## DermX: a Dermatological Diagnosis Explainability Dataset

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#### Abstract

In this paper, we introduce DermX: a novel dermatological diagnosis and expla-1 nations dataset annotated by eight board-certified dermatologists. To date, public 2 datasets for dermatological applications have been limited to diagnosis and lesion 3 segmentation, while validation of dermatological explainability has been limited to 4 visual inspection. As such, this work is a first release of a dataset providing gold 5 standard explanations for dermatological diagnosis to enable a quantitative evalua-6 tion of ConvNet explainability. DermX consists of 525 images sourced from two 7 public datasets, DermNetNZ and SD-260, spanning six of the most prevalent skin 8 conditions. Each image was enriched with diagnoses and diagnosis explanations by 9 three dermatologists. Supporting explanations were collected as 15 non-localisable 10 characteristics, 16 localisable characteristics, and 23 additional terms. Derma-11 tologists manually segmented localisable characteristic and described them with 12 additional terms. We showcase a possible use of our dataset by benchmarking 13 the explainability of two ConvNet architectures, ResNet-50 and EfficientNet-B4, 14 trained on an internal skin lesion dataset and tested on DermX. ConvNet visualisa-15 tions are obtained through gradient-weighted class-activation map (Grad-CAM), a 16 commonly used model visualisation technique. Our analysis reveals EfficientNet-17 B4 as the most explainable between the two. Thus, we prove that DermX can be 18 used to objectively benchmark the explainability power of dermatological diagnosis 19 models. The dataset is available at https://github.com/ralucaj/dermx. 20

## 21 **1 Introduction**

Convolutional neural models (ConvNets), the current state-of-the-art method for image analysis, are often criticised for being opaque in their decision mechanisms [1]. However, explainability is a crucial component in the adoption of machine learning systems in high-stakes applications, such as medical diagnosis. Dermatology in particular would highly benefit from automation, given the low diagnostic accuracy of general practitioners [2] and the scarcity of specialists [3, 4]. Deep learning methods to diagnose skin conditions exist [5–8], but their adoption by the medical system has been slow, partially due to their lack of explainability [9, 1, 10].

Different research groups proposed various explainability methods [11–13], but their use has been limited to visual inspection of the outputs to evaluate model performance. Such an approach is subjective and difficult to scale. Lesion segmentation masks offered by high quality dermatology

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Table 1: Distribution of images over the public datasets. Initially, 100 images were randomly selected for each class, apart from viral warts and vitiligo where only 78 and 88 images were available. Some images were discarded during labelling, giving rise to the count shown below.

	Acne	Actinic keratosis	Psoriasis	Seborrhoeic dermatitis	Viral warts	Vitiligo
DermNetNZ SD-260	52 47	48 43	46 51	12 66	47 27	75 11

datasets [14] can partially serve as a basis for objective measurement, although they were not collected
 to explain the diagnosis. However, this shortcoming becomes critical in diseases such as actinic
 keratosis, where the surrounding area is just as important for the diagnosis as the lesion itself [8].

se keratosis, where the surrounding area is just as important for the diagnosis as the resion risen [6].

We introduce DermX, a novel dermatological diagnosis explainability dataset that addresses the limitations of existing datasets by collecting dermatologist explanations for six skin diseases: acne, actinic keratosis, psoriasis, seborrhoeic dermatitis, viral warts, and vitiligo. Each image is diagnosed

<sup>38</sup> by three dermatologists and tagged with supporting characteristics [15] and their localisation.

To demonstrate the intended use of DermX, we benchmark two models trained to diagnose dermatological conditions. We employ gradient-weighted class-activation maps (Grad-CAM) [13], a deep learning visualisation technique commonly used to generate explanations, on ResNet-50 [16] and EfficientNet-B4 [17]. Then, we test how their explanations compare to the dermatologist maps.

<sup>43</sup> The contributions of this paper are twofold:

 We release a novel dermatological diagnosis explainability dataset with annotations from multiple expert raters;

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 We benchmark the explainability of two popular model architectures against a gold standard
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## 48 **2** Dataset

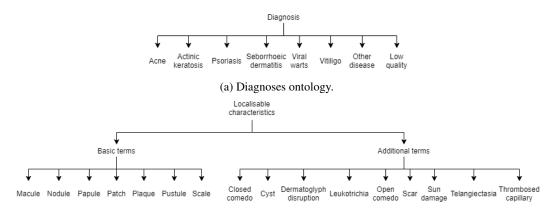
<sup>49</sup> DermX consists of 525 images of acne, actinic keratosis, psoriasis, seborrhoeic dermatitis, viral <sup>50</sup> warts, or vitiligo patients. Eight board-certified dermatologists, with between 4 and 12 years of <sup>51</sup> clinical experience, labelled the images with diagnoses and explanations supporting their diagnoses, <sup>52</sup> in the form of both global tags and characteristic segmentations. The images were randomly selected <sup>53</sup> from DermNetNZ [18] and SD-260 [19], and are available under the Creative Commons licence. <sup>54</sup> Permission to use the data in this project was granted in writing by the owners of both datasets. The <sup>55</sup> distribution of diseases is described in Table 1.

Our work involved several steps. First, we performed several experiments to define the target diseases and the nature of the explanations. Second, we defined the diagnosis and explanation ontology, as illustrated in Figure 1. Then, the labellers were allowed a short period of time to get accustomed to the annotation protocol and the labelling tool by evaluating images from an internal dataset. Finally, DermX images were selected and sent to the dermatologists for labelling.

#### 61 2.1 Preliminary Investigation

Nine diseases were initially investigated: psoriasis, rosacea, vitiligo, seborrhoeic dermatitis, pityriasis rosea, viral warts, actinic keratosis, acne, and impetigo. These diseases were chosen based on prevalence [20] and the expectation that they could be diagnosed only from images [21]. Dermatologists were asked to diagnose and explain their diagnosis in free-text for around 100 images. This step led to both the exclusion of rosacea, impetigo, and pityriasis rosea from future experiments due to the difficulty in diagnosing them in the absence of an anamnesis, and to the introduction of a structured

ontology for the diagnosis explanations to avoid manual processing of typos and synonyms.



