
Autoformalization with Large Language Models

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Abstract

1 Autoformalization is the process of automatically translating from natural language
2 mathematics to formal specifications and proofs. A successful autoformalization
3 system could advance the fields of formal verification, program synthesis, and
4 artificial intelligence. While the long-term goal of autoformalization seemed
5 elusive for a long time, we show large language models provide new prospects
6 towards this goal. We make the surprising observation that LLMs can correctly
7 translate a significant portion (25.3%) of mathematical competition problems
8 perfectly to formal specifications in Isabelle/HOL. We demonstrate the usefulness
9 of this process by improving a previously introduced neural theorem prover via
10 training on these autoformalized theorems. Our methodology results in a new
11 state-of-the-art result on the MiniF2F theorem proving benchmark, improving the
12 proof rate from 29.6% to 35.2%.

13 1 Introduction

14 *Autoformalization* refers to the task of automatically translating from natural language mathematics
15 to a formal language [45, 41]. The implication of a successful autoformalization tool is huge in
16 both practical and philosophical terms. It would reduce the currently excessive cost of formalization
17 efforts [26], and in the long-term it could connect the various research fields that automate aspects of
18 mathematical reasoning, such as automated theorem proving and computer algebra, to the vast body
19 of mathematical knowledge exclusively written up in natural language. Moreover, autoformalization
20 would be a true testament to machine understanding, grasping both the fuzziness of natural language
21 and the preciseness of formal language.

22 Recent advances in large language models [7, 9] showed promising capabilities of understanding
23 formal languages [8, 31]. However, the existing successes are limited to formal languages where
24 there exists a large body of corpus on the web (e.g., Python language). Formal mathematics data is
25 very scarce. For example, one of the largest formal mathematics libraries, the [Archive of Formal](#)
26 [Proofs](#), is only 180MB in size, that is less than 0.18% of the training data for the large language
27 model Codex [8]. Moreover, unlike in the case of commonly used programming languages, where
28 natural language docstrings are broadly available, there is almost zero aligned data between natural
29 language and formal mathematics. Therefore, it is unclear the recent successes can directly contribute
30 to the development of autoformalization.

31 In this work, we explore the prospects of autoformalization with large language models. To our
32 surprise, we find that large language models already have a decent capability of formalizing natural
33 language mathematics in an interactive theorem prover. See Figure 1 for a perfect autoformalization
34 example. The model not only translates into syntactically correct Isabelle code, but also grasps
35 the non-trivial reasoning in natural language. We randomly pick 150 formalizations and manually
36 evaluate their correctness. Among them, LLMs are capable of producing 38 perfect formalizations!
37 As an application, we further demonstrate that autoformalization can provide useful training data
38 for neural theorem provers. We use autoformalized statements as targets for proof search with a

Case Study 1 Question:

"Prove that there is no function f from the set of non-negative integers into itself such that $f(f(n)) = n + 1987$ for every n ."

Codex Output:

```
theorem
  fixes f :: "nat \ $\rightarrow$  nat"
  assumes "\ $\forall$  n. f (f n) = n + 1987"
  shows False
```

Figure 1: Case study 1: An example of a perfect translation from natural language to Isabelle code.

neural theorem prover for Isabelle/HOL. After fine-tuning our neural theorem prover on the proofs it found, its success rate on the MiniF2F benchmark [51] increases significantly, achieving a new state-of-the-art result of 35.2% theorems proven.

2 Related Work

Early applications of machine learning in theorem proving include the works by Schulz [39] and Urban [42], and later, directly guiding interactive proof assistants using machine learning techniques [14]. The revolution of deep learning then kicked off a new wave of interest in the topic starting with DeepMath [1, 32].

Several approaches have been suggested to address data scarcity: Imitation-free reinforcement learning was used to avoid the need for training on human proofs [30, 5, 14, 48]. Also, hindsight experience replay [2] was used to generate additional training data [4]. Hahn et al. [18], Schmitt et al. [38], Kreber & Hahn [28] and Wu et al. [49] have shown that training on synthetic formulas can be successful for temporal logics and inequalities. Rabe et al. [36] masked out different subexpressions from formal mathematical statements and generated 100 training examples for each source statement. Skip-tree data can also be used to improve the performance of neural theorem provers [21].

Wang et al. [45] explored the use of supervised and unsupervised translation techniques for autoformalization. Supervised translation yielded interesting results, but relied on synthetic (natural-looking) data that was generated by the Mizar theorem prover, while we rely on models trained via self-supervised language modeling, not trained for this particular purpose.

3 Background

Formal Mathematics A few important and complex results of mathematics and computer science have been formalized manually using *interactive theorem provers*, such as the four color theorem [15], the Kepler conjecture [19], the odd-order theorem [16] and the verification of a microkernel [26]. This gives us almost complete certainty about the correctness of proofs, which can be of great value to resolve doubt about the correctness of complicated mathematical proofs or proving certain properties of software used in safety-critical applications, such as aircraft components [27].

These projects relied on interactive theorem provers, such as Isabelle [47], Coq [11], HOL Light [22], and Lean [12], which are essentially programming languages that enable users to enter their statements and proofs in a formal language, and which can then be checked automatically for correctness. Interactive theorem provers offer a limited amount of automation, but projects that formalize complex problems typically span many years of tedious work by specialists. Only in narrow domains like chip design and the verification of drivers in operating systems has the automation of logic made sufficient progress to find commercial applications.

Progress in autoformalization and the automation of proofs might eventually make mathematics a universally available tool and enable a paradigm shift in science and the development of (safety-critical) software. Our interest in formalizing mathematics, however, has an additional aspect. We believe that autoformalization will serve a dual purpose and will not only accelerate the development

of tools for mathematical reasoning, but also provide a means to ground machine learning systems, enabling a positive feedback loop between machine learning and formal systems (cf. [41]).

Large Language Models Our work relies heavily on large language models (LLMs), in particular on PaLM [9] and Codex [8]. The training goal of these models is to predict the next word given some prefix. This allows us to train these models on arbitrary text, which is available in vast quantities. After training the models on hundreds of billions of words (cf. [24]), they are often able to generate high-quality text. We can also give these models an arbitrary prefix (the *prompt*) that they are then supposed to continue, which gives us some control over what they generate. This has been demonstrated with news articles, conversations, summaries, jokes, and poems. LLMs have also been evaluated on natural language word problems on datasets such as GSM8K [10] and MATH [23], and have been shown to make progress on these benchmarks with increasing scale [9].

In-context Learning Large language models have shown a remarkable ability to learn patterns and tasks within the current input (context) that they are given [7]: this is called *in-context learning* or *few-shot learning*. For example, if we prompt a language model with a few pairs of English and matching French sentences, and end with a new English sentence, then the language model is very likely to pick up on the translation task and attempt a translation of the last English sentence. This observation has been used, for example, to achieve strong translation performance without access to large corpora of matching sentence pairs [20].

This allows us to specify the task of autoformalization simply by giving a couple of example formalizations. In Section 4 we will detail how exactly we use in-context learning for autoformalization.

4 Autoformalization for Mathematical Competition Problems

Inspired by the success of LLMs for synthesizing computer code by co-training on both natural language and code on web-scale data, we explore the capabilities of LLMs to turn natural language mathematics into formalized theorems for the interactive theorem prover Isabelle. This can be seen as a machine translation task (cf. [46]) in which the input language is English and output language is formal code used by the interactive proof assistant Isabelle [47].

We first study autoformalization in a constrained setting – formalizing mathematical competition problem statements. This setting has the advantage that most of the required background theory and definition has been formalized in the current libraries of Isabelle, so that formalizations are often possible without introducing additional definitions.

We start assessing LLMs’ abilities to do autoformalization with a case study. We manually pick two interesting natural language mathematical statements, and prompt PaLM models of various scales [9] as well as Codex [8] to translate them into a formal statement in Isabelle. Next, we study a dataset in which we have human ground truth formalizations. The dataset is a subset of the miniF2F [23] dataset consisting of 140 algebra problems and 120 number theory problems. Using human formalizations as the reference, we compute the BLEU scores of the formalizations produced by several LLMs. Lastly, we perform human evaluations on failure cases in autoformalization on 150 problems.

Note that many mathematical competition statements are often of the form in which one asks to find the answer to a certain problem, instead of *proving* a given proposition. However, formal mathematical statements are in the form of propositions, instead of questions. To transform a question into a proposition, we append the final answer after the question:

\$Problem_Statement The final answer is \$Answer.

The format of the prompt we use to do autoformalization is:

Natural language version: \$Natural_Language_Statement.

Translate the natural language version to an Isabelle version:

4.1 Mathematical Competition Datasets

MATH [23] contains in total 12,500 (7,500 training and 5,000 test) middle school and high school mathematical competition problems. Problems are taken from past mathematical competitions, including AMC 10, AMC 12, AIME, and more, and many can be found at [http:](http://)

118 [//aops.com/community/c3158_usa_contests](https://aops.com/community/c3158_usa_contests). The dataset contains seven categories: alge-
119 bra, pre-algebra, intermediate algebra, number_theory, precalculus, probability,
120 geometry. Problem statements are written in LaTeX.

121 **MiniF2F** [51] is a recently introduced benchmark containing 488 mathematical competition state-
122 ments manually formalized by humans in three different formal languages. Its goal is to compare
123 and benchmark methods across different theorem provers for machine learning research. Some of
124 these problems come from the valid and test set of MATH algebra and number_theory, and others
125 come from previous International Mathematical Olympiad competitions or AoPS¹. Note that the
126 Isabelle formalizations of the miniF2F benchmark were committed to the repository during March,
127 2022. According to the public information of the training data, we think it is highly unlikely these
128 formalizations were included in the pre-training corpus.

129 4.2 Case Studies

130 **Experimental setup** For all our experiments, we use the standard greedy decoding (i.e., temperature
131 0, $p = 1$) to obtain the autoformalizations. We randomly select two mathematical statements for
132 constructing the prompt, which we provide in Appendix A.1. That is, no prompt engineering / tuning
133 is performed when constructing the prompt. The natural language problem statements used in the
134 case studies are taken from the miniF2F dataset. In the case studies below, we highlight the output of
135 language models in red to distinguish it from the prompt.

136 **Case Study 1 (Figure 1)** We study the example shown in Figure 1, in which we ask LLMs to
137 autoformalize an International Mathematical Olympiad problem² in natural language. Surprisingly,
138 Codex is able to autoformalize the natural language statement as an Isabelle theorem perfectly, with
139 output given. This is surprising for the following reasons.

140 First of all, the amount of Isabelle code is very scarce on the internet. The entire AFP library, the
141 largest formal library that contains most of Isabelle proofs, is only 180MB in size. Even assuming
142 that all of this data was included in the training of Codex, this makes at most 0.18% of the pretraining
143 data on which Codex was trained. The fact that the model can write syntactically correct Isabelle
144 code at all is already fascinating.

145 Second, there is almost zero aligned data from natural language to Isabelle on the web. While some
146 Isabelle files have comments, they typically only give a very high level description of what the theory
147 being formalized is about. So either LLMs are able to transfer knowledge quite successfully between
148 natural language and formal mathematics, or the task was learned mostly via few-shot learning.

149 Last but not least, the model is capable of understanding and formalizing nontrivial reasoning. First,
150 the model is able to formalize the non-existence statement via proof-by-contradiction. To formalize
151 “there is no function $f...$ ”, it assumes there is such a function, and aims to prove “False”. Second,
152 the model understands what it means by the phrase “to itself”, and correctly infers the domain of
153 function: $f :: \text{"nat"} \rightarrow \text{"nat"}$.

