Unsupervised Hypernymy Directionality Prediction Using Context Terms

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Abstract

 Hypernymy directionality prediction is an im- portant task in Natural Language Processing due to its significant usages in natural language understanding and generation. Many super- vised and unsupervised methods have been proposed for this task, but existing unsuper- vised methods do not leverage distributional pre-trained vectors from neural language mod- els, as supervised methods typically do. In this **paper**, we present a simple yet effective unsu- pervised method for hypernymy directionality prediction that exploits neural pre-trained word vectors in context, based on the distributional informativeness hypothesis. Extensive experi- ments on seven datasets demonstrate that our method outperforms or achieves comparable **performance to existing unsupervised and su-**pervised methods.

019 1 Introduction

 Hypernymy, an Is-A relation, has garnered signifi- cant attention in the field of Natural Language Pro- cessing (NLP). It constitutes a transitive and asym- metric semantic link between a hypernym (also referred to as a superordination or a superset) and a hyponym (also referred to as a subordination or a subset) [\(Lyons,](#page-5-0) [1977\)](#page-5-0). For instance, *mammal* is a hypernym of *elephant*, and *fruit* is a hypernym of *banana*. This hypernymy semantic relation plays a crucial role in various challenging NLP tasks, such as knowledge base construction [\(Snow et al.,](#page-5-1) [2006;](#page-5-1) [Navigli et al.,](#page-5-2) [2011\)](#page-5-2), natural language inference [\(Dagan et al.,](#page-4-0) [2015;](#page-4-0) [Williams et al.,](#page-5-3) [2018\)](#page-5-3), textual entailment [\(Dagan et al.,](#page-4-0) [2015\)](#page-4-0), question answering [\(Huang et al.,](#page-4-1) [2008\)](#page-4-1), text classification [\(Jang et al.,](#page-4-2) [2021\)](#page-4-2), and text generation [\(Biran and McKeown,](#page-4-3) **036** [2013\)](#page-4-3).

 Hypernym detection is generally a two-step pro- cess: identifying hypernymy relations and pre- dicting the directionality of those relations. Hy-pernymy detection distinguishes hypernymy from

other semantic relations, such as synonymy and **041** antonymy. Directionality prediction, on the other **042** hand, identifies which word in a given hypernymy **043** pair is the hypernym and which word is the hy- **044** ponym. For example, given the pair "animal" and **045** "cat", directionality prediction would determine **046** whether "animal" is a hypernym of "cat" or vice $\qquad \qquad 047$ versa. In this paper, our focus is on the problem of **048** directionality prediction—determine whether A is **049** a hypernym of B or B is a hypernym of A. **050**

For hypernymy directionality prediction, there **051** [e](#page-5-4)xist a wealth of unsupervised methods [\(Weeds](#page-5-4) **052** [and Weir,](#page-5-4) [2003;](#page-5-4) [Clarke,](#page-4-4) [2009;](#page-4-4) [Kotlerman et al.,](#page-4-5) **053** [2010;](#page-4-5) [Lenci and Benotto,](#page-5-5) [2012;](#page-5-5) [Santus et al.,](#page-5-6) [2014\)](#page-5-6). **054** Many of these metrics are based on the distribu- **055** tional inclusion hypothesis [\(Weeds et al.,](#page-5-7) [2004;](#page-5-7) **056** [Kotlerman et al.,](#page-4-5) [2010\)](#page-4-5) and the distributional infor- **057** mativeness hypothesis [\(Santus et al.,](#page-5-6) [2014\)](#page-5-6). How- **058** ever, these existing methods, which were developed **059** some time ago, do not take advantage of the recent 060 pre-trained distributional vectors from neural lan- **061** guage models, such as BERT [\(Devlin et al.,](#page-4-6) [2018\)](#page-4-6) **062** and fastText [\(Bojanowski et al.,](#page-4-7) [2017\)](#page-4-7). Addition- **063** ally, most methods typically require a validation **064** set to tune the threshold for their metrics in order **065** to accurately identify the directionality. **066**

In this paper, we propose a simple yet effec- **067** tive unsupervised metric, DECIDE^{[1](#page-0-0)}, for hypernymy 068 directionality prediction using pre-trained neural **069** word embedding. In our experiments involving **070** 7 datasets, DECIDE shows superior or compara- **071** ble performance to existing unsupervised metrics. **072** We also compare our metric with state-of-the-art **073** supervised methods, showing superiority in handling previously unseen data samples. We show **075** that existing supervised methods report optimistic **076** performance due to information overlap between **077** the train and test partitions of a datasets. **078**

¹DECIDE is an anagram of the bold letters from Centroid Distance in Distributional ContExt.

