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# GrowSpace: Learning How to Shape Plants

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## Abstract

1 Plants are dynamic systems that are integral to our existence and survival. Plants  
2 are faced with environment changes and adapt over time to their surrounding  
3 conditions. We argue that plant responses to an environmental stimulus are a good  
4 example of a real-world problem that can be approached within a reinforcement  
5 learning (RL) framework. With the objective of controlling a plant by moving the  
6 light source, we propose GrowSpace, as a new RL benchmark. The back-end of the  
7 simulator is implemented using the Space Colonisation Algorithm, a plant growing  
8 model based on competition for space. Compared to video game RL environments,  
9 this simulator addresses a real-world problem and serves as a test bed to visualize  
10 plant growth and movement in a faster way than physical experiments. GrowSpace  
11 is composed of a suite of challenges that tackle several problems such as control,  
12 hierarchical learning, fairness and multi-objective learning. We provide agent  
13 baselines alongside case studies to demonstrate the difficulty of the proposed  
14 benchmark.

## 15 1 Introduction

16 Advancements in Reinforcement Learning (RL) [35] are in part from comparing algorithms on  
17 commonly used benchmarks such as the Atari Learning Environment [1]. However, doubts have  
18 been raised on popular benchmarks since they do not always translate to real-world applications  
19 and inherently fail to capture the generalization performance of RL algorithms for real-world de-  
20 ployment [20]. The RL community needs new simulation-driven benchmark environments with  
21 real-world properties.

22 Currently there are a limited number of benchmarks that represent real-world systems since they are  
23 hard to design and learning from the physical world is difficult [28, 12]. Their complexities stem from  
24 high operating costs, their slow movements, and their limited amount of data [13]. Simulators have  
25 provided a proxy to real-world systems and have demonstrated success in optimization of control  
26 tasks in robotics [33].

27 We direct our interest on plants, which similarly to robots, need to interact with their environment.  
28 Plants are complex and sense their surroundings through actuation and sensing systems [16]. As  
29 biological systems, they actuate their movement as a response to an external stimulus such as light [7].

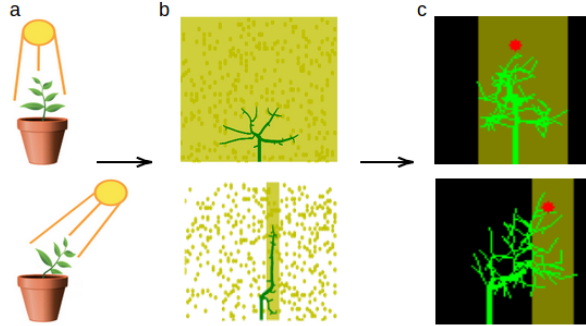


Figure 1: **High-level Overview** of the approach taken for designing the GrowSpace Environment. (a) Plants orient themselves towards light. (b) A plant branching algorithm imitates this phototropic behaviour. (c) We implemented an RL framework to reach goals (red)/shapes and enable plant growing tasks around these properties.

30 Their spatial reorientation and growth towards light is a tropic response because their movement is  
 31 influenced by the direction of the light source [26]. Recently, the idea of controlling plant growth  
 32 through light manipulation has been investigated for the development of bio-hybrid systems such as  
 33 living structures [40]. The control of a biological agent, presents a set of interesting problems which  
 34 translate well to the RL community, such as: continuous control [31], hierarchical learning [43],  
 35 multi-objective learning [38], and fairness in a multiple plant setting [22].

36 In this work, we introduce GrowSpace, a new RL environment that enables the control of procedurally  
 37 generated plant structures. This benchmark is based on real plant responses to light and leverages this  
 38 response to address a set of diverse challenges that are beyond the scope of bio-engineering. We bring  
 39 attention to a set of four different challenges that range from classic control to fairness. GrowSpace is  
 40 an environment that spans across different fields such as plant science, agriculture, RL, and robotics.

41 The primary contributions of this paper include: (i) GrowSpace<sup>1</sup>, an OpenAI Gym-compatible  
 42 environment [5] for RL, agricultural plant science, and robotics research, (ii) the release of 4 different  
 43 challenges that encompass control, hierarchical learning, fairness, and multi-objective learning, see  
 44 Table 1, (iii) trained baseline agents using Proximal Policy Optimization (PPO) [34] with a CNN  
 45 state encoder and a case study of the behavior and weaknesses of the agents. We do **not** claim that  
 46 the environment allows for easy transfer of policies to real plants but we argue that this constitutes an  
 47 important step towards more realistic RL environments, and supports developing agents for noisy  
 48 biological settings.

