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Using Linguistic Synchrony to Evaluate Large Language Models for Cognitive Behavioral Therapy

Anonymous ACL submission

Abstract

Synchrony, the responsive communication between interacting individuals, is a crucial process in building a strong relationship between a mental health therapist and their client, leading to positive therapeutic outcomes. However, so far synchrony has not been investigated as a measure of efficacy of large language models (LLMs) delivering mental health therapy. In this work, we evaluate the linguistic synchrony of an LLM (ChatGPT 3.5-turbo) in a mental health dialog setting by first validating a computational measure of linguistic synchrony with two measures of the quality of client self-disclosures-intimacy and engagement (p < 0.05). We then compare the linguistic synchrony of the LLM to trained therapists and non-expert online peer supporters in a Cognitive Behavioral Therapy (CBT) setting. We show that the LLM is outperformed by humans with respect to linguistic synchrony (p < 0.001). These results support the need to be cautious in using LLMs in mental health applications.

1 Introduction

Synchrony describes responsive communication between individuals and is known to be important in building social relationships and supporting mental health outcomes (Delaherche et al., 2012; Klein, 2023). The phenomenon manifests through various modalities, including physical body movements (mirrored body language) (Ramseyer and Tschacher, 2011), vocals (pitch matching) (Imel et al., 2014), and language (linguistic style matching) (Niederhoffer and Pennebaker, 2002), across a variety of contexts (Kidby et al., 2023; Bonny and Jones, 2023). Synchrony is associated with building a sense of affiliation and improving cooperation and rapport (Vail et al., 2022); it is critical in therapist-client relationships (Colton, 2022). In this work, we focus on linguistic synchrony in the context of mental health therapy.

LLMs are increasingly used in dialogue systems for mental health, leading to the investigation of their efficacy in that application (Chiu et al., 2024; Cho et al., 2023). To the best of our knowledge, synchrony has not yet been evaluated as a performance indicator, in spite of its critical role (Kejriwal and Benus, 2024) in developing a strong therapist-client relationship. Therefore, in this work we measure the performance of an LLM (GPT-3.5-Turbo) in a mental health setting with respect to linguistic synchrony. We demonstrate that there is a significant relationship between linguistic synchrony, which we operationalize through the normalized Conversational Linguistic Distance (nCLiD) (Nasir et al., 2019), and two measures of the quality of client self-disclosures - intimacy and engagement. Then we compare the performance of the LLM to trained therapists and non-expert online peer supporters in a CBT setting (Figure 1). We show that the LLM is outperformed by both groups. This indicates that LLMs are not yet at the level of humans in generating high-quality therapeutic responses, and we suggest that synchrony can serve as an evaluation criterion for LLMs in mental health contexts.

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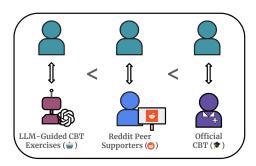


Figure 1: We find that linguistic synchrony is highest for trained CBT therapists (right), with online peer supporters following in second highest (middle), and lowest for LLMs (left).

2 Background

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We explore CBT as the application domain for this work, and present background on important measures of therapy effectiveness and the key role of linguistic synchrony in the quality of the therapist-patient relationship.

2.1 Cognitive Behavioral Therapy (CBT)

CBT is a psychotherapeutic intervention with the goal of reducing emotional distress and increasing adaptive behaviors (Wenzel, 2017). A core principle of CBT is that psychological disorders and their symptoms arise from unhelpful patterns of thought and behavior. Directly addressing these patterns can relieve symptoms. CBT homework exercises help individuals practice what they learn in therapy sessions in their daily life (Prasko et al., 2022).

2.2 Intimacy and Engagement in Self-Disclosure

Patient self-disclosure is an essential component of psychotherapy and is associated with positive treatment outcomes (Farber, 2003; Farber et al., 2006). Self-disclosure relies on establishing a trusting relationship between a patient and therapist to allow patients to disclose their problems and achieve constructive change (Newman, 2002). Intimacy within dyadic relationships in a healthcare setting is an important predictor of positive health outcomes (Kadner, 1994). Morton (1978) defined intimacy as having two dimensions: descriptive and evaluative. Descriptive intimacy involves the disclosure of private facts, while evaluative intimacy involves the disclosure of personal opinions and information. Engagement is the extent to which a patient actively participates in the therapeutic process beyond simply being present (Tetley et al., 2011; O'Brien et al., 2009). It can be defined as active or passive (Nguyen et al., 2018). Engagement, shown by involvement in therapy and earnest self-disclosure, also leads to positive therapeutic outcomes (Farber, 2003).

2.3 Linguistic Synchrony in Therapy

It is well known that the quality of the relationship between a therapist and their client plays a significant role in how effective therapy is for the client (Horvath and Symonds, 1991; Martin et al., 2000). The term *working alliance* captures the collaborative aspect of the therapist-client relationship, when the goals of the therapist and client align and

they have a strong emotional bond (Horvath and Greenberg, 1986).

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The concept of *interpersonal synchrony* ¹ describes when the participants of an interaction adapt and converge on each other's behaviors over time. Higher levels of synchrony between participants are associated with positive interpersonal outcomes such as better collaboration, increased rapport, and a sense of affiliation (Rennung and Göritz, 2016; Hove and Risen, 2009). Vail et al. (2022) investigate the relationship between language and working alliance, specifically focusing on linguistic synchrony, which refers to the similarity between interlocutors in semantics, syntax, or style. They find that the therapist's linguistic synchrony strongly impacts the client's perception of the working alliance. Nasir et al. (2019) introduce the normalized Conversational Linguistic Distance (nCLiD), a metric that measures linguistic synchrony between two speakers. nCLiD was found to be associated with the therapist's level of empathy towards the client, and affective behaviors of couples in therapy. We use nCLiD to evaluate the linguistic synchrony in CBT interactions.

3 LLMs in Mental Health

The prevalence of mental health conditions and lack of accessible care leaves a void that many have attempted to address (Youper; Choudhury et al., 2023; Fang et al., 2022) with assistive therapeutic technologies powered by LLMs. We present background on LLMs used in mental health, and how they have been evaluated in this context.