⁰⁷⁹ 2 Related Works

 Several unsupervised directional measures have been proposed to tackle hypernymy prediction, es- [p](#page-5-7)ecially in the early stages of research. [Weeds](#page-5-7) [et al.](#page-5-7) [\(2004\)](#page-5-7) introduced the notion of distributional generality, highlighting that more general words tend to manifest across a broader spectrum of con- texts compared to specific ones. Their research relied on the assumption that the contexts of a hy- ponym are expected to be included in those of its hypernym, known as the distributional inclusion hypothesis. Building upon this, [Clarke](#page-4-4) [\(2009\)](#page-4-4) em- ployed a partially ordered vector space to formalize distributional generality, while [Lenci and Benotto](#page-5-5) [\(2012\)](#page-5-5) extended the notion further by proposing that more general terms should exhibit high recall and low precision. [Santus et al.](#page-5-6) [\(2014\)](#page-5-6) introduced an entropy-based measure, SLQS, considering that hypernyms' typical linguistic contexts might be less informative than those of hyponyms, known as the distributional informativeness hypothesis. They proposed a measure based on the intersection of mutually dependent contexts of target words.

 With the ascent of deep learning models, super- vised strategies have emerged to adapt word embed- dings through joint optimization models during pre- training or retrofitting models during fine-tuning. The former approaches reshaped the entire embed- ding space e.g., [\(Levine et al.,](#page-5-8) [2020\)](#page-5-8), which can be computationally expensive. In contrast, the latter [m](#page-5-11)ethods [\(Yu et al.,](#page-5-9) [2015;](#page-5-9) [Luu et al.,](#page-5-10) [2016;](#page-5-10) [Ven-](#page-5-11) [drov et al.,](#page-5-11) [2016\)](#page-5-11) fine-tuned word vectors to align with external linguistic constraints. While these methods are applicable to any pre-trained distribu- tional space, they only modify the vectors of words seen in constraints, leaving unseen word vectors unmodified. [Glavaš and Vulic](#page-4-8) [\(2019\)](#page-4-8) attempted to address this issue by building a model, named GLEN, which learns a function during training that can be used for unseen word pairs. All of these use lexical resources like WordNet to (weakly) super-vise the models.

 Similar to early unsupervised measures, we in- troduce an unsupervised directionality measure, named DECIDE, which is based on the idea of dis- tributional generality, specifically the distributional informativeness hypothesis. However, DECIDE is differentiated from previous work in that it takes advantage of neural word embeddings for context words, and does not require setting a threshold to decide directionality.

3 Our Proposed Method: DECIDE **¹³⁰**

In this section, we present our measure for identify- **131** ing the hypernymy directionality between a given **132** hypernymy pair. Our measure operationalizes the **133** [d](#page-5-6)istributional informativeness hypothesis [\(Santus](#page-5-6) **134** [et al.,](#page-5-6) [2014\)](#page-5-6), which states that more general terms **135** tend to occur in more general and diverse contexts **136** than specific terms. For example, the words that oc- **137** cur around "animal" can come from generic animal **138** characteristics, and their habitats, whereas context **139** words of "cat" are more specific to cats. **140**

Figure 1: 2D visualization of context word embedding of a Hypernym (Animal) and Hyponym (Cat).

