Crafting In-context Examples according to LMs' Parametric Knowledge

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Abstract

 In-context learning has been applied to knowledge-rich tasks such as question answer- ing. In such scenarios, in-context examples are used to trigger a behaviour in the language model: namely, it should surface information stored in its parametric knowledge. We study the construction of in-context example sets, with a focus on the parametric knowledge of the model regarding in-context examples. We identify 'known' examples, where models can correctly answer from its parametric knowl- edge, and 'unknown' ones. Our experiments show that prompting with 'unknown' examples decreases the performance, potentially as it en- courages hallucination rather than searching its parametric knowledge. Constructing an in- context example set that presents both known and unknown information performs the best across diverse settings. We perform analysis on three multi-answer question answering datasets, which allows us to further study answer set or- dering strategies based on the LM's knowledge about each answer. Together, our study sheds lights on how to best construct in-context ex-ample sets for knowledge-rich tasks.

⁰²⁶ 1 Introduction

 Large language models (LLMs) can perform com- petitively on knowledge-rich tasks such as question [a](#page-8-0)nswering via in-context demonstrations [\(Brown](#page-8-0) [et al.,](#page-8-0) [2020\)](#page-8-0). In such scenarios, in-context exam- ples are used not only to teach the LLM the map- ping from inputs to outputs, but also to invoke the LLM's parametric knowledge [\(Liu et al.,](#page-8-1) [2021;](#page-8-1) [Agrawal et al.,](#page-8-2) [2022\)](#page-8-2). Given such role of in-context examples, we examine how the LLM's parametric knowledge of in-context examples impact the ef-fectiveness of in-context examples.

038 Let's imagine a very challenging in-context ex-**039** ample set, where LLMs cannot answer any of in-**040** context examples from its parametric knowledge.

Figure 1: We study how an LM's knowledge of incontext examples impacts their effectiveness. On the top box, we construct three sets of in-context examples, Unknown, HalfKnown, and Known, differing in its difficulty (Section [3\)](#page-2-0). On the bottom box, we construct two in-context examples, which contain the same question and answer set but answers are sorted differently: one in increasing amount of parametric knowledge and one in reverse (Section [4,](#page-4-0) [5\)](#page-4-1).

For example, in-context examples can query knowl- **041** edge about recent events that happened after pre- **042** training. These in-context examples will teach the **043** model to generate plausible-looking responses, but 044 may encourage hallucination as a result. On the **045** other hand, if we only provide in-context examples **046** where LLM can easily answer, would LLM learn 047 to make an educated guess on more challenging **048** evaluation examples? **049**

We pose a suite of research questions connect- **050** ing parametric knowledge of an LM on in-context **051** examples and its impact on model predictions. Fig- **052** ure [1](#page-0-0) provides our study overview. We mainly **053** evaluate on multi-answer QA datasets [\(Min et al.,](#page-8-3) **054** [2020;](#page-8-3) [Malaviya et al.,](#page-8-4) [2023;](#page-8-4) [Amouyal et al.,](#page-8-5) [2022\)](#page-8-5), **055** a challenging knowledge-rich task, and a math QA **056**

 dataset [\(Cobbe et al.,](#page-8-6) [2021\)](#page-8-6), which requires reason- ing from LLM. Multi-answer QA datasets further allows a controlled study where we fix the question and vary a choice of answer from a set of valid an- swers, or how we order answers based on model's parametric knowledge of individual answer.

 We first compare providing 'known' or 'un- known' in-context examples (Section [3\)](#page-2-0). We oper- ationalize 'known' in-context examples as those LM can correctly predict with in-context learn- ing. We do not observe a clear winner between two choices, with results varying depending on the dataset. Throughout all datasets, however, pro- viding in-context examples that have a mixture of known and unknown information leads to su- perior performance compared to solely known or unknown in-context examples.

 Our next analysis focuses on the ordering of multi-answer set while fixing in-context example 076 set (Section [4,](#page-4-0) [5\)](#page-4-1). Compared to randomly ordering valid answers, semantically meaningful ordering brings substantial changes in model predictions. Even alphabetical ordering of answer set changes predicted answers substantially, prompting model to generate 1.5 more answer on average than when shown randomly sorted answer set. We further find that ordering the answer set of in-context exam- ples in descending order of model knowledge often leads to performance gains. Together, our work suggests best practices for crafting in-context ex- amples, with relation to its parametric knowledge, for knowledge-intensive tasks.

⁰⁸⁹ 2 Experimental Settings

090 We first describe our evaluation setting which cen-**091** ters around multi-answer QA datasets.

092 2.1 Dataset

 We evaluate on three multi-answer QA datasets: (1) AmbigQA [\(Min et al.,](#page-8-3) [2020\)](#page-8-3) contains a subset of questions from the Natural Ques- tions [\(Kwiatkowski et al.,](#page-8-7) [2019\)](#page-8-7) dataset, namely those marked as ambiguous in the sense that de- pending on the interpretation they can have mul- [t](#page-8-5)iple correct answers. (2) QAMPARI [\(Amouyal](#page-8-5) [et al.,](#page-8-5) [2022\)](#page-8-5) consists of questions whose set of correct answers necessarily span multiple para- graphs in the document from which they were re- trieved. The dataset was originally developed to evaluating retrieval methods, and we repurpose it to create a challenging closed-book QA setting.

(3) QUEST [\(Malaviya et al.,](#page-8-4) [2023\)](#page-8-4) dataset is con- **106** structed by formulating queries that define implicit **107** set operations over Wikipedia entities. We report **108** the dataset statistics in Appendix [A.](#page-10-0) **109**

2.2 Evaluation Metrics **110**

Given a question q , the model will predict a set of answers $\hat{a} = \{a_1, a_2, ..., a_m\}$, where each $a_i =$ $(w_{i_1}, w_{i_2}, ..., w_{i_{|a_i|}})$. is a sequence of tokens for a single answer. We denote $a^* = \{a_1^*, a_2^*, ..., a_n^*\}$ as the ground truth answers to the same question. **115**

We use standard token match metrics for evalu-
116 ating answer accuracy, Exact Match (EM) and F1- **117** score [\(Joshi et al.,](#page-8-8) [2017\)](#page-8-8). **EM** assigns a score of 1 if 118 the predicted answer equals to the ground truth an- **119** swer, while F1-score is calculated over the tokens **120** in the answer. We use metrics for multi-answers **121** introduced in prior work [\(Min et al.,](#page-8-3) [2020\)](#page-8-3), which **122** we describe below for completeness. **123**