## 49 2 Background

50 We first cover the RL framework of a Markov Decision Process (MDP), learning with fairness  
 51 constraints, and learning multiple-objectives. These topics are reviewed to lay the foundation of  
 52 GrowSpace and the different challenges it provides to the RL community.

### 53 2.1 Markov Decision Process

54 A MDP is a framework used to study the control of sequential decision processes for dynamic  
 55 systems [30]. A MDP is represented as a tuple  $\mathcal{M} = \langle \mathcal{S}, \mathcal{A}, \mathcal{R}, \mathcal{P}, \gamma \rangle$  that includes a state space  $\mathcal{S}$ ,  
 56 an action space  $\mathcal{A}$ , a transition function  $\mathcal{P} : \mathcal{S} \times \mathcal{A} \mapsto \mathcal{S}$ , a reward function  $r : \mathcal{S} \times \mathcal{A} \mapsto \mathbb{R}$ , and  
 57 a scalar discount factor  $\gamma$ . For each time step  $t$ , a RL agent is in a state  $s_t \in \mathcal{S}$ , interacts with the  
 58 environment and chooses an action  $a_t \in \mathcal{A}$  which leads to a reward  $r_t \sim r(s_t, a_t)$  and transitions to  
 59 a new state  $s_{t+1} \sim \mathcal{P}(s_t, a_t)$ . The goal of a RL agent is to learn a policy  $\pi : \mathcal{S} \times \mathcal{A} \mapsto [0, 1]$  such as  
 60 to maximize the discounted sum of rewards.

<sup>1</sup><https://github.com/YasmeenVH/growspace>

## 61 2.2 Fairness in RL

62 Fairness is of concern in RL when actions selected by the agent affect the state and latter rewards.  
63 In a MDP setting, several constraints of fairness have been introduced over the past years. In the  
64 multi-armed bandit learning framework, fairness has been studied in the setting where the selection  
65 of an arm with lower expected reward over another arm is considered unfair [23]. Jabbari et al. [22]  
66 implement this constraint in an MDP setting, stipulating that in any state  $s$ , an algorithm cannot favor  
67 action  $a$  that has a lower probability of a expected reward than action  $a'$ . Wen, Bastani, and Topcu  
68 [41] propose fairness constraints that provide equality of opportunity [19] and have observed that  
69 parity between groups reduces rewards more than equal treatment.

## 70 2.3 Multi-objective RL

71 Multi-objective reinforcement learning involves having two or more objectives that may conflict with  
72 each other and need to be achieved by an agent [37]. Rewards in this context are a feedback signal  
73 that are represented as a vector of length equivalent to the number of objectives to attain [6]. Conflicts  
74 amongst objectives are observed when certain objectives are being favored over others. To reduce  
75 conflicts, trade-offs are used between objectives. The most widely used optimality criterion is the  
76 Pareto dominance relation [38]. Pareto dominance happens at the policy level, when a policy surpasses  
77 all other policies for all objectives. Learning policies that meet all preferences has been shown to be  
78 a challenging task and consequently the problem is often reformulated as a single-objective problem  
79 in the literature [42]. This comes with limitations because certain behaviours can emerge and show  
80 preferences to one of the objectives.

## 81 3 Related Work

82 The proposed GrowSpace environment complements current RL benchmarks and existing plant  
83 modelling platforms.

### 84 3.1 RL Benchmarks

85 The Arcade Learning Environment (ALE) [1] has long been used as a benchmark for evaluating  
86 AI agents on a variety of tasks. These tasks have pushed our knowledge and the direction of  
87 research notably in representation learning, exploration, transfer learning, model learning, and off-  
88 policy learning [27]. Similarly, StarCraft II [39] presents harder tasks than prior video game-based  
89 environment. However, as mentioned earlier the usage of common benchmarks has been put into  
90 question and how they could translate to the real world [20]. Recently, interest has been pushed on  
91 procedurally generated environments such as Procgen Benchmark [10] and the NetHack Learning  
92 Environment [24] both with the intent of tackling generalization with large amount of tasks and levels.  
93 The focus of these benchmarks are not real world-orientated. The closest RL benchmark to real-world  
94 interaction is Mujoco [36], a physics engine that enables testing of robotic simulations with contacts.  
95 Although Mujoco can adapt different types of bodies and movements, no task formulation has been  
96 addressing a greater challenges such as fairness. GrowSpace fills this gap.

