Ahead-of-Time P-Tuning

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Abstract

 This paper proposes a new parameter-efficient method for fine-tuning, AoT P-Tuning. This method adds input-dependent biases before evaluating the Transformer layer, reducing the required evaluation time when compared to P- Tuning. Same as P-Tuning, AoT P-Tuning al- lows multi-task inference with a single back- bone model for evaluating different tasks in a single batch. We experimented with the pro- posed method on the GLUE and SuperGLUE benchmarking datasets using RoBERTa-Base, RoBERTa-Large, and DeBERTa-XL backbone models. Our observations show that AoT P- tuning performed on par with or better than P-015 Tuning v2 while being up to $1.3 \times$ times faster 016 during inference.

1 Introduction

 P-Tuning [\(Liu et al.,](#page-8-0) [2021b](#page-8-0)[,a;](#page-8-1) [Lester et al.,](#page-8-2) [2021\)](#page-8-2) is a promising way to fine-tune large Language Mod- els (LMs) [\(Devlin et al.,](#page-7-0) [2019;](#page-7-0) [Lan et al.,](#page-8-3) [2020;](#page-8-3) [Liu et al.,](#page-8-4) [2019;](#page-8-4) [Radford et al.,](#page-8-5) [2019\)](#page-8-5). While it currently underperforms compared to other meth- ods for parameter-efficient fine-tuning [\(Hu et al.,](#page-8-6) [2022;](#page-8-6) [Houlsby et al.,](#page-8-7) [2019\)](#page-8-7) on a wide range of tasks [\(Ding et al.,](#page-7-1) [2022\)](#page-7-1), it has a practical, valuable property that allows it to evaluate different trained prompts parallel in a multi-task manner (i.e., a sin- gle backbone LM could be used for different tasks during inference, which can simplify model serv- ing in real-world applications) [\(Lester et al.,](#page-8-2) [2021\)](#page-8-2). This property is why researchers aim to further develop P-Tuning methods.

 Although it is possible to perform multi-task evaluation with P-Tuning, it introduces significant computational overhead due to the concatenation of prefixes to sequences and the evaluation of the at- tention mechanism [\(Vaswani et al.,](#page-8-8) [2017\)](#page-8-8) on longer sequences.

 We propose a simple mechanism for parameter-efficient fine-tuning of Language Models, namely

Figure 1: GLUE and SuperGLUE Macro scores (higher is better) for different backbone model scales with plain Fine-Tuning, P-Tuning v2, and proposed AoT P-Tuning (with FC reparametrization). Based on these experiments, AoT P-Tuning performed on par with or better than P-Tuning v2. See Section [5.2](#page-5-0) for more details.

Ahead-of-Time (AoT) P-Tuning, for which we **041** add input-dependent bias before each Transformer **042** layer. Same as P-Tuning, it is possible to use AoT **043** P-Tuning in multi-task inference setups when a **044** single backbone LM is used for several downstream **045** tasks. **046**

The contributions of this paper can be summa- **047** rized as follows: **048**

- 1. We described the intuition behind AoT P- **049** Tuning, which illustrates the connection of **050** the proposed method with P-Tuning. **051**
- 2. We proposed two reparameterizations of AoT **052** P-Tuning weights: first based on a factorized **053**

Figure 2: Schematic comparison of P-Tuning v2 (left), and AoT P-Tuning (right). While plain P-Tuning concatenates soft prompts to sequences and thus causes computational overhead, AoT P-Tuning directly adds input-dependent biases to Q, K , and V matrices. See Section [4](#page-2-0) for more details on AoT P-Tuning architecture. Since the sequence length is not increased, AoT P-Tuning takes significantly less time to evaluate, only requiring the overhead of adding biases to the input sequence (See Section [5.3](#page-6-0) for experiments with inference speed).

054 matrix trained from scratch, and second based **055** on a LM's embeddings matrix passed through **056** a trainable Fully Connected network.

 3. We experimented with the proposed method on GLUE and SuperGLUE Benchmarking Datasets [\(Wang et al.,](#page-8-9) [2018,](#page-8-9) [2019\)](#page-8-10) with the RoBERTa [\(Liu et al.,](#page-8-4) [2019\)](#page-8-4) and DeBERTa [\(He](#page-8-11) [et al.,](#page-8-11) [2020\)](#page-8-11) models and observed that AoT P-Tuning performed on par with or better than P-Tuning v2 [\(Liu et al.,](#page-8-1) [2021a\)](#page-8-1) while being 064 up to $1.3 \times$ times faster during evaluation.

⁰⁶⁵ 2 Recent Works

 Currently, a wide range of different methods could be referenced with P-Tuning. [Liu et al.](#page-8-0) [\(2021b\)](#page-8-0) proposed to add soft prompts to the embeddings of GPT-2's input sequence [\(Radford et al.,](#page-8-5) [2019\)](#page-8-5) to train it on classification tasks. [Lester et al.](#page-8-2) [\(2021\)](#page-8-2) [p](#page-8-0)roposed a scheme similar to the one used in [Liu](#page-8-0) [et al.](#page-8-0) [\(2021b\)](#page-8-0), but trained a T5 model [\(Raffel et al.,](#page-8-12) [2020\)](#page-8-12) with P-Tuning to show how the performance of the method changes with the increased scale of the backbone model.

