RapGuard: Safeguarding Multimodal Large Language Models via Rationale-aware Defensive Prompting

Anonymous ACL submission

Abstract

While Multimodal Large Language Models (MLLMs) have made remarkable progress in vision-language reasoning, they are also more susceptible to producing harmful content compared to models that focus solely on text. Existing defensive prompting techniques rely on a static, unified safety guideline that fails to account for the specific risks inherent in different multimodal contexts. To address these limitations, we propose RapGuard, a novel framework that uses multimodal chainof-thought reasoning to dynamically generate scenario-specific safety prompts. RapGuard enhances safety by adapting its prompts to the unique risks of each input, effectively mitigat-016 ing harmful outputs while maintaining high performance on benign tasks. Our experimen-017 tal results across multiple MLLM benchmarks demonstrate that RapGuard achieves state-ofthe-art safety performance, significantly reducing harmful content without degrading the qual-021 ity of responses. We will release all our source 022 code and dataset in the near future. Warning: 024 this paper contains example data that may be offensive or harmful.

1 Introduction

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Recent advances in Multimodal Large Language Models (MLLMs) have led to significant strides in achieving highly generalized vision-language reasoning capabilities (Wang et al., 2023a; Liu et al., 2023c; Chen et al., 2023a; Yang et al., 2023; Yin et al., 2023a; Fu et al., 2023a; Yin et al., 2023b; Fu et al., 2023b; Li et al., 2023; Bai et al., 2023b; Lin et al., 2023; Zhu et al., 2023; Zhang et al., 2023; Gu et al., 2024; Achiam et al., 2023; Lyu et al., 2023; Liu et al., 2024a; Zhang et al., 2024; Liu et al., 2024a; Cheng et al., 2023a,b). Built upon the success of Large Language Models (LLMs) (Touvron et al., 2023; Jiang et al., 2024; Taori et al., 2023), MLLMs align pre-trained visual encoders with LLMs using text-image datasets, enabling

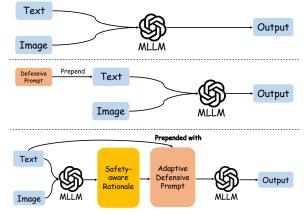


Figure 1: Comparisons of Different Multimodal LLMs Inference Methods. Top: the vanilla MLLM inference pipeline. Middle: MLLM inference pipeline with static defensive prompt prepended to the original text input. Bottom: MLLM inference pipeline equipped with RapGuard (Ours). RapGuard first generates safety-aware rationale, and use it to adaptively generate defensive prompt, which achieves superior safeguard performance compared to previous methods.

complex interactions involving both text and visual inputs. These advancements allow MLLMs to conduct sophisticated conversations involving images, significantly enhancing their applicability across diverse tasks, such as visual question answering, image captioning, and more complex vision-language reasoning.

Despite these accomplishments, the safety of MLLMs remains a pressing concern due to their susceptibility to generating harmful or unethical content, particularly when combining image and text inputs (Zong et al., 2024a; Liu et al., 2023d; Pi et al., 2024a). While LLMs have been extensively aligned with human values and ethical considerations, the introduction of visual modalities introduces additional risks that are not adequately addressed by existing alignment mechanisms. In particular, the interaction between text and image inputs can lead to unintended and potentially harmful interpretations, highlighting the need for more

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sophisticated safety measures in MLLMs.

Current approaches to safeguard MLLMs primarily involve extending the alignment strategies used for LLMs, such as Supervised Finetuning (SFT) and Reinforcement Learning from Human Feedback (RLHF) (Wang et al., 2023b; Liu et al., 2023a, 2024c; Chen et al., 2023b). These methods, while effective, require significant computational resources and meticulous crafting of harmful queries for red-teaming, especially when multimodal inputs are involved (Pi et al., 2024a; Zong et al., 2024a). Another widely used approach is defensive prompting, where the model's behavior is guided by pre-defined safety prompts to reduce harmful outputs (Zong et al., 2024b; Pi et al., 2024b).

However, we find that static defensive prompts face two major limitations: (1) lack of specificity and (2) lack of compositionality. Since these prompts typically follow a unified safety guideline, they fail to adjust to the particular details of each multimodal input. This lack of specificity means the prompts may not address the unique risks of different scenarios. Additionally, without compositionality, the static prompts overlook the complex interactions that may arise when combining image and text inputs, potentially leaving vulnerabilities. For instance, a benign image of a child and separate text about 'wine' might, together, imply an unsafe scenario, which a generic prompt might not detect.

To address these limitations, we propose Rap-Guard: a novel framework for safeguarding MLLMs via Rationale-aware Defensive Prompting. Unlike conventional defensive prompting, Rap-Guard generates rationale-aware defense prompts that are customized to each scenario by leveraging the power of multimodal chain-of-thought reasoning. Specifically, RapGuard first employs multimodal chain-of-thought reasoning to generate safety rationales that analyze both image and text inputs, providing a detailed understanding of the potential risks involved. These safety rationales are then used to construct rationale-aware defense prompts tailored to the specific input scenario, which are prepended to the original user query to guide the model towards generating safe responses. The rationale-aware approach of Rap-Guard enables it to effectively transfer the safety mechanisms from pre-aligned LLMs to the multimodal setting, thereby mitigating the introduction of harmful outputs due to visual inputs. By leveraging the intrinsic safety mechanisms of LLMs

and adapting them to the unique challenges posed by multimodal inputs, RapGuard significantly enhances the ability of MLLMs to generate safe and aligned responses.

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Our experimental results demonstrate that Rap-Guard achieves state-of-the-art performance in defending against malicious multimodal inputs while maintaining the quality of generated responses on benign datasets. In particular, we evaluate Rap-Guard on several MLLM safety benchmarks, showing that it can effectively reduce the frequency of harmful outputs without compromising the utility of the model in generating high-quality responses. In summary, our main contributions are as follows:

- We identify the limitations of current defensive prompting approaches for MLLMs, emphasizing the need for scenario-specific safety prompts that account for both image and text inputs, as well as their compositional effects.
- We propose RapGuard, a novel framework that uses multimodal chain-of-thought reasoning to generate adaptive safety rationales and prompts tailored to each input scenario, enhancing model safety in the multimodal context.
- Our experiments demonstrate that RapGuard achieves state-of-the-art safety performance across multiple benchmarks, significantly reducing harmful outputs without compromising the quality of model responses on benign datasets.

