

WHAT MAKES CONVOLUTIONAL MODELS GREAT ON LONG SEQUENCE MODELING?

Yuhong Li^{1*} Tianle Cai^{2*} Yi Zhang³ Deming Chen¹ Debadeepta Dey³

¹University of Illinois Urbana-Champaign, ²Princeton University, ³Microsoft Research

ABSTRACT

Convolutional models have been widely used in multiple domains. However, most existing models only use *local convolution*, making the model unable to handle *long-range dependency* efficiently. Attention overcomes this problem by aggregating *global* information based on the pair-wise attention score but also makes the computational complexity quadratic to the sequence length. Recently, Gu et al. (2021a) proposed a model called S4 inspired by the state space model. S4 can be efficiently implemented as a *global convolutional model* whose kernel size equals the input sequence length. With Fast Fourier Transform, S4 can model much longer sequences than Transformers and achieve significant gains over SoTA on several long-range tasks. Despite its empirical success, S4 is involved. It requires sophisticated parameterization and initialization schemes that combine the wisdom from several prior works. As a result, S4 is less intuitive and hard to use for researchers with limited prior knowledge. Here we aim to demystify S4 and extract basic principles that contribute to the success of S4 as a global convolutional model. We focus on the structure of the convolution kernel and identify two critical but intuitive principles enjoyed by S4 that are *sufficient* to make up an effective global convolutional model: 1) The parameterization of the convolutional kernel needs to be efficient in the sense that the number of parameters should scale sub-linearly with sequence length. 2) The kernel needs to satisfy a decaying structure that the weights for convolving with closer neighbors are larger than the more distant ones. Based on the two principles, we propose a simple yet effective convolutional model called Structured Global Convolution (SGConv). SGConv exhibits strong empirical performance over several tasks: 1) With faster speed, SGConv surpasses the previous SoTA on Long Range Arena and Speech Command datasets. 2) When plugging SGConv into standard language and vision models, it shows the potential to improve both efficiency and performance.

1 INTRODUCTION

Handling Long-Range Dependency (LRD) is a key challenge in long-sequence modeling tasks such as time-series forecasting, language modeling, and pixel-level image generation. Unfortunately, standard deep learning models fail to solve this problem for different reasons: Recurrent Neural Network (RNN) suffers from vanishing gradient, Transformer has complexity quadratic in the sequence length, and Convolutional Neural Network (CNN) usually only has a local receptive field in each layer.

A recently proposed benchmark called Long-Range Arena (LRA) (Tay et al., 2020b) reveals that all existing models perform poorly in modeling LRD. Notably, on one spatial-level sequence modeling task called Pathfinder-X from LRA, all models fail except a new Structured State Space sequence model (S4) (Gu et al., 2021a). The S4 model is inspired by the state space model widely used in control theory and can be computed efficiently with a special parameterization based on the Cauchy kernel. The exact implementation of the S4 model can be viewed as a (*depthwise*) *global convolutional model* with an involved computation global convolution kernel. Thanks to the global receptive field of the convolution kernel, S4 is able to handle tasks that require LRD, such as Pathfinder (Linsley et al., 2018; Tay et al., 2020b), where classic local CNNs fail (Linsley et al., 2018; Kim et al.,

*Equal contribution. Work done during the internship at Microsoft Research.

2019). Also, the use of Fast Fourier Transform (FFT) and techniques from numerical linear algebra make the computational complexity of S4 tractable compared to the quadratic complexity of attention. Together, S4 shows the potential of global convolutional models to model LRD and advances the SoTA on LRA.

Despite its accomplishments, the delicate design of S4 makes it unfriendly even to knowledgeable researchers. In particular, the empirical success of S4 relies on 1) A Diagonal Plus Low Rank (DLPR) parameterization whose efficient implementation requires several numerical linear algebra tricks, 2) An initialization scheme based on the HiPPO matrix derived in prior work (Gu et al., 2020). Therefore, aiming to reduce the complications of the model and highlight minimal principles, we raise the following questions:

What contributes to the success of the S4 model? Can we establish a simpler model based on minimal principles to handle long-range dependency?

To answer these questions, we focus on the design of the global convolution kernel. We extract two simple and intuitive principles that contribute to the success of the S4 kernel. The first principle is that the parameterization of the global convolution kernel should be efficient in terms of the sequence length: the number of parameters should scale slowly with the sequence length. For example, classic CNNs use a fixed kernel size. S4 also uses a fixed number of parameters to compute the convolution kernel while the number is greater than classic CNNs. Both models satisfy the first principle as the number of parameters does not scale with input length. The efficiency of parameterization is also necessary because the naive implementation of a global convolution kernel with the size of sentence length is intractable for inputs with thousands of tokens. Too many parameters will also cause overfitting, thus hurting the performance. The second principle is the decaying structure of the convolution kernel, meaning that the weights for convolving with closer neighbors are larger than the more distant ones. This structure appears ubiquitously in signal processing, with the well-known Gaussian filter as an example. The intuition is clear that closer neighbors provide a more helpful signal. S4 inherently enjoys this decaying property because of the exponential decay of the spectrum of matrix powers (See Figure 2), and we find this inductive bias improves the model performance (See Section 4.1.2).