3.1 Applications and Challenges

The promising capabilities of LLMs such as the OpenAI GPT series (Radford et al., 2018; Brown et al., 2020) have catalyzed the development of various general-purpose LLMs (Touvron et al., 2023; Anil et al., 2023; Jiang et al., 2023) and domain-specific LLMs (Liu et al., 2023; Chen et al., 2021; Ganguli et al., 2022; Yang et al., 2023; Taylor et al., 2022). Despite the impressively human-like text that LLMs can generate, there are many issues with LLMs that can have serious repercussions in sensitive downstream tasks, such as propagating

¹The terms entrainment, synchrony, and coordination are used interchangeably across psychology, computer science, and social-behavior literature (Wynn and Borrie, 2022) to mean the convergence of a type of behavior amongst participants in an interaction. We use the term synchrony in this paper.

harmful stereotypes and biases (Bender et al., 2021) and encouraging suicide (Marcus, 2022), which is exacerbated by the fact that the text generated by LLMs seems coherent. For example, LLMs are known to suffer from hallucinations and produce harmful or factually incorrect outputs (Zhang et al., 2023; Ganguli et al., 2022; Maynez et al., 2020), resulting in a surge of research in techniques to mitigate these issues (Stiennon et al., 2020; Bai et al., 2022; Wei et al., 2022).

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The risks are especially serious for applications in sensitive domains such as mental health, where LLMs are becoming increasingly popular and promising for mental health support (Choudhury et al., 2023; Laestadius et al., 2022; Youper). People have turned to LLMs when facing mental health problems, feeling heard and supported, with many comparing the experience to that of interacting with a real therapist (Reardon, 2023; Al-Sibai, 2023; Reddit, 2022). Also, Cho et al. (2023) tested an LLM as a therapist in interactive language therapy for autistic adolescents, showing significant strengths in empathetic engagement and adaptability. However, numerous cases have shown that LLMs pose substantial risks in this use case, such as racial and gender biases (Zack et al., 2023; Omiye et al., 2023), raising serious concerns among interdisciplinary mental-health experts (Stade et al., 2024; Choudhury et al., 2023; Li et al., 2020). These risks have already resulted in real-life consequences. For example, the National Eating Disorder Association shut down their chatbot for giving misguided medical advice (Jargon, 2023). Replika was implicated in a UK criminal case for encouraging a man to assassinate the Queen and then commit suicide (Weaver, 2023). Yet despite the potential problems, the popularity of LLM-powered mental health services continues to rise (van Heerden et al., 2023).

3.2 Evaluation Methods

Computational methods have been developed to assess the performance of human therapist responses in therapeutic dialog with respect to various psychotherapy criteria such as empathy (Sharma et al., 2020), warmth (Zech et al., 2022), and linguistic synchrony (Nasir et al., 2019; Shapira et al., 2022). With LLMs being increasingly explored in mental health dialog systems, some of these evaluation methods have been applied to LLMs as well (Cho et al., 2023; Chiu et al., 2024). Cho et al. (2023) had clinical psychologists and psychiatrists

evaluate an LLM with respect to empathy, communication skills, adaptability, engagement, and ability to establish a therapeutic alliance. Recently, Chiu et al. (2024) proposed a computational framework to evaluate LLMs with respect to reflections, questions, solutions, normalizing, and psychoeducation by comparing them against high-quality and low-quality human therapist transcripts. Both Cho et al. (2023) and (Chiu et al., 2024) simulate the client side of the LLM-client conversation due to ethical concerns of having an LLM advise vulnerable populations. However, this prevents a realistic evaluation of LLMs for therapy. The LLM-participant dataset used in this work comes from an IRB-approved study (Kian et al., 2024) in which they deployed LLMs in an interactive CBT homework context with students (Section 4), which provides a step towards more realistic evaluations of LLMs in therapy. Additionally, linguistic synchrony has thus not been used to evaluate LLMpowered mental health dialog systems. Given its importance (Section 2.3), we investigate linguistic synchrony in a LLM-powered mental health dialog system (Section 4).

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4 Study 1: Evaluation of Linguistic Synchrony in Therapy

In this study, we aim to evaluate linguistic synchrony, operationalized by nCLiD, as a measure of therapist quality by demonstrating that it is associated with indicators of positive therapeutic outcomes, specifically engagement, and intimacy. Note: higher linguistic synchrony is operationalized through a lower nCLiD score. We put forth the following hypotheses:

H1a: There will be a positive significant relationship between high evaluative intimacy and linguistic synchrony.

H1b: There will be a positive significant relationship between high descriptive intimacy and linguistic synchrony.

H1c: There will be a positive significant relationship between active engagement and linguistic synchrony.

4.1 Methodology

We run our analysis on English language transcripts of LLM-guided CBT exercises annotated for intimacy and engagement and calculate nCLiD scores for these transcripts. We conduct linear regressions to analyze the relationship between nCLiD and the

annotations.

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4.1.1 Participants and Procedure

The analysis in our research utilized transcripts derived from LLM-powered SAR and LLM-powered chatbot CBT homework interactions with university students (Kian et al., 2024). Students were screened to be over 18 years of age, proficient in English, have normal or corrected-to-normal vision and hearing, and live near campus. The Patient Health Questionnaire-9 (PHQ-9) (Kroenke et al., 2001) was used as a screening tool, and individuals with a score of 15 or higher, indicating moderately severe to severe depression, were excluded as a safety measure. All individuals who filled out the screening materials were shown a page with university mental health resources. A total of 26 students participated in the study conditions we assess in this work. Before the start of the study, all participants had an informed consent meeting with a member of the research team. This study was approved by their university's IRB, and all participants were compensated with a US \$150.00 Amazon gift card. This amount was calculated based on expected hours spent on the study and local minimum wage. The study duration was 15 days; for the first 8 days, the CBT homework sessions were compulsory, but during the last 7 sessions, they were no longer compulsory. Each day, the participants log into a secure portal and either complete an LLM-powered robot- or chatbot-guided CBT exercise. They could select from two CBT exercise options: Cognitive Restructuring (Clark, 2013) or Coping Strategies (Association and of Clinical Society, 2017). The LLM used was GPT-3.5-turbo ², where the LLM was prompted to utilize the chosen strategy while acting as a therapy guide (see Appendix A for the prompts used). All identifiable data for this study were securely stored on IRB-approved secure cloud storage. Only IRBapproved researchers with the appropriate training were allowed access to the data. We will refer to this as the LLM-guided CBT Exercises Dataset.