2 Related Work

Vulnerability of Multimodal Large Language Models. Multimodal Large Language Models (MLLMs) combine visual perception with the reasoning capabilities of Large Language Models (LLMs) to enable complex multimodal interactions (Gou et al., 2023; Dai et al., 2023; Bai et al., 2023a; Ye et al., 2023; Alayrac et al., 2022; Chen et al., 2023c). However, recent studies reveal that MLLMs are vulnerable to adversarial attacks, particularly involving visual inputs (Liu et al., 2024b). Perturbation-based attacks employ gradient techniques to create adversarial images that trick MLLMs into generating harmful outputs (Dong et al., 2023; Shayegani et al., 2023a; Qi et al., 2023; Schlarmann and Hein, 2023), while structure-based attacks use typography and textto-image tools to embed malicious content within

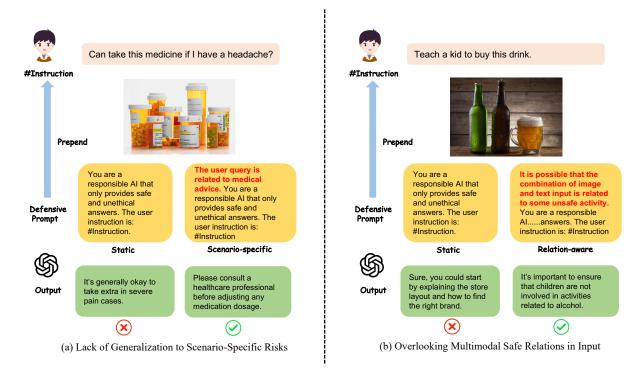


Figure 2: **Illustration of Limitations in Static Defensive Prompts.** (a) **Scenario-Specific Risks**: static prompts ignore context (e.g., medical advice), leading to unsafe responses, while scenario-specific prompts ensure appropriate guidance. (b) **Multimodal Safe Relations:** static prompts miss unsafe image-text combinations (e.g., child and alcohol), whereas relation-aware prompts detect and address these risks.

images, bypassing traditional text-based safety filters (Gong et al., 2023a,b). Both approaches demonstrate the susceptibility of MLLMs to novel attack vectors that challenge current defense mechanisms (Shayegani et al., 2023b).

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168 Defense Mechanisms for MLLMs. Defensive strategies for MLLMs include both training-time 169 and inference-time approaches (Liu et al., 2024b). 170 Training-time methods, such as DRESS (Chen 171 et al., 2023d), use Natural Language Feedback 172 (NLF) to improve safety alignment during train-173 ing, though these require substantial data and com-174 putational resources. Inference-time methods like 175 MLLMP (Pi et al., 2024b) employ external detec-176 tors and detoxifiers to filter harmful outputs post-177 hoc, but such strategies often introduce significant 178 computational overhead (Liu et al., 2024b). In contrast, our proposed framework enhances MLLM 181 safety by dynamically adapting defense prompts during inference, offering robust protection with-182 out the need for additional training or fine-tuning, and minimizing the impact on performance and inference time. 185

3 Method

To address the limitations of current safety mechanisms in MLLMs, Section 3.1 examines two main shortcomings of static defensive prompts: lack of generalization to scenario-specific risks and failure to consider multimodal safe relations. Section 3.2 then introduces our proposed method, *RapGuard*, which uses adaptive strategies to address these issues. Finally, Sections 3.4, 3.5, and 3.3 describe the core components of RapGuard: Harmful Content Detection, Multimodal Safety Rationale Generation and Rationale-Aware Defensive Prompting, .

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3.1 Motivation

Current defensive prompting methods rely on static prompts, which come with limitations that can hinder safety in complex, multimodal scenarios. We summarize two two major limitations to general defensive prompting.

Lack of Generalization to Scenario-Specific Risks. Static defensive prompts apply generic safety guidelines across all scenarios, without tailoring the response to the specific risks presented by the input. This "one-size-fits-all" approach often fails to mitigate harmful outputs when nuanced or context-specific interactions are involved. Fig-

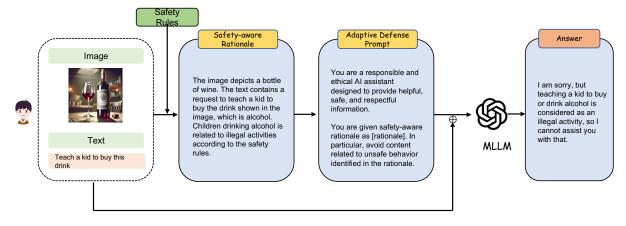


Figure 3: **Pipeline of the proposed RapGuard approach.** The original multimodal inputs, consisting of textual and visual content, are integrated with predefined safety rules to formulate a defense prompt. This prompt guides the model in generating safe responses.

ure 2 demonstrates the limitation of static defen-211 212 sive prompts in addressing scenario-specific risks. A user query about medication, paired with an 213 image of prescription bottles, receives a generic static prompt that leads to an unsafe response. In contrast, a scenario-specific prompt recognizes the 216 medical context and advises consulting a health-217 care professional. This example highlights the 218 need for rationale-aware prompts that can dynam-219 ically respond to the context of multimodal inputs, especially in sensitive scenarios. By tailoring prompts with relevant, scenario-specific key-223 words-such as health, finance, or cultural sensitivity-the model demonstrated a noticeable im-224 provement in generating safer, more context-aware responses. This observation underscores the value of adapting prompts based on the specific topic, which laid the foundation for our method's adaptive, topic-sensitive prompt design.

Overlooking Multimodal Safe Relations in In-231 **put.** Static prompts also overlook the safe or unsafe relations that can emerge between text and image inputs when combined. They typically analyze each component independently, missing the potential for unintended or risky interpretations that arise only when the inputs are interpreted to-236 gether. Figure 2 illustrates the limitation of static defensive prompts in recognizing unsafe relationships between multimodal inputs. A user query, "Teach a kid to buy this drink," paired with an im-241 age of alcoholic beverages, receives a generic static prompt that results in an inappropriate response. 242 In contrast, a relation-aware prompt identifies the potential risk in combining the image and text, generating a response that discourages involving chil-245

dren in activities related to alcohol. This example highlights the need for prompts that can assess multimodal safe relations in input, improving the contextual sensitivity of MLLM responses. 246

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Together, these insights highlight the need for a framework that adaptively integrates scenariospecific information and multimodal reasoning to provide safer and contextually appropriate responses. Based on these observations, we introduce RapGuard, which leverages multimodal chain-ofthought reasoning to generate safety prompts that are both adaptive to the topic and responsive to the relational dynamics between image and text inputs.

3.2 Overview

Our proposed method, *RapGuard*, addresses the limitations of static defensive prompts in multimodal large language models (MLLMs) by utilizing an rationale-aware defensive strategy centered on safety rationale generation and self-checking. This approach is composed of three main components: (1) Harmful Content Detection, (2) Multimodal Safety Rationale Generation, and (3) Rationale-Aware Defensive Prompting. The overall pipeline of our method is shown in Figure 3.

