EHRKit: A Python Natural Language Processing Toolkit for Electronic Health Record Texts

Anonymous ACL submission

Abstract

The Electronic Health Record (EHR) is an essential part of the modern medical system and impacts healthcare delivery, operations, and research. Unstructured text is attracting much attention despite structured information in the EHRs and has become an exciting research field. The success of the recent neural Natural Language Processing (NLP) method has led to a new direction for processing unstructured clinical notes. In this work, we create a python library for clinical texts, EHRKit. This library contains two main parts: MIMIC-III-specific functions and task-specific functions. The first part introduces a list of interfaces for accessing MIMIC-III NOTEEVENTS data, including basic search, information retrieval, and information extraction. The second part integrates many third-party libraries for up to 12 off-shelf NLP tasks such as named entity recognition, summarization, machine translation, etc.

1 Introduction

With the rising trend of Electronic Health Records (EHRs), massive unstructured texts (i.e., clinical and admission notes) are being created in the healthcare system. It is very important to process such data for secondary usage (Xiao et al., 2018). The main obstacle is the processing and understanding of the unstructured text. Natural Language Processing (NLP) techniques have been applied to deal with such texts (Li et al., 2021a; Shickel et al., 2018; Al-Aiad et al., 2018). Especially, deep learning-based methods achieved great success in some existing NLP tasks in the biomedical and clinical literature, such as text classification (Zhou et al., 2021; Li and Yu, 2020; Li et al., 2019; Hughes et al., 2017), named entity recognition (Song et al., 2021), text segmentation (Badjatiya et al., 2018), medical language translation and generation (Weng et al., 2019; Abacha and Demner-Fushman, 2019) and many others.

Following the success of BERT (Devlin et al., 2019), researchers developed BERT-based models trained on the clinical literature, such as BioBERT (Lee et al., 2020) and ClinicalBERT(Huang et al., 2019). With such advanced neural models, there is a need for a user-friendly programming interface that can support a variety of downstream tasks. Some existing libraries and toolkits are designed for bioinformatical and clinical needs, including the biomedical and clinical model packages of Stanza (Zhang et al., 2021), SciFive (Phan et al., 2021), UmlsBERT (Michalopoulos et al., 2021), MIMIC-Extract (Wang et al., 2020) and so on. However, we noticed a need to integrate more existing libraries with much broader coverage of clinical and biomedical NLP tasks in our toolkit. Besides, based on the analysis from Li et al. (2021a), there is limited research on generation tasks for EHR unstructured text, i.e., machine translation. Thus, we provide a pretrained model for clinical text machine translation in our toolkit, which supports three languages.

Our contributions are: 1) First, we propose EHRKit, a Python NLP toolkit for EHR unstructured texts. This toolkit contains two main components: general API functions and MIMIC-specific functions. It is user-friendly, with easy installation and quick start tutorials. 2) Second, to fill the gap in text generation for clinical texts, we release pretrained machine translation models in three languages. Besides, we evaluate existing pretrained models for summarization in a biomedical and clinical scenario. We release this toolkit and pretrained models publicly available at placeholder.link.

2 System Design and Architecture

We show our EHRKit architecture in Fig. 1. It consists of two modules, namely MIMIC-III Tasks and Wrapper Functions.

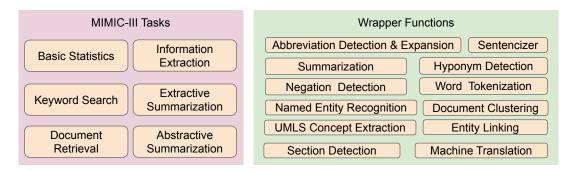


Figure 1: EHRKit Architecture.

2.1 MIMIC-III Tasks

We include some basic NLP functions for MIMIC-III NOTEEVENTS text data (Johnson et al., 2016).

- Basic statistical functions support counting for number of patients and number of documents, number of sentences and so on.
- Information Extraction provides helpful interfaces for investigating the data, i.e., phrases extraction, and abbreviation term extraction from a given record ID. We applied Phrase-At-Scale¹ for this function.
- Keyword Search allows users to search a record by a keyword.
- Document Retrieval allows users to search a record by ID.
- Extractive and Abstractive Summarization: Naïve Bayes (Ramanujam and Kaliappan, 2016) and DistilBART (Shleifer and Rush, 2020) for text summarization.

2.2 Wrapper Functions

This module integrates many third-party libraries and supports up to 12 functionalities for any freetext inputs.

- Abbreviation Detection and Expansion: finds abbreviation and its expansions. Function imported from ScispaCy².
- Sentencizer: detects sentence boundaries. We support four approaches: PyRuSH³, Stanza, ScispaCy and Stanza Biomed.
- Hyponym Detection: finds the hyponyms of the recognized entities in the input text. Function imported from scispaCy.