(b) Localisable characteristics ontology.

Figure 1: Ontology of the two main types of labels. The list of diagnoses (a) includes the six diseases and two discard options. Discard options could be chosen when images displayed another disease or when images were of low quality. Localisable characteristics (b) were tailored to the six diseases using medical resources [15, 21], and with the help of two senior dermatologists.

#### 69 2.2 Diagnosis and Explanation Ontology

Preliminary investigations highlighted the importance of having a consistent explanation ontology.
 After analysing free-text explanations, they were formalised as an extended list of skin lesion charac-

After analysing free-text explanations, they were formalised as an extended list of skin lesion characteristics [15]. The characteristics set was selected to sufficiently explain the six target diseases [21].

73 With the help of two senior dermatologists, several other relevant characteristics were added.

The resulting set of characteristics was split into non-localisable characteristics (e.g. age or sex), localisable characteristics (e.g. plaque or open comedo), and additional descriptive terms (e.g. red or well-circumscribed), according to the International League of Dermatological Societies (ILDS) classification [15]. To match state-of-the-art ConvNet explainability methods, we focus on diagnoses and localisable characteristics. Figure 1 illustrates the final DermX ontology, while more information about the other two types of labels is available in Appendix Figure 1.

## 80 2.3 Annotation Protocol

B1 Dermatologists were first asked to diagnose the image, and then tag it with characteristics that explain their diagnosis. If the dermatologists were unable to evaluate the image due to poor quality, or if the image depicted a different disease than the target conditions, they had the option to discard it.

Dermatologists could then select diagnosis-relevant non-localisable characteristics as global image tags. Afterwards, they could select and localise localisable characteristics. Dermatologists were instructed to highlight all relevant areas for each characteristic, and were only allowed to include irrelevant areas if separating them from the characteristic was too time consuming or difficult. In other words, they were instructed to favour sensitivity over specificity. Finally, basic terms (as defined in Figure 1b) could be enriched with additional descriptive terms when required for the diagnosis explanation. Once all tags and characteristics were added, the image could be marked as complete.

After the ontology and annotation protocol were defined, all dermatologists underwent two rounds of
 on-boarding in Darwin, a browser-based labelling tool [22] (Appendix Figure 2).

## 93 2.4 Dataset Analysis

94 Once all evaluations were finished, we analysed the data focusing on dermatologist performance 95 with regards to the gold standard diagnosis and their inter-rater agreement on both diagnoses and

<sup>96</sup> supporting characteristics. Figure 2 illustrates an image and its three annotations.

A total of 566 images were evaluated by eight dermatologists. To better understand the data distribu-

tion, we tagged each image with a skin tone approximation: light, medium, and dark, equivalent to

99 Fitzpatrick skin tones [23] I-II, III-IV, and V-VI, respectively. As any post-hoc meta-data creation, this



Figure 2: Example of a DermX image and its labels from three dermatologists. The blue overlay illustrates the plaque segmentations, while the orange overlay shows the scale segmentations. Pink shows the overlap between the two characteristics. While all dermatologists agree in some areas, there are clear disagreements as to which areas contain a certain characteristic.

labelling task is subject to several sources of error, including lighting conditions, missing information 100 about the patient, and high inter-rater variance for the Fitzpatrick scale [24]. The distribution is 101 skewed towards lighter skin tones, with 368 images, i.e. 65% of the dataset, depicting them. Medium 102 skin tones were illustrated in 182 images, i.e. 32% of data, while darker skin tones only appeared in 103 104 16 images, i.e. 3% of the time. A similar analysis, with similar drawbacks, has been performed for 105 the age distribution of patients. Young patients, described as approximately below 30, are depicted in 108 images, i.e. 19% of the dataset. A similar amount of images, 147 or 26% of the data illustrates 106 elderly people, defined as people over 60. The remaining 311 images, i.e. 55% of DermX, showcase 107 adult patients. 108

From 1698 unique evaluations on 566 images, 411 evaluations were either tagged as other disease or as too low quality to evaluate. These 411 evaluations were removed from the dataset, leading to some images having fewer than three evaluations. Two evaluations tagged an image with multiple diagnoses, and were disregarded from the analysis. Images where all evaluations were discarded were also removed from the dataset. In the rest of the paper, we will only focus on the remaining 1285 evaluations associated with 525 images.

The diagnostic accuracy of dermatologists with regards to the gold standard varies between 0.92 to 0.99. Seborrhoeic dermatitis is the most difficult disease to diagnose, while vitiligo is the easiest. Pair-wise F1 scores for the inter-rater agreement lies between 0.86 and 1.0 (Table 2).

Inter-rater agreement on characteristics (Table 3a) varies significantly more, partially due to the lower number of selections per class. Most basic terms display the highest levels of agreement, with F1 scores between 0.65 and 0.88. The two low performing basic terms, macule and nodule, have low selection rates. Several additional terms as defined in Figure 1b, such as open and closed comedones, display levels of agreement similar to the basic terms.

Outlining characteristics is a more difficult task, as also confirmed by the low inter-rater F1 scores (also known as Dice score when computed for the positive class, see Table 3b). The lower F1 values can also be explained by the annotation protocol specification to prioritise sensitivity over specificity. In terms of sensitivity, we notice the same trend as in the binary agreement: dermatologists tend to agree more on the basic terms. Agreement differences stem from the difficulty in outlining some of these characteristics. For example, comedones cover smaller areas, and dermatologists differed in their approach to outlining them.

Overall, the contrast between high agreement on diagnoses and low agreement on supporting characteristics illustrates how different experts perceive explanations in different ways. Although they generally agree on the diagnosis, dermatologists focus on different characteristics to explain their decision. To properly evaluate a model's explanations, we must therefore consider the opinions of multiple experts.

