

ESCAPE: EQUIVARIANT SHAPE COMPLETION VIA ANCHOR POINT ENCODING

Anonymous authors

Paper under double-blind review

ABSTRACT

Shape completion, a crucial task in 3D computer vision, involves predicting and filling the missing regions of scanned or partially observed objects. Current methods often suffer from orientation-dependent inconsistencies, particularly under varying rotations, limiting their real-world applicability. We introduce **ESCAPE** (Equivariant Shape Completion via Anchor Point Encoding), a novel framework designed to achieve rotation-equivariant shape completion. Our approach employs a distinctive encoding strategy, representing objects by selecting anchor points and utilizing them in a distance-based encoder akin to the D2 shape distribution. This enables the model to capture a consistent, rotation-equivariant understanding of the object’s geometry. ESCAPE leverages a transformer architecture to encode and decode the distance transformations, ensuring that generated shape completions remain accurate and equivariant under rotational transformations. Additionally, we perform optimization to refine the predicted shapes from anchor point positions and predicted encodings. Experimental evaluations demonstrate that ESCAPE achieves robust, high-quality reconstructions across arbitrary rotations and translations, showcasing its effectiveness in real-world applications.

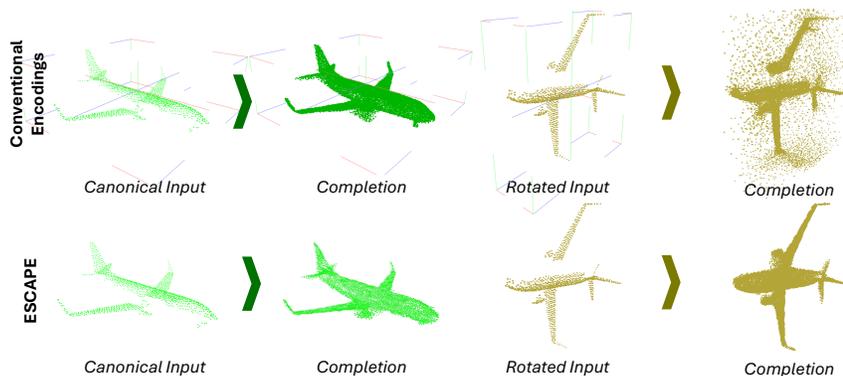


Figure 1: Existing shape completion methods (Top) use conventional canonical coordinates and perform poorly under rotation changes and unknown canonical reference. Using our anchor point encoding (Below), we manage to consistently complete the shape with arbitrary rotation in non-canonical coordinates.

1 INTRODUCTION

3D perception has been dependent on canonical orientations, causing significant challenges in dynamic environments, such as robotic manipulation or real-time object recognition, where objects interact from varying viewpoints. Traditional methods, like voxel-based approaches and early point cloud networks such as PointNet(21) and PointNet++(22), have laid a strong foundation but cannot inherently handle rotational variance. Recent methods have introduced improvements by leveraging attention mechanisms and hierarchical processing capabilities but still rely on orientation

054 normalization or data augmentation techniques to manage rotations, which do not fully resolve the
055 inherent challenges of varying viewpoints.

056
057 Despite progress in the field, existing shape completion techniques struggle to maintain robustness
058 and accuracy under arbitrary rotations. This notably limits their practicality in real-world applications
059 where objects can appear in any orientation. Recent methods, including transformer-based models like
060 SnowflakeNet(35) and SeedFormer(46), have introduced improvements by leveraging the attention
061 mechanisms, progressively growing points, and hierarchical processing capabilities of transformers.
062 However, these models expect partial shapes in aligned orientation across a category and only learn
063 completion in canonical coordinates, which do not guarantee performance consistency in varying
064 viewpoints or unknown local coordinates.

065
066 In addition, progress has been made in developing rotation-invariant descriptors, particularly in
067 shape registration tasks, which require detecting points of interest in objects regardless of orientation.
068 However, these advancements have yet to be fully extended to shape completion. These descriptors
069 are vital for applications such as 3D model retrieval and pose estimation but do not directly facilitate
070 the reconstruction of entire object geometry in a rotation-equivariant manner.

071
072 To bridge these gaps, we introduce ESCAPE, a novel approach to ensure rotation-equivariant shape
073 completion. ESCAPE employs a unique encoding strategy by selecting high-curvature anchor points
074 around the input shape and transforming point coordinates into a set of distances from these anchor
075 points. This method constructs a robust representation of objects equivariant to their orientation. The
076 representation is processed through a transformer architecture, which enables the model to reconstruct
077 the distance to the same anchor points on a complete object point set under varying conditions.
078 Subsequently, an optimization is utilized to find point coordinates of the completed geometry from
079 the predicted distances.

080 We propose a complete pipeline to generate, encode, decode, and interpret rotation equivariant
081 features for the first non-canonical 3D shape completion.

082 In summary, our contributions are threefold:

- 083 • We introduce ESCAPE, a novel rotation-equivariant 3D encoding strategy using high-
084 curvature anchor points, enabling robust shape description and reconstruction.
- 085 • We develop a transformer-based architecture that leverages our equivariant encoding to gener-
086 ate the completed point cloud, maintaining consistency across varying object orientations
087 and partial inputs.
- 088 • We present the first end-to-end rotation-equivariant shape completion method, demonstrat-
089 ing robust performance under arbitrary rotations and in the absence of canonical object
090 coordinates.
- 091 • We establish and rigorously evaluate a challenging real-world shape completion benchmark
092 using the OmniObject dataset(34), featuring partial point clouds with diverse geometries
093 and arbitrary poses.

094 2 RELATED WORKS

095 2.1 POINT CLOUD PROCESSING

096
097 Point clouds, representing unordered and sparse 3D data, challenge conventional CNNs. Voxel-based
098 methods like VoxNet (17) and VoxelNet (47) convert point clouds into regular grids for 3D CNN
099 processing but are computationally intensive. PointNet (21) and PointNet++ (22) address unordered
100 sets with MLPs and hierarchical grouping. PointNet creates a permutation-invariant structure with
101 pointwise MLPs, while PointNet++ adds hierarchical layers and furthest point sampling, increasing
102 computational complexity. These methods are often combined with voxel-based approaches in
103 large-scale applications (28; 47).

