
Plug-and-play Feature Causality Decomposition for Multimodal Representation Learning

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Abstract

Multimodal representation learning is critical for a wide range of applications, such as multimodal sentiment analysis. Current multimodal representation learning methods mainly focus on the multimodal alignment or fusion strategies, such that the complementary and consistent information among heterogeneous modalities can be fully explored. However, they mistakenly treat the uncertainty noise within each modality as the complementary information, failing to simultaneously leverage both consistent and complementary information while eliminating the aleatoric uncertainty within each modality. To address this issue, we propose a plug-and-play feature causality decomposition method for multimodal representation learning from causality perspective, which can be integrated into existing models with no affects on the original model structures. Specifically, to deal with the heterogeneity and consistency, according to whether it can be aligned with other modalities, the unimodal feature is first disentangled into two parts: modality-invariant (the synergistic information shared by all heterogeneous modalities) and modality-specific part. To deal with complementarity and uncertainty, the modality-specific part is further decomposed into unique and redundant features, where the redundant feature is removed and the unique feature is reserved based on the backdoor-adjustment. The effectiveness of noise removal is supported by causality theory. Finally, the task-related information, including both synergistic and unique components, is further fed to the original fusion module to obtain the final multimodal representations. Extensive experiments show the effectiveness of our proposed strategies.

1 Introduction

Multimodal representation learning is the basis of the downstream tasks, such as multimodal sentiment analysis [39; 13; 9; 15] and text-image classification [18; 11]. Due to the feature heterogeneity among different modalities [36], the consistent and complementary information among modalities [12] are crucial for effective multimodal representation learning. The consistent information refers to common features that present in multiple modalities, facilitating alignment of modalities within a common representation space. On the other hand, the complementary information refers to distinctive features presenting in only one modality but is still useful for the overall understanding. It enriches multimodal models, enabling them to learn more comprehensive and nuanced representations. Numerous existing methods have been proposed to effectively explore them. For example, [13] captures the consistent information via maximizing mutual information among different modalities, thereby improving multimodal fusion performances. [20] applies the contrastive learning to enhance

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the feature representation capability of consistent information on both label-level and instance-level. [5] performs dynamic late fusion by predicting the generalization error upper bound of each modality, exploiting the heterogeneous complementary information in each modality.

However, due to the variations in data acquisition processes and sensor characteristics, multimodal data contain distinct types of uncertainty noise in each modality. We carry out a qualitative analysis with the help of structural causal model (SCM) [25] to explain it. Figure 1 describes the SCM of multimodal data of a single modality. The consistent and complementary information are gathered from the task-related factor, from where the synergistic and unique features are to be constructed respectively. The synergistic feature is the shared consistent information among different modalities, which is modality-invariant. And the unique feature is the complementary information that is modality-specific. However, due to the modality uncertainty noise factor resulted from the aforementioned cues, the redundant task-agnostic information is also mixed in the modality-specific feature, which would affect the construction of unique feature. For example, in image-text task, the task-related parts are the ones that contribute to the final task prediction. The synergistic parts are both included in the image and the text modalities, which jointly contribute to the final task prediction. The unique parts are the semantic contents that only appear in image modality (or text modality) and don't appear in text modality (or image modality). Both synergistic and unique factors are task-related and derived from the task-related factor. The redundant part is the uncertainty noise within one modality, such as the typos in text modality or quality of image, which is derived from the noise factor. The multimodal data store these information in their different format (the specific factor), but they are still associated in high-level semantic (the synergistic factor). Existing methods often overlook this unimodal uncertainty noise, mistakenly treating it as complementary information, which hampers multimodal fusion performance [11]. Moreover, several researches have shown that removing uncertainty in unimodal features helps to obtain more accurate and robust multimodal representations. For example, [11] assumes the unimodal features are sampled from a multivariate Gaussian distribution and tries to remove the aleatoric uncertainty by estimating their latent distributions. [38] applies causal intervention on the amplitude information after the Fourier transformation of different modality samples, and enforces model on high-level semantic information. Nevertheless, these methods typically focus solely on consistent, complementary, or uncertain information, or a combination of two of these aspects, with very few approaches effectively capturing all three types simultaneously.

Inspired by [24; 45], we propose a plug-and-play Feature Causality Decomposition (FCD) method to address the heterogeneity and aleatoric uncertainty of multimodal data. Ideally, the task-related information within each modality should be fully utilized to perform the multimodal representation learning, whilst the task-agnostic information should be eliminated. Thus, FCD is designed to take the original unimodal features as inputs and decompose them into their synergistic, unique and redundant components. To achieve this, FCD first employs Causality Components Decomposition (CCD) module on each unimodal feature to decompose it into the modality-invariant and modality-specific parts. The modality-invariant part plays a role of synergistic component, which is capable of capturing the consistent information shared across heterogeneous modalities. FCD employs another module named Synergistic Distribution Alignment (SDA) to narrow the space difference and align the synergistic component from all modalities via a parameter-sharing MLP constrained by Sinkhorn divergence [10]. Then, CCD further extracts the unique component from the modality-specific part based on the backdoor-adjustment [25]. We theoretically [16; 25] prove that the unimodal uncertainty noise mixed in the modality-specific part can be removed via the backdoor-adjustment under some specific conditions. Moreover, contrastive loss among the redundant components from different modalities is utilized to constrain the modality-specific characteristic, effectively handling the uncertainties that vary across modalities. Different from existing multimodal learning methods [9; 13; 44; 45], FCD is plug-and-play that is more generalized and achieves the theoretical support from causality and probability perspectives. Extensive experiments on 9 existing multimodal methods and 5 multimodal datasets prove the effectiveness of FCD.

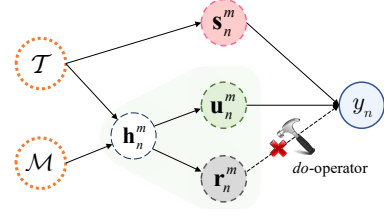


Figure 1: Structural causal model for the n -th multimodal data of the m -th modality. $\mathcal{T}$: task-related factor. $\mathcal{M}$: modality uncertainty noise factor. s_n^m : synergistic feature. h_n^m : modality-specific information. u_n^m : unique feature. r_n^m : modality-specific task-agnostic information. y_n : task annotation.

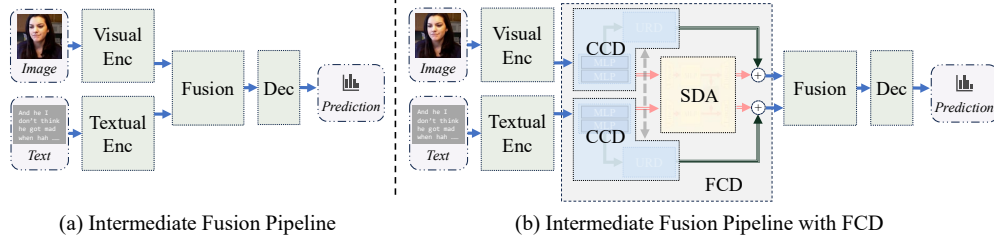


Figure 2: Take image and text modalities as examples. “Enc” and “Dec” stand for encoder and prediction head, respectively. Arrows are the forward paths. (a) The original pipeline of multimodal intermediate fusion model. (b) The multimodal intermediate fusion pipeline involving FCD module, which takes unimodal features as inputs and outputs the synergistic (pink double-line shaft arrows), unique (green triple-line shaft arrows) and redundant (gray dashed arrows) components.

2 Related Works

2.1 Multimodal Representation Learning

Multimodal representation learning has gained increasing attention with the rapid advancement of social media and multimedia technologies [31; 11]. Moreover, feature extraction plays a crucial role in multimodal representation learning, and numerous studies have been conducted. Recent efforts have explored various strategies for effective multimodal learning. [11] mitigate aleatoric uncertainty within each modality via latent distribution modeling, followed by dynamic integration and VIB-based fusion [1]. [20] performs token-level fusion with contrastive learning to align cross-modal representations. [13] reduce modality discrepancy via mutual information minimization and introduce CPC [28] to preserve modality-invariant features. [9] treat fusion as a progressive process and employ a hierarchical contrastive framework to retain semantic consistency across fusion stages. These works hardly considered the heterogeneity and aleatoric uncertainty of the multimodal data simultaneously. In addition to these works, many works on other tasks, such as multimodal learning with miss-modality [35], semantic segmentation [44] and multimodal domain generalization [8], focused on the modality-specific/-invariant features but ignored the unimodal uncertainty noise. Recently, [45] noticed the uncertainty noise within each modality and proposed to remove it through cross-attention mechanism. Unlike [45], we provide a simpler yet more generalized plug-and-play module whose effectiveness is also supported by causality and probability theory.

2.2 Causal Inference in Deep Learning

Causal inference [25] has attracted massive attention in multimodal tasks, where causality is defined as the relation between an event and the cause that gives rise to it [38]. It improves the robustness and generalization of models by debiasing the specific spurious correlation [6; 15; 23]. [23] used the backdoor and frontdoor causal intervention to eliminate the noise and the spurious correlation between input multimodal data and the ground truth in visual question answering task. [6] proposed to remove the influence of word frequency in textual modality by backdoor-adjustment. Besides, they also argued that the authenticity of the news should not be inferred only based on image features, and proposed to utilize the indirect effect of the image feature via counterfactual inference. [38] considered the modality-specific characteristic as the amplitude information of the Fourier transformation, and proposed to remove the modality noise by perturbing the amplitude component and generate a counterfactual sample to perform counterfactual inference.