076 Recently, [Qin and Eisner](#page-8-13) [\(2021\)](#page-8-13); [Li and Liang](#page-8-14) [\(2021\)](#page-8-14); [Liu et al.](#page-8-1) [\(2021a\)](#page-8-1) proposed to add prefixes not only to input embeddings but also at each layer of the Transformer model. In addition, [Liu et al.](#page-8-1) [\(2021a\)](#page-8-1) suggested training a linear classification head on top of the backbone model instead of uti-lizing a LM head to obtain classification results.

083 Due to this range of similar methods, we will fol-

low the naming used by [Liu et al.](#page-8-1) [\(2021a\)](#page-8-1) and refer **084** to Prompt-Tuning (adding soft prompts to the input **085** embeddings) as P-Tuning v1 and to Prefix-Tuning **086** (adding soft prefixes at each layer of Transformer **087** backbone) as P-Tuning v2. **088**

3 Background **⁰⁸⁹**

3.1 P-Tuning v1 090

For readers conviniece, we provided background **091** of Transformer evaluation in Section [A.](#page-8-15) Having **092** a pre-trained Transformer LM with parameters Θ, **093** instead of fine-tuning all parameters of this model **094** on a downstream task, it is possible to define soft **095** prompts $P \in \mathbb{R}^{p \times d}$ [\(Liu et al.,](#page-8-0) [2021b\)](#page-8-0), where p is **096** the length of prompt. P is then concatenated to 097 input sequence embeddings as: **098**

$$
\boldsymbol{H}'^0 = \text{concat}(\boldsymbol{P}, \boldsymbol{H}^0) \in \mathbb{R}^{(p+n) \times d}.\quad (1) \quad\text{099}
$$

Then, only P and Classification Head are finetuned on a downstream task, while Θ remains **101** frozen^{[1](#page-1-0)}. Such parametrization of fine-tuning makes 102 it possible to perform multi-task inference. **103**

3.2 P-Tuning v2 104

Instead of concatenation of a single prompt P 105 to the H^0 , [Liu et al.](#page-8-1) [\(2021a\)](#page-8-1) proposed to con-

¹Original implementation of P-Tuning v1 [\(Liu et al.,](#page-8-0) [2021b\)](#page-8-0) implied utilizing the LM Head of a pre-trained model instead of training a Classification Head. However, [Liu et al.](#page-8-1) [\(2021a\)](#page-8-1) later showed that using a separate Classification Head performs marginally better.

 catenate soft prefixes at each layer of the Trans- former model. To apply P-Tuning v2, soft pre-**fixes** $P_K, P_V \in \mathbb{R}^{p \times d}$ are defined for each layer 110 and concatenated to the **K** and **V** matrices before evaluating the attention $K' = \text{concat}(P_K, K)$, $V' = \text{concat}(P_V, V)$. Then, Attention is evalu-ated as follows:

$$
A' = \text{attention}(Q, K', V'), \tag{2}
$$

115 where i -th component of A' could be then writ-**116** ten as:

$$
A'_{i} = \sum_{j=1}^{p} a_{j}(\boldsymbol{Q}_{i}, \boldsymbol{K}') P_{V_{j}} + \sum_{k=1}^{n} a_{k+p}(\boldsymbol{Q}_{i}, \boldsymbol{K}') V_{k}.
$$
\n(3)

118 Note that $a \in \mathbb{R}^{p+n}$ are attention weights for the 119 i -th token (we omit the *i*-th index for simplicity) 120 **and thus** $\sum_{j=1}^{p+n} a_j = 1$.

 As for P-Tuning v1, only parameters of soft **prefixes** P_K **,** P_V **and Classification Head are op-** timized on a downstream task while freezing the parameters of a backbone model.

125 3.3 On the Overhead of P-Tuning

126 While the Transformer model has $\mathbb{O}(n^2)$ time com- plexity and GPU memory consumption for se- quence length n. For P-Tuning v1, this complexity **transforms into** $\mathbb{O}((n+p)^2)$ since the length of input sequence is increased by the length of the **prompt p, while for P-Tuning v2 the complexity is** 132 equal to $\mathbb{O}(n(n+p)).$

133 [Liu et al.](#page-8-1) [\(2021a\)](#page-8-1) showed that for some tasks, the **134** prompt length p could reach values of 100, increas-**135** ing time and memory footprint during evaluation.