154 On the other hand, PaLM made some syntactic mistakes while getting most of the structure of the
155 proof correctly, with outputs shown in Appendix C.1.

156 **Case Study 2 (Figure 2)** In the next example, we ask LLMs to autoformalize a grade school
157 mathematical word problem. Remarkably, PaLM and Codex are both capable of formalizing the
158 statement perfectly. This is surprising because formalizations of grade school math problems in
159 interactive theorem provers are rare (if they exist at all), as this type of mathematics is not of interest
160 to formal mathematicians. Even more, none of the examples in the prompt (see Appendix A.1) that
161 we provide are of this type. It is hence remarkable that the model is capable of extrapolating to this
162 type of statement, showing a great promise of using LLMs for autoformalization.

163 To study this problem in more depth, we probe PaLM models of various sizes (8B, 64B, 540B) with
164 outputs shown in Appendix C.2, and notice that scale is crucial for the LLMs ability to formalize. We
165 observe that the 8B and 64B models are incapable of formalizing this problem, but the largest 540B
166 model is able to produce a correct formalization.

¹<https://artofproblemsolving.com/>

²A problem from IMO 1987.

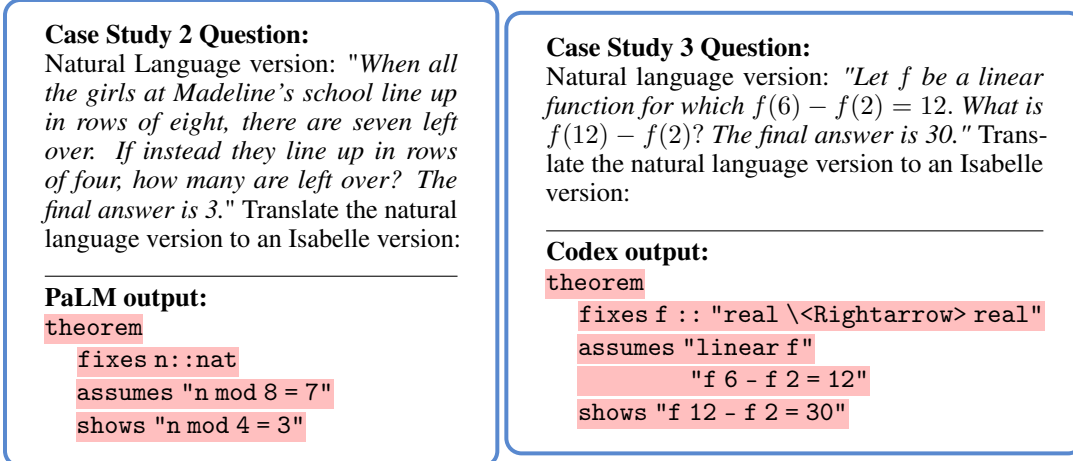


Figure 2: Autoformalizations from natural language to Isabelle code. **Left:** Case study 2 – perfect formalization by PaLM. **Right:** Case study 3 – incorrect formalization by Codex.

Case Study 3 (Figure 2) In our third case study, Codex gives an incorrect formalization in Isabelle. The mathematical statement involves a concept of “linear function”, which the model fails to formalize correctly. Codex assumes this is already a known concept in Isabelle, and made up a name: `linear f`. Can the model learn to formalize such problems if the prompt contains an example that explains the concept of a line? We explore this and give an affirmative answer to the question (see Appendix C.3). Once seeing a tangentially related problem that explains the concept of a “line”, Codex is able to perfectly formalize a “linear function”. This shows the importance of the few shot examples we include, and also how good a few-shot learners these models are!

Has the model memorized these formalizations? Whilst we do not have access to the training set of Codex, we attempted to find any occurrences of the formalizations produced in the case studies on the internet. We Googled them in different variants and inspected the first page of the search results. We tried variants with and without an “Isabelle” prefix, with and without quotation marks and other special characters, and also individual parts of it, such as “Isabelle `n mod 8 = 7`”, but we did not find any occurrences of related statements. We also tested that we are indeed able to find occurrences of Isabelle formalizations on the web with this methodology, using pieces of formalizations picked from several websites, including the Archive of Formal Proofs. Hence, we are confident that the model has not memorized the formalizations it generated.

4.3 BLEU for Model Comparisons

The miniF2F benchmark contains 140 algebra problems and 120 number theory problems from the MATH dataset. For these problems, we have human ground truth formalizations in Isabelle, which gives us an evaluation set with pairs of natural language statements (from MATH) and their formalizations. We use this dataset to quantitatively compare different LLMs.

Given the observation about few shot learning in Case study 3, we decided to add more relevant examples to each subject to improve the quality of autoformalization. For each subject (i.e., algebra and number_theory), we randomly sample 10 problems to construct the few shot prompt. The rest of the problems are used for evaluation (i.e., 130 for algebra and 110 for number_theory). We provide the prompt used in the Appendix B.1 and B.2.

We use PaLM models of varying sizes and Codex to perform the autoformalization, and compute the BLEU scores of the formalizations, shown in Table 1. Confirming our observation in Case study 2, we see a clear trend that scaling improves translation, as the BLEU scores consistently improve when we scale PaLM models from 8B to 540B, for both subjects. In addition, we see that the Codex model is better at autoformalization measured by BLEU, possibly due to the fact that Codex was trained on more formal data than PaLM.

Table 1: BLEU scores between the autoformalized statements and human formalized ground truth.

Models \ Subject	algebra	number_theory
PaLM 8B	31.49	22.10
PaLM 64B	43.13	31.43
PaLM 540B	50.30	36.16
Codex	57.13	43.33

Table 2: Failure case study of 150 problems formalized by Codex.

Failure cases \ Subjects	algebra	number_theory	inter_alg
Perfect translation	13	17	8
Incomplete/ill-formed/unclear prompt	9	3	14
Fail to align definitions or concepts	10	18	18
Inconsistent/missing assumption	8	9	9
Syntactical/type error	7	2	11
Missing definition in Isabelle	0	12	3
Wrong application of functions	6	13	16
Other	6	2	1

4.4 Human Evaluation of Failure cases

To better understand LLMs’ ability to do autoformalization, we manually inspect Codex’s autoformalizations of 150 random problems from the MATH dataset [23]. 50 of the problem statements are sampled from the algebra training set, 50 from number_theory and 50 from intermediate_algebra. For algebra and number_theory, we use their corresponding prompt as in the last section, shown in Appendix B.1 and B.2. For intermediate_algebra, we use the prompt we used for algebra (Appendix B.1). We classify the failure modes of these translations, shown in Table 2.

We see that out of 150 problems, Codex is capable of translating 38 problems perfectly – a success rate of 25.3%. The majority of the failures are due to the misalignment of informal and formal definitions. For example, when seeing the phrase “the greatest possible value”, the LLMs often fail to align it with the function Greatest/Max in Isabelle. Another example is the failure to align the factorial of n (i.e., $n!$) to $fact\ n$ in Isabelle. Other common failure modes include the misapplication of functions (e.g., applying a prefix function in an infix way).

5 Autoformalization for Neural Theorem Proving

To demonstrate the usefulness of the formalized statements, we explore if one can improve neural theorem provers by training the neural models on proofs of automatically translated theorems. In this section, we combine autoformalization with expert iteration algorithms [3], and achieve a new state of the art in miniF2F benchmark.

5.1 Expert Iteration with Autoformalization

The basic idea of expert iteration [3] is to iteratively generate a better dataset using the model, and use the data to improve the model quality. This allows the model to generate an even better quality of the dataset and hence a better model, forming a self-improvement cycle.

In neural theorem proving, one way to get better quality data is to use feedback from the proof checker to run many proof searches (or generate multiple proofs) and check the proof attempts for correctness. Newly found correct proofs can then be used as the new training data to improve the neural prover [6, 34, 35]. The main critical ingredient that is needed is a set of problem statements on which the model can perform proof search to obtain new training data. However, unlike in Polu et al. [35], where one asks humans to manually formalize a set of problems to get formal statements, here we use LLMs to autoformalize the theorems in order to kick off the self-improvement cycle.

Table 3: Proof success rates on miniF2F.

Model	<i>valid</i>	<i>test</i>
PACT [21]	23.9%	24.6%
FMSCL [35]	33.6%	29.6%
Base model (M_0)	28.3%	29.9%
After 1 expert iteration (M_1)	36.1%	34.0%
After 2 expert iterations (M_2)	37.3%	35.2%

More formally, denote a base neural theorem prover as M_0 . Let the set of autoformalized problems be \mathcal{A} . For each iteration $i = 1 \dots N$, we carry out the following procedure: use the language model M_{i-1} with best-first search to prove as many theorems as possible in \mathcal{A} , collect the set of successful proofs S_i , concatenate successful problems from all iterations with the formal mathematics problems to create the set $\mathcal{A}_i = (\bigcup_{j \leq i} S_j) \cup \mathcal{B}$, and fine-tune M_0 on it for exactly one epoch to get a new model M_i . When we take the union of successful proofs from all past iterations, we perform deduplication by problem statements, similar to Polu et al. [35].

5.2 Neural Theorem Provers

To demonstrate the effectiveness of the approach, we start with a recently introduced neural theorem prover for Isabelle, LISA [25]. The LISA agent is fine-tuned on the PISA dataset [25] (extraction and interaction code under a [BSD license](#)), which consists of 2.49 million proof steps from the [Isabelle/HOL library](#) (under a [BSD-style license](#)) and the [Archive of Formal Proofs](#) (under various licenses as described [here](#)). The model is trained with the objective to predict the next token in a proof step, given the proof state and the last proof step. We invoke Sledgehammer with a 30 second timeout when the model generates a step containing any of the keywords `metis`, `meson`, and `smt`.

We use Wang [44]’s implementation (under an [Apache license 2.0](#)) of a GPT-2 [37] style decoder-only transformer [43] model with 700M non-embedding parameters. The model has 24 layers, 24 attention heads, a hidden dimension of 1536, and a vocabulary size of 50400. We pre-train the model on the GitHub + arXiv subsets of The Pile [13] for 200,000 steps, with a context length of 2048 tokens. In pre-training we use a warmup strategy [17], raising the learning rate linearly from 0 to 2×10^{-4} in 3,000 steps. We then use a cosine learning rate scheduler [33] for the rest of the pre-training, with a final learning rate of 1.2×10^{-5} . We use a global batch size of 32 sequences, or 65,536 tokens. For fine-tuning we use the same learning rate schedule, with 10,000 warmup steps, 90,000 annealing steps, maximum learning rate 3×10^{-4} and final learning rate 3×10^{-5} . The global batch size is 144 sequences, or 294,912 tokens. The model’s evaluation loss reaches a minimum after 13,000 steps and we use that checkpoint.

Machine specification For pre-training, fine-tuning, and evaluation, we use a TPUv3 with 8 cores from [Google Cloud Platform](#). The Isabelle process has access to up to 32 CPU cores. We estimate that running all the experiments in this paper requires a total of 780 TPU hours.

5.3 Result

We use Codex with greedy decoding to formalize 3908 mathematical problems in algebra, intermediate algebra, and number theory from the training set of MATH [23], with the same few shot prompts used in Section 4.4. Out of them, 3363 of the autoformalized theorems are syntactically correct. We then perform expert iteration on this dataset.

