# More Than Just Attention: Improving Cross-Modal Attentions with Contrastive Constraints for Image-Text Matching

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### Abstract

Cross-modal attention mechanisms have been widely applied to the image-text matching task and have achieved remarkable improvements thanks to its capability of learning fine-grained relevance across different modalities. However, the cross-modal attention models of existing methods could be sub-optimal and inaccurate because there is no direct supervision provided during the training process. In this work, we propose two novel training strategies, namely Contrastive Content Re-sourcing (CCR) and Contrastive Content Swapping (CCS) constraints, to address such limitations. These constraints supervise the training of cross-modal attention models in a contrastive learning manner without requiring explicit attention annotations. They are 017 plug-in training strategies and can be generally integrated into existing cross-modal attention models. Additionally, we introduce three metrics including Attention Precision, Recall, and F1-Score to quantitatively measure the 022 quality of learned attention models. We evaluate the proposed constraints by incorporating them into four state-of-the-art cross-modal attention-based image-text matching models. Experimental results on both Flickr30k and MS-COCO datasets demonstrate that integrating these constraints generally improves the model performance in terms of both retrieval performance and attention metrics.

# 1 Introduction

The task of image-text matching aims to learn a model that measures the similarity between visual and textual contents. By using the learned model, users can retrieve images that visually match the context described by a text query, or retrieve texts that describe the visual context of an image query. Because of its critical role to bridge the human vision and language world, this task has emerged as an active research area (Faghri et al., 2017; Nam



Figure 1: Visualization of the attention maps of the SCAN model learned without and with our proposed constraints.

et al., 2017; Huang et al., 2017; Lee et al., 2018; Liu et al., 2019; Chen et al., 2020; Wang et al., 2019).

Recently, cross-modal attention models have been widely applied to this task (Liu et al., 2019; Lee et al., 2018; Nam et al., 2017; Huang et al., 2017, 2018; Chen et al., 2019; Li et al., 2020). These approaches have achieved remarkable improvements thanks to their ability to capture finegrained cross-modal relevance by the cross-modal attention mechanism. Specifically, given an image description and its corresponding image, they are first represented by fragments, i.e., individual words and image regions. We refer to the fragments of the context modality as query fragments, and the fragments of the attended modality as key fragments. Given a query fragment, a cross-modal attention model first assigns an attention weight to each key fragment, each of which measures the semantic relevance between the query fragment and the corresponding key fragment. Then the attended information of the query fragment is encoded as the weighted sum of all key fragment features. The similarity between each query fragment and its attended information is thus aggregated as



Figure 2: Overview of the training pipeline which contains (a) the cross-modal attention mechanism and our proposed attention constraints including (b) Contrastive Content Re-sourcing (CCR) and (c) Contrastive Content Swapping (CCS).

the similarity measurement between the query and the retrieval candidates.

In ideal cases, well-trained cross-modal attention models will attend to the semantically relevant key fragments by assigning large attention weights to them, and ignore irrelevant fragments by producing small attention weights. Take Figure 1 (b) as an example: when "dog" is used as a query fragment, the cross-modal attention model is supposed to output large attention weights for all image regions containing the dog, and small attention weights for other irrelevant image fragments. However, since the cross-modal attention models of most existing image-text matching methods are trained in a pure data-driven manner and do not receive any explicit supervision or constraints, the learned attention models may not be able to precisely attend to the relevant contents. As shown in Figure 1 (a), the learned SCAN model (Lee et al., 2018), a stateof-the-art cross-modal attention based image-text matching model, fails to attend to the relevant image regions containing the dog's main body when using the word "dog" as the query fragment. This example illustrates a false negative case, i.e., a low attention "recall". Additionally, a learned crossmodal attention model might also suffer from false positives (low attention "precision"). As shown in Figure 1 (c), when using "helmet" as the query fragment, the SCAN model assigns large attention weights to the irrelevant human body and background areas. A possible solution to these limitations is to rely on manually generated attention

map ground truth to supervise the training process of cross-modal attention models (Qiao et al., 2018; Zhang et al., 2019). However, annotating attention distributions is an ill-defined task, and will be labor-intensive.