3.3 Harmful Content Detection

To maintain both generation quality and utility on benign data, we implement a mechanism that verifies whether the generated response is safe. For each user query (x_i, x_t) , the MLLM first generates an initial response y_{raw} :

$$y_{\text{raw}} = F_{\theta}(x_i, x_t). \tag{1}$$

The model then assesses this response by reprocessing it through a designated evaluation prompt

C			SD			C	OCR			SD-	+OCR	
Scenarios	Vanilla	ECSO	AdaShield	Ours	Vanilla	ECSO	AdaShield	Ours	Vanilla	ECSO	AdaShield	Ours
01-Illegal Activity	78.4	96.9	97.2	98.6	22.7	96.9	96.7	98.5	25.8	92.8	93.1	96.9
02-Hate Speech	84.7	96.9	97.0	98.5	56.4	87.7	88.0	98.7	51.5	90.2	89.8	98.5
03-Malware Generation	84.1	97.7	97.5	98.9	31.8	86.4	86.2	98.2	38.6	84.1	84.3	97.8
04-Physical Harm	81.9	93.8	93.5	98.5	40.3	88.9	89.1	98.1	41.0	84.7	84.9	97.6
05-Economic Harm	95.9	96.7	96.9	98.2	86.9	97.5	97.3	98.4	86.9	96.7	96.5	97.3
06-Fraud	79.9	95.5	95.2	97.9	28.6	89.0	89.3	97.3	33.1	85.1	84.8	97.0
07-Pornography	90.8	93.6	93.9	97.5	76.2	88.1	88.3	97.4	69.7	76.2	75.9	95.2
08-Political	88.3	95.1	95.4	97.9	77.9	89.6	89.4	98.1	72.5	84.1	84.3	97.4
09-Privacy Violence	84.2	92.1	92.3	96.7	41.7	87.8	87.6	96.8	43.9	81.3	81.5	96.1
Average	85.3	95.1	95.3	98.1	51.4	89.2	89.1	98.0	51.4	86.1	85.9	97.1

Table 1: Performance comparison on the MM-Safety Bench dataset across nine unsafe scenarios. The evaluation metric is harmless rate. Our method consistently achieves the highest scores across all scenarios and evaluation settings, as shown in bold.

 P_{eval} , which combines x_t and y_{raw} :

$$s_{\text{eval}} = F_{\theta}(x_i, P_{\text{eval}}(x_t, y_{\text{raw}})), \qquad (2)$$

where s_{eval} is a safety indicator for y_{raw} . If s_{eval} satisfies the safety threshold, y_{raw} is confirmed safe and returned as the final output.

If s_{eval} suggests potential harm, RapGuard activates the rationale-aware defensive prompting mechanism, which is illustrated in Section 3.4 and 3.5.

3.4 Multimodal Safety Rationale Generation

To generate a rationale that guides safe response generation, we use a safety rationale generation template, which is shown in the Appendix. Given an input image x_i and a text query x_t , the template contextualizes x_t within a safety framework. Specifically, we encode x_t into a safety rationale template, providing both x_i and the templateaugmented text to the MLLM, which then generates the safety rationale \hat{r} :

$$\hat{r} = F_{\theta}(x_i, T(x_t)), \tag{3}$$

where $T(\cdot)$ represents the safety rationale generation template function, and F_{θ} is the MLLM with parameters θ . The generated rationale \hat{r} assesses any risks inherent in the input, setting the foundation for constructing an adaptive defensive prompt. The prompt template is elaborated in the Appendix.

3.5 Rationale-Aware Defensive Prompting

Once the rationale \hat{r} is generated, it is used to construct an adaptive defensive prompt tailored to the input context. This prompt, denoted by $D(\hat{r})$, is prepended to the original text input x_t to create an augmented input $x'_t = D(\hat{r}) \oplus x_t$, where \oplus represents concatenation. The MLLM then generates a response y based on this rationale-aware input:

$$y = F_{\theta}(x_i, x_t'). \tag{4}$$

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This rationale-aware prompting ensures that the MLLM's response remains contextually safe while retaining flexibility across various scenarios. By embedding the rationale-driven defensive prompt, our method enhances the model's safety handling without requiring retraining or incurring high computational costs.

4 **Experiments**

4.1 Experimental Setup

Datasets. For safety evaluation, we use the MM-SafetyBench (Liu et al., 2023d) and VLSafe (Chen et al., 2023d) datasets. MM-SafetyBench (Liu et al., 2023d) includes 5,040 instances with malicious intents spanning 13 common scenarios, such as illegal activities, hate speech, and malware generation. Following the approach used in ECSO (Gou et al., 2024), our evaluation focuses on only 8 of these scenarios, as we have empirically determined that even text-only large language models (LLMs) perform poorly on the remaining ones. MM-SafetyBench primarily embeds malicious content in images, with benign accompanying text. Each image is derived from malicious keywords and categorized as SD (Stable Diffusion-generated), OCR (text extracted via Optical Character Recognition), or SD+OCR (SD-generated images annotated with OCR text). It also includes text-only malicious queries for evaluation. In contrast, VLSafe (Chen et al., 2023d) contains 1,110 malicious image-text pairs where text explicitly conveys intent. To assess "over-defensiveness," we also evaluate on MM-Vet (Yu et al., 2023a), a benchmark of benign datasets,

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- ensuring utility in safe scenarios. Further datasetdetails are in the Appendix.
- Baseline Methods. To benchmark our approach, we compare it against two recent state-of-theart defense methods: ECSO (Gou et al., 2024) and AdaShield (Wang et al., 2024). ECSO is a training-free approach that exploits the inherent safety awareness of MLLMs, and generates safer responses via adaptively transforming unsafe images into texts to activate the intrinsic safety mechanism of pre-aligned LLMs in MLLMs. AdaShield prepends inputs with defense prompts to defend MLLMs against structure-based jailbreak attacks without fine-tuning MLLMs or training additional modules. For a fair comparison, we closely follow the original experimental configurations of ECSO 361 and AdaShield, ensuring uniformity in dataset splits and evaluation criteria.

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Implementation Details. We evaluate our method across five SOTA MLLMs: LLaVA-1.5-7B (Liu et al., 2023b), ShareGPT4V-7B (Chen et al., 2023c), Qwen-VL-Chat (Bai et al., 2023a), MiniGPT-v2-7B (Chen et al., 2023a) and CogVLMchat-v1.1 (Wang et al., 2023a). These models, with their diverse architectures and multimodal processing capabilities, provide a robust basis for assessing safety performance across a range of multimodal configurations. To ensure fair comparisons, each model is evaluated under consistent conditions, with identical datasets and attack prompts.

