OceanGPT: A Large Language Model for Ocean Science Tasks

Abstract

 Ocean science is of great significance given that oceans cover over 70% of our planet's surface. Recently, advances in Large Language Models (LLMs) have transformed the paradigm in natural sci- ence. Despite the success in other do- mains, current LLMs often fall short in catering to the needs of domain ex- perts like oceanographers, and the po- tential of LLMs for ocean science is under-explored. The intrinsic reasons are the immense and intricate nature of ocean data as well as the necessity for higher granularity and richness in knowl- edge. To alleviate these issues, we in- troduce OCEANGPT, the first-ever large language model in the ocean domain, which is expert in various ocean science tasks. We also propose DOINSTRUCT, a novel framework to automatically obtain a large volume of ocean domain instruc- tion data, which generates instructions based on multi-agent collaboration. Ad- ditionally, we construct the first oceanog- raphy benchmark, OCEANBENCH, to evaluate the capabilities of LLMs in the ocean domain. Though comprehensive experiments, our OCEANGPT not only domontrates a higher level of knowledge expertise for oceans science tasks but also gains preliminary embodied intelligence capabilities in ocean technology.

1 Introduction 33

Figure 1: Capabilities of OCEANGPT. Our proposed model not only shows a higher level of knowledge expertise for oceans science tasks but also gains preliminary embodied intelligence capabilities in ocean technology.

Ocean science, which delves into the intricacies ³⁴ of oceans that cover over 70% of our planet's sur- ³⁵ face, is essential not only for understanding the rich 36 reservoirs of life and biodiversity but also for rec- ³⁷ ognizing their pivotal role in regulating the global ³⁸ climate and supporting economies [\[Esaias](#page-9-0) *et al.*, ³⁹ [1998;](#page-9-0) [Falkowski, 2012;](#page-10-0) [Visbeck, 2018;](#page-12-0) Jin *[et al.](#page-10-1)*, ⁴⁰ [2023\]](#page-10-1). Recently, advances in Large Language Mod- ⁴¹ [e](#page-13-0)ls (LLMs) [\[OpenAI, 2023;](#page-10-2) [Jiang](#page-10-3) *et al.*, [2023;](#page-10-3) [Zha](#page-13-0) ⁴² *[et al.](#page-13-0)*, [2023;](#page-13-0) Yin *[et al.](#page-13-1)*, [2023;](#page-13-1) [Zhao](#page-13-2) *et al.*, [2023\]](#page-13-2) have ⁴³

 transformed the paradigm in science domains such as medical science [\[Moor](#page-10-4) *et al.*, [2023\]](#page-10-4), molecular [s](#page-10-6)cience [Fang *[et al.](#page-10-5)*, [2023\]](#page-10-5), protein science [\[Lin](#page-10-6) *et [al.](#page-10-6)*, [2023\]](#page-10-6) and geoscience [\[Deng](#page-9-1) *et al.*, [2023\]](#page-9-1). How- ever, the potential for the large language model in ocean science is under-explored.

 Despite remarkable success in general domain, current LLMs still do not fully meet the specific de- mand of oceanographers. This inadequacy is pri- marily due to: (1) The immense volume and intri- cate nature of ocean data. As ocean science research progresses, acquiring data becomes increasingly challenging, which makes enhancing the oceanic understanding both a golden opportunity and a sig- nificant hurdle. (2) The necessity for higher granu- larity and richness in knowledge. Note that the data requirements faced by researchers are becoming in- creasingly intricate and diverse. Ocean science en- compasses various domains and subjects, each with its distinct data attributes and patterns.

 To alleviate these issues, we introduce OCEANGPT, the first-ever LLM in the ocean domain, which is expert in various ocean science tasks. Specifically, we propose DOINSTRUCT, an efficient ocean science instruction generation framework that capitalizes on multi-agent collab- oration. Each agent in our designed framework is considered as an expert in a specific domain (science and research, resources and development, ecology and environment etc.) and is responsible for generating the corresponding data. For the ad- vancement of ocean science research using LLMs, we also create a benchmark called OCEANBENCH to evaluate the capabilities in ocean science tasks.

 Through extensive experiments, OCEANGPT shows superiority for diverse ocean science tasks. Note that our benchmark data is based on criteria manually evaluated by ocean experts, and can accu- rately reflect the capabilities that LLMs possess in the field of ocean science. As depicted in Figure [1,](#page-0-0) our model can comprehensively answer questions according to the instructions of oceanographers, which demonstrates its expertise in oceanography. 87 We further explore the potential of **OCEANGPT** from the perspectives of ocean engineering. Specif- ically, we integrate ocean robotics instructions into the training data and evaluate its ability via code or console commands. OCEANGPT not only demon- strates a higher level of knowledge expertise but also gains preliminary embodied intelligence capabilities in ocean technology.