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To this end, we propose two learning constraints, namely Contrastive Content Re-sourcing (CCR) and Contrastive Content Swapping (CCS), to supervise the training process of cross-modal attentions. Figure 2 gives an overview of our method. CCR enforces a query fragment to be more relevant to its attended information than to the reversed attended information, which is generated by calculating the weighted sum of key fragments using reversed attention weights (details in Section 3.2). It can guide a cross-modal attention model to assign large attention weights to the relevant key fragments and small weights to irrelevant fragments. On the other hand, CCS further encourages a crossmodal attention model to ignore irrelevant key fragments by constraining the attended information to be more relevant to the corresponding query fragment than to a negative query fragment. In the example shown in Figure 2 (c), by using the word "grass" as a negative query fragment, the attention weights assigned to regions containing grass will be diminished so that a more accurate attention map is generated. The proposed constraints are plug-in training strategies which can be easily integrated into existing cross-modal attention-based image-text matching models.

We evaluate the performance of the proposed

constraints by incorporating them into four state-131 of-the-art cross-modal attention-based image-text 132 matching networks (Lee et al., 2018; Liu et al., 133 2019; Wang et al., 2019; Chen et al., 2019). Ad-134 ditionally, in order to quantitatively compare and 135 measure the quality of the learned attention models, 136 we propose three new attention metrics, namely 137 Attention Precision, Attention Recall and Atten-138 tion F1-Score. The experimental results on both 139 MS-COCO (Lin et al., 2014) and Flickr30K (Young 140 et al., 2014) demonstrate that these constraints 141 significantly improve image-text matching perfor-142 mances and quality of the learned attention models 143 of these methods. 144

To sum up, the main contributions of this work include: (i) we propose two learning constraints to supervise the training of cross-modal attention models in a contrastive manner without requiring additional attention annotations. They are plugin training strategies and can be easily applied to different cross-modal attention-based image-text methods; (ii) we introduce the attention metrics to quantitatively evaluate the quality of learned attention models, in terms of precision, recall, and F1-Score; (iii) we validate our approach by incorporating it into four state-of-the-art attention-based image-text matching models. Extensive experiments conducted on two publicly available datasets demonstrate its strong generality and effectiveness.

# 2 Related Work

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Image-Text Matching. The task of image-text matching is well-explored yet challenging. Its main challenge is how to measure the similarity between texts and images. Early approaches propose to measure the similarity at the global level (Kiros et al., 2014; Frome et al., 2013; Zhang and Lu, 2018; Faghri et al., 2017). Specifically, these methods first train an image encoder and a text encoder to embed the global information of images and sentences into feature vectors, and then measure the similarity between images and sentences by calculating the cosine similarity between the corresponding feature vectors. One major limitation of these methods is that they failed to capture fine-grained image-text relevance.

To address this limitation, recent studies propose to apply the cross-modal attention mechanism to measure the similarity between texts and images at the fragment level (Liu et al., 2019; Lee et al., 2018; Wang et al., 2019; Xu et al., 2020). Typically, given an image and a sentence, these methods first extract object regions from the image, and embed each word of the sentence. Then the relevance between words and image regions are inferred by leveraging the cross-modal attention mechanism. The similarity between each fragment (word or image region) and its relevant information is calculated and aggregated as the final similarity score between the image and sentence. Although these methods have achieved notable results, the learning process of these cross-modal attention models could be suboptimal due to the lack of direct supervision, as discussed in Section 1. 181

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Supervision on Learning Cross-Modal Attention. The task of training cross-modal attention models with proper supervision has drawn growing interests. The main challenge lies in how to define and collect supervision signals. Qiao et al. (2018) first trains an attention map generator on a human annotated attention dataset and then applies the attention map predicted by the generator as weak annotations. Liu et al. (2017) leverages human annotated alignments between words and corresponding image regions as supervision. Similar to (Liu et al., 2017), image local region descriptions and object annotations in Visual Genome (Krishna et al., 2017) are used for generating attention supervision (Zhang et al., 2019). These methods obtain attention supervision from different forms of human annotations, such as word-image correspondence and image local region annotations. By contrast, we provide attention supervision by constructing pair-wise samples in a contrastive learning manner which does not require additional manual attention annotations.

# 3 Methodology

# 3.1 Cross-Modal Attention Model

Given an image-sentence pair in image-text matching, they are first represented as fragments, i.e., individual words and image regions. The fragments of the context modality are query fragments, and the fragments of the attended modality are key fragments. Each of these fragments is encoded as a vector. A cross-modal attention model takes these vectors as input, and infers the cross-modal relevance between each query fragment and all key fragments. The similarity score of the imagesentence pair is then calculated according to the obtained cross-modal relevance.