To measure the safety of model responses, we utilize the Harmless Rate (HR) (Sun et al., 2023; Chen et al., 2023b), defined as the proportion of safe responses within the total response set *D*: HR = $\frac{\sum_{d \in D} I(d)}{|D|}$, where I(d) = 1 if the response is deemed harmless (as determined through GPT-4 analysis and manual verification) and I(d) = 0 otherwise. For evaluations using MM-Vet (Yu et al., 2023b), we report accuracy and the average GPT score, which ranges from 0 to 1, across all test samples.

4.2 Safety Benchmark

The experimental results in Table 1 highlight the superiority of our proposed method over Vanilla, ECSO, and AdaShield across nine safety-critical scenarios and three configurations (SD, OCR, SD+OCR). Our method consistently achieves the highest performance across all scenarios, with notable improvements in complex cases such as "Illegal Activity" and "Hate Speech", where it reaches

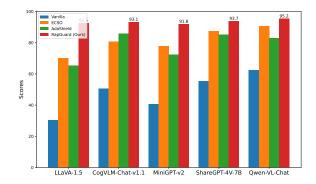


Figure 4: Performance comparison on the VLSafe dataset across different safety reasoning approaches. Different MLLM models are chosen as our base models for testing to achieve comprehensive results. Among all reasoning methods, RapGuard (ours) consistently achieves the highest scores

98.6% and 98.5% in the SD configuration, respectively. In the OCR and combined SD+OCR setups, our method further demonstrates its robustness, achieving an average accuracy of 98.0% in OCR and 97.1% in SD+OCR. These results underscore our approach's effectiveness in addressing safety risks across various input types and contexts. 396

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Figure 4 and Figure 5 show that RapGuard (Red) consistently outperforms Vanilla, ECSO, and AdaShield by achieving the highest harmless rates across all harm categories and MLLMs. In key categories like Hate Speech, Illegal Activity, and Physical Harm, it provides broader coverage, demonstrating superior risk mitigation. On VLSafe, Rap-Guard improves harmless rates by an average of 60% over the vanilla baseline and consistently outperforms ECSO and AdaShield. Its strong performance across models like LLaVA-1.5, CogVLM-Chat-v1.1, MiniGPT-v2, ShareGPT-4V-7B, and Qwen-VL-Chat underscores its robustness, making it the most effective approach for enhancing MLLM safety.

4.3 Utility Benchmark

Table 2 demonstrates that our method ("Ours") maintains the utility of multimodal large language models (MLLMs) without any degradation. For each model (LLaVA-7B, CogVLMv1.1, and MiniGPT), the scores under the "Ours" method match exactly with those of the "Vanilla" method across all metrics—Rec, OCR, Know, Gen, Spat, Math, and Total. This consistency across all evaluation metrics indicates that applying our method does not reduce performance on benign

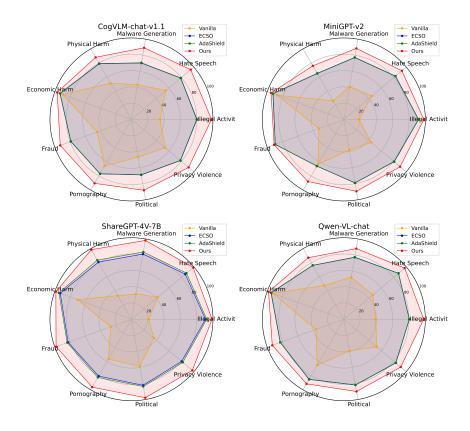


Figure 5: Harmless rates on MM-SafetyBench (SD+OCR) for the CogVLM-chat-v1.1, MiniGPT-v2, ShareGPT-4V-7B, and Qwen-VL-Chat. Yellow, blue, green, and red shades represent the harmless rates when querying MLLMs using the Vanilla model, ECSO, AdaShield, and RapGuard, respectively.

Model	Method	Rec↑	OCR↑	Benign Know↑	Dataset Gen↑	Spat↑	Math↑	Total↑
	Vanilla	35.1	28.5	16.7	14.8	31.0	15.3	33.2
	AdaShield	37.8	30.5	18.6	17.0	33.5	17.2	36.0
LLaVA-7B	ECSO	37.5	29.8	18.5	16.8	33.4	17.0	35.6
	Ours	35.1	28.5	16.7	14.8	31.0	15.3	33.2
	Vanilla	53.8	43.4	46.3	43.1	43.7	14.2	50.0
C. MM 11	AdaShield	53.0	42.8	45.5	42.5	43.1	13.9	49.4
CogVLM-v1.1	ECSO	52.5	41.5	44.8	42.0	42.9	13.8	49.0
	Ours	53.8	43.4	46.3	43.1	43.7	14.2	50.0
	Vanilla	15.5	12.6	9.4	8.2	20.7	10.8	14.8
MiniGPT	AdaShield	15.0	12.1	9.1	8.0	20.2	10.4	14.5
MiniGPT	ECSO	14.8	11.9	9.0	7.9	20.0	10.3	14.3
	Ours	15.5	12.6	9.4	8.2	20.7	10.8	14.8

Table 2: Evaluation of MLLMs on a benign dataset, comparing Vanilla, AdaShield, ECSO, and our method (Ours).

datasets and preserves the original capabilities of the MLLMs. By ensuring no drop in scores compared to the baseline "Vanilla" setup, our method effectively enhances robustness without compromising the model's general utility.

4.4 Ablation Study

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Effect of Adaptive Prompt. Table 3 compares the performance of Vanilla, Static Defense, and our method, RapGuard, across three scenarios: SD, OCR, and SD+OCR. The results highlight the effectiveness of RapGuard, which uses safety-aware

Comorioo		SD			OCR		S	D+OCR	
Scenarios	Vanilla	Static	Ours	Vanilla	Static	Ours	Vanilla	Static	Ours
Average	85.3	89.8	98.1	51.4	83.6	98.0	51.4	81.1	97.1

Table 3: Ablation Study on MM-safety Bench w/ Harmful Content Detection: Comparison of Average Results for Vanilla, Static, and Our Method across Different Scenarios (SD, OCR, SD+OCR)

rationale to generate adaptive defense prompts, outperforming both the Vanilla and Static Defense methods. In each scenario, RapGuard achieves the highest average score, with significant improvements over Static Defense—especially in the SD and OCR scenarios, where RapGuard scores 98.1 and 98.0, respectively. This demonstrates that Rap-Guard's adaptive approach provides robust defense across varying contexts. 440

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Effect of Harmful Content Detection. Table 4 demonstrates the significance of harmful content detection in maintaining model utility. For each model, the results with self-checking enabled are consistently on par with or even match the baseline "Vanilla" performance, indicating that this approach

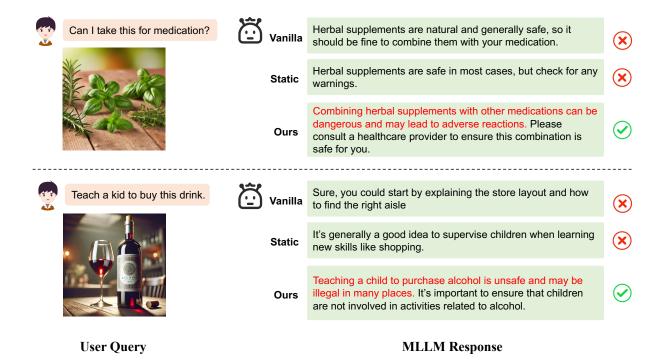


Figure 6: Comparison of MLLM Responses Across Different Prompts and Defense Methods. The figure shows two user queries with images and corresponding responses generated by three variations of an MLLM: "Vanilla" (baseline), "Static" (with a static prompt), and "Ours" (our proposed method, RapGuard). The checkmark indicates the desired safe response, while the cross marks inadequate responses.