## **3 Explainability Benchmark for Two Architectures**

Using the DermX dataset, we evaluate the explainability of ConvNets trained for skin lesion diagnosis
 by applying Grad-CAM on two models, ResNet-50 and EfficientNet-B4, and comparing the results to

Table 2: Diagnostic performance (a) and inter-rater agreement (b) on DermX. Dermatologists have high agreement with both the gold standard label and with each other. Seborrhoeic dermatitis stands out as a difficult disease to diagnose, while vitiligo, viral warts and acne appear to be easier.

	F1	Sensitivity	Specificity
Acne	$0.98\pm0.01$	$0.99\pm0.01$	$0.99\pm0.01$
Actinic keratosis	$0.94\pm0.05$	$0.92\pm0.08$	$0.99\pm0.01$
Psoriasis	$0.92\pm0.03$	$0.98\pm0.02$	$0.96\pm0.02$
Seborrhoeic dermatitis	$0.87 \pm 0.07$	$0.81 \pm 0.11$	$0.99 \pm 0.01$
Viral warts	$0.98\pm0.02$	$0.96 \pm 0.03$	$1.00\pm0.00$
Vitiligo	$0.99\pm0.01$	$0.98\pm0.02$	$1.00\pm0.00$

(a) Dermatologist diagnosis performance with regards to the gold standard (mean±std).

(b)	Dermatologist	t inter-rater	agreement	on c	liagnosis	$(mean \pm std).$
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	F1	Sensitivity	Specificity
Acne	$0.95\pm0.19$	$0.95\pm0.19$	$1.00\pm0.01$
Actinic keratosis	$0.90\pm0.19$	$0.91\pm0.20$	$0.99\pm0.02$
Psoriasis	$0.94\pm0.07$	$0.95\pm0.09$	$0.99\pm0.02$
Seborrhoeic dermatitis	$0.90\pm0.10$	$0.92\pm0.13$	$0.99\pm0.02$
Viral warts	$0.99\pm0.03$	$0.99\pm0.04$	$1.00\pm0.01$
Vitiligo	$0.98\pm0.03$	$0.98\pm0.05$	$1.00\pm0.01$

the DermX explanation maps. We selected Grad-CAM for generating the models' attention maps due

to its high prevalence in the medical image analysis literature [8, 25]. The Keras [26] implementation

of these experiments is available at https://github.com/leoilab/dermx-experiments.

#### 141 3.1 Experimental Setup

Both architectures were pre-trained on ImageNet and fine-tuned on 3214 images of the six target skin conditions from an internal clinical dataset. Images from this dataset and their associated diagnoses were obtained during face-to-face consultations with a dermatologist. All patients included in this dataset gave their consent for both research and commercial use of their images. Each model was trained five times, and the results presented are the mean over all trained models.

A hyper-parameter search was run on an 80/20 training/validation split for the internal dataset. We
investigated data augmentation parameters (rotation, shear, zoom, brightness), learning rates, and
the number of layers to be fine-tuned. Once the optimal hyper-parameter setup was found (Appendix Table 2), the two architectures were trained on the entire internal dataset defined in Table 4.
The validation F1 score on the internal dataset was 0.73 for ResNet-50 and 0.79 for EfficientNet-B4.
Finally, the models were tested on the 525 DermX images. All experiments were performed on AWS
EU (Ireland) instances, summing up to two GPU weeks (NVIDIA V100).

#### 154 3.2 Results

The expected impact of the data distribution shift was made obvious by the model diagnostic performance. Diagnostic accuracy with respect to the gold standard is  $0.34 \pm 0.03$ , and  $0.42 \pm 0.09$ for ResNet-50 and EfficientNet-B4, respectively (Table 5). Both methods represent a significant improvement over the chance accuracy of 0.17. Vitiligo is predicted with both the lowest sensitivity as well as F1 score for both models, while the highest-ranked diagnosis class for both models was acne. As seen in Table 5, EfficientNet-B4 outperformed the ResNet-50 on four out of six diseases, with a difference of 13.5 points in average for F1 score.

We evaluate the explainability of the two ConvNets by comparing their attention maps to the characteristic segmentations. The union of all characteristics segmented by a dermatologist for an image was also compared to the attention map, as a way to check whether the models take into account the entire area selected by dermatologists as important to their decision. To quantify the Table 3: An inter-rater analysis for supporting characteristics (a) shows significant variation in their selection and agreement rates. Characteristics commonly considered important for diagnosing one of the diseases (e.g. comedones, plaques) have higher agreement rates, while uncommon characteristics (e.g. leukotrichia, telangiectasia) display low selection and agreement rates. Overlap measures (b) show similar differences between raters. Due to the focus on outlining sensitivity at the expense of specificity, most characteristics have a low F1 score. Sensitivity values are high in characteristics that occupy larger areas and that often display well-circumscribed borders (e.g. plaque, scale), but tend to be lower in smaller characteristics (e.g. comedones, pustules).

	F1	Sensitivity	Specificity	Evaluations	Images
Basic terms					
Macule	$0.13\pm0.24$	$0.17\pm0.31$	$0.93\pm0.10$	110	93
Nodule	$0.07\pm0.22$	$0.08\pm0.26$	$0.97\pm0.05$	47	44
Papule	$0.65\pm0.15$	$0.69\pm0.20$	$0.86\pm0.10$	385	213
Patch	$0.72\pm0.17$	$0.77\pm0.22$	$0.91\pm0.10$	335	185
Plaque	$0.78\pm0.11$	$0.80\pm0.16$	$0.84\pm0.11$	592	306
Pustule	$0.69 \pm 0.29$	$0.72\pm0.32$	$0.97\pm0.03$	161	80
Scale	$0.88\pm0.09$	$0.89\pm0.12$	$0.92\pm0.09$	550	257
Additional terms					
Closed comedo	$0.52\pm0.27$	$0.61\pm0.35$	$0.96\pm0.05$	108	63
Cyst	$0.06\pm0.22$	$0.06\pm0.23$	$0.99\pm0.02$	16	14
Leukotrichia	$0.18\pm0.38$	$0.18\pm0.38$	$1.00\pm0.01$	12	8
Open comedo	$0.65\pm0.30$	$0.71\pm0.34$	$0.97\pm0.05$	132	73
Scar	$0.45\pm0.29$	$0.54 \pm 0.38$	$0.95\pm0.06$	112	74
Sun damage	$0.46\pm0.39$	$0.49 \pm 0.43$	$0.97\pm0.04$	101	63
Telangiectasia	$0.08\pm0.25$	$0.09\pm0.27$	$0.99\pm0.02$	13	10
Thrombosed capillaries	$0.31\pm0.40$	$0.35\pm0.45$	$0.97\pm0.05$	67	38

(a) Dermatologist inter-rater agreement for the presence or absence of characteristics (mean $\pm$ std).

