
Bilinear Convolution Decomposition for Causal RL Interpretability

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Abstract

Efforts to interpret reinforcement learning (RL) models tend to target the activation space, and fewer recent studies target the weight space. Here we use a dual framework of both the weight and activation spaces in order to interpret and intervene in a RL network. To enhance RL interpretability, we enable linear decomposition via linearization of an IMPALA network : we replace nonlinear activation functions in both convolution and fully connected layers with bilinear variants (we term BIMPALA). Previous work on MLPs have shown that bilinearity enables quantifying functional importance through weight-based eigendecomposition to identify interpretable low rank structure [Pearce et al., 2024b]. By extending existing MLP decomposition techniques to convolution layers, we are able to analyze channel and spatial dimensions separately through singular value decomposition. We find BIMPALA networks to be feasible and competitive, as they perform comparably to their ReLU counterparts when we train them on various ProcGen games. Importantly, we find the bilinear approach in combination with activation-based probing provide advantages for interpretability and agent control. In a maze-solving agent, we find a set of orthonormal eigenvectors (we term *eigenfilters*), the top-2 of which act as cheese (solution target) detectors, and another pair of eigenfilters we can manipulate to control the policy.

1 Introduction

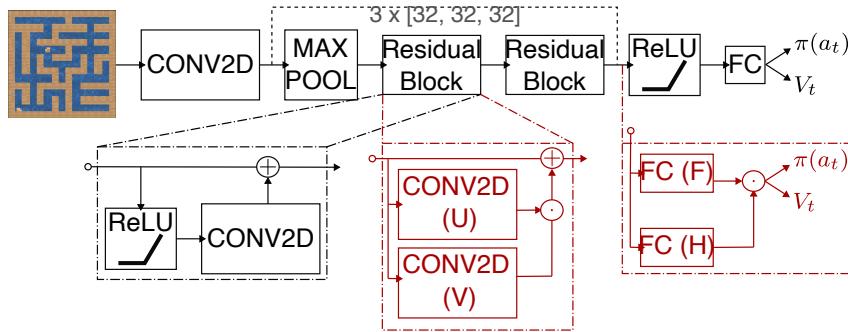


Figure 1: BIMPALA: a simplified IMPALA architecture (black) modified by replacing ReLU operations with bilinear gating (red) for both the convolution (CONV2D; Equation 3) and fully connected (FC; Equation 1) layers.

While recent advances in reinforcement learning have produced increasingly capable reasoning agents [Mnih et al., 2013, Gu et al., 2017, Baker et al., 2019], analyzing their internal mechanisms has proven difficult. This challenge is particularly pronounced in multi-step reasoning tasks, where the relationship between model architecture and computational strategy is often opaque. Additionally,

there is a general notion of the performance-interpretability trade-off [Assis et al., 2025], which argues that more transparent models tend to have lower performance.

We hypothesize that increased interpretability need not come at the cost of performance. We explore an approach embedded within mechanistic interpretability. Mechanistic interpretability has emerged as a promising framework for understanding neural networks by identifying and analyzing features-specific directions in activation space that encode meaningful computational patterns [Cunningham et al., 2023, Trenton Bricken et al., 2023, Adly Templeton et al., 2024, Rimsky et al., 2024]. Traditional approaches have focused primarily on activation patterns during inference, but recent work suggests that analyzing model weights directly may provide complementary insights.

Our work explores a subset of models where nonlinearities are replaced with linear counterparts. Bilinear MLPs [Dauphin et al., 2017] offer an architectural innovation that enables direct interpretation of model weights. While initially proposed for language modeling tasks [Pearce et al., 2024b], we show their benefits extend to understanding an agent’s spatial decision-making. As proof of concept that the bilinear approach can indeed benefit interpretability of RL models, we simplified a common RL agent, IMPALA [Espeholt et al., 2018], and compared it with its bilinear counterpart (Figure 1).

We argue the importance of studying weights and activations jointly. Attribution approaches provide context-dependent heuristics, based on the data, to estimate causal relationships. Weight based analysis is a context-independent complement, where decomposition can allow us to validate attribution through the importance of components. By analyzing both the weight space through eigenfilters and the activation space through targeted probes, we find interpretable features that track specific computational steps, from interpretable convolution features to the action features. Additionally, we find that while standard basis analyses can appear informative, they often mask the true computational structure of the network. Instead, we show that bases informed by action spaces and targeted probes provide more reliable insights into model behavior.

Our contributions (1) We introduce a bilinear architectures (BIMPALA) for RL and show that a simplified model trains well in "easy" Procgen environments. (2) We show how bilinear convolution layers can be decomposed into basis of self interacting eigenfilters. (3) We show that the standard basis is often non-interpretable and less informative compared to basis derived from probes or the action/logits space. (4) We propose new techniques using weights alongside activations to analyze mechanisms in bilinear convolution networks. We validate our approach by finding a cheese detector on a maze solving agent and re-targeting the agent towards counterfactual cheese positions.

2 Background

2.1 Model and Environment

We chose IMPALA as our base model as it is a widely known RL architecture and was designed for both resource efficiency and scalability. The low rank structure of MLPs is already known to be interpretable, and is likely to also be the case for LSTMs which do not introduce new operators like a convolution. Our main objective in this paper was to evaluate the feasibility of interpretable RL with bilinear CNNs (bCNNs). We simplified the original large architecture IMPALA by (1) removing pooling layers and (2) omitting the LSTM after the FC layer , although note that LSTMs [Kim et al., 2018] can also be modified with bilinear gating (bLSTMs).

We believe our simplified BIMPALA architecture should, already, be adequate to perform competitively on Procgen games. Hybrid bCNN-bLSTMs have shown promise in medical imaging [Liu et al., 2024], and bCNNs in general image [Lin et al., 2017], classification tasks.

We chose games from Procgen [Cobbe et al., 2020], a suite of procedurally generated environments designed to benchmark efficiency and generalization of RL agents. As this work is proof of concept, we only trained our models on a random subset of games and we only considered "easy" mode.

2.2 Bilinear Gating

The core benefit of the bilinear approach hinges on removing nonlinearities from the neural network, allowing spectral decomposition. Spectral decomposition of MLPs has revealed interpretable low-

rank structure across multiple tasks Pearce et al. [2024a], and we extend this approach to convolution layers for an RL agent.

In this section, we briefly review multi-layer perceptrons (MLPs), convolutions, and bilinear gating. Throughout, we denote scalars like s , vectors like \mathbf{v} , matrices like M , tensors like \mathbf{T} , dot product with \cdot , pointwise product with \odot , and convolution with $*$.