Answer-level Exact Match (F1_{EM}) As predict- 124 ing the exact ground truth answer set correctly is **125** very challenging, we report the F1-score of answer- **126** level exact match, denoted as $F1_{EM}$. For an answer a and reference answers set S, we define a **128** correctness score $c(f, a, S) = f(a, S)$ with respect 129 to function f. We use $f(a, S) = \mathbb{1}(a \in S)$ here. **130** Then, we calculate the F1-score over set-level pre- **131** cision and recall according to *c*. **132**

$$
P = \frac{\sum_{i=1}^{m} c(f, a_i, a^*)}{m}, R = \frac{\sum_{j=1}^{n} c(f, a_j^*, \hat{a})}{n}
$$

$$
F1_{EM} = \frac{2 \times P \times R}{P + R}
$$
¹³⁴¹³⁴

133

Answer-level F1 (F1_{F1}) The generated answer 136 may be semantically equivalent to one of the **137** ground truth answers, without being lexically **138** equivalent (e.g., "Friends" and "The TV show **139** Friends"). To account for such semantic equiva- **140** lences, we use F1 score between the tokens of **141** two answer strings instead of the exact match as a **142** correctness score, $f(a, S) = max_{a' \in S} (F1(a, a')).$ 143 Then, we compute F1-score over set-level precision 144 and recall as above. **145**

Statistical Testing As our evaluation datasets are **146** relatively small, we conduct paired bootstrap tests **147** throughout most of our experiments, highlighting **148** results that outperform baseline with p value of **149** ≤ 0.05 . **150**

	AmbigQA _{dev}			$OAMPARI_{dev}$	$OUEST_{test}$	
	Llama2	$GPT-3.5$	$GPT-3.5$ Llama2		Llama2	$GPT-3.5$
Random	18.0 / 28.9	20.0 / 31.6	10.3 / 20.8	15.0 / 28.5	3.4 / 11.0	6.0 / 16.6
Unknown		$17.2^{\ast}/28.2^{\ast}$ $20.3^{\ast}/33.1^{\ast}$		$10.9^{\circ}/22.0^{\circ}$ 14.8 / 27.9 [*]	$3.7^{\ast}/11.9^{\ast}$ $5.7^{\ast}/15.8^{\ast}$	
HalfKnown	$18.5^{\circ}/ 29.5^{\circ}$	$21.6^{\circ}/33.2^{\circ}$	$11.3^{\ast}/ 22.6$	$15.5^{\circ}/28.2^{\circ}$	$4.0^{\circ}/11.9^{\circ}$	$6.3^{\ast}/17.4^{\ast}$
Known		$18.3^{\ast}/29.0^{\ast}$ $21.3^{\ast}/33.1^{\ast}$ 9.8 / 19.7		$15.3 / 29.2^*$	$3.9^{\circ}/12.0^{\circ}$	5.4^{\ast} / 15.8

Table 1: Results comparing known example and unknown example. We present $F1_{EM}$ and then $F1_{F1}$ in each cell. Using half-known example outperforms other settings. We put ^{*} on scores that are significantly different from that of Random in-context examples set, and bold the highest performing set for each metric.

151 2.3 Base Models

 [L](#page-9-0)anguage Model We evaluate on Llama2 [\(Tou-](#page-9-0) [vron et al.,](#page-9-0) [2023\)](#page-9-0) (13B) language model mainly and additionally OPT [\(Zhang et al.,](#page-9-1) [2022\)](#page-9-1) (13B) and GPT-3.5-turbo models to evaluate generalization.

 [I](#page-8-9)n-context Example Retriever Prior work [\(Ru-](#page-8-9) [bin et al.,](#page-8-9) [2021\)](#page-8-9) has established that using seman- tically similar in-context examples improves the performance of in-context learning significantly. Throughout our study, we often retrieve top 5 most similar in-context examples from the entire train- ing set for each dataset to form the prompt. We place in-context examples in decreasing order of similarity, such that the most similar example will be presented closest to the evaluation question. We measure example similarities by encoding each question with a SimCSE model [\(Gao et al.,](#page-8-10) [2021\)](#page-8-10) and computing their dot product.

¹⁶⁹ 3 Known Examples vs. Unknown **¹⁷⁰** Examples

 Prior work has studied a few characteristics of suc- cessful in-context example set, such as label dis- tribution in the in-context example set [\(Min et al.,](#page-8-11) [2022\)](#page-8-11). We evaluate in-context examples with re- spect to model's parametric knowledge, whether a 176 "known" or "unknown" in-context example is bet- ter. We operationalize "known" ones as the ones where LLMs can get the answers correctly from its own parametric knowledge, and "unknown" ones as those that cannot be answerable from its para-metric knowledge.

182 3.1 In-context Example Set Study

183 We create four sets of in-context examples, differ-**184** ing in its difficulty for a given LM.

185 • UNKNOWN: examples for which the LM pos-**186** sesses no knowledge of the answers. Op-**187** erationally, these are examples when LM is

prompted with five most similar examples, LM **188** will predict zero answer correctly (i.e. zero **189** $F1_{EM}$ score). 190

- RANDOM: randomly sampled examples. Since **191** the LM possesses no knowledge to majority of **192** the examples, these exhibit $0.18 \mathbf{F1}_{\text{EM}}$ score on 193 average. **194**
- **HALFKNOWN:** examples for which the LM possesses roughly half knowledge of the answers **196** (i.e. $0.5 \text{ F1}_{\text{EM}}$ score). 197
- KNOWN: examples for which the LM possesses **198** full knowledge of the answers (i.e. $1.0 \text{ F1}_{\text{EM}}$ 199 score). **200**

As prior work [\(Rubin et al.,](#page-8-9) [2021\)](#page-8-9) has estab- **201** lished that the similarity of in-context example **202** to the query correlates strongly with the model's **203** performance, we control for this confounding fac- **204** tor. We compute the average similarity for each **205** in-context example candidate to other in-context **206** example candidates in the candidate set (training **207** set). Then, we choose a fixed number of in-context **208** examples whose average similarity value is close to **209** the median value.[1](#page-2-1) From this candidate set, we sam- **²¹⁰** ple five examples for each condition and use them **211** as fixed in-context examples across all questions in **212** the evaluation dataset. To further reduce random- **213** ness, we sample multiple sets of five example set **214** for each condition and report the average perfor- **215** mance (by default, four sets are sampled and two 216 sets are sampled for HALFKNOWN and KNOWN 217 set in QUEST because of lack of examples with **218** sufficient model knowledge). ²¹⁹

We present the performance of each in-context **220** example set for three datasets with Llama2 and **221** GPT-3.5 in Table [1.](#page-2-2) We observe the HALFKNOWN **222**

¹We choose 999 examples for AmbigQA and QAMPARI, and 499 for QUEST (as QUEST only has 1251 training examples), half from below median, half from above median. For QUEST, we could not find enough examples with where model score full F1EM score, so we selected highest scoring examples. The mid-range is (0.245, 0.264), (0.294, 0.296), (0.326, 0.373) for AmbigQA, QAMPARI, and QUEST.