¹³⁶ 4 Ahead-of-Time P-Tuning

137 4.1 Proposed Mechanism

 With AoT P-Tuning, we propose to augment each Transformer layer with a simple procedure. We **define trainable matrices** $P \in \mathbb{R}^{|V| \times d}$ **for each** layer. Then, before the evaluation of the i-th layer, we modify the hidden states as follows:

$$
H^{\prime i} = H^i + \{P_{x_1}, \ldots, P_{x_n}\} \in \mathbb{R}^{n \times d}, \qquad (4)
$$

144 where $P_{x_j} \in \mathbb{R}^d$ is a lockup of x_j -th prompt em-145 bedding from P. Such a scheme allows us to save a significant amount of time during evalua- **146** tion since AoT P-Tuning does not imply an in- **147** crease in sequence length. While P in naive 148 implementation will require lot of memory to **149** store parameters, in the following Section [4.3,](#page-3-0) we **150** describe reparametrizations which make training **151** more tractable. **152**

Note that AoT P-Tuning, same as plain P-Tuning, **153** could be evaluated in parallel with several tasks in a **154** batch due to the fact that performing look-up from **155** P can be easily parallelized. **156**

As for P-Tuning v1 and P-Tuning v2, we only 157 optimize parameters of P and Classification Head **158** during fine-tuning. 159

4.2 Intuition Behind AoT P-Tuning and **160 Connection to the P-Tuning 161**

One may note that the proposed method is more **162** similar to Adapters Tuning [\(Houlsby et al.,](#page-8-7) [2019\)](#page-8-7) 163 than P-Tuning. Although, Adapters do not im- **164** ply performing multi-task inference, thus we refer **165** to the proposed method as a variant of P-Tuning, **166** rather than a special case of Adapters. Further- **167** more, considering [Ding et al.](#page-7-1) [\(2022\)](#page-7-1); [He et al.](#page-8-16) **168** [\(2022\)](#page-8-16), most methods for parameter-efficient fine- **169** tuning could be seen with a unified view, and thus **170** Adapters could be seen as a variant of P-Tuning **171** and vice versa. **172**

Having H' , after passing through W_Q , W_K , 173 and W_V we obtain Q' , K' , and V' . Note that 174 $\bm{V}' \; = \; \bm{H} \bm{W}_V \, + \, \{ \bm{P}_{x_1}, \dots, \bm{P}_{x_n} \} \bm{W}_V \; \stackrel{\text{def}}{=} \; \bm{V} \, + \qquad \qquad \,$ 175 P_xW_V . 176

The result of evaluating Attention with AoT P- **177** Tuning could be seen as: **178**

$$
A'_{i} = \sum_{j=1}^{n} a_{j}(Q'_{i}, K')P_{x_{j}}W_{V} + \newline + \sum_{j=1}^{n} a_{j}(Q'_{i}, K')V_{j}.
$$
\n
$$
(5)
$$

(5) **¹⁷⁹**

From such a perspective, there is a clear connection between AoT P-Tuning (Equation [5\)](#page-2-1) and P- **181** Tuning v2 (Equation [3\)](#page-2-2) with the following changes: **182**

- 1. For AoT P-Tuning, attention weights $a_j, j \in \{183\}$ $\overline{1, l}$ are used for both terms in Equation [5.](#page-2-1) **184**
- 2. For AoT P-Tuning, attention is evaluated on **185** modified Q′ . In addition, there is a difference **186** in the form of dependency of K' and V' on 187 prefix weight. For AoT P-Tuning, we add **188**

189 **prefixes to K** and V, while for P-Tuning v2, **190** prefixes are concatenated to these matrices.

 3. For AoT P-Tuning, the first term of Equation [5](#page-2-1) implies evaluation of Attention with a prompt which is dependent on the input text, while 194 for P-Tuning v2, the prompt P_V is constant.

195 Considering Equation [5,](#page-2-1) AoT can be seen as a **196** form of the P-Tuning method, for which we embed 197 **prefixes before evaluating the attention layer^{[2](#page-3-1)}.**

198 4.3 On the Parameter Efficiency of AoT **199** P-Tuning

 It is notable that, in most cases, it is not feasible 201 to optimize the weight $P \in \mathbb{R}^{|V| \times d}$ for each layer. If we consider training RoBERTa-Large with such **a scheme (which has** $|V| = 50265$ **,** $d = 1024$ 204 and $l = 24$), then storing all biases P will exceed 1.2B parameters, while the model itself has roughly 350M parameters.

207 To overcome this limitation, we propose two **208** reparametrizations of P so that it can use fewer **209** parameters during training.

210 The first is based on the Kronecker product **211** (namely, Kronecker AoT P-Tuning). More specif-**212** ically, we reparametrize P as

$$
P = (W_L \otimes W_M)W_R, \qquad (6)
$$

where $W_L \in \mathbb{R}^{a \times r}$ **,** $W_M \in \mathbb{R}^{b \times r}$ **,** $W_R \in \mathbb{R}^{b \times r}$ $\mathbb{R}^{r^2 \times d}$, *a* and *b* are selected in such a way so $a*b = |V|$, r is the factorization rank which is a hy- perparameter to tune, and ⊗ denotes the Kronecker **218** product.

 With this reparametrization, training AoT P- Tuning becomes tractable. E.g., for RoBERTa- Large, with $a = 256$, $b = 200$, and $r = 20$, P will contain roughly 10M parameters, which is less than 3% of the total number of parameters in the model[3](#page-3-2) **224** .