Bilinear MLPs A conventional MLP is composed of 3 (or more) fully-connected (FC) layers, where inputs are up-projected into a hidden layer and then down-projected into the output layer. The hidden activations of a conventional MLP can be characterized as a $\mathbb{R}^n \rightarrow \mathbb{R}^m$ encoder which takes input \mathbf{x} and applies a learned linear transformation, with weights W and bias \mathbf{b} , followed by an activation function σ .

Modern models, such as LLMs, feature an encoder variant called a Gated Linear Unit (GLU), comprised of the pointwise product of *two* linear up-projections, with learned weights F and G , and only one of the projections is passed through an activation function. Omitting biases for simplicity,

$$\text{Enc}_{\text{GLU}}(\mathbf{x}, F, H) = \sigma(\mathbf{x}F) \odot (\mathbf{x}H)$$

Bilinear encoders, and our bilinear FC in Figure 1, use an identity activation, keeping the overall transformation linear:

$$\text{FC}_{\text{Bilinear}}(\mathbf{x}, F, H) = (\mathbf{x}F) \odot (\mathbf{x}H) \quad (1)$$

This linearization allows spectral decomposition of the weights and activations, which can have interpretable value [Pearce et al., 2024b]. Importantly, Pearce et al. [2024b] show bilinear MLPs can be expressed as a third order tensor \mathbf{B} , comprised of interaction matrices for each output dimension, parameterizing the interactions between pairs of inputs. In Decomposing Convolutions, we provide an analog \mathbf{B} for convolution layers.

Convolution layers A 2D convolution layer (Conv2D) takes an input \mathbf{X} of shape [width, height, c_{in}] where c_{in} is the number of input channels, and applies a learned kernel \mathbf{U} of width k with stride s followed by a pointwise activation function σ :

$$\text{Conv2D}(\mathbf{X}, \mathbf{U}) = \sigma(\mathbf{X} * \mathbf{U})$$

With $s = 1$, Conv2D outputs a tensor of shape [width, height, $, c_{out}$], where c_{out} is the number of output channels. Kernel \mathbf{U} of shape $[k, k, c_{in}, c_{out}]$ acts locally on $k \times k$ patches, and we denote the kernel for a given output channel i as $\mathbf{U}^{(i)}$. Assuming an identity activation and letting $\ell = \lfloor \frac{K}{2} \rfloor$, kernel weights $\mathbf{U}^{(i)}$, as illustrated in Appendix A Figure 12 (top left in blue), act on a local patch around spatial coordinates (α, β) via:

$$u(\alpha, \beta, i) = \sum_{j=1}^{c_{in}} \sum_{|k_1| \leq \ell} \sum_{|k_2| \leq \ell} U^{(i)}[j, k_1, k_2] \cdot X[j, \alpha + k_1, \beta + k_2] \quad (2)$$

Here, $u(\alpha, \beta, i)$ is a scalar, denoting output channel i 's entry at spatial location (α, β) , while $U^{(i)}[j, k_1, k_2]$ and $X[j, \alpha + k_1, \beta + k_2]$ are row and column vectors from $k \times k$ matrices representing the kernel and current input patch respectively for a single input-output channel combination.

Analogous to a bilinear FC (Equation 1), a bilinear convolution layer (BConv2D) would then require *two* convolutions. Assuming kernels \mathbf{U} and \mathbf{V} ,

$$\text{BConv2D}(\mathbf{x}, \mathbf{U}, \mathbf{V}) = (\mathbf{x} * \mathbf{U}) \odot (\mathbf{x} * \mathbf{V}) \quad (3)$$

3 Methods

3.1 Decomposing Convolutions

The main advantage of adopting the bilinear form for a convolution layer is decomposition into *sets* of orthonormal eigenvectors for each output channel, which we call *eigenfilters*. We can express a BConv2D layer as a tensor \mathbf{B} , comprised of interaction matrices (B) for each (scalar) output.

Specifically, B parameterizing the input channel interactions between pairs of inputs at a single spatial location for a single output channel (α, β, i) (Figure 12 in Appendix A).

Importantly, spectral decomposition is easily achievable because \mathbf{B} has a symmetric form \mathbf{B}^{sym} . In Appendix A, we decompose \mathbf{B}^{sym} for convolution layers and show it is equivalent to \mathbf{B} . In short, for each of c_{out} output channels, we get a matrix B^{sym} of dimension $k^2 c_{\text{in}} \times k^2 c_{\text{in}}$. Hence, each spatial location of the input image contributes to \mathbf{B} with shape $[c_{\text{out}}, k^2 c_{\text{in}}, k^2 c_{\text{in}}]$. The gated operation, \mathbf{B}^{sym} , can be decomposed into scalar entries, b , for each output channel at spatial position (α, β) :

$$b(\alpha, \beta, i) = \sum_{j=1}^{c_{\text{in}}} \sum_{z=1}^{c_{\text{in}}} \mathbf{x}_j^\top \mathbf{u}_j^\top \mathbf{v}_z \mathbf{x}_z \quad (4)$$

\mathbf{u}_j is a flattened vector of the i th input channel of kernel \mathbf{U} applied to the flattened input \mathbf{x}_j at position (α, β) . \mathbf{v}_z is a similar vector from \mathbf{V} 's z th input channel applied to \mathbf{x}_z .

Bilinear decomposition into eigenfilters With the spectral theorem, matrix Q decomposes as $Q = F^\top \Lambda F$, where F is an orthonormal matrix (satisfying $F^{-1} = F^\top$) of eigenvectors, and Λ is a real, diagonal matrix of eigenvalues. Since convolution layers are connected locally, within and not across output channels, we choose our output directions in output channel space. We construct a tensor \mathbf{Q} of interactions matrices, $\{Q^o\}_{o=1}^{c_{\text{out}}}$, by multiplying \mathbf{B}^{sym} along a desired output direction $\mathbf{o} \in \mathbb{R}^{c_{\text{out}}}$.

For each output channel, $Q^o = \mathbf{o} \mathbf{B}^{\text{sym}}$. Q^o , shaped $[k^2 c_{\text{in}}, k^2 c_{\text{in}}]$, decomposes into an eigenbasis of $k^2 c_{\text{in}}$ filters, each shaped $[k, k, c_{\text{in}}]$, which we term *eigenfilters*. For the standard basis, $\mathbf{o} \in \{\mathbf{e}_i\}_{i=1}^{c_{\text{out}}}$. Note that we can consider the per-direction computation of Q^o matrices and their *eigenfilters* more generally: in an arbitrary basis, by multiplying B^{sym} with a transformation matrix R .