104
105 Graph neural networks (GNNs) like PointCNN (16) and EdgeConv (33) capture local geometric
106 features through spatial relationships and dynamic graph construction. Recent advancements integrate
107 edge-based attention for improved efficiency (31; 30). Transformers and attention mechanisms have
also been applied to point clouds, with Graph Attention Networks (GATs) (31) using self-attentional

108 layers and the Transformer architecture (30) introducing multi-head attention for robust feature
109 aggregation. Set Transformer (15) and Perceiver (13) adapt attention mechanisms for 3D data. Point
110 cloud-specific transformers, such as PCT (10) and Point Transformer (45), apply self-attention to
111 neighborhood points, though they can be computationally expensive.

112 2.2 ROTATION-INVARIANT ENCODINGS

113 Handcrafted rotation-invariant descriptors have been widely explored in 3D by researchers before the
114 popularity of deep neural networks. To guarantee invariance under rotations, many handcrafted local
115 descriptors (25; 24; 29; 11) rely on an estimated local reference frame (LRF), which is typically based
116 on the covariance analysis of the local surface, to transform local patches to a canonical representation.
117 The major drawback of LRF is its non-uniqueness, making the constructed rotational invariance
118 fragile and sensitive to noise. Consequently, attention has shifted to LRF-free approaches (5). These
119 methods focus on mining the rotation-invariant components of local surfaces to represent the local
120 geometry. For instance, PPF (5), PFH (25), and FPFH (24) encode the geometry of the local surface
121 using histograms of pairwise geometrical properties. Despite being rotation-invariant by design, these
122 handcrafted descriptors are often inadequate for complex geometry and noisy data.

123 Recently, many deep learning-based methods have aimed to learn rotation-invariant descriptors. PPF-
124 FoldNet (4) encodes PPF patches into embeddings, using a FoldingNet (37) decoder to reconstruct
125 the input, enabling correspondences from the rotation-invariant embeddings. SpinNet (1) and
126 Graphite (26; 27) align local patches to defined axes before learning descriptors. However, these
127 methods are limited by their locality, as descriptors are learned only from the local region, making
128 them less distinctive.

129 YOHO (36) introduces a rotation-equivariant approach by leveraging an icosahedral group to learn
130 a group of rotation-equivariant descriptors for each point. Rotational invariance is achieved by
131 max-pooling over the group, but this method struggles with efficiency and complete rotational
132 coverage. Object-centric registration methods (38; 6) strengthen rotational invariance by combining
133 rotation-invariant descriptors with rotation-variant inputs, though performance drops under large
134 rotations.

135 In point cloud classification, methods (44; 3) describe whole shapes as rotation-invariant descrip-
136 tors but lack global awareness in node/point descriptors. Methods such as Transformer-based
137 approaches (30) aim to incorporate global context, but robustness to rotational changes is often
138 achieved through data augmentation, which is not optimal. Recent works such as Rotation-Invariant
139 Transformer (40) and Riga (39) have proposed more robust rotation-invariant and globally-aware
140 descriptors. Despite these advancements, these approaches have not yet been thoroughly explored in
141 shape completion tasks, highlighting a significant area for future research.

142 2.3 SHAPE COMPLETION METHODS

143 Pre-deep learning point cloud completion methods rely on object symmetry (18), or a database of
144 complete shapes (20) to achieve effective results. However, these methods are constrained by the
145 need for specific preconditions to be met by the input data, limiting their applicability compared to
146 deep learning-based approaches.

147 Learning-based methods can be classified into two primary categories based on the type of rep-
148 resentation used for 3D data: methods utilizing point clouds and methods employing alternative
149 representations such as voxel grids, implicit functions, and others. Methods using alternative repre-
150 sentations often need higher memory consumption, making them less scalable for high-resolution
151 inputs. Although researchers have proposed more efficient representations such as Octrees (32),
152 sparse lattice networks (23), and sparse convolution operations (9), none have proven to be as efficient
153 and effective as directly processing 3D point coordinates.

154 PointNet (21) and its variant PointNet++ (22) introduced specialized operations that enable learning
155 directly from point cloud coordinates, revolutionizing point cloud processing tasks. PCN (43) was
156 built on top of PointNet and became the first deep learning-based method for point cloud completion,
157 utilizing an encoder-decoder architecture with a folding operation to complete given partial input
158 point clouds. Following PCN, many other methods with similar model architectures have been
159 developed (43). In addition to these methods, some researchers formulate point cloud completion
160
161

162
163
164
165
166
167
168
169
170
171
172
173
174
175
176
177
178
179
180
181
182
183
184
185
186
187
188
189
190
191
192
193
194
195
196
197
198
199
200
201
202
203
204
205
206
207
208
209
210
211
212
213
214
215

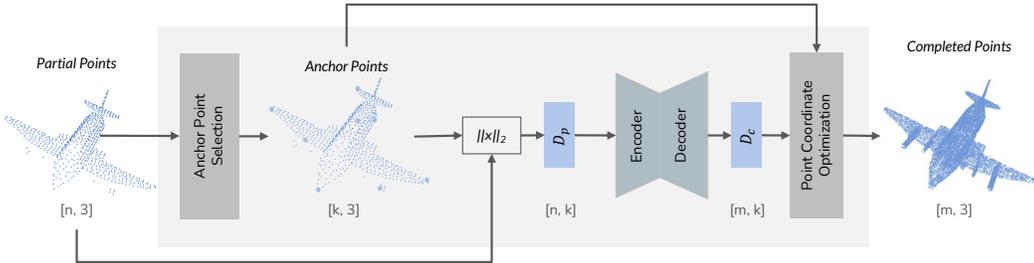


Figure 2: The overall pipeline for ESCAPE model. Initially, it extracts a anchor points to construct rotation-invariant features as input to a transformer-based encoder-decoder architecture. The transformer is specially modified to predict the distance between points in the complete geometry and the extracted anchor points. It simultaneously constructs complete geometry with m points and predicts the distance to anchor points. Finally, an optimization procedure has been utilized to find the coordinates of the complete shape.

as a probabilistic problem, where a given input cloud can be mapped to multiple complete point clouds. They address point cloud completion by introducing probabilistic methods such as Variational Autoencoders (14) and GANs (8).