Our contributions can be summarized as follows: ⁹⁵

- We introduce OCEANGPT, the first ocean 96 LLM, which shows superiority for various 97 ocean science tasks. It can answer oceano- ⁹⁸ graphic questions according to the instructions 99 of oceanographers, demonstrating expertise in ¹⁰⁰ oceanography. 101
- We propose DOINSTRUCT, an automated do-
102 main instruction evolving framework that con-
103 structs the ocean instruction dataset by multi-
104 agent collaboration. Our framework effec- ¹⁰⁵ tively alleviates the difficulty of obtaining ¹⁰⁶ ocean domain data. ¹⁰⁷
- Extensive experiments demonstrate the superi-
108 ority of OCEANGPT in the OCEANBENCH. ¹⁰⁹ **OCEANGPT** not only demonstrates a higher 110 level of knowledge expertise for oceans sci- ¹¹¹ ence tasks but also gains preliminary embodied 112 intelligence capabilities. 113

2 Related Work 114

Large Language Models. The landscape of LLM 115 [\[Brown](#page-9-2) *et al.*, [2020;](#page-9-2) [Chowdhery](#page-9-3) *et al.*, [2022;](#page-9-3) ¹¹⁶ [Touvron](#page-12-1) *et al.*, [2023a,](#page-12-1)[b\]](#page-12-2) has rapidly evolved and 117 achieved a series breakthroughs. Rae *[et al.](#page-11-0)* [\[2021\]](#page-11-0); ¹¹⁸ [Zhang](#page-13-3) *et al.* [\[2022\]](#page-13-3); [Thoppilan](#page-12-3) *et al.* [\[2022\]](#page-12-3); [Scao](#page-11-1) *et* ¹¹⁹ *[al.](#page-11-1)* [\[2022\]](#page-11-1); [Zeng](#page-13-4) *et al.* [\[2023\]](#page-13-4) have explored the per- ¹²⁰ formance across a wide range of model scales and ¹²¹ broadened the application scope [\[Qiao](#page-11-2) *et al.*, [2023a;](#page-11-2) ¹²² [Zhang](#page-13-5) *et al.*, [2023a;](#page-13-5) Qiao *[et al.](#page-11-3)*, [2023b;](#page-11-3) [Wang](#page-12-4) *et* ¹²³ *[al.](#page-12-4)*, [2023a;](#page-12-4) Xi *[et al.](#page-12-5)*, [2023\]](#page-12-5). Retrieval-Augmented ¹²⁴ Generation (RAG) is a useful solution by incorpo- ¹²⁵ [r](#page-10-7)ating knowledge from external databases [\[Gao](#page-10-7) *et* ¹²⁶ *[al.](#page-10-7)*, [2023;](#page-10-7) [Lewis](#page-10-8) *et al.*, [2020;](#page-10-8) [Schick](#page-11-4) *et al.*, [2023;](#page-11-4) ¹²⁷ [Khandelwal](#page-10-9) *et al.*, [2020\]](#page-10-9). To align LLMs, instruc- 128 tion tuning [Wei *[et al.](#page-12-6)*, [2022;](#page-12-6) [Zhang](#page-13-6) *et al.*, [2023b;](#page-13-6) ¹²⁹ [Ouyang](#page-10-10) *et al.*, [2022;](#page-10-10) [Taori](#page-11-5) *et al.*, [2023;](#page-11-5) [Wang](#page-12-7) *et al.*, ¹³⁰ [2023d;](#page-12-7) [Chiang](#page-9-4) *et al.*, [2023;](#page-9-4) Xu *[et al.](#page-13-7)*, [2023\]](#page-13-7) is a ¹³¹ crucial technique to alignment with user preferences 132 and desired outputs. Different from those, we train 133 a totally new ocean science large language model ¹³⁴ and introduce an effective domain instruction gen- ¹³⁵ eration framework via multi-agent collaboration. 136

Science Large Language Models. LLMs have 137 emerged as cornerstone models in addressing chal- ¹³⁸ lenges within scientific research. [Singhal](#page-11-6) *et al.* ¹³⁹ [\[2022\]](#page-11-6) explores the potential of clinical LLMs and 140 introduces a human evaluation framework and in- struction prompt tuning. [Moor](#page-10-4) *et al.* [\[2023\]](#page-10-4) pro- poses generalist medical AI that is capable of han- dling diverse medical tasks using self-supervised learning on large datasets. [Kraljevic](#page-10-11) *et al.* [\[2021\]](#page-10-11) introduces MedGPT, a model using EHR data and Named Entity Recognition tools for predicting fu- ture medical events. BioGPT [Luo *[et al.](#page-10-12)*, [2022\]](#page-10-12) is a language model pre-trained on biomedical lit- erature for improved text generation and mining. [Theodoris](#page-11-7) *et al.* [\[2023\]](#page-11-7) describes Geneformer, a model pre-trained on single-cell transcriptomes for making predictions with limited data in network biology. Lin *[et al.](#page-10-6)* [\[2023\]](#page-10-6) demonstrates the pre- diction of atomic-level protein structure from pri- mary sequences using scaled-up language models. [Deng](#page-9-1) *et al.* [\[2023\]](#page-9-1) introduces the first LLM specif- ically designed for geoscience, including its train- ing and benchmarking protocols. [Chen](#page-9-5) *et al.* [\[2023\]](#page-9-5) presents tele-knowledge pre-training for fault anal- ysis. Different from previous works, we design the first large language model for ocean science tasks and explore its potential for ocean research.