		Unknown Random HalfKnown Known	
33.1	34.8	36.4	32.0

Table 2: The accuracy on GSM8K dataset. Accuracy is expressed as the percentage of correct answers over the entire test dataset, which consists of 1319 queries.

 in-context example set achieves strong perfor- mance consistently on both LMs. Since HALF- KNOWN with in-context examples that contain both answers that the model knows and doesn't know, we hypothesize this may successfully prompt LMs to leverage parametric knowledge and to make ed-ucated guesses.

230 3.2 Analysis

231 In this section, we provide two additional studies **232** with Llama2 model.

 Extension to Math QA Dataset We explore constructing in-context example sets with varying "knownness" for single-answer QA task. We chose GSM8K [\(Cobbe et al.,](#page-8-6) [2021\)](#page-8-6) dataset, a commonly used dataset for investigating the reasoning capa- bilities of LLMs. GSM8K consists of 8,500 natural language questions requiring arithmetic reasoning for obtaining an answer. To evaluate parametric knowledge available to solve each training exam- ple with the LM, we prompt each example with the 8-shot example set taken from [Wei et al.](#page-9-2) [\(2022b\)](#page-9-2) and classified as correct, wrong, or invalid, where invalid indicates that the model did not produce an answer. We construct four in-context example sets:

- **247** UNKNOWN set includes randomly selected six **248** examples that model answered incorrectly.
- **249** RANDOM set includes randomly selected six ex-**250** amples from entire training dataset. The LM cor-**251** rectly answer questions in training set for 20% **252** of questions.
- **253** HALFKNOWN set includes three correct and **254** three wrong examples.
- **255** KNOWN set includes randomly selected six ex-**256** amples that model answered correctly.

 We select six examples four times and report the averaged accuracy in Table [2.](#page-3-0) HALFKNOWN set achieves the highest accuracy, repeating the trend from multi-answer QA datasets.

261 Single Answer Study In this study, we further **262** control for variability in the question used in in-**263** context examplars. We fix the in-context example

Figure 2: Results of single answer study on Llama2 model. Only an answer at the x -th quantile of perplexities in decreasing order is presented in each in-context example. As the model gets exposed to more known answers, the performance tend to increase.

set and manipulate the multi-answer set, such that **264** we provide only one answer from multi answer **265** set for each in-context example. For example, if **266** a question in in-context example is "who was the **267** president of U.S.?", we can either provide a fa- **268** mous president or a lesser-known president as an **269** answer. Both are "correct" answers, but which **270** answer would lead to better model performance? **271**

For each question in our evaluation set, we re- **272** trieve top five most similar examples in training set **273** as in-context examples. We will measure perplex- **274** ity of each answer to approximate how well LM **275** 'knows' the answer. For each example, a pair of **276** question q and gold answer set $\{a_1^*, a_2^*, ... a_n^*\}$, we **277** form a prefix p by prepending top five most simi- **278** lar examples to the query $q²$ $q²$ $q²$. Then, we compute **279** the length normalized perplexity of each answer a_i^\ast and prefix p as follows: 281

$$
PP(a_i^*|p) = \prod_{j=1}^{|a_i^*|} P(w_{ij}|p, w_{i1}, ..., w_{i(j-1)})^{-\frac{1}{|a_i^*|}}
$$

We will order the gold answer set in descending **283** order of perplexity, and select an answer at the **284** x-th quantile. This way, an answer at the 100% **285** quantile represents the most 'known' answer, as its **286** perplexity is the lowest among the gold answers. **287**

Figure [2](#page-3-2) presents the $F1_{EM}$ score among various x-th quantile. We observe a clear trend across **289** all three datasets, that using a 'known' answer leads **290** LM to generate more accurate answer. These in- **291** context examples are incomplete, only presenting **292**

²We present an example prefix in Appendix G .

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 one answer while there are multiple valid answers. This leads to low performance overall, as LM will only generate a single answer (low recall). Yet, this experiment affirms that crafting in-context example by considering model's parametric knowledge can impact the final performances.

²⁹⁹ 4 Ordering Answers Based on LM's **³⁰⁰** Knowledge

 Prior work suggests that the ordering of in-context examples significantly impacts the performance, with more relevant examples being most benefi- cial when placed last [\(Zhao et al.,](#page-9-3) [2021\)](#page-9-3). Yet, no prior work has studied the ordering of answers in- side each in-context example. We investigate this here. Following our previous study, our focus is on parametric knowledge of LMs being prompted. Specifically, we question whether placing answers based on how well the model knows about answers improves the performance.

 We present strategies to order the answer set of each example, a pair of question q and its gold an**swer set** $a^* = \{a_1^*, a_2^*, ..., a_n^*\}$, which will be used as an in-context example.^{[3](#page-4-2)} We present two base- lines and two methods (PERPLEXITY, GREEDY) for ordering the gold answer set of each in-context example based on model's parametric knowlege.

 Baselines The RANDOM baseline randomly or- ders answers, and ALPHABET orders answers al- phabetically. While alphabetical ordering is not relevant to model's parametric knowledge of the answer, prior work [\(Madaan et al.,](#page-8-12) [2022\)](#page-8-12) has shown that consistent ordering of labels can improve the performance of fine-tuned LLM's predictions.

 Knowledge-Aware Ordering We decide order- ing based on the perplexity of individual answer given the prefix, or by performing greedy con- strained decoding given the prefix. We use the same prefix as in Section [3.2,](#page-3-3) a concatenation of five in-context examples. Each ordering strat- egy will yield two orderings of answers, which either sorts the answers in the descending order of model's parametric knowledge or ascending order (denoted as REVERSE).

