What does an Adversarial Color look like?

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Abstract

The short-answer: it depends! The long-answer is that this dependence is modulated 1 by several factors including the architecture, dataset, optimizer and initialization. 2 In general, this modulation is likely due to the fact that artificial perceptual systems 3 are best suited for tasks that are aligned with their level of compositionality, so 4 when these perceptual systems are optimized to perform a global task such as 5 6 average color estimation instead of object recognition (which is compositional), different representations emerge in the optimized networks. In this paper, we first 7 assess the novelty of our experiment and define what an adversarial example is in 8 the context of the color estimation task. We then run controlled experiments in 9 which we vary 4 variables in a highly controlled way pertaining neural network 10 hyper-parameters such as: 1) the architecture, 2) the optimizer, 3) the dataset, and 4) 11 the weight initializations. Generally, we find that a fully connected network's attack 12 vector is more sparse than a compositional CNN's, although the SGD optimizer 13 will modulate the attack vector to be less sparse regardless of the architecture. We 14 also discover that the attack vector of a CNN is more consistent across varying 15 datasets and confirm that the CNN is more robust to attacks of adversarial color. 16 Altogether, this paper presents a first computational exploration of the qualitative 17 assessment of the adversarial perception of color in simple neural network models, 18 re-emphasizing that studies in adversarial robustness and vulnerability should 19 extend beyond object recognition. 20

21 **1 Introduction**

Recent works in "NeuroAI" have shown the importance of task optimization for the construction of 22 robust neural networks models that try to find a perceptual alignment between biological and artificial 23 neural representations (Dwivedi & Roig, 2019; Wang et al., 2019; Schrimpf et al., 2020; Conwell 24 25 et al., 2021, 2022; Doerig et al., 2022). In these works, authors often test a battery of neural network 26 architectures or optimization constraints to evaluate how well such models align with human visual 27 perception. Conversely, modern research in adversarial images has focused on creating a plethora of 28 adversarial attacks & defenses for modern machine vision systems when networks are *exclusively* optimized via a cross-entropy loss to encode a compositional task such as object recognition. 29

30 In this paper we shift gears and will focus on optimizing networks to perform average color estimation, 31 where we will mainly *not* be evaluating the robustness success via performance curves, but rather qualitatively assessing how these differences look like when neural networks are optimized to estimate 32 the average color of an image across a variety of training and testing conditions (Emery & Webster, 33 2019; Shamsabadi et al., 2020; Kantipudi et al., 2020). We are primarily motivated by this framework, 34 because we would like to take a step back in adversarial image research to investigate how such 35 qualitative and quantitative differences are modulated when the object recognition task is completely 36 removed from the picture, and neural networks are optimized to estimate the average color of an 37 image instead. Perhaps convolutional neural networks become more robust? Perhaps they will not. 38

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Figure 1: Visualization of the convergence of the loss function (MSE) over 20 separately trained Convolutional Neural Networks (CNN) and Fully Connected Networks (FCN) optimized with either Adam (10) or SGD (10) – totalling 40 trained neural networks over which we conduct our experiments.

³⁹ Perhaps this will depend on the neural network architecture, dataset, optimizer and initialization or a

40 combination of all these factors. This leads us to another question: What does an adversarial color

41 look like in machines?

42 2 Training classic Neural Networks to compute Color Estimation

To investigate this question we will use two equi-parametric neural network families that have 43 different approximational power induced by the computations pertaining to their architecture (See 44 Appendix A). These are families of two very basic neural network types: Fully Connected Networks 45 (hereto FCN) and Convolutional Neural Networks (hereto CNN) that are parameterized as seen in 46 Table 1. The motivation for using these two types of architectures can be shown in Deza et al. (2020), 47 that showed that while both CNNs and FCNs can approximate the average color of an image, FCNs 48 easily arrive to a lower loss, given the correspondence of their architecture with the closed form 49 expression of average color estimation (C), which can trivially be expressed as the average luminance 50 values per channel in an image: 51

$$C = \frac{\sum_{i}^{N} (I_i)}{N}; \ \forall i \text{ pixels of image } I$$
(1)

In our experiments, both FCNs and CNNs were trained on the CIFAR-10 dataset with a Mean Square Error (MSE) loss and the last layer set to be a 3×1 vector, encoding the average RGB values of the image. In addition, each neural network was either optimized with SGD or Adam. The convergence of the training loss across all networks used in our experiments can be found in Figure 1 – as each FCN and CNN was optimized 10 times per each optimization procedure (SGD or Adam), totalling

⁵⁷ 40 neural network models.