Contributions of eigenfilters To compute the contribution of an eigenfilter to an activation A , we apply the eigenfilter as a regular convolution filter to A . Since $B^{\text{sym}}(\mathbf{o}, \cdot, \cdot)$ is bilinear and symmetric, $Q^o(\mathbf{x}) := B^{\text{sym}}(\mathbf{o}, \mathbf{x}, \mathbf{x})$ is quadratic. So, the contributions of Q^o towards \mathbf{o} for a flattened patch $\mathbf{x}_{\text{patch}}$ centered around a given position in A is: $Q^o(\mathbf{x}_{\text{patch}}) := \mathbf{x}_{\text{patch}}^\top F^\top \Lambda F \mathbf{x}_{\text{patch}} = (F \mathbf{x}_{\text{patch}})^\top \Lambda (F \mathbf{x}_{\text{patch}}) = \sum_{i=1}^{k^2 c_{\text{in}}} \lambda_i (f_i \mathbf{x}_{\text{patch}})^2$, where f_i is an individual eigenfilter with shape $[k, k, c_{\text{in}}]$.

As the eigenfilter activations are applied to every valid position uniformly, we can equivalently write $Q^o(A) = \sum_i^{k^2 c_{\text{in}}} \lambda_i (f_i * A)^2$.

3.2 Separating channels from spatial coordinates with SVD

The decomposition (subsection 3.1) gives us a full basis of eigenfilters along a direction in output channel space. If we are interested in the contribution of an activation or weight tensor \mathbf{A} , we can separate the output channels from the spatial dimensions, and we can use singular value decomposition (SVD) to determine the directions to decompose \mathbf{B}^{sym} along the output channel space.

Consider a $[w, h, c_{\text{out}}]$ -shaped tensor, e.g. of activations, \mathbf{A} , reshaped into $[c_{\text{out}}, wh]$ as matrix A . Letting $d = wh$, we can decompose A via SVD:

$$A = S \Sigma V^\top = \sum_{i=1}^d \sigma_i \mathbf{s}_i \mathbf{v}_i^\top \quad (5)$$

where S has shape $[c_{\text{out}}, c_{\text{out}}]$, and V has shape $[d, d]$. We can actually use SVD to decompose along the output directions of eigenvectors of any suitable A , including a probe. The (left) singular vectors \mathbf{s}_i live in the output channel space, and can be used as output vectors along \mathbf{B}^{sym} . Since each singular vector \mathbf{s}_i also has a singular value, σ_i , we can aggregate the contributions of the singular values and the eigenfilters together when constructing the interaction matrix Q^A from interaction matrices $\{Q^s\}_{s=1}^d$ along the the singular vectors in output channel space:

$$Q^A(A) = \sum_{o=1}^{c_{\text{out}}} s_o Q^o(A) = \sum_{o=1}^{c_{\text{out}}} s_o \sum_{i=1}^d \lambda_i (f_i * A)^2 = \sum_{o=1}^{c_{\text{out}}} \sum_{i=1}^d s_o \lambda_i (f_i * A)^2 \quad (6)$$

Signed eigenvalues $s_o \lambda_i$ parameterize the importance, $|s_o \lambda_i|$, of an eigenfilter for its singular channel.

3.3 Progen training

We trained our simplified IMPALA and BIMPALA models with proximal policy optimization (PPO), which tends to be effective and easy to tune [Schulman et al., 2017], for a subset of Progen [Cobbe et al., 2020] environments: **Maze**, **Heist**, **Plunder**, and **DodgeBall**. Games were procedurally generated with "easy" distributions, which are computationally inexpensive and converge in less time steps than harder distributions. For full training parameters, see Table 1 in Appendix B.

3.4 Probe protocol

We suggest a protocol to enhance interpretability for RL with probes by connecting bottom-up mechanistic and top-down concept-based approaches.

1. Train a linear probe for a concept of interest on a Conv2D activation space with shape $[width, height, c_{out}]$, reshaped as $[c_{out}, width \cdot height]$
2. Decompose the probes (Equation 5), and use the top left channel-space singular vectors as output directions for the BConv2D layer of interest. Determine the number of singular components needed, based on the distribution of singular values.
3. Perform an eigendecomposition of the BConv2D layer (Equation 6) towards the top left singular vectors in channel space, to identify directions in the filter weights that write to the probe (similar to Pearce et al. [2024b]).

This protocol will yield a full basis of eigenvectors for each output direction.

Cheese probe In subsection 4.2, We design a cheese probe as a case study of our probe protocol (subsection 3.4). We trained linear probes to detect the presence of the cheese at position (8, 14) in the maze by creating a dataset comprising 2000 pairs of mazes, one with the cheese at position (8, 14) and the other without a cheese.

We trained position probes on a BConv2D activation space with shape $[w, h, c_{out}]$, reshaped as $[w, h, c_{out}]$ on the output of the BConv2D layer of interest. We decomposed the probe's weights via SVD (Equation 5). We could then use the top (left) singular vectors as output directions along the BConv2D layer of interest. For probe results, see Appendix C.

3.5 Ablations

We perform 3 separate sets of ablation experiments: (1) for the cheese probe, (2) in the standard basis and (3) for action features. In each set of experiments, we run multiple ablations. For the probe and standard basis experiments, we run, separately for each k , ablations for all but the top- k eigenfilters for each output channel of selected BConv2D layers. For ablation within the standard basis and action features, we also ablate everything but the top- k eigenfilters in the FC layer. For each ablated model, we reconstruct the network using the top- k eigenfilters and then run the model in the Maze environment. To ensure we are comparing the ablated models fairly within each set of ablation experiments, we run the same mazes, using 20 seeded environments, capping steps per rollout at 200 to save runtime.

3.6 Steering

While the FC layer outputs the value and policy, we are interested in the contribution of the convolution layers to solving the task. As such, we leave the FC layer intact and try to steer the model from the convolution layers alone. We modified steering examples following Mini et al. [2023]. Rather than averaging activation spaces together, we directly alter the weight contributions from hidden layers (Res in Equation 7).

Our aim is to re-target the agent from the maze's actual location towards a counterfactual cheese location x' . To do so, we first obtain the activations for the maze's cheese position (x_{cheese} in Equation 7) by subtracting the activations for the maze without the cheese from the activations for the maze with the cheese. Similarly, we get the activations for the counterfactual cheese position (x'_{cheese} in Equation 7) by subtracting the activations for the maze without the cheese from the activations for

the maze with the cheese in the counterfactual position. We intervene using the top-2 eigenfilters (eig in Equation 7) and overwrite the contributions, using the equation:

$$Res' = Res - eig * (x_{\text{cheese}}) + eig * (x'_{\text{cheese}}) \quad (7)$$

4 Experiments

In order to evaluate the usefulness of the bilinear approach in the context of RL, we ran a series of experiments. We detail training procedures, experimental protocols, and key findings from both quantitative and qualitative perspectives. We ask (1) do bilinear architectures achieve competitive performance compared to standard models like ReLU-based IMPALA and (2) do bilinear layers provide interpretable representations through spectral decomposition and probe-based analyses?