¹⁶⁴ 3 OCEANGPT

 To obtain OCEANGPT, we firstly construct the training corpus for ocean science and pre-train an ocean LLM based on LLaMA-2 [Touvron](#page-12-2) *et al.* [\[2023b\]](#page-12-2) in Section [3.1.](#page-2-0) Then we propose DOIN- STRUCT, an automated framework for domain in- struction generation to build an ocean domain- specific instruction dataset. Our framework lever- ages multi-agent collaboration and utilizes ocean literature to automatically generate a large volume of domain-specific instructions for ocean science tasks (Section [3.2\)](#page-2-1). The overview training proce-dure of our OCEANGPT is shown in Figure [2.](#page-2-2)

¹⁷⁷ 3.1 Pre-training Stage

¹⁷⁸ To pre-train the foundation model for ocean sci-¹⁷⁹ ence tasks, it is essential to construct the pretraining corpus specific to ocean science. Therefore, ¹⁸⁰ we firstly collect a raw corpus of 67,633 documents 181 from open-access literature. For the specific vol- ¹⁸² umes we choose, we prefer to consider publications 183 from recent years to ensure the inclusion of the lat- ¹⁸⁴ est research and developments. At the same time, ¹⁸⁵ we select some historically significant literature to 186 help the LLM understand the developmental his-
187 tory of the field. For diversity, we choose articles ¹⁸⁸ from different sources to ensure coverage of vari- ¹⁸⁹ ous research perspectives and methods. Specif- ¹⁹⁰ ically, we utilize the Python package *pdfminer* to ¹⁹¹ convert the content of literature files into plain text. ¹⁹² To ensure the quality and consistency of the data, ¹⁹³ further processing of the collected dataset is neces-
194 sary. We apply regular expressions to filter out fig-
195 ures, tables, headers, footers, page numbers, URLs ¹⁹⁶ and references. Additionally, any extra spaces, line ¹⁹⁷ breaks, and other non-text characters are removed. ¹⁹⁸ The processed documents cover various aspects of 199 ocean science such as ocean physics, ocean chem- ²⁰⁰ istry, ocean biology, geology, hydrology, etc. It is ²⁰¹ important to note that special characters, emoticons, ²⁰² and garbled characters are also replaced or elimi- ²⁰³ nated during this process. We also employ *hash-* ²⁰⁴ *based methods* to de-duplicate the data, which helps 205 reduce the risk of over-fitting during pre-training ²⁰⁶ and enhances its generalization capability. ²⁰⁷

3.2 Domain Instruction Data Generation ²⁰⁸

As ocean science research deepens, researchers are 209 facing increasingly complex and diversified data de- ²¹⁰ mands. Ocean science corpus contains multiple ²¹¹ fields and topics, and each topic has its unique data ²¹² characteristics and patterns. To effectively simulate ²¹³ and obtain those data, we propose a domain instruc- ²¹⁴ tion generation framework DOINSTRUCT to obtain ²¹⁵ ocean instructions H by multi-agent collaboration. ²¹⁶ Each agent is considered as an expert in a specific 217 domain (topic) and is responsible for generating 218 the corresponding data. It not only ensures the pro- ²¹⁹ fessionalism and accuracy of the data but also al- ²²⁰ lows for the parallel and efficient generation of a ²²¹ large amount of data. Note that the proposed frame- ²²² work also has greater flexibility, allowing us to in- ²²³ dependently optimize and adapt to different science ²²⁴ domains (e.g., astronomy). 225

Ocean Topic Definition. To provide researchers 226 with a clear and organized resources, we manually 227 categorize the data in ocean science into five major ²²⁸

Figure 3: Procedure of our proposed DOINSTRUCT. We use agents (*gpt-3.5-turbo*) as experts for each ocean topic and make them rapidly expand the instructions by collaboration. In this framework, we design three agent roles: evolving generator, fine-tuned literature extractor and inspector with rule constraints.

 ocean topics, which are based on the expertise of experts in oceanography. The definitions of these five topics comprehensively cover all the main ar- eas of ocean science and are relatively independent. The detailed explanation for the five major topics is described as follows:

- ²³⁵ *Science and research* focuses on the funda-²³⁶ mental scientific theories and research related ²³⁷ to the ocean, such as ocean currents, sea tem-²³⁸ peratures and ocean biodiversity. This portion ²³⁹ of data separately helps drive the advancement ²⁴⁰ of pure scientific research and theories.
- ²⁴¹ *Resources and development* includes fisheries, ²⁴² minerals, oil and gas, as well as other sustain-²⁴³ able development resources. It is set for a bet-²⁴⁴ ter examination and planning of the rational ²⁴⁵ development of ocean resources.
- ²⁴⁶ *Ecology and environment.* Environmental pro-²⁴⁷ tection and ecological sustainability are cur-²⁴⁸ rently global hot topics. It helps to address is-²⁴⁹ sues such as ocean pollution, ecological degra-²⁵⁰ dation, and the impact of climate change on the

oceans in a more focused manner. ²⁵¹

- *Technology and engineering* encompasses as- ²⁵² pects ranging from ocean measurements, ob- ²⁵³ servational equipment, and ship engineering ²⁵⁴ to ocean energy development. Such cat- ²⁵⁵ egorization aids in a more focused explo- ²⁵⁶ ration of ocean engineering and technological ²⁵⁷ needs, while also facilitating interdisciplinary ²⁵⁸ research with other engineering disciplines. ²⁵⁹
- *Life, culture and others*. The ocean is not only 260 a natural resource or a subject of scientific re- ²⁶¹ search; it is also an integral part of culture 262 and lifestyle. This category consists of aspects ²⁶³ ranging from history and culture to the mutual ²⁶⁴ influences between the ocean and human soci- ²⁶⁵ etal activities, such as tourism, leisure. ²⁶⁶

While these five topics are distinct, there might be 267 some overlap as well. For instance, some issues re- ²⁶⁸ lated to ocean environmental protection might also ²⁶⁹ be associated with the technology of ocean engi- ²⁷⁰ neering. For the sake of convenience in data analy- 271 sis, in the actual construction of the dataset, we map 272

²⁷³ each sample to the most relevant category.