Another milestone for point cloud completion is the application of transformer-based methods. Due to their efficiency with unordered data, transformers are well-suited for point cloud processing tasks. PoinTr (41) formulates the completion task as set-to-set translation, utilizing transformers for the first time in this context. SnowflakeNet (35) employs an encoder-decoder architecture based on transformers to complete point clouds in a coarse-to-fine fashion. Each level of the decoder architecture inputs a prediction of a subset of the complete point cloud generated by the previous level and splits the input into child points to generate a finer prediction. Similarly, Seedformer (46) introduces a new representation called patch seeds, consisting of points and their features, to be used in the decoding step. Unlike SnowflakeNet, Seedformer’s decoder levels utilize point features while generating a new set of complete point clouds. AdaPointTr (42) builds on top of PoinTr by incorporating a denoising task and an adaptive query generation mechanism. Finally, our method show some similarities to a recent method named: AnchorFormer (2) which also utilizes points to aid shape completion. It employs points(anchors) around the shape to capture regional information of objects. These points are then used to reconstruct fine-grained object using a modulation scheme.

Although these models predict completion well, they rely on aligning objects into canonical coordinates and fail when local coordinates are unknown, limiting their effectiveness in more generalized and unstructured scenarios. This reliance on known local coordinates and failure to predict under arbitrary rotations highlights a significant challenge that our work aims to address.

3 METHODOLOGY

Our method addresses point cloud completion by leveraging a combination of rotation-invariant features and a specially designed transformer model. Initially, anchor points and rotation-invariant features are extracted from the partial input point cloud. These features are then passed into the transformer to predict the complete geometry of the object relative to the anchor points.

It is important to note that the final point coordinate optimization is influenced by the input anchor points, which are affected by the rotation of the input. As a result, the final predictions are also rotated, ensuring that the entire pipeline remains rotation-equivariant. This means that the output is consistently aligned with the input rotation.

The overall architecture is shown in Figure 2. Below, we detail the different components of our ESCAPE model.

3.1 ANCHOR POINTS ENCODING

Given a partial point cloud $P = \{p_1, p_2, \dots, p_n\}, p_i \in \mathbb{R}^3$, we select a set of anchor points $A = \{a_1, a_2, \dots, a_k\}, a_j \in \mathbb{R}^3$. The distances between points in P and anchor points in A are computed and stored in a distance matrix $D_p \in \mathbb{R}^{n \times k}$, where each element d_{ij} is:

$$d_{ij} = \|p_i - a_j\|_2, \quad \forall i \in \{1, \dots, n\}, \forall j \in \{1, \dots, k\}.$$

This distance matrix D_p serves as the input feature set for our transformer model.

The selection of anchor points is crucial. They should be well-distributed and consistent across samples within the same object category. We use furthest point sampling (FPS) to form k clusters to achieve this. Within each cluster, we compute the Laplacian Δ of the normal vectors $N = \{n_1, n_2, \dots, n_m\}$ for each point p_i in the cluster:

$$\Delta n_i = n_i - \frac{1}{|\mathcal{N}(i)|} \sum_{j \in \mathcal{N}(i)} n_j,$$

where $\mathcal{N}(i)$ denotes the neighbors of p_i .

We estimate PCA-based curvature κ_i at p_i , defined as the smallest eigenvalue of the covariance matrix C_i of the neighboring normals (12):

$$C_i = \frac{1}{|\mathcal{N}(i)|} \sum_{j \in \mathcal{N}(i)} (n_j - \bar{n})(n_j - \bar{n})^T, \quad \kappa_i = \min(\text{eig}(C_i)),$$

where \bar{n} is the mean normal vector. The highest curvature points within each cluster are selected as anchor points, representing salient landmarks consistent across geometrically similar samples.

3.2 TRANSFORMER ARCHITECTURE

Our point cloud transformer model is inspired by AdaPoinTr (42), but we revised it to meet the specific requirements of rotation invariance.

First, we modify the feature extraction process used to generate point proxies. In the original architecture, a DGCNN (33) model is employed to extract local neighbor features through hierarchical downsampling and processing of initial features. Instead of using absolute point coordinates, we input the distances d_{ij} to the anchor points into the DGCNN, with dimensionality handled through linear layers of size k . This modification is applied consistently across the AdaPoinTr architecture, ensuring encoding with the same anchor points throughout the network.

The use of distances also affects the training objective. The original AdaPoinTr loss function focuses on predicting the correct coordinates for noisy points perturbed during training. Since our network predicts distances, we modified the loss function to account for the noisy distances between noisy input points and noise-free anchor points. This ensures that our network can still remove noise from the inputs, even when operating in distance space.

Similarly, in the self-attention layer, we replaced the point coordinates with the distances to anchor points to better capture the geometric relationships in the point cloud while maintaining invariance to input rotation.

These changes rely on the intuition that distances can effectively serve as encoded point coordinates. Specifically, two points will remain neighbors in Euclidean space when their distances to anchor points are used as descriptors, effectively representing the points in a "distance space." Therefore, point coordinates can be replaced by distances to anchor points without losing the geometric relationships between the points.

The final output distances are inherently unaffected by any rotations applied to the input, ensuring both consistency and rotation invariance. To reconstruct the completed point cloud with accurate 3D geometry, we solve an optimization problem to determine the final coordinates of the 3D shape from the predicted distances.

3.3 POINT COORDINATE OPTIMIZATION

To finalize the point cloud completion, the predicted distances must be converted into point coordinates. This step involves finding the coordinates of points whose distances to known anchor points match the predicted values. More formally, the optimization problem is defined as:

$$\min_{x,y,z} \sum_{i=1}^k \left(\sqrt{(x - x_{a_i})^2 + (y - y_{a_i})^2 + (z - z_{a_i})^2} - D_c(i) \right)^2, \quad (1)$$

where $x_{a_i}, y_{a_i}, z_{a_i}$ are the coordinates of the known anchor points, and x, y, z are the coordinates of the point to be determined, while D_c represents the distances predicted by the network. This optimization problem is solved independently for each point using the Levenberg-Marquardt algorithm (19) to recover the full object shape.

The final coordinates predicted by the pipeline retain the same orientation as the partial input cloud, ensuring that the method is rotation-equivariant. Moreover, due to the model’s rotation invariance, the predicted complete object coordinates remain consistent under varying transformations of the input.