We start with a neural theorem prover (M_0) as described in Section 5.2. In our first iteration, M_0 proves 782 theorems, with a success rate of 23.3% (out of 3363). This gives us a new set of verified proofs to further train the neural theorem prover. We proceed to fine-tune our neural theorem prover in the fashion described in Section 5.1 to get a new prover (M_1). This process is repeated in the second iteration, giving us 1011 successful proofs from the autoformalized theorems (30.1%). We fine-tuned M_0 again, but on the deduplicated concatenation of problems from PISA and successful proofs found for the autoformalized theorems.

After each stage of fine-tuning, we evaluate the neural theorem prover on miniF2F [51]. The results are shown in Table 3. The base model (M_0) has a success rate of 28.3% and 29.9% on the validation

Question: *An arbitrary product of compact spaces is compact.*

Codex Output:

```
theorem
fixes X :: "'a set set"
assumes "\<forall>x \<in>X. compact x"
shows "compact (\<Prod> x \<in> X. x)"
```

Figure 3: A formalization for an advanced mathematical statement by Codex.

and test fractions of miniF2F respectively. It can be observed that the first expert iteration increases the success rate of the neural prover by 7.8% and 4.1% to 36.1% and 34.0% on the valid and test sets. The second iteration further improves them both by 1.2%, to 37.3% and 35.2%. By doing two expert iterations on the autoformalized theorems, the neural prover achieves a success rate that is 5.6% higher than the previous state-of-the-art.

6 An Outlook on Autoformalizing Advanced Mathematics

So far, we focused on mathematical competition problems, in which we achieve significant results using autoformalization. Not only can LLMs autoformalize non-trivial theorems, the autoformalized theorems can also improve neural prover performance. In this section, we take a peek into more advanced mathematics. We hope to identify some of the limitations of our methods when it comes to autoformalizing mathematics in the wild.

6.1 Autoformalization: from Natural Language to Isabelle

Autoformalization is extremely challenging in the sense that the model needs to (1) bridge the logical gaps left in pen-and-paper proofs, (2) assume the implicit contexts and assumptions, and (3) align informal definitions/concepts to formal ones. This task is further complicated considering the context can change from time to time and the same mathematical object can be formalized in subtly different ways. In this paper, we only deal with autoformalization of theorem statements, where the model is mainly challenged by definition alignments. Compared to competition problems in Section 4.4, advanced mathematical statements assume more context information that additionally obscures the alignment.

Figure 3 shows a typical case where the model fails to align the informal definition to the formal one. Based on the notation used, the model does not correctly distinguish between products of numbers, products of sets, and products of topological spaces. In Isabelle, the correct conclusion for the statement should be *compact_space (product_topology X I)*, where *I* is an index set that ought to have been introduced in the *fixes* and *assumes* sections.

6.2 Informalization: from Isabelle to Natural Language

So far, we explored one direction of translation: from natural language statement to formal statement in Isabelle. The other direction, *informalization*, is also of great importance for two reasons: (1) the informal texts are much easier for humans to comprehend and communicate, and (2) we can align translated informal statements with formal ones to create data, and use the back-translation techniques [40] to potentially boost the translator’s performance further. In this section, we explore Codex’s capability of translating formal Isabelle statement to natural language.

A corpus of 38 formal-language theorems, lemmas, and definitions is selected by an Isabelle expert. These statements are automatically translated to informal mathematics using Codex; to see the prompt we used and the results for all 38 examples, see Appendix B.3 and E.2. We present two examples of informalization in Figure 4. Of the 38 examples, 36 were translated to a reasonably coherent statement, and 29 of these statements (76%) were more-or-less correct, giving a vastly better success rate than the 25% success rate of formalization (Section 4.4). Our main conclusion is that

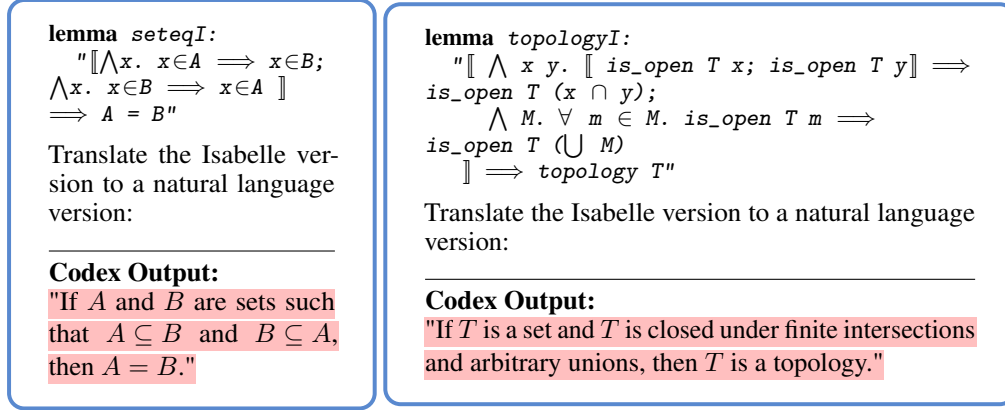


Figure 4: Two perfect translations from Isabelle code to natural language by Codex.

for advanced mathematics, the model is better at informalization than formalization, showing the prospect of backtranslation style algorithms.

Note that the standard is more relaxed here since we assume a human reader will supply the obvious context and correct mistakes when the intended meaning is obvious (intended by the hypothetical human writer of these sentences). To illustrate, an example of a minor “acceptable” error: assuming that “ w, z are in the same connected component of the plane” when, in context, it is clear that w, z should be assumed to be in the same connected component of the complement of a previously specified curve. (The assumption as originally stated is trivial.) For an example of a major error: almost-perfect translation of the Central Limit Theorem that omits the assumption of identical distributions.

7 Discussion

Promise of Autoformalization with LLMs We have seen that automated formalization of informally given natural language statements is generally possible, even with language models not trained for this particular task. Also, automatically formalized statements are useful for training and improving the reasoning capabilities of automated neural provers. Our hope is that improved versions of this methodology will be capable of enabling a positive feedback loop involving formalization and formal reasoning that has the potential of reaching human level capabilities in both respects, as was suggested by [41].

Limitations and future directions We use a static model for the formalization process. For large-scale autoformalization, we will need to formalize larger theories, preferably without fine tuning the model, as training it could be cumbersome and resource consuming. However, in order to utilize the newly added notions, the model would need to keep whole large theories in the current context window, which exceeds those of the current LLMs. This limits our approach to the generation of fairly small pieces of formal mathematics and the automatic formalization of entire theories including their definitions will require new research ideas. One path towards this goal might be the use of continuous training or expert iteration, cycle-consistency-based training [29, 45], or novel uses of in-context learning. To generate larger theories we will also need neural networks that can recall longer sequences (current LLMs are typically limited to a few thousand words). Retrieval-augmented language models, such as the memorizing transformer [50] offer one path to overcome this limitation.

Societal Impact While the potential of creating negative societal impact through formalizations is small, the use of LLMs always comes with risks. For example, for deploying an autoformalization tool using LLMs we would need to consider the inclusivity of variable and lemma names, and of the attribution of scientific ideas.

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Checklist

The checklist follows the references. Please read the checklist guidelines carefully for information on how to answer these questions. For each question, change the default **[TODO]** to **[Yes]**, **[No]**, or **[N/A]**. You are strongly encouraged to include a **justification to your answer**, either by referencing the appropriate section of your paper or providing a brief inline description. For example:

- Did you include the license to the code and datasets? **[Yes]** See Section ??.
- Did you include the license to the code and datasets? **[No]** The code and the data are proprietary.
- Did you include the license to the code and datasets? **[N/A]**

Please do not modify the questions and only use the provided macros for your answers. Note that the Checklist section does not count towards the page limit. In your paper, please delete this instructions block and only keep the Checklist section heading above along with the questions/answers below.

1. For all authors...

- (a) Do the main claims made in the abstract and introduction accurately reflect the paper’s contributions and scope? **[Yes]**
- (b) Did you describe the limitations of your work? **[Yes]** See discussion section.
- (c) Did you discuss any potential negative societal impacts of your work? **[Yes]** See discussion section.
- (d) Have you read the ethics review guidelines and ensured that your paper conforms to them? **[Yes]**

2. If you are including theoretical results...

- (a) Did you state the full set of assumptions of all theoretical results? **[N/A]**
- (b) Did you include complete proofs of all theoretical results? **[N/A]**

3. If you ran experiments...

- (a) Did you include the code, data, and instructions needed to reproduce the main experimental results (either in the supplemental material or as a URL)? **[Yes]**
- (b) Did you specify all the training details (e.g., data splits, hyperparameters, how they were chosen)? **[Yes]**
- (c) Did you report error bars (e.g., with respect to the random seed after running experiments multiple times)? **[No]** It is expensive to run multiple times, and we believe the results are significant.
- (d) Did you include the total amount of compute and the type of resources used (e.g., type of GPUs, internal cluster, or cloud provider)? **[Yes]** See Section 5.2.

4. If you are using existing assets (e.g., code, data, models) or curating/releasing new assets...

- (a) If your work uses existing assets, did you cite the creators? **[Yes]** We cited the creators of the assets when we first mentioned them.
- (b) Did you mention the license of the assets? **[Yes]** We included the links to the licenses of the assets when we first mentioned them in Section 5.
- (c) Did you include any new assets either in the supplemental material or as a URL? **[Yes]** We include the code we used to train the models in the supplemental material. The data we used are all under open-source licenses.
- (d) Did you discuss whether and how consent was obtained from people whose data you’re using/curating? **[Yes]** The assets we used mostly have open-sourced licenses as mentioned previously.
- (e) Did you discuss whether the data you are using/curating contains personally identifiable information or offensive content? **[No]** The data we used are mathematical proofs so we think it is apparent that they do not contain personally identifiable information or any offensive content.

5. If you used crowdsourcing or conducted research with human subjects...

- 610 (a) Did you include the full text of instructions given to participants and screenshots, if
611 applicable? [N/A]
- 612 (b) Did you describe any potential participant risks, with links to Institutional Review
613 Board (IRB) approvals, if applicable? [N/A]
- 614 (c) Did you include the estimated hourly wage paid to participants and the total amount
615 spent on participant compensation? [N/A]

Appendix

A Prompts

A.1 Prompt Used in the Case Studies in Section 4.2:

Natural language version: "Let $z = \frac{1+i}{\sqrt{2}}$, find $(\sum_{i=1}^1 2(z^{i^2})) \cdot (\sum_{i=1}^1 2(\frac{1}{z^{i^2}}))$. The final answer is 36."