We show that these two principles are sufficient for designing a global convolutional model that captures LRD well. To verify this, we introduce a class of global convolution kernels with a simple *multiscale* structure, as shown in Figure 1. Specifically, we compose the convolution kernel by a sequence of sub-kernels of increasing sizes, yet every sub-kernel is upsampled from the same number of parameters. This parameterization ensures that the number of parameters only scales logarithmically to the input length, which satisfies the first principle. In addition, we add a decaying weight to each scale during the combination step and fulfill the second principle. We named our methods as Structural Global Convolution kernels (SGConv). Empirically, SGConv improves S4 by more than 1% and achieves SoTA results on the LRA benchmark. On Speech Command datasets, SGConv achieves comparative results in the ten-class classification task and significantly better results in the 35-class classification task upon previous SoTA. We further show that SGConv is more efficient than S4 and can be used as a general purpose module in different domains. For example, a hybrid model of classic attention and SGConv shows promising performance

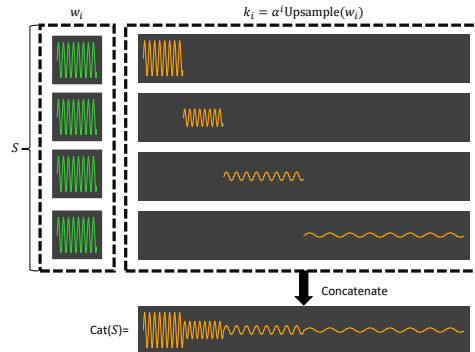


Figure 1: Illustration of the parameterization used in SGConv (Eq. (1)). The convolution kernel is composed of multi-scale sub-kernels. **Parameterization Efficiency.** Every larger sub-kernel doubles the size of the previous sub-kernel while the same number of parameters are used for every scale, ensuring a logarithmic dependency of the number of parameters to the input length. **Decaying.** We use a weighted combination of sub-kernels where the weights are decaying, and smaller weights are assigned to larger scales.

on both autoregressive language modeling and sentence classification tasks, replacing the 2D convolution kernel of the ConvNext model with 1D *SGConv* matches the performance of the original model.

2 RELATED WORK

Efficient Transformers. The Transformer architecture (Vaswani et al., 2017) has been successful across a wide range of applications in machine learning. However, the computation and memory complexity of Transformer scales quadratically with the input length, making it intractable for modeling long-range interactions in very long sequences. Therefore, several efficient variants of Transformer model have been proposed recently to overcome this issue (Child et al., 2019; Wang et al., 2020; Kitaev et al., 2019; Zaheer et al., 2020; Tay et al., 2020a; Peng et al., 2021; Qin et al., 2021). Nevertheless, few of these methods performed well on benchmarks such as Long Range Arena (Tay et al., 2020b), SCROLLS (Shaham et al., 2022), which require long-range modeling ability.

(Re-)parameterization. Parameterization is a crucial but underrated part of architecture design because different parameterizations usually provide different inductive biases. For example, weight normalization (Salimans & Kingma, 2016) parameterizes the norm and direction of the weight matrices separately and thus reaches faster convergence. On the other hand, Zagoruyko & Komodakis (2017) proposed a Dirac weight re-parameterization to train deep networks without explicit skip-connections and matched the performance of ResNets (He et al., 2016). In computer vision, several works explored using structural re-parameterization to create 2D convolution kernels. Most of these works (Ding et al., 2019; Guo et al., 2020; Ding et al., 2021; Cao et al., 2022) are limited to the vision domain and utilize only short-range convolution kernels (e.g., 7×7) except for the line of work based on 2D Fourier operators (Rao et al., 2021; Guibas et al., 2021) and the line of work based on continuous convolutional kernel (Romero et al., 2021b;a, 2022). Our *SGConv* kernel is a special parameterization of global convolution kernels that tackles LRD and showcases the extensibility of re-parameterized kernels.

State Space Models. The state space model (SSM) uses a set of linear differential equations to model physical systems with input, output, and state variables. It is widely used in control, neuroscience, and statistics. Recently, Gu et al. (2021b) introduced a deep SSM-based model that can outperform prior approaches on several long sequence modeling tasks with a specially structured state transition matrix. However, the expensive computation and memory requirements make it impractical. A followup work of Gu et al. (2021b) proposed a new parameterization of SSM (Gu et al., 2021a), which decomposes the state transition matrix into the sum of low-rank and normal matrices and implements SSM as a global convolutional model. Under this parameterization, the authors then combine the techniques of diagonalizing the Cauchy kernel and performing low-rank corrections with the Woodbury identity to compute the global convolution kernel. While achieving promising results, S4 is theoretically involved and practical implementations of S4 require accelerator-specific dedicated code optimization for the Cauchy kernel computation. This makes it difficult to readily implement in deep learning frameworks (Abadi et al., 2016; Chen et al., 2015; Chen, 2021; Ma et al., 2019) and hardware targets. Concurrent with this work, many state-space-based models are emerging and bringing better performance (Gu et al., 2022a; Smith et al., 2022; Hasani et al., 2022).