4 EXPERIMENTS

We conduct point cloud completion experiments on different datasets with different input transformations to evaluate our method’s effectiveness and robustness over varying input conditions. These experiments are performed on established benchmarks: PCN(43) and KITTI(7). We also introduce additional evaluation on OmniObject(34) dataset to demonstrate generalizability and robustness on real-world object scans. Results demonstrate the effectiveness of our approach across many datasets, showcasing superior performance compared to existing models when presented with nonrotated or non-canonical inputs.

For all of our experiments, we refrained from applying rotations during training and evaluated our models on two conditions: no rotation and rotation across three dimensions. Only in OmniObject experiments did we not rotate the input point clouds and test the methods’ capability of handling arbitrary rotations originating from the projection of the depth maps with unknown extrinsic parameters.

4.1 TRAINING SETUP

We used the Pytorch framework and the Adam optimizer for our implementation with $\beta_1 = 0.9$ and $\beta_2 = 0.999$. We initialized the learning rate at 0.001 and utilized a learning rate scheduler that multiplied the learning rate by 0.98 in every 15 epochs. We train our models until the validation loss does not improve over the last 30 epochs and a maximum of 200 epochs. We utilized the Chamfer Distance using the L1 distance in our loss function, which is calculated as:

$$CD(P, Q) = \frac{1}{|P|} \sum_{p \in P} \min_{q \in Q} \|p - q\|_1 + \frac{1}{|Q|} \sum_{q \in Q} \min_{p \in P} \|q - p\|_1$$

Where P and Q are the two point sets and $\|\cdot\|_1$ denotes the L1-norm. To construct our loss function on predicted distances as:

$$L = CD(\hat{D}_c, D_c(P, A))$$

where \hat{D}_c is the predicted distances from the completed point cloud points to the anchor points and $D_c(P, A)$ is the ground truth distances between points in the complete shape and anchor points.

4.2 THE PCN BENCHMARK

The PCN Dataset consists of 8 categories derived from the ShapeNet dataset and includes numerous instances of complete and partial point clouds. Partial clouds are derived from complete clouds by back-projecting depth images from 8 different viewpoints with varying numbers of points. Adhering to established conventions, we upsampled/downsampled 2048 points from the input to construct our inputs and generate 16,384 points as the final output.

Following the existing methods, we used the PCN dataset to evaluate the models’ shape completion capabilities. Additionally, we generated rotated inputs from the same dataset to assess the performance degradation of the models under rotation. To generate them, we selected random degrees between 0-180 for all three axes and applied these rotations simultaneously. When evaluating existing methods, the rotation is applied to the ground truth complete shapes to align them with the final predicted complete shapes.

Evaluation. We used Chamfer Distance under the L1-norm as both the loss function and evaluation metric for point cloud completion. It measures the distance between two unordered sets and is commonly used as a metric for PCN dataset benchmark. Following existing methods, we used the same train/validation/test splits of the PCN dataset and reported the value of the Chamfer Distance with L1 norm, multiplied by 1000. Table: 1 shows the detailed results, and Figure 3 depicts qualitative comparison with other methods.

Our method is the only model where prediction is unaffected by the input rotation and achieves competitive results on unrotated inputs. As shown in Figure 3, ESCAPE can achieve high-resolution outputs for planes and cars that fall under shape categories without variation. However, it may struggle to capture fine details in categories with diverse geometries, as demonstrated by the sofa example in Figure: 3.

Rotating or shifting the coordinates in the inputs of existing methods leads to significant performance degradation in completion. Their predictions are subject to high noise and structural deformations. As shown in Figure 3, most predictions become barely recognizable when the input is rotated. Interestingly, Table1 reveals that models with better CD-L1 scores on inputs without rotation achieve worse when the input is rotated. This phenomenon is that existing methods overfit the dataset they trained on and, therefore, lack robustness against real-world scenarios. On the other hand, ESCAPE can yield identical predictions under rotation, superior to all other methods when the inputs are perturbed with rotation, and perform similarly when the input rotation is not present. This makes ESCAPE applicable to scenarios that require non-canonical point processing.

	Snowflake(35)		Seedformer(46)		PointTr(41)		AdaPoinTr(42)		AnchorFormer(2)		Ours	
	normal	rotated	normal	rotated	normal	rotated	normal	rotated	normal	rotated	normal	rotated
Plane	4.29	16.80	3.85	12.89	4.75	15.73	3.68	14.02	3.70	14.53	7.2	7.2
Cabin	9.16	32.70	9.05	34.49	10.47	31.63	8.82	41.39	8.94	40.27	14.5	14.5
Car	8.08	21.16	8.06	21.51	8.68	21.21	7.47	20.85	7.57	22.86	10.1	10.1
Chair	7.89	27.45	7.06	26.97	9.39	26.46	6.85	35.45	7.05	35.80	11.5	11.5
Lamp	6.07	22.55	5.21	24.78	7.75	18.76	5.47	20.35	5.21	24.14	8.1	8.1
Sofa	9.23	28.55	8.85	30.58	10.93	29.41	8.35	35.70	8.40	37.24	14.2	14.2
Table	6.55	37.08	6.05	35.91	7.78	33.84	5.80	51.06	6.03	50.66	9.4	9.4
Boat	6.40	18.59	5.85	17.01	7.29	17.36	5.76	15.71	5.81	18.00	9.3	9.3
Avg	7.21	25.61	6.74	25.52	8.38	24.30	6.53	29.32	6.59	30.44	10.5	10.5

Table 1: Results on PCN dataset. We use CD-L1($\times 1000$) as an evaluation metric and report the results for normal and rotated inputs. The best results for both input types are written with bold letters

4.3 OMNI OBJECT3D BENCHMARK

Our next experiment focuses on a real-world scenario that requires the reconstruction of real-world objects from depth maps whose pose parameters are unknown. To create the experiment, we collected 7 categories from the OmniObject(34) dataset that are also included in the PCN(43) dataset. Each category has multiple samples with a complete point cloud and 100 depth maps from different viewpoints. We back-projected these depth maps to obtain partial point clouds with unknown orientations. Before feeding the models with the inputs, we applied normalization on partial point clouds to match their distribution with the PCN dataset. We applied the inverse of the input transformation to the complete point clouds to be able to evaluate the completion performance.