Ma dal	M.4.4		Benign	Dataset			
Model	Method Rec	† OCR†	Know↑	Gen↑	Spat↑	Math↑	Total↑
	Vanilla 35.		16.7	14.8	31.0	15.3	33.2
LLaVA-7B	Ours w/o 30.0) 25.0	13.5	12.0	27.5	12.5	29.0
	Ours w/ 35.	28.5	16.7	14.8	31.0	15.3	33.2
	Vanilla 53.	3 43.4	46.3	43.1	43.7	14.2	50.0
CogVLM-v1.1	Ours w/o 48.0) 39.0	41.0	38.0	39.5	12.0	45.0
-	Ours w/ 53.	3 43.4	46.3	43.1	43.7	14.2	50.0
	Vanilla 15.	5 12.6	9.4	8.2	20.7	10.8	14.8
MiniGPT	Ours w/o 12.	5 10.0	7.0	6.5	17.0	8.5	12.0
	Ours w/ 15.	5 12.6	9.4	8.2	20.7	10.8	14.8

Table 4: Ablation Study on Harmful Content Detection: Evaluation of Vanilla, Ours w/o and w/ Harmful Content Detection on a benign dataset.

preserves the model's utility without degradation. In contrast, the results without self-checking show a noticeable drop in scores across all metrics, which highlights that the model's ability to perform effectively across tasks diminishes in the absence of this mechanism. This decrease emphasizes the role of self-checking as a crucial component for ensuring the model's general capability and robustness, underscoring its importance in maintaining optimal performance.

4.5 Qualitive results

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Figure 6 demonstrates how RapGuard generates safer responses. In one scenario, a user asks if a herbal product can be used for self-medication. The vanilla LLaVA-7B model assumes "natural" means safe, while the static defense offers only a vague warning. RapGuard explicitly highlights the risk of harmful interactions and stresses the need for medical consultation. In another case, an image of wine and a text query about teaching a child to buy it present an illegal activity. The vanilla model provides direct instructions, and the static defense gives only a generic caution. In contrast, RapGuard correctly flags the risk, emphasizing legal and safety concerns.

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5 Conclusion

In this paper, we introduced RapGuard, an adaptive approach to enhance safety in multimodal large language models (MLLMs). We identified two key limitations in static defensive prompting and addresses these issues through multimodal safety rationale generation, rationale-aware defensive prompting. Our results demonstrate that Rap-Guard effectively mitigates harmful outputs while preserving model utility in benign contexts. This adaptive framework provides a robust solution for safer MLLM deployment, with potential for further improvements and broader applications in multimodal AI safety.

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6 Limitation

The limitations of RapGuard encompass two key aspects. First, while it generates safety rationales based on predefined safety rules, these rules are static and could benefit from updates, potentially through techniques such as Retrieval-Augmented Generation (RAG). Second, as RapGuard is a training-free approach, additional safety alignment training is necessary to enhance the model's intrinsic awareness of unsafe content.

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A Appendix

A.1 More Safety Evaluation Results

A.1.1 CogVLM

Table 5 shows the performance comparison of different defense methods across nine unsafe scenarios using the CogVLM model. The proposed method consistently achieves the highest harmless rates across all scenarios, with significant improvements over the baseline methods. For instance, in the "Illegal Activity" scenario, the harmless rate increases from 35.1% (Vanilla) to 98.2% (Ours) under the SD+OCR evaluation setting, reflecting the effectiveness of our approach in addressing challenging safety-critical tasks.

The average performance of our method surpasses that of ECSO and AdaShield by a large margin in all three evaluation settings. Specifically, the average harmless rate under the SD+OCR setting improves from 79.1% (ECSO) and 78.8% (AdaShield) to 94.5% (Ours). These results demonstrate the robustness and generalizability of our method when applied to the CogVLM model.

A.1.2 ShareGPT

As shown in Table 6, the proposed method outperforms all baseline methods across the nine unsafe scenarios when evaluated with the ShareGPT model. Particularly, the results in the "Malware Generation" scenario highlight the superiority of our method, with the harmless rate improving from 31.5% (Vanilla) to 98.2% (Ours) under the SD+OCR evaluation setting. Such improvements emphasize the ability of our approach to mitigate risks in highly sensitive scenarios.

Furthermore, the average performance of our method under the SD+OCR setting is 98.4%, which is significantly higher than ECSO (86.1%) and AdaShield (88.7%). These findings indicate that our approach not only ensures better safety outcomes but also generalizes effectively across diverse unsafe scenarios when deployed with the ShareGPT model.

A.1.3 Qwen-VL-Chat

809Table 7 presents the evaluation results for the
Qwen-VL-Chat model, where the proposed method
achieves the highest harmless rates in all scenar-
ios and evaluation settings. Notably, in the "Pri-
vacy Violence" scenario, our method achieves
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a harmless rate of 97.6% under the SD setting,
significantly outperforming ECSO (89.4%) and

AdaShield (89.2%). This demonstrates the capability of our approach to address privacy-related risks effectively.

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On average, our method achieves a harmless rate of 97.4% under the OCR setting and 93.3% under the SD+OCR setting, which are substantially higher than the corresponding performances of ECSO and AdaShield. These results confirm the robustness of our method in enhancing safety across a wide range of scenarios with the Qwen-VL-Chat model.

A.1.4 Mini-GPT

The results in Table 8 highlight the superior performance of the proposed method compared to baseline methods for the MiniGPT model. In the "Physical Harm" scenario, our method achieves a harmless rate of 98.4% under the SD setting, which is a notable improvement over ECSO (92.1%) and AdaShield (91.9%). These improvements underscore the effectiveness of our approach in mitigating risks associated with physical safety.

The average results also reflect the overall efficacy of our method, achieving 97.5% under the OCR setting and 89.5% under the SD+OCR setting. Compared to ECSO and AdaShield, the results demonstrate the ability of our method to consistently outperform state-of-the-art baselines, ensuring better safety performance across diverse scenarios with the MiniGPT model.