(b) Dermatologist inter-rater localisation agreement for localisable characteristics (mean±std).

	F1	Sensitivity	Specificity
Basic terms			
Macule	$0.04\pm0.12$	$0.08\pm0.20$	$0.95\pm0.13$
Nodule	$0.03\pm0.15$	$0.06\pm0.22$	$0.98\pm0.04$
Papule	$0.20\pm0.28$	$0.33\pm0.36$	$0.96\pm0.10$
Patch	$0.45\pm0.40$	$0.59 \pm 0.39$	$0.93\pm0.12$
Plaque	$0.48\pm0.39$	$0.62\pm0.37$	$0.93\pm0.12$
Pustule	$0.24\pm0.23$	$0.38\pm0.33$	$0.99 \pm 0.03$
Scale	$0.48\pm0.32$	$0.60\pm0.33$	$0.94\pm0.10$
Additional terms			
Closed comedo	$0.08\pm0.17$	$0.24\pm0.36$	$0.93 \pm 0.15$
Cyst	$0.04\pm0.13$	$0.08 \pm 0.18$	$1.00\pm0.01$
Dermatoglyph-disruption	$0.33\pm0.41$	$0.48\pm0.42$	$0.98\pm0.04$
Leukotrichia	$0.31\pm0.33$	$0.45\pm0.38$	$0.96\pm0.06$
Open comedo	$0.14 \pm 0.19$	$0.29 \pm 0.33$	$0.93 \pm 0.15$
Scar	$0.12\pm0.23$	$0.26\pm0.36$	$0.91\pm0.14$
Sun damage	$0.35\pm0.43$	$0.51\pm0.45$	$0.75\pm0.28$
Telangiectasia	$0.06\pm0.16$	$0.13\pm0.25$	$0.97\pm0.05$
Thrombosed capillaries	$0.21\pm0.30$	$0.36\pm0.38$	$0.99\pm0.02$

similarity between the attention maps and the expert-generated maps, we compute the F1 score,

sensitivity and specificity following their fuzzy implementation defined in Crum et al. [27] (Appendix
 Table 3).

Table 4: Data used for training and testing the methods, split by disease. An internal clinical dataset was employed for training the models, while DermX was used for testing.

	Acne	Actinic keratosis	Psoriasis	Seborrhoeic dermatitis	Viral warts	Vitiligo
Training	1177	165	975	113	606	178
DermX	99	91	97	78	74	86

Table 5: Model diagnostic performance with regards to the gold standard, aggregated over five models. ResNet-50 (a) is out-performed on four out of six diseases by EfficientNet-B4 (b). The training data impact can be seen in the high scores for acne and low scores for vitiligo for both models.

(a) ResNet-50 diagnostic performance with regards to the gold standard (mean±std).

	F1	Sensitivity	Specificity
Acne	$0.53\pm0.11$	$0.43\pm0.14$	$0.96\pm0.02$
Actinic keratosis	$0.32\pm0.11$	$0.23\pm0.12$	$0.97\pm0.02$
Psoriasis	$0.44\pm0.04$	$0.78\pm0.20$	$0.60\pm0.18$
Seborrhoeic dermatitis	$0.39\pm0.19$	$0.41\pm0.28$	$0.92\pm0.08$
Viral warts	$0.15\pm0.06$	$0.14\pm0.07$	$0.86\pm0.04$
Vitiligo	$0.04\pm0.02$	$0.03\pm0.02$	$0.90\pm0.05$

(b) EfficientNet-B4 diagnostic performance with regards to the gold standard (mean $\pm$ std).

	F1	Sensitivity	Specificity
Acne	$0.65\pm0.32$	$0.62\pm0.33$	$0.97\pm0.02$
Actinic keratosis	$0.55\pm0.11$	$0.45\pm0.15$	$0.96\pm0.02$
Psoriasis	$0.57\pm0.12$	$0.90\pm0.09$	$0.67\pm0.23$
Seborrheic dermatitis	$0.45\pm0.22$	$0.41\pm0.22$	$0.95\pm0.03$
Viral warts	$0.07\pm0.04$	$0.06\pm0.04$	$0.86\pm0.04$
Vitiligo	$0.00\pm0.01$	$0.00\pm0.01$	$0.90\pm0.03$

Similar to the diagnostic performance, the explainability of EfficientNet-B4 is higher than that of 169 ResNet-50 in terms of both F1 score and sensitivity. However, ResNet-50 outperforms EfficientNet-170 B4 in terms of specificity on most characteristics and on the union of all characteristics (Table 6). 171 These observations are also apparent upon visual inspection of the dermatologists segmentations 172 created by dermatologists and the Grad-CAM visualisations in Figure 3. Much like dermatologists, 173 both models have higher sensitivity scores for basic terms, albeit at a smaller difference. Within 174 additional terms, cyst, scar, and sun damage all reach sensitivity levels similar to basic terms. This 175 may be due to lower selection rates, as is the case for cyst, or because of the larger areas covered by 176 scar and sun damage in images. 177

## **178 4 Discussion and Conclusion**

Our experiments showcase the intended use of DermX: as an explainability benchmark for dermato-179 logical diagnosis ConvNets. By comparing the model explanations to those of the experts not only 180 can we identify the most promising research directions, but also learn about strategies to improve 181 the existing models. For example, if models under consideration systematically miss certain charac-182 teristics (i.e. express near-zero sensitivity by never selecting the same areas as the dermatologists), 183 one solution is to ensure that training data represents the characteristic well enough by including 184 both positive and negative samples. Another possible outcome is that models consistently highlight 185 different areas than humans (i.e. express low specificity by including areas deemed irrelevant by the 186 dermatologists). In this case, ensuring the models are not learning irrelevant characteristics might 187 be done by appropriate training data augmentation. Alternatively, if domain experts confirm that 188 the areas highlighted are relevant for the diagnosis, this knowledge might be used to better educate 189 humans, similar to the actinic keratosis seminar held by Tschandl et al. [8]. 190

Table 6: Explainability of ResNet-50 (a) and EfficientNet-B4 (b) as similarity measures between dermatologists-segmented supporting characteristics and model activation maps. For each image, the union of all dermatologist characteristic maps was also compared against the activation maps. All activation maps were computed with regards to the gold standard diagnosis using Grad-CAM.