225 The second approach to work with P , which we **226** used in our experiments, is based on passing the

embeddings matrix E through a learnable Fully **227** Connected network (namely, FC AoT P-Tuning). **228** Thus, we reparametrize P as 229

$$
P = f(EW_1 + b_1)W_2 + b_2, \t(7) \t(230)
$$

where $W_1 \in \mathbb{R}^{d \times r}$, $b_1 \in \mathbb{R}^r$, $W_2 \in \mathbb{R}^{r \times d}$ $\mathbf{b}_2 \in \mathbb{R}^d$, f is a non-linearity, and r is the mapping 232 rank, which is also a hyperparameter to tune, same **233** as for Kronecker AoT P-Tuning. **234**

With FC AoT P-Tuning, we utilize knowledge 235 stored in the pre-trained embeddings matrix E , $\qquad \qquad$ 236 which should hypothetically perform better than 237 training P from scratch as Kronecker AoT P- **238 Tuning.** 239

Note that for both Kronecker and FC AoT **240** P-Tuning, we can evaluate only specific rows **241** $\{P_{x_1}, \ldots, P_{x_n}\}\$ for input sequence $\{x_1, \ldots, x_n\}$, 242 making training more efficient. **243**

For both reparametrizations, P could be fused 244 once training is complete, and thus the rank of fac- **245** torization r does not affect inference speed. During **246** the evaluation, there is no need to store the full **247** P in GPU memory. Instead, it could be stored in **248** RAM, and only rows of these matrices should be **249** placed in GPU memory to be added to the hidden **250** states before each layer. **251**

From a certain perspective, choosing between **252** AoT P-Tuning and P-Tuning is a trade-off be- **253** tween evaluation speed and RAM consumption **254** during inference. If RAM is limited, then usual **255** P-Tuning could be used at the cost of slower in- **256** ference. In other cases, AoT P-Tuning is viable **257** if there is enough RAM and inference speed is **258** crucial. Although, in most cases, P matrices for **259** different tasks could be easily stored in the RAM. **260** For RoBERTa-Large, a single task parameter will **261** require roughly 2.4Gb if stored in half-precision. **262**

However, as we observed later in our experi- **263** ments, performing fusing is not crucial for FC AoT **264** P-Tuning, and the re-evaluation of $\{P_{x_i}, \ldots, P_{x_n}\}$ 265 for each sequence ran at 98.5% the speed of fused **266** P (See Section [5.3](#page-6-0) for more details). **267**

5 Experiments **²⁶⁸**

5.1 Experimental Details **269**

We compared AoT P-Tuning (Kronecker and FC 270 reparametrizations of P) with other fine-tuning 271 methods capable of performing multi-task infer- **272** ence: P-Tuning v1, P-Tuning v2 on GLUE and Su- **273** perGLUE [\(Wang et al.,](#page-8-9) [2018,](#page-8-9) [2019\)](#page-8-10) Benchmarking **274**

 2 It is possible to think of AoT P-Tuning as a method which adds bias after the evaluation of the Transformer layer. In this case, it could be seen as a method that directly models the result of the evaluation of P-Tuning v2 with a slightly different computation order. However, we believe that this way is more difficult to consider.

³One may note that $256 * 200 = 51200 \neq 50265$. However, 50265 is difficult to factorize efficiently since 50265 = $1117 \times 3^2 \times 5$. Because of this, we chose to mostly factorize P in such a way as to make it slightly larger than the original vocabulary size. Doing so allows us to select more appropriate a and b from the perspective of parameter and computational efficiency.

RoBERTa-Base						
Model	STS-B	SST-2	RTE	QQP		
Fine-Tuning	90.6 ± 0.3	95.0 ± 0.2	81.2 ± 0.7	89.6 ± 0.2		
P-Tuning $v1$	86.9 ± 0.9	94.0 ± 0.3	60.3 ± 2.4	82.2 ± 1.5		
P-Tuning v2	89.2 ± 0.3	94.6 ± 0.2	80.5 ± 3.4	86.4 ± 3.3		
Kron. AoT P-Tuning (ours)	89.7 ± 0.2	94.0 ± 0.2	77.6 ± 1.4	88.2 ± 0.1		
FC AoT P-Tuning (ours)	90.0 ± 0.2	94.4 ± 0.3	78.0 ± 1.3	87.9 ± 0.2		
	QNLI	MRPC	MNLI	CoLA	Macro	
Fine-Tuning	92.4 ± 0.1	90.8 ± 0.5	87.0 ± 0.3	63.8 ± 1.4	86.3	
P-Tuning $v1$	88.3 ± 0.5	82.0 ± 1.7	80.8 ± 0.6	45.8 ± 27.1	77.5	
P-Tuning v2	91.9 ± 1.6	89.1 ± 1.1	85.3 ± 0.2	60.7 ± 2.6	84.7	
Kron. AoT P-Tuning (ours)	90.7 ± 0.4	89.5 ± 1.1	84.6 ± 0.1	59.3 ± 1.2	84.2	
FC AoT P-Tuning (ours)	91.3 ± 0.4	90.3 ± 0.3	85.4 ± 0.1	60.3 ± 2.2	84.7	
RoBERTa-Large						
Model	STS-B	SST-2	RTE	QQP		
Fine-Tuning	91.9 ± 0.2	96.1 ± 0.4	88.1 ± 1.5	90.3 ± 0.2		
P-Tuning v1	75.5 ± 6.3	94.4 ± 0.4	62.8 ± 2.3	76.9 ± 2.5		
P-Tuning v2	91.0 ± 0.4	96.1 ± 0.3	87.4 ± 1.5	86.6 ± 0.6		
Kron. AoT P-Tuning (ours)	91.1 ± 0.8	96.2 ± 0.2	84.8 ± 1.3	89.4 ± 0.1		
FC AoT P-Tuning (ours)	91.7 ± 0.4	96.7 ± 0.1	88.4 ± 0.9	88.7 ± 0.2		
	QNLI	MRPC	MNLI	CoLA	Macro	
Fine-Tuning	94.3 ± 0.2	91.6 ± 0.6	89.9 ± 0.2	68.1 ± 1.9	88.8	
P-Tuning v1	79.1 ± 2.4	79.0 ± 1.1	75.9 ± 18.3	24.7 ± 17.6	71.0	
P-Tuning v2	94.0 ± 1.1	91.2 ± 0.9	89.4 ± 0.7	66.9 ± 1.5	87.8	
Kron. AoT P-Tuning (ours)	94.2 ± 0.1	89.7 ± 0.9	89.3 ± 0.1	65.5 ± 1.9	87.5	
FC AoT P-Tuning (ours)	94.1 ± 0.2	91.6 ± 0.8	89.6 ± 0.1	69.2 ± 0.9	88.8	