336 • PERPLEXITY: We compute the length normal-337 **ized perplexity of each answer** a_i^* and prefix p as

Input: LM \mathcal{M} , Prefix p , Gold answer set a^* $*$ = $\{a_1^*, \ldots, a_n^*\}$, where each gold answer is a token sequence (i.e., $a_i^* = (w_{i_1}, \dots w_{i_{|a_i^*|}})$)

Output: Ordered answer indices of the gold answer set

1: $I_1 \leftarrow \{w_{1_1}, ..., w_{n_1}\}\$ 2: $u \leftarrow 1$ 3: while $I_1 \neq \emptyset$ do 4: $t \leftarrow 0$ 5: repeat 6: $t \leftarrow t + 1$ 7: $o_t \leftarrow \operatorname{argmax}_{w \in I_t} P_{\mathcal{M}}(w|p)$ 8: $p \leftarrow [p; o_t]$ 9: $I_{t+1} \leftarrow \{w_{i_{t+1}} | w_{i_t} == o_t\}$ 10: **until** $\exists a_{k_u}^* == (o_1, \ldots, o_t)$ {this assigns k_u the index of completed answer} 11: $I_1 \leftarrow I_1 \setminus \{w_{k_u1}\}$
12: $u \leftarrow u + 1$ $u \leftarrow u + 1$ 13: **return** $\{k_1, ..., k_n\}$

Figure 3: Algorithm for constrained decoding for GREEDY ordering.

used in Section [3.2.](#page-3-3) Then, we sort the answers in **338** ascending order of these perplexities, resulting **339** in 'known' answers placed earlier. **340**

• GREEDY: We arrange the gold answers by per- **341** forming a beam search decoding in a greedy man- **342** ner, constrained to permissible tokens. There will **343** be two loops, outer loop for selecting the first to- **344** ken of the generated answer, and inner loop for **345** completing the chosen first token. **346**

Figure [3](#page-4-3) presents the pesudocode, which we 347 explain below. Let's denote a_i^* as a sequence 348 of tokens $(w_{i_1}, w_{i_2}, ..., w_{i_{|a_i^*|}})$ for the *i*-th an- 349 swer. At each decoding step t, a set of permis- 350 sible tokens I_t is constructed. Initially, $I_1 =$ 351 $\{w_{1_1}, w_{2_1}, ..., w_{n_1}\}\$, a set of the first token for 352 each potential answer. We choose a token from **353** this set that has the highest likelihood given the **354** prompt, i.e., $o_1 = \text{argmax}_{w \in I_1} P(w|p)$. Then, 355 we update the prefix $p \leftarrow [p; o_1]$. This initiates 356 the inner loop, setting $I_2 = \{w_{i_2} | w_{i_1} = 0_1\}$ 357 as a set of second token of answers who starts **358** with the selected first token. This continues until 359 one of the answers a_{k_1} is fully generated. Af- 360 terwards, we come back to the outer loop, and **361** the initial set of permissible tokens is set to be **362** $I_1 = \{w_{1_1}, w_{2_1}, ..., w_{n_1}\} \setminus \{w_{t_1}\}$ excluding $a_{k_1}^*$ which has been already generated. This process 364 continues until all answers has been generated, **365** with a time complexity of $O(n|a_i^*$ |). **366**

5 Results for Answer Ordering Strategies **³⁶⁷**

Having introduced strategies for ordering answers **368** for in-context examples, we study how this im- **369**

³As reordering process is computationally expensive, proportional to the number of answers, we only consider examples that have less than 20 answers. This results in exclusion of 1 example in AmbigQA, 8094 examples in QAMPARI, and none in QUEST.

				-S		
		GREEDY	REVERSE GREEDY	PERPLEXITY	REVERSE PERPLEXITY	ALPHABET
\mathcal{D}_{e}	Ambig $QAdev$ $OAMPARI_{dev}$ OAMPARI _{test} OUEST _{dev} $OUEST_{test}$	71.7/66.0 69.6/60.0 70.0 / 65.7 78.4/63.9 81.0 / 63.3	39.2/37.2 42.2/41.0 43.0/41.7 47.2/45.8 45.7/45.3	69.5/65.8 58.1/54.1 58.8/55.8 57.1/51.5 57.6/52.5	38.1/34.2 46.3/45.9 45.0/44.2 49.3/48.5 48.8/47.5	87.4 / 55.6 95.0 / 58.9 94.9/58.1 95.7/52.1 95.6/50.8
	Average	74.1	43.5	60.2	45.5	93.7

Table 3: Percentage of generated answer ordering matching in-context examples answer ordering, where we use Llama2 for M . In each cell, we present the percentage from using corresponding answer ordering strategy first $(\phi(S, \mathcal{D}_{t}^{S}, \mathcal{D}_{e}, \mathcal{M}))$ and the percentage for randomly ordering answers for control $(\phi(S, \mathcal{D}_{t}^{S_{random}}, \mathcal{D}_{e}, \mathcal{M}))$.

 pacts the generation of answers with Llama2 and OPT. We first evaluate whether the generated an- swers mimic the ordering of answers in in-context examples. Then, we evaluate whether the order- ing impacts the size and the accuracy of predicted answer set. We also report whether two model's parametric knowledges are in sync, meaning, if one model knows about one fact, does the other model likely to know the same fact? We overall observe such patterns, particularly for QUEST dataset.

380 5.1 Does the predicted answer set follow the **381** ordering of in-context answer set?

 Throughout in-context learning, the model is ex- pected to learn the pattern shown in the demonstra- tions. We assess the generated answers to observe whether the model has followed the particular or-dering shown in the in-context examples.

Metric We introduce a metric $\phi(S, \mathcal{D}_{t}^{S^{t}}, \mathcal{D}_{e}, \mathcal{M})$. This measures how much LM M follows the an- swer ordering strategy S on evaluation dataset D_e when using in-context examples from training 391 dataset \mathcal{D}_t whose answered are ordered according 392 to S^t ^{[4](#page-5-0)}. When S matches S^t , this metric will mea- sure how much predicted outputs mimic the answer ordering strategy of in-context examples.