Let  $q_i$  and  $k_j$  refer to the feature representation of

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the *i*-th query and *j*-th key fragments, respectively. The cross-modal attention model first calculates  $k_j$ 's attention weight with respect to  $q_i$  as follows:

$$e_{i,j} = f_{att}(q_i, k_j),$$
  

$$w_{i,j} = \frac{\exp(e_{i,j})}{\sum_{j \in K} \exp(e_{i,j})},$$
(1)

where  $f_{att}$  is the attention function whose output is a scalar  $e_{i,j}$  that measures the cross-modal relevance between  $q_i$  and  $k_j$ ; K is a set of indexes of all key fragments;  $w_{i,j}$  is  $k_j$ 's attention weight with respect to  $q_i$ .

 $q_i$ 's **attended information** (i.e.,  $q_i$ 's relevant cross-modal information) is summarized as the **attention feature**  $a_i$ , which is the weighted sum of key fragment features, as defined by the following equation:

$$a_i = \sum_{j \in K} \left( w_{i,j} \cdot k_j \right). \tag{2}$$

The similarity score between the image I and the sentence T is then defined as:

$$S(I,T) = AGG_{i \in Q}(Sim(q_i, a_i)), \quad (3)$$

where Q denotes the set of indexes of all query fragments; Sim is the similarity function; AGG is a function that aggregates similarity scores among all query fragments, such as the average pooling function (Lee et al., 2018).

The most widely used loss function for this task is the triplet ranking loss with hard negative sampling (Faghri et al., 2017) defined as:

$$\ell_{rank} = [S(I, \hat{T}) - S(I, T) + \gamma_1]_+ + [S(\hat{I}, T) - S(I, T) + \gamma_1]_+, \quad (4)$$

where  $\gamma_1$  controls the margin of similarity difference; the matched image I and the sentence T form a positive sample pair, while  $\hat{T}$  and  $\hat{I}$  represent the hardest negative sentence and image for the positive sample pair as defined by (Faghri et al., 2017).  $\ell_{rank}$  enforces the similarity between the anchor image I and its matched sentence T to be larger than the similarity between the anchor image and an unmatched sentence by a margin  $\gamma_1$ . Vice versa for the sentence T.

However, this loss function works at the similarity-level and does not provide any supervision for connecting cross-modal contents at the attention-level. In other words, learning crossmodal attentions is a pure data-driven approach and lacks supervision. As a result, the learned cross-modal attention model could be sub-optimal.

#### 3.2 Contrastive Content Re-sourcing

A desired property of a well-learned cross-modal attention model is that, for a query fragment, the attention model should assign large attention weights to the key fragments that are relevant to the query fragment, and assign small attention weights to the key fragments that are irrelevant to the query fragment. The Contrastive Content Re-sourcing (CCR) constrain is proposed to explicitly guide attention models to learn this property. It is implemented by enforcing a query fragment to be more relevant to its attended information than to its reversed attention information. For example, as shown in Figure 2 (b), the query word "dog" is required to be more relevant to its attended information than to reversed attended information (e.g., the person and tree).

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To be specific, given a query fragment  $q_i$ , its attended information is embedded as the attention feature  $a_i$ . Its reversed attention information is encoded by the vector  $\hat{a}_i$ , which is obtained by reversing attention weights and calculating weighted sum of key fragment features based on the reversed attention weights, as shown in Equation 5:

$$\hat{w}_{i,j} = \frac{1 - w_{i,j}}{\sum_{j \in K} (1 - w_{i,j})},$$
  
$$\hat{a}_i = \sum_{j \in K} (\hat{w}_{i,j} \cdot k_j),$$
  
(5)

where  $\hat{w}_{i,j}$  is the reversed attention weight of the key fragment  $k_j$  with respect to the query fragment  $q_i$ .

We use the similarity function Sim to measure the relevance between the query fragment and either the attention feature or reversed one. Therefore, the loss function for CCR is defined as:

$$\ell_{CCR} = [Sim(q_i, \hat{a}_i) - Sim(q_i, a_i) + \gamma_2]_+, \ (6)$$

where  $\gamma_2$  controls the similarity difference margin.