Table 1: Results on the GLUE Dev set. Each result is median and std across several seeds, and the Macro column is a mean score across all tasks. Fine-tuning is omitted from comparison with other methods and was not bolded for visibility. See Section [5.2](#page-5-0) for details.

Datasets^{[4](#page-4-0)}. We also evaluated plain fine-tuning for reference even though it is impossible to perform multi-task inference with it. For each fine-tuning approach, we experimented with the RoBERTa- Base, RoBERTa-Large, and DeBERTa-XL back-bone models.

 For each task, we performed a grid hyperparam- eter search (see Appendix Table [4](#page-10-0) for hyperparam- eter ranges). For RoBERTa models, we evaluated each hyperparameter set with 5 different seed values and reported median and std score values for **285** each task. For DeBERTa-XL, we used to assess **286** each hyperparameter assignment with a single seed **287** due to longer training time. See Appendix Table [3](#page-9-0) **288** for a list of metrics used for each task. **289**

We used the Adam [\(Kingma and Ba,](#page-8-17) [2015\)](#page-8-17) op- **290** timizer with a constant learning rate for each task. **291** We stopped training once the validation metric **292** stopped increasing (see the "patience" parameter in **293** Appendix Table [5\)](#page-10-1). ²⁹⁴

For Kronecker AoT P-Tuning with RoBERTa **295** models, we parametrized the matrix $P = (W_L \otimes 296$ W_M) W_R with $a = 256$, and $b = 200$, while for 297 DeBERTa, we used $a = b = 360$. W_L and W_M 298 were initialized randomly, while W_R was initial- 299 ized as a zero matrix. For FC AoT P-Tuning, we **300**

⁴Based on this experimental design choice, we exclude experiments with Adapters [\(Houlsby et al.,](#page-8-7) [2019;](#page-8-7) [He et al.,](#page-8-16) [2022\)](#page-8-16), as well as with LoRA [\(Hu et al.,](#page-8-6) [2022\)](#page-8-6). While a wide range of efficient fine-tuning methods could be similar to the proposed method [\(Ding et al.,](#page-7-1) [2022;](#page-7-1) [He et al.,](#page-8-16) [2022\)](#page-8-16), they do not allow to perform multi-task inference, which is the motivation for using AoT P-Tuning.

RoBERTa-Large						
Model	RTE	COPA	WSC	WiC		
Fine-Tuning	88.1 ± 1.5	87.0 ± 10.2	80.8 ± 6.3	73.8 ± 1.6		
P-Tuning v1	62.8 ± 2.3	75.0 ± 4.3	66.3 ± 1.3	64.1 ± 0.9		
P-Tuning v2	87.4 ± 1.5	87.0 ± 6.3	75.0 ± 7.7	70.8 ± 1.5		
Kron. AoT P-Tuning (ours)	84.8 ± 1.3	72.0 ± 9.1	67.3 ± 3.0	71.0 ± 1.0		
FC AoT P-Tuning (ours)	88.4 ± 0.9	85.0 ± 10.1	79.8 ± 4.1	72.1 ± 1.5		
	MultiRC	CB	BoolQ	Macro		
Fine-Tuning	83.3 ± 1.1	97.3 ± 2.8	85.6 ± 0.3	85.1		
P-Tuning v1	54.3 ± 2.9	81.4 ± 3.0	64.3 ± 1.2	66.9		
P-Tuning v2	82.4 ± 0.6	100.0 ± 0.8	85.0 ± 0.6	83.9		
Kron. AoT P-Tuning (ours)	82.8 ± 0.8	97.3 ± 2.3	84.8 ± 0.5	80.0		
FC AoT P-Tuning (ours)	82.7 ± 19.3	100.0 ± 0.0	85.5 ± 10.3	84.8		
DeBERTa-XL						
Model	RTE	COPA	WSC	WiC		
Fine-Tuning	89.9	96.0	76.9	75.9		
P-Tuning v1	78.3	90.0	67.3	66.8		
P-Tuning v2	90.6	97.0	89.4	76.5		
Kron. AoT P-Tuning (ours)	88.8	96.0	87.5	71.8		
FC AoT P-Tuning (ours)	91.0	98.0	94.2	74.1		
	MultiRC	CB	BoolQ	Macro		
Fine-Tuning	84.3	98.4	86.7	86.9		
P-Tuning v1	82.1	93.8	79.4	79.7		
P-Tuning v2	87.1	97.3	87.0	89.3		
Kron. AoT P-Tuning (ours)	86.3	83.1	87.3	85.8		
FC AoT P-Tuning (ours)	86.5	92.3	88.1	89.2		