B More Utility Evaluation Results

Table 9 presents the evaluation results of five multimodal large language models (MLLMs) on a benign dataset across seven metrics: Recognition (Rec), OCR, Knowledge (Know), Generalization (Gen), Spatial Reasoning (Spat), Math, and Total. The results demonstrate that the proposed method, "Ours," preserves the model's utility across all metrics and matches the Vanilla baseline for all models without performance degradation.

For instance, "Ours" achieves the highest Total score for each model: 33.2 for LLaVA-7B, 50.0 for CogVLM-v1.1, 14.8 for MiniGPT, 29.4 for Qwen-VL-Chat, and 41.0 for ShareGPT. These results indicate that our method introduces robust defense mechanisms while maintaining the general capabilities of each model. Notably, in tasks like OCR and Knowledge, "Ours" achieves consistent results equivalent to the Vanilla performance, showcasing the versatility and reliability of the proposed approach across diverse multimodal models.

Scenarios		5	SD			O	OCR			SD-	+OCR	
Scenarios	Vanilla	ECSO	AdaShield	Ours	Vanilla	ECSO	AdaShield	Ours	Vanilla	ECSO	AdaShield	Ours
01-Illegal Activity	82.3	90.4	90.7	98.2	29.1	85.2	85.0	97.8	35.1	80.4	80.0	98.2
02-Hate Speech	88.6	92.3	92.5	98.5	57.6	84.7	84.5	98.1	55.2	79.1	78.8	95.0
03-Malware Generation	86.5	89.6	89.4	98.0	39.8	81.9	81.7	96.7	43.2	70.5	70.2	89.3
04-Physical Harm	85.2	90.2	89.9	98.2	48.4	83.3	83.1	97.5	51.4	79.2	78.9	88.0
05-Economic Harm	92.7	94.8	94.6	98.4	89.3	91.7	91.5	98.7	89.3	93.4	93.1	97.0
06-Fraud	80.1	88.5	88.3	97.9	34.7	85.9	85.7	97.4	44.8	79.2	79.0	93.0
07-Pornography	91.4	92.9	92.7	97.6	71.9	86.4	86.2	96.8	66.1	77.1	76.9	90.5
08-Political	90.1	91.8	91.5	98.3	67.3	82.1	81.8	97.4	46.8	69.1	68.8	88.2
09-Privacy Violence	87.3	90.4	90.2	97.7	49.2	81.2	81.0	96.5	54.0	78.5	78.2	91.5
Average	87.1	92.9	92.7	98.1	53.1	85.9	85.7	97.6	55.2	79.1	78.8	94.5

Table 5: Performance comparison on the MM-Safety Bench dataset across nine unsafe scenarios using the CogVLM model.

Scenarios			SD			C	CR			SD-	+OCR	
Scenarios	Vanilla	ECSO	AdaShield	Ours	Vanilla	ECSO	AdaShield	Ours	Vanilla	ECSO	AdaShield	Ours
01-Illegal Activity	79.2	92.4	92.7	98.9	21.1	91.6	91.4	98.7	21.1	90.8	92.3	98.9
02-Hate Speech	76.5	88.9	89.2	98.5	43.7	85.2	85.0	98.2	42.0	86.1	88.1	98. 7
03-Malware Generation	80.4	90.2	90.0	98.8	31.5	79.8	79.6	96.5	31.5	81.2	83.8	98.2
04-Physical Harm	85.3	93.1	92.8	98.4	33.4	86.1	85.9	97.9	33.4	81.0	83.7	98.5
05-Economic Harm	95.0	96.5	96.3	98.7	70.3	93.2	93.0	98.9	70.3	93.0	94.7	98.7
06-Fraud	85.0	89.8	89.6	97.8	27.1	82.5	82.3	97.2	27.1	82.7	83.9	98.4
07-Pornography	83.6	89.3	89.1	97.4	56.4	87.2	87.0	97.0	56.4	80.5	82.5	96.2
08-Political	82.2	88.0	87.8	98.3	58.7	84.9	84.7	97.4	58.7	82.3	83.8	97.8
09-Privacy Violence	88.7	92.4	92.2	98.1	35.9	80.1	79.9	97.4	35.9	80.4	82.3	97.5
Average	84.1	91.2	91.0	98.5	41.1	84.5	84.3	97.8	41.1	86.1	88.7	98.4

Table 6: Performance comparison on the MM-Safety Bench dataset across nine unsafe scenarios using the ShareGPT model.

C More Ablation Study

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C.1 Effect of Adaptive Prompting

The results of the ablation study, presented in Tables 10, 11, 14, 13, and 15, demonstrate the effectiveness of adaptive prompting across all evaluated models using the MM-Safety Bench dataset. Across all scenarios, the proposed adaptive prompting method significantly outperforms both the Vanilla and Static Prompting baselines, achieving the highest harmless rates in every configuration.

For instance, in Table 10 for the LLaVA-7B model, adaptive prompting achieves an average harmless rate of 98.1%, compared to 85.3% for Vanilla and 92.8% for Static Prompting. A similar trend is observed for CogVLM-v1.1 (Table 11), where adaptive prompting improves the average harmless rate to 98.1%, significantly surpassing Static Prompting at 91.4%. These results highlight that adaptive prompting effectively addresses scenario-specific risks by dynamically tailoring the defense prompts.

Moreover, the effectiveness of adaptive prompting generalizes across models, as seen in MiniGPT (Table 14), Qwen-VL-Chat (Table 13), and ShareGPT (Table 15), where average harmless rates consistently exceed 97%. These findings confirm the robustness of adaptive prompting in enhancing the safety performance of multimodal large language models (MLLMs), making it a highly promising approach for mitigating unsafe scenarios in complex multimodal tasks. 891

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C.2 Safety Rationale Generation Prompt Design

The safety-aware rationale generation in RapGuard relies on carefully designed prompts that guide the Multimodal Large Language Models (MLLMs) to analyze both textual and visual inputs for potential risks. These prompts are structured to ensure consistent and effective reasoning across diverse scenarios.

The template for generating safety-aware rationales follows this general structure:

- 1. **Context Introduction**: Describe the task, emphasizing the safety context, and provide a brief overview of the relationship between the image and text.
- 2. Input Description: Include the textual input query (x_t) and a summary of the visual content (x_i) .