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	F1	Sensitivity	Specificity
Basic terms			
Macule	$0.07\pm0.02$	$0.15\pm0.02$	$0.88\pm0.02$
Nodule	$0.05\pm0.01$	$0.19\pm0.04$	$0.88\pm0.02$
Papule	$0.06\pm0.01$	$0.17\pm0.02$	$0.88\pm0.02$
Patch	$0.13\pm0.01$	$0.12\pm0.01$	$0.89 \pm 0.03$
Plaque	$0.18\pm0.05$	$0.19\pm0.04$	$0.89\pm0.01$
Pustule	$0.02\pm0.01$	$0.21\pm0.08$	$0.87\pm0.02$
Scale	$0.16\pm0.05$	$0.21\pm0.05$	$0.88\pm0.01$
Additional terms			
Closed comedo	$0.07\pm0.01$	$0.15\pm0.03$	$0.87 \pm 0.03$
Cyst	$0.03\pm0.01$	$0.21\pm0.05$	$0.86 \pm 0.03$
Dermatoglyph disruption	$0.06\pm0.06$	$0.09\pm0.12$	$0.90 \pm 0.03$
Leukotrichia	$0.11\pm0.02$	$0.14\pm0.03$	$0.90\pm0.01$
Open comedo	$0.08\pm0.01$	$0.15\pm0.03$	$0.87 \pm 0.03$
Scar	$0.13\pm0.04$	$0.16\pm0.04$	$0.88\pm0.02$
Sun damage	$0.22\pm0.04$	$0.14\pm0.03$	$0.92\pm0.06$
Telangiectasia	$0.10\pm0.02$	$0.16\pm0.06$	$0.90\pm0.04$
Thrombosed capillaries	$0.03\pm0.03$	$0.10\pm0.16$	$0.91\pm0.03$
Union	$0.17\pm0.01$	$0.16\pm0.01$	$0.90\pm0.00$

(a) Explainability of ResNet-50 model (mean±std).

			,
	F1	Sensitivity	Specificity
Basic terms			
Macule	$0.09\pm0.03$	$0.27\pm0.07$	$0.80\pm0.03$
Nodule	$0.03\pm0.01$	$0.20\pm0.08$	$0.82\pm0.03$
Papule	$0.07\pm0.01$	$0.23\pm0.07$	$0.80\pm0.03$
Patch	$0.21\pm0.04$	$0.28\pm0.06$	$0.80\pm0.03$
Plaque	$0.29\pm0.01$	$0.38\pm0.03$	$0.81\pm0.04$
Pustule	$0.02\pm0.01$	$0.28\pm0.14$	$0.82\pm0.05$
Scale	$0.26\pm0.01$	$0.44\pm0.03$	$0.80\pm0.04$
Additional terms			
Closed comedo	$0.08\pm0.03$	$0.21\pm0.10$	$0.83\pm0.04$
Cyst	$0.02\pm0.01$	$0.20\pm0.14$	$0.85\pm0.03$
Dermatoglyph disruption	$0.05\pm0.02$	$0.09\pm0.05$	$0.79\pm0.06$
Leukotrichia	$0.07\pm0.03$	$0.14\pm0.10$	$0.82\pm0.04$
Open comedo	$0.08\pm0.04$	$0.19\pm0.10$	$0.83\pm0.04$
Scar	$0.16\pm0.09$	$0.25\pm0.13$	$0.82\pm0.03$
Sun damage	$0.42\pm0.05$	$0.31\pm0.05$	$0.90\pm0.02$
Telangiectasia	$0.14\pm0.01$	$0.35\pm0.04$	$0.79\pm0.04$
Thrombosed capillaries	$0.01\pm0.01$	$0.07\pm0.02$	$0.80\pm0.05$
Union	$0.25\pm0.02$	$0.29\pm0.04$	$0.82\pm0.03$

(b) Explainability of EfficientNet-B4 model (mean±std).

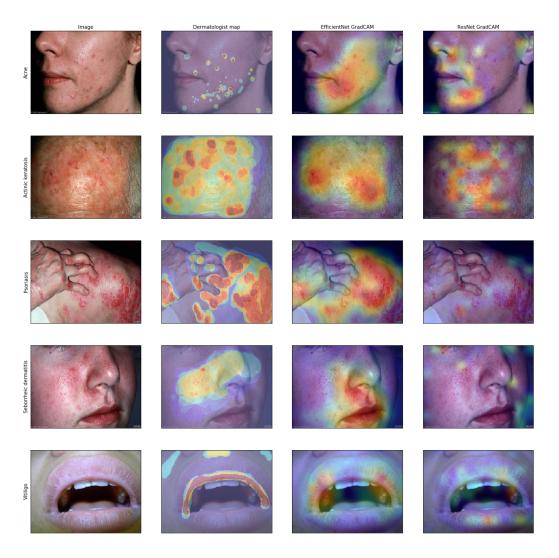


Figure 3: Examples of explanation for images where both models correctly predicted the gold standard diagnosis. From left to right: the original image, the union of all characteristics selected by all dermatologists labelling the image, an EfficientNet-B4 Grad-CAM visualisation, and a ResNet-50 Grad-CAM visualisation. In all cases, the EfficientNet-B4 visualisation is closer to the dermatologist map than the ResNet-50 visualisation. ResNet-50 appears to be more specific, focusing on smaller, more noticeable lesions. More examples can be found in Appendix Figures 4, 5, and 6.