### 97 3.2 Plant Modeling

98 Plants are interesting subjects to simulate as they are self-organizing systems that have the ability to  
99 adapt to dynamic environments by sensing their surroundings and directing their growth to preferable  
100 regions [8]. Plant models have evolved throughout the past two decades and have been incorporating  
101 the effects of environmental conditions [4]. Simulation of realistic virtual plants and trees have  
102 been explored through different algorithms such as L-systems [29], Functional–Structural Models  
103 (FSMs) [11] and Space Colonization Algorithms (SPA) [32]. Plant modeling has received increased  
104 interest and has primarily focused on: the reconstruction of plant architectures overtime, discovery of  
105 underlying ecophysiological mechanisms driving certain plant traits, and the movement of nutrients  
106 and their allocation throughout the plant body [14]. The development of these models are beneficial  
107 to understand the functioning, manipulation and hypotheses of plant growth. However, they are not  
108 feasible for generating and controlling behavioral patterns that a plant may exhibit [3]. We're basing  
109 our simulator on the Space Colonization Algorithm, adding a controllable light source and target  
110 points and shapes for the plants to grow towards.

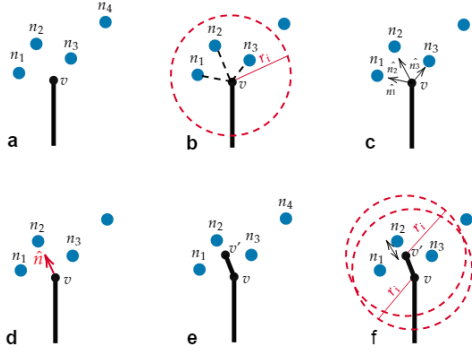


Figure 2: Steps for branching in the Space Colonization Algorithm, where (a) all photons are filtered (b) through a radius of attraction (c) and their normalized vectors from the plant tip to the photons (d) are summed and normalized to find the direction of growth (e) for the new plant segment to be attached (f) process is repeated for all existing plant tips

## 111 4 GrowSpace Learning Environment

112 We present GrowSpace, a new procedurally generated RL environment that is built with the OpenAI  
 113 gym interface [5]. The simulator is inspired by a real-world problem of optimizing plant physiology  
 114 and direction of growth over time. In the real-world, plant growth is dictated by several variables,  
 115 an important one is light availability. GrowSpace incorporates a plant’s behavioral response to light  
 116 and provides control over the branching by means of a mobile light (either beam light or small point  
 117 light). The objective is to guide the growing plant to a desired target or shape depending on the  
 118 challenge. Figure 1 provides an overview of our approach for designing GrowSpace. Much like in the  
 119 real world, the light directly influences the direction of growth of a plant (1a). A branching algorithm  
 120 is chosen to mimic a plant’s relationship to light (1b). Finally, the branching algorithm is formulated  
 121 as a RL problem where an agent’s objective is to shape a plant towards a target (red) or a desired  
 122 configuration through means of a mobile light (1c).

### 123 4.1 Plant Branching

124 The Space Colonization Algorithm (SCA) [32] is implemented for simulating the branching at each  
 125 time step in GrowSpace. Through the attachment of plant segments to a plant structure, this algorithm  
 126 facilitates the iterative growth of a virtual plant. The direction of growth is determined by the location  
 127 of the attraction points. In GrowSpace, to imitate phototropic behaviour of a plant, the attraction  
 128 points are thought of as available photon particles. To avoid predetermined shapes in GrowSpace, the  
 129 photon particles are scattered at random to facilitate stochastic branching. The number of particles  
 130 are user-defined and can be compared in a real life setting to the available light intensity: the higher  
 131 the light intensity, the greater the density of photon particles, the more branching occurs.

132 Figure 2 (inspired by [32]) illustrates the algorithm. The algorithm begins with a set of photon  
 133 particles  $N$  and an initial plant segment with tip  $v$  (a). The plant segment tip eventually become  
 134 a set as the plant grows, where  $v \in V$ . In order for a plant tip to grow, photons  $n \in N$  must be  
 135 located within a predefined radius of influence  $r_i$ , as seen in (b) where  $n_1, n_2$  and  $n_3$  attract segment  
 136 tip  $v$ . When a photon is too close to a plant segment, the photon is removed and is not considered.  
 137 The normalized vectors from tip  $v$  towards photons  $n \in N$  are computed (c). Once summed, the  
 138 normalized vector  $\hat{n}$  is found for  $v$  (d). The vectors representing the direction of growth are:

$$\vec{n} = \sum_n^N \frac{n - v}{\|n - v\|}. \quad (1)$$

139 The final normalized vector for a plant segment tip is: tip is:

$$\hat{n} = \frac{\vec{n}}{\|\vec{n}\|}. \quad (2)$$

140 Vector  $\hat{n}$  represents the direction of growth of plant segment tip  $v$  which is towards photon  $n_2$  in this  
 141 example. The plant grows a new segment  $v'$  of a length that is user determined and fixed throughout  
 142 the plant growth (e). The procedure is then repeated on both of the plant segment tips (f), we can  
 143 observe that  $n_2$  is too close to  $v'$  and will not be considered for branching.