3 Preliminaries

Given a multimodal dataset $\mathcal{D}$ with N samples in M modalities, and each sample pair is denoted as $(\mathbf{X}_n^m, y_n)$, where $\mathbf{X}_n^m$ is the n -th data sample in the m -th modality, y_n is the label of the n -th sample. $y_n \in \mathbb{R}$ is a real-valued number in regression tasks, while it is a discrete number, *i.e.*, $y_n \in \{1, \dots, K\}$ with K being the number of clusters in classification tasks. In this paper, we specifically focus on multimodal intermediate fusion model, an example with visual and textual

modalities is shown in Figure 2 (a). Multimodal intermediate fusion usually involves three steps, which can be formulated as follows.

$$\begin{aligned} \hat{y}_n &= \mathcal{G}(\mathbf{z}_n; \theta_{\text{Dec}}), \quad \mathbf{z}_n = \mathcal{F}_{\text{Fuse}}(\mathbf{z}_n^1, \dots, \mathbf{z}_n^M; \theta_{\text{Fuse}}) \in \mathbb{R}^d \\ \text{s.t. } \mathbf{z}_n^m &= \mathcal{E}^m(\mathbf{X}_n^m; \theta_{\text{Enc}}^m) \in \mathbb{R}^{d^m}, m = \{1, \dots, M\} \end{aligned} \quad (1)$$

where $\mathcal{E}^m(\cdot; \theta_{\text{Enc}}^m)$ is the encoder for the m -th modality with parameter θ_{Enc}^m , $\mathcal{F}_{\text{Fuse}}(\cdot; \theta_{\text{Fuse}})$ is the fusion function with parameters θ_{Fuse} , and $\mathcal{G}(\cdot; \theta_{\text{Dec}})$ is the predictive function with parameters θ_{Dec} . The optimization function of the regression and classification tasks is usually represented as:

$$\begin{aligned} \text{Regression tasks: } \mathcal{L} &= \mathcal{L}_{\text{task}} + \mathcal{L}_{\text{reg}} = \frac{1}{N} \sum_{n=1}^N |y_n - \hat{y}_n| + \mathcal{L}_{\text{reg}} \\ \text{Classification tasks: } \mathcal{L} &= \mathcal{L}_{\text{task}} + \mathcal{L}_{\text{reg}} = -\frac{1}{N} \sum_{n=1}^N \sum_{k=1}^K \mathbb{I}_{y_n=k} \log \hat{y}_{nk} + \mathcal{L}_{\text{reg}} \end{aligned} \quad (2)$$

where the indicator function $\mathbb{I}_{y_n=k} = 1$ if and only if y_n equals to k , otherwise $\mathbb{I}_{y_n=k} = 0$. $\hat{y}_n$ is the prediction of the n -th sample. $\hat{y}_{nk}$ is the predicted probability that the n -th sample belongs to the k -th category, and $\mathcal{L}_{\text{reg}}$ is the regularization term. Different $\mathcal{L}_{\text{reg}}$ is employed in different existing works. For example, a series of contrastive learning loss terms at different fusion phases are proposed in [9].

4 Methodology

The overview of our proposed method, Feature Causality Decomposition (FCD), is shown in Figure 2 (b). FCD is a plug-and-play module, which can be integrated into any intermediate fusion model for multimodal learning defined in Section 3. It is located between the unimodal encoder and the original fusion module, which takes the unimodal features $\{\mathbf{z}_n^m\}_{m=1}^M$ as input and feeds the processed features $\{\tilde{\mathbf{z}}_n^m\}_{m=1}^M$ to the original fusion module.

FCD contains two main submodules for each modality, *i.e.*, Causality Components Decomposition (CCD) and Synergistic Distribution Alignment (SDA). CCD first separates the unimodal feature $\mathbf{z}_n^m$ into three aforementioned components, one of which can be aligned with the same part from other modalities (*i.e.*, the synergistic component, pink double-line shaft arrows in Figure 2 (b), denoted as $\mathbf{s}_n^m$). The modality-specific task-related unique component is illustrated as green triple-line shaft arrows in Figure 2 (b) and denoted as $\mathbf{u}_n^m$. The last one is the redundant component (the gray dashed arrows in Figure 2 (b), denoted as $\mathbf{r}_n^m$), which is the modality uncertainty noise. Then, $\mathbf{s}_n^m$ is aligned by the SDA module. Finally, FCD aggregates the two task-related component, *i.e.*, synergistic and unique features, and feeds the aggregated feature $\tilde{\mathbf{z}}_n^m$ to the original fusion module $\mathcal{F}_{\text{Fuse}}$.

4.1 Causality Components Decomposition Module

The illustration of CCD is shown in Figure 3. Inspired by [45], CCD first disentangles $\mathbf{z}_n^m$ in Equation (1) to the modality-specific part $\mathbf{h}_n^m$ and the modality-invariant part $\mathbf{s}_n^m$ via two MLPs $\mathcal{F}_h^m$ and $\mathcal{F}_s^m$:

$$\mathbf{h}_n^m = \mathcal{F}_h^m(\mathbf{z}_n^m; \theta_h^m), \quad \mathbf{s}_n^m = \mathcal{F}_s^m(\mathbf{z}_n^m; \theta_s^m) \quad (3)$$

where θ_h^m and θ_s^m are parameters of the $\mathcal{F}_h^m : \mathbb{R}^{d^m} \rightarrow \mathbb{R}^{d^m}$ and $\mathcal{F}_s^m : \mathbb{R}^{d^m} \rightarrow \mathbb{R}^{d^m}$, respectively.

As discussed in Section 1, current methods may mistakenly treat features with uncertainty noise as the part of modality-specific information $\mathbf{h}_n^m$. In other words, $\mathbf{h}_n^m$ contains both modality-specific task-related component $\mathbf{u}_n^m$ (complementary information) and redundant component $\mathbf{r}_n^m$. Therefore, the core problem is how to reserve $\mathbf{u}_n^m$ and eliminate $\mathbf{r}_n^m$ from the $\mathbf{h}_n^m$ simultaneously. From the causality perspective, $\mathbf{u}_n^m$ is confounded by $\mathbf{h}_n^m$. As shown in Figure 1, there exists a backdoor path between $\mathbf{u}_n^m$ and y_n , which is confounded by the modality-specific feature $\mathbf{h}_n^m$: $\mathbf{u}_n^m \leftarrow \mathbf{h}_n^m \rightarrow \mathbf{r}_n^m \rightarrow y_n$. To eliminate the causal effect of the redundant component $\mathbf{r}_n^m$ in the backdoor

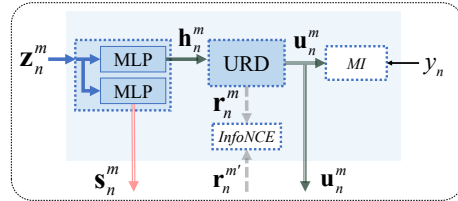


Figure 3: The illustration of the proposed Causality Components Decomposition (CCD) module.

path, backdoor-adjustment is commonly used [25; 23; 15], which is usually formed as the $do(\cdot)$. It performs causal intervention on the confounder factor to remove its spurious causal effect. In CCD, backdoor-adjustment is also employed to remove the causal effect of the confounder in backdoor path.

Theorem 4.1. *Given $\mathbf{h}_n^m$ extracted by $\mathcal{F}_h^m$, the conditional mutual information (CMI) between $\mathbf{u}_n^m$ and y_n is defined as $I(\mathbf{u}_n^m; y_n | \mathbf{h}_n^m)$. Assuming $\mathbf{u}_n^m$ is extracted from $\mathbf{h}_n^m$ by a measure-preserving bijective function $\mathcal{F}_{mb}^m$, then maximizing the expectation of conditional mutual information for all possible $\mathbf{h}_n^m$, i.e., $\mathbb{E}_{P(\mathbf{h}_n^m)}[I(\mathbf{u}_n^m; y_n | \mathbf{h}_n^m)]$, is equivalent to maximizing the mutual information $I(do(\mathbf{u}_n^m); y_n)$, where $do(\cdot)$ is the employed backdoor-adjustment, $\mathbb{E}$ is the expectation, and P is the probability distribution function.*

The proof [16; 25; 21] of Theorem 4.1 can be found in Appendix Section A. Theorem 4.1 indicates that if the extraction function $\mathcal{F}_{mb}^m$ is measure-preserving bijective, then effectively extracting the task-related feature $\mathbf{u}_n^m$ from the modality-specific feature $\mathbf{h}_n^m$ while separating out the modality noise $\mathbf{r}_n^m$ via backdoor adjustment is equivalent to maximizing the mutual information between the annotation and $\mathbf{u}_n^m$ after causal intervention. When $\mathbf{u}_n^m$ is extracted from $\mathbf{h}_n^m$ by $\mathcal{F}_{mb}^m$, i.e., $\mathbf{u}_n^m = \mathcal{F}_{mb}^m(\mathbf{h}_n^m)$, CCD is able to extract $\mathbf{u}_n^m$ from $\mathbf{h}_n^m$ by optimizing the following:

$$\begin{aligned} \min \mathcal{L}_{\text{CMI}} &\stackrel{\text{def.}}{=} \frac{-1}{NM} \sum_{n=1}^N \sum_{m=1}^M \mathbb{E}_{P(\mathbf{h}_n^m)}[I(\mathbf{u}_n^m; y_n | \mathbf{h}_n^m)] \\ \text{s.t. } I(\mathbf{u}_n^m; y_n | \mathbf{h}_n^m) &= \mathbb{E}_{P(\mathbf{u}_n^m, y_n, \mathbf{h}_n^m)} \left[\log \frac{P(\mathbf{u}_n^m, y_n | \mathbf{h}_n^m)}{P(\mathbf{u}_n^m | \mathbf{h}_n^m)P(y_n | \mathbf{h}_n^m)} \right] \end{aligned} \quad (4)$$

However, the true distribution of $\mathbf{h}_n^m$ is not assessable, which impedes the calculation of Equation (4). More importantly, directly optimizing Equation (4) without adjustment ignores the existing backdoor path, which would spuriously bring task-agnostic information towards annotation prediction. Based on Theorem 4.1, we can transform Equation (4) to Equation (5) when $\mathcal{F}_{mb}^m$ is a measure-preserving bijective function and backdoor-adjustment is applied to ensure the mixed uncertainty noise can be removed.