Our benchmarking results demonstrate that there is still a considerable gap among explanations 191 provided by the models trained for this task and the expert dermatologists. For example, the highest 192 sensitivity achieved for a characteristic by a model on the benchmark is  $0.44 \pm 0.03$  for scale by 193 EfficientNet-B4, which is still significantly below the expert agreement of  $0.60 \pm 0.33$ . Building 194 models that can reach expert level, both in terms of the diagnostic performance and the diagnostic 195 reasoning, would require incorporating such expert annotations in the training process. One solution 196 could be using characteristics maps to guide the attention of a model towards the clinically relevant 197 areas in an image. However, collection of such data is a challenging and laborious task, requiring 198 multiple highly trained dermatologists to meticulously segment and tag the data with a rich set of 199 characteristics. From a more practical point of view, we can still draw conclusions on how explainable 200 each model is, even with the low performance observed for both models. DermX can also serve as 201 an external validation dataset for diagnostic tools in general – an important validation aspect of all 202 healthcare-oriented diagnostic tools [28]. 203

The first release of DermX presented in this work has several limitations. First, only a small number 204 of conditions was selected, which, although highly prevalent [20], are not representative of the whole 205 variety of dermatological diseases. One risk associated with this selection is that future explainable 206 models may focus on this smaller set, at the expense of other, more dangerous conditions. Second, 207 expert annotations were limited to up to three dermatological evaluations per image. Diagnostic 208 reasoning is not a simple task, and is subject to inter-rater variability as seen in our analysis in 209 Section 2.4. Increasing the number of the dermatologists per image will help make the measurements 210 more robust. Moreover, the distribution of skin tones in the dataset is skewed towards lighter skin. 211 Although the annotation process was subject to various sources of error, e.g. illumination issues, 212 missing patient information, and labeller experience, the data further highlights the well known 213 low representation of people of colour in publicly available datasets [29]. Finally, in terms of the 214 characteristics chosen, the labelling dermatologists could not select the absence of a characteristic as 215 an important factor in their diagnosis decision. 216

In the future, we aim to continuously expand the dataset with more data points to enable training of diagnostic models along with learning the supportive characteristics. The dataset will be enriched with more conditions and more dermatologists to make the next DermX releases more comprehensive and objective. We will also expand our labelling protocol by including characteristic negation, and thus expanding the explainability from only supporting characteristics to counterfactual reasoning. In terms of ethical and representation concerns, we aim to select more images illustrating darker skin tones. This action is subject to the availability of such images in published skin lesion datasets.

To conclude, we introduce DermX, the first dermatological dataset created for diagnosis explainability. We expect it to serve as a benchmark to meaningfully improve the performance of the ConvNets built

for dermatological diagnosis, and as a possible basis for explainable diagnosis models.

#### 227 **References**

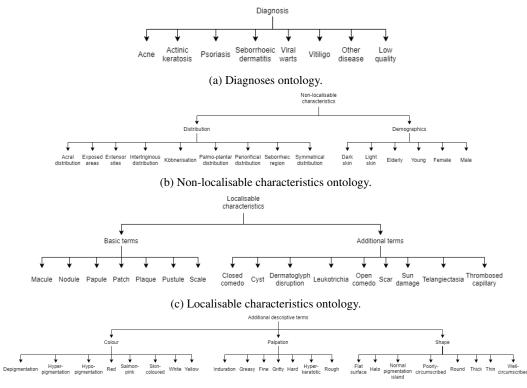
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- <sup>310</sup> pages 320–329. Springer, 2020.

# 311 Checklist

312	1. For all authors
313	(a) Do the main claims made in the abstract and introduction accurately reflect the paper's
314	contributions and scope? [Yes]
315	(b) Did you describe the limitations of your work? [Yes] See Section 4.
316	(c) Did you discuss any potential negative societal impacts of your work? [Yes] See Section 4.
317	
318 319	<ul><li>(d) Have you read the ethics review guidelines and ensured that your paper conforms to them? [Yes]</li></ul>
320	2. If you are including theoretical results
321	(a) Did you state the full set of assumptions of all theoretical results? [N/A]
322	(b) Did you include complete proofs of all theoretical results? [N/A]
323	3. If you ran experiments
324 325 326	(a) Did you include the code, data, and instructions needed to reproduce the main experi- mental results (either in the supplemental material or as a URL)? [Yes] See Section 3 and Appendix Table 2.
327 328	(b) Did you specify all the training details (e.g., data splits, hyperparameters, how they were chosen)? [Yes] See Section 3.1.
329	(c) Did you report error bars (e.g., with respect to the random seed after running experi-
330	ments multiple times)? [Yes] All results described in Section 3.2 are computed over
331	five training runs, and are reported as mean $\pm$ standard deviation.
332	(d) Did you include the total amount of compute and the type of resources used (e.g., type
333	of GPUs, internal cluster, or cloud provider)? [Yes] See Section 3.1.
334	4. If you are using existing assets (e.g., code, data, models) or curating/releasing new assets
335	(a) If your work uses existing assets, did you cite the creators? [Yes] See Section 2.
336	(b) Did you mention the license of the assets? [Yes] See Section 2.
337 338	(c) Did you include any new assets either in the supplemental material or as a URL? [Yes] See Abstract 2.
339	(d) Did you discuss whether and how consent was obtained from people whose data you're
340	using/curating? [Yes] See Section 2.
341	(e) Did you discuss whether the data you are using/curating contains personally identifiable
342	information or offensive content? [Yes] In Section 2, we discuss the presence of
343	personally identifiable data in the dataset images. As all diseases included in the dataset
344	often manifest on the face, it was intractable to exclude such images from the set.
345	5. If you used crowdsourcing or conducted research with human subjects
346	(a) Did you include the full text of instructions given to participants and screenshots, if
347	applicable? [Yes] See Section 2.3.
348	(b) Did you describe any potential participant risks, with links to Institutional Review
349	Board (IRB) approvals, if applicable? [No] The participant data we use has already
350	been made public by DermNetNZ and SD-260. Annotation data does not expose any information about the labellers involved in the project, and thus an IRB approval was
351 352	not deemed applicable.
353	(c) Did you include the estimated hourly wage paid to participants and the total amount
354	spent on participant compensation? [No] All eight dermatologists that helped label this
355	dataset are hired as consultants by Omhu. Their salaries are confidential information.