144 Simulations of the space colonization can vary due to initial configurations chosen by the user (see  
145 Appendix A. In GrowSpace, we limit the amount of observable photons to the plant with a light  
146 source. The light source illuminates photons within a certain range, this consequently restricts the  
147 direction of growth. We introduce the concept of light direction in order for the artificial plant to  
148 grow unidirectional towards the light source. To grow towards the light source, shading needs to take  
149 place as to not allow the light beam to illuminate the photons that are below existing parts of the  
150 plant foliage. These hypotheses are based on phototropism, a response process, that enables plants to  
151 adjust their growth towards the direction of the light [17].

## 152 4.2 Reinforcement Learning Framework

153 We formulate GrowSpace as a MDP described by a state space  $\mathcal{S}$  that is accessed by the agent as  
154 a pixel observation, an action space  $\mathcal{A}$  that can be discrete or continuous, a transition function  $\mathcal{P}$   
155 and a reward function  $R$ . On each time step  $t$  of a learning episode, the agent observes the state  
156  $s_t \in \mathcal{S}$ , takes an action  $a_t \in \mathcal{A}$ , moves to a new state  $s_{t+1} \sim \mathcal{P}(s_t, a_t)$ , and receives a reward  
157  $r_{t+1} \sim R(s_t, a_t, s_{t+1})$ . The probability of a plant segments tips to branch in a specific direction  
158 given action  $a_t$  in state  $s_t$  is incorporated into the transition probability  $P(s_{t+1}|s_t, a_t)$ . In this  
159 environment, much like in the real world, the light directly influences the direction of growth of a  
160 plant. The agent’s objective is to shape a plant towards a target or a desired configuration through  
161 means of a mobile and adjustable light source.

162 **States and Observations:** For every step taken in the environment, the agent observes the *observ-*  
163 *ation* of its current *state* prior to selecting an action. Once an action is selected by the agent, the  
164 new state becomes the observation for the next time step. States and observations are an image  
165 representation of the environment which display the plant structure, the light source and the target.  
166 The observations are available to the agent as an RGB image that contains the plant, the target and  
167 the light beam at time step  $t$ . The dimensions are of  $84 \times 84 \times 3$ , except for the plant shaping  
168 challenge where the dimensions are of  $28 \times 28 \times 3$ .

169 **Actions:** GrowSpace provides a discrete action space and a continuous action space. In the discrete  
170 action space the agent can execute five discrete actions. The agent can move the light beam to the  
171 right, the left or stay put. The agent can equally increase or decrease the available light beam to the  
172 plant. The movement of the light beam is set at a default of 5 pixels in any given direction and can  
173 be customized by the user. The continuous action space has two actions, the light velocity, speed at  
174 which the light is displaced, and the width of the light beam. This could be a more realistic and more  
175 complex set-up, and it will help to transfer the problem from simulation to real world. The actions  
176 chosen will influence the available scattering to the plant and will impact the direction of growth of  
177 the plant. For example, if the beam of light is not close enough the plant will not be able to branch  
178 out because the attraction points and will be dormant. If the light reveals several points, branching  
179 will be occur in multiple places in the illuminated area.

180 In the multiple-objective task, the action set changes due to the circular light beam. Similarly, the  
181 agent can increase or decrease the light beam radius, it can move left and right and, can move up and  
182 down. The default radius of the beam is 10% of the width of the environment.

183 **Rewards:** The reward will be dense and will be received at each time step. Rewards will depend on  
184 the challenges in which the agent is trying to solve. Rewards are task specific and explained below in  
185 Section 5.

186 **Episode and Reset:** The episode length is fixed and is set to 50 steps. At the beginning of each  
187 episode, the scattering of photons, and the initial plant stem, as in Section 4.1, and the target(s) are  
188 procedurally generated in order to ensure the agent will not have visited the exact state previously in  
189 other episodes.

## 190 5 Tasks

191 We propose an initial set of tasks that can be tackled in the GrowSpace environment, all of which with  
192 several levels of difficulty. The combination of tasks released encompass some known challenges  
193 to the RL community, such as control, hierarchical learning, fairness, and multi-objective learning.  
194 Table 1 provides an overview of the tasks and their respective challenges.

Challenges				
Tasks	Control	Hierarchy	Fairness	Multi-objective
Grow Plant to Goal	✓	✓	✓	✓
Find Plants		✓	✓	✓
Grow Multiple Plants			✓	
Grow Plant to -Shape				✓

Table 1: Reinforcement learning challenges arising from each task within GrowSpace.