4.1.2 Measures

Descriptive and evaluative intimacy were assessed according to the Morton (1978) framework. *Descriptive intimacy* involves the disclosure of private facts, while *evaluative intimacy* involves the disclosure of personal opinions and feelings. Each

dimension was dichotomized into high and low disclosure levels, as recommended by Tolstedt and Stokes (1984). Secondly, we assess engagement, which measures how much a participant actively participates in the sessions. Engagement was annotated to be active or passive according to Nguyen et al. (2018). Finally, to operationalize the linguistic coordination between the participants and the LLM, we utilize the normalized Conversational Linguistic Distance (nCLiD) by Nasir et al. (2019).

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4.1.3 Annotation Process

The CBT exercise transcripts were annotated for three variables: descriptive intimacy, evaluative intimacy, and engagement. Four undergraduate annotators (two female, two male) were trained through workshops led by graduate student instructors for two weeks to annotate the data for the selected variables. Each participant's turn in response to the LLM was annotated, resulting in an average of 10-15 annotations per participant per day. The Inter-Coder Reliability (ICR) was measured using 10% of the dataset, resulting in 83.539% and Cohen's average kappa score of $\kappa = 0.602283$. Finally, annotations were aggregated to yield percentages of active engagement, high descriptive intimacy, and high evaluative intimacy averaged across all study days per participant, which we use in subsequent analyses.

4.1.4 nCLiD Algorithm

The Conversational Linguistic Distance (CLiD) (Nasir et al., 2019) is an asymmetric distance metric that quantifies the interpersonal linguistic synchrony between two speakers. Therefore, higher linguistic synchrony is described by lower CLiD scores and vice versa. Nasir et al. (2019) demonstrated that nCLiD correlates with ratings of a therapist's empathy towards their patient (CLiD is lower for a higher therapist empathy rating) and affective behaviors in Couples Therapy (CLiD is lower for lower negative affect and higher for lower positive affect).

For a therapy session text record D between a therapist T and a patient P consisting of N turns of interleaving utterances with $D = [t_1, p_1, t_2, p_2, ..., t_N, p_N]$, let us consider one speaker as the anchor A, and another as the coordinator C. For each anchor utterance a_i , we compute the minimum distance $d_i^{C \to A}$ for the minimum distance between the sequences of word2vec (Mikolov et al., 2013) embeddings of a_i and the following c_j

²https://platform.openai.com/docs/models/ gpt-3-5-turbo

with a context length k, and we use Word Mover's Distance (WMD) (Kusner et al., 2015) to measure linguistic difference between two utterances:

$$d_i^{C \to A} = \min_{i \le j \le i+k-1 \le N} WMD(a_i, c_j) \quad (1)$$

The context length, k, accounts for the observation that local coordination may not occur only in the immediate turn, but may occur a few turns later.

The transcript-level unnormalized Conversational Linguistic Distance (uCLiD) is a simple average of local linguistic distance d_i over the whole session (numerator in equation 2). The normalized Conversational Linguistic Distance (nCLiD) normalizes uCLiD to account for the other reasons that may result in spurious coordination, such as a structured conversation on a pre-decided topic or similar language due to coordination of each speaker to their own language, etc.

$$nCLiD = \frac{uCLiD = \frac{1}{N} \sum_{i=1}^{N} d_i^{C \to A}}{\alpha}$$
 (2)

The normalization factor α accounts for spurious coordinations by accounting for potential coordination within A and B, and between A and B. The full equation is available in Appendix F.

We implement nCLiD using the WMD algorithm from the gensim 4.3.2 library³ with Python 3.8, using 300-dimensional *word2vec* word embeddings trained on the Google News corpus provided by gensim. The text is tokenized by whitespace, and stop words were not removed, following the example of Nasir et al. (2019) to account for possible linguistic similarity associated with similar usage of stop words.

4.1.5 Data Analysis

We implement tests in R version 4.3.2; a list of all R packages and their versions are available in Appendix B. We run linear regression tests to assess the relationship between nCLiD scores and derived metrics for the LLM transcripts. The Durbin-Watson test of autocorrelation is used to test the assumption of independence, and the Shapiro-Wilk and Breusch-Pagan tests to assess normality of residuals and homoscedasticity, respectively. If a model's residuals fail the Breusch-Pagan test, we apply Huber-White standard errors.

4.2 Results

A simple linear regression was performed regressing the transcripts' descriptive intimacy onto their nCLiD scores. Heteroscedasticity was addressed by employing Huber-White standard errors and the model was significant ($\chi^2(1,24)=5.31,p<0.05,Adj.R^2=0.15$). Residuals were normally distributed. There was a significant main effect of nCLiD on descriptive intimacy score, b=-7.40, t=-2.24, p<0.05 (Figure 2).

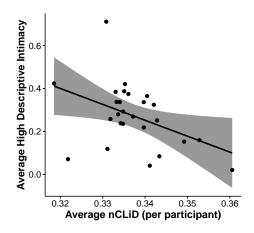


Figure 2: Scatterplot of average high descriptive intimacy vs. average nCLiD score per participant with a regression line of best fit.

A simple linear regression was performed regressing the transcripts' evaluative intimacy onto their nCLiD scores and the model was significant $(F(1,24)=5.18, p<0.05, Adj.R^2=0.14)$. The residuals of this model were normally distributed as indicated by the Shapiro-Wilk test (p>0.05) and homoscedastic as indicated by a Breusch-Pagan test (p>0.05). There was a significant main effect of nCLiD on evaluative intimacy score, b=-7.72, t=-2.28, p<0.05 (Figure 3).

A simple linear regression was performed regressing the transcripts' active engagement score onto their nCLiD scores and the model was significant ($F(1,24)=23.05, p<0.001, Adj.R^2=0.47$). The residuals were normally distributed and homoscedastic. There was a significant main effect of nCLiD on engagement score, b=-17.59, t=-4.80, p<0.001 (Figure 4).