In order establish feasibility, we first evaluate and compare performance between BIMPALA and IMPALA on a handful or randomly selected "easy" ProcGen environments.

We next train probes and propose a protocol to decompose probes in conjunction with convolution layers. This allows us to identify a cheese filter using the top-2 eigenfilters of a convolution layer.

We next explore methods without the need for training probes. First, we turn to the standard channel basis and perform ablation experiments. Unfortunately, we do not find the standard basis alone to be informative enough for interpretability.

We then decide to adopt two different approaches using both weights and activations without training probes. First, we decompose the full connected layer along each policy action and perform ablation experiments. We find action features to be faithful to actions needed to solve the maze. Finally, we perform steering experiments where we re-target the agent towards a counterfactual cheese position.

4.1 BIMPALA matches IMPALA performance

Architecture baseline We adapted the existing IMPALA framework Espeholt et al. [2018] by (1) simplifying the network by removing some convolution layers so that the residual block is a simple gated convolution with a skip connection as well as removing the LSTM layer after the FC layer and (2) modifying the original structure to incorporate bilinear gating mechanisms in both Conv2D and FC layers (Figure 1). We refer to the bilinear variant as BIMPALA (Bilinear IMPALA).

Evaluation As proof of concept for interpretable bilinear RL, we trained (Methods subsection 3.3) simplified IMPALA and BIMPALA alongside each other on the "easy" distributions of some (**Maze**, **Heist**, **Plunder**, and **DodgeBall**) of the procedurally generated environments within the established Procgen benchmark [Cobbe et al., 2020].

We find BIMPALA matched and occasionally outperformed IMPALA across the environments we tested (Figure 2), validating the feasibility of using bCNNs for RL tasks. Specifically, BIMPALA generally demonstrated faster learning, higher final performance in terms of expected return, and maintaining lower entropy.

4.2 Protocol to enhance interpretability for RL with probes

Having established that the bilinear approach can perform competitively in RL environments, we next want to use this architecture to enhance interpretability. In subsection 3.4, we

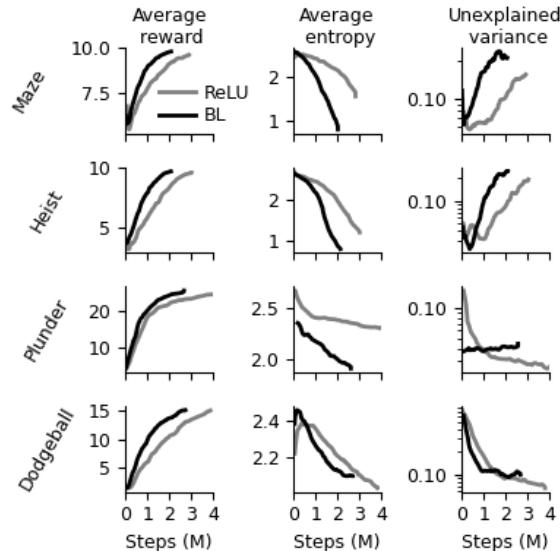


Figure 2: ReLU and Bilinear IMPALA perform comparably across different ProcGen environments.

describe a protocol to yield a full basis of eigenfilters for each output direction relevant for a concept of interest. Briefly, we train a linear probe for a concept of interest on a Conv2D activation space. With SVD (subsection 3.2), we can identify the probe’s top singular vectors, which we can use as output directions for a BConv2D layer. We can then perform eigendecomposition towards the top left singular vectors in output channel space to identify eigenfilters which contribute to the probe.

It’s possible for the important eigenvectors between output directions to not be fully orthogonal, especially if interpreting multiple probes in parallel. Although we do not investigate overlapping filters here, analyzing the cosine similarity between important (as measured by $|s_j \lambda_{u_j}^i|$) eigenvectors relating to different singular channels may further inform the function of the eigenvectors.

4.3 Case study: cheese probe

With the protocol defined, the next step is to implement it by training concept probes for specific features and analyzing their decomposition. For the remainder of the paper, we focus on Maze, where the player, a mouse, must navigate a maze to find the sole piece of cheese and earn a reward. We generate a dataset of sets of mazes with and without a cheese and train linear probes to detect the presence of the cheese at some position subsection 3.4.

Probes trained well on the outputs of the residual blocks, and had $> 99\%$ accuracies and F_1 scores (Table 2 in Appendix C).

Dominant singular probe channels Decomposing the probe, we see a spectra (Figure 3). The top singular component alone explains 30% of the variance, and 16 components are needed to explain $\geq 90\%$ of the variance.

Eigenfilter decomposition for singular probe channels

Decomposing the last BConv2D layer towards the top (left) singular channel, we see the standard channel basis spectra has just two eigenvalues (Figure 4, right). The singular spectrum for the cheese probe (Figure 4, left), however, was nondegenerate, and thus more likely to be informative.

In order to verify the importance of the singular spectrum for solving the maze, we repeated the decomposition for the first and second BConv2D layers and performed ablation (subsection 3.5). We ablated all but the topk eigenfilters for each output channel of each of the BConv2D layers. We reconstructed our networks and ran each of ablated models on the same 20 Maze environments. (Figure 5).

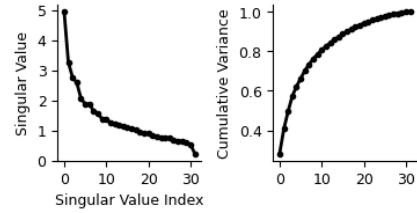


Figure 3: Singular values and explained variance for cheese probe

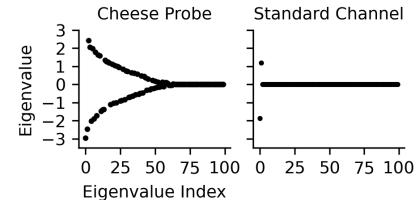


Figure 4: Spectral decomposition of the final BConv2D towards the cheese probe’s top singular output channel (left) and in the standard channel basis (right)

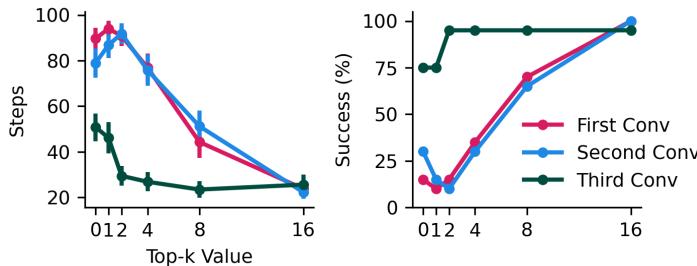


Figure 5: metrics when ablating parts (bottom - k+1) of different convolution layers’ eigenfilter spectrum associated with the cheese probe. Here and subsequent plots, error bars are SEM

We find that maze performance recovers close to 100% with just the top-2 eigenfilters in the last BConv2D layer. In this last convolution layer, additional eigenfilters help solve the maze in less steps. For the first and second BConv2D layers, we see a different trend, where it takes 16 eigenfilters for maze performance to be recovered, and the contributions of each added eigenfilter is less step-like and more continuous. This continuous distribution may suggest that decomposing the layers towards the cheese probe’s top singular channel is informative.