395 Let's denote $\hat{a}_i = \{a_{i_1}, a_{i_2}, ..., a_{i_m}\}$ be the list of predicted m answers for the i-th example of **an evaluation dataset** \mathcal{D}_e **, following its generation** order from model M. We reorder the predicted an- swers from \hat{a}_i with respect to S and denote $f(a_{ij})$ 400 to be the index of a_{ij} in the newly ordered set.

For each consecutive answer pair in \hat{a}_i , we evalu- ate whether their order is preserved after reordering. Then we count the number of consecutive answer pairs that have preserved the ordering, which is $P_i = \sum_{j=1}^{m-1} \mathbb{1}(f(a_{ij}) < f(a_{(i(j+1)})$. Similarly,

Figure 4: $\phi(S, \mathcal{D}_t^S, \mathcal{D}_e, \mathcal{M})$ vs. the number of generated answers across three datasets, where we use Llama2 for M. Instead of the raw number of answer set, we report the size difference compared to the answer set generated from random ordering. As ϕ increases, which signifies how faithfully LM follows the ordering strategy in incontext examples, the model generates more answers.

 $N_i = \sum_{j=1}^{m-1} \mathbb{1}(f(a_{ij}) > f(a_{(i(j+1)})$ represents 406 the number of pairs that violates the ordering. Then, **407** we compute micro average over \mathcal{D}_e . \blacksquare 408

$$
\phi(S, \mathcal{D}_t^{S^t}, \mathcal{D}_e, \mathcal{M}) = \frac{100 \cdot \sum_{i \in D_e} P_i}{\sum_{i \in D_e} (P_i + N_i)}
$$

Results Table [3](#page-5-1) presents the results for **410** Llama2 model, and we provide the results **411** for OPT model in Table [8](#page-12-0) in the appendix. **412** For each $\phi(S, \mathcal{D}_t^S, \mathcal{D}_e, \mathcal{M})$, we also report 413 $\phi(S, \mathcal{D}_t^{S_{\text{random}}}, \mathcal{D}_e, \mathcal{M})$ as a control. We found 414 that in every cell (except for one cell in Table **415** [8\)](#page-12-0), the first number is higher than the second **416** number, suggesting that the model follows the **417** answer ordering pattern presented in the in-context **418** examples. We found this is particularly true for **419** ALPHABET ordering, which is probably the easiest **420** pattern to learn. **421**

⁴We assume retrieving five most similar in-context examples for each evaluation example throughout this study.

OAMPARI	P_{EM}	R_{EM}	$F1_{EM}$	$F1_{F1}$
RANDOM	26.3 / 25.2	11.7 / 10.9	13.8 / 12.9	25.3 / 22.4
GREEDY	26.4 / 25.7	12.2 11.9 [*]	14.2 / 14.0^*	25.6 / 22.6
PERPLEXITY	26.7 / 26.4 [*]	12.4^{\ast} / 11.6 [*]	14.6° / 13.9 [*]	25.8 / 22.9
REVERSE GREEDY	26.5 / 25.8	$11.6 / 10.1^*$	13.9 / 12.4	25.1 / 21.8
REVERSE PERPLEXITY	27.0 / 26.7 [*]	11.7/11.0	14.0 / 13.3	25.2 / 22.5
ALPHABET	$24.5^{\ast}/23.5^{\ast}$	$12.7^*/11.8^*$	14.3 / 13.6	24.7 / 22.6
OUEST	P_{EM}	R_{EM}	$F1_{EM}$	$F1_{F1}$
RANDOM	23.9 / 24.8	17.9 / 19.7	18.3 / 19.9	27.2 / 27.8
GREEDY	23.8 / 24.8	$19.6^{\ast}/20.8^{\ast}$	$19.5^{\circ}/20.6^{\circ}$	28.6° / 28.4 $^{\circ}$
PERPLEXITY	24.3 / 24.8	$19.3^{\ast}/20.8^{\ast}$	19.4 / 20.6	28.0 / 28.4 [*]
REVERSE GREEDY	22.9 / 24.5	17.0 / 18.4^*	$17.4 / 18.8^*$	$26.3 / 26.5^*$
REVERSE PERPLEXITY	23.7 / 24.5	17.3 / 19.4	17.7 / 19.4	26.4 / 27.1 [*]
ALPHABET	$20.5^{\ast}/23.8^{\ast}$	17.6 / 20.4 [*]	17.0 / 20.0	$25.0^{\circ}/27.0^{\circ}$

Table 4: QA performance for answer ordering strategies on Llama2 (13B) model. P_{EM} and R_{EM} are precision and recall for calculating $F1_{EM}$. We present development set performance and then test set performance in each cell. Blue color indicates improved performance compared to Random and red indicates the opposite. We put [∗] on scores that are significantly different from that of Random ordering.

 We further observe that the model is decoding answers such that it will present confident an- swer first (following the orders of GREEDY and PERPLEXITY), even when answers in in-context example is randomly ordered. Even after intro- ducing consistent ordering (presenting less confi- dent answer first), the model shows propensity to present confident answer first (values for REVERSE GREEDY and REVERSE PERPLEXITY are below chance (50) consistently).

432 5.2 Does ordering impact the number of **433** generated answers?

 Unlike in simpler QA tasks where there is exactly one gold answer, models have to decide how many answers to generate. Would consistent ordering of answers allow the model to generate more answers?

 We report the number of generated answers for each ordering strategy for Llama model in Figure [4.](#page-5-2) We find that generation order impacts the number of generated answer, with ALPHABET ordering substantially increasing the number of generated answers the most. The results further suggest that an ordering pattern that is easier for the model to learn can prompt LM to generate more answers. We report the results for OPT model in Figure [8](#page-11-1) which shows the same trends.^{[5](#page-6-0)}

448 5.3 Does the ordering impact the QA **449** performance?