Intuitively, in order to minimize this loss, a crossmodal attention model should assign large attention weights to relevant key fragments to increase  $q_i$ 's relevant information ratio in  $a_i$  and decrease that contained in  $\hat{a}_i$ . The attention model will also learn to assign small attentions weights to irrelevant key fragments to diminish  $q_i$ 's irrelevant information ratio in  $a_i$  and increase that in  $\hat{a}_i$ .

# 3.3 Contrastive Content Swapping

As shown in Figure 1 (c), attention models could assign large attention weights to both relevant and

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irrelevant key fragments. In such cases, the CCR
constraint might not be able to fully address these
false-positive scenarios because the query fragment
can be more relevant to its attended information
than to its reversed attention information. Therefore, we propose the Contrastive Content Swapping
(CCS) constraint to address this problem. It constrains a query fragment's attended information to
be more relevant to the query fragment than to a
aquery fragment.

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Specifically, given a query fragment  $q_i$ , we first sample its negative query fragment  $\hat{q}_i$  from a predefined set  $\hat{Q}_i$  which contain all negative query fragments with respect to  $q_i$ . The relevance between the attended information and either the query fragment or the negative query fragment is also measured by the similarity function Sim. Then the CCS constraint's loss function  $\ell_{CCS}$  is defined as:

$$\ell_{CCS} = [Sim(\hat{q}_i, a_i) - Sim(q_i, a_i) + \gamma_3]_+,$$
(7)

where  $\gamma_3$  is the margin parameter.

The CCS constraint will enforce the cross-modal attention model to diminish the attention weights of the key fragments that are relevant to  $\hat{q}_i$ . As a result, the information that is relevant to  $\hat{q}_i$  but irrelevant to  $q_i$  is eliminated.

By incorporating the CCR and CCS constraints for image-text matching, we obtain the full objective function by Equation 8, where  $\lambda_{CCR}$  and  $\lambda_{CCS}$  are scalars that control the contributions of CCR and CCS, respectively:

$$\ell = \ell_{rank} + \lambda_{CCR} \cdot \ell_{CCR} + \lambda_{CCS} \cdot \ell_{CCS}.$$
 (8)

### **3.4 Attention Metrics**

Previous studies (Lee et al., 2018; Liu et al., 2019) focus on qualitatively evaluating the attention models by visualizing attention maps. These approaches cannot serve as standard metrics for comparing attention correctness among different models. Therefore, we propose Attention Precision, Attention Recall and Attention F1-Score, to quantitatively evaluate the performance of learned attention models. Attention Precision is the fraction of attended key fragments that are relevant to the correspondent query fragment, and Attention Recall is the fraction of relevant key fragments that are attended. Attention F1-Score is a combination of the Attention Precision and Attention Recall that provides an overall way to measure the attention correctness of a model.

In this paper, we only evaluate the attention models that use texts as the query fragments. This is because text encoders used in the evaluated models (Lee et al., 2018; Wang et al., 2019; Liu et al., 2019; Chen et al., 2019) are GRUs (Chung et al., 2014) or Transformers (Vaswani et al., 2017), where defining the relevant and irrelevant key text fragments of a query region fragment could be difficult since the text fragments will be updated to include global information by the text encoder.

Given a matched image-text pair, an image fragment v is labeled as a relevant fragment of the text fragment t if the Intersection over Union  $(IoU)^1$  between v and the correspondent region<sup>2</sup> of t is larger than a threshold  $T_{IoU}$ . In addition, v is regraded as an attended fragment by t if v's attention weight with respect to t is larger than a threshold  $T_{Att}$ . Let A and R be the sets of attended and relevant image fragments of t. t's Attention Precision (AP), Attention Recall (AR), and Attention F1-Score (AF)are defined as:

$$AP = \frac{|A \cap R|}{|A|}, AR = \frac{|A \cap R|}{|R|}, AF = 2 \times \frac{AP \times AR}{AP + AR}.$$
(9)

The annotations (Plummer et al., 2015) that are used to calculate attention metrics provide the correspondence between noun phrases and image regions. A noun phrase might contain multiple words, and different words could correspond to the same image region. In order to obtain the overall attention metrics of a learned attention model, we first calculate the attention metrics at word-level, and use the maximal values within each phrase as the phrase-level metrics. The overall attention metrics are then obtained by averaging the phraselevel metrics.