- Negation Detection: detects negation in a sentence, imported from medspaCy (Eyre et al., 2021a)
- Word Tokenization: tokenizes a sentence into a list of words. Function imported from medspaCy.
- Named Entity Recognition: finds named entities, part-of-speech and universal morphological features, and dependencies of an input record. Function imported from Stanza (Zhang et al., 2021).
- Document Clustering: given the query record, selects *k* documents from supporting records that are most similar to the main record (K-Means clustering), measured by embedded document using pretrained BERT model (Devlin et al., 2019).
- UMLS Concept Extraction: matches the UMLS concept for the input text. Function imported from medspaCy (Eyre et al., 2021a).
- Entity Linking: finds named entities, negation entities, and linked entities in the input text. Function imported from scispaCy.
- Section Detection: rule-based method for detecting section (i.e., *allergies*, *history*). Function imported from medspaCy (Eyre et al., 2021a).
- Machine Translation: translates English texts into 17 target languages. We applied the existing MarianMT model⁴, as well as our own fine-tuned models.
- Summarization: we support both extractive and abstractive summarization methods. We integrated TextRank (Mihalcea and Tarau, 2004), pretrained BART (Lewis et al., 2019),

⁴https://huggingface.co/docs/transfor mers/model_doc/marian

¹https://github.com/kavgan/phrase-atscale ²https://allenai.github.io/scispacy/

³https://github.com/jianlins/PyRuSH.

	MIMIC	Neu	MT	Summ
MIMIC-Extract	\checkmark			
ScispaCy		\checkmark		
medspaCy		\checkmark		
Stanza Biomed		\checkmark		
SciFive		\checkmark		\checkmark
EHRKit (ours)	\checkmark	\checkmark	\checkmark	\checkmark

Table 1: A comparison with other similar python toolkits. MIMIC: MIMIC Related. Neu: Neural Methods. MT: Machine Translation. Summ: Summarization.

T5 (Raffel et al., 2020) and SciFive summarization libraries. We also allow single and multiple documents as the input.

2.3 Other similar libraries

MIMIC-Extract⁵A pipeline for preprocessing and presenting data from MIMIC-III dataset. It provides features for data analysis, including extraction of clinical events like mortality from free text.

ScispaCy (Neumann et al., 2019) A tool that adapts SpaCy's models to process scientific, biomedical, and clinical text. It supports multiple methods for tokenization, part of speech tagging, dependency parsing, and named entity recognition.

medspaCy Based on the spaCy framework, medspaCy (Eyre et al., 2021b) is a clinical NLP python library that provides both rule-based and machine learning-based methods for processing clinical text. It supports methods for various clinical applications such as UMLS Mapping (rulebased), Section Detection, Sentence Detection, Contextual Analysis and Visualization on entities.

Stanza Biomed (Zhang et al., 2021) A set of tools for statistical, neural, and rule-based problems in computational linguistics. Its software provides a simple interface for NLP tasks. It is a widely used Python library for processing clinical texts. It provides nearly state-of-the-art performance using neural networks on tasks including tokenization, sentence segmentation, part of speech (POS) tagging, lemmatization, and dependency parsing.

SciFive (Phan et al., 2021) A pretrained neural language model for biomedical domain. Finetuned on PubMed Abstract ⁶ and PubMed Central (PMC)⁷, it outperformed similar models including

⁶https://pubmed.ncbi.nlm.nih.gov/

Lang. Pair	Total	Train	Test
$en \to es$	790,915	672,276	111,779
$en \rightarrow fr$	2,812,305	2,390,458	407,388
$en{\rightarrow} ro$	1,165,092	990,327	161,936

Table 2: Data statistics for machine translation: we apply UFAL and select the overlapped target language pairs for our experiments.

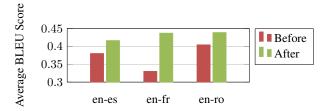


Figure 2: BLEU score: before and after pretraining.

BioBERT and T5 on multiple NLP tasks: named entity relation, relation extraction, natural language inference, and question answering.

Tab. 1 lists our EHRKit and other similar toolkits. We compare from different perspectives by focusing on the functionalities. MIMIC Related: if supports MIMIC-related functions. We consider MIMIC an essential data source that plays an important role in research. We can find that only MIMIC-Extract and EHRKit support these related functions, and users can apply them directly to the MIMIC data. Neural Methods: if this toolkit supports neural methods and embedding methods. As we can see, the majority contain such features. Machine Translation and Summarization: if this toolkit supports (neural) generation tasks like machine translation and summarization. In this case, only SciFive and EHRKit support such features. Our toolkit provides diverse functionalities and is easy to use based on these perspectives.