196 **Grow Plant to Goal:** The task consists in growing the plant with the light beam towards a target  
197 positioned at random in the upper 25% of the environment. Every episode begins with the light  
198 beam positioned above the original plant stem. The agent must displace the light beam to control and  
199 direct the growth of the plant towards the target. After each action, the agent is rewarded based on  
200 the smallest distance between any of the branch tips and the target. Let  $d_{b,g}$  denote the Euclidean  
201 distance between a branch tip  $b$  and a target goal  $g$ :

$$d_{b,g} = \sqrt{(x_b - x_g)^2 + (y_b - y_g)^2}. \quad (3)$$

202 The reward obtained at time step  $t$  is inversely proportional to this distance of the branch tip closest  
203 to the goal among the current branch tips  $\mathcal{B}_t$ :

$$R_t = \max_{b \in \mathcal{B}_t} \frac{1}{d_{b,g}}. \quad (4)$$

204 Rewards are therefore in the range  $]0, 1[$ . This typical control problem [31] is considered the simplest  
205 of the tasks since the light movements directly impact the plant from the beginning of the episode.  
206 The difficulty of this task is proportional to the distance between the target and the original plant stem  
207 tip; as the distance increases, the harder the task becomes.

208 **Find Plant:** The task consists in finding the original plant stem with the light source, either the beam  
209 or circular light. An episode starts with the light source and the original plant stem positioned at  
210 different random locations in the environment. This becomes a hierarchical learning problem [43]  
211 where the agent has to first locate the original plant stem by displacing the light source in order to  
212 increase the reward signal. The reward is computed using Equation 4. The difficulty of this task  
213 is proportional to the distance between the target and the original plant stem tip (as in the Grow  
214 Plant task), and to the distance between the original plant stem and the initial light source position.  
215 Displacing the light source multiple times before finding the plant reduces an agent’s ability to attain  
216 the highest amount of rewards.

217 **Grow Multiple Plants:** The task consists in finding two or more plant stems with the light beam and  
218 growing them to similar maturities throughout the episode. In this task, the agent must grow  $n > 1$   
219 plants towards a target. The target is placed at random in the upper 25% of the environment, the light  
220 beam and initial plant stems are initialized randomly within the environment. As in the Find Plant  
221 task, the agent must displace the light beam to find all the existing plants in order to initiate a reward  
222 signal. The reward consists in the minimum distance reward (Eq. 4) over all plants:

$$R_t = \min_{1 \leq i \leq n} R_t^{(i)}, \quad (5)$$

223 where  $R_t^{(i)}$  is the grow plant reward (Eq. 4) associated with plant  $1 \leq i \leq n$ . As seen in Section 2.2,  
224 different fairness constraints can be adopted in a MDP setting and could be integrated within  
225 GrowSpace. We set our first fairness task with a fairness constraint that is similar to [41], which  
226 suggests that the agent should provide equal opportunity for each plant to grow towards the target at  
227 every step of the episode. The difficulty of this task is in sharing the amount of available photons  
228 adequately between plants when they start growing closely to each other. As different plants start  
229 approaching each other the photons may run out in the desired direction of the target and the plants  
230 may never reach the target (see Appendix F).

231 **Grow Plant to Shape:** This task consists in growing plants into specific shapes by using a circular  
232 light source that can navigate to precise locations in the environment. As default shapes for bench-  
233 marking purposes we consider the MNIST dataset [25], which is widely used in machine learning.

234 MNIST contains  $28 \times 28$  pixel binary images of handwritten digits (0-9). Given an MNIST image,  
 235 the goal is to grow a plant such that its shape matches the drawn digit as best as possible. For this task,  
 236 the environment is reshaped to a width and height of  $28 \times 28$  pixels (i.e. the size of a MNIST image).  
 237 The agent has to grow the plant into multiple directions to best cover the outline of the MNIST digit  
 238 without growing out of bounds. This is a multi-objective task, since the agent has to cover multiple  
 239 areas in any order, while also keeping the overall goal of limiting the amount of branching in mind.

240 The reward for this task is crafted using the Jaccard Index [15] similarity score. Let  $\mathcal{A}_t$  and  $\mathcal{G}$   
 241 respectively denote the set of pixels that the plant occupies at time steps  $t$  and the set of pixels that  
 242 belong to the target shape. The reward at time step  $t$  is given by the similarity score:

$$R_t = \frac{\mathcal{A}_t \cap \mathcal{G}}{\mathcal{A}_t \cup \mathcal{G}}. \quad (6)$$

## 243 6 Experiments and Results

244 We demonstrate in this section how GrowSpace presents a set of challenging tasks for RL algorithms  
 245 through a set of case studies.