#### **4** Experiments

#### 4.1 Datasets and Evaluations

**Datasets.** We evaluate our method on two public image-text matching benchmarks: Flickr30K (Young et al., 2014) and MS-COCO (Lin et al., 2014). Flickr30K (Young et al., 2014) dataset contains 31K images, each of which is annotated with 5 captions. Following the setting of (Liu et al., 2019; Lee et al., 2018), we split the dataset into 29K training images, 1K validation images, and 1K testing images. The MS-COCO

<sup>&</sup>lt;sup>1</sup>Given two bounding boxes, the IoU score between them is calculated as the ratio of their joint area to their union area.

<sup>&</sup>lt;sup>2</sup>The correspondent regions of a word t are the regions that contain the object described by t.

dataset used for image-text matching consists of 123,287 images, each of which includes 5 human-annotated descriptions. Following (Liu et al., 2019; Lee et al., 2018), the dataset is divided into 113,283 images for training, 5K images for validation, and 5K images for testing.

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Evaluation Metrics. Following (Liu et al., 2019; Lee et al., 2018; Wang et al., 2019), we measure the performance of both Image Retrieval and Sentence Retrieval tasks by calculating recalls at different K values (R@K, K = 1, 5, 10), which are the proportions of the queries whose top-K retrieved items contain their matched items. We also report *rsum*, which is the summation of all R@K values for a model. On the Flickr30K dataset, we report results on the 1K testing images. On the MS-COCO dataset, we report results through averaging over 5-folds 1K test images (referred to MS-COCO 1K), and testing on the full 5K test images (referred to MS-COCO 5K) following the standard evaluation protocol (Lee et al., 2018; Liu et al., 2019; Wang et al., 2019).

To compute the attention metrics,  $T_{IoU}$  is set as 0.4, and the results for other values of  $T_{IoU}$  can be found in the supplementary material. The possible values of  $T_{Att}$  are uniformly chosen between 0 and 0.1 with the interval of 0.01. We set the range of  $T_{Att}$  based on the experimental results that when achieving the best Attention F1-Score the  $T_{Att}$  is ranging from 0 to 0.1. We calculate the Attention Precision, Attention Recall and Attention F1-Score for each value of  $T_{Att}$ , and then report the precision-recall (PR) curves and the best Attention F1-Score with its correspondent Attention Precision and Attention Recall.

### 4.2 Baselines and Implementation Details

We evaluate the proposed constraints by incorporating them into the following state-of-the-art attention-based image-text matching models:

- UNITER (Chen et al., 2019) is a Transformerbased model (Vaswani et al., 2017; Devlin et al., 2018). It is first pre-trained on a large scale of dataset to learn a unified image-text feature representation, and then is fine-tuned for the image-text retrieval task.
- SCAN (Lee et al., 2018) is a stacked crossmodal attention model to infer the relevance between words and regions and calculate image-text similarity.

	Sentence Retrieval			Image Retrieval			
Method	R@1	R@5	R@10	R@1	R@5	R@10	rsum
UNITER	84.1	96.8	98.3	69.7	91.3	95.1	535.5
+ CCR	84.1	97.2	98.6	70.3	91.3	95.4	536.9
+ CCS	84.0	96.8	98.5	70.6	91.5	95.4	536.8
+ CCR & CCS	84.3	97.2	98.8	70.6	91.8	95.2	537.9
SCAN	67.2	90.7	94.8	48.4	77.6	84.9	463.6
+ CCR	67.8	91.1	95.0	49.4	77.6	85.3	466.2
+ CCS	69.1	91.1	95.4	50.8	78.4	85.6	470.4
+ CCR & CCS	68.8	91.6	95.3	51.1	79.0	86.5	472.3
PFAN	69.7	90.2	94.1	50.1	78.6	86.0	468.7
+ CCR	70.3	90.5	94.7	51.9	79.4	86.7	473.5
+ CCS	70.3	90.9	95.2	51.9	79.2	86.5	474.0
+ CCR & CCS	70.9	91.8	95.6	52.5	79.6	86.9	477.3
BFAN	70.7	92.3	96.3	51.8	79.3	85.9	476.3
+ CCR	71.7	92.8	96.0	53.2	80.5	87.1	481.3
+ CCS	71.0	93.2	96.0	52.6	79.4	86.4	478.6
+ CCR & CCS	72.0	93.4	96.2	53.1	80.3	86.9	481.9

Table 1: Results of sentence retrieval and image retrieval tasks on the Flickr30K test set.

• **PFAN** (Wang et al., 2019) improves crossmodal attention models by integrating image region position information into them.