5 Study 2: LLM vs. Human Comparison

In this study, we compare the linguistic synchrony of the LLM against trained mental health therapists and non-expert online peer supporters. We put forth the following hypotheses:

³https://radimrehurek.com/gensim/index.html

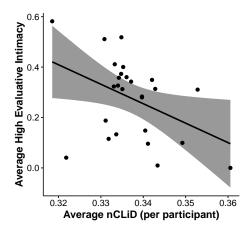


Figure 3: Scatterplot of average high evaluative intimacy vs. average nCLiD score per participant with a regression line of best fit.

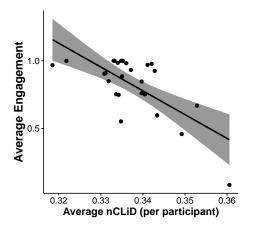


Figure 4: Scatterplot of average engagement vs. average nCLiD score per participant with a regression line of best fit.

Hypotheses: Transcripts from trained CBT therapists will have higher linguistic synchrony than the LLM-guided exercises, which will, in turn, have higher linguistic synchrony than non-expert online peer supporters. Note: higher linguistic synchrony is operationalized through a lower nCLiD score. More specifically,

H2a: Linguistic synchrony will be higher in the therapeutic transcripts from trained CBT therapists (Official CBT Dataset) than from an LLM (LLM-guided CBT Exercises Dataset).

H2b: Linguistic synchrony will be higher in the therapeutic transcripts from trained CBT therapists (Official CBT Dataset) than from non-expert online peer supporters (Reddit Dataset).

H2c: Linguistic synchrony will be higher in the therapeutic transcripts from an LLM (LLM-guided

CBT Exercises Dataset) than from non-expert online peer supporters (Reddit Dataset).

5.1 Methodology

We compare the LLM-guided CBT Exercises Dataset with two other English language datasets. The first is a dataset developed from therapy-like conversations on Reddit with online non-expert peer supporters, and the second is a subset of the Alexander Street Press Counseling (ASPCPT) and Psychotherapy Transcripts with trained expert human therapists. We compare by conducting a one-way Analysis of Variance (ANOVA) test across nCLiD scores for the three datasets to determine how they perform against each other.

5.1.1 Datasets

We introduce two additional datasets to compare against the LLM dataset in Section 4.

Reddit Dataset The Reddit ⁴ dataset is a collection of 30 English dyadic conversations we extracted from the Reddit posts of people in Online Mental Health Communities (OMHCs) (Sharma and De Choudhury, 2018) where there are indicators of coping strategy (Courtney E. Ackerman, 2017) or cognitive restructuring (Aid, 2017) exercises. We chose these exercises as they were the ones used in the LLM-guided CBT transcripts described in Section 4 above. The aim of creating the Reddit dataset is to capture the linguistic characteristics of humans, untrained in therapy (non-expert peer supporters), engaging in conversations that parallel guided CBT exercises. This dataset enables us to establish a baseline to compare against the performance of the LLM. Refer to Appendix E for complete details on our data collection procedure.

Official CBT Dataset The Alexander Street Press Counseling and Psychotherapy Transcripts (ASPCPT) dataset is a therapy and counseling dataset (Alexander Street Press, 2023) ⁵. The ASPCPT dataset was created by transcribing sessions featuring expert therapists working with a client or a family. We use a subset of the ASPCPT dataset with the "cognitive behavioral therapy" therapy type, which we refer to as the "Official CBT"

⁴https://www.reddit.com/

⁵Accessed through authors' institution subscription: https://search.alexanderstreet.com/psyc (Volume 1) and https://search.alexanderstreet.com/ctrn (Volume 2)

dataset in this study. This subset excludes transcripts of interviews and family therapy because they are not dyadic conversations between a therapist and one client. Clients are anonymized using unique participant IDs. Transcripts are preprocessed to remove brackets and parentheses of text (e.g., "[00:04:16]"), which are time stamps from the transcription process. The Official CBT dataset contains 39 transcripts in English.

5.1.2 Data Analysis

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We implemented tests in R version 4.3.2; a list of all R packages and their versions is available in Appendix B. The assumption of a normal distribution was assessed via the Shapiro-Wilk test and homogeneity of variance was evaluated by use of Levene's test. Unequal variances are addressed by employing a Welch's ANOVA which accounts for the differences in variations between the LLM, Official CBT, and Reddit datasets.

5.2 Results

A Welch's ANOVA was conducted to compare the nCLiD scores between the LLM (M0.34, SD= 0.01), Official CBT (M 0.29, SD = 0.01), and Reddit (M = 0.32, SD =0.02) datasets. The ANOVA was significant at the p < 0.001 level, F(2, 53.69) = 429.95, p <2.2e - 16 (Figure 5). A post-hoc Games-Howell test indicated that the nCLiD scores were significantly different among all pairs of datasets (LLM-Official, LLM-Reddit, Official-Reddit) at the p < 0.001 level. In particular, nCLiD scores were higher in the LLM dataset than in the Official dataset and Reddit dataset. Additionally, the nCLiD scores for the Reddit dataset were significantly higher than the Official dataset.

6 Discussion

We first validate a computational measure of linguistic synchrony, nCLiD, as a measure of therapeutic effectiveness (Section 4). We demonstrate a statistically significant relationship (p < 0.05) between this measure of linguistic synchrony and the intimacy and engagement of the participant's self-disclosures - two important predictors of positive therapeutic outcomes (Kadner, 1994; Scott and King, 2007). We find that nCLiD is inversely related to these measures, as indicated by the negative b-value for the main effect of each of the relationships. This means that higher synchrony is

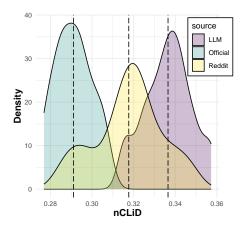


Figure 5: Distributions of nCLiD scores for LLM-Guided CBT exercises, Official CBT, and Reddit datasets.

associated with higher intimacy and active engagement (Supporting H1a, H1b, and H1c).