Translate the natural language version to an Isabelle version:

```
theorem:
  fixes z::complex
  assumes h0: "z = (Complex (1/sqrt 2) (1/sqrt 2))"
  shows "(\\<Sum>k::nat=1..12. (z^(k^2)))
    * (\\<Sum> k::nat=1..12. 1/(z^(k^2))) =36"
```

Natural language version: "Determine the value of ab if $\log_8 a + \log_4 b^2 = 5$ and $\log_8 b + \log_4 a^2 = 7$. The final answer is 512". Translate the natural language version to an Isabelle version:

```
theorem:
  fixes a b ::real
  assumes "(ln a) / (ln 8) + (ln (b^2)) / (ln 4) = 5"
    "(ln b) / (ln 8) + (ln (a^2)) / (ln 4) = 7"
  shows "a * b = 512"
```

620 B Few-shot Prompts

621 B.1 Prompt used to formalize algebra problems

Natural language version: *"Simplify $(\frac{4}{x})^{-1} \left(\frac{3x^3}{x}\right)^2 \left(\frac{1}{2x}\right)^{-3}$. The final answer is $18x^8$ ".*
 Translate the natural language version to an Isabelle version:

```
theorem
  fixes x :: real
  assumes h0 : "x \<noteq> 0"
  shows "1/(4/x) * ((3*x^3)/x)^2 * (1/(1 / (2 * x)))^3 = 18 * x^8"
```

Natural language version: *"For integers n , let*

$$f(n) = \begin{cases} n^2 & \text{if } n \text{ is odd,} \\ n^2 - 4n - 1 & \text{if } n \text{ is even.} \end{cases}$$

Find $f(f(f(f(f(4)))))$. The final answer is 1". Translate the natural language version to an Isabelle version:

```
theorem
  fixes f :: "int \<Rightarrow> int"
  assumes "\<forall>n. odd n \<longrightarrow> f n = n^2"
    and "\<forall> n. even n \<longrightarrow> f n = n^2 - 4*n -1"
  shows "f 4 = -1"
```

Natural language version: *"The volume of a cone is given by the formula $V = \frac{1}{3}Bh$, where B is the area of the base and h is the height. The area of the base of a cone is 30 square units, and its height is 6.5 units. What is the number of cubic units in its volume? The final answer is 65".* Translate the natural language version to an Isabelle version:

```
theorem
  fixes b h v :: real
  assumes "0 < b \<and> 0 < h \<and> 0 < v"
    and "v = 1 / 3 * (b * h)"
    and "b = 30"
    and "h = 13 / 2"
  shows "v = 65"
```

Natural language version: *"If $3a + b + c = -3$, $a + 3b + c = 9$, $a + b + 3c = 19$, then find abc . The final answer is -56".*

Translate the natural language version to an Isabelle version:

```
theorem
  fixes a b c :: real
  assumes "3 * a + b + c = -3"
    and "a + 3 * b + c = 9"
    and "a + b + 3 * c = 19"
  shows "a * b * c = -56"
```

Natural language version: "If $f(x) = 5x - 12$, find a value for x so that $f^{-1}(x) = f(x + 1)$. The final answer is $\frac{47}{24}$ ". Translate the natural language version to an Isabelle version:

```
theorem
  fixes x :: real and \<sigma>::"real \<Rightarrow> real"
  assumes "bij \<sigma>"
    and \<sigma>:"\<forall> x. \<sigma> x = 5 * x - 12"
    and "\<sigma> (x + 1) = (inv \<sigma>) x"
  shows "x = 47 / 24"
```

Natural language version: "What is the x -coordinate for the x -intercept of the line containing the points $(7, 4)$ and $(6, 3)$? The final answer is 3". Translate the natural language version to an Isabelle version:

```
theorem
  fixes a b :: real
  and f :: "real \<Rightarrow> real"
  assumes h0 : "\<And>x. f x = a * x + b"
    and h1 : "f 7 = 4"
    and h2 : "f 6 = 3"
  shows "f 3 = 0"
```

Natural language version: "Given $2^a = 32$ and $a^b = 125$ find b^a . The final answer is 243". Translate the natural language version to an Isabelle version:

```
theorem
  fixes a b :: real
  assumes "2 powr a = 32"
    and "a powr b = 125"
  shows "b powr a = 243"
```

Natural language version: "Let

$$f(x) = \begin{cases} x^2 + 9 & \text{if } x < -5, \\ 3x - 8 & \text{if } x \geq -5. \end{cases}$$

If $f(x) = 10$, find the sum of all possible values of x . The final answer is 6". Translate the natural language version to an Isabelle version:

```
theorem
  fixes f :: "real \<Rightarrow> real"
  assumes "\<forall> x < -5. f x = x^2 + 9"
    and "\<forall> x \<ge> -5. f x = 3 * x - 8"
  shows "(\<Sum> k \<in> (f -> {10}). k) = 6"
```

Natural language version: "Simplify $(9 - 4i) - (-3 - 4i)$. The final answer is 12". Translate the natural language version to an Isabelle version:

```
theorem
  fixes q e :: complex
  assumes h0 : "q = Complex (Re 9) (Im (-4))"
    and h1 : "e = Complex (Re (-3)) (Im (-4))"
  shows "q - e = 12"
```

Natural language version: "What is the minimum possible value for y in the equation $y = x^2 - 6x + 13$? The final answer is 4".

Translate the natural language version to an Isabelle version:

```
theorem
  fixes x y :: real
  assumes h0 : "y = x^2 - 6 * x + 13"
  shows "4 \<le> y"
```

Natural language version: "If n is a positive integer such that $2n$ has 28 positive divisors and $3n$ has 30 positive divisors, then how many positive divisors does $6n$ have? The final answer is 35". Translate the natural language version to an Isabelle version:

```
theorem
  fixes n :: nat
  assumes "n>0"
    and "card ({k. k dvd (2*n)}) = 28"
    and "card ({k. k dvd (3*n)}) = 30"
  shows "card ({k. k dvd (6*n)}) = 35"
```

Natural language version: "Let n be the number of integers m in the range $1 \leq m \leq 8$ such that $\gcd(m, 8) = 1$. What is the remainder when 3^n is divided by 8? The final answer is 1". Translate the natural language version to an Isabelle version:

```
theorem
  fixes n :: nat
  assumes "n = card {k::nat. gcd k 8 = 1 \<and> 1<le>k \<and> k < 8}"
  shows "(3^n) mod 8 = (1::nat)"
```

Natural language version: "What is the remainder when $1 + 2 + 3 + 4 + \dots + 9 + 10$ is divided by 9? The final answer is 1".

Translate the natural language version to an Isabelle version:

```
theorem
  "(\<Sum> k< 11. k) mod 9 = (1::nat)"
```

Natural language version: "Cards are numbered from 1 to 100. One card is removed and the values on the other 99 are added. The resulting sum is a multiple of 77. What number was on the card that was removed? The final answer is 45".

Translate the natural language version to an Isabelle version:

```
theorem
  fixes x :: nat
  assumes h0 : "1 \<le> x \<and> x \<le> 100"
    and h1 : "77 dvd ((\<Sum>k::nat=0..100. k)-x)"
  shows "x=45"
```

Natural language version: "Find $9^{-1} \pmod{100}$, as a residue modulo 100. (Give an answer between 0 and 99, inclusive.) The final answer is 89 $\pmod{100}$ ".

Translate the natural language version to an Isabelle version:

```
theorem
  fixes x::nat
  assumes "x < 100"
    and "x*9 mod 100 = 1"
  shows "x = 89"
```


Natural language version: "Suppose m is a two-digit positive integer such that $6^{-1} \pmod{m}$ exists and $6^{-1} \equiv 6^2 \pmod{m}$. What is m ? The final answer is 43".

Translate the natural language version to an Isabelle version:

```
theorem
  fixes m x :: nat
  assumes h0 : "10 \<le> m"
    and h1 : "m \<le> 99"
    and h2 : "(6 * x) mod m = 1"
    and h3 : "(x - 6^2) mod m = 0"
  shows "m = 43"
```

Natural language version: "Find $24^{-1} \pmod{11^2}$. That is, find the residue b for which $24b \equiv 1 \pmod{11^2}$. Express your answer as an integer from 0 to $11^2 - 1$, inclusive. The final answer is 116".

Translate the natural language version to an Isabelle version:

```
theorem
  fixes b::int
  assumes "\<forall>b::int. 0\<le>b \<and> b\<le>11^2 \<and> [b * 24 = 1]
    (mod (11^2))"
  shows "b = 116"
```

Natural language version: "Given that $p \geq 7$ is a prime number, evaluate

$$2^{-1} \cdot 2^{-1} + 2^{-1} \cdot 3^{-1} + 3^{-1} \cdot 4^{-1} + \cdots + (p-2)^{-1} \cdot (p-1)^{-1} \pmod{p}.$$

The final answer is $2 \pmod{p}$ ".

Translate the natural language version to an Isabelle version:

```
theorem
  fixes p :: nat
  assumes "prime p"
    and "7 \<le> p"
  shows "(\<Sum> k \<in> {1..<p-1>}. (inv_mod k p) * (inv_mod (k+1) p)) = 2"
```

Natural language version: "What is the remainder when $2000 + 2001 + 2002 + 2003 + 2004 + 2005 + 2006$ is divided by 7? The final answer is 0". Translate the natural language version to an Isabelle version:

```
theorem
  "(2000 + 2001 + 2002 + 2003 + 2004 + 2005 + 2006) mod 7 = (0::nat)"
```

Natural language version: "One morning each member of Angela's family drank an 8-ounce mixture of coffee with milk. The amounts of coffee and milk varied from cup to cup, but were never zero. Angela drank a quarter of the total amount of milk and a sixth of the total amount of coffee. How many people are in the family? The final answer is 5". Translate the natural language version to an Isabelle version:

```
theorem
  fixes x y n :: nat
  assumes "x / 4 + y / 6 = (x + y) / n"
    and "n\<noteq>0"
    "x\<noteq>0" "y\<noteq>0"
  shows "n = 5"
```

627 B.3 Prompt used for informalization

Isabelle version:

```
theorem
  fixes z::complex
  assumes h0: "z = (Complex (1/sqrt 2) (1/sqrt 2))"
  shows "(\\<Sum>k::nat=1..12. (z^(k^2)))
    * (\\<Sum> k::nat=1..12. 1/(z^(k^2))) =36"
```

Translate the Isabelle version to a natural language version: "Let $z = \frac{1+i}{\sqrt{2}}$, find $(\sum_{i=1}^1 2(z^{i^2})) \cdot (\sum_{i=1}^1 2(\frac{1}{z^{i^2}}))$. The final answer is 36."

Isabelle version:

```
theorem
  fixes a b ::real
  assumes "(ln a) / (ln 8) + (ln (b^2)) / (ln 4) = 5"
    "(ln b) / (ln 8) + (ln (a^2)) / (ln 4) = 7"
  shows "a * b = 512"
```

Translate the Isabelle version to a natural language version: "Determine the value of ab if $\log_8 a + \log_4 b^2 = 5$ and $\log_8 b + \log_4 a^2 = 7$. The final answer is 512".

628

629 C More on case Studies

630 C.1 Case Study 1

631 Here we show the output given by PaLM model 540B. The model got the most structure correctly,
632 but made some syntactical errors.