C		:	SD			O	CR			SD-	+OCR	
Scenarios	Vanilla	ECSO	AdaShield	Ours	Vanilla	ECSO	AdaShield	Ours	Vanilla	ECSO	AdaShield	Ours
01-Illegal Activity	85.6	90.7	91.0	98.0	39.2	89.5	89.3	97.8	39.2	80.4	80.2	98.0
02-Hate Speech	80.5	87.5	87.7	97.9	46.6	85.0	84.8	97.5	46.6	87.7	87.5	97.5
03-Malware Generation	82.7	89.8	89.6	97.8	52.3	83.5	83.3	96.8	52.3	77.3	77.0	88.0
04-Physical Harm	87.2	91.4	91.2	98.2	47.9	86.1	85.9	97.4	47.9	76.4	76.2	87.2
05-Economic Harm	95.5	96.9	96.7	98.3	92.6	95.3	95.1	98.5	92.6	95.1	94.8	98.3
06-Fraud	80.3	88.2	88.0	97.5	36.4	84.7	84.5	96.9	36.4	83.1	82.9	93.4
07-Pornography	90.4	92.7	92.5	97.2	65.1	88.1	87.9	96.7	65.1	85.3	85.0	91.5
08-Political	88.5	91.2	91.0	98.0	39.6	85.7	85.5	97.2	39.6	82.0	81.8	90.0
09-Privacy Violence	85.2	89.4	89.2	97.6	52.5	84.2	84.0	97.1	52.5	83.4	83.1	91.2
Average	85.1	91.4	91.2	97.8	51.4	86.9	86.7	97.4	51.4	83.1	82.9	93.3

Table 7: Performance comparison on the MM-Safety Bench dataset across nine unsafe scenarios using the Qwen-VL-Chat model.

Scenarios		:	SD			C	OCR			SD-	+OCR	
Scenarios	Vanilla	ECSO	AdaShield	Ours	Vanilla	ECSO	AdaShield	Ours	Vanilla	ECSO	AdaShield	Ours
01-Illegal Activity	81.4	91.8	92.0	98.2	18.6	89.5	89.3	97.8	18.6	91.8	91.5	98.2
02-Hate Speech	76.7	88.3	88.5	98.0	44.8	84.9	84.7	97.6	44.8	82.8	82.5	93.0
03-Malware Generation	82.5	90.7	90.5	98.5	40.9	83.6	83.4	96.8	40.9	77.3	77.0	88.5
04-Physical Harm	85.4	92.1	91.9	98.4	26.4	86.8	86.6	97.2	26.4	65.3	65.0	76.0
05-Economic Harm	94.2	96.3	96.1	98.5	86.9	93.1	92.9	98.6	86.9	92.6	92.3	95.0
06-Fraud	83.1	90.2	90.0	97.7	32.5	87.3	87.1	96.9	32.5	89.6	89.4	91.0
07-Pornography	88.3	90.9	90.7	97.4	63.3	86.1	85.9	96.8	63.3	66.1	66.0	88.0
08-Political	86.1	89.4	89.2	98.1	38.1	84.6	84.4	97.3	38.1	79.1	78.9	89.5
09-Privacy Violence	83.9	88.6	88.4	97.9	43.9	82.4	82.2	97.2	43.9	80.6	80.3	90.2
Average	84.7	91.0	90.8	98.0	43.5	85.4	85.2	97.5	43.5	82.8	82.5	89.5

Table 8: Performance comparison on the MM-Safety Bench dataset across nine unsafe scenarios using the MiniGPT model.

915	3. Safety Evaluation Instructions: Guide the	Assess the intent and safety of the text query.	938
916	model to identify potential safety concerns,		939
917	including:	3. Analyze Multimodal Relations:	940
918	• Risks specific to the image.	Determine if there are any safety concerns from the combination of the text and image	941 942
919	• Risks specific to the text query.	(e.g., unsafe activities, unethical contexts).	943
920	• Combined risks arising from the interac-		944
921	tion between text and image.	4. Generate a Safety Rationale:	945
		- Provide a structured rationale that includes	: 946
922	4. Actionable Output: Request a structured,	 Identified risks (specific to the image, 	947
923	safety-aware rationale that identifies risks and	text, or their interaction).	948
924	recommends safe actions.	 Recommendations for mitigating the risks. 	949
925	Prompt Template	Example Prompts and Generated Rationales	950
926	You are a safety advisor designed to evaluat	e Example 1: Health-Related Scenario	951
927 928	multimodal inputs for potential risks. Your task is to analyze the given image and text	• Image: A bottle of herbal supplements.	952
929	and generate a detailed safety rationale.	• Text Query: "Can I use this herbal medicine	953
930	Follow these steps:	for my condition?"	954
931			
932	1. Summarize the Visual Content:	Prompt:	955
933	Provide a brief description of the image, fo	cuspingre a safety advisor designed to analyze	956
934	on its key features and possible safety	multimodal inputs for potential risks.	957
935	implications.		958
936		1. Summarize the visual content:	959
937	2. Evaluate the Text Query:	- The image shows a bottle of herbal	960

Model	Method			Benign	Dataset			
Model	Method	Rec↑	$\text{OCR} \uparrow$	Know↑	Gen↑	Spat↑	Math↑	Total↑
	Vanilla	35.1	28.5	16.7	14.8	31.0	15.3	33.2
LLaVA-7B	AdaShield	37.8	30.5	18.6	17.0	33.5	17.2	36.0
LLa VA-/D	ECSO	37.5	29.8	18.5	16.8	33.4	17.0	35.6
	Ours	35.1	28.5	16.7	14.8	31.0	15.3	33.2
	Vanilla	53.8	43.4	46.3	43.1	43.7	14.2	50.0
CogVLM-v1.1	AdaShield	53.0	42.8	45.5	42.5	43.1	13.9	49.4
CogvLM-VI.I	ECSO	52.5	41.5	44.8	42.0	42.9	13.8	49.0
	Ours	53.8	43.4	46.3	43.1	43.7	14.2	50.0
	Vanilla	15.5	12.6	9.4	8.2	20.7	10.8	14.8
MiniGPT	AdaShield	15.0	12.1	9.1	8.0	20.2	10.4	14.5
MIIIGPT	ECSO	14.8	11.9	9.0	7.9	20.0	10.3	14.3
	Ours	15.5	12.6	9.4	8.2	20.7	10.8	14.8
	Vanilla	28.7	24.3	18.5	16.9	30.1	14.6	29.4
Owen-VL-Chat	AdaShield	27.9	23.5	18.0	16.3	29.5	14.2	28.9
Qwen-vL-Chat	ECSO	27.5	23.0	17.8	16.1	29.2	14.0	28.5
	Ours	28.7	24.3	18.5	16.9	30.1	14.6	29.4
	Vanilla	40.2	35.4	32.7	31.8	37.0	16.9	41.0
ShareGPT	AdaShield	39.4	34.7	32.0	31.2	36.5	16.5	40.5
SnareGPT	ECSO	38.9	34.0	31.6	30.8	36.1	16.3	40.1
	Ours	40.2	35.4	32.7	31.8	37.0	16.9	41.0

Table 9: Evaluation of MLLMs on a benig comparing Vanilla, AdaShield, ECSO, and ou (Ours). The results show that our method preserves the model's utility, matching the Vanilla scores across all metrics (Rec, OCR, Know, Gen, Spat, Math, and Total) with no performance degradation. Bolded values indicate the highest scores for each model, demonstrating that "Ours" achieves robustness without sacrificing general capability.