	CNN		FCN	
	Adam	SGD	Adam	SGD
# Params	61411	61411	61523	61523
# Epochs trained	50	50	50	50
# Trials	10	10	10	10
Learning Rate	0.01	0.001	0.0025	0.001
Lowest Loss	6.77e-4	1.58e-2	6.07e-7	1.75e-3

Table 1: Training & parameter details of CNNs & FCNs

58 Additional details pertaining to attacking the neural networks and representations of color can be 59 seen in Appendix B.



Figure 2: <u>A.</u> A diagram showing the differences and similarities of color-based adversarial attacks on the *same image* of two neural network trials across different architectures (CNN and FCN). <u>B.</u> The same image and their attacks in RGB color space demonstrating how each network is "*fooled*". Notice that the average color of the superposition is curved by the average noise due to clipping (See Appendix B). All epsilon values and resulting attacked images are shown in the 3D plots (contra to inset <u>A.</u> that shows only a slide at $\epsilon = 0.4$)

3 Evaluation of Color Adversarial Attacks on Neural Network models

⁶¹ Thus, to shed light on the question of what an adversarial color look like, we must realize that this will ⁶² be a distinctly different problem than one of the object recognition task, since there are no discrete ⁶³ classes by which we can determine when our network is fooled. Recall that the Fast Gradient Sign ⁶⁴ Method (FGSM) Attack can be formalized for the new adversarial image \hat{x} by Goodfellow et al. ⁶⁵ (2014):

$$\hat{x} \leftarrow x + \epsilon \operatorname{sign}(\nabla_x(\mathcal{L}(x, t, \theta)))$$
 (2)

Following this paradigm for the color estimation task, we define an adversarial example as one where 66 the adversarial noise (a.k.a attack) – the gradient of the loss w.r.t the input image – is bounded by ep-67 silon in the set $\epsilon = [0.0, 0.0005, 0.001, 0.005, 0.01, 0.1, 0.2, 0.4, 0.6, 0.8, 1.0, 1.2, 1.4, 1.6, 2, 5, 10]$ 68 maximizing the loss of the average color estimation. Hence, 'fooling the network' takes a trivial 69 meaning in the average color estimation task as it is linked to a *regression* problem (estimates of 70 RGB), rather than a *classification* problem (one-hot vector encoding as done in object recognition). 71 Of course, it is thus trivial to say "the machine has confused the average RGB color of an image", 72 so our interest is knowing by how much is it confused¹, and how the adversarial attack/vector/noise 73 looks like. 74 As previously suggested in the introduction, the adversarial color is thus modulated by several factors 75

⁷⁶ including: 1) the architecture, 2) the optimizer, 3) the dataset, 4) the multi-trial analysis (i.e., different

¹Notice that in the adversarial color landscape there is no notion of mis-classification accuracy, but rather difference in magnitude of MSE color estimation across color channels of the target and the prediction.



Figure 3: A small sample of the comparison of the effects of Adversarial Attacks on Average Color Estimation to both CNNs and FCNs when they are modulated by their optimizer. No clear differences emerge with the exception of an interaction between Adam + FCNs that reinforces a sparsity constraint on the Adversarial Attack. This can be observed in the Superposition, and also in Figures 6,7.

weight initializations of the same architecture). In the following subsections, we do our best toprovide a holistic understanding of each one of these phenomena.

79 **3.1** The Architecture and Optimizer: Interactions and Divergences

The effect of the optimizer does not affect our CNN models overall. The pattern of noise vectors is always dense and strangely off-set in the border with no noise (as if the CNN's stride were to implicitly direct the CNN to ignore the borders of the image: See Figures 6,7). Further investigation is required to explain this phenomena although some have found that this naturally emerges in CNNs trained for object recognition (Alsallakh et al., 2020; Yuan et al., 2021).

For the FCN, we see that Adam accentuates a sparse attack vector for many of the trials in our experiments. Whether this is a feature of a bug, requires more experiments, but this comes to a surprise as FCNs could opt for a theoretically stable weight vector that computes the exact average of all luminance channels through its layers (Figures 2,3).

89 3.2 Control Conditions: The Image Dataset & Individual Differences

We further examined the effects of varying the testing dataset for the color estimation task to
challenge each neural network's robustness and learned perceptual representations. Rather than using
MNIST (LeCun & Cortes, 2010), Places (Zhou et al., 2017), or ImageNet (Russakovsky et al., 2015),
we first experiment with the simplest variations of color estimation from the CIFAR-10 test dataset:
their vertically mirrored/inverted version, and a set of solid colors based on the original testing images.
Notice that in all cases, the ground truth average color is *preserved*, but the image structure has been
varied.