3 DESIGN OF GLOBAL CONVOLUTIONAL MODELS

We summarize the design principles that enable the global convolutional model to be both efficient and effective. Then we introduce the proposed Structured Global Convolution (*SGConv*) based on the highlighted principles.

3.1 DESIGN PRINCIPLES

The two intuitive design principles that contribute to the success of S4 are efficient parameterization and decaying structure.

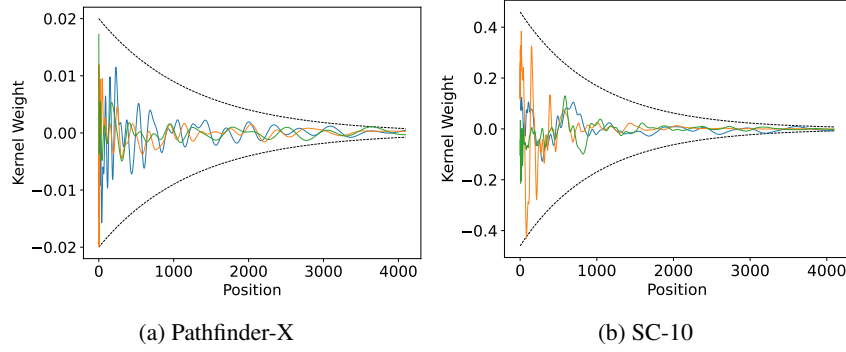


Figure 2: Visualization of S4 kernels on (a) Pathfinder-X and (b) Speech Command 10-class. The values in the convolution kernel exhibit a decaying behavior. We only plot the first 4096 positions for better illustration.

Efficient Parameterization. Different from local convolution, where the kernel size is fixed, global convolution requires a kernel size that is the same as the sentence length. Naive parameterization of convolution kernel as classic local convolutions is therefore intractable for long sequences. For instance, the Pathfinder-X task has a length of $16K$. It then impractically requires $4M$ parameters for a single layer to model the depth-wise global convolution kernel with a standard channel size of 256. Thus, an efficient convolution kernel parameterization is necessary, especially when the sentence is extremely long. For example, S4 takes a well-designed Normal Plus Low-Rank (NPLR) parameterization to model the whole kernel with two special matrices where the number of parameters is fixed.

Decaying Structure. Apart from the efficiency of the parameterization, we find that a decaying structure of the convolution kernel provides a good inductive bias to long-sequence modeling and contributes to the performance (See Section 4.1.2 for detailed ablation study). Concretely, the magnitude of the value in the convolution kernel should decay so that more weight is assigned to the close neighbors. S4 model inherently satisfies this property because the k -th element of the kernel of S4 is $\mathbf{CA}^k\mathbf{B}$ and the operator norm of the power of a matrix decays exponentially:

Fact 1. For a square matrix \mathbf{A} , the operator norm $\|\mathbf{A}^k\|_2 \leq \|\mathbf{A}\|_2^k$. In particular, if $\|\mathbf{A}\|_2 < 1$, $\|\mathbf{A}^k\|_2$ decays exponential to k , so $\|\mathbf{CA}^k\mathbf{B}\|_2 \leq \|\mathbf{C}\|_2 \|\mathbf{A}^k\|_2 \|\mathbf{B}\|_2$ also decays exponentially.

We can also directly observe the decaying structure of S4 in different tasks in Figure 2.

3.2 SGCONV

Putting the two principles altogether, we propose a simple global depth-wise convolution, dubbed Structured Global Convolution (SGConv), based on multiscale sub-kernels and weighted combinations. (See Figure 1). We will first introduce the parameterization of the convolutional kernel and then present how to build a global convolutional model with this kernel.

Parameterization of SGConv Kernel. Formally, let L be the length of the input sequence, the convolutional kernel should also has length L . We define the parameter set of a single channel as $S = \{\mathbf{w}_i | 0 \leq i < \lceil \log_2(\frac{L}{d}) \rceil + 1\}$ where $\mathbf{w}_i \in \mathbb{R}^d$ is the parameter for i -th sub-kernel k_i , and d is the dimension of the parameter. Denote the number of scales $N = \lceil \log_2(\frac{L}{d}) \rceil + 1$. We use the upsample operation, implemented as linear interpolation, to form sub-kernels of different scales. We use $\text{Upsample}_l(\mathbf{x})$ to denote upsampling \mathbf{x} to length l (We use `F.interpolate` function in Pytorch and set the mode to be `linear` in our implementation). We also introduce a normalization constant Z to ensure the convolution operation will not change the scale of the input and a coefficient α to control the decaying speed. Now, we are ready to introduce the weighted combination scheme by concatenating a set of weighted sub-kernels k_i :

$$\text{Cat}(S) = \frac{1}{Z} [k_0, k_1, \dots, k_{N-1}], \text{ where } k_i = \alpha^i \text{Upsample}_{2^{\max[i-1, 0]}d}(\mathbf{w}_i). \quad (1)$$