$$\begin{aligned} \min \mathcal{L}_{\text{MI}} &\stackrel{\text{def.}}{=} \frac{-1}{NM} \sum_{n=1}^N \sum_{m=1}^M I(do(\mathbf{u}_n^m); y_n) \\ &= \frac{-1}{NM} \sum_{n=1}^N \sum_{m=1}^M \mathbb{E}_{P(do(\mathbf{u}_n^m), y_n)} \left[\log \frac{P(do(\mathbf{u}_n^m), y_n)}{P(do(\mathbf{u}_n^m))P(y_n)} \right] \end{aligned} \quad (5)$$

According to [28; 22; 9], CCD applies InfoNCE loss as its lower bound in practice. Hence, we can transform Equation (5) to Equation (6) to perform the backdoor-adjustment and reserve deconfounded modality-specific task-related feature $do(\mathbf{u}_n^m)$.

$$\begin{aligned} \mathcal{L}_{\text{MI}} &\approx \mathcal{L}_{\text{InfoNCE}} = \frac{1}{NM} \sum_{n=1}^N \sum_{m=1}^M \mathcal{L}_{\text{InfoNCE}}(\tilde{\mathbf{u}}_n^m, \tilde{\mathbf{y}}_n) \\ &= \frac{-1}{2NM} \sum_{n=1}^N \sum_{m=1}^M \left[\log \frac{e^{\cos(\tilde{\mathbf{u}}_n^m, \tilde{\mathbf{y}}_n)/\tau}}{\sum_{n'=1}^{N_c} e^{\cos(\tilde{\mathbf{u}}_n^m, \tilde{\mathbf{y}}_{n'})/\tau}} + \log \frac{e^{\cos(\tilde{\mathbf{u}}_n^m, \tilde{\mathbf{y}}_n)/\tau}}{\sum_{n'=1}^{N_c} e^{\cos(\tilde{\mathbf{u}}_{n'}^m, \tilde{\mathbf{y}}_n)/\tau}} \right] \end{aligned} \quad (6)$$

where $\tilde{\mathbf{u}}_n^m \in \mathbb{R}^d$ and $\tilde{\mathbf{y}}_n \in \mathbb{R}^d$ are linearly projected from $do(\mathbf{u}_n^m)$ and y_n with normalization, respectively. τ is the temperature parameter. And $\cos(\cdot, \cdot)$ is the cosine between two vectors.

On the basis of Theorem 4.1, effective extraction of $\mathbf{u}_n^m$ from $\mathbf{h}_n^m$ whilst separating $\mathbf{r}_n^m$ apart requires that the extraction function $\mathcal{F}_{mb}^m$ is a measure-preserving bijective function. According to [46; 45], we propose a *binary disentangle* module Unique Redundant Decompose (URD), which is guaranteed to satisfy the measure-preserving bijective condition.

$$\begin{aligned} \mathbf{u}_n^m &= \mathcal{F}_{\text{URD-f}}^m \left(\sum_{k=1}^3 w_k^m \mathbf{u}_{n(k)}^m; \theta_{\text{URD-f}}^m \right), \quad \mathbf{r}_n^m = \mathbf{h}_n^m - \mathbf{u}_n^m \\ \text{s.t. } \mathbf{u}_{n(k)}^m &= \mathcal{F}_{\text{URD-d}}^m(\mathbf{h}_{n(k)}^m; \theta_{\text{URD-d}}^m) \end{aligned} \quad (7)$$

where the scalar $w_k^m \in \mathbb{R}$ is linearly projected from the dimensional concatenation of $\mathbf{r}_{n(k)}^m$ and $\mathbf{h}_{n(k)}^m$. $\mathcal{F}_{\text{URD-d}}^m: \mathbb{R}^{d^m} \rightarrow \mathbb{R}^{d^m}$ is a MLP for decomposition with parameter $\theta_{\text{URD-d}}^m$. $\mathcal{F}_{\text{URD-f}}^m: \mathbb{R}^{d^m} \rightarrow \mathbb{R}^{d^m}$ is

a feed forward net with parameter $\theta_{\text{URD-f}}^m$ and $\mathbf{h}_{n(k)}^m$ is recursively deduced as:

$$\mathbf{h}_{n(k)}^m = \begin{cases} \mathbf{h}_n^m, k = 1 \\ \mathbf{h}_n^m - \sum_{i=1}^{k-1} w_i^m \mathbf{u}_{n(i)}^m, k > 1 \end{cases} \quad (8)$$

Practically, we apply SVD on the weight matrices of $\mathcal{F}_{\text{URD-f}}^m$ and $\mathcal{F}_{\text{URD-d}}^m$, where the 0 diagonal elements of the singular value matrix Σ are set to 10^{-5} . The pseudo code is in Appendix Section B.

On the other hand, the modality-specific task-agnostic component $\mathbf{r}_n^m$ should have significant difference with $\mathbf{r}_n^{m'}$ ($m' \neq m$) to constrain the modality discrimination of $\mathbf{h}_n^m$. To achieve it, we employ InfoNCE [28] to perform contrastive learning between the redundant features from different modalities of the same sample as an unsupervised optimization target.

$$\min \mathcal{L}_{\text{Dis}} \stackrel{\text{def.}}{=} \frac{-1}{NM} \sum_{n=1}^N \sum_{m=1}^M \log \frac{e^{\cos(\tilde{\mathbf{r}}_n^m, \tilde{\mathbf{r}}_n^m)/\tau}}{\sum_{m'=1}^M e^{\cos(\tilde{\mathbf{r}}_n^m, \tilde{\mathbf{r}}_n^{m'})/\tau}} \quad (9)$$

where $\tilde{\mathbf{r}}_n^m \in \mathbb{R}^d$ is linearly projected from $\mathbf{r}_n^m$ with normalization. By applying $\mathcal{L}_{\text{MI}}$ and $\mathcal{L}_{\text{Dis}}$, the extraction of modality-specific feature $\mathbf{h}_n^m$ mixed with unique and redundant features is constrained. With the optimizing of $\mathcal{L}_{\text{MI}}$ and $\mathcal{L}_{\text{Dis}}$, the unimodal uncertainty noise mixed in the modality-specific feature can be effectively removed.

4.2 Synergistic Distribution Alignment Module

In order to ensure that the synergistic component $\mathbf{s}_n^m$ captures the shared and consistent knowledge across modalities, Synergistic Distribution Alignment (SDA) module is proposed to perform the feature space alignment, which is illustrated in Figure 4.

To achieve the alignment, SDA first linearly projects $\mathbf{s}_n^m \in \mathbb{R}^{d^m}$ to a subspace with d dimension shared by all modalities:

$$\begin{aligned} \tilde{\mathbf{s}}_n^m &= \mathcal{F}_{\text{proj}}^m(\mathbf{s}_n^m; \theta_{\text{proj}}^m) \in \mathbb{R}^d \\ \text{s.t. } m &= \{1, \dots, M\} \end{aligned} \quad (10)$$

where θ_{proj}^m is the parameter of the m -th modality projection function $\mathcal{F}_{\text{proj}}^m : \mathbb{R}^{d^m} \rightarrow \mathbb{R}^d$. With all modalities in the same dimension, $\tilde{\mathbf{s}}_n^m$ of each modality is able to propagate forward to the parameter-sharing MLP $\mathcal{F}_{\text{SDA}} : \mathbb{R}^d \rightarrow \mathbb{R}^d$ to achieve the space alignment:

$$\tilde{\mathbf{s}}_n^m = \mathcal{F}_{\text{SDA}}(\tilde{\mathbf{s}}_n^m; \theta_{\text{SDA}}) \text{ s.t. } m = \{1, \dots, M\} \quad (11)$$

where θ_{SDA} is the parameter of MLP $\mathcal{F}_{\text{SDA}}$. To preserve the original model's structure and dimensionality, SDA linearly projects $\tilde{\mathbf{s}}_n^m$ back to the original space as:

$$\hat{\mathbf{s}}_n^m = \mathcal{F}_{\text{r-proj}}^m(\tilde{\mathbf{s}}_n^m; \theta_{\text{r-proj}}^m) \text{ s.t. } m = \{1, \dots, M\} \quad (12)$$

where $\theta_{\text{r-proj}}^m$ is the parameter of projection layer $\mathcal{F}_{\text{r-proj}}^m : \mathbb{R}^d \rightarrow \mathbb{R}^{d^m}$ in the m -th modality. To reduce the impact of back propagation on the gradient of model and the original semantic information shift[14; 33], we apply shortcut between $\mathbf{s}_n^m$ and $\hat{\mathbf{s}}_n^m$ with layer normalization:

$$\hat{\mathbf{z}}_n^m = \text{LayerNorm}(\hat{\mathbf{s}}_n^m + \mathbf{s}_n^m) \text{ s.t. } m = \{1, \dots, M\} \quad (13)$$

The key target of SDA module is to effectively constrain the extracted $\mathbf{s}_n^m$ contains the shared information among different modalities. However, the true distributions of $\mathbf{s}_n^m$ are unreachable. Although there have been works assuming them to be multivariate Gaussian distributions and trying to meet this assumption by applying Kullback-Leibler (KL) divergence [11; 19], [2] has pointed out the poor stability of KL-divergence for gradient descent. Inspired by [10; 26; 17], we employ the Sinkhorn-divergence defined in Equation (15), a variant of the optimal transport distance, to measure the difference between the distribution of latent embeddings from two modalities.

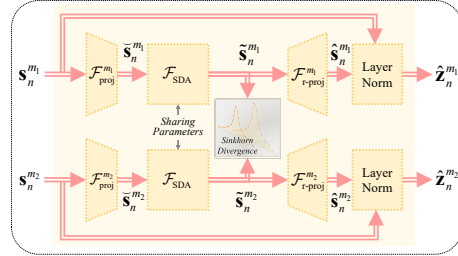


Figure 4: The illustration of Synergistic Distribution Alignment (SDA) module.