We observed that the OmniObject dataset is challenging for point cloud completion due to two main reasons. First, it contains objects with varying geometry and dissimilar to their PCN pairs. Secondly, incorrect depth and intrinsic parameters for some samples lead to isolated points in the input point clouds, affecting the method’s completion ability significantly. We observed that the mentioned challenges cause the metric value to degrade, misleading the performances of the models. Therefore, to remove these outliers, we report the median per category instead of the average of each sample in each category.

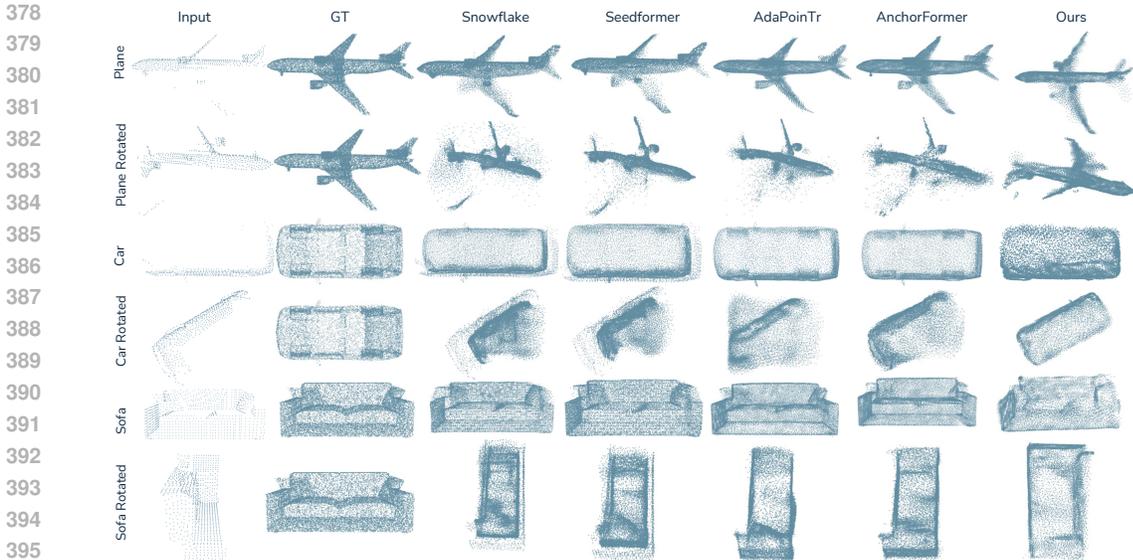


Figure 3: Qualitative comparison of models trained on PCN dataset and tested with and without rotated inputs. Each row contains the input to the model in its first column. Every even row contains the rotated input of the preceding row.

	Snowflake(35)	Seedformer(46)	PoinTr(41)	AdaPoinTr(42)	AnchorFormer(2)	Ours
Plane	16.36	13.90	15.49	17.53	18.20	16.00
Cabin	37.45	34.61	46.13	54.02	51.00	12.09
Car	34.38	32.70	40.07	49.97	47.69	26.3
Chair	23.12	21.08	23.12	31.74	27.84	7.2
Lamp	54.78	59.02	54.78	67.36	72.13	49.85
Sofa	28.36	26.16	28.36	38.88	38.42	9.7
Boat	21.71	19.47	22.88	22.49	25.60	10.62
Avg	31.14	29.56	32.97	39.57	40.12	18.82

Table 2: Results on OmniObject dataset. We report median CD-L1($\times 1000$) per category as an evaluation metric and report the average of all categories. The best results for both input types is written with bold letters

Similar to the PCN dataset, our method stands as the only model not affected by the input rotation. Results in Table 2 show that it is capable of handling unknown object poses and still able to reconstruct objects in high resolution. Qualitative results in Figure 4 is another evidence of our method’s capability of handling arbitrary poses while existing methods failed to generate a structured geometry. We refer readers to supplementary material for qualitative results.

4.4 KITTI CARS BENCHMARK

Another real-world scenario experiment includes predicting complete car object geometries in the KITTI dataset, which contains incomplete point clouds from LiDAR scans in real-world scenes. Following the existing literature, we pretrain our model only with car images from the PCN dataset. As the complete point clouds are not available in this dataset, we reported the MMD and Fidelity score under two different setups: (i) original partial points and (ii) inputs with a random rotation around a single axis to mimic the movement of a vehicle. Table 3 shows the performance of the models.

The MMID results in the rotated samples show that existing methods fail to complete the cars when a simple yet realistic rotation is applied to the inputs. Moreover, our method achieved similar scores to other methods in inputs without rotation while training without any augmentation techniques.

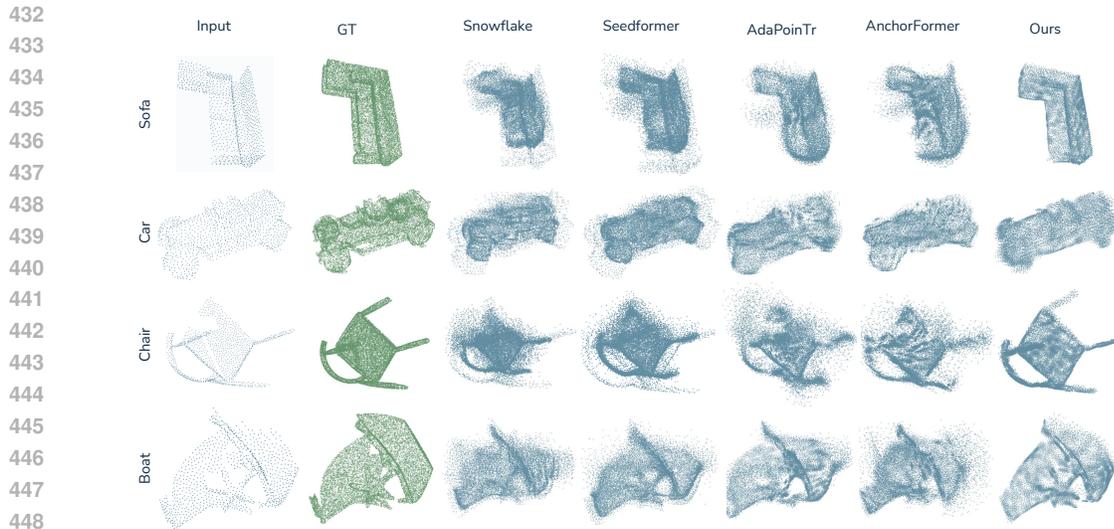


Figure 4: Qualitative comparison of models trained on PCN dataset and tested on OmniObject dataset. Each row contains the input to the model in its first column.