447

450 Lastly, we examine the end task (QA) performance **451** of different answer ordering strategies. Table [4](#page-6-1) presents the results on QAMPARI and QUEST **452** datasets on Llama2 model. Overall, we see that **453** answer ordering does not bring large impact in final **454** performance, but notice consistent patterns. Pre- **455** senting more confident answers first (GREEDY and **456** PERPLEXITY) yielded better results than their RE- **457** VERSE counterparts. GREEDY and PERPLEXITY **458** show gains mostly in recall, leading to increase in 459 both $F1_{EM}$ and $F1_{F1}$. Arbitrary, yet consistent or- **460** dering such as ALPHABET does not improve model **461** performance, sometimes rather leading to lower **462** performance. The trend holds for AmbigQA (re- **463** sults presented in Table [7](#page-12-1) in the appendix) though 464 not statistically significant. This might be caused **465** by smaller average answer set size compared to **466** that of other datasets $(2-3 \text{ vs. } 10+ \text{ answers})$. We 467 suggest ordering 'known' answer first in in-context **468** examples to improve model performance. **469**

For OPT model (result can be found in Table [9](#page-12-2) in **470** the appendix), we observe GREEDY and PERPLEX- **471** ITY show improved performance through gains in **472** recall for QUEST dataset but the results are mostly **473** random on other datasets. We plot the perplex- **474** ity of individual answer in train examples with **475** respect to two models in Figure [5.](#page-7-0) Overall, we **476** find that Llama2 contains more factual knowledge **477** than OPT, resulting in higher end task performance. **478** Two models exhibit similar knowledge for QUEST **479** as they strongly correlate, however OPT shows a **480** wider range of perplexities on other datasets, es- 481 pecially for answers that have low perplexity on **482** Llama2. We hypothesis carefully ordering between **483** answers will bring significant changes in end task **484**

⁵We did not measure it for GPT-3.5 as it is costly.

Figure 5: Plots of log answer perplexities from Llama2-13b (x-axis) and OPT-13b (y-axis). Horizontal and vertical lines indicate the mean value of log perplexities with respect to each LM. In all datasets, Llama2 outperforms OPT in its parametric knowledge, and the answers mostly report higher perplexity with OPT compared to Llama2.

 performance only when model exhibits sufficient parametric knowledge of subset of answers. When the model is not familiar enough with the gold an- swers in in-context examples, knowledge-aware answer ordering might have limited effectiveness.

490 5.4 Transfer to other base LMs

 So far we have measured the parametric knowledge on an language model and then use the same model for in-context prompting. In this section, we ex- periment using in-context example set constructed with parametric knowledge of one language model (Llama2), see how it impacts the generation of an- other language model (GPT-3.5). While different LMs have different pre-training data, the relative parametric knowledge might be similar for differ- ent LMs (e.g., famous entity to one LM remains famous for another LM). This also allows us to ex- periment with propriety black-box LM API easily, whose prediction probability is not always avail- able. We observe similar patterns as in the original experiments (GPT 3.5 results in Table [10](#page-13-0) in the ap- pendix), but the effect size is much smaller and not significant, potentially because of the difference in parametric knowledge between two models.

⁵⁰⁹ 6 Related Work

 Analysis on In-context Learning Many prior works investigate factors that determine the perfor- mance of in-context learning [\(Brown et al.,](#page-8-0) [2020\)](#page-8-0), such as the composition of the pre-training dataset [\(Xie et al.,](#page-9-4) [2022\)](#page-9-4), size of language model [\(Wei](#page-9-5) [et al.,](#page-9-5) [2022a\)](#page-9-5), number of pre-training tokens [\(Tou-](#page-9-0) [vron et al.,](#page-9-0) [2023\)](#page-9-0), and specific fine-tuning strategy employed [\(Wei et al.,](#page-9-6) [2021\)](#page-9-6). More closely related to ours, one line of work particularly focuses on factors related to the in-context examples, includ- [i](#page-8-11)ng the choice of verbalizer and templates [\(Min](#page-8-11) [et al.,](#page-8-11) [2022\)](#page-8-11), order of examples [\(Lu et al.,](#page-8-13) [2022;](#page-8-13)

[Pezeshkpour and Hruschka,](#page-8-14) [2023\)](#page-8-14), and the choice **522** [o](#page-8-9)f in-context examples [\(Liu et al.,](#page-8-1) [2021;](#page-8-1) [Rubin](#page-8-9) **523** [et al.,](#page-8-9) [2021;](#page-8-9) [Agrawal et al.,](#page-8-2) [2022;](#page-8-2) [Ye et al.,](#page-9-7) [2023\)](#page-9-7). **524** While past work is mainly centered around classi- **525** fication tasks, our work studies the task of multi- **526** answer QA, with a focus on how LM's paramet- **527** ric knowledge on in-context examples impact the **528** performance. In particular, our findings suggests **529** that answers with lower perplexity lead to more **530** accurate answer, which is congruent with recent **531** work that shows using lower perplexity prompts im- **532** proves model perplexity in general [\(Ye and Durrett,](#page-9-8) **533** [2023;](#page-9-8) [Iter et al.,](#page-8-15) [2023;](#page-8-15) [Gonen et al.,](#page-8-16) [2022\)](#page-8-16). **534**

Multi-answer QA Real-world questions could **535** naturally have multiple answers when a question **536** is ambiguous [\(Min et al.,](#page-8-3) [2020;](#page-8-3) [Stelmakh et al.,](#page-8-17) **537** [2022\)](#page-8-17), when a question is evaluated under differ- **538** [e](#page-9-9)nt temporal or geographical contexts [\(Zhang and](#page-9-9) **539** [Choi,](#page-9-9) [2021\)](#page-9-9), or when a question expects a set of **540** answers [\(Amouyal et al.,](#page-8-5) [2022;](#page-8-5) [Malaviya et al.,](#page-8-4) **541** [2023\)](#page-8-4). While most prior work tackles multi-answer **542** QA in the open-book setting by retrieving from ex- **543** ternal corpus [\(Shao and Huang,](#page-8-18) [2022;](#page-8-18) [Sun et al.,](#page-9-10) **544** [2023\)](#page-9-10), we study the problem in the close-book set- **545** ting, which prompts LLMs to generate the answers **546** based on their parametric knowledge. **547**

7 Conclusion **⁵⁴⁸**

We present comprehensive studies on knowledge- **549** aware prompt design for multi-answer QA tasks. **550** Our findings underscore the benefits of having in- **551** context examples that the language model is fa- **552** miliar with. First, the HALFKNOWN set aids the **553** model in effectively accessing its parametric knowl- **554** edge. Second, employing knowledge-aware order- **555** ing of presenting answers in descending order of **556** the model's knowledge enhances the overall pro- **557** cess of answer generation. **558**

⁵⁵⁹ Limitations

 Our study mainly focuses on multi-answer QA datasets. The analysis can be extended to a wide range of tasks that requires different types of rea- soning ability. Also, we find that the end task performance gets less impacted when random in- context examples are used (Appendix [F\)](#page-11-2). Further studies can be conducted with diverse in-context example retrieval methods as well as cover multiple languages.