Table 2: Results on the SuperGLUE Dev set. For RoBERTa-Large, each result is median and std across several seeds, and the Macro column is a mean score across all tasks. For DeBERTa-XL, we evaluated each hyperparameter assignment with a single seed and reported its metric score. Fine-tuning is omitted from comparison with other methods and was not bolded for visibility. See Section [5.2](#page-5-0) for details.

301 initialized W_1 randomly, while W_2 , b_1 , and b_2 were initialized with zeros. For Kronecker AoT P-Tuning, we applied dropout [\(Srivastava et al.,](#page-8-18) [2014\)](#page-8-18) to the P_x with a fixed probability equal to 0.1. In contrast, for FC AoT P-Tuning, we applied 306 dropout to E before multiplying it with W_1 .

307 Each experiment was run on a single NVIDIA **308** A100 GPU with a total computation time of **309** roughly 750 days.

310 5.2 Results

 See Tables [1,](#page-4-1) [2](#page-5-1) for the results of trained models. We observed that FC AoT P-Tuning performed better than Kronecker AoT P-Tuning, and hypothesize that this result is mostly caused by the fact that FC reparametrization utilized a pre-trained embedding **315** matrix rather than learning biases from scratch. **316**

For RoBERTa-Base, FC AoT P-Tuning per- **317** formed on par with P-Tuning v2 and produced the **318** same Macro score. For RoBERTa-Large, FC AoT **319** P-Tuning outperformed P-Tuning v2 on GLUE **320** tasks and showed a Macro score equal to plain **321** Fine-Tuning. AoT P-Tuning with DeBERTa-XL **322** performed on par with P-Tuning v2 (89.2 vs 89.3 **323** macro scores respectively). 324

We also observed that both AoT P-Tuning **325** reparametrizations mainly showed a lower vari- **326** ance of metrics across different seeds. Note that **327** P-Tuning v1 showed unstable performance and im- **328** proved results with RoBERTa-Base (although still **329**

Figure 3: (a-b) GLUE macro scores for AoT P-Tuning, P-Tuning v1, and P-Tuning v2 with RoBERTa-Base and RoBERTa-Large models. (c-d) SuperGLUE macro score for RoBERTa-Base and DeBERTa-XL models. P-Tuning v2 performing on par with or worse than AoT P-Tuning across different prefix sizes. See Section [5.2](#page-5-0) for details.

Figure 4: Comparison of AoT P-Tuning evaluation time with P-Tuning v1 and P-Tuning v2 for (a) RoBERTa-Base, (b) RoBERTa-Large, and (c) DeBERTa-XL models. We evaluated AoT P-Tuning in two scenarios: with fused weight P and with the re-evaluation of P during inference to reduce memory footprint (See Section [4.3](#page-3-0) for more details). Fused AoT P-Tuning adds negligible computational overhead compared to plain Fine-Tuning and is up to $1.3\times$ times faster than P-Tuning v2. See Section [5.3](#page-6-0) for more details.

330 underperforming by a large margin when compared **331** to other methods).

 See Figure [3](#page-6-1) for macro scores of P-Tuning v2 and AoT P-Tuning with different prefix lengths p **and prefix ranks** r^5 r^5 **. We observed that P-Tuning v2** performed worse for RoBERTa-Base with shorter prompt lengths and was comparable to or better **than AoT P-Tuning when** $p > 50$ **. For GLUE** tasks with RoBERTa-Large, FC AoT P-Tuning per- formed better for all prefixes p, while dropping performance for large rank r. For DeBERTa-XL, both P-Tuning v2 and FC AoT P-Tuning performed on par. We also provide per-task results with dif- ferent prefix scales (see Appendix Figures [5,](#page-11-0) [7\)](#page-13-0). It is notable that in most cases, P-Tuning v2 suf- fers from a small prefix size p for Base and Large models, and achieves results comparable with AoT P-Tuning with a larger p (which corresponds with the results in Figure [3\)](#page-6-1). At the same time, FC AoT P-Tuning mostly showed stable performance across

different ranks r, only performing unstably on a 350 MultiRC task with a large rank r. We also analyzed **351** trained P matrices for FC AoT P-Tuning with the **352** DeBERTa-XL model. See Appendix Section [B](#page-9-1) for **353** more details. 354