 Agents as Domain (Ocean) Experts. In Figure [3,](#page-3-0) we use agents as domain experts for each ocean topic and make them rapidly expand the instructions by collaboration. We collect the seed instruction data and propose three strategies by using multiple agents acting as experts.

 To construct the seed dataset, we employ dozens of annotators with rich backgrounds in marine sci- ence. Each annotator is responsible for several top- ics and they first manually write some representa- tive example for each marine topic. Then we use LLMs to mimic the existing data to generate a large number of similar samples. All samples are ulti- mately manually checked by the annotators. The entire process is very time-consuming, with all the experts spending a total of four days to validate the seed data. The final seed instruction dataset in- cludes 5 major categories, over 500 sub-categories and a total of more than 10,000 data samples.

 • *Evolving Agent as the Generator*. We de- sign an evolving approach that selects samples from the seed dataset and simultaneously calls upon two agents (*gpt-3.5-turbo*) to evolve the selected samples. The evolution procedure in- cludes two aspects: (1) we enrich the content of the sample by having the agent automati- cally add relevant background knowledge to it; (2) we guide the agent to refine the sample by conducting a more in-depth analysis of specific concepts or entities. Through multiple rounds of iterative execution, our method can rapidly expand the existing seed dataset, which allows for the rapid expansion of both the breadth and depth of information.

 • *Fine-Tuned Agent as the Literature Extrac- tor*. As shown in Figure [3,](#page-3-0) we collect a smaller expert-annotated corpus and use the *BM25* to retrieve high quality sentences in a larger ocean corpus. We regard the re- trieved texts as high-quality candidate samples. Meanwhile, we fine-tune *gpt-3.5-turbo* with the seed instruction dataset, regarding the fine- tuned agent as the literature extractor. In other words, it can automatically extract instructions (*inst*) from the unannotated ocean science cor- pus (*output*). Therefore, we utilize the agent to automatically build pairs of *(inst, output)* on external ocean science literature.

Algorithm 1 Domain Instruction Data Generation

Require: Seed dataset S with format *(inst, output)*, Ocean literature corpus O, Pre-defined rules R for filtering

Ensure: High-quality instruction dataset H

- 1: Initialize empty datasets. $Step1Data = \emptyset, Step2Data = \emptyset, H = \emptyset$ {Agent Collaboration as Domain Experts.}
- 2: for each sample in S do
- 3: $inst, output \leftarrow sample$
-
- 4: enriched_sample ← Enrich(inst, output)
5. refined sample ← Befine(inst_output) $refined_sample \leftarrow Refine(inst,output)$
- 6: $Step1Data \leftarrow Step1Data \cup$
	- enriched sample ∪ ref ined sample
- $7⁺$ end for
- 8: { Fine-Tuned Agent as Literature Extractor.}
- 9: $RetrievedTexts \leftarrow BM25_Retricve(O)$
- 10: Model $M \leftarrow FineTune(S_{reverse})$
- 11: for each document in $RetrievedTexts$ do
- 12: $output \leftarrow document.contrib$
- 13: $inst \leftarrow M(output)$
- 14: $Step2Data \leftarrow Step2Data \cup (inst, output)$
- 15: end for
- { Agent as Inspector with Rule Constraints.} 16: MergedData
	- Inspector(Step1Data, Step2Data, R) { Quality Control by Debating.}
- 17: for each sample in MergedData do
- 18: $inst, output \leftarrow sample$
- 19: debate_result \leftarrow Debate(inst, output)
- 20: if debate_result is high-quality then
- 21: $H \leftarrow H \cup sample$
22: end if
- end if
- 23: end for
- 24: return H
	- *Agent as the Inspector with Rule Constraints*. ³²² For the massively generated instructions, we 323 use the pre-defined rules as constraints and ³²⁴ perform filtering on the data. These rules ³²⁵ include syntactic and semantic constraints as ³²⁶ well as basic definitions in the ocean do- ³²⁷ main. We describe these rules using natural ³²⁸ language because many constraints and norms 329 related to ocean science cannot be directly ³³⁰ represented with expressions. Therefore, we ³³¹ provide prompts to the *gpt-3.5-turbo* API as 332 demonstrations, letting it play the role of an in- ³³³ spector. Our method ensures that the generated 334

³³⁵ ocean instruction data is of higher quality. De-³³⁶ tailed prompt is shown in Table [5.](#page-17-0)

 Finally, we assign two extra *gpt-3.5-turbo* agents as roles to debate the quality of data and ob- tain high-quality instruction dataset. Our designed framework can rapidly constructing a ocean sci- ence dataset using multi-agents, and by incorpo- rating external knowledge from marine literature, it overcomes the limitations inherent to the agents themselves. Our framework can also be effec- tively applied to the instruction data construction in other scientific domains. It should be noted that we separately synthesize robot instructions to equip 348 OCEANGPT with the capability to interact with the environment. The procedure is in Algorithm [1](#page-4-0) and the statistics of dataset is in Figure [4.](#page-5-0)

Figure 4: Statistics of our final instruction dataset. We use DOINSTRUCT to expand more than 150,000 instructions (*data-evolving*, *data-extracting*).