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⁷²⁴ A Dataset Statistics

725 We report the dataset statistics in Table [5.](#page-11-3)

⁷²⁶ B Similarity of In-Context Examples

 We calculate the similarity score of two in-context examples using SimCSE embeddings of each query. Figure [6](#page-10-1) illustrates the similarity distributions across three datasets.

Figure 6: Similarity distributions among in-context example candidates. The x-axis denotes embedding similarity (with SimCSE [\(Gao et al.,](#page-8-10) [2021\)](#page-8-10) encoder) and the y-axis indicates the percentage of each bin. The median value for each dataset is 0.254, 0.295, 0.350.

⁷³¹ C Experimental Details

732 C.1 Resources

 All experiments are conducted on NVIDIA A40 GPU. A single evaluation for AmbigQA and QUEST (development split) took around 20 min- utes. QAMPARI (development and test split) took around 1 hours. QUEST (test split) took around 2 hours, due to its largest size.

739 C.2 Statistical Testing

 We conduct paired bootstrap tests with 10000 boot- strap samples throughout our experiments (Sec- tion [2.2\)](#page-1-0). Since we have multiple (two or four) in-context example sets for experiments in Section [3,](#page-2-0) we randomly sample one in-context example set of each class (UNKNOWN, HALFKNOWN, KNOWN, and RANDOM) and conduct testing.

⁷⁴⁷ D In-Context Example Set Study

748 In Table [6,](#page-11-4) we present the results from Section [3.1](#page-2-3) 749 for QAMPARI_{test} and QUEST_{dev} on Llama2.

E Answer Ordering Strategies **⁷⁵⁰**

E.1 Single Answer Study **751**

We examine the effectiveness of answer ordering **752** strategies discussed at Section [4.](#page-4-0) We provide only **753** one answer at the forefront of each ordered an- **754** swers in in-context examples. Since an answer **755** from GREEDY and PERPLEXITY is 'known' to **756** the model, they may serve as an upper bound of **757** 'known' answer, while REVERSE GREEDY and RE- **758** VERSE PERPLEXITY may serve as a lower bound. **759** RANDOM exists somewhere between these. The **760** disparities among these are clear, as shown in Fig- **761** ure [7.](#page-10-2) The results suggest that the model is able to **762** differentiate ordering strategies. **763**

Figure 7: Answer-level Exact Match $(F1_{EM})$ score for demonstrating only one frontmost answer of an ordering methodology on Llama2 model.

E.2 AmbigQA results **764**

We present the performance of answer ordering 765 strategies on AmbigQA dataset in Table [7.](#page-12-1) **766**

E.3 Results on OPT 13B model **767**

We present the results of experiments in Section **768** [5](#page-4-1) with OPT 13B model. With respect to follow- **769** ing the ordering strategy of in-context examples **770** (Section [5.1,](#page-5-3) [5.2\)](#page-6-2), we find that the results hold for **771** OPT LLM model as well (Table [8\)](#page-12-0). However, the **772** end task performance results are somewhat mixed **773** (Table [9,](#page-12-2) Figure [8\)](#page-11-1). We observe consistent results **774** of end task performance on QUEST dataset but **775** the results are mostly random on AmbigQA and **776** QAMPARI dataset. **777**

E.4 Results on GPT-3.5 model **778**

GPT-3.5-turbo model tends to generate lengthy and **779** chatty outputs such as "There is not enough infor- **780**

	AmbigQA		OAMPARI			QUEST		
	Train	Dev.	Train	Dev.	Test	Train	Dev.	Test
$#$ Examples	4,615	1,048	50,372	1,000	1,000	1,251	316	1,669
Avg. # of answers	2.8	3.1	14.0	13.2	13.1	10.9	10.7	10.7
Query length	46.9	46.7	67.8	57.7	55.8	54.0	52.2	53.3
Answer length	15.9	14.5	14.4	17.3	16.6	17.2	16.7	17.0
Answer sequence length	45.2	45.4	200.9	228.5	217.6	187.0	179.0	182.4
# Unique answers	10.684	2,999	455,469	12.462	12.464	10.160	3.050	12,367

Table 5: Dataset statistics. Lengths of query, answer, and answer sequence are measured by the length of each string. # Unique answers counts unique answers within each split. Duplicated questions are removed from training sets.

	OAMPARI _{test}		$\text{QUESTION}_{\text{dev}}$	
	$F1_{EM}$	$F1_{F1}$	$F1_{EM}$	$F1_{F1}$
Random	10.0	19.3	4.0	12.1
Unknown	10.6	20.2^*	4.4	13.2^*
HalfKnown	11.2^*	$20.9*$	$4.9*$	13.1^*
Known	99	18.6	4.3^*	12.8^*

Table 6: Results comparing known example and unknown example. We put ^{*} on scores that are significantly different from that of Random in-context examples set, and bold the highest performing set for each metric.

Figure 8: $\phi(S, \mathcal{D}_t^S, \mathcal{D}_e, \mathcal{M})$ vs. the number of generated answers across three datasets, where we use OPT (13B) model for M.

781 mation given to answer this question". Therefore **782** we add a short instruction as following: "Follow **783** the answers pattern".

 Table [10](#page-13-0) shows the results for experiment in Section [5.4.](#page-7-1) We do not experiment on GREEDY and REVERSE GREEDY because we do not think that a greedy ordering will be effectively transferred between different LMs.