Methods	Fidelity		MMID	
	normal	rotated	normal	rotated
PoinTr(41)	0.0	0.0	4.6	6.15
Snowflake(35)	1.3	1.77	7.5	16.08
Ours	1.81	1.81	5.0	5.93

Table 3: Results on KITTI Cars dataset for normal and rotated inputs. Fidelity and MMID metrics are calculated using CD-L2 (x1000) distance.

4.5 ABLATION STUDIES

4.5.1 OTHER ENCODINGS

Shape completion literature lacks robust methods against input transformations to be a baseline for our process. To fill this gap and provide rotation equivariance encodings as a baseline to our method, we proposed three methods: (i) We adopt equivariant DGCNN architecture proposed by Vector Neurons (3) for part segmentation task with upsample followed by a MLP to generate complete shapes in different scales. (ii) We modified the DGCNN architecture built with Vector Neuron layers for point cloud completion by combining its rotation-invariant encoder with the decoder of the Snowflake. Referred as Vector Neurons in Table 4. (iii) Snowflake(35) network processing point pair features (PPFs) and modified to be rotation-invariant. Referred as PPF-Snowflake in Table 4. Results in Table 4 shows that our method surpassed the baseline in all categories, showing superior point cloud completion.

Method	Plane	Cabin	Car	Chair	Lamp	Sofa	Ship	Avg
DGCNN (Vector Neurons)(3)	93.66	93.66	93.66	93.66	93.66	93.66	93.66	93.66
Snowflake (Vector Neurons)(3)	10.65	26.64	11.92	17.86	22.82	22.90	18.19	18.62
Snowflake (PPF)	8.68	19.68	10.95	19.36	25.96	18.62	16.07	17.46
Ours	7.2	14.5	10.1	11.5	8.1	14.2	9.3	10.5

Table 4: Comparison of our feature extractor with Vector Neurons to generate a global feature vector for the same decoder module.

4.5.2 ANCHOR POINT SELECTION

As part of our ablation study, we further investigate our anchor point selection algorithm and analyze the characteristics of optimal point sets. We evaluated the performance of different anchor point selection methods on a subset of the PCN dataset. The results are presented in Table 5. For visual reference, we refer the reader to the supplementary material, which illustrates the points selected by the algorithms.

Algorithm	Threshold/Radius	CD-L1 Score
<i>Clustering</i>	0.0	14.80
<i>Clustering</i>	0.5	15.73
<i>Ball Query</i>	0.05	14.23
<i>Ball Query</i>	0.075	13.58
<i>Ball Query</i>	0.1	15.00
<i>Ball Query</i>	0.15	15.76
<i>FPS</i>	-	14.74

Table 5: Results of different anchor point selection algorithms on a subset of the PCN dataset. CD-L1 (x1000) distance is reported.

In these experiments, we also tested an alternative algorithm to select anchor points based on the curvature values of points. First, we use the FPS algorithm to select a anchor points, then use these points as cluster centers, assigning all other points to their closest cluster. For each cluster, we select the point with the maximum curvature as the anchor point, provided its curvature exceeds a predefined threshold. If no point in the cluster meets the curvature threshold, we retain the original FPS-selected point as the anchor. The results of this algorithm, referred to as "Clustering," are shown in Table 5.

For comparison, the final row of Table 5, labeled "FPS," shows the results of using the FPS algorithm alone without further refinement. Both results demonstrate the importance of well-distributed anchor points around the shape for the model's success. Selecting anchor points from clusters provides more flexibility for points to move away from their initial positions, thereby covering less area of the object's geometry.

Additionally, we limit the refinement of anchor points to a maximum distance (radius) from their initial positions. The rows labeled "Ball Query" in Table 5 show the results for different radius values. The results indicate that a larger radius does not improve performance, as it allows too much flexibility for anchor points to shift around the shape, resulting in less coverage of critical regions. This observation aligns with the conclusions from previous experiments.

The "Ball Query" results further show that selecting points with respect to their curvature is beneficial. By allowing points to move a small distance, such as 0.075, from their initial positions, we were able to choose better anchor points, resulting in improved point cloud completion performance.

5 CONCLUSION

In this work, we presented Equivariant Shape Completion via Anchor Point Encoding (ESCAPE), a novel method for achieving rotation-equivariant shape completion. Our approach tackles the key challenge of reconstructing object geometries from various orientations by leveraging rotation-equivariant keypoint detection and a distance-based feature encoding inspired by the D2 shape distribution.

Through comprehensive experiments on the PCN, KITTI, and OmniObject datasets, ESCAPE consistently outperformed existing models, mainly when dealing with rotated input data. This demonstrates the method's robustness in scenarios where input orientation is not controlled or known in advance.

ESCAPE provides a practical solution for dynamic environments, such as robotic manipulation and real-time object recognition, by enabling effective shape completion without requiring prior knowledge of object orientation or additional pose estimation modules. The combination of rotation-equivariant keypoint detection and a transformer-based architecture enables ESCAPE to reconstruct

540 objects with high precision, capturing fine details and maintaining geometric consistency throughout
 541 the process.
 542