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We hypothesize that in a therapeutic setting, a therapist's linguistic synchrony with the client encourages greater self-disclosures and, subsequently, higher levels of intimacy and engagement. This relationship is supported by Beňuš (2014) in their review, where they find a connection between synchrony and social distance and suggest that synchrony can help a medical professional develop closeness and trust with their clients. This closeness is critical for encouraging greater selfdisclosure (Newman, 2002), which leads to improved therapeutic outcomes (Farber, 2003; Farber et al., 2006). Colton (2022) also found that linguistic synchrony "catalyzes" the therapeutic bond, which is further supported by Vail et al. (2022). Therefore, the related literature suggests that there is a relationship between linguistic synchrony, and intimacy and engagement, two measures of patient self-disclosures.

The significant relationship between linguistic synchrony and descriptive intimacy, evaluative intimacy, and engagement indicates that nCLiD shows promise as a measure of therapeutic outcomes. This allows for quantitative analysis of dyadic therapeutic interactions without costly annotations for measures such as intimacy or engagement.

Next, we used linguistic synchrony to evaluate the quality of LLM-administered CBT exercises by comparing them to transcripts from trained CBT therapists and therapy-like dialogue from non-expert online peer supporters (Section 5). The results of the ANOVA indicate that the distributions of nCLiD scores among the LLM, Official,

and Reddit datasets are significantly different. The CBT practitioners in the Official dataset had significantly higher linguistic synchrony than the LLM (supporting H2a) as well as those from non-expert online peer supporters (supporting H2b). These results are as we hypothesized since CBT therapists undergo years of training to offer patients a high-quality therapeutic experience. Interestingly, non-expert online peer supporters had significantly higher linguistic synchrony than the LLM (H2c not supported).

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Initially, we hypothesized that the LLM would have higher linguistic synchrony than the online peer supporters because of the demonstrated high level of mental health domain knowledge found in LLMs (Heinz et al., 2023; Lamichhane, 2023) and the increased usage of LLMs in mental health therapy applications (Youper; Reardon, 2023; Al-Sibai, 2023; Reddit, 2022). However, it seems that even non-expert humans have higher linguistic synchrony than a prompted LLM. This suggests that LLMs underperform even untrained people in a therapeutic context. It may be that Reinforcement Learning from Human Feedback, a popular alignment technique employed in LLMs, makes LLMs overly focused on offering advice and problemsolving, as noted by Chiu et al. (2024). This may lead LLMs to have a less varied and nuanced conversational style, making the LLM output more formulaic, aligning with the given instruction, as also seen by Shaikh et al. (2024) in their LLMbased conversational system. In our own analysis of the LLM dataset, we also observe patterns of the LLM repeatedly using the same response frame (see Appendix D for excerpts). These tendencies of the LLM to be less varied in its responses may, therefore, lead to lower linguistic synchrony. It is also important to note that the individuals who self-select to participate in discussions on mental health subreddits and offer support to their peers are not representative of the average social media user. While these individuals are non-experts, it is possible that they are still more familiar with therapy and able to better mimic the expected dialogue.

Our results further confirm the need to be cautious in applying LLMs for therapeutic contexts. While they are able to manage various therapeutic tasks, as found by Cho et al. (2023); Kian et al. (2024), their dialog is ultimately inferior to that of therapists. Thus, researchers must carefully assess each application domain and determine if the LLM can meet the expected threshold of perfor-

mance. Furthermore, suggestions to use LLMs as a replacement instead of augmentative therapeutic technologies should be cautioned, as these results demonstrate that even lay people outperform LLMs in their current stage of development.

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7 Conclusion and Future Work

In this work, we investigate the linguistic synchrony of an LLM in an interactive therapy session. We demonstrate that there is a statistically significant relationship between the linguistic synchrony of the LLM and the percentage of high intimacy and active engagement responses from the participants. We next compare the LLM's linguistic synchrony with that of trained CBT therapists and non-expert online peer supporters. We find that the LLM is outperformed by both experts and non-experts in guiding participants through a CBT interaction.

In the future, we would like to investigate the use of other measures of linguistic synchrony, such as those based on part-of-speech distributions (Shapira et al., 2022) and usage rate of function words (Niederhoffer and Pennebaker, 2002), as a measure of therapeutic effectiveness. We selected nCLiD in this work because of its previous validation as a therapeutic measure (Nasir et al., 2019). However, we acknowledge that the use of static word embeddings in nCLiD does not account for context-aware word representations. We have designed metrics based on nCLiD that utilize contextual word embeddings, like BERT embeddings (Devlin et al., 2018), and in the future look to validate these metrics in a therapeutic context. Additionally, we would like to extend our analysis of the association of linguistic synchrony with other therapeutic measures beyond intimacy and engagement.

The LLM dataset used in this study was collected in interactions between GPT-3.5-turbo and participants. In future iterations of this project, we would like to test this with newer LLMs with an expanded token limit that would allow a longer interaction that parallels the interaction length of a full CBT session. In the LLM dataset, the responses were generated by a prompted model, and in the future, we would like to prompt an LLM that has been fine-tuned on therapy data to see if this improves the generated responses.

Limitations

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In our comparison of the Official, LLM-Guided CBT Exercises, and Reddit datasets (Section 5), the data in each of these datasets come from inherently different channels of communication: the Official dataset contains transcriptions of real-time humanto-human spoken conversations between a therapist and client, while the Reddit dataset contains asynchronous, online typed conversations, and the LLM-Guided CBT Exercises dataset has real-time typed conversations between a human and either a robot or chatbot system. The difference in modality can lead to differences in the nature of the conversations and, therefore, introduce confounding variables in our analysis of linguistic synchrony. Additionally, although we worked to find data from the CBT-related mental-health domain, the premise in each dataset is also different. The Official dataset comprises of full CBT sessions, while the LLM-Guided CBT Exercises dataset comprises of CBT exercises for a shorter duration. In Reddit, people responded to posts asynchronously without a specific therapy guideline to which they needed to adhere. Since the Reddit dataset tends to follow a short-form interaction instead of the length expected in a full therapy session, its premise is similar to that of the LLM-guided CBT exercises.

We also note that our datasets were quite small, with approximately 30 interactions per dataset. In the future, a larger sample size would yield more insightful results.

Another limitation in our work is that the nCLiD algorithm uses word2vec word embeddings, which are static word embeddings. It is known that static word embeddings limit the usage of multiple meanings of words depending on the context, unlike newer transformer-based contextual word embeddings such as BERT-based embeddings (Vaswani et al., 2017; Devlin et al., 2018). We chose nCLiD for this work since Nasir et al. (2019) validated this metric in a therapy setting by demonstrating its association with empathy. Additionally, in order for nCLiD to be implemented with contextual word embeddings, the nCLiD algorithm needs to be changed fundamentally since it depends on word frequency counts. This leads to a different metric based on nCLiD and will therefore require additional validation.