In fact, in the second BConv2D layer, we can already find information about the cheese location already from just the top positive eigenfilter. In Figure 6, we visualize the top positive and negative eigenfilter activations for a set of pairs of mazes, one with the cheese at the selected position and the other without the cheese. While the positive filter activates on non-cheese patterns, the negative filter down-weights non-cheese patterns without erasing the cheese activation. The positive and negative activations of the respective filters result in a cheese detector filter. We found similar cheese filters when we considered other mazes and BConv2D layers.

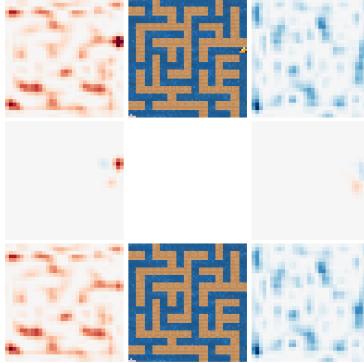


Figure 6: Activations for the top positive (left) and negative (right) eigenfilters in the second BConv2D layer, for the cheese probe’s top singular channel. Activations for a maze with cheese (top) vs without cheese (bottom). Middle plots show the difference between the activations with and without cheese.

4.4 Ablation within the standard bases

While the probe approach is rather promising and we are successful in finding a cheese detector in the second convolution layer, it may not be feasible nor scalable to train probes for each feature we may want to interpret. This is especially true once we move beyond toy-like tasks such as the Maze environment. Hence, despite the degenerate spectrum (Figure 4), we turn back to the standard basis.

We ask how many eigenfilters are necessary for performance? We ablated all but the topk eigenfilters for the FC layer, all the BConv2D layers, or just the last BConv2D layer. As we may have predicted from Figure 4, the spectrum of the last BConv2D layer is not informative and is marginally necessary for full performance. The agent, when compared to the BIMPALA, has a similar success rate, in a relatively low number of extra steps, and receives similar rewards when we ablate the last BConv2D entirely (topk=0), (Figure 7).

When we ablate all the BConv2D layers together, we see that the top-2 eigenfilters (per output channel) are sufficient to recover full performance (Figure 7). Full performance in the FC layer is achieved with the top eigenfilter. This eigenfilter is more important for performance than all the BConv2D layers combined, resulting in lower success in more steps with less reward when ablated.

While the contribution of the FC layer relative to the BConv2D layer may be an important insight, it is also expected. We could expect the FC, as the last layer of the network that outputs the policy and value, to contain most the information about the next step and therefore be the largest contributor to performance. Beyond that, the standard channel basis *by itself* may not be very fruitful for decomposing the network for interpretability. For example, while we can deduce that in the last BConv2D layer, the top positive and negative eigenfilters work together (Figure 4, 7), we do not know anything more granular.

4.5 Interpretability based on action features

For example, Figure 8 shows the eigenvalues in the action spectrum for the UP action. We can see many UP action eigenvectors in the FC layer, with one very large positive eigenvalue. We next used these action spectra of the FC layer in ablation experiments. Decomposition may still be useful for interpretability beyond the standard basis and without training probes. The FC layer outputs the directions of movement for the policy (UP, DOWN, RIGHT, LEFT). Instead of training probes,

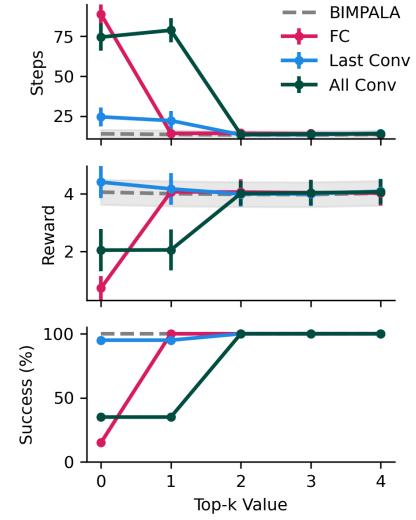


Figure 7: Maze metrics during standard basis ablation.

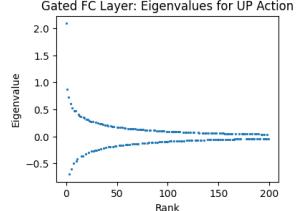


Figure 8: UP spectrum

we could alternatively decompose the directions relevant for actions directly by decomposing in the direction of each action output.

Ablation In ablation experiments, we found that, despite the dense spectrum (Figure 8), preserving the top eigenvector for each action in the FC layer was sufficient for a 100% success rate (Figure 13 in Appendix E). When we inspected impact of the eigenvectors by visualizing the vector fields, we saw the same trends across the multiple mazes and directions we inspected.

In Figures 9- 10, we show example vector fields for ablations in two action spectra, UP and LEFT. In Figure 9, we see how a single UP eigenvector proves sufficient to encode the optimal path through the maze. Specifically, we see that the upward logit values are selectively increased along the solution path and suppressed near dead ends. Similarly, in Figure 10 we see the effect of the LEFT action

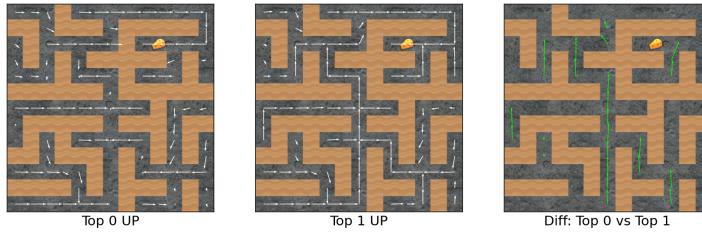


Figure 9: Vector field visualizing maze navigation without any (left) and with a single (middle) UP eigenvector, and the difference highlighted in green (right). The top UP eigenvector is sufficient to solve the maze and without UP eigenvectors, the agent does not move upwards

spectrum. Note that the mouse, who is typically located at the bottom left corner at the start of an episode, can solve the maze without any LEFT eigenvectors (Figure 10, Top 0 LEFT). Yet, we see that increasing the number of LEFT eigenvectors allows the agent to reach the cheese from other locations, such as at the top. As we add more left eigenvectors, we see that the misleading right arrows diminish and the agent gradually reconstructs its left arrows (Figure 10, Diff: Top 4 from ALL LEFT), making the maze solvable for more configurations.