Question:

Natural language version: "Prove that there is no function f from the set of non-negative integers into itself such that $f(f(n)) = n + 1987$ for every n ." Translate the natural language version to an Isabelle version:

PaLM 540B Output:

```
theorem
  fixes f :: "nat -> nat"
  assumes "forall n::nat. f(f(n)) = n + 1987"
  shows "false"
```

633

634 **C.2 Case Study 2**

635 We show below the output given by PaLM models of different sizes.

Question:

Natural Language version: *"When all the girls at Madeline's school line up in rows of eight, there are seven left over. If instead they line up in rows of four, how many are left over? The final answer is 3."*

Translate the natural language version to an Isabelle version:

PaLM 540B output:

```
theorem
  fixes n::nat
  assumes "n mod 8 = 7"
  shows "n mod 4 = 3"
```

636

Question:

Natural Language version: *"When all the girls at Madeline's school line up in rows of eight, there are seven left over. If instead they line up in rows of four, how many are left over? The final answer is 3."*

Translate the natural language version to an Isabelle version:

PaLM 64B output:

```
theorem
  fixes girls :: nat
  assumes "(girls / 8) + 7 = girls"
  shows "girls = 3"
```

637

Question:

Natural Language version: *"When all the girls at Madeline's school line up in rows of eight, there are seven left over. If instead they line up in rows of four, how many are left over? The final answer is 3."*

Translate the natural language version to an Isabelle version:

PaLM 8B output:

```
theorem
  fixes a b ::real
  assumes "(ln a) / (ln 8) + (ln (b^2)) / (ln 4) = 7"
  "(ln b) / (ln 8) + (ln (a^2)) / (ln 4) = 3"
  shows "a * b = 3"
```

638

639 C.3 Case Study 3

640 If we include the following example in the prompt in addition to the two examples shown in
641 Appendix B.3:

Natural language version: "What is the x -coordinate for the x -intercept of the line containing the points $(7, 4)$ and $(6, 3)$? The final answer is 3". Translate the natural language version to an Isabelle version:

```
theorem
  fixes a b :: real
    and f :: "real \ $\rightarrow$  real"
  assumes h0 : "\ $\wedge$ x. f x = a * x + b"
    and h1 : "f 7 = 4"
    and h2 : "f 6 = 3"
  shows "f 3 = 0"
```

642

643 Then Codex was able to formalize the problem perfectly:

Question:

Natural language version: "Let f be a linear function for which $f(6) - f(2) = 12$. What is $f(12) - f(2)$? The final answer is 30."

Translate the natural language version to an Isabelle version:

Codex Output:

```
theorem
  fixes a b :: real
    and f :: "real \ $\rightarrow$  real"
  assumes h0 : "\ $\wedge$ x. f x = a * x + b"
    and h1 : "f 6 - f 2 = 12"
  shows "f 12 - f 2 = 30"
```

644

645 Note that this additional example in the prompt is only tangentially related. The phrase "linear
646 function" is not even mentioned. Instead, it shows an example how to formalize a *line*. But that is
647 sufficient for the model to understand how to formalize a "linear function".

648 D More Examples on Mathematical Competition Problems

649 D.1 Perfect Translations

Question:

Natural language version: "At what point does the line containing the points $(1, 7)$ and $(3, 11)$ intersect the y -axis? Express your answer as an ordered pair. The final answer is $(0, 5)$ ".

Translate the natural language version to an Isabelle version:

Codex Output:

```
theorem
  fixes a b :: real
    and f :: "real \ $\rightarrow$  real"
  assumes h0 : "\ $\wedge$ x. f x = a * x + b"
    and h1 : "f 1 = 7"
    and h2 : "f 3 = 11"
  shows "f 0 = 5"
```

650

Question:

Natural language version: "A map of the town that Annie, Barbara, and Charlie live in can be represented by the Cartesian plane. Annie is located at $(6, -20)$ and Barbara is located at $(1, 14)$. They agree to meet at the closest point that is equidistant from their current locations and walk upwards together to get to Charlie's location at $(\frac{7}{2}, 2)$. How many units upward do Annie and Barbara walk together to get to Charlie? The final answer is 5." Translate the natural language version to an Isabelle version:

Codex Output:

```
theorem
  fixes a b c :: "real \ real"
  assumes h0 : "a = (6, -20)"
    and h1 : "b = (1, 14)"
    and h2 : "c = (7 / 2, 2)"
  shows "dist a c + dist b c = 5"
```

651

Question:

Natural language version: "Let x be a positive integer, and define the integers $n = x^2 + 2x + 17$ and $d = 2x + 5$. When dividing n by d , the quotient is x , and the remainder is 7. Find x . The final answer is 2".

Codex Output:

```
theorem
  fixes x n d :: int
  assumes h0 : "x > 0"
    and h1 : "n = x^2 + 2 * x + 17"
    and h2 : "d = 2 * x + 5"
    and h3 : "n div d = x"
    and h4 : "n mod d = 7"
  shows "x = 2"
```

652

Question:

Natural language version: "A line segment begins at $(1, 3)$. It is 5 units long and ends at the point $(4, x)$ and $x > 0$. What is the value of x ? The final answer is 7".

Codex Output:

```
theorem
  fixes x :: real
  assumes h0 : "x > 0"
    and h1 : "5 = sqrt ((4 - 1)^2 + (x - 3)^2)"
  shows "x = 7"
```

653

Natural language version: "A line segment begins at $(1, 3)$. It is 5 units long and ends at the point $(4, x)$ and $x > 0$. What is the value of x ? The final answer is 7".

Codex Output:

```
theorem
  fixes x :: real
  assumes h0 : "x > 0"
    and h1 : "5 = sqrt ((4 - 1)^2 + (x - 3)^2)"
  shows "x = 7"
```

654

Question:

Natural language version: "Let $f(x) = x^2 - 2x$. How many distinct real numbers c satisfy $f(f(f(f(c)))) = 3$? The final answer is 9".

Codex Output:

```
theorem
  fixes f :: "real \ $\rightarrow$  real"
  assumes h0 : "\forall x. f x = x^2 - 2 * x"
  shows "card {c. f (f (f (f c))) = 3} = 9"
```

655

Question:

Natural language version: "Find the solutions to $z^4 = -4$. Enter the solutions, separated by commas. The final answer is $1 + i, 1 - i, -1 + i, -1 - i$ ".

Codex Output:

```
theorem
  fixes z :: complex
  assumes "z^4 = -4"
  shows "z = 1 + \ $i$  \ $\vee$  z = 1 - \ $i$  \ $\vee$  z = -1 + \ $i$  \ $\vee$  z = -1 - \ $i$ "
```

656

Question:

Natural language version: "Let z be a complex number satisfying $z^2 = 4z - 19 + 8i$. Given that $|z|$ is an integer, find z . The final answer is $3 + 4i$ ".

Codex Output:

```
theorem
  fixes z :: complex
  assumes h0 : "z^2 = 4 * z - 19 + 8 * \ $i$ "
    and h1 : "norm z \ $\in$  \ $\mathbb{Z}$ "
  shows "z = 3 + 4 * \ $i$ "
```

657

Question:

Natural language version: "The sequence (a_n) satisfies

$$a_1 + a_2 + a_3 + \cdots + a_n = n^2 a_n$$

for all $n \geq 2$. If $a_{63} = 1$, find a_1 . The final answer is 2016".

Codex Output:

```
theorem
  fixes a :: "nat \ $\rightarrow$  real"
  assumes h0 : "\forall n \ge 2. (\sum k = 1..n. a k) = n^2 * a n"
    and h1 : "a 63 = 1"
  shows "a 1 = 2016"
```

658

Question:

Natural language version: "Find the units digit of the following within the indicated number base: $52_7 + 62_7$ The final answer is 4".

Codex Output:

```
theorem
  fixes x y :: nat
  assumes "x < 7"
    and "y < 7"
  shows "((x*7+2) + (y*7+2)) mod 7 = 4"
```

659

Question:

Natural language version: "How many factors of 8000 are perfect squares? The final answer is 8".

Codex Output:

```
theorem
  fixes n :: nat
  assumes "n = card {k::nat. k^2 dvd 8000}"
  shows "n = 8"
```

660

Question:

Natural language version: "Solve the congruence $11n \equiv 7 \pmod{43}$, as a residue modulo 43. (Give an answer between 0 and 42.) The final answer is 28".

Codex Output:

```
theorem
  fixes n :: nat
  assumes "n < 43"
    and "(11 * n) mod 43 = 7"
  shows "n = 28"
```

661

Question:

Natural language version: "What is the 100th digit after the decimal point of the decimal representation of $1/7$? The final answer is 8".

Codex Output:

```
theorem
  fixes x :: nat
  assumes "x = (1/7) * 10^100"
  shows "x mod 10 = 8"
```

662

Question:

Natural language version: "Two positive integers m and n are chosen such that m is the smallest positive integer with only two positive divisors and n is the largest integer less than 100 with exactly three positive divisors. What is $m + n$? The final answer is 51".

Codex Output:

```
theorem
  fixes m n :: nat
  assumes "m = (LEAST k. card {d. d dvd k \<and> d > 0} = 2)"
    and "n = (GREATEST k. card {d. d dvd k \<and> d > 0} = 3 \<and> k < 100)"
  shows "m + n = 51"
```

663

664 D.2 Incorrect Translations

665 In this section, we present failure cases for each of the categories in Table 2 in Section. 4.4.

Error category: incomplete/ill-formed/unclear prompt

Question:

Natural language version: "Find the largest value of t such that

$$\frac{13t^2 - 34t + 12}{3t - 2} + 5t = 6t - 1.$$

The final answer is $\frac{5}{2}$.

Codex Output:

```
theorem
  fixes t :: real
  assumes h0 : "13 * t^2 - 34 * t + 12 = 3 * t - 2"
    and h1 : "5 * t = 6 * t - 1"
  shows "t = 5 / 2"
```

666

Error category: fail to align definitions or concepts

Question:

Natural language version: "What is the smallest four-digit positive integer that is divisible by 47? The final answer is 1034".

Codex Output:

```
theorem
  fixes x :: nat
  assumes "x > 999"
    and "x < 10000"
    and "47 dvd x"
  shows "x = 1034"
```

667

668 Here, the translator correctly captured the meaning of "four-digit positive integer" (i.e., " $x > 999$ "
669 and " $x < 10000$ ") and that of "divisible by 47" (i.e., " $47 \text{ dvd } x$ "). However, it fails to align the
670 "smallest" concept to the one in Isabelle.

Error category: inconsistent/missing assumption

Question:

Natural language version: "Jack walked up a hill at a speed of $(x^2 - 11x - 22)$ miles per hour. Meanwhile, Jill walked a total distance of $(x^2 - 3x - 54)$ miles in $(x + 6)$ hours. If Jack and Jill walked at the same speed, what is that speed, in miles per hour? The final answer is 4".

Codex Output:

```
theorem
  fixes x :: real
  assumes h0 : "x^2 - 11 * x - 22 = x^2 - 3 * x - 54"
  shows "x = 4"
```

671

672 Here, the translator missed the " $(x + 6)$ " part in the natural language—it should be translated to " x^2
673 $- 11 * x - 22 = (x^2 - 3 * x - 54) / (x + 6)$ " instead. Also, the conclusion is incorrect either: it
674 should be " $x^2 - 11 * x - 22 = 4$ " or " $(x^2 - 3 * x - 54) / (x + 6) = 4$ ".

Error category: syntactical/type error)

Question:

Natural language version: "Find

$$\sum_{N=1}^{1024} \lfloor \log_2 N \rfloor.$$

The final answer is 8204".

Codex Output:

```
theorem
  fixes N :: nat
  shows "(\<Sum> N = 1..1024. nat \<lfloor>log 2 N\<rfloor>) = 8204"
```

675

676 In the current version, N will be inferred as a real number, so that the interval "1..1024" will be a
677 real interval from 1 to 1024. This is incorrect, and sum over such infinite interval will result in 0 (i.e.,
678 "(\<Sum> N = 1..1024. nat \<lfloor>log 2 N\<rfloor>)= 0"). The correct version requires
679 an explicit type conversion: "(\<Sum> N = 1..1024. \<lfloor>log 2 (of_int N)\<rfloor>)=
680 8204".

Error category: missing definition in Isabelle

Question:

Natural language version: "The product of two positive three-digit palindromes is 436,995.
What is their sum? The final answer is 1332".

