 [16]
Baseline (ZSCLIP[32])	57.4	82.8
+Class concept representation	61.5(+4.1)	83.9(+1.1)
+Context-guided visual feature	70.0(+8.5)	89.2(+5.3)

Table 6: Ablation studies in terms of the number of the text descriptions. As increasing the number of texts, we measured the performance of ZSCLIP[32] with our method in mAP on MS-COCO [26] and VOC2007 [16]. Note that ZSCLIP[32] achieves 57.4 mAP and 82.8 mAP for MS-COCO [26] and VOC2007 [16], respectively.

Dataset	Number of text descriptions							
Dataset	1K	2K	4K	8K	16K	32K		
MS-COCO [26]	65.8	68.4	68.5	69.1	69.6	69.9		
VOC2007 [16]	88.1	88.5	88.8	88.9	89.0	89.1		

8 mAP on MS-COCO [26] and 5 mAP on VOC2007 [16], respectively. As the number of text descriptions ranges from 1K to 32K, the text embeddings of Z^{all} can cover the wider range of test dataset, resulting in increased performance gains. For adapting to novel classes during inference, our method not only achieves a significant performance improvement with only 1K texts but also further enhances performance as the quantity of texts increases.

289 4.3.3 Analysis of Inference Time

We analyzed the inference time of our method depending on the number of text descriptions. When 290 extracting text embeddings from the text descriptions in advance, we measure the inference time 291 as the number of text descriptions increases. ZSCLIP[32], as the baseline model, processes each 292 sample for classification in 7.2ms. When the number of texts increases from 1K to 32K, integrating 293 ZSCLIP[32] with our method only increases the inference time by 0.4-0.5ms, with tests conducted on 294 the RTX3090. In addition, Our method (6.8GB) requires slightly more memory than ZSCLIP (6.5GB) 295 on VOC2007 [16]. Therefore, our method presents a simple and efficient approach for training-free 296 enhancement approach at inference. 297

298 5 Conclusion

In this paper, we propose a novel class concept representation from massive text descriptions for 299 training-free multi-label recognition tasks. Inspired by how humans form concepts based on words, 300 as studied in cognitive neuroscience, we replace single class name prompts with the class concept 301 representation that capture various patterns of co-occurrence with other words. To further enhance 302 alignment between multi-modal features of VLMs, we propose a context-guided visual representation 303 that is transformed onto the same linear space as the class concept representation. Remarkably, 304 our proposed method outperforms zero-shot prompt tuning methods such as TaI-DPT and achieves 305 significant enhancements over ZSCLIP and other state-of-the-art prompt tuning methods without 306 requiring parameter tuning or labeled samples, and with minimal inference time overhead. 307

Limitations. While our method achieved impressive results with training-free enhancement of CLIP, it exhibits limitations. First, a significant performance gap exists compared to prompt tuning methods with full samples, like DualCoOp [37]. Second, the computational memory demands of our method grow at a faster rate than ZSCLIP[32] as the batch size increases.

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443 A Generation of Text Descriptions using LLMs

Our proposed method leverages the text descriptions for enhancing the alignment between the 444 visual and text features. In practice, gathering the proper text descriptions is an essential process for 445 replacing the hand-crafted prompts. As mentioned in the main paper, the text descriptions can be 446 readily gathered from benchmark dataset, web crawling, or large language models. Recent advances 447 in large language models (LLMs) enable to rapidly generate text descriptions that are similar to 448 image captions in MS-COCO [26]. Therefore, we utilized the generated text descriptions from large 449 language model. With provided class name of NUSWIDE [12], Fig. 6 illustrates the example of input 450 prompt template and corresponding generated text descriptions using GPT3.5. We carefully designed 451 the instruction of input prompt including main description, constraints, examples of bad and good 452 cases, class names of target task and output format. 453