Based on the distributional informativeness hy- **141** pothesis, we hypothesize that the context words **142** of a hypernym would have a broader distribution **143** compared to its hyponym's context words in terms **144** of their meanings. To obtain the context words of **145** given two terms $term_1$ and $term_2$ in a hypernymy 146 relation, we first collect all sentences that contain **147** each term from a large corpus. Subsequently, we **148** tokenize these sentences using white spaces and **149** punctuation, and remove stop words and tokens **150** solely composed of numbers or symbols, retain- **151** ing the remaining words as context words. For **152** instance, in Figure [1](#page-1-0) the two circles represents the **153** context words of two terms *Animal* and *Cat*. Using **154** these context words, we then identify the common **155** context words (intersecting region of the two cir- **156** cles in Figure [1\)](#page-1-0). Then, we calculate the mean **157** vector of those common context words, m. From **158** the unique context words for $term_1$ (e.g., triangles 159 in Figure [1\)](#page-1-0) and $term_2$ (e.g., rectangles in Figure 160 [1\)](#page-1-0), we determine the minimum number of unique **161** context words, n , and then select the n farthest **162** unique context words for $term_1$ and $term_2$, C'_1 1 and C'_2 \mathbf{z}'_2 , respectively. Finally, we compare the average distance between C_1 C_1' and C_2' v'_2 from m. This 165 process is expressed in Figure [2.](#page-2-0) **166**

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 $DECIDE(C_1, C_2)$ Input: C_1 = context words unique to $term_1$ C_2 = context words unique to $term_2$ $n = min(|C_1|, |C_2|)$ C_1' = n farthest context words from C_1 C_2^{\dagger} = *n* farthest context words from C_2 $m =$ the average embedding of the common context words if $\frac{1}{n} \sum_{c \in C'_1} (c - m) > \frac{1}{n}$ $\frac{1}{n} \sum_{c \in C'_2} (c - m)$: return: $term_1$ is a *hypernym* of $term_2$ else: **return:** $term_2$ is a *hypernym* of $term_1$

Figure 2: Synopsis of DECIDE for determining hypernym direction.

¹⁶⁷ 4 Experiments

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 We evaluate our approach on seven real-life datasets in four domains: general, medicine, mu- sic, and computer science. The datasets contain hypernym-hyponym pairs (u, v) with correspond- ing labels indicating the direction. The dataset statistics is shown in Table [1.](#page-2-1) To represent u and v, we use fastText [\(Bojanowski et al.,](#page-4-7) [2017\)](#page-4-7), pre-175 trained distributed vectors $(d = 300)$ trained on Wikipedia.^{[2](#page-2-2)}

 Hypernymy datasets: The datasets from the general domain are Bless [\(Baroni and Lenci,](#page-4-9) [2010\)](#page-4-9), Weeds [\(Weeds et al.,](#page-5-12) [2014\)](#page-5-12), EVAlution **[\(Santus et al.,](#page-5-13) [2015\)](#page-5-13) and LenciBennotto [\(Benotto,](#page-4-10)** [2015\)](#page-4-10). The medicine and music datasets are from the SemEval-2018 Task9 Hypernym Discovery [\(Camacho-Collados et al.,](#page-4-11) [2018\)](#page-4-11). We use the test sets partitioned by [Shwartz et al.](#page-5-14) [\(2016\)](#page-5-14) for Weeds, EVAluation and LenciBennotto.

 We also construct a dataset in the Computer Sci- ence domain, with the hypernymy broadly defined covering concept-subconcept or topic-subtopic re- lations. For instance, a hypernym-hyponym pair in this dataset can be ("data structure", "binary search tree"). We use GPT-3 [\(Brown et al.,](#page-4-12) [2020\)](#page-4-12) to build this dataset using the OpenAI API's create comple-

Table 1: The number of hypernym-hyponym pairs in each data set. Second colum shows the number of original entity-pairs. Third column shows the number of entity-pairs where at least one entity of the pair is not present in the training data. The fourth column shows the number of entity-pairs where both the entities are not present in the training data.

tion functionality. We tailored the prompt to gen- **193** erate a list of 20 subtopic names for a given topic, **194** beginning with "Computer Science" as the initial **195** topic and then using the resulting 20 subtopics as **196** subsequent prompts. The numbers of hypernymy **197** pairs in all the datasets are shown in Table [1.](#page-2-1) **198**