Definition 4.1 (Bi-modalities Batch Discrete Probability). *Given a batch size N_c , the latent feature vectors in the m_1 -th and m_2 -th modalities are $\{\tilde{\mathbf{s}}_i^{m_1}\}_{i=1}^{N_c}$ and $\{\tilde{\mathbf{s}}_j^{m_2}\}_{j=1}^{N_c}$ respectively. Let Δ_{N_c} denotes the probability simplex of $\mathbb{R}^{N_c}$, and $\mathbf{a} = [a_1, \dots, a_{N_c}] \in \Delta_{N_c}$, $\mathbf{b} = [b_1, \dots, b_{N_c}] \in \Delta_{N_c}$. $\delta_{\tilde{\mathbf{s}}_i^*}$ refers to a point mass located at coordinate $\tilde{\mathbf{s}}_i^* \in \mathbb{R}^d$. We have the bi-modalities batch discrete probability:*

$$\alpha = \sum_{i=1}^{N_c} a_i \delta_{\tilde{\mathbf{s}}_i^{m_1}}, \quad \beta = \sum_{j=1}^{N_c} b_j \delta_{\tilde{\mathbf{s}}_j^{m_2}} \quad \text{s.t.} \quad m_1, m_2 \in \{1, \dots, M\} \quad \text{and} \quad m_1 \neq m_2 \quad (14)$$

Based on Definition 4.1, according to [10; 26; 17], the Sinkhorn-divergence based term is defined:

$$\begin{aligned} \min \mathcal{L}_{\text{SDA}} &\stackrel{\text{def.}}{=} \frac{2}{M(M-1)} \sum_{\substack{m_1, m_2 \in \{1, \dots, M\} \\ m_1 < m_2}} \mathcal{L}_{\text{div}}(m_1, m_2) \\ \text{s.t.} \quad \mathcal{L}_{\text{div}}(m_1, m_2) &= \text{OT}(\alpha, \beta) - 0.5(\text{OT}(\alpha, \alpha) + \text{OT}(\beta, \beta)) \end{aligned} \quad (15)$$

Here, $\text{OT}(\cdot, \cdot)$ is the total optimal transport cost between two distributions solved by the regular optimal transport defined as $\text{OT}(\alpha, \beta) = \min_{\mathbf{T} \in \Pi(\alpha, \beta)} \langle \mathbf{T}, \mathbf{M} \rangle_{\text{F}}$, where $\mathbf{M} \in \mathbb{R}^{N_c \times N_c}$ is the cost matrix with each element calculated with cosine similarity, i.e., $\mathbf{M}_{i,j} = 1 - \cos(\tilde{\mathbf{s}}_i^{m_1}, \tilde{\mathbf{s}}_j^{m_2})$. $\langle \cdot, \cdot \rangle_{\text{F}}$ is the Frobenius dot-product. The constrain $\Pi(\alpha, \beta) := \{\mathbf{T} \in \mathbb{R}_+^{N_c \times N_c} \mid \sum_i \mathbf{T}_{i,j} = b_j, \sum_j \mathbf{T}_{i,j} = a_i\}$ enforces $\mathbf{T}$ to have α, β as its marginals. $\mathbf{T}$ is the transport plan matrix to be optimized by Sinkhorn algorithm [7].

By minimizing Equation (15), the shared and consistent information across modalities can be retained. Finally, the two task-related components $\mathbf{u}_n^m$ and $\hat{\mathbf{z}}_n^m$ are aggregated by:

$$\tilde{\mathbf{z}}_n^m = \hat{\mathbf{z}}_n^m + \mathbf{u}_n^m \quad (16)$$

4.3 Training Loss Function

To sum up, the optimization target of our proposed FCD can be formed as:

$$\mathcal{L}_{\text{FCD}} = \lambda_1 \mathcal{L}_{\text{MI}} + \lambda_2 \mathcal{L}_{\text{Dis}} + \lambda_3 \mathcal{L}_{\text{SDA}} \quad (17)$$

By combining the original loss function (Equation (2)) and Equation (17), the total loss of an effective multimodal representation learning model with plug-and-play FCD without changing model structure is:

$$\mathcal{L}_{\text{overall}} = \mathcal{L} + \mathcal{L}_{\text{FCD}} = \underbrace{\mathcal{L}_{\text{task}} + \mathcal{L}_{\text{reg}}}_{\text{Original Loss}} + \underbrace{\lambda_1 \mathcal{L}_{\text{MI}} + \lambda_2 \mathcal{L}_{\text{Dis}} + \lambda_3 \mathcal{L}_{\text{SDA}}}_{\text{FCD Loss}} \quad (18)$$

5 Experiments

5.1 Datasets and Evaluation Metrics

Datasets. We design and conduct our experiments on 5 widely used multimodal datasets, i.e., CMU-MOSI [41], CMU-MOSEI [42], MSVA-Single [27], UPMC-Food101 [3], and HFM [4]. Please refer to Appendix Section C for more descriptions about these datasets.

Evaluation Metrics. Following [9], we report our experimental results on CMU-MOSI and CMU-MOSEI datasets with the mean absolute error (MAE), Pearson correlation (Corr), binary classification accuracy (Acc-2) and weighted F1 score (F1). Following [11], we report the results on the remaining datasets with accuracy (Acc) and weighted F1 score (F1). Please refer to Appendix Section C for more details about these metrics.

5.2 Experimental Settings and Implementation Details

Our experiments are conducted on 4 NVIDIA RTX 4090 24GB GPUs with PyTorch [29] framework. We control the system environment for all experiments to be consistent and reproduced all the base comparison experiments using the hyper-parameters reported in their original papers. Please refer to Appendix for more experiments, including sensitive analysis and computational overhead analysis.

Table 1: Quantitative results of SOTA methods. The left side of “/” in Acc-2 and F1 is computed as “negative/non-negative (non-exclude 0)” and the right side is computed as “negative/positive (exclude 0)”. All results are scaled ($\times 100$). Better results in each compared method are highlighted in **bold**.

DATASETS		CMU-MOSI				CMU-MOSEI			
METHODS		MAE↓	CORR↑	ACC-2↑	F1↑	MAE↓	CORR↑	ACC-2↑	F1↑
SELF-MM	BASE	72.51	79.44	82.80/84.30	82.78/84.33	54.04	75.65	83.26/85.20	83.40/84.98
	OURS	68.29	80.62	84.95/87.09	84.93/87.11	52.49	76.98	85.19/86.08	85.12/85.77
MMIM	BASE	73.81	78.17	83.24/85.06	83.21/85.09	55.34	75.18	82.14/84.76	82.49/84.66
	OURS	69.86	80.91	84.55/85.98	84.56/86.03	54.41	76.83	83.97/85.72	83.98/85.74
MCL-MCF	BASE	70.05	79.59	83.97/86.13	83.75/85.99	54.21	76.68	80.83/84.95	81.40/84.96
	OURS	69.29	80.29	84.99/87.04	84.84/86.96	53.68	77.82	84.40/85.53	84.41/85.27
AtCAF	BASE	72.80	79.57	83.67/85.06	83.61/85.04	53.96	75.99	82.98/84.40	83.09/84.17
	OURS	69.65	80.32	84.11/86.13	84.01/86.09	53.13	77.38	84.78/85.58	84.73/85.28
MMML	BASE	61.11	86.83	85.86/88.11	85.75/88.06	51.95	80.74	85.46/87.01	85.49/86.81
	OURS	59.86	87.55	88.48/90.24	88.44/90.23	50.01	81.78	86.63/88.08	86.63/87.98

The main hyper-parameters in this paper consist of two parts, *i.e.*, the hyper-parameters in each multi-modal intermediate fusion method (*e.g.*, learning rate, batch size) and the ones of FCD (λ_1 , λ_2 , λ_3). The hyper-parameters of FCD and their sensitive analysis are summarized in Appendix Section E, and please refer to the original papers for other hyper-parameters of each base model. Moreover, we report the computational overhead and complexity analysis in Appendix Section F. We fix the temperature parameter $\tau = 0.07$ in InfoNCE loss for the most common cases.

5.3 Quantitative Studies

To evaluate the effectiveness of our proposed FCD, we select 5 recently proposed state-of-the-art (SOTA) multimodal sentiment analysis methods as our evaluation baseline on CMU-MOSI and CMU-MOSEI datasets, *i.e.*, Self-MM [39], MMIM [13], MCL-MCF [9], AtCAF [15], and MMML [37]. And 4 multimodal fusion methods on the remaining 3 datasets are compared: MMBT [18], CLMLF [20], MVCN [36], and URMF [11]. All these methods are intermediate fusion methods and please refer to Appendix Section D for more details.

The results on CMU-MOSI and CMU-MOSEI datasets are shown in Table 1, and the results on the other 3 datasets are reported in Table 2. The *Base* experiments are conducted on the corresponding datasets using the official implementations and the hyper-parameters reported in their papers. Then we add FCD to each method and reproduce them again with the original hyper-parameters fixed, which are represented by “*Ours*”. By doing so, we are able to control the uniqueness that affects the experimental results and improve their credibility.

From Table 1 and Table 2, we can find that our proposed FCD is able to improve the performance among various evaluation metrics on all tested regression tasks and classification tasks. This indicates that the heterogeneity and aleatoric uncertainty of the multimodal data should be considered simultaneously to generate valid multimodal representation. Specifically, MMML achieves the best performances on both CMU-MOSI and CMU-MOSEI datasets after applying FCD, which may be caused by the superior behavior and complexity of MMML. It has the longest training overhead per epoch (see Appendix Section F). On the other hand, MMBT has the highest *Acc* and *F1* on MVSA-S dataset. URMF performs better than MMBT on Food101 dataset on both *Acc* and *F1* metrics. CLMLF shows the better performance than MVCN on HFM dataset

Table 2: Quantitative results of SOTA methods on MVSA-Single (MVSA-S), UPMC-Food101 (Food101), and HFM datasets. All results are scaled ($\times 100$). Better results in each compared method are highlighted in **bold**.