Codex Output:

```
theorem
  fixes x y :: nat
  assumes "100 \<le> x \<and> x \<le> 999"
    and "100 \<le> y \<and> y \<le> 999"
    and "x * y = 436995"
  shows "x + y = 1332"
```

681

682 The only missing part in this example is the definition of palindromes. It appears that the translator
683 does not know what a palindrome is so that it chooses to drop it from the assumptions.

Error category: wrong application of functions

Question:

Natural language version: "If the operation Z is defined as $aZb = b + 10a - a^2$, what is the
value of $2Z6$? The final answer is 22".

Codex Output:

```
theorem
  fixes a b :: real
  assumes h0 : "a Z b = b + 10 * a - a^2"
  shows "2 Z 6 = 22"
```

684

685 The function Z should be applied in a prefix way in Isabelle. Also, the translator missed the "for all"
686 quantifier in the assumption. The correct assumption should be "\<forall> a b. Z a b = b + 10
687 * a - a^2".

Error category: other

Question:

Natural language version: "Simplify: $|-3^2 + 4|$ The final answer is 5".

Codex Output:

```
theorem
  fixes x :: real
  assumes h0 : "x = - 3 ^2 + 4"
  shows "abs x = 5"
```

688

689 Here, a parenthesis should be placed around 3^2 (i.e., " $x = - (3^2) + 4$ "). This is a subtle issue to
690 operator precedence in Isabelle.

Error category: other

Question:

Natural language version: "How many numbers from 1 to 150 are not perfect squares or perfect cubes? The final answer is 135".

Codex Output:

```
theorem
  fixes n :: nat
  assumes "n \<1e> 150"
  shows "card {k::nat. k \<1e> n \<and> \<not> (\<exists> m. m^2 = k \<or>
    m^3 = k) } = 135"
```

691

692 The translator somehow introduces an unnecessary variable n and assumed " $n \leq 150$ ". The
693 correct translation should have n replaced with 150 in the conclusion: " $\text{card } \{k::\text{nat. } k \leq$
694 $150 \text{ \&and\> \<not\> (\exists m. } m^2 = k \text{ \&or\> } m^3 = k)\} = 135$ ".

695 E More Examples on Advanced Mathematics

696 E.1 Formalization

Question:

Natural language version: "Let f be a continuous function from a compact convex set S to itself. Then there exists $x \in S$ such that $f(x) = x$." Translate the natural language version to an Isabelle version:

Codex Output:

```
theorem
  fixes f :: "'a::real_normed_vector \ $\rightarrow$  'a"
  assumes "continuous_on S f"
        "compact S"
        "convex S"
  shows "\exists x \in S. f x = x"
```

697

698 Here, we asked the model to formalize the statement of the famous Brouwer's fixed-point theorem.
699 The model made two mistakes. The first one is that the model erroneously assume the function is on a
700 real normed vector space rather than a euclidean space or a banach space. This is quite understandable
701 as we left this information in the source. The second and more critical error is that the absence of the
702 assumption of f mapping from S to itself (i.e., $f(S) \subseteq S$ or $f(S) = S$).

Question: Let γ be a closed path in the complex plane, and z be a point not on γ . Then the winding number of γ around z is an integer.

Codex Output:

```
theorem
  fixes \gamma :: "real \ $\rightarrow$  complex" and z :: complex
  assumes "continuous_on {0..1} \gamma"
        "\gamma 0 = \gamma 1"
        "z \notin \gamma ` {0..1}"
  shows "winding_number \gamma z \in \int"
```

703

704 Here, the model has made a perfect translation—not only the model correctly infers that a path in the
705 complex plane should be a function from the real interval $[0, 1]$ to complex, it also manages to align a
706 path being closed to the assumption $\gamma 0 = \gamma 1$.

707 **E.2 Informalization**

708 The following lemma statements are from the standard library of Isabelle (i.e., HOL-
709 Complex_Analysis and HOL-Probability).

```

proposition openin_clauses:
  fixes U :: "'a topology"
  shows
    "openin U {}"
    " $\bigwedge S T. \text{openin } U S \implies \text{openin } U T \implies \text{openin } U (S \cap T)$ "
    " $\bigwedge K. (\forall S \in K. \text{openin } U S) \implies \text{openin } U (\bigcup K)$ "

```

Translate the Isabelle version to a natural language version:

Codex Output:

Let U be a topology, then U is open if and only if it satisfies the following conditions:

1. U is open.
2. If S and T are open, then $S \cap T$ is open.
3. If K is a collection of open sets, then $\bigcup K$ is open.

710

```

lemma openin_Int[intro]:
  "openin U S  $\implies$  openin U T
   $\implies$  openin U (S  $\cap$  T)"

```

Translate the Isabelle version to a natural language version:

Codex Output:

"Let U be a topology, then \emptyset is open in U , if S and T are open in U , then $S \cap T$ is open in U , if K is a collection of sets, and each set in K is open in U , then $\bigcup K$ is open in U ".

711

```

lemma openin_Int[intro]:
  "openin U S  $\implies$  openin U T
   $\implies$  openin U (S  $\cap$  T)"

```

Translate the Isabelle version to a natural language version:

Codex Output:

"If S and T are open in U , then $S \cap T$ is open in U ".

712

```

lemma openin_Inter [intro]:
  assumes "finite  $\mathcal{F}$ " "  $\mathcal{F} \neq \{\}$ " " $\bigwedge X. X \in \mathcal{F} \implies \text{openin } T X$ "
  shows "openin T ( $\bigcap \mathcal{F}$ )"

```

Translate the Isabelle version to a natural language version:

Codex Output:

"If T is a topological space, and \mathcal{F} is a finite non-empty collection of open subsets of T , then $\bigcap \mathcal{F}$ is open in T ".

713

lemma *openin_subtopology*:
 "openin (subtopology U V) S \longleftrightarrow ($\exists T$. openin U T \wedge S = T \cap V)"

Translate the Isabelle version to a natural language version:

Codex Output:

"S is open in the subtopology of U induced by V if and only if there exists an open set T in U such that S = T \cap V".

714

lemma *closedin_subtopology_Un*:
 "[closedin (subtopology X T) S; closedin (subtopology X U) S]
 \implies closedin (subtopology X (T \cup U)) S"

Translate the Isabelle version to a natural language version:

Codex Output:

"If S is closed in T and S is closed in U, then S is closed in T \cup U".

715

lemma *connected_closedin*:
 "connected S \longleftrightarrow
 (E1 E2.
 closedin (top_of_set S) E1 \wedge
 closedin (top_of_set S) E2 \wedge
 S \subseteq E1 \cup E2 \wedge E1 \cap E2 = {} \wedge E1 \neq {} \wedge E2 \neq {})"

Translate the Isabelle version to a natural language version:

Codex Output:

"A set S is connected if and only if there does not exist two closed sets E₁ and E₂ such that S \subseteq E₁ \cup E₂, E₁ \cap E₂ = \emptyset , E₁ $\neq \emptyset$ and E₂ $\neq \emptyset$ ".

716

definition *continuous_map* **where**
 "continuous_map X Y f \equiv
 ($\forall x \in \text{topspace } X$. f x \in topspace Y) \wedge
 ($\forall U$. openin Y U \longrightarrow openin X {x \in topspace X. f x \in U})"

Translate the Isabelle version to a natural language version:

Codex Output:

"A function f from a topological space X to a topological space Y is continuous if for every open set U in Y, the preimage f⁻¹(U) is open in X".

717

definition *continuous_map* **where**
 "continuous_map X Y f \equiv
 ($\forall x \in \text{topspace } X$. f x \in topspace Y) \wedge
 ($\forall U$. openin Y U \longrightarrow openin X {x \in topspace X. f x \in U})"

Translate the Isabelle version to a natural language version:

Codex Output:

"A continuous map f : X \rightarrow Y is a map such that for every open set U \subset Y, the preimage f⁻¹(U) is open in X".

718

lemma *connectedin_separation*:
 "connectedin X $S \longleftrightarrow$
 $S \subseteq \text{topspace } X \wedge$
 $(C_1 \ C_2. \ C_1 \cup C_2 = S \wedge C_1 \neq \{\} \wedge C_2 \neq \{\}$
 $\wedge C_1 \cap X \text{ closure_of } C_2 = \{\} \wedge C_2$
 $\cap X \text{ closure_of } C_1 = \{\})$ " (is "?lhs = ?rhs")

Translate the Isabelle version to a natural language version:

Codex Output:

" S is connected in X if and only if $S \subseteq X$ and there do not exist two non-empty sets C_1 and C_2 such that $C_1 \cup C_2 = S$ and $C_1 \cap X\overline{C_2} = \emptyset$ and $C_2 \cap X\overline{C_1} = \emptyset$ ".

719

lemma *connectedin_Union*:
 assumes \mathcal{U} : " $\bigwedge S. S \in \mathcal{U} \implies \text{connectedin } X \ S$ " and ne: " $\bigcap \mathcal{U} \neq \{\}$ "
 shows " $\text{connectedin } X \ (\bigcup \mathcal{U})$ "

Translate the Isabelle version to a natural language version:

Codex Output:

"If S is connected in X for all $S \in \mathcal{U}$ and $\bigcap_{S \in \mathcal{U}} S \neq \emptyset$, then $\bigcup_{S \in \mathcal{U}} S$ is connected in X ".

720

lemma *compact_space_alt*:
 "compact_space $X \longleftrightarrow$
 $(\forall \mathcal{U}. (\forall U \in \mathcal{U}. \text{openin } X \ U) \wedge \text{topspace } X \subseteq \bigcup \mathcal{U}$
 $\longrightarrow (\exists \mathcal{F}. \text{finite } \mathcal{F} \wedge \mathcal{F} \subseteq \mathcal{U} \wedge \text{topspace } X \subseteq \bigcup \mathcal{F}))$ "

Translate the Isabelle version to a natural language version:

Codex Output:

"A topological space X is compact if and only if for every open cover \mathcal{U} of X , there exists a finite subcover \mathcal{F} of \mathcal{U} ".

721

lemma *compactin_subtopology*:
 "compactin (subtopology X S) $T \longleftrightarrow \text{compactin } X \ T \wedge T \subseteq S$ "

Translate the Isabelle version to a natural language version:

Codex Output:

" T is compact in S if and only if T is compact in X and $T \subseteq S$ ".

722

lemma *closedin_compact_space*:
 "[compact_space X ; closedin X S] $\implies \text{compactin } X \ S$ "

Translate the Isabelle version to a natural language version:

Codex Output:

"If X is a compact space and S is a closed subset of X , then S is a compact space".

723

lemma compactin_discrete_topology:
`"compactin (discrete_topology X) S \longleftrightarrow S \subseteq X \wedge finite S"`

Translate the Isabelle version to a natural language version:

Codex Output:

" S is compact in the discrete topology on X if and only if S is a finite subset of X ".

724

lemma image_compactin:
`assumes cpt: "compactin X S" and cont: "continuous_map X Y f"
shows "compactin Y (f ` S)"`

Translate the Isabelle version to a natural language version:

Codex Output:

"If S is compact in X and f is continuous from X to Y , then $f(S)$ is compact in Y ".

725

lemma continuous_on_open:
`"continuous_on S f \longleftrightarrow
 $(\forall T. \text{openin } (\text{top_of_set } (f ` S)) T \longrightarrow$
 $\text{openin } (\text{top_of_set } S) (S \cap f^{-1} T))"$`

Translate the Isabelle version to a natural language version:

Codex Output:

" f is continuous on S if and only if for any open set T in $f(S)$, $f^{-1}(T)$ is open in S ".