Figure 10: LEFT action spectrum visualization in a maze environment. While the maze is solvable without any LEFT eigenvector, adding LEFT eigenvectors allows solving the maze from other starting positions (e.g. top or right of the cheese)

4.6 Steering

Having seen the effect of action spectra on maze solving and the importance of the FC layer to solving the maze in general, we wondered if it was possible to redirect the agent while leaving the FC intact. In particular, we wondered if we could redirect the agent by intervening in the convolution layers.

Our steering experiment (subsection 3.6) aims to re-target the agent away from the actual cheese position (cheese in Figure 11) towards a counterfactual cheese position (red dot in Figure 11). We followed the steering examples of Mini et al. [2023], with a modification: rather than averaging activation spaces together, we directly alter the weight contributions from BConv2D layers.

We obtain the activations for the maze’s cheese position (x_{cheese}) by subtracting the activations for the maze without the cheese from the activations for the maze with the cheese at its actual location. Similarly, we get the activations for the counterfactual cheese position (x'_{cheese}) by subtracting the activations for the maze without the cheese from the activations for the maze with the cheese in the counterfactual position. We intervene by overwriting the contributions on the BConv2D layer by subtracting the top-2 eigenfilters of x_{cheese} and adding the top-2 eigenfilters of x'_{cheese} .

We ran our steering experiment on various different counterfactual cheese positions, and had similar qualitative results, which we share here via an example (Figure 11), where the counterfactual cheese position is on the opposite side of the maze (red dot in Figure 11). In this example, the steering was successful and mouse could not solve the maze. We can see that the vector fields indicating

movement are altered. Specifically, we can see arrows pointing towards the counterfactual cheese position during intervention (Figure 11, middle) lead the mouse from the bottom left towards the red dot. And if we look at the difference between the original and intervened mazes, we can see that the green arrows draw paths away from the real cheese towards the counterfactual cheese position.

5 Discussion

Summary We introduce an approach to interpreting convolution neural networks, by replacing nonlinearities with bilinear variants that achieve comparable and occasionally superior performance. Our approach allows us to find a closed form for self-interacting convolution features that can be combined with a top down concept based approach to derive causally relevant mechanisms used by RL agents in their decision making process. Through decomposition, we were able to find the relevant component and use them to identify the cheese target in a Maze environment. We were able to also show a causal relationship between the representation of the cheese in the top components of the convolution layers by steering the mouse towards a counterfactual cheese position. In short, we see great potential and value in bilinear variants that offer more interpretability prospects while achieving competitive performance to their non-analytic variants.



Figure 11: Re-targeting the agent by intervening to redirect towards a counterfactual cheese position (red dot)

Future work As proof of concept, the scope of this paper is rather limited and leaves room to expand the methods and generalize interpretability approaches. A significant addition to our interpretability methods would be to incorporate multiple steps in the RL task, enabling us to track eigenfilters across decision steps. This could easily be done even in the Maze environment by using Procgen's "memory" mode where the mouse gets a partial view of the maze while exploring.

While we do not study models featuring batch norms in this paper, we believe they can be readily incorporated into our framework. During inference, batch normalization applies a fixed affine transformation to activations, which is compatible with our decomposition approach. Additionally, our work currently uses max pooling, which is performant but not analytically decomposable and thus remains a blackbox in our work. Future work should explore alternative pooling operations that are both analytically tractable and performant, enabling end-to-end decomposition of the full architecture.

Limitations We found significant challenges in interpreting the units of computation in an entirely data independent fashion. Instead, we found that top activating dataset examples for eigenfilters tend not to be informative. Still, the decomposition allows us to break concept probes into more granular units of computation. We considered only one architecture, IMPALA, for our policy, although we expect the general approach of replacing nonlinearities with bilinear variants to be widely applicable. Due to computational requirements, we trained on the "easy" mode of a handful of ProcGen environments and we only analyzed the BIMPALA network for interpretability in the context of the Maze environment. It is not clear if the methods we presented here will transfer well to more complex environments with multiple objectives. Studying activations of probes, eigenvectors and eigenfilters across the temporal dimension may help in identifying interesting phenomenon such as reasoning and planning in RL environment. However, this might not be tractable with our current method as interactions between eigenfilters grow exponentially with each time step. Additionally, we do not concretely show how to derive insights specifically for multi-step processes, and aim to address this in future work. Similarly, we do not address a range of components often found in convolution neural networks, such as batch norm, dropout, or pooling. Their implications, such as the performance tradeoffs between different pooling strategies, should be considered when evaluating architecture variants in the future.

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A Tensor Decomposition of Bilinear Convolution Tensors

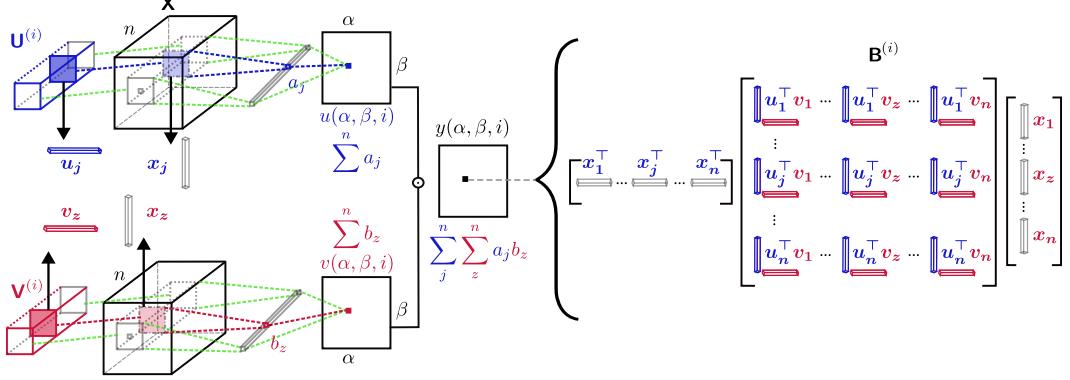


Figure 12: Transformation from spatial convolution operations (left) to a bilinear interaction matrix B (right) associated with scalar entry $y(\alpha, \beta, i)$ in output channel i . The diagram emphasizes how local spatial convolutions (shown in the cubes) are transformed into a bilinear form B . (Left, top, blue) Computation of spatial convolutions $\mathbf{U}^{(i)}$ with input \mathbf{x}_j , producing terms a_j . (Left, bottom, red) Computes convolutions $\mathbf{V}^{(i)}$ with input \mathbf{x}_k , producing terms b_k . (Right) The previous operations can be reformulated as a product of three block matrices, where the outer product of input channel responses $(\mathbf{u}^\top \mathbf{v})$ forms a bilinear matrix, $(\mathbf{B}^{(i)}) = B$. We show in this appendix that B has a symmetric form B^{sym}