DATASETS		MVSA-S		Food101		HFM	
METHODS		ACC	F1	ACC	F1	ACC	F1
MMBT	BASE	74.76	74.76	91.22	91.22	-	-
	OURS	76.30	76.30	91.72	91.72	-	-
CLMLF	BASE	70.67	69.03	-	-	84.77	84.76
	OURS	72.22	71.62	-	-	85.55	85.52
MVCN	BASE	72.44	71.25	-	-	84.89	84.90
	OURS	75.33	74.78	-	-	85.31	85.32
URMF	BASE	72.25	71.11	92.27	92.26	-	-
	OURS	74.57	74.22	92.94	92.96	-	-

on both tested metrics. The improvements of methods are various. This may be caused by the different unimodal encoding approaches, which directly determines the quality and semantic richness of unimodal features and thereafter affect the effectiveness of FCD.

There exists a significant difference with other methods that Self-MM considered both consistent and complementary information in multimodal data. It took the heterogeneity of multimodal feature space into consideration and proposed to shift annotations in their unimodal feature space. This proves that the consistent and complementary information within multimodal data should be effectively excavated at the same time, which also coincides with our idea.

Besides, compared with other methods, the *Corr* of MCL-MCF with FCD has less improvement on both CMU-MOSI and CMU-MOSEI datasets. This may be caused by the assumption of MCL-MCF where the fusion is considered as a progressive process. In this situation, the consistent information plays the dominant role and the aggregated complementary information is gradually weakened as the fusion process progresses.

5.4 Ablation Studies

To evaluate each component used in FCD, ablation studies are conducted. Specifically, we conduct ablation experiments on term $\mathcal{L}_{MI}$, $\mathcal{L}_{Dis}$ and $\mathcal{L}_{SDA}$ by setting $\lambda_1 = 0$, $\lambda_2 = 0$ and $\lambda_3 = 0$, respectively. Besides, we also evaluate the effectiveness of involving unique component $\mathbf{u}_n^m$ in Equation (16), where only synergistic feature $\hat{\mathbf{z}}_n^m$ is used for fusion. And the shortcut Equation (13), where $\hat{\mathbf{s}}_n^m$ is directly fused with $\mathbf{u}_n^m$. The results can be seen in Table 3 and the results of *Base* and *Ours* are also shown in the first line and the last line, respectively.

Table 3: Ablation studies results on CMU-MOSI dataset with Self-MM. The ablation terms are marked as “✓” if they are not ablated, otherwise they are marked as “-”.

$\mathcal{L}_{MI}$	$\mathcal{L}_{Dis}$	$\mathcal{L}_{SDA}$	EQ. (16)	EQ. (13)	MAE	CORR	ACC-2	F1
<i>Base</i>					72.51	79.44	82.80/84.30	82.78/84.33
-	✓	✓	✓	✓	70.76	79.65	82.94/84.91	82.85/84.88
✓	-	✓	✓	✓	68.83	80.21	84.42/86.53	84.39/86.38
✓	✓	-	✓	✓	68.66	80.47	83.38/85.98	83.15/85.84
✓	✓	✓	-	✓	69.45	80.33	83.24/84.91	83.19/84.91
✓	✓	✓	✓	-	68.92	80.17	84.02/86.13	83.94/86.07
✓	✓	✓	✓	✓	68.29	80.62	84.95/87.09	84.93/87.11

When $\lambda_1 = 0$, the mutual information loss between $\mathbf{u}_n^m$ and y_n would not be added to the total loss function. In other words, the backdoor-adjustment is not applied to effectively decompose the redundant feature $\mathbf{r}_n^m$ and the unique feature $\mathbf{u}_n^m$. The unimodal uncertainty noise may be still mixed in the complementary information. Meanwhile, since the unique feature is modality-specific, the task-related information may be also mixed in $\mathbf{r}_n^m$, resulting in the worst performance in all ablation cases. This case proves that CCD can extract task-related information from the mixed features.

When $\lambda_2 = 0$, the discriminative information between $\mathbf{r}_n^m$ is neglected. In this case, $\mathbf{h}_n^m$ extracted by $\mathcal{F}_h$ may be not modality-specific. In this case, the complementary information may not be fully exploited, resulting in task-related information loss.

When $\lambda_3 = 0$, there is no constraints on the synergistic information alignment. It means there is no supervision on the aligned feature space, which means the features are probably not modality-invariant and contains modality-specific information.

When removing the unique component $\mathbf{u}_n^m$ Equation (16), *i.e.*, $\tilde{\mathbf{z}}_n^m = \hat{\mathbf{z}}_n^m$, compared with the full FCD, the performance is worse due to the complementary information loss. Therefore, the unique component is significant for multimodal representation learning.

When the shortcut between $\mathbf{s}_n^m$ and $\hat{\mathbf{s}}_n^m$ is removed, the gradient information and original semantic knowledge may be lost. Thus, the shortcut path is able to retain the original information.

5.5 Case Study

To validate the corresponding parts of task-related components $\mathbf{s}_n^m$ and $\mathbf{u}_n^m$ in the original data and the interpretability of FCD, we employ Grad-CAM [30] to visualize the decomposition results of CLMLF on MVSA-S dataset. The results is shown in Figure 5.

From Figure 5, we can find that there is a correlated semantic similarity shared by the synergistic components of different modalities (e.g., building collapse). On the other hand, the scattered bricks on the ground from visual modality and “fire” from textual modality provide the unique information, which is related to the annotation *Negative*. This indicates that FCD is able to capture the synergistic and unique information. Besides, for both modalities, there may exist importance overlap between synergistic and unique information, such as the word “building” is both more important in textual modality and both more attentions are paid on the buildings in the distance of the visual modality. For synergistic information, it focuses on the semantic consistency to construct the semantic correspondence between different modalities. For unique information, it focuses on the unimodal contextual information learned from the whole dataset. Taking the “building” in textual modality as an example, it may experience more concurrent together with negative words like “disasters” or “collapse”. This also proves the necessity of simultaneously excavating the consistency and complementary information.

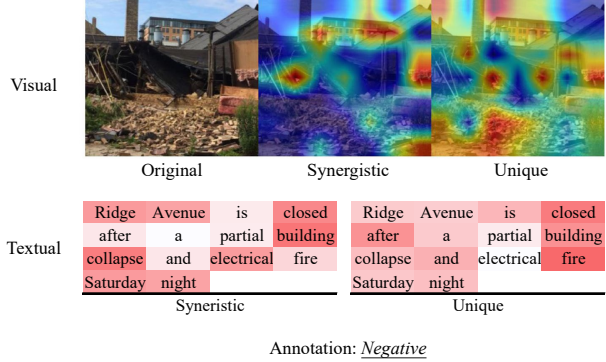


Figure 5: The Grad-CAM of task-related components s_n^m and u_n^m extracted by CLMLF trained on MVSA-S. The upper part of the figure is the original, synergistic and unique data of visual modality. And the lower half of the figure is the synergistic and unique data of textual modality.

5.6 Sensitivity of Batch Size

Since the $\mathcal{L}_{MI}$ and $\mathcal{L}_{Dis}$ loss functions used in the FCD are essentially contrastive learning, whose effectiveness may be affected by the number of negative samples provided by the batch size, we validate the sensitivity of batch size. We conduct this experiment on Self-MM equipped with FCD on CMU-MOSI dataset. Practically, the batch size doubles from 8 to 128.

The results are shown in Table 4. The results show that as the batch size increases, the model achieves consistently better performance across various metrics. One possible reason is that a larger batch provides a wider range of negative samples for the contrastive loss, which makes the optimization of $\mathcal{L}_{MI}$ and $\mathcal{L}_{Dis}$ more effective. With more diverse negatives, the model can better separate similar but distinct representations, leading to stronger feature discrimination and better overall performance.

Table 4: The sensitivity of batch size on Self-MM (with FCD) on CMU-MOSI dataset.

BATCH SIZE	MAE	CORR	ACC-2	F1
8	67.83	80.34	84.74/86.96	84.74/87.01
16	68.02	79.86	84.43/86.27	84.48/86.31
32	68.29	80.62	84.95/87.09	84.93/87.11
64	68.11	80.27	85.15/87.41	85.16/87.42
128	67.94	80.57	85.74/87.85	85.71/87.83

6 Conclusion

In this paper, we propose a plug-and-play module, Feature Causality Decomposition (FCD), to solve the existence of heterogeneity and aleatoric uncertainty within multimodal data by decomposing the unimodal feature into its synergistic, unique and redundant components from causality perspective. Based on whether it can be aligned with other modalities, FCD first uses Causality Components Decomposition (CCD) module to disentangle the unimodal feature into two parts: modality-specific and modality-invariant components, which contains the synergistic information shared by various heterogeneous modalities. Then backdoor-adjustment is applied to remove the redundant information and retain the task-related component in the modality-specific part, it is optimized by maximizing the mutual information between the unique component and the annotation. Besides, the Sinkhorn divergence is employed to narrow the difference of synergistic embeddings among modalities. Extensive experiments prove the effectiveness of FCD.

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A Proof of Theorem 4.1

We first give the following lemma, which describes the probability distribution between two variables X and Y .

Lemma A.1. *For two variables X, Y defined on the measurable sets $\mathcal{X}, \mathcal{Y}$ with the same measure, and the probability distributions are $P(X), P(Y)$. If $Y = \mathcal{F}(X)$, where $\mathcal{F}$ is a measure-preserving bijective function, we have $P(X) = P(Y)$.*

Proof. Since $\mathcal{F}$ is a measure-preserving bijective function, we have:

$$P(X \in \mathcal{X}) = P(\mathcal{F}^{-1}(Y) \in \mathcal{X}) = P(Y \in \mathcal{F}(\mathcal{X})) = P(Y \in \mathcal{Y}) \quad (19)$$

□

Corollary A.1. *For two variables X, Y from measurable sets $\mathcal{X}, \mathcal{Y}$ with their measures $\mu(\mathcal{X})$ and $\mu(\mathcal{Y})$. If $Y = \mathcal{F}(X)$ and $\mathcal{F}$ is a measure-preserving bijective function, there exists:*

$$P(X, Y) = P(X)\delta(Y - \mathcal{F}(X)) = P(Y)\delta(Y - \mathcal{F}(X)) \quad (20)$$

when $\mu(\mathcal{X}) = \mu(\mathcal{Y})$.

where $\delta(t)$ is the standard Dirac delta function which has the following properties for $t \in \mathbb{R}$:

1. $\delta(t) = 0$ for all $t \neq 0$
2. $\int_{-\infty}^{+\infty} \delta(t)dt = 1$.