Another note is that nCLiD averages the Word Mover's Distance values over all the turns in the conversation, therefore potentially not capturing temporal shifts in linguistic synchrony. nCLiD captures a specific aspect of linguistic synchrony; that is, in terms of lexical semantic similarity. There are other measures of linguistic synchrony that look into, for example, part of speech distributions (Shapira et al., 2022) and usage rate of function words (Niederhoffer and Pennebaker, 2002). A fuller picture of linguistic synchrony could be obtained by evaluating our datasets with those metrics as well.

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Finally, we also note that we used data from interactions with one version of an LLM (GPT-3.5-Turbo). It is known that different LLMs have varying levels of performance, and these findings may only pertain to GPT-3.5-Turbo.

Ethical Considerations

The usage of LLMs, especially generative conversational models, in mental health can be risky. LLMs can hallucinate, make false promises, and generate inappropriate ideas. In the LLM dataset, the participants were screened for depression to exclude vulnerable students. However, even in this context, there is a risk that the LLM will generate something unhelpful, hurtful, or triggering that negatively impacts the participant. While there are many benefits of these LLM-based systems, such as enabling frequent, interactive conversations that the mental healthcare system cannot always provide, we caution against their use because of the potential negative impacts. We advocate that LLMs augment therapists by providing an accessible, interactive version of the at-home exercises as done in the LLM-guided CBT exercises study. Under no circumstances do we support the use of LLMs as a replacement technology, a narrative around the potential use of LLMs that we find concerning. Additionally, to ensure safety for those involved, measuring the quality of LLMs with respect to the mental health domain is critical. We hope that with this work, we will contribute to the growing effort of evaluating LLMs in the mental health domains.

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| 159 | frey Cohn, Jay Fournier, Holly Swartz, and Louis- | Prompt: Coping strategy is | 1213 |
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| | | help the patient figure out | 1218 |
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| 1 | 224 |
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Prompt for cognitive restructuring exercise:

session.

Prompt: Cognitive restructuring is a strategy to help the patient identify cognitive distortion and find evidence to challenge the distortion. You are a therapist who uses cognitive restructuring to help your patient in this

| Parameter | Value |
|-------------------|---|
| model | gpt-3.5-turbo |
| messages | <pre><complete including="" responses="" the="" transcript="" user's=""></complete></pre> |
| stop | Patient |
| temperature | 1 |
| frequency_penalty | 2 |
| presence_penalty | 2 |
| n | 2 |
| max_tokens | 150 |

Table 1: Input parameters for OpenAI's chat completion API

R Packages and Versions

See Table 2 for the list of R packages used.

CBT-Related Terms

CBT-related terms used as keywords when filtering relevant Reddit threads

| elevant Reddit threads. | | D Excerpts from datasets |
|--|---|---|
| cbt | cognitive behavioral ther | гару |
| coping mechanisms emotional regulation cognitive reframing coping strategies | negative thinking reframing coping coping strategy | WARNING: This section contains expletives and sensitive content related to suicide and self-harm. |
| coping skill | coping skills | Below are random excerpts from each dataset |
| coping mechanisms cognitive restructuring | coping mechanism cognitive distortions | in order for a sample of the nature of each of the |
| cognitive distortion | distortion | datasets. |
| distortions overthink personalize mental filter | catastrophize overthinking overgeneralize discount positives | In Figures 6, 7, and 8, excerpts from a random conversation from the Official dataset are shown, |
| catastrophize minimizing positives mind read | magnifying negatives jumping to conclusions fortune tell | a few turns from the beginning, middle, and end, respectively. |
| emotional reasoning all-or-nothing thinking mental filter | black-and-white thinking all or nothing personalization | In Figures 9, 10, and 11, excerpts from a random conversation from the Reddit dataset are shown, |
| should statements labeling awfulizing | mental filter catastrophizing mind reading | a few turns from the beginning, middle, and end, respectively. |
| fortune telling minimization overgeneralization | magnification disqualification of posit jump to conclusions | In Figures 12, 13, and 14, excerpts from a random conversation from the LLM-Guided CBT Ex- |
| jumping to conclusions | overgeneralizing | ercises dataset are shown, a few turns from the |
| restructuring | | heginning middle and end respectively |

| Version |
|---------|
| 0.8.0 |
| 1.4.3 |
| 0.1.4.1 |
| 0.15.0 |
| 1.1.4 |
| 1.3.0 |
| 1.3-0 |
| 2.0.0 |
| 0.6.0 |
| 0.7.2 |
| 0.15 |
| 1.4.2 |
| 2.3.12 |
| 3.1-2 |
| 0.9-40 |
| 0.14.1 |
| 2.19.1 |
| 2.0.3 |
| 1.6.2 |
| 1.5.1 |
| 3.4.4 |
| 2.4.11 |
| 2.5.4 |
| 4.3.2 |
| 1.4-25 |
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Table 2: R Package Versions Used in the Analysis

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D **Excerpts from datasets**

In Figures 12, 13, and 14, excerpts from a random conversation from the LLM-Guided CBT Exercises dataset are shown, a few turns from the beginning, middle, and end, respectively.

