Rule Training for VMI Sketch in Developmental Testing based on a Deep Neural Network

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Abstract

In this paper, we present a framework that explains the scores of sketches by 1 learning rules used in developmental tests. To achieve this, we propose a deep 2 neural network model that considers a target and the corresponding sketch images 3 as inputs. The proposed method is divided into plain and residual models according 4 to the presence of residual connections to compare their performance. In addition, 5 each model includes the subtraction and concatenation approaches to fuse two 6 feature maps. To verify the performance of the proposed method, we conduct 7 8 experiments over all settings that combine the proposed models with the fusion method. The results show that the proposed framework can be used for visual-motor 9 integration analysis by determining scores and providing explanations. 10

11 **1 Introduction**

Developmental tests are used to evaluate a child's abilities and compare them with those of others 12 of a similar age [1]. Given that early intervention yields a better prognosis in many developmental 13 disorders, it is important to check children's developmental levels early through developmental tests. 14 15 The types of developmental disabilities that can be diagnosed through developmental tests include intellectual disabilities, autism spectrum disorder (ASD), and attention-deficit/hyperactivity disorder. 16 In general, infants between 12 and 24 months of age who do not know how to write naturally have 17 difficulty in performing developmental tests using writing. Instead, developmental tests can be 18 performed using sketches. Some examples of such tests include the Rey–Osterrieth complex figure 19 (ROCF) test [2] and visual-motor integration (VMI) [3]. Moreover, VMI can be an important variable 20 21 in handwriting, and Sanghavi and Kelkar [4] found it to be the most important factor in handwriting performance. 22

VMI refers to the ability to coordinate one's eyes and hands together smoothly and efficiently [4]. 23 Measures of VMI skills are widely used to evaluate the mental and psychological state of children 24 [5, 6]. The most widespread tool for VMI assessment is the Beery-Buktenica developmental test 25 of visual-motor integration, known as the Beery VMI [6]. It uses sketches that consist of diverse 26 27 figures. The figures used in the Beery VMI range from simple forms such as a line and a circle to complex designs such as a cube and a three-dimensional star. The test is performed using test sheets 28 that comprise of six boundaries, and the figures are displayed in the upper row. The children see the 29 figures and draw them on the corresponding spaces below. Subsequently, experts inspect the drawn 30 sketches only in the boundaries and score each sketch with 0 or 1 points according to the criteria 31 32 (rules) provided in the Beery VMI scoring manual [6].

33 Studies have been conducted with the Beery VMI to assess specific groups in terms of ethnicity,

disorder, age, etc. Specifically, Coallier et al. [7] used the test for kindergarten children. Green et al.

³⁵ [8] compared the VMI abilities of children with ASD with typical development groups. Geldof et al.

³⁶ [9] verified the deficit of VMI ability in children born prematurely. On the other hand, some studies ³⁷ have used automated methods to recognize the forms in a sketch or retrieve images using them. Yu

et al. [10] and Zhang et al. [11] used deep neural networks (DNNs) to perform sketch recognition.

Wang et al. [12] and Sain et al. [13] studied a sketch-based image retrieval method that used sketches

as input to find similar images. Although some previous works have considered the Beery VMI and

sketches, few studies have used DNNs to describe the sketches collected in the Beery VMI.

In this paper, we propose a framework called a sketch rule-training network (SRTN) to explain scoring results in the VMI analysis. From a clinical perspective, the explanation of the scoring result is more important than the result itself. The reason for a given score can be inferred based on the rules because the Beery VMI has figure-specific explicit rules. However, the score of any given figure may differ depending on subjective judgements of experts. To solve the issue, a rule inference algorithm that reflects such empirical cases is required. To incorporate diverse rules into single network, we use target figures as input data in addition to the sketches.

49 **2** Proposed Method

The proposed rule-training method for sketch explanation requires two images, including a target figure and a sketch image. The VMI data used includes obvious rules, which the proposed neural network learns to enable the model to infer explanations of the input sketch. The overall architecture of the proposed framework is demonstrated in Figure 1. For rule training, the target figure images are also required along with the sketch images. As a network outputs the encoded rule scores, they must be decoded to obtain the text explanation.



Figure 1: The proposed architecture of the SRTN framework.

⁵⁶ We used a convolutional neural network (CNN) to learn them with a single integrated model because

57 the types of figures and the scoring rules used in this study were diverse. The overall architecture of

the proposed model is shown in Table 1. The used neural network is largely divided into a plain model

⁵⁹ using a general convolutional layer and a residual model using a residual block such as VGG and

ResNet, respectively. The output nodes of the proposed network are set to 6, which is the maximum

number of criteria for all items. In addition, 1 and -1 outputs represent 1 and 0 points respectively, and

⁶² when there were fewer than 6 criteria, the output of the remaining nodes was set to 0. The explanation

results were decoded by the inference and corresponding rules.

Nama	Output Size	Plain or Residual		
Ivallie	Output Size	Target figure	Sketch	
stem	112×112	$7 \times 7, 64$, stride 2, padding 3	$7 \times 7, 64$, stride 2, padding 3	
stem	56×56	2×2 max pool, stride 2	2×2 max pool, stride 2	
conv block	14×14	plain or residual block x2	plain or residual block x2	
fusion	14×14	subtraction or concatenation		
conv	14×14	14×14, 256		
output	6	average pool, 255-d, fc, tanh		

Table 1: Overall architecture of the DNN model used within this study.