| Model | ListOps | Text | Retrieval | Image | Pathfinder | Path-X | Avg. |
|-----------------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|
| Transformer | 36.37 | 64.27 | 57.46 | 42.44 | 71.40 | ✗ | 54.39 |
| Sparse Trans. | 17.07 | 63.58 | 59.59 | 44.24 | 71.71 | ✗ | 51.24 |
| Linformer | 35.70 | 53.94 | 52.27 | 38.56 | 76.34 | ✗ | 51.36 |
| Reformer | 37.27 | 56.10 | 53.40 | 38.07 | 68.50 | ✗ | 50.67 |
| BigBird | 36.05 | 64.02 | 59.29 | 40.83 | 74.87 | ✗ | 55.01 |
| S4 (original) | 58.35 | 76.02 | 87.09 | 87.26 | 86.05 | 88.10 | 80.48 |
| S4 (Gu et al., 2022b) | 59.60 | 86.82 | 90.90 | 88.65 | 94.20 | 96.35 | 86.09 |
| SGConv | 61.45 | 89.20 | 91.11 | 87.97 | 95.46 | 97.83 | 87.17 |

Table 1: The performance of SGConv compared to other baselines on the LRA dataset. SGConv achieves significant improvement compared to previous methods with a more straightforward structure and faster speed (See Table 2)

It is easy to check that $\text{Cat}(S)$ gives the convolution kernel with length $\sum_{i=0}^N 2^{\max[i-1, 0]} d = 2^{N-1} d \geq L$ (See Figure 1 for an illustration), which can be truncated to L if it is overlength. And the number of parameters is $Nd = O(\log L)$. The decay coefficient α , usually chosen to be $1/2$, induces the decaying structure.

Incorporate SGConv to Modern Architectures. In the implementation, we compute the depth-wise convolution kernel and use Fast Fourier Transform to compute the convolution in $O(L \log L)$ time (See Figure 8 for detailed illustration). We compute the normalization constant Z such that the norm of the kernel is one at initialization and fix it during training. Please refer to Appendix B.2 for a Python-style pseudo-code. We can plug SGConv into modern architectures as a replacement of attention in Transformer or local convolution in ConvNets (See Figure 6, 7 for two examples). Due to the relaxation of the structure of the convolutional kernel, SGConv does not have the RNN-style reformulation as S4. Yet, SGConv is naturally capable of performing autoregressive generation, such as language modeling, similarly to classic causal convolutional models (Van den Oord et al., 2016; Oord et al., 2016) and Transformers. Concretely, the convolution kernel is unidirectional, where the computation at the embedding of i -th is only computed based on tokens before i , and left zero padding is used for ignoring the overlength kernel. During generation, hidden states of past tokens are cached for fast calculation of the next token with a single convolution step. Due to the simplicity of the parameterization, SGConv kernel is easy to compute and more efficient than the S4 kernel, as shown in Section 4.1.3.

4 EXPERIMENTS

In this section, we first test the effectiveness of SGConv on two standard long sequence modeling tasks, i.e., Long Range Arena (Tay et al., 2020b) and Speech Commands (Warden, 2018), and compare it with S4 and other baselines. We also conduct ablation studies over the decay speed and scale dimension d and evaluate the speed of SGConv on LRA. Further, we explore the possibility of plugging the global convolutional layer into standard models as a *general-purpose component* for capturing long-range dependency. For language tasks, we find that replacing half of layers of Transformer with a certain strategy with SGConv block will not hurt performance, while the complexity of those layers improves from $O(L^2)$ to $O(L \log L)$. On ImageNet, we replace the 7×7 convolution in ConvNext (Liu et al., 2022) with SGConv and show comparative or better performance.

4.1 LONG RANGE ARENA

Long Range Arena benchmark (Tay et al., 2020b) is a suite of six tasks consisting of sequences ranging from 1K to 16K tokens, encompassing a wide range of data types and modalities such as text, natural, synthetic images, and mathematical expressions requiring similarity, structural, and visual-spatial reasoning.

4.1.1 RESULTS

We show the experimental results in Table 1 with several baseline methods (Vaswani et al., 2017; Child et al., 2019; Wang et al., 2020; Kitaev et al., 2019; Zaheer et al., 2020; Gu et al., 2021a; 2022b).

| Sequence length | | 256 | 512 | 1024 | 2048 | 4096 | 8192 | 16384 |
|-----------------|--------------|-------------|-------------|--------------|--------------|--------------|--------------|---------------|
| Inf. CPU | S4 | 29.4 | 81.7 | 158.3 | 306.9 | 594 | 1156.9 | 2274.0 |
| | SGConv | 23.8 | 56.2 | 108.7 | 211.3 | 409.3 | 789.5 | 1559.3 |
| GPU | S4 (w/o opt) | 2.7 | 2.7 | 4.4 | 7.9 | 15.2 | 32.7 | 64.5 |
| | S4 (w. opt.) | 1.6 | 1.9 | 3.1 | 5.4 | 10.0 | 22.3 | 44.3 |
| | SGConv | 1.2 | 1.3 | 2.3 | 4.4 | 8.5 | 19.8 | 39.4 |
| BP GPU | S4 (w/o opt) | 4.1 | 5.7 | 10.2 | 19.4 | 38.1 | 80.1 | 161.2 |
| | S4 (w. opt.) | 3.5 | 4 | 6.6 | 11.9 | 22.6 | 48.9 | 97.8 |
| | SGConv | 2.0 | 2.7 | 5.0 | 9.6 | 18.6 | 41.2 | 82.5 |

Table 2: Comparison of the inference and backpropagation time (ms/batch) of S4 and SGConv blocks (number of channels 128, batch size 64) on CPU and GPU. Note that the parameterization in S4 requires a customized CUDA kernel to improve the efficiency (refer to opt. in the Table). Nevertheless, SGConv still *always* surpasses S4 even compared to the optimized CUDA kernel.