Quality Control for the Dateset. We ask domain experts to carefully review and check data to en- sure quality. Specifically, the human volunteers are first trained to make sure they have a compre- hensive understanding of the task. Then, we de- velop a platform that can help experts to randomly sample 10% instances from the generated instruc- tion dataset. Next, the trained domain experts are asked to validate if there are potential errors in the sampled instances. The final IAA (inter-annotator agreement) score for our dataset is 0.82, which sat-isfies the research purpose.

363 4 Benchmarking Ocean Science Tasks

 We provide detailed explanations of the experimen- tal setup and the baseline models in Section [4.1.](#page-5-1) In Section [4.1,](#page-5-2) we construct an ocean-specific bench- mark OCEANBENCH to evaluate the capabilities of our OCEANGPT. We describe the automatic and human evaluation in Section [4.1.](#page-6-0)

4.1 Implementation Details and Baselines 370

For the pre-training stage, we pre-train our ³⁷¹ [O](#page-12-2)CEANGPT based on the LLaMA-2 [\[Touvron](#page-12-2) *et* ³⁷² *[al.](#page-12-2)*, [2023b\]](#page-12-2) for seven days with six A800 Nvidia ³⁷³ GPUs. For the instruction-tuning stage, we em- ³⁷⁴ ploy the LoRA method [Hu *[et al.](#page-10-13)*, [2021\]](#page-10-13) to fine- ³⁷⁵ tune the pre-trained model and choose three base- ³⁷⁶ line models for comparison. We use the chat ver- ³⁷⁷ sion of LLaMA-2 (*Llama-2-7b-chat-hf*), which 378 is a generative language model optimized for dia- ³⁷⁹ [l](#page-9-4)ogue use cases. We also use *Vicuna-1.5* [\[Chiang](#page-9-4) *et* ³⁸⁰ *[al.](#page-9-4)*, [2023\]](#page-9-4), a chat model which fine-tunes LLaMA- ³⁸¹ 2 on dataset collected from ShareGPT. We further 382 use *ChatGLM2-6B*, the optimized version of GLM 383 [\[Zeng](#page-13-4) *et al.*, [2023\]](#page-13-4). The detailed experimental set- 384 tings are shown in Table [2](#page-14-0) (Appendix [A\)](#page-14-1). 385

OCEANBENCH. To evaluate the capabilities of ³⁸⁶ LLMs for oceanography tasks, we design a bench- ³⁸⁷ mark called OCEANBENCH. Our benchmark in- ³⁸⁸ cludes a total of 15 ocean-related tasks such as ³⁸⁹ question-answering, extraction, and description. ³⁹⁰ Our evaluation samples are automatically generated ³⁹¹ from the seed dataset and have undergone dedupli- ³⁹² $cation¹$ $cation¹$ $cation¹$ and manual verification by experts. 393

For the quality control, we further sample part of 394 data and ask domain experts to evaluate the quality ³⁹⁵ (those disagreed cases or bad cases will be manually ³⁹⁶ fixed by domain experts.). The distribution of our 397 desigend OCEANBENCH and the detailed statistics 398 can be found in Table [1](#page-5-4) and Figure [11.](#page-15-0) 399

Table 1: The detailed statistics of OCEANBENCH. Metrics. For the task-level calculation, we com- 400 pare the effectiveness of two models for each task. ⁴⁰¹ When one model performs better on the majority of 402 test samples in a single task, it is considered to 'win' ⁴⁰³

¹We also perform deduplication between the benchmark and our training dataset to avoid the data leakage in the training stage of OceanGPT. The detailed explanation about the similarity calculating deduplication method is in Appendix [A.](#page-14-2)

Figure 5: Ocean task-level results. Left: Automatic evaluation. Right: Human evaluation. Compared to baselines, OCEANGPT performs better than *llama2-chat-7b*, *vicuna-1.5-7b* and *chatglm2-6b* in both two settings. The instancelevel result is in Figure [10](#page-15-1) (Appendix [A\)](#page-14-1).

⁴⁰⁴ that task. For the instance-level computation pro-⁴⁰⁵ cess, we do not differentiate between specific tasks ⁴⁰⁶ and instead calculate overall metrics.

 Automatic Evaluation. To evaluate the perfor- mance and reduce reliance on manual evaluation, we leverage GPT-4 as the evaluator. Inspired by [Wang](#page-12-8) *et al.* [\[2023c,](#page-12-8)[b\]](#page-12-9), we utilize an effective cal- ibration method to evaluate the performance of two LLMs. For each testing question, we query the GPT4 to obtain the comparison result when given two outputs from two LLMs. We note that LLMs are sensitive to the position of responses, so allevi- ating the positional bias is very important. To bal- ance the position bias, we exchange the order of the responses to form the new prompt. The final evalu- ating result is the sum of the test results for the two prompts with their order swapped.

 Human Evaluation. To validate our proposed framework, we also collect the output data in dif- ferent settings and evaluate it by human evaluation. We employ 5 students with an ocean science back- ground as human annotators. For each evaluation setting, we sample a set of 200 examples and human annotators will rank the outputs they prefer. The to-tal expense is about 500 US dollars.