454 **B** Implementing Other Zero-Shot Training-Free Method

In single-label recognition, CALIP [19] proposed zero-shot alignment enhancement of CLIP for adapting target task without few-shot samples or additional training. The parameter-free attention module of cross-modal interaction effectively enhances the alignment of visual and text features. CALIP utilized the visual feature $F = \text{Enc}_v(x_k) \in R^{HW \times D}$ via reshaping and the text feature $T = \text{Enc}_t(P^h) \in R^{C \times D}$

$1/\alpha_{f,t}, 1/\alpha_{F,t}$	MS-COCO	VOC2007	NUS-WIDE
100, 50	69.45	87.62	47.32
80, 40	69.51	87.94	48.31
60, 30	69.25	88.06	49.05
40, 20	67.47	87.37	49.82
20, 10	64.13	85.04	47.33

Table 7: Ablation study of hyperparameter searching on validation set. We varied the modulation parameters $\alpha_{f,t}$ and $\alpha_{F,t}$ and searched the proper values for context-guided visual feature.

where P^h is a hand-crafted description and C denotes the number of classes. The parameter-free attention module is formulated as follows:

$$F^a = \text{Softmax}(A/\alpha_t)T, \tag{5}$$

$$T^{a} = \operatorname{Softmax}(A^{T}/\alpha_{v})F \tag{6}$$

where the attention matrix is $A = FT^T \in R^{HW \times C}$, α_t and α_v are the modulation parameters of textual

and visual features, respectively, and T^a and F^a are bidirectionally updated textual and visual features.

After pooling the updated visual feature $F_v \in R^{1 \times D}$ and the global visual feature $F_v \in R^{1 \times D}$, the classification logit S is obtained as below:

$$S = \beta_1 \cdot F_v T^T + \beta_2 \cdot F_v T^{aT} + \beta_3 \cdot F_v^a T^T,$$
(7)

where $\beta_1, \beta_2, \beta_3$ are the weights for the three logits.

466 CALIP [19] tuned the hyperparameters β_2 , β_3 for each dataset while fixed β_1 to be 1 for simplicity. 467 As shown in Fig. 4, we have explored the value of β_2 , β_3 for multi-label recognition setting on 468 MS-COCO [26] and have observed that the parameter-free attention module consistently decreases 469 the mAP performance since multi-label recognition covers the identification of multiple objects 470 within an image, involving complex scene and diverse objects.

471 C Exploring Modulation Parameters

For hyperparameter searching, following existing methods for classification tasks, such as zeroshot [18, 19], training-free [51, 19], and test-time adaptation [42], we explore the modulation parameters α_t by conducting ablation studies on validation set. For simplicity, we set the value of $\alpha_{f,t}$ to be half of $\alpha_{F,t}$. As shown in Table 7, the value of $(1/\alpha_{f,t}, 1/\alpha_{F,t})$ is suitable in the range of (40~80,20~40). In the experiments of main paper, we set the $(1/\alpha_{f,t}, 1/\alpha_{F,t})$ as (80,40) for MS-COCO [26], (60,30) for VOC2007 [16] and (40,20) for NUSWIDE [12].

478 **D** Examples of Local Alignment Enhancement

In Fig. 5, we visualized the examples of local alignment enhancement by applying our method. Enhancing local alignment is important to recognize multiple objects in a test image [37]. Our proposed method enhances the local alignment between the visual features of test image and the text features of each class name, thereby suppressing the false-positive prediction. Therefore, Fig. 5 demonstrates the effectiveness of our method.

484 E Positive and Negative Societal Impacts

As a positive societal impact, our method can allow people with limited computing resources to achieve better performance in multi-label classification using existing vision-language models. This is because it does not require extensive training or labeled data. However, as a negative societal impact, the failure of classification could produce the negative side effects. For example, in security applications, incorrect classification of objects could lead to false alarms or missed detections, potentially compromising safety and security.