As in Equation 2, consider the output of a convolution layer at location (α, β) for the i -th output channel:

$$u(\alpha, \beta, i) = \sum_{j=1}^{c_{in}} \sum_{|k_1| \leq \ell} \sum_{|k_2| \leq \ell} U^{(i)}[j, k_1, k_2] \cdot X[j, \alpha + k_1, \beta + k_2]$$

We define the contribution of the filter applied to the j th input channel as

$$a_j = \sum_{|k_1| \leq \ell} \sum_{|k_2| \leq \ell} U^{(i)}[j, k_1, k_2] \cdot X[j, \alpha + k_1, \beta + k_2] \quad ,$$

which contributes to the i th channel output of the first convolution as

$$u(\alpha, \beta, i) = \sum_{j=1}^{c_{in}} a_j$$

We consider a flattened vector \mathbf{x}_j : the input tensor $X[j, \alpha : \alpha + k, \beta : \beta + k]$ flattened into a k^2 -dimensional vector for each spatial location (α, β) . Similarly, \mathbf{u}_j is the flattened filter $U^{(i)}[j, :, :]$. Note that the filter is independent of the position (α, β) .

Using these flattened representations, we can express a_j as

$$a_j = \mathbf{u}_j \cdot \mathbf{x}_j$$

Note that we have removed the notation for the output channel i , as all the operations we discuss here are for a single output channel.

As the gated operation is given by

$$y(\alpha, \beta, i) = u(\alpha, \beta, i) \odot v(\alpha, \beta, i),$$

we can similarly consider the second convolution's k^2 row vector \mathbf{v}_z and k^2 column vector \mathbf{x}_z , which define the contribution to the z th input channel as:

$$b_z = \mathbf{v}_z \cdot \mathbf{x}_z$$

and i th channel output of the second convolution as :

$$v(\alpha, \beta, i) = \sum_{z=1}^{c_{in}} b_z$$

For simplicity, we will assign c_{in} to n . The gated operation is then :

$$y(\alpha, \beta, i) = \sum_j^n \sum_z^n a_j b_z$$

We note that each term, a_j and b_z , is a scalar and is therefore equal to its transpose. Now lets consider the **interaction** between input channels j and z :

$$\begin{aligned} a_j b_z &= (\mathbf{u}_j \mathbf{x}_j)(\mathbf{v}_z \mathbf{x}_z) \quad . \text{ Substituting } a_j \text{ and rearranging terms:} \\ &= (\mathbf{x}_j^\top \mathbf{u}_j^\top)(\mathbf{v}_z \mathbf{x}_z) \end{aligned}$$

This yields the gated operation:

$$y(\alpha, \beta, i) = \sum_j^n \sum_z^n \mathbf{x}_j^\top \mathbf{u}_j^\top \mathbf{v}_z \mathbf{x}_z \quad (8)$$

We can write the sum $\sum_j^n \sum_z^n \mathbf{x}_j^\top \mathbf{u}_j^\top \mathbf{v}_z \mathbf{x}_z$ as a product of three block matrices (Figure 12):

$$\begin{bmatrix} \mathbf{x}_1^\top & \cdots & \mathbf{x}_j^\top & \cdots & \mathbf{x}_n^\top \end{bmatrix} \begin{bmatrix} \mathbf{u}_1^\top \mathbf{v}_1 & \cdots & & \mathbf{u}_1^\top \mathbf{v}_n & \\ \vdots & \ddots & & \vdots & \\ & & \mathbf{u}_j^\top \mathbf{v}_z & & \\ \vdots & & \ddots & & \vdots \\ \mathbf{u}_n^\top \mathbf{v}_1 & \cdots & & \mathbf{u}_n^\top \mathbf{v}_n & \end{bmatrix} \begin{bmatrix} \mathbf{x}_1 \\ \vdots \\ \mathbf{x}_z \\ \vdots \\ \mathbf{x}_n \end{bmatrix}$$

The middle matrix is the **interaction matrix** B , and it has a symmetric form given by :

$$B^{\text{sym}} = \begin{bmatrix} & \cdots & \cdots & \\ \vdots & \ddots & & \vdots \\ & \frac{\mathbf{u}_j^\top \mathbf{v}_z + (\mathbf{u}_z^\top \mathbf{v}_j)^\top}{2} & & \\ \vdots & \cdots & \ddots & \vdots \end{bmatrix}$$

In Equation 8, each term, $\mathbf{x}_j^\top \mathbf{u}_j^\top \mathbf{v}_z \mathbf{x}_z$, is a scalar and is therefore equal to its transpose. With this in mind, we show that B^{sym} is symmetric over all possible input pairs (j, z) . That is,

$$\mathbf{x}_j^\top B^{\text{sym}}[j, z] \mathbf{x}_z = \mathbf{x}_z^\top B^{\text{sym}}[z, j] \mathbf{x}_j \quad ,$$

where

$$\mathbf{x}_j^\top B^{\text{sym}}[j, z] \mathbf{x}_z = \mathbf{x}_j^\top \left(\frac{\mathbf{u}_j^\top \mathbf{v}_z + (\mathbf{u}_z^\top \mathbf{v}_j)^\top}{2} \right) \mathbf{x}_z \quad ,$$

and

$$\begin{aligned} \mathbf{x}_z^\top B^{\text{sym}}[z, j] \mathbf{x}_j &= \mathbf{x}_z^\top \left(\frac{\mathbf{u}_z^\top \mathbf{v}_j + (\mathbf{u}_j^\top \mathbf{v}_z)^\top}{2} \right) \mathbf{x}_j \quad . \text{ Transposing and rearranging terms} \\ &= \mathbf{x}_j^\top \left(\frac{\mathbf{v}_j^\top \mathbf{u}_z + \mathbf{u}_j^\top \mathbf{v}_z}{2} \right) \mathbf{x}_z \\ &= \mathbf{x}_j^\top \left(\frac{\mathbf{u}_j^\top \mathbf{v}_z + (\mathbf{u}_z^\top \mathbf{v}_j)^\top}{2} \right) \mathbf{x}_z \\ &= \mathbf{x}_j^\top B^{\text{sym}}[j, z] \mathbf{x}_z \end{aligned}$$

Last, we show that B agrees with B^{sym} on every pair of inputs. In other words, we will show

$$\mathbf{x}_z^\top B^{\text{sym}}[z, j]\mathbf{x}_j + \mathbf{x}_j^\top B^{\text{sym}}[j, z]\mathbf{x}_z = \mathbf{x}_z^\top B[z, j]\mathbf{x}_j + \mathbf{x}_j^\top B[j, z]\mathbf{x}_z$$