As shown in Table 1, one input is a sketch, while the other is a target figure representing the items 64 shown in the sketch. The figure images are input together because the neural network can extract 65 features depending on which figure form the sketch exhibits. Consequently, information can be 66 learned from various figures with a single integrated neural network. The input sketch and figure 67 image pass through two independent networks to output two feature maps. The output feature maps 68 are combined into a single map to predict whether the scoring criterion is satisfied. Two approaches 69 are used to combine the feature maps. The first is a subtraction that calculates the difference between 70 the two feature maps, while the other is concatenation that attaches all information without loss. 71

After the trained DNN inference with the input images, the explanation is generated by decoding the result. The decoder maps the encoded output directly to the learned rules. To generate an explanation, rules corresponding to the target figure are also required. The rules are combined with the inferences in a way that depends on whether the corresponding rules are satisfied. Moreover, the score that indicates whether all rules in the figure are satisfied is also decoded. According to the VMI manual, the raw score for a figure is 1 when all the criteria are satisfied, and the score is 0 otherwise. The examples of the rules on two test figures are shown in Table 2.

Tal	ble 2: The rules for two sample figures in the Beery VMI test [6].	
Figure	Rule	
	\cdot The line is within 30° horizontally	
 	· The length of overlapping/gap of open squares and circles is less than 1.6mm	
	· No serious distortions	
$\overline{}$	\cdot The ratio of the size of the circle to the open square is similar (within 2:1)	
	· The line that bisects the circle is within the open square	

79 **3** Experiments

The sketch data collected included twelve types from the Beery VMI test, but only six types of 80 sketches were used in the experiment. A total of 133 test sheets were used, and the number of 81 individual figures was 399 because a single sheet consisted of three figures. To reserve additional 82 training data, the target figures and sketch examples presented in the VMI manual were additionally 83 collected. Furthermore, various data augmentation methods such as image re-scaling were used to 84 increase the training data. To train and perform inferences only on a fixed area of the test sheet, the 85 target figure and sketch areas were detected and segmented. The individual figure and sketch images 86 were saved with a resolution of $1,000 \times 1,000$ pixels and then resized to 224×224 pixels before 87 being used in the DNN models. Table 3 shows the number of data samples used in the experiment. 88

Categories		Count	
Cat		6 types	12 types
Training	VMI tests	337	372
Training	VMI manual	91	188
Test	VMI tests	62	66
7	Total	492	626

⁸⁹ Table 4 shows the performance results of all DNN models. In the plain model, the concatenation

⁹⁰ approach exhibited an accuracy of 79.03%, which was 3.22% higher than the accuracy of the subtrac-

st tion approach at 75.81%. Also, the accuracy of the subtraction was 80.65%, and the concatenation

⁹² approach showed an accuracy of 82.26% in the residual model, which was 1.61% higher. Additionally,

Model		Accuracy	
1			12 types
Plain	Subtraction	75.81%	71.21%
1 Iaiii	Concatenation	79.03%	74.24%
Residual	Subtraction	80.65%	71.21%
Residual	Concatenation	82.26%	69.70%

the performance over 12 types of figures was measured together for comparison with 6 types. As 93

expected, training all collected data adversely affected the performance due to the lack of sufficient 94

data. 95

In the plain model, the accuracy of the subtraction was 71.21% and the accuracy of the concatenation 96

was 74.24%, i.e., the accuracy of the concatenation approach was about 3.03% higher than the 97

subtraction approach. In the residual model, the accuracy of the subtraction and concatenation 98

approaches was 71.21% and 69.70%, respectively. In this model, the subtraction approach performed 99

1.51% better than the concatenation approach. 100





Prediction: Since it is satisfied that at least half of the line deviates more than 30° from the vertical, the score is 0

Target: Since it is satisfied that at least half of the line does not deviate more than 30° from the vertical, the score is 1.

(a) a circle

Prediction, Target: Since it is satisfied that the ratio of

the height to the width of the circle is within 2:1, the

(b) a vertical line

Figure 2: Decoding results on VMI test sketches. (a) received a score of 1 because the prediction and target explanation were the same, but (b) received a score of 0 because they were not.

As a result, Figure 2 demonstrates examples of explanations generated by the decoding inference 101 102 output through the mapping to scoring rules according to the individual target figures. The prediction and the label match in Figure 4(a), whereas they do not match in Figure 4(b). The decoding results 103 indicate that the DNN, which was trained on the rules in the proposed method, was able to explain 104 the VMI sketches. 105

Conclusions 4 106

score is 1.

We have described an automated scoring method and an associated explanation-generating method 107 designed to learn rules using a deep neural network to measure visual-motor coordination ability 108 using the Beery VMI sketch data. The DNN was designed as a plain model and a residual model, and 109 the subtraction and concatenation approaches were used as a fusion of feature maps in each model. 110 The results of an experiment verified that the architecture of the proposed model can be used to 111 explain the scoring results. It showed a good performance of up to 82.26% accuracy by decoding the 112 rules, although there were differences in performance depending on the method used. In future study, 113 we plan to explore contrastive learning to improve the performance of explanations with better sketch 114 representations. We expect that this will result in a performance improvement. Also, to generalize 115 the rule training, utilization of a finite state machine on the rule set could also be considered. 116

117 **References**

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