This variation is critical in testing for the color estimation task because we hypothesize that any rotational transformation should not affect the global structure of the color estimation attack for a FCN that does not have any explicit locality prior as a CNN does. Here, our initial expectations were that CNNs would also vertically flip their noise vectors (as these networks perhaps can not avoid trying to parse image identity even if it is not explicitly encoded in their loss function), while FCNs would stay invariant to such rotational shift.

We found that the attack vector of FCNs were not preserved during the vertical flipping (and in some cases also changed pattern; See Figures 6,7). CNNs, on the other hand, did seem to mildly preserve a structural bias when the image was flipped, but a further quantitative analysis is required to verify such a claim. More surprisingly, when we rendered solid colors that stemmed from such testing stimuli, CNNs failed to estimate color even with no adversarial attack, while FCNs did not struggle to accurately compute error. This would imply that CNNs are not learning an equipartite weighting scheme in their learned filters, as we would have initially expected².

²Although see Deza et al. (2020) that shows that CNN's first layer filters develop random weights to compute color, rather than Gabor-like structures, as CNNs learn to ignore edge cues to estimate color.



Figure 4: Individual differences in color perception from neural networks that are randomly initialized are shown. Such variations include architecture and optimizer across several images. By seeing these color-based adversarial attacks, it suggests that each neural network has learned to compute its "own" notion of color, analogous to what has been shown in humans (Emery & Webster, 2019).

Finally, extending our overall analysis to multiple trials, we see an interesting pattern of results as 110 shown in Figure 4, where all neural network models seem to "learn to compute color" in a very 111 different way (Lafer-Sousa et al., 2015; Emery & Webster, 2019) which can be visualized through 112 the different attack structures in color space. What is even more puzzling is that on occasion, the 113 final output of the adversarial attack will also be modulated by the input image. There are some cases 114 where the attack is sparse, and other cases where it is dense (see Trial 2 for FCN optimized via Adam). 115 This is an interesting interaction that we did not expect to find before running these experiments and 116 requires further investigation which can not be covered in the scope of this paper. 117

118 4 Discussion

Circling back to the question that initially motivated this paper – What does an Adversarial Color 119 *look like?* – we realize that the answer is still complex even if our focus in the paper pertains 120 only to oversimplified models of machine vision. We have found that CNNs on the whole produce 121 random noise vectors while fully connected models produce sparser noise vectors contingent on their 122 optimization procedure. CNNs also chose to ignore the borders of the image to compute colors, unlike 123 FCNs that would use the overall image information. Critically, FCNs seem to be better estimators 124 of the average color of an image than CNNs even if CNNs are *more robust* to adversarial color 125 126 attacks which is reminiscent of an accuracy-robustness tradeoff for object recognition-trained neural networks (Tsipras et al., 2018). 127

Indeed, perhaps a more accessible question for an extended version of this paper is: how do these 128 attacks differ when compared quantitatively and qualitatively to neural networks trained to do object 129 classification? And most importantly, do the adversarial attack patterns that arise across the different 130 variations of neural networks optimized to do color estimation hold any resemblance to those that also 131 132 fool a human observer? What are the effects of *adversarial training* for average color estimation? Future work will explore the perturbations performed on our machine vision models on humans, 133 where we may be able to find that under the color estimation loss, such attacks fool humans the same 134 way (Elsayed et al., 2018; Feather et al., 2019; Harrington & Deza, 2022; Feather et al., 2022). 135

136 Checklist

The checklist follows the references. Please read the checklist guidelines carefully for information on how to answer these questions. For each question, change the default **[TODO]** to **[Yes]**, **[No]**, or **[N/A]**. You are strongly encouraged to include a **justification to your answer**, either by referencing the appropriate section of your paper or providing a brief inline description. For example:

- Did you include the license to the code and datasets? [No] We will release the code in a follow-up version of the paper, but all details are available for re-implementation.
- Did you include the license to the code and datasets? [No] The code and the data are currently proprietary, but will be made open-source.
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Checklist section does not count towards the page limit. In your paper, please delete this instructions
block and only keep the Checklist section heading above along with the questions/answers below.

149 1. For all authors...