543 REFERENCES

- 544
- 545 [1] Ao, S., Hu, Q., Yang, B., Markham, A., Guo, Y.: Spinnet: Learning a general surface descriptor for 3d
 546 point cloud registration. In: Proceedings of the IEEE/CVF conference on computer vision and pattern
 547 recognition. pp. 11753–11762 (2021)
- 548 [2] Chen, Z., Long, F., Qiu, Z., Yao, T., Zhou, W., Luo, J., Mei, T.: Anchorformer: Point cloud completion
 549 from discriminative nodes. In: Proceedings of the IEEE/CVF conference on computer vision and pattern
 550 recognition. pp. 13581–13590 (2023)
- 551 [3] Deng, C., Litany, O., Duan, Y., Poulencard, A., Tagliasacchi, A., Guibas, L.J.: Vector neurons: A general
 552 framework for so (3)-equivariant networks. In: Proceedings of the IEEE/CVF International Conference on
 553 Computer Vision. pp. 12200–12209 (2021)
- 554 [4] Deng, H., Birdal, T., Ilic, S.: Ppf-foldnet: Unsupervised learning of rotation invariant 3d local descriptors.
 555 In: Proceedings of the European conference on computer vision (ECCV). pp. 602–618 (2018)
- 556 [5] Drost, B., Ulrich, M., Navab, N., Ilic, S.: Model globally, match locally: Efficient and robust 3d object
 557 recognition. In: 2010 IEEE computer society conference on computer vision and pattern recognition. pp.
 558 998–1005. Ieee (2010)
- 559 [6] Fu, K., Liu, S., Luo, X., Wang, M.: Robust point cloud registration framework based on deep graph
 560 matching. In: Proceedings of the IEEE/CVF conference on computer vision and pattern recognition. pp.
 561 8893–8902 (2021)
- 562 [7] Geiger, A., Lenz, P., Urtasun, R.: Are we ready for Autonomous Driving? The KITTI Vision Benchmark
 563 Suite. In: Proc. of the IEEE Conf. on Computer Vision and Pattern Recognition (CVPR). pp. 3354–3361
 564 (2012)
- 565 [8] Goodfellow, I., Pouget-Abadie, J., Mirza, M., Xu, B., Warde-Farley, D., Ozair, S., Courville, A., Bengio,
 566 Y.: Generative adversarial nets. *Advances in neural information processing systems* **27** (2014)
- 567 [9] Graham, B., Engelcke, M., Van Der Maaten, L.: 3d semantic segmentation with submanifold sparse
 568 convolutional networks. In: Proceedings of the IEEE conference on computer vision and pattern recognition.
 569 pp. 9224–9232 (2018)
- 570 [10] Guo, M.H., Cai, J.X., Liu, Z.N., Mu, T.J., Martin, R.R., Hu, S.M.: Pct: Point cloud transformer. arXiv
 571 preprint arXiv:2012.09688 (2020)
- 572 [11] Guo, Y., Soheli, F., Bennamoun, M., Lu, M., Wan, J.: Rotational projection statistics for 3d local surface
 573 description and object recognition. *International journal of computer vision* **105**, 63–86 (2013)
- 574 [12] Hoppe, H., DeRose, T., Duchamp, T., McDonald, J., Stuetzle, W.: Surface reconstruction from unorganized
 575 points. In: Proceedings of the 19th annual conference on computer graphics and interactive techniques. pp.
 576 71–78 (1992)
- 577 [13] Jaegle, A., Gimeno, F., Brock, A., Zisserman, A., Vinyals, O., Carreira, J.: Perceiver: General perception
 578 with iterative attention. arXiv preprint arXiv:2103.03206 (2021)
- 579 [14] Kingma, D.P., Welling, M.: Auto-encoding variational bayes. arXiv preprint arXiv:1312.6114 (2013)
- 580 [15] Lee, J., Lee, Y., Kim, J., Kosiorek, A., Choi, S., Teh, Y.W.: Set transformer: A framework for attention-
 581 based permutation-invariant neural networks. In: International Conference on Machine Learning. pp.
 582 3744–3753. PMLR (2019)
- 583 [16] Li, Y., Bu, R., Sun, M., Wu, W., Di, X., Chen, B.: Pointcnn: Convolution on x-transformed points.
 584 *Advances in neural information processing systems* **31**, 820–830 (2018)
- 585 [17] Maturana, D., Scherer, S.: Voxnet: A 3d convolutional neural network for real-time object recognition. In:
 586 2015 IEEE/RSJ International Conference on Intelligent Robots and Systems (IROS). pp. 922–928. IEEE
 587 (2015)
- 588 [18] Mitra, N.J., Pauly, M., Wand, M., Ceylan, D.: Symmetry in 3d geometry: Extraction and applications. In:
 589 Computer graphics forum. vol. 32, pp. 1–23. Wiley Online Library (2013)
- 590
 591
 592
 593