Starting with the RHS

$$\begin{aligned} \mathbf{x}_z^\top B^{\text{sym}}[z, j]\mathbf{x}_j + \mathbf{x}_j^\top B^{\text{sym}}[j, z]\mathbf{x}_z \\ = \mathbf{x}_z^\top \left(\frac{\mathbf{u}_z^\top \mathbf{v}_j + (\mathbf{u}_j^\top \mathbf{v}_z)^\top}{2} \right) \mathbf{x}_j + \mathbf{x}_j^\top \left(\frac{\mathbf{u}_j^\top \mathbf{v}_z + (\mathbf{u}_z^\top \mathbf{v}_j)^\top}{2} \right) \mathbf{x}_z \\ = \frac{1}{2} \mathbf{x}_z^\top (\mathbf{u}_z^\top \mathbf{v}_j) \mathbf{x}_j + \frac{1}{2} \mathbf{x}_j^\top (\mathbf{u}_j^\top \mathbf{v}_z) \mathbf{x}_z + \frac{1}{2} \mathbf{x}_j^\top (\mathbf{u}_j^\top \mathbf{v}_z) \mathbf{x}_z + \frac{1}{2} \mathbf{x}_z^\top (\mathbf{u}_z^\top \mathbf{v}_j) \mathbf{x}_j \\ = \mathbf{x}_z^\top \mathbf{u}_z^\top \mathbf{v}_j \mathbf{x}_j + \mathbf{x}_j^\top \mathbf{u}_j^\top \mathbf{v}_z \mathbf{x}_z \\ = \mathbf{x}_z^\top B[z, j]\mathbf{x}_j + \mathbf{x}_j^\top B[j, z]\mathbf{x}_z \end{aligned}$$

For each of c_{out} output channels, we get a matrix B^{sym} of dimension $k^2n \times k^2n$, giving \mathbf{B}^{sym} a total shape of $[k^2n, k^2n, c_{out}]$.

B Training Parameters

Parameter	Type	Default Value	Description
Distribution Mode	String	easy	Difficulty or type of environment distribution. Choices: easy, hard, exploration, memory, extreme.
Environment Name	String	maze	Name of the environment to train on.
Number of Environments	Integer	64	Number of environments to use in parallel during training.
Number of Levels	Integer	100,000	Number of unique levels available for training.
Start Level	Integer	0	Starting level of the environment.
Method Label	String	hazelnut	Label or identifier for the method used.
GPU ID	Integer	7	GPU ID to use for training. Default is set to target GPU 7.
Learning Rate	Float	0.0001	Learning rate for the optimizer.
Entropy Coefficient	Float	0.01	Coefficient controlling entropy regularization.
Value Function Coefficient	Float	0.5	Coefficient balancing value function loss during training.
Discount Factor (γ)	Float	0.999	Discount factor for future rewards.
Lambda (λ)	Float	0.95	Generalized advantage estimation (GAE) discount factor.
Clip Range	Float	0.2	PPO clip range for policy loss updates.
Maximum Gradient Norm	Float	0.5	Maximum allowable gradient norm for clipping.
Steps per Update	Integer	256	Number of environment steps per policy update.
Batch Size	Integer	8	Batch size used for training.
Number of Epochs per Update	Integer	3	Number of training epochs per policy update.
Maximum Training Steps	Integer	12,800,000,000	Maximum number of total environment steps for training.
Pooling Method	String	avg	Pooling method used in the architecture. Options: avg, max, etc.

Table 1: Training parameters for ProcGen training

C Probe Results

Layer	Sequence 0 (%)	Sequence 1 (%)	Sequence 2 (%)	Fully Connected (%)
Initial Conv	99.88			-
Conv	100.00	100.00	100.00	-
MaxPool	99.88	100.00	100.00	-
ResBlock0	99.88	100.00	100.00	-
ResBlock0 Gated Conv	59.28	42.75	69.07	-
ResBlock1	100.00	100.00	100.00	-
ResBlock1 Gated Conv	69.07	0.00	40.12	-
Gated FC	-	-	-	68.36
Logits FC	-	-	-	81.20
Value FC	-	-	-	2.73

Table 2: F_1 scores for position probes trained on the output of different layers of the network. The scores indicate how well each layer preserves cheese position information.

D Training resources

Each ProcGen environment and model combination trained in 15-20 GPU hours on a 48GB VRAM 4xA40 gpu node

E Ablation supplemental figure

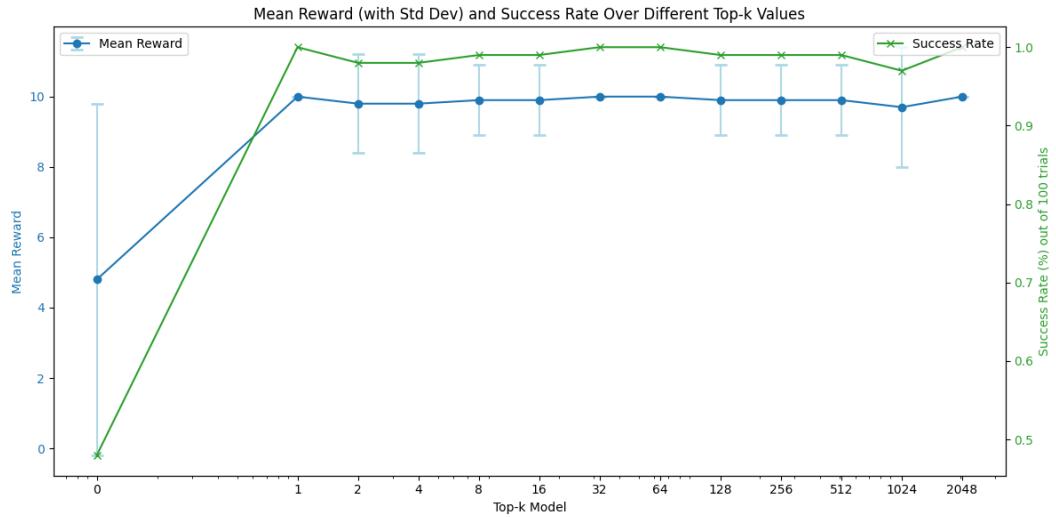


Figure 13: Keeping just first eigenvector for each output action in the final FC layer is enough to preserve near 100% success rate in solving mazes.

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For (2), see Decomposing Convolutions and Appendix A

For (3), see Ablation within the standard bases and Figure 7

For (4), see Protocol to enhance interpretability for RL with probes, Interpretability based on action features, Steering Experiments and corresponding figures in each subsection

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