SGConv achieves a 1% improvement in average accuracy upon well-tuned S4 variants introduced in Gu et al. (2022b). Notably, SGConv is guided by the two intuitive principles and has a much simpler structure than S4 (Gu et al., 2022b). The detailed implementation settings can be found in Appendix A.1.

4.1.2 ABLATION STUDY ON IMDB

We conduct ablation studies on the IMDB byte-level document classification task in the LRA benchmark. We mainly focus on two aspects: 1) The speed of decaying and 2) The parameter dimension d of each scale. For simplicity, in the standard SGConv formulation (Eq. (1)), we fix the decay coefficient $\alpha = 1/2$ and only tune the dimension d . However, the actual decay speed as a function of the position in the kernel depends both on α and d , making it hard to conduct ablation studies. Thus, we use a slightly different convolution kernel that disentangles the decay speed and the dimension of each scale:

$$\text{Cat}^*(S) = \frac{1}{Z} [k_0, k_1, \dots, k_{N-1}] \odot \left[\frac{1}{1^t}, \frac{1}{2^t}, \dots, \frac{1}{L^t} \right], \text{ where } k_i = \text{Upsample}_{2^{\max[i-1, 0]d}}(\mathbf{w}_i). \quad (2)$$

t here then controls the decay speed, which is independent of each scale’s dimension. We conduct two sets of experiments: 1) Fix $d = 8$, vary t from 0 (which means no decay) to 2, and 2) Fix $t = 1$, vary d from 1 to 64. Figure 3 reports the accuracies in different settings. We can observe that 1) The decay structure is crucial for getting good performance, and 2) In a reasonable range, d has less impact on the performance than t . Nevertheless, we observe a trend of performance drop when increasing d from 8 to 64. Experiments on larger d show worse performance, which can be attributed to overfitting.

4.1.3 SPEED COMPARISON

In Table 2, we compare the computation speed of the S4 kernel and SGConv kernel in different settings. Due to its simplicity, SGConv is faster than S4 for any sentence length. SGConv is about 50% faster than the vanilla implementation of the S4 kernel and is 15% faster than the optimized CUDA kernel implementation without resorting to optimized CUDA kernels.

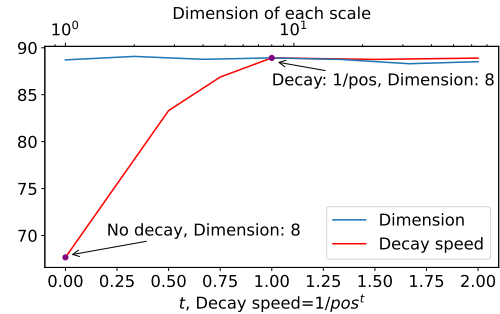


Figure 3: Ablation study on the effect of decay speed and hidden dimension of each scale on IMDB dataset. $pos \in [1, L]$ refers to the position in the convolution kernel. We observe: 1) The decay structure is crucial for getting good performance; 2) In a reasonable range, d has less impact on the performance than t .

4.2 SPEECH COMMANDS

The Speech Command (SC) dataset (Warden, 2018) is a 35-class dataset of 1 second (16000 HZ sampling rate) spoken words in English. However, followup works (Kidger et al., 2020; Gu et al., 2021b; Romero et al., 2021b;a) adopted a smaller 10-class subset of SC. And works (Romero et al., 2021a; Gu et al., 2021b) on the SC dataset specifically use pre-processing such as MFCC features. Our baselines are obtained from (Gu et al., 2021a; 2022a). Note that besides SSM-based models, there is no strong baseline for raw waveform classification using either the 10-class or the full dataset. And SSM-based methods also show the ability to perform 0-shot testing at lower sampling rate such as 8000 Hz. Table 3 shows that the SGConv yields better results compared to the SSM-based method among 4 out of 5 tasks. Notably, for the original SC (35-class), SGConv achieves marginally higher accuracy for raw-sequence classification and significantly better results (+2.40%) compared to the existing SoTA method.

| 10-cl | Transformer | Performer | NRDE | CKConv | WaveGAN-D | S4 | S4* | SGConv |
|-----------------|--------------|-----------|------------|-------------|-----------|-------|--------------|--------------|
| MFCC | 90.75 | 80.85 | 89.8 | 95.3 | X | 93.96 | 92.05 | 94.91 |
| 16000HZ | X | 30.77 | 16.49 | 11.6 | 71.66 | 98.32 | 97.98 | 97.52 |
| 8000HZ (0-shot) | X | 30.68 | 15.12 | 65.96 | X | 96.30 | 91.83 | 96.03 |
| 35-cl | InceptionNet | ResNet-18 | XResNet-50 | ConvNet | S4D | S4 | S4* | SGConv |
| 16000HZ | 61.24 | 77.86 | 83.01 | 95.51 | 96.25 | 96.08 | 96.27 | 96.42 |
| 8000HZ (0-shot) | 5.18 | 8.74 | 7.72 | 7.26 | 91.58 | 91.32 | 91.89 | 94.29 |

Table 3: Speech Command classification results compared to existing methods. * We carefully reproduce the S4 method based on the released code¹. Since the latest version removed 10-class experiments settings, we utilized a earlier version². The results suggest that for the SC 35-classification, SGConv achieves SoTA on both full length task and 2X sampling rate, zero-shot task.