- 594 [19] Moré, J.J.: The levenberg-marquardt algorithm: implementation and theory. In: Numerical analysis:
595 proceedings of the biennial Conference held at Dundee, June 28–July 1, 1977. pp. 105–116. Springer
596 (2006)
- 597 [20] Pauly, M., Mitra, N.J., Giesen, J., Gross, M.H., Guibas, L.J.: Example-based 3d scan completion. In:
598 Symposium on geometry processing. pp. 23–32 (2005)
- 600 [21] Qi, C.R., Su, H., Mo, K., Guibas, L.J.: Pointnet: Deep learning on point sets for 3d classification and
601 segmentation. In: Proceedings of the IEEE conference on computer vision and pattern recognition. pp.
602 652–660 (2017)
- 603 [22] Qi, C.R., Yi, L., Su, H., Guibas, L.J.: Pointnet++: Deep hierarchical feature learning on point sets in a
604 metric space. arXiv preprint arXiv:1706.02413 (2017)
- 605 [23] Rosu, R.A., Schütt, P., Quenzel, J., Behnke, S.: Latticenet: Fast point cloud segmentation using permutohe-
606 dral lattices. arXiv preprint arXiv:1912.05905 (2019)
- 607 [24] Rusu, R.B., Blodow, N., Beetz, M.: Fast point feature histograms (fpfh) for 3d registration. In: 2009 IEEE
608 international conference on robotics and automation. pp. 3212–3217. IEEE (2009)
- 610 [25] Rusu, R.B., Blodow, N., Marton, Z.C., Beetz, M.: Aligning point cloud views using persistent feature
611 histograms. In: 2008 IEEE/RSJ international conference on intelligent robots and systems. pp. 3384–3391.
612 IEEE (2008)
- 613 [26] Saleh, M., Dehghani, S., Busam, B., Navab, N., Tombari, F.: Graphite: Graph-induced feature extraction
614 for point cloud registration. In: 2020 International Conference on 3D Vision (3DV). pp. 241–251. IEEE
615 (2020)
- 616 [27] Saleh, M., Wu, S.C., Cosmo, L., Navab, N., Busam, B., Tombari, F.: Bending graphs: Hierarchical shape
617 matching using gated optimal transport. In: Proceedings of the IEEE/CVF Conference on Computer Vision
618 and Pattern Recognition. pp. 11757–11767 (2022)
- 619 [28] Shi, S., Guo, C., Jiang, L., Wang, Z., Shi, J., Wang, X., Li, H.: Pv-rcnn: Point-voxel feature set abstraction
620 for 3d object detection. In: Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern
621 Recognition. pp. 10529–10538 (2020)
- 622 [29] Tombari, F., Salti, S., Di Stefano, L.: Unique signatures of histograms for local surface description. In:
623 Computer Vision–ECCV 2010: 11th European Conference on Computer Vision, Heraklion, Crete, Greece,
624 September 5–11, 2010, Proceedings, Part III 11. pp. 356–369. Springer (2010)
- 625 [30] Vaswani, A., Shazeer, N., Parmar, N., Uszkoreit, J., Jones, L., Gomez, A.N., Kaiser, Ł., Polosukhin, I.:
626 Attention is all you need. In: Advances in neural information processing systems. pp. 5998–6008 (2017)
- 627 [31] Veličković, P., Cucurull, G., Casanova, A., Romero, A., Lio, P., Bengio, Y.: Graph attention networks.
628 arXiv preprint arXiv:1710.10903 (2017)
- 629 [32] Wang, P.S., Liu, Y., Guo, Y.X., Sun, C.Y., Tong, X.: O-cnn: Octree-based convolutional neural networks
630 for 3d shape analysis. ACM Transactions On Graphics (TOG) **36**(4), 1–11 (2017)
- 631 [33] Wang, Y., Sun, Y., Liu, Z., Sarma, S.E., Bronstein, M.M., Solomon, J.M.: Dynamic graph cnn for learning
632 on point clouds. Acm Transactions On Graphics (tog) **38**(5), 1–12 (2019)
- 633 [34] Wu, T., Zhang, J., Fu, X., Wang, Y., Jiawei Ren, L.P., Wu, W., Yang, L., Wang, J., Qian, C., Lin, D., Liu, Z.:
634 Omnioject3d: Large-vocabulary 3d object dataset for realistic perception, reconstruction and generation.
635 In: IEEE/CVF Conference on Computer Vision and Pattern Recognition (CVPR) (2023)
- 636 [35] Xiang, P., Wen, X., Liu, Y.S., Cao, Y.P., Wan, P., Zheng, W., Han, Z.: Snowflakenet: Point cloud completion
637 by snowflake point deconvolution with skip-transformer. In: Proceedings of the IEEE/CVF international
638 conference on computer vision. pp. 5499–5509 (2021)
- 639 [36] Xu, C., Zhai, B., Wu, B., Li, T., Zhan, W., Vajda, P., Keutzer, K., Tomizuka, M.: You only group
640 once: Efficient point-cloud processing with token representation and relation inference module. In: 2021
641 IEEE/RSJ International Conference on Intelligent Robots and Systems (IROS). pp. 4589–4596. IEEE
642 (2021)
- 643 [37] Yang, Y., Feng, C., Shen, Y., Tian, D.: Foldingnet: Point cloud auto-encoder via deep grid deformation. In:
644 Proceedings of the IEEE conference on computer vision and pattern recognition. pp. 206–215 (2018)
- 645
- 646

- 648 [38] Yew, Z.J., Lee, G.H.: Rpm-net: Robust point matching using learned features. In: Proceedings of the
649 IEEE/CVF conference on computer vision and pattern recognition. pp. 11824–11833 (2020)
650
- 651 [39] Yu, H., Hou, J., Qin, Z., Saleh, M., Shugurov, I., Wang, K., Busam, B., Ilic, S.: Riga: Rotation-invariant
652 and globally-aware descriptors for point cloud registration. *IEEE Transactions on Pattern Analysis and
653 Machine Intelligence* (2024)
- 654 [40] Yu, H., Qin, Z., Hou, J., Saleh, M., Li, D., Busam, B., Ilic, S.: Rotation-invariant transformer for point cloud
655 matching. In: Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition. pp.
656 5384–5393 (2023)
- 657 [41] Yu, X., Rao, Y., Wang, Z., Liu, Z., Lu, J., Zhou, J.: Pointnet: Diverse point cloud completion with geometry-
658 aware transformers. In: Proceedings of the IEEE/CVF international conference on computer vision. pp.
659 12498–12507 (2021)
- 660 [42] Yu, X., Rao, Y., Wang, Z., Lu, J., Zhou, J.: Adapointnet: Diverse point cloud completion with adaptive
661 geometry-aware transformers. *IEEE Transactions on Pattern Analysis and Machine Intelligence* (2023)
662
- 663 [43] Yuan, W., Khot, T., Held, D., Mertz, C., Hebert, M.: Pcn: Point completion network. In: 2018 international
664 conference on 3D vision (3DV). pp. 728–737. IEEE (2018)
- 665 [44] Zhang, Z., Hua, B.S., Rosen, D.W., Yeung, S.K.: Rotation invariant convolutions for 3d point clouds deep
666 learning. In: 2019 International conference on 3d vision (3DV). pp. 204–213. IEEE (2019)
- 667 [45] Zhao, H., Jiang, L., Jia, J., Torr, P.H., Koltun, V.: Point transformer. In: Proceedings of the IEEE/CVF
668 International Conference on Computer Vision. pp. 16259–16268 (2021)
- 669 [46] Zhou, H., Cao, Y., Chu, W., Zhu, J., Lu, T., Tai, Y., Wang, C.: Seedformer: Patch seeds based point
670 cloud completion with upsample transformer. In: European conference on computer vision. pp. 416–432.
671 Springer (2022)
- 672 [47] Zhou, Y., Tuzel, O.: Voxelnet: End-to-end learning for point cloud based 3d object detection. In: Proceed-
673 ings of the IEEE conference on computer vision and pattern recognition. pp. 4490–4499 (2018)
674
675
676
677
678
679
680
681
682
683
684
685
686
687
688
689
690
691
692
693
694
695
696
697
698
699
700
701