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• **BFAN** (Liu et al., 2019) is a bidirectional cross-modality attention model which allows to attend to relevant fragments and also diverts all the attention into these relevant fragments to concentrate on them.

We apply the proposed constraints to one randomly sampled query fragment for each matched image-text pair, in order to reduce the computational cost. For a query word fragment, its negative query set  $Q_i$  is consisted of the other words of its correspondent sentence. For a query region fragment, its  $Q_i$  is set as the other regions of its correspondent image. The constraint loss weight factors  $\lambda_{CCR}$  and  $\lambda_{CCS}$  could be 0.1 or 1, and constraint similarity margins  $\gamma_2$  and  $\gamma_3$  are set to 0, 0.1 or 0.2. We train models with all possible combinations with constraint loss weight factors and similarity margins, and report the best results. More implementation details can be found in the supplementary materials.

#### 4.3 Experiments on Image-Text Matching

We start by evaluating the proposed approach for image and sentence retrieval tasks on both Flickr30K and MS-COCO datasets. Table 1 shows the results on the Flickr30K dataset. We find that when the proposed CCR and CCS constraints are employed separately, they both achieve consistent performance improvements on all baselines and

	Sentence Retrieval			Image Retrieval			
Method	R@1	R@5	R@10	R@1	R@5	R@10	rsum
1K Test Images							
UNITER	79.3	96.4	98.6	67.3	92.3	96.9	530.8
+ CCR	79.2	96.3	98.6	67.3	92.4	96.9	530.6
+ CCS	79.4	96.3	98.7	67.3	92.3	97.0	531.0
+ CCR & CCS	79.5	96.4	98.6	67.3	92.3	97.0	531.1
SCAN	70.6	93.8	97.7	54.1	86.0	93.4	495.6
+ CCR	71.4	94.2	97.7	55.6	86.7	93.8	499.4
+ CCS	71.1	94.0	97.7	56.6	87.2	94.0	500.6
+ CCR & CCS	71.6	94.0	97.7	56.4	87.3	94.0	501.0
PFAN*	74.5	95.4	98.6	59.8	88.8	94.8	511.9
+ CCR*	74.4	95.3	98.3	60.5	89.1	94.8	512.4
+ CCS*	74.9	95.8	98.3	60.8	89.1	94.5	513.4
+ CCR & CCS*	75.2	95.6	98.2	61.2	88.9	94.7	513.8
BFAN	75.0	95.0	98.2	58.8	88.3	94.4	509.7
+ CCR	75.2	95.3	98.3	60.1	88.7	94.7	512.3
+ CCS	75.1	95.3	98.3	59.6	88.5	94.6	511.4
+ CCR & CCS	75.2	95.5	98.1	60.3	88.8	94.7	512.6
5K Test Images							
UNITER	58.1	85.6	91.8	45.7	74.9	84.4	440.5
+ CCR	58.2	85.6	91.8	45.7	74.9	84.4	440.6
+ CCS	59.2	85.7	91.9	46.0	74.9	84.5	442.2
+ CCR & CCS	59.3	85.8	91.9	46.0	74.9	84.5	442.4
SCAN	47.2	77.6	87.7	34.7	65.2	77.3	389.7
+ CCR	47.7	78.3	88.2	36.2	66.6	78.2	395.2
+ CCS	46.5	78.5	88.0	36.5	66.6	78.3	394.4
+ CCR & CCS	47.9	78.1	88.2	36.9	66.9	78.4	396.4
BFAN	52.5	80.3	89.5	37.5	66.7	78.1	404.6
+ CCR	52.0	81.5	89.9	38.7	67.8	78.8	408.7
+ CCS	53.8	81.1	89.9	38.0	67.3	78.5	408.6
+ CCR & CCS	53.4	81.3	90.1	38.4	67.6	78.6	409.4

Table 2: Results of sentence retrieval and image retrieval tasks on the MS-COCO test set. \*Note that since the official implementation of PFAN only provides 1K images for testing, PFAN is tested **without** 5-fold crossvalidation under the setting of 1K test images, and cannot be tested under the setting of 5K test images.

tasks. More importantly, when we apply both constraints concurrently, all models achieve the best improvements.

We report the results on the MS-COCO dataset in Table 2. Similar performance improvements as those on Flickr30K can be observed under both settings of 1K and 5K test images. However, we find that both constraints achieve fewer improvements on UNITER than on other baseline models. One possible reason is that UNITER benefits more from the pre-training process, where a larger scale of data (including MS-COCO training set) and tasks explicitly enforcing the model to learn both fragment and global level cross-modal alignments (Chen et al., 2019) are applied.