Proof. Based on Bayes' theorem, we have:

$$P(X, Y) = P(X)P(Y|X) \quad (21)$$

Since $\mathcal{F}$ is a bijective function, Y is uniquely determined by X , according to [16], $P(Y|X) = \delta(Y - \mathcal{F}(X))$. Based on Lemma A.1, we have:

$$P(X, Y) = P(X)P(Y|X) = P(X)\delta(Y - \mathcal{F}(X)) = P(Y)\delta(Y - \mathcal{F}(X)) \quad (22)$$

□

Corollary A.2. *For three variables X, Y and Z defined on the measurable sets $\mathcal{X}, \mathcal{Y}$ and $\mathcal{Z}$, and the joint probability distributions are $P(X, Z), P(Y, Z)$. If $Y = \mathcal{F}(X)$, where $\mathcal{F}$ is a measure-preserving bijective function, X is independent with Z , and Y is also independent with Z , we have $P(X, Z) = P(Y, Z)$.*

Proof. Let $\mu(\mathcal{X})$ is the measure of $\mathcal{X}$. Since $Y = \mathcal{F}(X)$, where $\mathcal{F}$ is a measure-preserving bijective function, then $\mathcal{X}$ and $\mathcal{Y}$ have the same measure, i.e., $\mu(\mathcal{X}) = \mu(\mathcal{Y})$. Besides, the measures of the joint domains $\mathcal{X} \times \mathcal{Z}, \mathcal{Y} \times \mathcal{Z}$ can be formed as $\mu(\mathcal{X} \times \mathcal{Z})$ and $\mu(\mathcal{Y} \times \mathcal{Z})$, respectively. Based on the Fubini's Theorem, we have:

$$\mu(\mathcal{X} \times \mathcal{Z}) = \mu(\mathcal{X})\mu(\mathcal{Z}) = \mu(\mathcal{Y})\mu(\mathcal{Z}) = \mu(\mathcal{Y} \times \mathcal{Z}) \quad (23)$$

Thus, the measures of joint domains $\mathcal{X} \times \mathcal{Z}$ and $\mathcal{Y} \times \mathcal{Z}$ are the same. Since $\mathcal{F}$ is a measure-preserving bijective function, X is independent with Z , and Y is also independent with Z , based on Lemma A.1, we have:

$$\begin{aligned} P((X, Z) \in (\mathcal{X} \times \mathcal{Z})) &= P(X \in \mathcal{X})P(Z) \\ &= P(\mathcal{F}^{-1}(Y) \in \mathcal{X})P(Z) = P(Y \in \mathcal{F}(\mathcal{X}))P(Z) = P((Y, Z) \in (\mathcal{Y} \times \mathcal{Z})) \end{aligned} \quad (24)$$

□

Corollary A.3. *For three variables X, Y, Z from measurable sets $\mathcal{X}, \mathcal{Y}, \mathcal{Z}$, where X is independent with Z and Y is also independent with Z . If $Y = \mathcal{F}(X)$ and $\mathcal{F}$ is a measure-preserving bijective function, there exists:*

$$P(X, Y, Z) = P(X, Z)\delta(Y - \mathcal{F}(X)) = P(Y, Z)\delta(Y - \mathcal{F}(X)) \quad (25)$$

when $\mu(\mathcal{X}) = \mu(\mathcal{Y})$.

Proof. Based on Bayes' theorem, we have:

$$P(X, Y, Z) = P(X, Z)P(Y|X, Z) \quad (26)$$

Since $\mathcal{F}$ is a bijective function, Y is uniquely determined by X . Thus, X is independent with Z and Y is also independent with Z , then we have:

$$P(Y|X, Z) = \frac{P(Y, X, Z)}{P(X, Z)} = \frac{P(Y, X)P(Z)}{P(X)P(Z)} = \frac{P(Y, X)}{P(X)} = P(Y|X)$$

According to Corollary A.1 and Corollary A.2, and $P(Y|X)$ is a Dirac delta function $\delta(Y - \mathcal{F}(X))$, we have:

$$\begin{aligned} P(X, Y, Z) &= P(X, Z)P(Y|X, Z) \\ &= P(X, Z)P(Y|X) = P(X, Z)\delta(Y - \mathcal{F}(X)) = P(Y, Z)\delta(Y - \mathcal{F}(X)) \end{aligned} \quad (27)$$

□

Based on Corollary A.1 and Corollary A.3, we can prove the Theorem 4.1 as follows.

Proof. For the hidden features $\mathbf{h}_n^m$, $\mathbf{u}_n^m$ and the ground truth y_n , we are seeking to maximizing the expectation of the conditional mutual information between $\mathbf{u}_n^m$ and the ground truth y_n , when $\mathbf{h}_n^m$ is given. From the probability perspective, the $\mathbf{h}_n^m$ and $\mathbf{u}_n^m$ are both independent with the given ground truth y_n . Based on the definition of conditional mutual information and the Bayes' theorem, we have:

$$\begin{aligned} \max \mathbb{E}_{P(\mathbf{h}_n^m)} [I(\mathbf{u}_n^m; y_n | \mathbf{h}_n^m)] &= \max \mathbb{E}_{P(\mathbf{h}_n^m)} \left[\mathbb{E}_{P(\mathbf{u}_n^m, y_n | \mathbf{h}_n^m)} \left[\log \frac{P(\mathbf{u}_n^m, y_n | \mathbf{h}_n^m)}{P(\mathbf{u}_n^m | \mathbf{h}_n^m)P(y_n | \mathbf{h}_n^m)} \right] \right] \\ &= \max \int_{\mathbb{R}^d} d\mathbf{h}_n^m \int_{\mathbb{R}^d} d\mathbf{u}_n^m \int_{\mathbb{R}^d} dy_n \int_{\mathbb{R}^d} d\mathbf{h}_n^m P(\mathbf{h}_n^m) P(\mathbf{u}_n^m, y_n | \mathbf{h}_n^m) \log \frac{P(\mathbf{u}_n^m, y_n | \mathbf{h}_n^m)}{P(\mathbf{u}_n^m | \mathbf{h}_n^m)P(y_n | \mathbf{h}_n^m)} dy_n d\mathbf{h}_n^m d\mathbf{u}_n^m d\mathbf{h}_n^m \\ &= \max \int_{\mathbb{R}^d} d\mathbf{h}_n^m \int_{\mathbb{R}^d} d\mathbf{u}_n^m \int_{\mathbb{R}^d} dy_n \int_{\mathbb{R}^d} d\mathbf{h}_n^m P(\mathbf{h}_n^m) P(y_n | \mathbf{u}_n^m, \mathbf{h}_n^m) P(\mathbf{u}_n^m, \mathbf{h}_n^m) \log \frac{P(\mathbf{u}_n^m, y_n | \mathbf{h}_n^m)}{P(\mathbf{u}_n^m | \mathbf{h}_n^m)P(y_n | \mathbf{h}_n^m)} dy_n d\mathbf{h}_n^m d\mathbf{u}_n^m d\mathbf{h}_n^m \\ &= \max \int_{\mathbb{R}^d} d\mathbf{h}_n^m \int_{\mathbb{R}^d} d\mathbf{u}_n^m \int_{\mathbb{R}^d} dy_n \int_{\mathbb{R}^d} d\mathbf{h}_n^m P(\mathbf{h}_n^m) P(y_n | \mathbf{u}_n^m, \mathbf{h}_n^m) P(\mathbf{u}_n^m, \mathbf{h}_n^m) \log \frac{P(\mathbf{u}_n^m, y_n | \mathbf{h}_n^m)P(\mathbf{h}_n^m)}{P(\mathbf{u}_n^m | \mathbf{h}_n^m)P(y_n | \mathbf{h}_n^m)P(\mathbf{h}_n^m)} dy_n d\mathbf{h}_n^m d\mathbf{u}_n^m d\mathbf{h}_n^m \\ &= \max \int_{\mathbb{R}^d} d\mathbf{h}_n^m \int_{\mathbb{R}^d} d\mathbf{u}_n^m \int_{\mathbb{R}^d} dy_n \int_{\mathbb{R}^d} d\mathbf{h}_n^m P(\mathbf{h}_n^m) P(y_n | \mathbf{u}_n^m, \mathbf{h}_n^m) P(\mathbf{u}_n^m, \mathbf{h}_n^m) \log \frac{P(\mathbf{u}_n^m, y_n | \mathbf{h}_n^m)}{P(\mathbf{u}_n^m | \mathbf{h}_n^m)P(y_n | \mathbf{h}_n^m)} dy_n d\mathbf{h}_n^m d\mathbf{u}_n^m d\mathbf{h}_n^m \end{aligned} \quad (28)$$

Note that the distribution of the ground truth $P(y_n)$ doesn't change with $\mathbf{h}_n^m$, thus we have $P(y_n | \mathbf{h}_n^m) = P(y_n)$. Besides, based on the core idea of backdoor-adjustment that causal intervention is applied for the confounder to cut off the causal relation between the treatment and the confounder [25], we apply the causal intervention on $\mathbf{h}_n^m$ to turn it into its counterfactual form $\mathbf{h}_n^{m'}$, and cut off the causal relation between $\mathbf{h}_n^m$ and $\mathbf{u}_n^m$. From Corollary A.1 and Corollary A.3, the Equation (28) can be further transformed to Equation (29).