246 **Baselines:** We evaluated several gradient-based policy methods in the general control setting (Grow  
 247 Plant task): Proximal Policy Optimization (PPO) [34], Advantage Actor Critic (A2C) [18], and  
 248 Rainbow DQN [21]. The plots of average reward per episode can be found in Appendix C.

249 For each of these agents, a state is represented by a tensor of  $(3, w, h)$  where  $w$  and  $h$  are the width and  
 250 height of the observed image in the task. These representations are fed through three convolutional  
 251 layers, a fully connected layer and a final layer using the ReLU activation function. The output of  
 252 the policy network is a probability of each action belonging to the action space. Results obtained  
 253 on the Grow Plant task indicated that PPO was the most promising strategy for this problem (see  
 254 Appendix C). We therefore conducted a hyperparameter search for PPO across all challenges and with  
 255 three different seeds. The details of the final chosen PPO parameters can be found in Appendix B.

256 A random agent and an oracle agent have also been implemented. No training was performed for the  
 257 random and oracle agents. The random agent selects actions uniformly at random from the action  
 258 space. For each challenge, a unique oracle agent is implemented. More information about the oracle  
 259 solutions can be found in Appendix D.

260 **Performance metrics:** To understand if learning is successful, we compare the mean episodic  
 261 reward as our performance metric. To better interpret the agent’s behaviour, we include other metrics  
 262 such as the selection of actions and the overall number of branches produced throughout an episode.  
 263 Results are always averaged over three runs (different random seeds).

### 264 6.1 Case Studies

265 We present a set of case studies to display a spectrum of behaviors the agent can display and where  
 266 challenges are shown to be difficult. Each case study consists in one easy and one hard configuration  
 267 (in terms of difficulty), to be described below. Figure 3 shows the cumulative rewards (averaged and  
 268 one standard deviation) for the three baselines in easy and hard configurations of each case study.

269 **Control:** We define an easy setting when the target is above the original plant stem (Grow Plant task)  
 270 and a hard setting when the stem and target are at opposite extremities of the environment (Find Plant  
 271 task).

272 Figure 3(a) shows that the easy control reward curve from PPO is closer to the oracle solution and that  
 273 learning can be improved. The hard control challenge is indeed more difficult as the highest reward  
 274 achieved by the oracle is much lower than in the easy setting. For both difficulties we observe that  
 275 the PPO reward curve is midpoint between the oracle and random action selection, suggesting that  
 276 PPO’s behaviour can be optimized further. The video renderings show the agent displacing the light  
 277 away from the plant to quickly, loosing steps with stagnating rewards instead of growing new closer  
 278 branches and does not succeed in guiding the plant to target. Equally, the episodic action selection as  
 279 seen in Figure 6(a) in Appendix D demonstrates that agent does not favor decreasing the light beam  
 280 resulting in a plant with multiple branches competing for the same photons in the direction of the  
 281 target and thus resulting in slower growth and lower rewards. The action distribution in the easy case

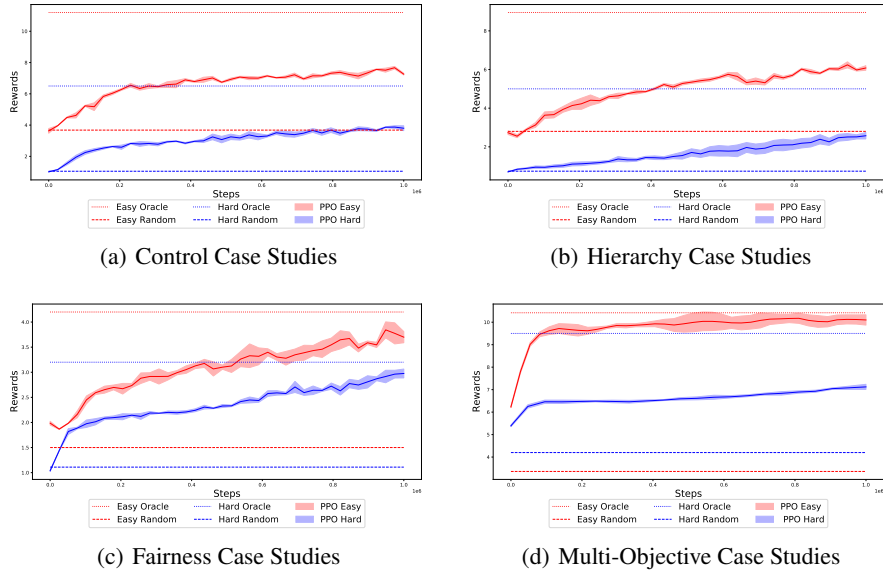


Figure 3: **PPO Baseline Performance.** For each environment variation, we are plotting the lower bound (random baseline) and upper bound (oracle), as well as the performance of a PPO agent.