726

lemma continuous_closedin_preimage_gen:
`assumes "continuous_on S f" "f ` S \subseteq T"
"closedin (top_of_set T) U"
shows "closedin (top_of_set S) (S \cap f-1 U)"`

Translate the Isabelle version to a natural language version:

Codex Output:

"If f is continuous on S and $f(S) \subseteq T$, and U is closed in T , then $S \cap f^{-1}(U)$ is closed in S ".

727

theorem Casorati_Weierstrass:
`assumes "open M" "z \in M" "f holomorphic_on (M - {z})"
and " $\bigwedge l. \neg (f = l) \text{ (at } z)"$
" $\bigwedge l. \neg ((\text{inverse} \circ f) = l) \text{ (at } z)"$
shows "closure(f ` (M - {z})) = UNIV"`

Translate the Isabelle version to a natural language version:

Codex Output:

"Let f be a holomorphic function on an open set M containing z . If f is not constant in any neighborhood of z and f^{-1} is not constant in any neighborhood of z , then $f(M - \{z\})$ is dense in \mathbb{C} ".

728

theorem

```

assumes "open M" "z ∈ M" "f holomorphic_on (M - {z})"
and "⋀ l. ¬ (f l) (at z)"
      "⋀ l. ¬ ((inverse ∘ f) l) (at z)"
shows "closure(f ` (M - {z})) = UNIV"

```

Translate the Isabelle version to a natural language version:

Codex Output:

"Let M be an open set and $z \in M$. Let f be a holomorphic function on $M - \{z\}$. If f is not bounded in any neighborhood of z and $\frac{1}{f}$ is not bounded in any neighborhood of z , then $f(M - \{z\})$ is dense in \mathbb{C} .

729

theorem great_Picard:

```

assumes "open M" "z ∈ M" "a ≠ b"
      and holf: "f holomorphic_on (M - {z})"
and fab: "⋀ w. w ∈ M - {z} ⇒ f w ≠ a ∧ f w ≠ b"
obtains l where "(f l) (at z)"
      ∨ ((inverse ∘ f) l) (at z)"

```

Translate the Isabelle version to a natural language version:

Codex Output:

"Let f be a holomorphic function on $M - \{z\}$, where M is an open set and $z \in M$. If $f(z) \neq a$ and $f(z) \neq b$, then f or $\frac{1}{f}$ has a limit at z ".

730

theorem brouwer:

```

fixes f :: "'a::euclidean_space ⇒ 'a"
assumes S: "compact S" "convex S" "S ≠ {}"
      and conf: "continuous_on S f"
      and fim: "f ` S ⊆ S"
obtains x where "x ∈ S" and "f x = x"

```

Translate the Isabelle version to a natural language version:

Codex Output:

"Let f be a continuous function from a compact convex set S to itself. Then there exists $x \in S$ such that $f(x) = x$ ".

731

theorem Jordan_curve:

```

fixes c :: "real ⇒ complex"
assumes "simple_path c" and loop: "pathfinish c = pathstart c"
obtains inner outer where
  "inner ≠ {}" "open inner" "connected inner"
  "outer ≠ {}" "open outer" "connected outer"
  "bounded inner" "¬ bounded outer" "inner ∩ outer = {}"
  "inner ∪ outer = - path_image c"
  "frontier inner = path_image c"
  "frontier outer = path_image c"

```

Translate the Isabelle version to a natural language version:

Codex Output:

"Let c be a simple closed curve. Then there exist two disjoint open sets U and V such that U is bounded, V is unbounded, $U \cup V = \mathbb{C} \setminus c$, $U \cap V = \emptyset$, $\partial U = c$, and $\partial V = c$ ".

732

corollary *Jordan_disconnected*:
fixes $c :: \text{"real"} \Rightarrow \text{"complex"}$
assumes $\text{"simple_path } c"$ $\text{"pathfinish } c = \text{pathstart } c"$
shows $\neg \text{connected}(\text{path_image } c)$

Translate the Isabelle version to a natural language version:

Codex Output:

"If c is a simple closed curve, then $R^2 - c$ is not connected".

733

theorem *inverse_squares_sums*: $(\lambda n. 1 / (n + 1)^2) \text{ sums } (\pi^2 / 6)$

Translate the Isabelle version to a natural language version:

Codex Output:

"Find the sum of the series $\sum_{i=1}^{\infty} \frac{1}{(i+1)^2}$. The final answer is $\frac{\pi^2}{6}$ ".

734

proposition *connected_space_product_topology*:
 $\text{"connected_space}(\text{product_topology } X \ I) \longleftrightarrow$
 $(\prod_{i \in I. \text{topspace } (X \ i)) = \{\}} \vee (\forall i \in I. \text{connected_space}(X \ i))"$

Translate the Isabelle version to a natural language version:

Codex Output:

"The product topology of a family of topological spaces is connected if and only if the product of the topological spaces is empty or each topological space is connected".

735

theorem *(in ring_of_sets) caratheodory'*:
assumes $\text{posf: "positive } M \ f"$ **and** $\text{ca: "countably_additive } M \ f"$
shows $\exists \mu :: 'a \text{ set} \Rightarrow \text{ennreal.}$
 $(\forall s \in M. \mu \ s = f \ s) \wedge \text{measure_space } \Omega \ (\text{sigma_sets } \Omega \ M) \ \mu"$

Translate the Isabelle version to a natural language version:

Codex Output:

"Let M be a σ -algebra on Ω , and f be a positive countably additive function on M . Then there exists a measure μ on M such that $\mu(s) = f(s)$ for all $s \in M$ and (Ω, M, μ) is a measure space".

736

lemma *sigma_finite_density_unique*:
assumes $\text{borel: "f} \in \text{borel_measurable } M"$ $\text{"g} \in \text{borel_measurable } M"$
and $\text{fin: "sigma_finite_measure (density } M \ f)"}$
shows $\text{"density } M \ f = \text{density } M \ g \longleftrightarrow (\text{AE } x \text{ in } M. f \ x = g \ x)"}$

Translate the Isabelle version to a natural language version:

Codex Output:

"Let f and g be two measurable functions on a sigma-finite measure space M . Then f and g are equal almost everywhere if and only if the measures μ_f and μ_g are equal".

737

```

lemma mvt_simple:
  fixes f :: "real  $\Rightarrow$  real"
  assumes "a < b"
  and derf: " $\bigwedge x. [a \leq x; x \leq b] \implies (f \text{ has\_derivative } f' \ x) \text{ (at } x \text{ within } \{a..b\})$ "
  shows " $\exists x \in \{a <..<b\}. f \ b - f \ a = f' \ x \ (b - a)$ "

```

Translate the Isabelle version to a natural language version:

Codex Output:

"Let f be a function defined on $[a, b]$ and differentiable on (a, b) . Then there exists a point $c \in (a, b)$ such that $f(b) - f(a) = f'(c)(b - a)$ ".

738

```

theorem second_countable_borel_measurable:
  fixes X :: "'a::second_countable_topology set set"
  assumes eq: "open = generate_topology X"
  shows "borel = sigma UNIV X"

```

Translate the Isabelle version to a natural language version:

Codex Output:

"Let X be a set of open sets in a second countable topology. Then the Borel σ -algebra is the σ -algebra generated by X ".

739

```

theorem borel_measurable_ereal_iff_real:
  fixes f :: "'a  $\Rightarrow$  ereal"
  shows "f  $\in$  borel_measurable M  $\longleftrightarrow$ 
    (( $\lambda x. \text{real\_of\_ereal } (f \ x)$ )  $\in$  borel_measurable M  $\wedge$  f -' { $\infty$ }
       $\cap$  space M  $\in$  sets M  $\wedge$  f -' {- $\infty$ }  $\cap$  space M  $\in$  sets M)"

```

Translate the Isabelle version to a natural language version:

Codex Output:

"Let f be a function from M to \mathbb{R} , then f is measurable if and only if f is measurable and $f^{-1}(\infty)$ and $f^{-1}(-\infty)$ are measurable sets".

740

```

theorem condensation_test:
  assumes mono: " $\bigwedge m. 0 < m \implies f \ (Suc \ m) \leq f \ m$ "
  assumes nonneg: " $\bigwedge n. f \ n \geq 0$ "
  shows "summable f  $\longleftrightarrow$  summable ( $\lambda n. 2^n * f \ (2^n)$ )"

```

Translate the Isabelle version to a natural language version:

Codex Output:

"Let f be a non-negative function such that $f(n + 1) \leq f(n)$ for all n . Prove that f is summable if and only if $2^n f(2^n)$ is summable".

741

```

theorem ratio_test_convergence:
  fixes f :: "nat  $\Rightarrow$  real"
  assumes pos_f: "eventually ( $\lambda n. f\ n > 0$ ) sequentially"
  defines "l  $\equiv$  liminf ( $\lambda n. ereal (f\ n / f\ (Suc\ n))$ )"
  assumes l: "l > 1"
  shows "summable f"

```

Translate the Isabelle version to a natural language version:

Codex Output:

"Let f be a positive function, and let l be the limit inferior of the sequence $\frac{f(n)}{f(n+1)}$. If $l > 1$, then f is summable".

742

```

theorem Stone_Weierstrass_polynomial_function:
  fixes f :: "'a::euclidean_space  $\Rightarrow$  'b::euclidean_space"
  assumes S: "compact S"
  and f: "continuous_on S f"
  and e: "0 < e"
  shows " $\exists g. \text{polynomial\_function } g \wedge (\forall x \in S. \text{norm}(f\ x - g\ x) < e)$ "

```

Translate the Isabelle version to a natural language version:

Codex Output:

"Let f be a continuous function on a compact set S . Then there exists a polynomial function g such that $\forall x \in S, |f(x) - g(x)| < \epsilon$."

743

```

theorem Riemann_mapping_theorem:
  "open S  $\wedge$  simply_connected S  $\longleftrightarrow$ 
  S = {}  $\vee$  S = UNIV  $\vee$ 
  ( $\exists f\ g. f \text{ holomorphic\_on } S \wedge g \text{ holomorphic\_on ball } 0\ 1 \wedge$ 
  ( $\forall z \in S. f\ z \in \text{ball } 0\ 1 \wedge g(f\ z) = z$ )  $\wedge$ 
  ( $\forall z \in \text{ball } 0\ 1. g\ z \in S \wedge f(g\ z) = z$ ))"

```

Translate the Isabelle version to a natural language version:

Codex Output:

"Let S be a simply connected open set. Then S is either empty, the whole plane, or there exists a holomorphic function f from S to the unit disk and a holomorphic function g from the unit disk to S such that $g \circ f$ is the identity function on S and $f \circ g$ is the identity function on the unit disk."

744

```

theorem Residue_theorem:
  fixes s pts::"complex set" and f::"complex  $\Rightarrow$  complex"
  and g::"real  $\Rightarrow$  complex"
  assumes "open s" "connected s" "finite pts" and
    holo:"f holomorphic_on s-pts" and
    "valid_path g" and
    loop:"pathfinish g = pathstart g" and
    "path_image g  $\subseteq$  s-pts" and
    homo:" $\forall z. (z \notin s) \longrightarrow \text{winding\_number } g \ z = 0$ "
  shows "contour_integral g f =
    2 * pi * i * ( $\sum_{p \in \text{pts.}} \text{winding\_number } g \ p * \text{residue } f \ p$ )"

```

Translate the Isabelle version to a natural language version:

Codex Output:

"Let f be a holomorphic function on a connected open set S and let g be a closed path in S that does not pass through any of the points in the finite set P . Then $\int_g f(z)dz = 2\pi i \sum_{p \in P} \text{Res}(f, p)$ ".