With per-task Expected Validation Performance **355** (EVP) [\(Dodge et al.,](#page-7-2) [2019\)](#page-7-2), we observed that AoT **356** P-Tuning highly depends on the number of hyper- **357** parameter assignments (see Appendix Figures [6,](#page-12-0) **358** [8\)](#page-14-0). Although, in most cases, using less than 100 **359** hyperparameter assignments for AoT P-Tuning is **360** enough for it to outperform P-Tuning v2, which is **361** not crucial in most cases. **362**

5.3 Inference Speed Overhead **363**

In Figure [4,](#page-6-3) we also investigated the computational **364** overhead of AoT P-Tuning compared to other base- **365 lines.** 366

To estimate inference speed overhead, we eval- **367** uated each model 100 times on a sequence with **368** length $n = 128$ and batch size 256. **369**

We evaluated AoT P-Tuning in two setups. The 370 first setup fuses P for inference, thus saving com- 371 putational time at the cost of a higher memory foot- **372**

⁵Note that the best macro result across different scales of prefixes in these Figures differs from the macro result from Tables [1](#page-4-1) and [2,](#page-5-1) since the macro score from Tables [1](#page-4-1) and [2](#page-5-1) aggregates scores with different prefix scales.

373 print. Since P is fused, it no longer depends on **374** factorization rank r for both FC and Kronecker **375** AoT P-Tuning.

376 **For the second setup, we did not fuse P, but** $\quad \quad \text{rather evaluated} \left\{ \bm{P}_{x_i}, \dots, \bm{P}_{x_n} \right\} \text{ for each sequence.}$ **378** This approach emulates a setup with limited mem-**379** ory during inference, where fusing P is not feasi-**380** ble.

381 The growth of p P-Tuning v1 quickly reaches $2 \times$ speed overhead since its complexity quadratically depends on p. While P-Tuning v2 involves linear dependency on p (see Section [3.3](#page-2-3) for details), it also reaches up to 1.3× inference speed overhead for large prefix lengths p.

 Fused AoT P-Tuning adds negligible computa- tional overhead (less than 1%) compared to plain Fine-Tuning. Compared to P-Tuning v2, Fused AoT P-Tuning performed up to 1.3× times faster depending on the prefix sizes used for P-Tuning **392** v2.

 When P is not fused, FC AoT P-Tuning per-394 forms $1.13 - 1.25 \times$ times faster than P-Tuning v2 with large prefixes p. This indicates that perform- ing weight fusing is not crucial in most cases for this reparametrization, and that a significant increase in inference speed can be achieved with- out it. Although not performing fusing of P could reduce memory footprint during inference, it is not possible to perform multi-task inference in such a setup, which is available for both P-Tuning v1/v2 and Fused AoT P-Tuning.

 Kronecker's reparametrization performed worse. **For small factorization rates (e.g.,** $r \in [5, 10]$), it showed results comparable to FC AoT P-Tuning. **However, it performed up to** $1.12 \times$ **times slower** than P-Tuning v2 for larger r values. This makes it is important to fuse P with such a reparametriza-tion when using a large rank r.

 It is important to note that the contribution of re-evaluation of P for both Kronecker and FC reparametrizations of AoT P-Tuning becomes lower with model growth. E.g., in the worst-415 case scenario (with $r = 512$), RoBERTa-Base re-416 evaluation of P with FC AoT P-Tuning adds $1.09 \times$ inference time overhead compared to models trained with plain Fine-Tuning, while DeBERTa- XL showed an overhead of 1.05×. The same holds **true for small ranks** $(r = 64)$ **, where we observed** 1.02× inference time overhead for DeBERTa-XL compared to the plain model.

6 Conclusion and Future Work **⁴²³**

In this paper, we proposed AoT P-Tuning, which **424** is a new method for parameter-efficient fine-tuning **425** of pre-trained models, and two reparametrizations **426** of learnable weights for this method. **427**

We observed that AoT P-Tuning performed on **428** par or better than P-Tuning v2 based on the macro **429** scores of GLUE and SuperGLUE Benchmarking **430** Datasets. **431**

Moreover, AoT P-Tuning performed up to $1.3\times$ 432 times faster than P-Tuning v2, adding a negligible **433** inference time footprint compared to plain Fine- **434** Tuning. When FC AoT P-Tuning is used, we ob- **435** served that one could not fuse weights **P** in order 436 to not introduce memory footprint since it performs **437** up to $1.25 \times$ times faster than P-Tuning v2. 438

We experimented with two reparametrizations 439 based on the Kronecker product and FC network. It **440** is possible to explore other possible reparametriza- **441** tions for weight P , which could further increase 442 the performance of the proposed method. In addi- **443** tion, while we proposed a simple method, there are **444** many possible architectural changes which could **445** also boost the performance of AoT P-Tuning and **446** reduce the number of necessary hyperparameter **447** assignments. **448**

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A **Evaluation of Transformer** 573