F Random Examples

790 Prior works have highlighted the importance of **791** relevant in-context examples, such as those based **792** [o](#page-8-19)n similarity [\(Liu et al.,](#page-8-1) [2021\)](#page-8-1) and diversity [\(Levy](#page-8-19) [et al.,](#page-8-19) [2022\)](#page-8-19). Yet, many studies do not do example **793** specific retrieval and use random examples for its **794** simplicity. Throughout our experiments (except for **795** Section [3.1](#page-2-3) which constructs universal in-context **796** set for all examples in evaluation dataset), we re- **797** trieved similar in-context examples for each evalu- **798** ation example. How would our results hold if we **799** use randomly select in-context examples? **800**

First, with randomly retrieved in-context exam- **801** ples, models still learn to follow the answer or- **802** dering strategy shown in in-context examples but **803** substantially less than when using similar incon- **804** text examples (Table [11\)](#page-13-1). Second, we find that the **805** number of generated answer is affected similarly, 806 with using ALPHABET ordering leads to the high- 807 est number of generated answers. However, we **808** see invariant performances on end tasks (Table [12\)](#page-13-2). Carefully constructing relevant in-context exam- **810** ples is more meaningful than doing it for random **811** in-context examples. This suggests that if you do **812** not have large enough training examples to recover **813** semantically relevant in-context examples, careful 814 construction of prompt might not yield changes in **815** end task performance. **816**

G Prompts **⁸¹⁷**

Throughout Table [13](#page-14-0) to Table [16,](#page-15-0) we present the **818** prompts used in our experiments. **819**

AmbigOA	P_{EM}	R_{EM}	$F1_{EM}$	$F1_{F1}$
RANDOM	27.1	17.9	20.0	31.3
GREEDY	27.2	18.5	20.5	31.7
PERPLEXITY	27.4	18.4	20.5	31.8
REVERSE GREEDY	27.1	17.8	20.1	31.5
REVERSE PERPLEXITY	27.3	17.9	20.2	31.8
ALPHABET	26.7	182	20.3	31.2

Table 7: QA performance on AmbigQA dataset on Llama2 model. The table is formatted the same as Table [4.](#page-6-1)

				S		
		GREEDY	REVERSE GREEDY	PERPLEXITY	REVERSE PERPLEXITY	ALPHABET
	Ambig $QAdev$	60.3 / 58.3	43.7/42.2	68.8/58.1	49.5/41.9	75.5/50.5
	$OAMPARI_{dev}$	62.8/52.1	39.0 / 39.6	60.0 / 55.1	52.1/44.9	87.8/52.0
\mathcal{D}_{e}	$OAMPARI_{test}$	63.1/52.4	39.7/39.1	61.8/56.7	52.1/39.1	85.4/47.3
	OUEST _{dev}	70.5/49.1	44.0 / 42.5	60.0 / 57.1	53.1/42.9	91.1/67.6
	$OUEST_{test}$	75.3/57.5	46.3/45.5	60.1 / 54.0	50.6/46.0	92.3/51.6
	Average	66.4	42.5	62.1	51.5	86.4

Table 8: Percentage of generated answer ordering matching in-context examples answer ordering, where we use OPT (13B) model for $\overline{\mathcal{M}}$. The table is formatted the same as Table [3.](#page-5-1)

 \overline{a}

Table 9: QA performance for answer ordering strategies with OPT (13B) model. The table is formatted the same as Table [4.](#page-6-1)

AmbigOA	P_{EM}	R_{EM}	$F1_{EM}$	$F1_{F1}$
RANDOM	28.2	22.1	23.1	35.7
PERPLEXITY	28.8	23.1^*	23.9	36.5
REVERSE PERPLEXITY	29.0	22.3	23.5	35.3
ALPHABET	28.4	22.5	23.5	35.8
<i>OAMPARI</i>	P_{EM}	R_{EM}	$F1_{EM}$	$F1_{F1}$
RANDOM	23.4 / 23.2	18.7 / 18.5	18.4 / 18.4	30.1/28.4
PERPLEXITY	23.9/22.9	19.5 / 19.1	18.9/18.5	30.4/29.1
REVERSE PERPLEXITY	23.2/23.1	18.2 / 18.5	18.2/18.3	30.2 / 28.5
ALPHABET	23.4/23.0	17.3^{\ast} / 17.8	17.8/18.0	29.0° / 27.5
OUEST	P_{EM}	R_{EM}	$F1_{EM}$	$F1_{F1}$
RANDOM	15.0 / 16.4	16.7/17.6	14.8 / 15.8	25.5/26.4
PERPLEXITY	16.6 / 17.0	17.7/18.6	15.9/16.5	26.8/26.8
REVERSE PERPLEXITY	16.2/16.5	17.5/17.8	15.5/15.9	26.6/26.4
ALPHABET	15.5/17.0	16.2/17.6	14.9 / 16.2	24.9/25.5

Table 10: QA performance for answer ordering strategies with GPT-3.5 model. We use the particular answer ordering from Llama2 and transfer to GPT-3.5 model. The table is formatted the same as Table [4](#page-6-1) except we do not experiment on GREEDY and REVERSE GREEDY.

				S		
		GREEDY	REVERSE GREEDY	PERPLEXITY	REVERSE PERPLEXITY	ALPHABET
	AmbigOA _{dev}	69.6/68.9	33.7/32.8	70.2/70.5	68.9/29.5	83.6/62.5
	$OAMPARI_{dev}$	63.2/59.8	40.7/40.3	57.0/57.3	57.3/42.7	92.6 / 65.9
\mathcal{D}_{e}	OAMPARI _{test}	61.2/61.4	43.5/43.2	57.5/56.4	57.5/43.6	92.7/60.7
	$OUEST_{dev}$	55.4/52.6	39.3/40.2	59.1/57.4	57.1/42.6	88.5/59.7
	$OUEST_{test}$	56.8/54.0	38.9 / 40.1	56.9/56.4	56.4/43.6	86.7/60.9
	Average	61.2	39.2	60.1	59.4	88.8

Table 11: Percentage of generated answer ordering matching in-context examples answer ordering, where we employ random in-context examples instead of most similar examples. The table is formatted the same as Table [3.](#page-5-1)

		$OUEST_{dev}$			$QUEST_{test}$	
	$F1_{EM}$	$F1_{F1}$	# ans	$F1_{EM}$	$F1_{F1}$	# ans
RANDOM	4.4	12.9	3.42	3.5	11.2	3.41
GREEDY	4.7	12.5	4.51	3.4	10.9	4.49
PERPLEXITY	4.7	13.0	3.60	3.4	11.1	3.62
REVERSE GREEDY	4.0	12.5	3.51	3.3	11.1	3.11
REVERSE PERPLEXITY	4.6	12.6	3.09	3.6	11.4	3.28
ALPHABET	4.5	11.2	5.84	3.0	9.4	5.99

Table 12: QA performance for answer ordering strategies with random in-context examples. We bold the highest performing set for each metric.

Table 13: Prompt example of AmbigQA

Table 14: Prompt example of QAMPARI

Table 15: Prompt example of QUEST

Table 16: Prompt example of GSM8K