# 4.4 Attention Evaluation

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511Quantitative Analysis. We report the results on512Flickr30K since it has publicly available cross-513modal correspondence annotations (Plummer et al.,

Method	Attention Precision	Attention Recall	Attention F1-Score 39.96	
SCAN	32.79	65.30		
+ CCR	36.30	66.80	43.10	
+ CCS	37.28	64.97	43.38	
+ CCR & CCS	38.81	64.62	44.44	
BFAN	46.08	63.32	48.91	
+ CCR	50.21	64.20	51.78	
+ CCS	49.16	61.44	49.74	
+ CCR & CCS	51.13	62.97	51.73	

Table 3: Results of Attention Precision, Attention Recall and Attention F1-Score (%) of the SCAN and BFAN models trained on the Flickr30K dataset.

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2015) while MS-COCO does not. We note that the results of PFAN are not reported because we cannot obtain the bounding boxes of the input image regions that are correspondent to the testing data provided by its official implementation. We do not include the results of UNITER since defining the relevant and irrelevant key fragments of a query fragment could be ambiguous. This is because each individual fragment in UNITER encodes global information across all other fragments due to the self-attention mechanism (Vaswani et al., 2017).

The attention metrics of SCAN and BFAN are shown in Table 3. We can see that applying CCR and CCS individually yields higher Attention F1-Score than both baseline methods, and this is consistent to the observations in Section 4.3. More interestingly, we can find that using CCR alone improves both Attention Precision and Attention Recall; using CCS alone mainly improves Attention Precision; combining both constraints further improves Attention Precision. These results demonstrates the motivation of the proposed constraints. Note that the slight decrease in Attention Recall caused by CCS might be due to the fact that CCS enforces attention models to ignore the regions containing both foreground objects and noise background. We also present the PR curves of SCAN and BFAN in Figure 4 to demonstrate the impact of different  $T_{Att}$  on Attention Precision and Attention Recall. We can observe that applying the proposed constraints yields consistently better results than both baseline methods for different  $T_{Att}$ .

We further evaluate the relation between the image-text matching performance and the quality of learned attention models by calculating the Pearson correlation coefficient between Attention F1-Score and *rsum*, and the obtained correlation coefficient and p-value is 0.995 and  $3.724 \times 10^{-7}$ ,



Figure 3: Examples illustrating attended image regions with respect to the given words for the SCAN model.



Figure 4: The Attention PR curves of the SCAN and BFAN model trained on the Flickr30K Dataset.

respectively. The results show that the image-text matching performance has strong positive correlation with the quality of learned attention models.

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Qualitative Analysis. We visualize the attention weights with respect to three sampled query word fragments in Figure 3, and more examples are provided in the supplementary material. In the example of the query word fragment "fire", the learned attention model of SCAN (see Column (b)) fails to assign large attention weights to the most regions containing fire. By contrast, the CCR constraint (see Column (c)) mitigates this issue by significantly increasing the attention weights assigned to the regions containing fire. The CCS constraint (see Column (d)) is less effective in this case because the CCS constraint has already been satisfied. In the case of the query word fragment "infant", the learned attention model of SCAN (see Column (b)) assigns large attention weights to both the irrelevant and relevant regions. In this case, the CCR constraint (see Column (c)) cannot fully diminish the attention weights assigned to the regions irrelevant

to "infant". In contrast, as shown in Column (d), the attention weights assigned to irrelevant regions are largely diminished by the CCS constraint. In the example of the query word "guy", it shows that combining both constraints decreases the attention weights of the background regions (e.g., the surrounding areas of the "guy") more significantly than applying the them separately.

# 5 Conclusions

To tackle the issue of missing direct supervisions in learning cross-modal attention models for imagetext matching, we introduce the constraints of CCR and CCS to supervise the learning of attention models in a contrastive manner without requiring additional attention annotations. Both constraints are generic learning strategies that can be generally integrated into attention models. Furthermore, in order to quantitatively measure the attention correctness, we propose three new attention metrics. The extensive experiments demonstrate that the proposed constraints manage to improve the crossmodal retrieval performance as well as the attention correctness when integrated into four state-of-theart attention models. For future work, we will explore on how to extend the proposed constraints to other cross-modal attention models based tasks, such as Visual Question Answering (VQA) and Image Captioning.