$$\begin{aligned} &= \max \int_{\mathbb{R}^d} d\mathbf{h}_n^m \int_{\mathbb{R}^d} d\mathbf{u}_n^m \int_{\mathbb{R}^d} dy_n \int_{\mathbb{R}^d} d\mathbf{h}_n^m P(\mathbf{h}_n^m) P(y_n | \mathbf{u}_n^m, \mathbf{h}_n^m) P(\mathbf{u}_n^m, \mathbf{h}_n^m) \log \frac{P(\mathbf{u}_n^m, y_n) \delta(\mathbf{u}_n^m - \mathcal{F}_{mb}^m(\mathbf{h}_n^m; \theta_{mb}^m))}{P(\mathbf{u}_n^m) \delta(\mathbf{u}_n^m - \mathcal{F}_{mb}^m(\mathbf{h}_n^m; \theta_{mb}^m)) P(y_n)} dy_n d\mathbf{h}_n^m d\mathbf{u}_n^m d\mathbf{h}_n^m \\ &\xrightarrow[\text{Intervention}]{\text{Causal}} \max \int_{\mathbb{R}^d} d\mathbf{h}_n^m \int_{\mathbb{R}^d} d\mathbf{u}_n^m \int_{\mathbb{R}^d} dy_n \int_{\mathbb{R}^d} d\mathbf{h}_n^m \underbrace{P(\mathbf{h}_n^{m'}) P(y_n | \mathbf{u}_n^m, \mathbf{h}_n^{m'})}_{\text{Backdoor-adjustment}} P(\mathbf{u}_n^m, \mathbf{h}_n^m) \log \frac{P(\mathbf{u}_n^m, y_n)}{P(\mathbf{u}_n^m) P(y_n)} dy_n d\mathbf{h}_n^m d\mathbf{u}_n^m d\mathbf{h}_n^m \\ &= \max \int_{\mathbb{R}^d} d\mathbf{h}_n^m \int_{\mathbb{R}^d} d\mathbf{u}_n^m \int_{\mathbb{R}^d} dy_n \int_{\mathbb{R}^d} d\mathbf{h}_n^m \underbrace{P(\mathbf{h}_n^{m'}) P(y_n | \mathbf{u}_n^m, \mathbf{h}_n^{m'})}_{\text{Backdoor-adjustment}} d\mathbf{h}_n^{m'} \int_{\mathbb{R}^d} d\mathbf{u}_n^m P(\mathbf{u}_n^m, \mathbf{h}_n^m) d\mathbf{h}_n^m \log \frac{P(\mathbf{u}_n^m, y_n)}{P(\mathbf{u}_n^m) P(y_n)} dy_n d\mathbf{u}_n^m \\ &= \max \int_{\mathbb{R}^d} d\mathbf{h}_n^m \int_{\mathbb{R}^d} dy_n \int_{\mathbb{R}^d} d\mathbf{u}_n^m P(y_n | do(\mathbf{u}_n^m)) P(do(\mathbf{u}_n^m)) \log \frac{P(do(\mathbf{u}_n^m), y_n)}{P(do(\mathbf{u}_n^m)) P(y_n)} dy_n ddo(\mathbf{u}_n^m) \\ &= \max \int_{\mathbb{R}^d} d\mathbf{h}_n^m \int_{\mathbb{R}^d} dy_n P(do(\mathbf{u}_n^m), y_n) \log \frac{P(do(\mathbf{u}_n^m), y_n)}{P(do(\mathbf{u}_n^m)) P(y_n)} dy_n ddo(\mathbf{u}_n^m) \\ &= \max I(do(\mathbf{u}_n^m); y_n). \end{aligned} \quad (29)$$

where $\mathcal{F}_{mb}^m(\cdot; \theta_{mb}^m)$ is the bijective decomposition function with parameter θ_{mb}^m (the URD module in our paper). $do(\cdot)$ is do -operator for causal intervention in backdoor-adjustment. $\mathbf{h}_n^{m'}$ is the $\mathbf{h}_n^m$ after causal intervention. From Equation (28) and Equation (29), Theorem 4.1 holds. □

B Pseudo Code for Training Process

We first give the training process to show the reversibility of $\mathcal{F}_{\text{URD-d}}^m$ and $\mathcal{F}_{\text{URD-f}}^m$.

Algorithm 1: Enforce Full Rank in PyTorch style

Input: Multimodal model with FCD Model

```

1 for  $m \leftarrow 1$  to  $M$  do
    // Get parameters of linear layers in URD
2    $\theta_{\text{URD-d}}^m, \theta_{\text{URD-f}}^m \leftarrow \text{get}(\text{Model.CCD.URD}, \text{Linear});$ 
    // SVD
3    $U_{\text{URD-d}}^m, \Sigma_{\text{URD-d}}^m, V_{\text{URD-d}}^m \leftarrow \text{SVD}(\theta_{\text{URD-d}}^m.\text{weight});$ 
4    $U_{\text{URD-f}}^m, \Sigma_{\text{URD-f}}^m, V_{\text{URD-f}}^m \leftarrow \text{SVD}(\theta_{\text{URD-f}}^m.\text{weight});$ 
    // Enforce Full Rank (Invertible)
5    $\Sigma_{\text{URD-d}}^m \leftarrow \text{torch.maximum}(\Sigma_{\text{URD-d}}^m, 1e^{-5});$ 
6    $\Sigma_{\text{URD-f}}^m \leftarrow \text{torch.maximum}(\Sigma_{\text{URD-f}}^m, 1e^{-5});$ 
    // Reconstruct
7    $\theta_{\text{URD-d}}^m.\text{weight} \leftarrow \text{nn.Parameter}(U_{\text{URD-d}}^m \Sigma_{\text{URD-d}}^m V_{\text{URD-d}}^{m\top});$ 
8    $\theta_{\text{URD-f}}^m.\text{weight} \leftarrow \text{nn.Parameter}(U_{\text{URD-f}}^m \Sigma_{\text{URD-f}}^m V_{\text{URD-f}}^{m\top});$ 
9 end
```

Algorithm 2: Training process of our process method in PyTorch style

Input: Multimodal dataset $\mathcal{D}$, Multimodal model with FCD Model, Optimizer opt, Number of epochs N_e .

```

1 for  $e$  in  $\{1, \dots, N_e\}$  do
2    $\mathcal{L}_{\text{overall}} \leftarrow \text{Model.forward}(\mathcal{D});$ 
3    $\mathcal{L}_{\text{overall}}.\text{backward}();$ 
4    $\text{opt.step}();$ 
5   Apply Algorithm 1 with model as input;
6 end
```

Besides, to achieve measure-preserving, we apply the following constraint on the weight matrix of $\mathcal{F}_{\text{URD-d}}^m$ and $\mathcal{F}_{\text{URD-f}}^m$. Note that the nesting of two measure-preserving functions is still measure-preserving [34].

Algorithm 3: Measure-preserving Linear Layer Forward

Input: Feature vector $\mathbf{x}$

Output: Forward output vector $\mathbf{x}'$

```

// Get weight matrix and bias
1  $\mathbf{W} \leftarrow \theta_*^m.\text{weight};$ 
2  $\mathbf{b} \leftarrow \theta_*^m.\text{bias};$ 
    // Construct skew symmetric matrix
3  $\mathbf{A} \leftarrow \mathbf{W} - \mathbf{W}^\top;$ 
4  $\mathbf{W}' \leftarrow \text{torch.matrix\_exp}(\mathbf{A});$ 
    // Linear forward
5  $\mathbf{x}' \leftarrow \mathbf{x}\mathbf{W}'^\top + \mathbf{b};$ 
```

C Introduction to Datasets and Evaluation Metrics in Our Experiments

CMU-MOSI and CMU-MOSEI are popularly used in Multimodal Semantic Analysis task [9; 13] with 3 modalities. Each sample from both of these datasets is annotated with a sentiment value ranging from -3 (strongly negative) to $+3$ (strongly positive), indicating the polarity and relative strength of the expressed sentiment within each sample. The former one contains 2199 utterance video segments taken from 93 YouTube, and the latter one contains 22,856 utterance video segments [11]. Table 5 summarizes the training, validation and test subset splits following [15; 43].

Table 5: Datasets splits (train, validation (val), and test) in our experiments.

DATASET	TRAIN	VAL	TEST	OVERALL
CMU-MOSI	1284	229	686	2199
CMU-MOSEI	16326	1871	4659	22856
MVSA-SINGLE	1555	518	519	2592
UPMC FOOD101	62971	5000	22715	90686
HFM	19816	2410	2409	24635

MSVA-Single, UPMC Food101, and HFM datasets only have two modalities. MVSA-Single is a commonly used text-image sentiment dataset collected from Twitter. It has 3 categories: positive, neutral and negative with 1398, 724 and 470 samples, respectively [27]. UPMC Food101 dataset is usually used for multimodal image classification [11], which has 101 categories with about 100k images. HFM has two categories, *i.e.*, positive and negative.

We report our results with *the mean absolute error (MAE)*, which is calculated by averaging the absolute value between the predicted and ground truth; *Pearson correlation (Corr)* quantifies the extent to which predictions deviate from a linear relationship; *binary classification accuracy (Acc-2)* and *weighted F1 scores (F1)* are computed for both the negative/non-negative (non-exclude 0) [40] and negative/positive (exclude 0) [32], which is achieved by filtering out the samples whose annotation is 0. Following [11], we report the results on the remaining datasets with *accuracy (Acc)* and *weighted F1 score (F1)*.

D Introduction to Quantitative Experiment Methods

Due to the page limit, we introduce the methods incorporated in our quantitative experiment here.