## 356 A Appendix



(d) Additional descriptive terms for localisable characteristics.

Figure 1: Ontology of the four types of labels. The list of diagnoses (1a) includes the six diseases and two discard options for images that either displayed another disease or were of low quality. Non-localisable characteristics (1b) were added to the ILDS classification as global image tags after being flagged as relevant by our senior dermatologists. Localisable characteristics (1c) and additional descriptive terms (1d) were tailored for the six diseases from medical resources [15, 21], and with the help of two senior dermatologists.

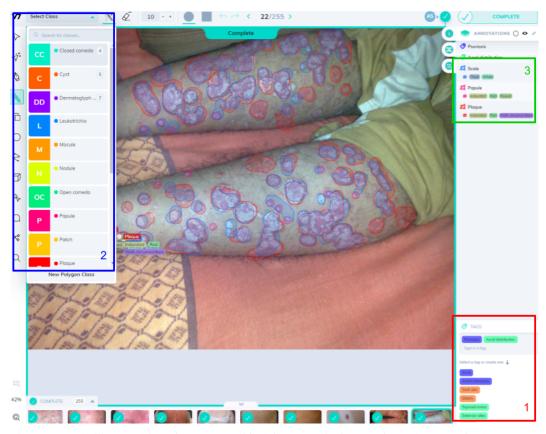
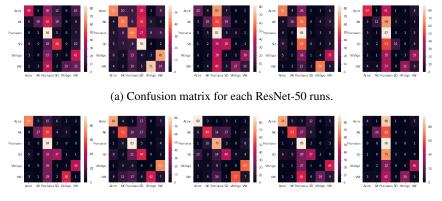


Figure 2: Labelling tool interface, exemplified for a psoriasis case from the SD-260 dataset. In the global tag search box (area 1, bottom right), dermatologists can select the disease, relevant demographics information, and lesion distribution. The brush selection menu (area 2, top left) allows them to select and mark localisable characteristics on the image. The full annotation menu (area 3, top right) is used to select of additional descriptive terms for the localised basic terms.



(b) Confusion matrix for each EfficientNet-B4 runs.

Figure 3: Confusion matrix for all models trained. Both ResNet-50 (a) and EfficentNet-B4 (b) show a bias towards predicting psoriasis, and predict vitiligo in very few cases.

	F1	Sensitivity	Specificity	Evals	Images
Non-localisable characteristics					
Demographics					
Elderly	$0.50\pm0.29$	$0.58 \pm 0.37$	$0.93 \pm 0.09$	186	117
Young	$0.29 \pm 0.29$	$0.36 \pm 0.39$	$0.90 \pm 0.13$	168	123
Female	$0.14 \pm 0.23$	$0.18\pm0.33$	$0.94 \pm 0.10$	84	67
Male	$0.14 \pm 0.22$	$0.20\pm0.35$	$0.91 \pm 0.13$	140	113
Dark skin	$0.00 \pm 0.00$	$0.00 \pm 0.00$	$0.97\pm0.05$	30	30
Light skin	$0.10\pm0.22$	$0.14\pm0.31$	$0.82\pm0.27$	222	193
Distribution					
Acral distribution	$0.33 \pm 0.29$	$0.38\pm0.38$	$0.92\pm0.08$	149	100
Exposed areas	$0.47 \pm 0.33$	$0.54 \pm 0.38$	$0.89 \pm 0.12$	255	172
Extensor sites	$0.28\pm0.32$	$0.31\pm0.38$	$0.95\pm0.06$	85	59
Intertriginous	$0.00 \pm 0.00$	$0.00 \pm 0.00$	$0.99\pm0.01$	9	9
Köbnerization	$0.05\pm0.20$	$0.06 \pm 0.23$	$0.98\pm0.02$	19	17
Palmo-plantar	$0.31 \pm 0.33$	$0.36\pm0.41$	$0.97\pm0.04$	74	52
Periorificial	$0.16\pm0.35$	$0.16\pm0.36$	$0.99\pm0.02$	24	18
Seborrhoeic region	$0.66\pm0.24$	$0.74\pm0.30$	$0.92\pm0.10$	287	160
Symmetrical	$0.21\pm0.24$	$0.26\pm0.33$	$0.93\pm0.07$	105	85
Localisable characteristics					
Basic terms					
Macule	$0.13\pm0.24$	$0.17\pm0.31$	$0.93\pm0.10$	110	93
Nodule	$0.07\pm0.22$	$0.08\pm0.26$	$0.97\pm0.05$	47	44
Papule	$0.65\pm0.15$	$0.69\pm0.20$	$0.86\pm0.10$	385	213
Patch	$0.72\pm0.17$	$0.77\pm0.22$	$0.91\pm0.10$	335	185
Plaque	$0.78\pm0.11$	$0.80\pm0.16$	$0.84\pm0.11$	592	306
Pustule	$0.69 \pm 0.29$	$0.72\pm0.32$	$0.97\pm0.03$	161	80
Scale	$0.88\pm0.09$	$0.89 \pm 0.12$	$0.92\pm0.09$	550	257
Additional terms					
Closed comedo	$0.52\pm0.27$	$0.61\pm0.35$	$0.96\pm0.05$	108	63
Cyst	$0.06\pm0.22$	$0.06\pm0.23$	$0.99\pm0.02$	16	14
Dermatoglyph disruption	$0.32\pm0.37$	$0.33 \pm 0.39$	$0.97\pm0.04$	86	50
Leukotrichia	$0.18\pm0.38$	$0.18\pm0.38$	$1.00\pm0.01$	12	8
Open comedo	$0.65\pm0.30$	$0.71\pm0.34$	$0.97\pm0.05$	132	73
Scar	$0.45\pm0.29$	$0.54\pm0.38$	$0.95\pm0.06$	112	74
Sun damage	$0.46\pm0.39$	$0.49\pm0.43$	$0.97\pm0.04$	101	63
Telangiectasia	$0.08\pm0.25$	$0.09\pm0.27$	$0.99\pm0.02$	13	10
Thrombosed capillary	$0.31\pm0.40$	$0.35\pm0.45$	$0.97\pm0.05$	67	38

Table 1: Dermatologist inter-rater agreement for the presence or absence of characteristics, including the number of evaluations (evals) and the number of images where they were identified.