⁴²⁹ 5 Results

⁴³⁰ 5.1 Insights from Performance Results

 OCEANGPT can obtain better performance than previous open-sourced LLMs. In Figure [5,](#page-6-0) we compare the performance of OCEANGPT with the three baseline models across 15 sub-tasks at the task-level in the ocean domain. We utilize both automatic and human evaluators, then com- ⁴³⁶ pute the *win rate (%)* with baseline models. Com- ⁴³⁷ pared to the baselines (*llama2-chat-7b*, *vicuna-1.5-* ⁴³⁸ $7b$, *chatglm[2](#page-6-1)-6b* $)^2$, **OCEANGPT** outperforms in 439 the majority of tasks, which demonstrates the effec- ⁴⁴⁰ tiveness of the proposed approach. 441

OCEANGPT excels in a range of ocean science ⁴⁴² tasks. As shown in Figure [6,](#page-7-0) we present detailed 443 automatic evaluation experimental results in the ⁴⁴⁴ OCEANBENCH. It can be clearly seen that our ⁴⁴⁵ model is superior to baseline language models in ⁴⁴⁶ the vast majority of tasks. Note that previous open- ⁴⁴⁷ sourced LLMs even fail to handle several exper- ⁴⁴⁸ tise ocean tasks (e.g., Editing). While our designed 449 multi-agent data generation framework can effec- ⁴⁵⁰ tively act as experts in various subfields of the ocean 451 domain, which indicates that OCEANGPT is a bet- ⁴⁵² ter expert in various ocean domains. ⁴⁵³

DOINSTRUCT are the effective ocean data gen- ⁴⁵⁴ erators by multi-agent collaboration. As shown ⁴⁵⁵ in Figure [7,](#page-7-1) we design three indicators to measure ⁴⁵⁶ the data generation effect of our proposed method ⁴⁵⁷ from the perspectives of knowledge quality, exper- ⁴⁵⁸ tise and diversity. We use manual evaluation to 459 calculate the scores of the three indicators from 1 to ⁴⁶⁰ 5. The higher the score, the better the effect of the ⁴⁶¹ testing model. It can be seen that the evolving gen- ⁴⁶² erator agent can effectively enhance the richness of 463 ocean data. When the extraction agent is at work, ⁴⁶⁴ the expertise of the content is greatly improved. At 465 the same time, the inspector agent plays a signifi- ⁴⁶⁶ cant role in enhancing the quality of the generated 467

²We have trained OceanGPT-7B, thus we only compare open-sourced LLMs around 7B.

Figure 6: Evaluation results of OCEANGPT in the ocean science tasks in OCEANBENCH. The complete experimental results are shown in Figure [12](#page-16-0) (Appendix [A\)](#page-14-1).

Figure 7: Performance analysis for different agents. We design three indicators to measure the generation effect.

⁴⁶⁸ data. It shows that multi-agent collaboration is ef-⁴⁶⁹ fective for ocean instruction generation.

⁴⁷⁰ 5.2 Exploring the Potential of OceanGPT

 In this section, we explore the potential of OCEANGPT from the perspectives of ocean sci- ence and ocean engineering. For ocean science (Section [5.2\)](#page-7-2), we focus on the key scientific is- sues of nuclear pollution in the ocean environ- ment. For ocean engineering (Section [5.2\)](#page-7-3), we ex- plore the potential in robotics applications [Li *[et al.](#page-10-14)*, [2023\]](#page-10-14). Specifically, we use Gazebo ^{[3](#page-7-4)} as the simu-479 lator [Manhães et al., [2016\]](#page-10-15) to test OCEANGPT's ability to control underwater robots.

⁴⁸¹ OceanGPT for Ocean Science

⁴⁸² In Figure [8,](#page-8-0) we compare the outputs of ⁴⁸³ OCEANGPT and *vicuna-1.5-7b*. It shows

³[https://github.com/uuvsimulator/uuv](https://github.com/uuvsimulator/uuv_simulator)_simulator

that OCEANGPT shows a higher level of knowl- ⁴⁸⁴ edge expertise when describing the content of ⁴⁸⁵ radioactive nuclide research. Its textual content ⁴⁸⁶ is not only clear in structure and well-organized, ⁴⁸⁷ but also covers various aspects of radioactive ⁴⁸⁸ nuclide research, from experimental design to data ⁴⁸⁹ analysis, and then to risk assessment and disposal ⁴⁹⁰ guidelines. In contrast, although *vicuna-1.5-7b* ⁴⁹¹ has clear expression and logicality, it lacks depth 492 and specific content related to radioactive nuclides. ⁴⁹³ Overall, OCEANGPT has advantages in terms of ⁴⁹⁴ knowledge expertise, quality, and richness. The ⁴⁹⁵ complete outputs are shown in the Table [6.](#page-18-0) 496

OceanGPT for Ocean Engineering 497

Ocean engineering focuses on the design, develop- ⁴⁹⁸ ment, and management of structures and systems ⁴⁹⁹ within the ocean environment. It plays an indis- 500 pensable role in harnessing the vast potential of the ⁵⁰¹ oceans while ensuring sustainable and secure mar- ⁵⁰² itime operations. To facilitate interaction between ⁵⁰³ **OCEANGPT** and the external world, we synthesize 504 robotic code data and integrate those machine code 505 instructions into the training data. 506