Context corpus: To obtain context words in **199** the general domain, we use the wiki dump corpus **200** [\(Goldhahn et al.,](#page-4-13) [2012\)](#page-4-13). For the medicine domain, **201** [w](#page-4-11)e use a corpus provided by [Camacho-Collados](#page-4-11) **202** [et al.](#page-4-11) [\(2018\)](#page-4-11), a 130M-word subset extracted from **203** the PubMed corpus of biomedical literature from **204** MEDLINE. For the music domain, a 100M-word **205** corpus is provided with the original dataset, which **206** includes Amazon reviews, music biographies and **207** Wikipedia pages about music theory and genres **208** [\(Oramas et al.,](#page-5-16) [2016\)](#page-5-16). Furthermore, for the com- **209** puter science education domain, we create a corpus **210** by extracting the Wikipedia pages of all the topics **211** and subtopics in our dataset. **212**

4.1 Comparison with Unsupervised Methods **213**

We first compare out methods with existing unsu-
214 pervised methods: SLQS [\(Santus et al.,](#page-5-6) [2014\)](#page-5-6), in- **215** vCL [\(Lenci and Benotto,](#page-5-5) [2012\)](#page-5-5), ClarkDE [\(Clarke,](#page-4-4) **216** [2009\)](#page-4-4), cosWeeds [\(Lenci and Benotto,](#page-5-5) [2012\)](#page-5-5), and **217** weedsPrec [\(Weeds et al.,](#page-5-7) [2004\)](#page-5-7). Note that, **218** cosWeeds, ClarkDE, and invCL has a value be- **219** tween 0 and 1; the higher the value, the more likely **220** the directionality holds for the given order. Thus, **221** these metrics need a threshold to decide on the hy- **222** pernym direction. We choose a threshold of 0.5 for **223** all these 3 methods. SLQS and WeedsPrec do not **224** need a threshold value. **225**

 2 In our preliminary experiments, we also explored the use of Glove [\(Pennington et al.,](#page-5-15) [2014\)](#page-5-15) and BERT [\(Devlin et al.,](#page-4-6) [2018\)](#page-4-6) embeddings, and observed that they yielded similar results.

	Unsupervised				Supervised			
Data	SLOS	invCL	ClarkDE	cosWeeds	WeedsPrec	GLEN-before	GLEN-after	Decide
Bless	0.54	0.51	0.59	0.51	0.51	0.89	N/A	0.50
Weeds	0.62	0.53	0.59	0.55	0.43	0.67	0.66	0.65
EVALuation	0.63	0.50	0.60	0.50	0.44	0.72	0.66	0.63
LenciBenotto	0.62	0.53	0.65	0.56	0.31	0.67	0.60	0.70
Medical	0.73	0.60	0.72	0.60	0.26	0.77	0.70	0.77
Music	0.64	0.54	0.66	0.56	0.34	0.67	0.58	0.65
Comp.Sci	0.82	0.56	0.62	0.60	0.20	0.50	0.53	0.85

Table 2: Performance of our measure, DECIDE on hypernymy directionality classification compared to existing unsupervised measures (Accuracy). Note that GLEN-before is included in the table for comparison with GLEN-after to illustrate the memorization problem.

 The results in Table [2](#page-3-0) show that our measure, DECIDE, outperforms most measures. Over the seven datasets, DECIDE ranks first in five and sec- ond in one. DECIDE performs particularly well on domain datasets such as Medical and Com. Sci with 0.77 and 0.85 accuracy, respectively. This is likely because high-quality context words can be obtained for domain datasets. On the general dataset, such as Bless, DECIDE's performance is not as good (0.50 accuracy), but this is also true for the competing methods, as all of them perform relatively poorly on this dataset (accuracy values between 0.51 and 0.59). The second best unsuper- vised method in our experiment is ClarkDE, which has the best performance on two datasets, Bless (0.59 accuracy) and Music (0.66 accuracy).

242 4.2 Comparison with Supervised Methods

 To compare our unsupervised method with super- [v](#page-4-8)ised models, we consider GLEN [\(Glavaš and](#page-4-8) [Vulic,](#page-4-8) [2019\)](#page-4-8), as this model is conceptually guar- anteed to work on unseen pairs. GLEN's inpue is the fastText embedding of the hypernym and the fastText embedding of hyponym. We discard many supervised methods, such as order embed- ding [\(Vendrov et al.,](#page-5-17) [2015\)](#page-5-17) and LEAR [\(Rei et al.,](#page-5-18) [2018\)](#page-5-18), which produce tuned embedding vectors of seen hypernym pairs only and are therefore unable to produce prediction on unseen pairs. We train the GLEN model using the same training setup reported in the original paper and test it on two versions of each of the seven datasets: The first version uses the test data where at most one term of the entity pair may be present in the training data (shown in the third column of Table [1\)](#page-2-1). The second version uses the test data where no terms of the entity pair are present in the training data (shown in the fourth column in Table [1\)](#page-2-1). The results are shown under "GLEN-before" and "GLEN-after" columns in Table [2,](#page-3-0) respectively.