745

```

theorem integer_winding_number:
  "[[path  $\gamma$ ; pathfinish  $\gamma$  = pathstart  $\gamma$ ;
   $z \notin \text{path\_image } \gamma$ ]  $\implies \text{winding\_number } \gamma \ z \in \mathbb{Z}$ "

```

Translate the Isabelle version to a natural language version:

Codex Output:

"Let γ be a closed path in the complex plane, and z be a point not on γ . Then the winding number of γ around z is an integer".

746

```

lemma winding_number_eq:
  "[[path  $\gamma$ ; pathfinish  $\gamma$ 
  = pathstart  $\gamma$ ;  $w \in S$ ;  $z \in S$ ; connected  $S$ ;
   $S \cap \text{path\_image } \gamma = \{z\}$ ]
 $\implies \text{winding\_number } \gamma \ w = \text{winding\_number } \gamma \ z$ "

```

Translate the Isabelle version to a natural language version:

Codex Output:

"Let γ be a closed path in the plane, and let w, z be two points in the plane. If γ does not pass through w or z , and w, z are in the same connected component of the plane, then the winding number of γ about w is the same as the winding number of γ about z ".

747

```

corollary winding_number_zero_outside:
  "[[path  $\gamma$ ; convex  $s$ ; pathfinish  $\gamma$ 
  = pathstart  $\gamma$ ;  $z \notin s$ ; path_image  $\gamma$ 
 $\subseteq s$ ]  $\implies \text{winding\_number } \gamma \ z = 0$ "

```

Translate the Isabelle version to a natural language version:

Codex Output:

"If γ is a path, s is a convex set, γ is a closed path, z is not in s , and γ is in s , then the winding number of γ around z is 0".

748

```

lemma winding_number_zero_at_infinity:
  assumes  $\gamma$ : "path  $\gamma$ " and loop: "pathfinish  $\gamma$  = pathstart  $\gamma$ "
  shows " $\exists B. \forall z. B \leq \text{norm } z \longrightarrow \text{winding\_number } \gamma \ z = 0$ "

```

Translate the Isabelle version to a natural language version:

Codex Output:

"Let γ be a closed path, then there exists a constant B such that for all z , if $|z| > B$, then the winding number of γ at z is 0".

749

```

lemma winding_number_homotopic_paths:
  assumes "homotopic_paths  $(-\{z\})$   $g$   $h$ "
  shows "winding_number  $g$   $z$  = winding_number  $h$   $z$ "

```

Translate the Isabelle version to a natural language version:

Codex Output:

"If g and h are homotopic paths in $\mathbb{C} - \{z\}$, then the winding number of g around z is equal to the winding number of h around z ".

750

```

lemma simple_closed_path_winding_number_cases:
  assumes "simple_path  $\gamma$ " "pathfinish  $\gamma$  = pathstart  $\gamma$ "
  "z  $\notin$  path_image  $\gamma$ "
  shows "winding_number  $\gamma$   $z \in \{-1, 0, 1\}$ "

```

Translate the Isabelle version to a natural language version:

Codex Output:

"If γ is a simple closed path, z is not in the image of γ , then the winding number of γ at z is either -1, 0 or 1".

751

```

corollary Cauchy_theorem_primitive:
  assumes " $\bigwedge x. x \in S \implies$ "
    "(f has_field_derivative f' x) (at x within S)"
  and "valid_path  $g$ " "path_image  $g \subseteq S$ "
    "pathfinish  $g$  = pathstart  $g$ "
  shows "(f' has_contour_integral 0)  $g$ "

```

Translate the Isabelle version to a natural language version:

Codex Output:

"If f is a function with a derivative f' on a set S , and g is a closed path in S , then $\int_g f'(z)dz = 0$ ".

752


```

theorem (in prob_space) central_limit_theorem_zero_mean:
  fixes X :: "nat  $\Rightarrow$  'a  $\Rightarrow$  real"
  and  $\mu$  :: "real measure"
  and  $\sigma$  :: real
  and S :: "nat  $\Rightarrow$  'a  $\Rightarrow$  real"
  assumes X_indep: "indep_vars ( $\lambda$ i. borel) X UNIV"
  and X_mean_0: " $\bigwedge$ n. expectation (X n) = 0"
  and  $\sigma$ _pos: " $\sigma > 0$ "
  and X_square_integrable: " $\bigwedge$ n. integrable M ( $\lambda$ x. (X n x)2)"
  and X_variance: " $\bigwedge$ n. variance (X n) =  $\sigma^2$ "
  and X_distrib: " $\bigwedge$ n. distr M borel (X n) =  $\mu$ "
  defines "S n  $\equiv \lambda$ x.  $\sum$  i<n. X i x"
  shows "weak_conv_m ( $\lambda$ n. distr M borel
    ( $\lambda$ x. S n x / sqrt (n *  $\sigma^2$ ))) std_normal_distribution"

```

Translate the Isabelle version to a natural language version:

Codex Output:

"Let X_1, X_2, \dots be a sequence of independent random variables with mean 0 and variance σ^2 . Let $S_n = \sum_{i=1}^n X_i$. Then $S_n/\sqrt{n\sigma^2}$ converges in distribution to a standard normal distribution".

753

```

proposition compact_space_product_topology:
  "compact_space(product_topology X I)  $\longleftrightarrow$ 
   topspace(product_topology X I) = { }  $\vee$  ( $\forall$  i  $\in$  I. compact_space(X i))"

```

Translate the Isabelle version to a natural language version:

Codex Output:

"If X_i is a compact space for all $i \in I$, then $X_1 \times X_2 \times \dots \times X_n$ is a compact space".

754

755 Lemma statements in the remainder of this section are from the *Topology* entry in the AFP.³ All the
 756 natural language version is generated by the Codex model.

```

lemma seteqI:
  "[ $\bigwedge$ x. x  $\in$  A  $\implies$  x  $\in$  B;  $\bigwedge$ x. x  $\in$  B  $\implies$  x  $\in$  A ]  $\implies$  A = B"

```

Translate the Isabelle version to a natural language version:

Codex Output:

"If A and B are sets such that $A \subseteq B$ and $B \subseteq A$, then $A = B$."

757

```

lemma (in carrier) openI: "m  $\in$  T  $\implies$  m open"

```

Translate the Isabelle version to a natural language version:

Codex Output:

"If m is a topology on a set T , then m is open."

758

³https://www.isa-afp.org/browser_info/current/AFP/Topology/Topology.html

lemma (in carrier) openE: " $\llbracket m \text{ open}; m \in T \implies R \rrbracket \implies R$ "

Translate the Isabelle version to a natural language version:

Codex Output:

"If m is an open set in a topological space T , then $m \in T$."

759

lemma (in topology) open_kriterion:
 assumes t_contains_open: " $\bigwedge x. x \in t \implies \exists t'. t' \text{ open} \wedge x \in t' \wedge t' \subseteq t$ "
 shows " $t \text{ open}$ "

Translate the Isabelle version to a natural language version:

Codex Output:

"If t is a subset of a topological space T such that for every $x \in t$, there exists an open set t' such that $x \in t'$ and $t' \subseteq t$, then t is open."

760

lemma (in carrier) carrierI [intro]:
 " $\llbracket t \text{ open}; x \in t \rrbracket \implies x \in \text{carrier}$ "

Translate the Isabelle version to a natural language version: "If t is an open set in a topological space T and $x \in t$, then $x \in T$."

761

lemma (in topology) Un_open [intro!]:
 assumes abopen: " $A \text{ open}$ " " $B \text{ open}$ "
 shows " $A \cup B \text{ open}$ "

Translate the Isabelle version to a natural language version:

Codex Output:

"If A and B are open sets in a topological space T , then $A \cup B$ is open."

762

lemma topologyI:
 " $\llbracket \bigwedge x y. \llbracket \text{is_open } T x; \text{is_open } T y \rrbracket \implies \text{is_open } T (x \cap y);$
 $\bigwedge M. \forall m \in M. \text{is_open } T m \implies \text{is_open } T (\bigcup M)$
 $\rrbracket \implies \text{topology } T$ "

Translate the Isabelle version to a natural language version:

Codex Output:

"If T is a set and T is closed under finite intersections and arbitrary unions, then T is a topology."

763

lemma (in topobase) topo_open:
 " $t \text{ open} = (t \in \text{topo } B)$ "

Translate the Isabelle version to a natural language version:

Codex Output:

"If B is a topological base of a topological space T , then t is open if and only if $t \in B$."

764

```

lemma (in topobase) topo_induct
  [case_names basic inter union, induct set: topo, consumes 1]:
  assumes opn: "x open"
    and bas: " $\bigwedge x. x \in B \implies P\ x$ "
    and int: " $\bigwedge x\ y. \llbracket x\ \text{open}; P\ x; y\ \text{open}; P\ y \rrbracket \implies P\ (x \cap y)$ "
    and uni: " $\bigwedge M. (\forall t \in M. t\ \text{open} \wedge P\ t) \implies P\ (\bigcup M)$ "
  shows "P x"

```

Translate the Isabelle version to an natural language version:

Codex Output:

"If B is a topological base of a topological space T , then $P(x)$ holds for all open sets x if $P(x)$ holds for all $x \in B$ and $P(x)$ is closed under finite intersections and arbitrary unions."

765

```

lemma subtopologyI:
  fixes S (structure)
  fixes T (structure)
  assumes H1: " $\bigwedge s. s\ \text{open} \implies \exists t. t\ \text{open}_T \wedge s = t \cap \text{carrier}$ "
    and H2: " $\bigwedge t. t\ \text{open}_T \implies t \cap \text{carrier}\ \text{open}$ "
  shows "subtopology S T"

```

Translate the Isabelle version to an natural language version:

Codex Output:

"If S is a subset of a topological space T such that for every open set s in S , there exists an open set t in T such that $s = t \cap S$ and for every open set t in T , $t \cap S$ is open in S , then S is a subspace of T ."

766

```

lemma (in subtopology) subtopologyE [elim]:
  assumes major: "s open"
    and minor: " $\bigwedge t. \llbracket t\ \text{open}_T; s = t \cap \text{carrier} \rrbracket \implies R$ "
  shows "R"

```

Translate the Isabelle version to an natural language version:

Codex Output:

"If s is an open set in a topological space T , then s is an open set in a subspace S of T if and only if $s = t \cap S$ for some open set t in T ."

767

```

lemma continuousI:
  fixes S (structure)
  fixes T (structure)
  assumes "f : carrierS → carrierT"
    and " $\bigwedge m. m\ \text{open}_T \implies \text{carrier} \cap (f^{-1}\ m)\ \text{open}$ "
  shows "continuous f S T"

```

Translate the Isabelle version to an natural language version:

Codex Output:

"If f is a function from a topological space S to a topological space T such that the preimage of every open set in T is open in S , then f is continuous."

768

```

lemma continuousE:
  fixes S (structure)
  fixes T (structure)
  shows
    "[continuous f S T;
      [f : carrierS → carrierT;
        ∀ m. m openT → carrierS ∩ (f -' m) open ] ⇒ P
    ] ⇒ P"

```

Translate the Isabelle version to an natural language version:

Codex Output:

"If x is a limit point of a set A in a topological space T , then there exists a filter F such that $x \in F$ and $A \in F$."