As depicted in Figure [9,](#page-8-1) OCEANGPT can in- ⁵⁰⁷ struct underwater robots via code or console com- ⁵⁰⁸ mands, allowing them to execute basic path-finding 509 operations. In this example, by using programming 510 language as a prompt, our OCEANGPT can auto- 511 matically generate code (the robot generate a double 512 helix path) for underwater robot to operate complex 513 tasks (based on human instructions). In fact, the ex- ⁵¹⁴

Figure 8: Case analysis on ocean science task. We use blue font to represent the difference and the instruction is: *How to conduct research on interfacial chemistry and toxicological effects of key radioactive nuclides?*

Figure 9: Our model can be instructed for underwater robot control in the simulation platform of Gazebo which shows OCEANGPT gains preliminary embodied intelligence capabilities.

 perimental result suggests that OCEANGPT has the potential to acquire embodied intelligence. Though we make preliminary attempts for ocean robot inter- action, it paves the way for advanced oceanic mod- els to undertake intricate robotic control and com-plex planning tasks.

⁵²¹ 6 Conclusion

 In this paper, we introduce OCEANGPT, the first- ever oceanographic pre-trained language model, which is expert in various ocean science tasks. To alleviate the difficulties for obtaining ocean data, we propose an domain construction framework called DOINSTRUCT, which constructs the ocean instruc-tion dataset by multi-agent collaboration. Each agent in our designed framework is considered as ⁵²⁹ an expert in a specific topic and is responsible for ⁵³⁰ generating the corresponding data. Our generated ⁵³¹ dataset consists of diverse instructions to align the 532 desired behaviors in ocean science issues. Addi- ⁵³³ tionally, we establish the first oceanography bench- ⁵³⁴ mark, OCEANBENCH, to evaluate the capabilities 535 of LLMs in ocean domain. Though comprehensive 536 analysis, we observe that OCEANGPT not only 537 demonstrates a higher level of knowledge expertise 538 for oceans science tasks but also gains preliminary ⁵³⁹ embodied intelligence capabilities in ocean engi- ⁵⁴⁰ neering. We will continue to improve OCEANGPT 541 by training on larger corpus with larger models ⁵⁴² (e.g., 30B, 70B) and maintain OCEANBENCH by ⁵⁴³ adding new data and tasks. 544

⁵⁴⁵ Limitations

 Bias in Data Distribution In the realm of LLMs, the distribution of pre-training data and instruction data can be subject to substantial biases, which can shape the outputs of these models. Pre-training data for LLMs often comes from the internet, a vast and potentially biased source of information. The Inter- net content is inherently skewed, reflecting the bi- ases of its contributors, and hence may not represent a balanced global perspective. Similarly, instruction data can also carry the biases of the humans who create these instructions. For instance, instruction developed by individuals with a particular cultural, socioeconomic, or educational background may in- advertently favor specific perspectives, languages, or communication styles and marginalize others. This bias in data distribution can result in models that reinforce existing prejudices, lack cultural sen- sitivity, or fail to accurately understand and generate content in underrepresented languages or dialects.

 Hallucination in LLMs Although LLMs have shown tremendous success in general domains of NLP, there is a notable issue regarding their ten- dency to produce hallucinations. Hallucinations re- fer to instances where LLMs occasionally generate content that deviates from the user's input, contra- dicts previously generated context, or conflicts with established world knowledge. By developing strate- gies to address the issue of hallucination, LLMs can better align their outputs with user intent, preserve coherence within generated content, and enhance their overall utility in real-world applications.

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993 A Appendix

Table 2: Detailed experimental settings.

⁹⁹⁴ The Cost for Fine-tuning GPT-3.5-Turbo

 For fine-tuning GPT-3.5-turbo, we use the refer- ence code provided by OpenAI to fine-tune their language model. Overall, during the actual debug- ging process, we train and test the model multiple times, spending a total of nearly 500 US dollars (with the number of high-quality training samples being around 2000). Each time we run the script to train the model, it takes several hours.

 The training cost is 0.008 USD per 1K tokens, the input cost during use is 0.012 USD per 1K tokens, and the output cost is 0.016 USD per 1K tokens. Assuming our prompt's input and output for one conversation is 1000 tokens, and if we have 2000 training samples with actual testing on 10000 sam- ples, our training cost would be approximately 16.8 USD. The usage cost of the model after fine-tuning is about 138.0 USD, making the total cost around 154.8 USD. Since we debugged multiple times in the actual process, the real expenditure is greater. Overall, the overall training cost is not high and is affordable.

¹⁰¹⁶ Comparison between Our Fine-tuning ¹⁰¹⁷ Method and the Prefix Prompts

 In the paper, we define 5 marine science topics, but this is a very broad categorization. In real- ity, each major topic contains many subtopics. For example, the topic 'Ecology and Environment' in- cludes subtopics like marine meteorology, marine pollution, and over a dozen others. Altogether, these subtopics amount to over 500. Each of these subtopics is relatively independent and very im- ¹⁰²⁵ portant. Concatenating them as a prefix to GPT- ¹⁰²⁶ 3.5-turbo would **exceed its maximum length** limit 1027 and the actual usage cost would also be signifi- ¹⁰²⁸ cant. Therefore, we believe that fine-tuning GPT- ¹⁰²⁹ 3.5-turbo is a better choice. The prompt examples ¹⁰³⁰ are shown in Table [3](#page-14-3) and Table [4.](#page-16-1) 1031