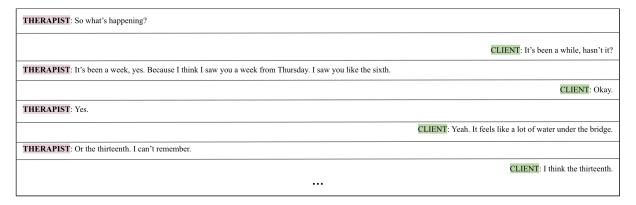


Figure 6: An example from the Official dataset: an excerpt of the beginning of the conversation

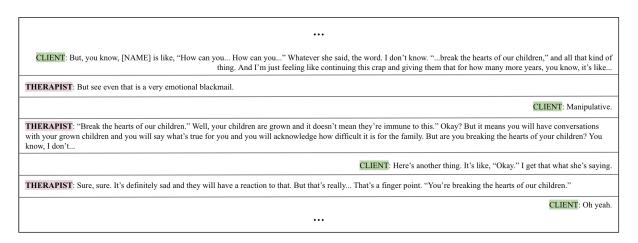


Figure 7: An example from the Official dataset: an excerpt of the middle of the conversation

E ConvoKit Dyadic Reddit Thread Extraction

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Data Collection and Preprocessing row our search, we looked for subreddits (online topic-based communities on Reddit where people view/make posts) where people discussed mental health-related topics. To do so, we selected the subreddits listed as OMHCs that focused on Psychosis & Anxiety and Coping & Therapy (Sharma and De Choudhury, 2018) as these are most relevant to cognitive restructuring and coping strategy exercises used in the LLM-Guided CBT dataset. Since we were looking for untrained individuals, we reviewed the subreddit rules and descriptions for each listed subreddit and excluded those communities that were explicitly intended for or had a high presence of therapists or professionals advising people (e.g., r/Therapy, r/askatherapist, etc.). From this process, we identified 40 candidate subreddits for further screening.

The ConvoKit Reddit Corpus (Chang et al.,

2020) ⁶ is a corpus of Reddit data containing all posts and comments from an individual subreddit from its inception until October 2018. This corpus can be traversed using ConvoKit's API so that each post can be accessed in a thread/tree-like manner, with the root being the main post and each response being a node connected to the post/comment being replied to. Using the ConvoKit 3.0.0 API, we traversed every post in each of the 40 selected subreddits, extracting conversation threads with alternating utterances of responses between the original poster and a particular commenter (see Figure 15). Since Reddit posts can have multiple reply threads with various people replying at each level, we applied this constraint to ensure we only extracted dyadic conversations. After we extracted a dyadic conversation thread, we only include the thread if the number of utterances in the conversation was greater than equal to a minimum threshold (based on the average number of utterances in the LLM-Guided CBT Exercises dataset), ensuring the thread was of sufficient length. We did not keep the user-

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⁶distributed under the MIT license

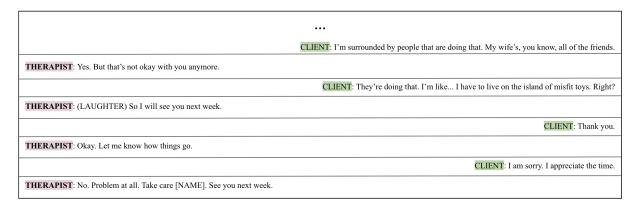


Figure 8: An example from the Official dataset: an excerpt of the end of the conversation

SEEKER: I want to die. People try to help only to realize I'm a lost cause. There is no helping me. I'm beyond it. It's ok. Maybe i deserve it. Maybe i was meant to be this way. Who knows. But i know that living out each day is a new struggle. I hate it. I want everything to stop.. especially the thoughts. I just want to go away and disappear.

PEER SUPPORTER: Maybe people try to help and merely realize they don't know what they're doing, or don't know where to start. Maybe believing you're a lost cause or that you deserve to be miserable makes it somehow more likely that you stay (and maybe become even more) miserable. I somehow doubt that the depth of the misery and struggle you're in right now is "deserved" by anyone. The shitty part is, often the people who are 'cursed' with the type of misery you describe are the one who can't see that they don't actually deserve it. ..If that makes any sense. On another note, what kinds of thoughts do you wish would stop?

SEEKER: "Life is pointless. I don't want to do this anymore. I Dont want to wake up tomorrow. Damn it I woke up. Why can't just have an aneurysm? I want to disappear."

PEER SUPPORTER: Yeah, that sounds pretty fucking awful and I'm sorry you have to deal with it at all, especially on a daily basis. These are the thoughts of desperation, of wanting to give up, of wanting to escape... what are you trying to escape from? (e.g. is there a deeper layer of thoughts that are causing these thoughts to appear? or is it sadness, loneliness, fear... or something else altogether)

Figure 9: An example from the Reddit dataset: an excerpt of the beginning of the conversation

names associated with each Reddit post/comment.

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Filtering and Screening To ensure that the selected threads were broadly related to CBT, we included only those threads that contained at least one keyword from a dictionary of keywords identified from conversations gathered by Kian in their study (2024). Refer to Appendix C for the full list of terms used. The dictionary of 53 keywords contained common cognitive distortions, thinking traps, and phrases related to CBT. The dictionary filtering step was conducted right after a candidate dyadic thread was identified in the post, and the thread was only included if it also passed the filtering criteria. After running the extraction, preprocessing, and filtering on the 40 selected subreddits, we extracted 683 dyadic conversations.

Lastly, to exclude erroneous conversations that may have evaded the filtering process, we had 3 reviewers who were well-versed in conversations on cognitive restructuring and coping strategy exercises from the LLM-Guided Exercises dataset, screen conversations to make sure that they par-

alleled guided CBT exercises. The screeners included conversations in the final dataset if they noticed indicators of cognitive restructuring or coping strategies being discussed. The screeners identified cognitive restructuring in the conversation if the responder sought evidence in the original poster's claims, provided counterarguments to the original poster's beliefs, followed Socratic questioning techniques, or named cognitive distortions they believed the original poster exhibited. Subsequently, if there was any discussion between the original poster and the responder about activities and strategies used by either individual to deal with their emotions (regardless of efficacy), there was evidence for coping strategies being explored. The screeners also excluded conversations if the conversation seemed off topic or if the CBT exercise was only a small part of the conversation. The reviewers screened a subset of the 683 extracted conversations and identified 30 conversations that strongly paralleled CBT exercises and were included in the Reddit dataset.

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Since Reddit allows for people to respond to posts and replies asynchronously, this may result

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SEEKER: When all you've known is darkness, how do you even begin to see the light?

PEER SUPPORTER: It makes it much harder, admittedly. But not impossible. I don't know if you're into philosophy or ever read Plato, even in school. But there's a piece he wrote called Allegory of the Cave that described this phenomenon. Basically a a bunch people in a cave away from any light chained up so they can't escape the cave. And how when someone manages to make it out into the sunlight and return to tell everyone about it, he is seen as crazy or whatever. In the end it took a bit of a leap of faith on the part of the chained prisoners, but once they made the leap to believe there was at least a possibility of something other than darkness, the "crazy" man would guide them ou of the cave and into light. So, a leap of faith and someone that can guide you may be start?