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# A Appendix

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#### A.1 Implementation Details

**SCAN and PFAN**. These two methods separately train the text-to-image attention models where words are used as query fragments, and the image-to-text attention models where image regions are used as query fragments. When training the text-to-image attention models, we randomly sample one word fragment from each matched image-text pair to apply the proposed constraints. The image-to-text attention models are trained in a similar way by sampling image fragments.

**BFAN**. The method jointly trains the text-toimage and image-to-text attention models. In order to jointly supervise both attention models and reduce computation cost, for each matched imagetext pair, we apply our constraints to either a sampled word fragment for the text-to-image attention model or a sampled image region for the image-totext attention model with a probability of 50%.

**UNITER.** This approach consists of multiple stacked multi-head self-attention layers. Each layer computes the inter-modal (where the query and key fragments are from the same modality) and cross-modal (where both text-to-image and image-to-text attentions are included) attention weights simultaneously. The proposed constraints are applied to all attention heads of the last layer, since our preliminary experimental results show that this strategy achieves the best performance. When computing the constraints, we follow the same strategy as BFAN to sample query fragments, and we mask the inter-modal attention weights by zero.

The experiments on Flickr30K and MS-COCO are conducted on the RTX8000 and A100 GPU, respectively. All the baselines are trained by their officially released codes. <sup>3 4 5 6</sup>. SCAN, PFAN, and BFAN are trained from scratch by completely following their original hyper-parameters settings such as the learning rate, batch size, model structure, and optimizer (Lee et al., 2018; Liu et al., 2019; Wang et al., 2019). When we evaluate UNITER, the pre-trained UNITER-based model (Chen et al., 2019) is used for fine-tuning. Its hard negative sampling ranking loss is replaced with the binary cross-entropy loss following (Li et al., 2020). We set batch size to 128 and other hyper-parameters to default values.

# A.2 Additional Attention Evaluation Results

**Quantitative Analysis.** To demonstrate the influence of different  $T_{IoU}$  on Attention Precision, Attention Recall, and Attention F1-Score, we report the results when  $T_{IoU}$  is set to 0.6 on Table 4 and Figure 5. We can observe similar performance improvements as when  $T_{IoU}$  is set to 0.4 (shown in the main paper). It demonstrates that by applying the proposed constraints to attention models, we can achieve consistently better results than both baseline methods when different  $T_{IoU}$  values are chosen.

Method	Attention Precision	Attention Recall	Attention F1-Score	
SCAN	16.88	47.40	22.88	
+ CCR	18.87	49.96	25.05	
+ CCS	20.30	48.58	26.22	
+ CCR & CCS	21.31	47.15	26.80	
BFAN	27.50	46.52	31.92	
+ CCR	30.17	48.72	34.49	
+ CCS	29.55	46.97	33.55	
+ CCR & CCS	30.24	49.20	34.69	

Table 4: Results of Attention Precision, Attention Recall and Attention F1-Score (%) of the SCAN and BFAN models trained on the Flickr30K dataset when  $T_{IoU}$  is 0.6.



Figure 5: The Attention PR curves of the SCAN and BFAN models trained on the Flickr30K Dataset when  $T_{IoU}$  is 0.6.

**Qualitative Analysis.** We visualize three examples of the SCAN model trained on the MS-COCO dataset on Figure 6. We also report three cases of the BFAN model trained on the Flickr30K (see Figure 7) and MS-COCO dataset (see Figure 8), respectively. We find that the attention models trained with the proposed constraints can assign attention weights in a more accurate way than the correspondent baseline methods across different datasets.

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<sup>&</sup>lt;sup>3</sup>https://github.com/kuanghuei/SCAN

<sup>&</sup>lt;sup>4</sup>https://github.com/CrossmodalGroup/BFAN

<sup>&</sup>lt;sup>5</sup>https://github.com/HaoYang0123/Position-Focused-Attention-Network

<sup>&</sup>lt;sup>6</sup>https://github.com/ChenRocks/UNITER



Figure 6: Examples of attended image regions with respect to the given words for the SCAN model on the MS-COCO dataset.



Figure 7: Examples of attended image regions with respect to the given words for the BFAN model on the Flickr30K dataset.



Figure 8: Examples of attended image regions with respect to the given words for the BFAN model on the MS-COCO dataset.