The Similarity Calculating Method in 1032 the Deduplication Procedure 1033

Because comparing pairs for similarity involves a ¹⁰³⁴ significant number of calculations, we choose a sim-
1035 ple and effective method to address this challenge. ¹⁰³⁶ We primarily use hash detection to compare two 1037 samples. First, we pre-extract keywords from the 1038 question part of each sample and then combine them ¹⁰³⁹ into a new string. For example, the keywords for ¹⁰⁴⁰ a data sample might be 'advice', 'ocean', and 'nu- ¹⁰⁴¹ clear leakage'. We then employ hash detection to ¹⁰⁴² compare the keywords of the two samples. This ¹⁰⁴³ method can relatively accurately prevent data leak- ¹⁰⁴⁴ age during the training process. It's important to ¹⁰⁴⁵ note that sometimes the extraction of keywords can ¹⁰⁴⁶ lead to redundancy or repetition, so we sometimes 1047 process them multiple times. Additionally, we also ¹⁰⁴⁸ randomly select some samples and use the GPT-3.5- ¹⁰⁴⁹ turbo API for detection to check for any cases of ¹⁰⁵⁰ incomplete processing. 1051

Additionally, regarding the deduplication process 1052 between the benchmark and our training dataset, ¹⁰⁵³ we remove only a hundred or two hundred samples 1054 from the training set in the actual experiment, which 1055 is not a large number. 1056

Instruction: You are a helpful ocean assistant. You are to extract the question from the provided content. Input: Raw sentences in the marine literature (*The instruction prompt will be concatenated with raw sentences about seawater resources)*.

Output:

Answer: Existing methods of seawater resource exploitation have many problems, such as causing soil erosion and environmental pollution. Therefore, we need to seek more sustainable development methods, including water conservation, wastewater recycling, and the development of new water resources. *Question:* Please discuss your views on the current methods of developing seawater resources.

Table 3: The prompt for fine-tuning GPT-3.5-turbo.

Figure 10: Instance-level results (automatic evaluation)


```
- Description - Question-Answering - Recommendation - Extraction - Editing
-Commonsense Reasoning - Open-ended Generation - Classification
- Analysis - Judgment - Recognition - Transformation - Letter Writing
Summary - Others
```
Figure 11: Distribution of our OCEANBENCH.

Instruction:

You are a helpful ocean assistant. You are to extract the question from the provided content. Input:

Raw sentences in the marine literature (*The instruction prompt will be concatenated with raw sentences about seawater resources)*.

The demonstration and answer pairs:

I will first give you some typical examples to help you become a marine expert. Demonstration 1: ... Answer 1: ...

Demonstration 2: ... Answer 2: ... Demonstration 3: ... Answer 3: ... Demonstration 4: ... Answer 4: ...

...

(*The demonstration and answer pairs for each marine subtopics. over 500 sub-categories. Each sub-categories has different task types*)

Output:

Answer: ... Question: ... (*Concatenating them as a prefix to GPT-3.5-turbo would exceed its maximum length limit and the actual usage cost is significant*)

Figure 12: Automatic evaluation results of OCEANGPT in all tasks in OCEANBENCH.

Prompt for "Fine-Tuned Agent as the Literature Extractor":

You are a helpful ocean assistant. You are to extract the question from each of the answer provided.

Answer: This is a seahorse, belonging to the family Syngnathidae. Seahorses are vertebrates commonly found in tropical and subtropical waters. They have unique morphology and biological characteristics and are important organisms in marine ecosystems.

Prompt for "Evolving Agent as the Generator":

Assuming you are an expert in marine engineering and resources, please keep the meaning of the following sentences unchanged and provide as much professional knowledge as possible.

Sentences:Please recommend some mineral resources found in the East China Sea.

Prompt for "Agent as the Inspector with Rule Constraints":

Assuming you are an inspector in marine science, please filter and judge the sentences in 'Sentences' based on the constraints provided below:

Constraints: Keyword Filter: Focus on literature that prominently mentions the terms 'coral reefs', 'ocean acidification', or 'deep-sea exploration'. Date Range: Only consider articles published between 2010 and 2022. Author Filter: Prioritize works by the Oceanic Research Institute. Type of Literature: Specifically look for 'experimental studies' and 'review articles'. Exclude 'conference papers'. Geographical Focus: Highlight research that pertains to the Pacific Ocean region. Language Constraint: Only select literature written in English. Abstract Inclusion: Ensure the abstract contains the phrase 'climate impact'. Abstract Exclusion: Exclude any literature whose abstract mentions 'laboratory simulation'.

Prompt for automatic evaluation using GPT4:

Please check if following sentences contain rich ocean related information. If so, output "related". Otherwise, output "unrelated".

Sentences: Dissolved organic carbon (DOC) represents the largest pool of reduced carbon in oceans and plays important roles in the ocean carbon cycle and food webs . DOC comprises nearly half of the riverine organic carbon flux into oceans. Riverine DOC is involved in numerous ecosystem functions, including key roles in chemical and biological processes. Refractory and labile DOC are, respectively, important for carbon sequestra-tion in the ocean and a vital food source for marine bacteria.

Table 5: The prompt example that we use in this work.

Instruction: How to conduct research on interfacial chemistry and toxicological effects of key radioactive nuclides?

Table 6: Detailed case analysis on ocean science task. The input prompt is How to conduct research on interfacial chemistry and toxicological effects of key radioactive nuclides?

Table 7: Examples for tasks in OCEANBENCH.