4.3 FURTHER APPLICATIONS OF SGCONV

We further study SGConv as a generic network architecture *drop-in* component targeting tasks in language modeling and computer vision. In Section 4.3.1 we present an efficient mixture of attention and SGConv layers architecture that replaces half of the attention blocks in the Transformer with the SGConv blocks. We demonstrate the potential of utilizing such a model for long text processing. In Section 4.3.2, we incorporate SGConv (1D) into ConvNeXt (Liu et al., 2022). Surprisingly, SGConv achieves comparable or even better results compared to several SoTA CNN and Vision Transformer models by treating the 2D features as a 1D sequence.

4.3.1 LANGUAGE TASKS

Language modeling. We propose the SGConv block (shown in Figure 6) which is similar to the Attention block in Transformer (Vaswani et al., 2017). SGConv block enjoys both $O(L \log(L))$ time complexity and space complexity. We benchmark the inference time and GPU memory usage of both SGConv and Attention in Table 7. When the sequence length is 1024, SGConv block is $\sim 2.1X$ faster than the Attention block. For language modeling, we utilize the feature of SGConv to directly process the long sequences. The

| Model | Valid. | Test |
|---------------------|--------|--------------|
| LSTM+Hebb. | 29.0 | 29.2 |
| 16L Transformer-XL | - | 24.0 |
| 16L SGConv+SAtn | 21.90 | 22.83 |
| Adaptive Input | - | 18.7 |
| S4 | - | 20.95 |
| 18L Transformer-XL | - | 18.3 |
| 18L Transformer-XL* | 18.16 | 18.75 |
| 18L SGConv+SAtn | 18.10 | 18.70 |

Table 4: Performance comparison on WikiText-103.

¹<https://github.com/HazyResearch/state-spaces>

²<https://github.com/HazyResearch/state-spaces/tree/307f11bba801d5734235a1791df1859f6ae0e367>

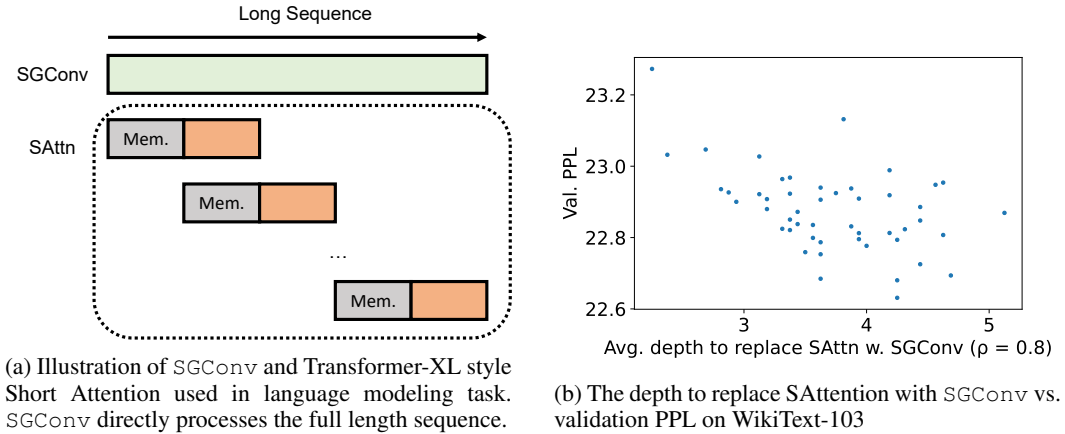


Figure 4: Incorporating SGConv to Transformer models in language tasks.

| | MNLI-m/mm | QNLI | QQP | SST | CoLA | STS | Avg. |
|------------|--------------------|--------------|--------------|--------------|--------------|--------------|--------------|
| BERT | 84.93/84.91 | 91.34 | 91.04 | 92.88 | 55.19 | 88.29 | 84.08 |
| SGConvBERT | 84.78/84.70 | 91.25 | 91.18 | 92.55 | 57.92 | 88.42 | 84.40 |

Table 5: Performance comparison of BERT and SGConvBERT on GLUE dataset. SGConvBERT is comparable with BERT while being more efficient. We exclude MRPC and RTE datasets in GLUE because their sizes are too small ($< 5K$ training samples).