Having an input sequence $x = \{x_1, \ldots, x_n\}$, 574 where x_i is token index, the embeddings of in- 575 put texts are evaluated as $\boldsymbol{H}^0 = \{\boldsymbol{E}_{x_1}, \dots, \boldsymbol{E}_{x_n}\},\qquad\qquad 576}$ where $E \in \mathbb{R}^{|V| \times d}$ is the embeddings matrix, $|V|$ 577 is the vocabulary size, d is the size of the hidden **578** state of the model, and E_{x_i} is an embedding of 579 the token x_i . Hidden states H^i are then passed to 580 the $(i + 1)$ -th layer of the Transformer to evaluate 581 H^{i+1} with a total l number of layers. To do so, H^i are first mapped through three matrices W_Q , W_K , 583 $W_V \in \mathbb{R}^{d \times d}$ to get Q, K and V, which are then 584 used to evaluate the attention layer's results as: **585**

$$
A = \text{attention}(Q, K, V) =
$$

= softmax($\frac{QK^{T}}{\sqrt{d}})$) $V \in \mathbb{R}^{n \times d}$. (8)

587 After A is evaluated, it is passed through the 588 remaining layers^{[6](#page-9-2)}, including residual connections 589 and FC layers to get H^{i+1} . Here and later, we omit **590** the layer index i for attention result A for visibility.

⁵⁹¹ B Analysis of Trained Weights

 We investigated trained P matrices for WSC, COPA, CB, and RTE tasks with the DeBERTa-XL model. Since FC AoT P-Tuning performed bet- ter than Kronecker factorization, we selected this reparametrization method to report the results.

More specifically, we sorted rows of P matri- ces for each layer measured by the L_2 norm and reported the appropriate tokens for these rows. See Tables [6,](#page-15-0) [7,](#page-16-0) [9,](#page-18-0) [8](#page-17-0) for results.

 For the WSC task, there is a clear interpretation of trained rows for P , since rows with a large L² norm represent tokens responsible for pronouns and names, which is crucial for solving WSC. For the COPA task, we observed that the model tends to assign large norms for verb tokens. For the RTE and CB tasks, P also assigns large norms for name tokens, which often occur in the training data, while CB primarily modifies adverbs for later layers.

Task	Metric	Task	Metric
CoLA	Mattews Correlation	BoolQ	Accuracy
MRPC	$Accuracy + F1$	CB	Accuracy+F1
RTE	Accuracy	RTE	Accuracy
$SST-2$	Accuracy	COPA	Accuracy
MNLI	Accuracy	MultiRC	Accuracy+F1 2
ONLI	Accuracy	WSC	Accuracy
QQP	$Accuracy + F1$	WiC	Accuracy
STSB	Pearson+Spearman		

Table 3: Metrics used in our experiments for each task. See Section [5.1](#page-3-3) for more details.

⁶ In fact, Transformer architecture implies evaluation of multi-head Attention. We omit this in this paper for simplicity since all derivations could be easily extended on the multihead case.

Table 4: Hyperparameter ranges used in experiments with GLUE and SuperGLUE benchmarking datasets for RoBERTa (left) and DeBERTa (right) models. p is the prompt length used for P-Tuning v1/v2, and r is the rank of weight factorization used for AoT P-Tuning (See Section [4.3\)](#page-3-0). For GLUE experiments, each hyperparameter set was evaluated with different seed values. See Section [5.1](#page-3-3) for more details.

	RTE	MNLI, OOP	QNLI	Other Tasks	WiC	CB, COPA, WSC	MultiRC	Other Tasks
Epochs	200	5.	10	100	500	500	10	100
Patience	20	າ	\mathfrak{D}	10	20	100		10

Table 5: The number of maximum epochs used for each GLUE and SuperGLUE Task. Once the Dev score stopped increasing for "patience" steps, training was halted. See Section [5.1](#page-3-3) for more details.

Figure 5: Per-task GLUE Benchmarking Dataset results for a different number of trained parameters of P-Tuning v2 and AoT P-Tuning with RoBERTa-Base (a-h) and RoBERTa-Large (i-p). We also provide results of plain fine-tuning for reference. See Section [5.2](#page-5-0) for more details.

Figure 6: Expected Validation Performance [\(Dodge et al.,](#page-7-2) [2019\)](#page-7-2) of trained models with GLUE Benchmarking Datasets for RoBERTa-Base (a-h) and RoBERTa-Large (i-p). See Section [5.2](#page-5-0) for more details.

Figure 7: Per-task SuperGLUE Benchmarking Dataset results for a different number of trained parameters of P-Tuning v2 and AoT P-Tuning with RoBERTa-Large (a-g) and RoBERTa-Large (h-n). We also provide results of plain fine-tuning for reference. See Section [5.2](#page-5-0) for more details.

Figure 8: Expected Validation Performance [\(Dodge et al.,](#page-7-2) [2019\)](#page-7-2) of trained models with SuperGLUE Benchmarking Datasets for RoBERTa-Base (a-g) and RoBERTa-Large (h-n). See Section [5.2](#page-5-0) for more details.

Table 6: Tokens with the largest L_2 norm of P entries for the WSC task. See Section [B](#page-9-1) for more details.

Table 7: Tokens with the largest L_2 norm of P entries for the COPA task. See Section [B](#page-9-1) for more details.

Table 8: Tokens with the largest L_2 norm of P entries for the RTE task. See Section [B](#page-9-1) for more details.

Table 9: Tokens with the largest L_2 norm of P entries for the C[B](#page-9-1) task. See Section B for more details.