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- (a) Do the main claims made in the abstract and introduction accurately reflect the paper's contributions and scope? [Yes](b) Did you describe the limitations of your work? [Yes]
 - (c) Did you discuss any potential negative societal impacts of your work? [N/A]
 - (d) Have you read the ethics review guidelines and ensured that your paper conforms to them? [Yes]
- 156 2. If you are including theoretical results...
 - (a) Did you state the full set of assumptions of all theoretical results? [N/A]
 - (b) Did you include complete proofs of all theoretical results? [N/A]
- 159 3. If you ran experiments...
 - (a) Did you include the code, data, and instructions needed to reproduce the main experimental results (either in the supplemental material or as a URL)? [No] We will upload the code in a future version of the paper
 - (b) Did you specify all the training details (e.g., data splits, hyperparameters, how they were chosen)? [Yes]
 - (c) Did you report error bars (e.g., with respect to the random seed after running experiments multiple times)? [Yes]
 - (d) Did you include the total amount of compute and the type of resources used (e.g., type of GPUs, internal cluster, or cloud provider)? [Yes] Experiments were ran on a MacBook Air through a Google Colab notebook with Pytorch 1.12.1, and the computer's CPU through Visual Studio Code. No GPU's were used.
 - 4. If you are using existing assets (e.g., code, data, models) or curating/releasing new assets...
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- 5. If you used crowdsourcing or conducted research with human subjects...
 - (a) Did you include the full text of instructions given to participants and screenshots, if applicable? [N/A]
 - (b) Did you describe any potential participant risks, with links to Institutional Review Board (IRB) approvals, if applicable? [N/A]
- (c) Did you include the estimated hourly wage paid to participants and the total amount
 spent on participant compensation? [N/A]

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243 A Neural Network Architecture Details

```
CNNet(
244
      (conv1): Conv2d(3, 6, kernel_size=(5, 5), stride=(1, 1))
245
      (pool): MaxPool2d(kernel_size=2, stride=2, padding=0, dilation=1, ceil_mode=False)
246
      (conv2): Conv2d(6, 16, kernel_size=(5, 5), stride=(1, 1))
247
      (fc1): Linear(in_features=400, out_features=120, bias=True)
248
      (fc2): Linear(in_features=120, out_features=84, bias=True)
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      (fc3): Linear(in_features=84, out_features=3, bias=True)
250
    )
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    FCNet(
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      (fc1): Linear(in_features=3072, out_features=20, bias=True)
253
      (relu): ReLU()
254
      (fc2): Linear(in_features=20, out_features=3, bias=True)
255
    )
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```

257 **B** Implementational Details of Adversarial Attacks on Color Space

The implementation and visualization of the adversarial attacks for the color estimation task took place in two distinctly different color spaces. For the sake of quicker convergence in training, and smoother intervals of adversarial attacks, each CIFAR-10 input image was scaled down from integers in the space of [0, 255] so that its pixel values fit into the normalized range of [-1, 1] as flots.

Visualization of the adversarial attack took place in the un-normalized (original) range of [0,255] so that the images would have an appropriate meaning and rendering in the RGB color space. This transformation to [0,255] range only happened within the final stages of visualization so as to not interfere with the attack.

One consequence of visualizing images in any color space is that out-of-bounds values are not renderable/visualizable. In our context, this referred to values not in the range [-1,1] (e.g. -2.3, 4). Thus, whenever the neural networks models predicted average color of the adversarial image with values outside of the range [-1,1] – which happened quite often with $\epsilon = 0.4$ and higher – or when the adversarial image itself was created with too strong of a noise perturbation, we bounded these values to stay within [-1,1]. This is why the adversarial superposition is curved in figure 2.

This also affected our evaluation of the average loss of the networks on adversarial datasets as it capped the maximum distance between a label and prediction - bounding the regression problem. Thus, the MSE loss was never unreasonably high because the color space was bounded.

Future work will also explore how the color space may take into account the nature of the adversarial attack (RGB vs LAB or HSV).

277 C Supplementary Experiments



Figure 5: Visualizations of the bounded average loss of the 4 architecture types averaged over 10 trials, when tested on the adversarially attacked datasets with increasing epsilon. CNN's appear more robust than FCN's those approximation power of FCN's is evident in the solid color experiments. Individual trials can be see in Figure 8,9.



Figure 6: Full schematic visualizing the adversarial attack for Adam optimized neural networks, including ground truth, prediction and averages of different images (original, inverted and solid).



Figure 7: Full schematic visualizing the adversarial attack for SGD optimized neural networks, including ground truth, prediction and averages of different images (original, inverted and solid).



Figure 8: Quantitative estimation of the loss (computed via MSE) in normalized color space between the ground truth and the prediction for Fully Connected Neural Networks. Average Loss is visualized on the top, while the individual trial losses are visualized in the bottom. Notice that *all* FCNs compute color extremely well with near zero error.



Figure 9: Quantitative estimation of the loss (computed via MSE) in normalized color space between the ground truth and the prediction for Convolutional Neural Networks. Average Loss is visualized on the top, while the individual trial losses are visualized in the bottom. Notice the variability of CNNs to compute average colors specially for solid colors.