265 Table [2](#page-3-0) shows the results. As can be seen, DE-

CIDE outperforms GLEN-after on five datasets, **266** while GLEN-after outperforms DECIDE on two 267 datasets by a narrow margin (0.66 vs 0.65, and 0.66 **268** vs 0.63). Note that there are no results for GLEN- **269** after on the Bless dataset, as the number of in- **270** stances of this dataset is zero after overlap removal. **271** When we compare DECIDE with GLEN-before, **272** for which either the hypernym or hyponym entities **273** (but not both) from the test data may present in **274** the training data, GLEN's performance improves **275** substantially. In fact, in this case GLEN outper- **276** forms DECIDE on four out of seven datasets. This **277** validates that supervised methods, like GLEN, can **278** boost their performance through information over- **279** lap between the training and test data, even if only **280** one element of the hypernym pair is in the training **281** [d](#page-5-19)ata. This phenomenon was also reported by [\(Levy](#page-5-19) **282** [et al.,](#page-5-19) [2015\)](#page-5-19), who showed that supervised methods **283** for this task suffer from the memorization prob- **284** lem, in which the model memorizes prototypical **285** hypernyms ("general words"), thereby failing to **286** generalize for word pairs where those prototypical **287** hypernyms are not part of the training data. **288**

5 Conclusion **²⁸⁹**

Our contributions are three folds: First, we intro- **290** duced a new measure, DECIDE, for hypernymy **291** directionality prediction that does not require set- **292** ting a threshold. DECIDE can be worked with **293** any neural pre-trained distributional space. Sec- **294** ond, our extensive experiments showed that DE- **295** CIDE outperforms or is on par with existing un- **296** supervised and supervised methods on previously **297** unseen samples, demonstrating its effectiveness. **298** Lastly, we also showed that existing supervised **299** methods do not generalize well on unseen sam- **300** ples, corroborating the previously reported claim **301** of the memorization problem by [Levy et al.](#page-5-19) [\(2015\)](#page-5-19). **302** Our code and dataset will be available at GitHub: **303** http://anonymous. **304**

³⁰⁵ 6 Limitations

 The proposed measure, DECIDE, may exhibit sen- sitivity to the choice of corpus used to retrieve context words, similar to other context-based mea- sures, e.g., [\(Clarke,](#page-4-4) [2009;](#page-4-4) [Lenci and Benotto,](#page-5-5) [2012;](#page-5-5) [Santus et al.,](#page-5-6) [2014\)](#page-5-6). For example, a corpus of Wikipedia articles may yield different results from a corpus of scientific papers. Further investigations into the nature of context and how it affects hyper- nymy directionality would be beneficial, as well as studies on how to obtain the typical context of a **316** term.

 In addition, our method does not incorporate the frequency of context words while remarkably, it outperforms other measures even without con- sidering frequencies. However, frequency could also play an important role in hypernymy direc- tionality, as shown in previous work, e.g., [\(Clarke,](#page-4-4) [2009;](#page-4-4) [Lenci and Benotto,](#page-5-5) [2012;](#page-5-5) [Santus et al.,](#page-5-6) [2014\)](#page-5-6). Therefore, combining our current distributional space distances with frequency information could lead to further improvements. We leave this explo-ration for future work.

³²⁸ 7 Ethical Consideration

 As with any measures, inaccuracies in the predic- tions made by our proposed measure could poten- tially result in unintended and erroneous outcomes in applications. For example, if the measure is used to predict the hypernymy directionality between two terms in a medical context, a wrong prediction could lead to a misdiagnosis or incorrect treatment. It is important to use our measure responsibly and to be aware of its limitations. It is also important to validate the predictions of the measure against other sources of information before using them in any critical applications.

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