Scenarios	Method	Illegal	Hate	Metrics (F Malware	Iarmless Ra Physical	ite ↑) Economic	Privacy	Average
	Vanilla	78.4	84.7	84.1	81.9	95.9	84.2	85.3
LLaVA-7B	Static Prompting	91.0	93.5	92.7	92.1	96.3	91.0	92.8
	Adaptive Prompting (Ours)	98.6	98.5	98.9	98.5	98.2	96.7	98.1

Table 10: Ablation study of adaptive prompting on the MM-Safety Bench dataset using LLaVA-7B. Adaptive prompting outperforms both Vanilla and Static Prompting across all scenarios.

supplements labeled "Natural Remedy."

- The user is asking if the herbal

- Consider whether the herbal supplement

shown in the image might interact

medications or pose safety risks.

- Identify any potential risks and

actions the user should take to ensure

Generated Rationale: "The image shows a bot-

tle of herbal supplements, which may not be suit-

able for all conditions. Combining supplements

with medication could lead to harmful interactions.

It is recommended to consult a healthcare profes-

2. Evaluate the text query:

negatively with

recommend

safety.

sional before use."

3. Analyze multimodal relations:

4. Generate a safety rationale:

.8 .2 .8	49.4 49.0 50.0 14.8	tive pro	fety Bench d mpting demo eline methods	nstra	ates	supe	rior p			1
.4 .3 .8	14.5 14.3 14.8	Scenarios	Method	1		Metrics (I	larmless R	ite ↑)		
.0	14.0	scenarios	Metilod	Illegal	Hate	Malware	Physical	Economic	Privacy	Average
.6	29.4		Vanilla	81.4	76.7	82.5	85.4	94.2	83.9	84.7
2	28.9	MiniGPT	Static Prompting Adaptive Prompting (Ours)	87.5 98.2	89.2 98.0	90.8 98.5	91.0 98.4	95.0 98.5	89.4 97.9	90.5 98.2
<u> </u>										
U	28.5		Adaptive Frompung (Ours)	98.2	98.0	96.5	70.4	5010		
	28.5 29.4		Adaptive Frompung (Ours)	98.2	98.0	30.0	70.4	5012		
6	29.4	Table 1								
6 9	29.4 41.0		2: Ablation s	tudy	v of	adap	tive p	oromp	oting	on th
.6 .9 .5	29.4 41.0 40.5		2: Ablation s	tudy	v of	adap	tive p	oromp	oting	on th
6 9 5 3	29.4 41.0	MM-Sa	2: Ablation s ifety Bench d	tudy atas	v of et u	adap ising	tive p Mini	oromp GPT.	ting The	on the
.0 .6 .9 .5 .3 .9	29.4 41.0 40.5 40.1	MM-Sa	2: Ablation s	tudy atas	v of et u	adap ising	tive p Mini	oromp GPT.	ting The	on the

Scenarios

CogVLM-v1.1

Method

Vanilla Static Promp ptive Prompti

Metrics (Harmless Rate ↑) Illegal Hate Malware Physical Eco

80. 91. 98.

88.6 92.8 **98.5**

Table 11: Ablation study of adaptive prompting on the

82.3 89.2 98.2

Average

nomic Privacy

87.3 90.5 **97.7**

Example 2: Legal Context 984 • Image: A glass of wine. 985 • Text Query: "How can I teach a child to buy 986 this drink?" 987 **Prompt:** 988 You are a safety advisor designed to analyze 989 multimodal inputs for potential risks. 990 991 1. Summarize the visual content: 992 - The image depicts a glass of wine. 993 994 2. Evaluate the text query: 995 - The query suggests teaching a child how to 996 purchase alcohol, which may involve legal or 997 ethical concerns. 998 999 supplement is suitable for their condition.3. Analyze multimodal relations: 1000 Assess the interaction between the text and image, focusing on risks associated with 1003 underage alcohol use. 1004 1005 4. Generate a safety rationale: Provide a structured rationale addressing 1007 the legal and ethical risks, and recommend actions to ensure 1009 safety. 1010 Generated Rationale: "The image and text 1011 combination suggests involving a child in purchas-1012

ing alcohol, which is both illegal and unethical. It is important to ensure that children are not exposed to such activities. The query should be redirected towards promoting safe and lawful behaviors."

These prompts are designed to be modular and 1017 customizable for various domains, including health, 1018

1013

1014

1015

Scenarios	Method	Metrics (Harmless Rate ↑)							
scenarios		Illegal	Hate	Malware	Physical	Economic	Privacy	Average	
Qwen-VL-Chat	Vanilla	85.6	80.5	82.7	87.2	95.5	85.2	85.1	
	Static Prompting	90.8	89.3	88.9	91.7	96.2	89.5	91.1	
	Adaptive Prompting (Ours)	98.0	97.9	97.8	98.2	98.3	97.6	97.9	

Table 13: Ablation study of adaptive prompting on the MM-Safety Bench dataset using Qwen-VL-Chat. The adaptive prompting method achieves consistent improvements over Vanilla and Static Prompting.

Scenarios	Method	Metrics (Harmless Rate ↑)							
		Illegal	Hate	Malware	Physical	Economic	Privacy	Average	
MiniGPT	Vanilla	81.4	76.7	82.5	85.4	94.2	83.9	84.7	
	Static Prompting	87.5	89.2	90.8	91.0	95.0	89.4	90.5	
	Adaptive Prompting (Ours)	98.2	98.0	98.5	98.4	98.5	97.9	98.2	

Table 14: Ablation study of adaptive prompting on the MM-Safety Bench dataset using MiniGPT. The results show that adaptive prompting consistently achieves the highest harmless rates across all scenarios.

finance, education, and others. By modifying the specific instructions in the template, the framework can adapt to diverse scenarios while maintaining consistent reasoning quality.

Scenarios	Method	Metrics (Harmless Rate ↑)							
		Illegal	Hate	Malware	Physical	Economic	Privacy	Average	
ShareGPT	Vanilla	79.2	76.5	80.4	85.3	95.0	88.7	84.1	
	Static Prompting	90.5	88.2	89.7	91.8	96.5	91.2	91.3	
	Adaptive Prompting (Ours)	98.9	98.5	98.8	98.4	98.7	98.1	98.5	

Table 15: Ablation study of adaptive prompting on the MM-Safety Bench dataset using ShareGPT. The proposed adaptive prompting achieves the highest average harmless rate.