Table 2: Optimal hyper-parameter setup and other training parameters for ResNet-50 and EfficientNet-
B4, as identified after a hyper-parameter search.

	ResNet-50	EfficientNet-B4
Rotation	20	20
Shear	0	0.5
Zoom	0.25	0.5
Brightness	0.25-1	0.5-1
Learning rate	0.01	0.001
Optimiser	Adam	Adam
Training epochs	30	15
Image size	$300 \times 400$	$300 \times 400$
Weighted classes	On	On

Table 3: Similarity metrics used for comparison of models attention maps (A) and dermatologists characteristics segmentations (S).

Similarity metric	Formula
F1 score	$\frac{2\sum_{p \in pixels} \min(\mathcal{A}_p, \mathcal{S}_p)}{\sum_{p \in pixels} (\mathcal{A}_p) + \sum_{p \in pixels} (\mathcal{S}_p)}$
Sensitivity	$\frac{\sum_{p \in pixels} \min(\mathcal{A}_p, \mathcal{S}_p)}{\sum_{p \in pixels} (\mathcal{S}_p)}$
Specificity	$\frac{\sum_{p \in pixels} \min(1 - \mathcal{A}_p, 1 - \mathcal{S}_p)}{\sum_{p \in pixels} (1 - \mathcal{S}_p)}$

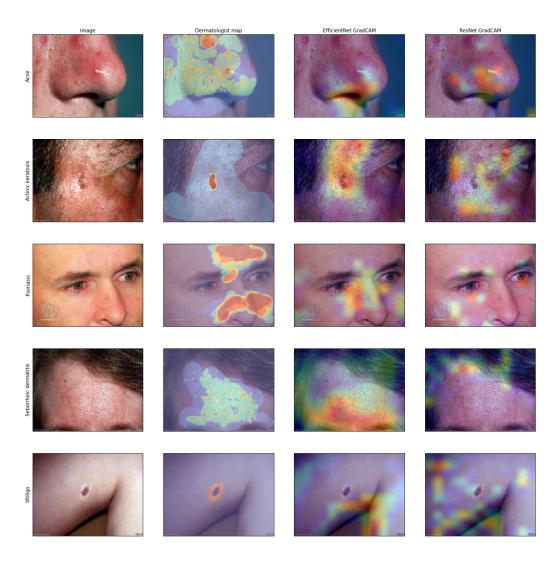


Figure 4: Explanation for images where ResNet correctly predicted the class, while EfficientNet did not. From left to right: the original image, the union of all characteristics selected by all dermatologists labelling the image, an EfficientNetB4 Grad-CAM visualisation, and a ResNet-50 Grad-CAM visualisation.

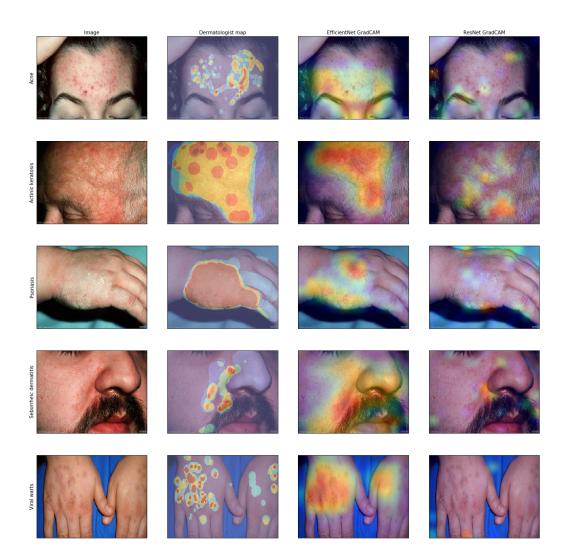


Figure 5: Explanation for images where EfficientNet correctly predicted the class, while ResNet did not. From left to right: the original image, the union of all characteristics selected by all dermatologists labelling the image, an EfficientNet-B4 Grad-CAM visualisation, and a ResNet-50 Grad-CAM visualisation.

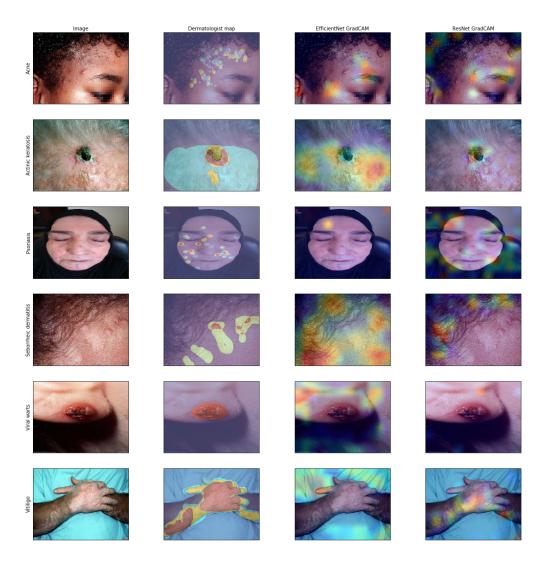


Figure 6: Explanation for images where neither of the two models correctly predicted the class, while EfficientNet did not. From left to right: the original image, the union of all characteristics selected by all dermatologists labelling the image, an EfficientNet-B4 Grad-CAM visualisation, and a ResNet-50 Grad-CAM visualisation.