Attention block only targets the short range data termed SAttention. We illustrate the structure in Figure 4a. Furthermore, we investigate the strategy to replace the Attention blocks with SGConv blocks. We generate 50 architectures with 8 SGConv blocks and 8 Attention blocks where the order is shuffled. We denote the average depth to replace the Attention blocks as: $\sum_{i=0}^{N_{SGConv}} \text{idx}_i / N_{total}$ where the idx denotes the i th SGConv depth position. $N_{SGConv} = 8$ and $N_{total} = 16$ in this case. The results in Figure 4b suggest that when fixing the number of SGConv layer, models achieve better performance by placing SGConv blocks in *deeper* layers. Guided by the strategy, we handcraft two Transformer-XL (Dai et al., 2019) style models. (1) 16-layer: $\{A, A, A, C\} \times 2 + \{A, C, C, C\} \times 2$. (2) 18-layer: $\{A, A, C\} \times 3 + \{A, C, C\} \times 3$. A denotes SAttention and C denotes SGConv. $\times N$ denotes repeating the order of layers for N times. We test the model on WikiText-103 (Merity et al., 2016) which is a wide-used language modeling benchmark with an average length of 3.6K tokens per article. We set both the attention and memory length to 384 for 18L model and 192 for 16L model. The length of input sequence is 3092 which can be processed by SGConv directly. We show the results in Table 4. Our results suggest that when the attention range is short, the 16L model outperform the baseline with -1.17 perplexity. For the 18L model, our model achieves 18.70 perplexity. Note that we use a smaller and affordable batch size (16) for training. Under the same setting, our model gains slightly better perplexity than Transformer-XL (-0.05). Our results show the potential of adopting SGConv as part of the language model for long range language sequence processing.

Sentence classification. We combine the SGConv block with the BERT model (Devlin et al., 2018). Concretely, we utilize the 12-layer $\{A, A, C\} \times 2 + \{A, C, C\} \times 2$ model. The pretraining is conducted on BooksCorpus (Zhu et al., 2015) and English Wikipedia (Foundation). We then fine-tune the model on the GLUE benchmark (Wang et al., 2019). To avoid the instability of fine-tuning on small datasets, we only test on tasks with more than $5K$ training samples. We follow the training and fine-tuning pipeline of Ke et al. (2020) (BERT-A in Table 1 of Ke et al. (2020)) and report the average accuracy of 5 different random seeds. SGConvBERT achieves comparable performance to the original BERT model, while the SGConv layer is more efficient than the attention layer.

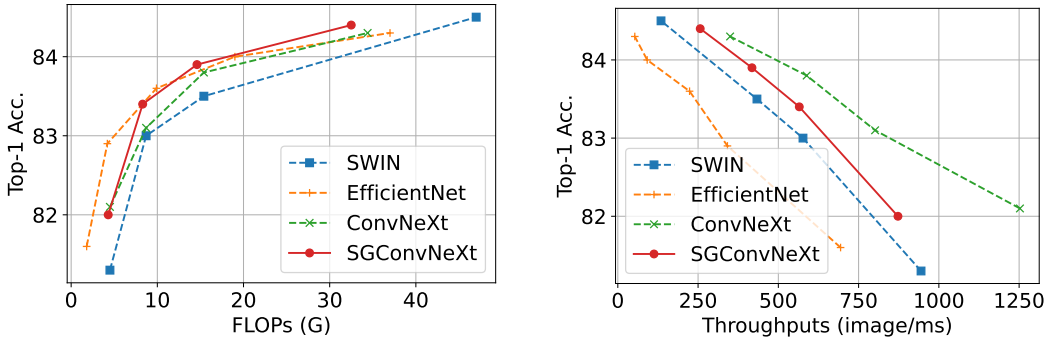


Figure 5: Comparison of ImageNet-1k Top-1 accuracy with SoTA works. Left: Top-1 Accuracy vs. FLOPs. Right: Top-1 Accuracy vs. throughputs.

4.3.2 IMAGE CLASSIFICATION

We also evaluate the adaptability of SGConv by applying it on large-scale image classification. We conduct experiments on ImageNet-1k (Deng et al., 2009) which consists of more than 1.28 million high-resolution training and 50,000 validation images. We replace the 7×7 2D convolutional kernels with SGConvs in ConvNeXt (Liu et al., 2022) denoted as SGConvNeXt. The block designs of SGConvNeXt are shown in Figure 7. Note we train the SGConvNeXt-Tiny/Small/Base/Large using hyperparameter settings from ConvNeXt⁴ without any changes. By treating the 2D features as sequences, our SGConvNeXt achieves better results compared to existing SoTA methods such as EfficientNets (Tan & Le, 2019), Swin Transformers (Liu et al., 2021) (shown in Figure 5). Note that Vision Transformer (Dosovitskiy et al., 2020) and its variants (Touvron et al., 2021a;b; Yu et al., 2022) adopt patching techniques that can lead to a quadratic increase in complexity with image size. Also, patching is incompatible with dynamic input resolutions while SGConvNeXt processes the data globally. We list several interesting directions that can be explored for future work: 1) Optimization for the long-range convolution: we noticed that though FFT theoretically requires less FLOPs than plain convolution, the throughput drops empirically. One reason is that there is no optimized CUDA implementation for 1D long-range convolution and can be a good direction for future work. 2) Optimized hyperparameters and data augmentation methods: ConvNeXts’ hyperparameters are tuned for maximum performance, which may not be ideal for SGConvNeXt. 3) SGConv for vision reasoning tasks: we show that SGConv is powerful for long-range synthetic reasoning tasks and large-scale classification tasks. It could be effective in visual reasoning applications such as Vision-Language Reasoning (Johnson et al., 2017; Zhu et al., 2020) with great potential.