- Self-MM [39] focused on restricted ability in capturing differentiated information within each modality due to the unified multimodal annotation, and proposed a self-supervised multi-task learning method to generate unimodal annotation. Besides, Self-MM shifts the generated annotation according to the relative distance to the class center in each modality space.
- MMIM [13] designed an MI based method to preserve critical task-related information that flows from the original input to the fusion representation. It employed a tight lower bound of MI and estimated the lower bound via likelihood maximization and Gaussian Mixture Model (GMM). Then a Contrastive Predictive Coding (CPC) loss was employed to retain the modality-invariant information in fused representation.
- MCL-MCF [9] considered that fusion is a progressive process, and provided a hierarchical structure to maximize the maintenance of semantic information during different fusion level via contrastive learning. Besides, MCL-MCF used 1-D convolutional layers to fuse features at different level.
- AtCAF [15] started from casual inference perspective. It blocked the back-door path between text modality and target annotation via front-door adjustment. Then it applied counterfactual reasoning to the attention matrix integrated in cross-attention fusion process to improve the fusion robustness.
- MMML [37] proposed a Multimodal Multi-Loss Fusion Network that integrates pretrained audio and text encoders, cross- and self-attention mechanisms, and multi-loss training to enhance sentiment analysis. The model achieved state-of-the-art performance on various datasets, demonstrating the effectiveness of multimodal fusion and contextual modeling.
- MMBT [18] proposed a multimodal fusion method for text-image classification based on bitransformer. It jointly finetuned the pretrained unimodal encoders by mapping image embeddings to textual token space.
- CLMLF [20] focused on the token level multimodal fusion and employ contrastive learning to align the representations from different modalities.

- MVCN [36] focused on the challenge of modality heterogeneity in multimodal tasks, and proposed to filter redundant visual features based on sparsemax mechanism. Besides, it calibrated feature shift in representation space by minimizing the intra-class discrepancy.
- URMF [11] adopted a multivariate Gaussian distribution to represent spotty semantic instances in a noisy latent space and tried to eliminate the impact of unimodal aleatoric uncertainty to perform robust multimodal fusion via estimating the Gaussian distribution behind features.

E Hyper-parameter Setting and Sensitive Analysis

The hyper-parameters setting of FCD used in different base methods are reported in Table 6. Since FCD can be integrated into any multi-modal intermediate fusion method, the hyper-parameters may be different with each other. We search the appropriate hyper-parameters with two steps: 1) scale search: ranging each hyper-parameter in $\{0.5, 0.05, 0.005, 0.0005, 0.00005\}$, 2) fine-grained search: ranging each hyper-parameter in the scale that achieves the best performance in step 1). For example, if $\lambda_1 = 0.05$ achieves the best performance in step 1), we then range λ_1 from 0.01 to 0.09 in step 2).

Table 6: Hyper-parameters (λ_1 , λ_2 and λ_3) settings in our experiments.

METHOD	DATASET	λ_1	λ_2	λ_3	METHOD	DATASET	λ_1	λ_2	λ_3
SELF-MM	CMU-MOSI	0.08	0.05	0.005	MMBT	MVSA-S	0.005	0.5	0.05
	CMU-MOSEI	0.003	0.009	0.08		FOOD101	0.5	0.005	0.5
MMIM	CMU-MOSI	0.08	0.07	0.9	CLMLF	MVSA-S	0.02	0.0004	0.02
	CMU-MOSEI	0.6	0.04	0.0003		HFM	0.5	0.5	0.005
MCL-MCF	CMU-MOSI	0.01	0.3	0.09	MVCN	MVSA-S	0.05	0.05	0.005
	CMU-MOSEI	0.007	0.0003	0.8		HFM	0.5	0.0005	0.1
AtCAF	CMU-MOSI	0.09	0.0005	0.3	URMF	MVSA-S	0.5	0.07	0.09
	CMU-MOSEI	0.02	0.0004	0.007		FOOD101	0.05	0.0005	0.05
MMML	CMU-MOSI	0.005	0.05	0.05	-	-	-	-	-
	CMU-MOSEI	0.05	0.0005	0.05		-	-	-	-

Additionally, we report the sensitive analysis of several methods: Self-MM, MMIM, MCL-MCF and AtCAF on CMU-MOSI dataset. We fix other hyper-parameters to the value in Table 6 when a specific hyper-parameter are ranging in the corresponding scale of magnitude.

Figure 6 shows the variation tendencies of FCD’s prediction performance with the changing of values for the hyper-parameters in Equation (17), *i.e.*, (1) λ_1 : the weight for $\mathcal{L}_{MI}$ which supervises the extraction of unique feature without redundant information, (2) λ_2 : the weight for $\mathcal{L}_{dis}$ which keeps the discriminative information between redundant features and further constrains the modality-specific feature extraction, and (3) λ_3 : the weight for $\mathcal{L}_{SDA}$ which controls the quality of modality-invariant information extraction and synergistic feature alignment. From Figure 6, there seems to be no obvious common trend among different methods for each hyper-parameter. This may be caused by the way how FCD cooperates with each method. Generally, *MAE* shows an opposite trend of change compared to other metrics. For most cases, there exists a significant peak (or valley for *MAE*) of each metric, representing the suitable value. When *MAE* reaches a valley, other metrics reach peaks and vice versa. However, for λ_3 of AtCAF, there exists a peak for *MAE* and valleys for other metrics, which is significantly different with other cases. This may be caused by an inappropriate amplitude of change, where a more fine-grained hyper-parameter search is required. Therefore, FCD needs careful hyper-parameter searching to achieve its greatest potential.

F Computational Overhead Analysis

To further analysis the effectiveness of FCD, we give the following computational overhead analysis to discover training time cost brought by FCD. We conduct this experiment employing Self-MM, MMIM, MCL-MCF, AtCAF, and MMML as the base models to calculate the training overhead per epoch (seconds). The results are shown in Table 7.

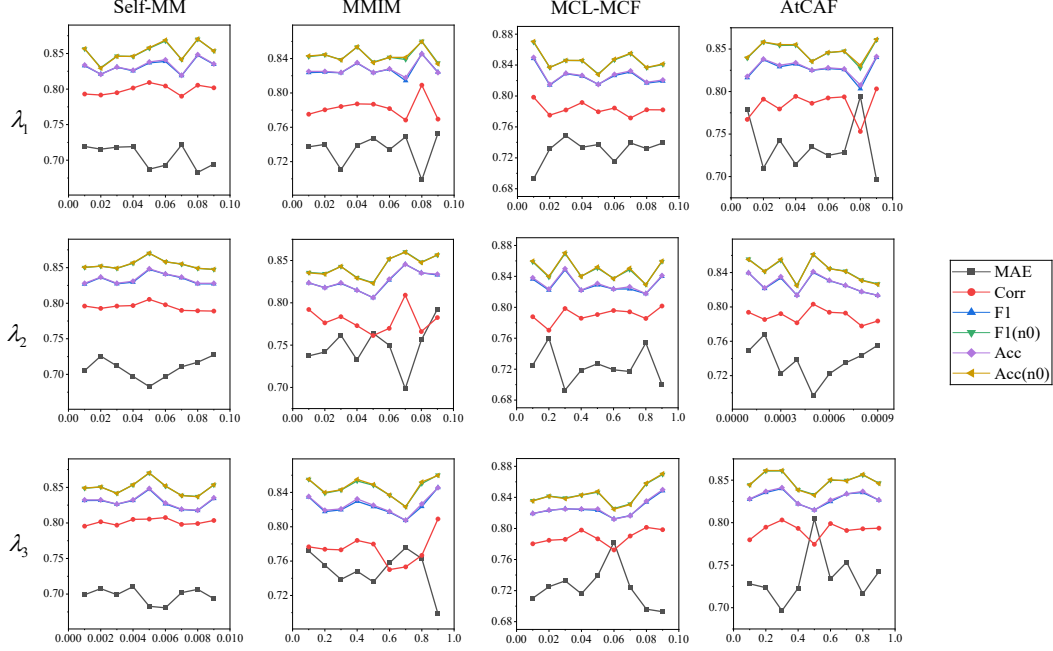


Figure 6: Sensitive analysis of hyper-parameters λ_1 , λ_2 and λ_3 with Self-MM, MMIM, MCL-MCF and AtCAF. The horizontal coordinate axis denotes the hyper-parameter rangings and the vertical coordinate axis denotes the evaluation metrics. "n0" in F1(n0) and Acc(n0) stands for the exclusion of 0 when these two metrics are calculated.

Table 7: The computational time overhead per epoch (seconds) of Self-MM, MMIM, MCL-MCF, AtCAF, and MMML.

METHOD	DATASET	BASE (w/o FCD)	OURS (w/ FCD)
SELF-MM	CMU-MOSI	3.92±0.40	5.12±0.47
	CMU-MOSEI	37.14±3.72	51.13±4.37
MMIM	CMU-MOSI	18.73±1.30	24.98±1.90
	CMU-MOSEI	97.74±4.61	131.57±8.09
MCF-MCL	CMU-MOSI	21.19±1.43	27.45±2.39
	CMU-MOSEI	242.30±7.87	327.76±10.84
ATCAF	CMU-MOSI	17.26±1.69	21.95±1.70
	CMU-MOSEI	200.61±9.15	250.68±9.97
MMML	CMU-MOSI	228.52±1.37	239.12±1.85
	CMU-MOSEI	2558.47±107.74	2570.14±90.25

In Table 7, we report the average value and the standard deviation of duration to train one epoch on CMU-MOSI and CMU-MOSEI datasets when FCD is applied (Ours (w/ FCD)) or not (Base (w/o FCD)). From Table 7, we can find that the training time of each epoch is different between different methods. This may be caused by the original structure and computation complexity of each base method. When FCD is applied, the increment is also different. This may be caused by the various hidden dimensions, the default batch sizes and the number of modalities (*e.g.*, MMML only has two modalities).

G Broader Impacts and Future Works

FCD is a plug-and-play module that can be integrated into any existing intermediate multimodal models to handle the unimodal uncertain noise whilst makes full use of the task-related information.

We believe that FCD can bring more attentions to current multimodal representation learning community about handling both of the task-related features (*i.e.* the synergistic and unique features) and the unimodal uncertainty noise. However, the quality and semantic richness of unimodal feature is not fully explored. In the training phase of Self-MM and MMML, we find that the prediction performance of each unimodal is quite different. Although there have been researches, such as [43; 5], that engage on estimating the reliability of unimodal prediction, it still remains to be excavated when unimodal uncertain noise is removed for better intermediate fusion. In this case, how much task-related information can unimodal features provide should be considered. In the future, we will make our effort toward this situation to overcome the issues that the quality and semantic richness of unimodal features are various.