282 is relatively similar amongst actions, however in the hard setting it is noticeable that the right and left  
 283 actions are used more (see Figure 6 in Appendix D). This can be explained as the plant does not  
 284 need to simply grow vertically but laterally to the opposite side of the environment.

285 **Hierarchical Learning:** We present two case studies similar to control with the exception that the  
 286 initialisation of the episode starts with the light placed at random and not above the plant as the agent  
 287 needs to first find the plant.

288 Figure 3(b) shows that the hard hierarchy reward curve from PPO yields a smaller amount of rewards.  
 289 Similar to control, the hard setting has a lower reward due to the distance between the initial plant  
 290 stem and the target. With the initial task of finding the plant first, the low reward in the hard setting  
 291 can be explained by the agent receiving the same reward while trying to find the plant and, the greater  
 292 distance between the target and the initial stem. The action of increasing the light is more utilized  
 293 within the harder setting to find the initial plant stem (see Figure 7 in Appendix D). With the video  
 294 renderings, we also see that the light width is not changed dramatically once the initial stem is found  
 295 and the agent learns to drag the light towards the target. The video renderings equally show that the  
 296 plant gets bushy and the smaller light width is not utilized efficiently to try and reduce competition  
 297 amongst branches for available photons (see Appendix F).

298 **Fairness:** We present two case studies. For the hard setting, the initialization of an episode starts  
 299 with the plants at the opposite extremities of the environment and the target is placed in the middle of  
 300 the environment. For the easy case study, the episode initialization starts with both plants at a distance  
 301 that is set to the default light width and the target is in the middle. This case study is particular  
 302 because the plants are very close and competing for available photons in order to reach the target. As  
 303 a fairness challenge, the objective is to produce plants of similar size.

304 Figure 3(c) shows that the easy fairness reward curve from PPO produces the highest amount of  
 305 rewards. Both PPO reward curves are between closer to the oracle bound than the random agents  
 306 for both cases. We investigate if the agent’s behaviour is fair by looking at the median amount of  
 307 branches per plant, where the numbers are relatively close (see Figure 8 in Appendix D). The easy  
 308 case produces a smaller amount of branches, this can be explained by the small pool of photons that  
 309 are available to both plants branching and thus limiting additional branching in the right direction.  
 310 In the middle case, the branching is higher and can be explained by the greater amount of photons  
 311 available to both plants while reaching the target as they do not need to compete for the majority of  
 312 the episode.



313 **Multi-objective Learning:** We first compare all Mnist digits to better understand the proposed  
 314 challenge. The digits are compared by their median reward values from PPO as seen in 4(a). The  
 315 order of the digits presented in the curriculum from easiest to hardest is 3, 6, 2, 1, 4, 5, 7, 8, 9, 0. The  
 316 curriculum consists of 2000 episodes with the two first easiest digits and for every increment of 2000  
 317 episodes a new new digit is added. The last 6000 episodes of training have all the MNIST digits.

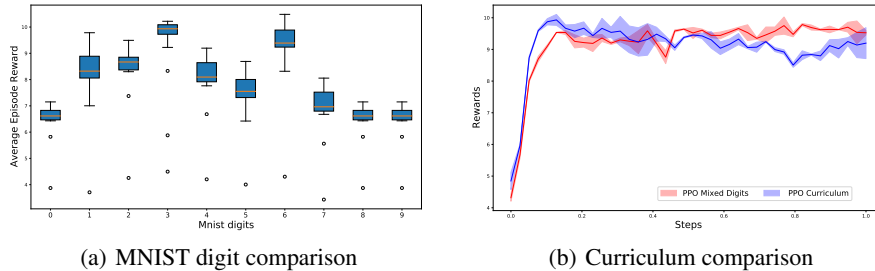


Figure 4: Comparison of digits to design the curriculum for training

318 In Figure 4(b) the learning seems at a higher rate in the first episodes of training for the curriculum  
 319 approach however, the reward curve decreases as the addition digits are added. The random selection  
 320 of digits seems to be a better fit over time. We can see that the agent is focused on density on plant vs  
 321 overall shape as the light width fluctuates a lot in the video renderings but it does not visit the full  
 322 trajectories of the MNIST digits.