3 Performance Evaluation

3.1 Machine Translation

We report the performance of the Machine Translation function from our EHRKit and compare it with the baseline model, MarianMT. Our training sets and test sets are obtained from the UFAL Medical Corpus⁸. These data are from various medical text sources, such as titles of medical Wikipedia articles, medical term-pairs, patents, and documents from the European Medicines Agency (Braune et al.,

⁵https://github.com/MLforHealth/MIMIC _Extract

⁷https://www.ncbi.nlm.nih.gov/pmc

⁸https://ufal.mff.cuni.cz/ufal_medical _corpus

	PubMed			MIMIC-CXR		
	R-1	R-2	R-L	R-1	R-2	R-L
Pegasus (Zhang et al., 2020)	45.97	20.15	28.25	65.11	52.90	61.88
BigBird (Zaheer et al., 2020)	46.32	20.65	42.33	63.85	51.09	60.55
BART (Lewis et al., 2019)	44.16	20.28	36.80	62.09	49.02	58.65
SciFive (Phan et al., 2021)	48.83	15.81	37.06	65.17	52.45	61.80

Table 3: Summarization evaluation: we evaluate selected models and report ROUGE-1, ROUGE-2 and ROUGE-L.

Dataset	Train	Valid	Test
PubMed	112K	6.6K	6.7K
MIMIC-CXR	91,544	2000	600

Table 4: Data statistics for PubMed and MIMIC-CXR summarization datasets. Words are counted before tokenization.

2018). We evaluate language pairs, including English (en) to Spanish (es), English to French (fr), and English to Romanian (ro), as those are the three language pairs that EHRKit and UFAL mutually support.

For data pre-processing, we first exclude general domain data from UFAL, such as parliament proceedings. Next, we randomly shuffle the medical-domain corpora and split it into two parts by 85% and 15%, as our training set and test set, respectively. For each language pair, we use all of the available parallel data. Tab. 2 summarizes the number of sentences that we extract from UFAL.

Subsequently, we evaluate model performance using the BLEU score (Papineni et al., 2002). We finetune our model with the training sets (After) and compare it with the baseline model, MarianMT (Before). As shown in Fig. 2, our model improves significantly after finetuning, with an average 16.80% increase in BLEU score. Among the three selected language pairs, we can observe that English to French has the best improvement - it achieves 32.40% performance gain. We conjecture that this occurs because we have significantly more training data in English to French.

3.2 Summarization

Since there are many existing pretrained models for summarization in the general NLP field, we investigate how they perform in the biomedical literature and clinical data. Summarization corpora from the clinical scenario are very challenging to be obtained, so we chose the existing PubMed (Cohan et al., 2018) and MIMIC-CXR (Johnson et al., 2019) as our principal datasets.

PubMed dataset consists of 133k biomedical scientific publications from the PubMed database. Each input document is a scientific article, and the reference summary is the associated abstract. MIMIC-CXR is a de-identified, Protected Health Information removed dataset of chest radiographs, with a DICOM format and free-text radiology reports. We use a subset from the MIMIC-CXR for the MEDIQA 2021 Radiology report summarization shared task (Delbrouck et al., 2021). Each example contains three fields: (a) findings field is the original human-written radiology findings text, (b) impression field is the human-written radiology impression text, and (c) background field is the background information of the study in text format. We show the statistics in Tab. 4.

We evaluate selected pretrained abstractive methods using ROUGE (Lin, 2004) in Tab. 3. Among the four models, we can observe that SciFive has a high R-1 score, but BigBird (Zaheer et al., 2020) and Pegasus (Zhang et al., 2020) achieve a better score on R-2 and R-L, respectively, on the two datasets. This evaluation shows that it is challenging to determine which model is the best in our specific scenario, though SciFive was pretrained for this purpose. In the future, more work can be done to improve automatic summarization for biomedical and clinical texts.

4 Conclusion

In this work, we propose a python library for clinical texts, EHRKit. This toolkit contains two main components: general API functions and MIMICspecific functions. In the future, we will investigate more EHR-NLP tasks including machine translation for more languages, multi-document summarization and question answering (Li et al., 2021a). Besides, we plan to investigate better-performed NLP models for these tasks, for example, BERTbased models (Lee et al., 2020; Li et al., 2021b).

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A Limitations

As our work is a tool for processing clinical texts, we do not propose model-based novelty as one of our main contributions. Users may find that we conducted evaluations and built high-level user interfaces instead of proposing new models.

As EHRKit relies on many other existing libraries, we suggest that users install compatible and correct versions for robust usage.

B Potential Risk

This work is an open-source tool for clinical text processing. We did not use any user-sensitive data for training or testing, and this tool does not contain any related functionalities. Users should avoid using such data as inputs.

C Experiments

Our models were trained on a 4 Nvidia 3090 GPUs with a batch size of 8. We train all of our models using Adagrad with 0.15 learning rate and have an accumulator of 0.1.

C.1 Machine Translation

The training time varies on language pairs. The total trial, training and evaluation time is about 60 ours.

C.2 Summarization

During training we are regularly measuring the loss and the ROUGE-1 F-score on the validation set of the dataset in order to monitor the learning of our model. We end the training when the validation loss stops improving. The overall trial, training and evaluation time is about 20 hours.