SEEKER: So what are you getting at?

PEER SUPPORTER: Sorry, my point, I think, got lost in the analogy. When all you've known is darkness the leap of faith is trusting that there is some light out there in addition to the darkness when others tell you there is despite never seeing it yourself. The "someone to guide you" could be a therapist or friend or SO or family member...anyone, though professionals have the training if you're willing to go that route.

...

Figure 10: An example from the Reddit dataset: an excerpt of the middle of the conversation

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SEEKER: I've had 4. They all say the same thing. Plus the fact that theyre getting paid bothers me

PEER SUPPORTER: What do they all say?

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SEEKER: It'll get better. Accept yourself. Get on antidepressants. That's the jist of it

PEER SUPPORTER: Sorry for the delayed reply - life got a bit chaotic, as it tends to from time to time. This doesn't seem like solid advice...or much of advice at all. All of the statements you mentioned are useless unless you know what you can DO to get better, or HOW to start accepting yourself, etc. Getting on antidepressants could help, but a therapist's job is not just to be the middle man to get people into a Dr. for meds. They are supposed to help in another way. I hate to say you've been unlucky all these times, and shake my head at the quality of therapists you've ended up with. There are better ones out there, I promise. I know therapists use different types of therapy - psychoanalysis, cognitive behavioral, family systems, attachment based, etc etc etc. MY best luck in finding solutions rather than the same old questions has been with CBT (cognitive behavioral) or ACT (acceptance and commitment therapy). CBT is a bit more common I think but it may be worth trying one more shot for a therapist/psychologist that specializes in CBT in particular because CBT is so behavior and action-based. Meaning you're continually given things to actually do about your depression, anxiety, pain, etc.

Figure 11: An example from the Reddit dataset: an excerpt of the end of the conversation

in different linguistic characteristics than those captured in real-time conversations. However, we observed that in most OMHC subreddits, posters often seek advice and engage with commenters in a timely manner.

F nCLiD normalization factor α

The full equation for the normalization factor alpha in:

$$nCLiD = \frac{uCLiD}{\alpha}$$
 (3)

$$\alpha = \frac{2}{N(N-1)} \sum_{i=1}^{N} \sum_{j=i+1}^{N} WMD(a_i, a_j) + \frac{2}{N(N-1)} \sum_{i=1}^{N} \sum_{j=i+1}^{N} WMD(c_i, c_j) + \frac{2}{N(N-1)} \sum_{i=1}^{N} \sum_{j=i}^{N} WMD(a_i, c_j)$$
(4)

PARTICIPANT: Hello. It's going better than yesterday! LLM: That's great to hear. Can you tell me more about what made yesterday difficult for you? PARTICIPANT: Yesterday, even after my discussion with you, I couldn't will myself to do the work I wanted to do because I was so tired but I was too stubborn to go to sleep so I got less sleep and less work done than I should have. LLM: I see. So when you were feeling tired, what thoughts came to your mind that made it difficult for you to go to sleep?

Figure 12: An example from the LLM-Guided CBT Exercises dataset: an excerpt of the beginning of the conversation

PARTICIPANT: Oh I never thought about putting well-being tasks on my to-do list. I suppose not all things on the list are equally valuable. I have started dividing my to-do list into three sections of urgent, should-work on, and "only if you have extra time" to make myself less overwhelmed. I think I have started putting more items in the urgent to-do list than I realistically should because of my ideal instead of factoring in my well-being or giving myself wiggle room for when I am sick or feeling tired LLM: That's a great step you have taken by dividing your to-do list into different categories. It shows that you are aware of the importance of setting priorities for yourself and It might also be helpful to schedule in self-care activities such as exercise, meditation or simply taking breaks throughout the day where you can recharge yourself mentally and physically. Remember, it is okay not to check off everything on our lists every single day - what matters most is making sure we take care of ourselves along the way! PARTICIPANT: I have a question about meditation. What if I have tied and failed having a steady meditation practice several times in the past few years? Is it possible that meditation just doesn't work for me? LLM: That's a great question! It is important to remember that meditation doesn't have to look one specific way, and what works for someone else might not work as well for you. There are many different types of mindfulness practices out there - perhaps exploring some new techniques or trying guided meditations could be helpful. It might also be beneficial to explore whether any cognitive distortions are impacting your experience with meditation - such as feeling like you need it to perfect every time or being overly critical of yourself when things don, Äôt go exactly right- which may affect how open-minded and accepting we can allow ourselves in our practice. Ultimately, the goal is not perfection but finding ways that help us feel more grounded, present on mindful day-to-day experiences & emotions.

Figure 13: An example from the LLM-Guided CBT Exercises dataset: an excerpt of the middle of the conversation PARTICIPANT: Would it be fair to say that meditation is kind of like the gym for the mind? LLM: Yes, that's a great comparison! Just like how the gym helps to strengthen and maintain our physical health, meditation can help us work on strengthening our mental wellbeing by training ourselves to approach challenging situations with more mindfulness and compassion. It takes practice just like going regularly at the gym- so it, Äôs important for you not judge yourself too harshly or expect perfection when meditating as well. Thank you for being open about your struggles today - let's revisit next session & continue working together towards these cultivating new goals and building self-confidence in achieving them. PARTICIPANT: Thank you so much! So just to summarize for myself, not all tasks are equal and taking care of yourself (like getting enough sleep, self-care exercises like exercise or meditation or breaks) is a high priority task that I can potentially add onto my list. Next, meditation is like a gym for the mind and it is not supposed to really look any certain way plus I can try out different techniques LLM: Absolutely! You've summarized it very well. Remember to take small steps and be kind to yourself as you work towards these goals - progress is not always visible overnight, but with consistent effort and reflection we can cultivate long-term habits that enhance our mental wellbeing. See you next session!

Figure 14: An example from the LLM-Guided CBT Exercises dataset: an excerpt of the end of the conversation

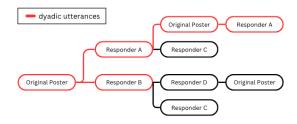


Figure 15: Extraction process of dyadic Reddit comment threads between the original poster and a specific responder.