## 323 7 Limitations

324 The limitations of GrowSpace are translating plant growth control into practice. The benchmark  
 325 provides a modest first step to modeling a plant response that occurs in the physical world however,  
 326 under the assumption of all other environmental conditions being constant (water supply, wind,  
 327 nutrient availability, etc). The transfer of an optimal policy in simulation may not succeed when  
 328 reproducing the experiment in the real-world however, high-level intuition can be extracted from the  
 329 optimal policies [9]. GrowSpace implements one plant model for a generalized perspective into plant  
 330 growth, specific models for different plant species could enable better precision and simulations that  
 331 are specific to researchers needs.

## 332 8 Conclusion and Future Work

333 GrowSpace is a procedurally generated environment with a set of challenges that can help the  
 334 advancement of reasearch in RL and agriculture. It encompasses real-world behaviour of plants in a  
 335 low representation setting and provides a series of challenges that address issues such as fairness. We  
 336 provide gradient based agent baselines for the control challenge to display the difficulty of the easiest  
 337 challenge within GrowSpace. Case studies with our base performing baseline, PPO, are layed out to  
 338 give insights on the type of behaviour an agent can adopt in easy and hard settings. We demonstrate  
 339 that indeed GrowSpace is a environment that is complex and proposes different settings which enable  
 340 different skills to be learnt such as sharing ressources in the fairness constraint, patience for displacing  
 341 a light to grow the plant and limiting available resources to a growing plant for precision.

342 Further add-ons can be attainable in order to recreate a full growing environment dynamic with water,  
 343 nutrients, wind and even specific plant models. We plant to support GrowSpace after its release as  
 344 well as introduce new environment parameters. In sum, plant growth is a grounded and intricate topic  
 345 and its full control is not fully understood. GrowSpace provides a first step in the direction of plant  
 346 growth control through a known plant response, phototropism.

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442 **Checklist**

- 443 1. For all authors...
- 444 (a) Do the main claims made in the abstract and introduction accurately reflect the paper's  
445 contributions and scope? [Yes]
- 446 (b) Did you describe the limitations of your work? [Yes]
- 447 (c) Did you discuss any potential negative societal impacts of your work? [No] Although  
448 this is based on a real plant growth simulator, if they derive a system based on this they  
449 may not get what they are thinking
- 450 (d) Have you read the ethics review guidelines and ensured that your paper conforms to  
451 them? [Yes]
- 452 2. If you are including theoretical results... We do not include theoretical results in this paper.
- 453 (a) Did you state the full set of assumptions of all theoretical results? [No]
- 454 (b) Did you include complete proofs of all theoretical results? [No]
- 455 3. If you ran experiments...
- 456 (a) Did you include the code, data, and instructions needed to reproduce the main experi-  
457 mental results (either in the supplemental material or as a URL)? [Yes]
- 458 (b) Did you specify all the training details (e.g., data splits, hyperparameters, how they  
459 were chosen)? [Yes] Please refer to Appendix B
- 460 (c) Did you report error bars (e.g., with respect to the random seed after running experi-  
461 ments multiple times)? [Yes]
- 462 (d) Did you include the total amount of compute and the type of resources used (e.g., type  
463 of GPUs, internal cluster, or cloud provider)? [Yes] Please refer to Appendix E
- 464 4. If you are using existing assets (e.g., code, data, models) or curating/releasing new assets...
- 465 (a) If your work uses existing assets, did you cite the creators? [Yes] We have cited  
466 the branching algorithm, Section 4.1, and all baselines used for building and testing  
467 GrowSpace Section 6.
- 468 (b) Did you mention the license of the assets? [Yes] We include the MNIST dataset that is  
469 properly cited in Section 5.
- 470 (c) Did you include any new assets either in the supplemental material or as a URL?  
471 [Yes] Access to GrowSpace is provided via URL and is shared in the supplemental  
472 information.
- 473 (d) Did you discuss whether and how consent was obtained from people whose data you're  
474 using/curating? [No] In this paper we do not utilize data from other people. We cite  
475 accordingly for algorithms used.
- 476 (e) Did you discuss whether the data you are using/curating contains personally identifiable  
477 information or offensive content? [No] Not applicable to GrowSpace.
- 478 5. If you used crowdsourcing or conducted research with human subjects...
- 479 (a) Did you include the full text of instructions given to participants and screenshots, if  
480 applicable? [No] Not applicable to GrowSpace.
- 481 (b) Did you describe any potential participant risks, with links to Institutional Review  
482 Board (IRB) approvals, if applicable? [No] Not applicable to GrowSpace.
- 483 (c) Did you include the estimated hourly wage paid to participants and the total amount  
484 spent on participant compensation? [No] Not applicable to GrowSpace.