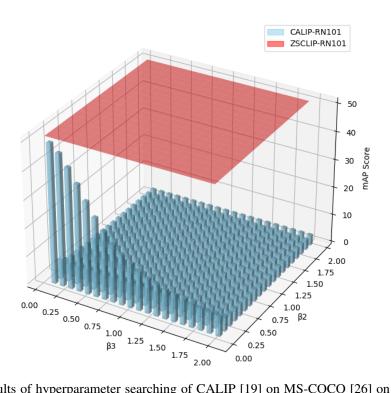


Figure 4: Results of hyperparameter searching of CALIP [19] on MS-COCO [26] on β_2 and β_3 . Applying the parametric-free attention module of CALIP consistently decreases performance as compared to the zero-shot CLIP (ZSCLIP) [32].

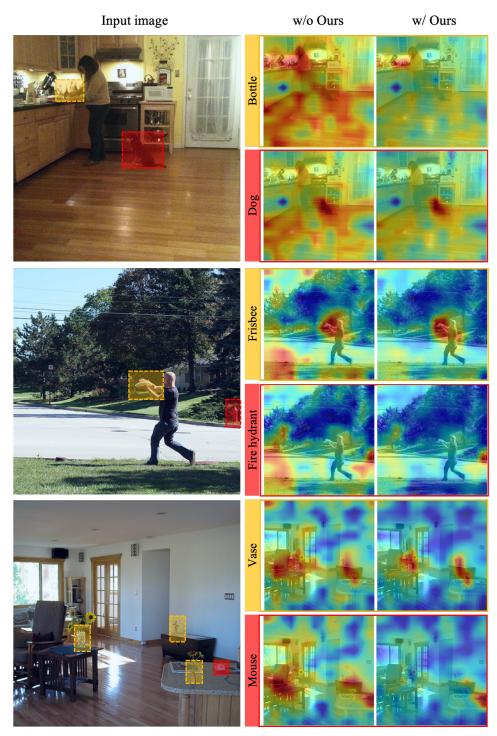


Figure 5: Additional examples of local alignment enhancement via our method. We visualized the test image in the left column and its corresponding spatial similarity map of each class name in the right column. The yellow and red boxes refer to the bounding boxes for different labels in a multi-label setting. By applying our method, the local alignment is enhanced across multiple objects in a test image, thereby suppressing false-positive predictions.

Such as MSCOCO caption, generates the diverse 100 sentences including following class names for multi-label classification caption.

The sentence should include proper context and multiple classes in a sentence.

Constraints:

1.Must be in JSON file format.

2.No code is required.

3.Sentence length should be between 40-50 characters.

- 4.Sentences must start with a lowercase letter.
- 5.Each sentence must contain at least one class name or more.
- 6.Must describe a scene that is likely to occur in reality.
- 7.Do not start the subject with 'I'.

8. Sentences must be visually descriptive.

9. Avoid abstract descriptions and ensure sentences depict concrete scenes.

10.A total of 100 sentences are required.

11. must include text of "{class name}" for all sentences but it's not need to start with this text and should be natural sentence.

Examples:

bad example : boats dot the harbor near the sleepy town good example : a cat lounges in the sunny apartment window

Class names:

airport animal beach ... whales window zebra

Output format :

[{"sentence": "an airport buzzes with planes and travelers at dawn"}, {"sentence": "surf crashes onto the beach as swimmers look on"}, {"sentence": "a person reads a book in the quiet public library"}, {"sentence": "tigers roam the rocky terrain under the hot sun"},...]



Here are 100 sentences that meet your requirements, each containing one or more of the provided class names. These sentences describe various scenes likely to occur in reality:

[{"sentence": "a dog runs through the park chasing a frisbee"}, {"sentence": "birds soar high in the clear blue sky"}, {"sentence": "surfers catch waves at the crowded beach"},...]

Figure 6: Example of text description generation using GPT3.5 for contextual text descriptions of NUSWIDE [12]. We carefully designed the input prompt to ensure that the generated sentences include the class name of the target task. The elements considered in designing the input prompt include the main description, constraints, examples, class names, and the desired output format.

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