5 DISCUSSION

In this paper, we attempt to answer the question of what makes convolutional models great again on long sequence modeling and summarize two principles contributing to the success. Based on the principles, we propose a simple and intuitive global convolutional model SGConv that has both direct implications and solid performance. Concurrent to our work there are also attempts to simplify the S4 model by restricting the state transition matrix to be diagonal (Gu et al., 2022a; Gupta, 2022). Compared to our paper, the proposal Gu et al. (2022a) again involves a nuanced design of parameterization and initialization schemes, which give intuition from state-space-model perspective to explain the S4. Instead, we hope our simpler principles and non-SSM-based model can open up a direction for general audiences to understand and try global convolution as a general-purpose module for tackling long-range dependency. This potential has been shown in a very recent paper (Ma et al., 2022) concurrent to our work, where the authors incorporate an exponential moving average layer to a Transformer-like model and achieve promising performance over several long sequence modeling tasks. The exponential moving average layer is a particular type of global convolution layer that naturally satisfies our two principles. We believe that similar global convolutional modules will emerge in the future as long-range dependency becomes increasingly critical for sequence modeling.

REFERENCES

- Martín Abadi, Ashish Agarwal, Paul Barham, Eugene Brevdo, Zhifeng Chen, Craig Citro, Greg S Corrado, Andy Davis, Jeffrey Dean, Matthieu Devin, et al. Tensorflow: Large-scale machine learning on heterogeneous distributed systems. *arXiv preprint arXiv:1603.04467*, 2016.
- Jinming Cao, Yangyan Li, Mingchao Sun, Ying Chen, Dani Lischinski, Daniel Cohen-Or, Baoquan Chen, and Changhe Tu. Do-conv: Depthwise over-parameterized convolutional layer. *IEEE Transactions on Image Processing*, 2022.
- Lei Chen. *Deep Learning and Practice with MindSpore*. Springer Nature, 2021.
- Tianqi Chen, Mu Li, Yutian Li, Min Lin, Naiyan Wang, Minjie Wang, Tianjun Xiao, Bing Xu, Chiyuan Zhang, and Zheng Zhang. Mxnet: A flexible and efficient machine learning library for heterogeneous distributed systems. *arXiv preprint arXiv:1512.01274*, 2015.
- Rewon Child, Scott Gray, Alec Radford, and Ilya Sutskever. Generating long sequences with sparse transformers. *arXiv preprint arXiv:1904.10509*, 2019.
- Zihang Dai, Zhilin Yang, Yiming Yang, William W Cohen, Jaime Carbonell, Quoc V Le, and Ruslan Salakhutdinov. Transformer-xl: Attentive language models beyond a fixed-length context. *arXiv preprint arXiv:1901.02860*, 2019.
- Jia Deng, Wei Dong, Richard Socher, Li-Jia Li, Kai Li, and Li Fei-Fei. Imagenet: A large-scale hierarchical image database. In *2009 IEEE conference on computer vision and pattern recognition*, pp. 248–255. Ieee, 2009.
- Jacob Devlin, Ming-Wei Chang, Kenton Lee, and Kristina Toutanova. Bert: Pre-training of deep bidirectional transformers for language understanding. *arXiv preprint arXiv:1810.04805*, 2018.
- Xiaohan Ding, Yuchen Guo, Guiguang Ding, and Jungong Han. Acnet: Strengthening the kernel skeletons for powerful cnn via asymmetric convolution blocks. In *Proceedings of the IEEE/CVF international conference on computer vision*, pp. 1911–1920, 2019.
- Xiaohan Ding, Xiangyu Zhang, Ningning Ma, Jungong Han, Guiguang Ding, and Jian Sun. Repvgg: Making vgg-style convnets great again. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pp. 13733–13742, 2021.
- Alexey Dosovitskiy, Lucas Beyer, Alexander Kolesnikov, Dirk Weissenborn, Xiaohua Zhai, Thomas Unterthiner, Mostafa Dehghani, Matthias Minderer, Georg Heigold, Sylvain Gelly, et al. An image is worth 16x16 words: Transformers for image recognition at scale. *arXiv preprint arXiv:2010.11929*, 2020.
- Wikimedia Foundation. Wikimedia downloads. URL <https://dumps.wikimedia.org>.
- Albert Gu, Tri Dao, Stefano Ermon, Atri Rudra, and Christopher Ré. Hippo: Recurrent memory with optimal polynomial projections. *Advances in Neural Information Processing Systems*, 33: 1474–1487, 2020.
- Albert Gu, Karan Goel, and Christopher Ré. Efficiently modeling long sequences with structured state spaces. *arXiv preprint arXiv:2111.00396*, 2021a.
- Albert Gu, Isys Johnson, Karan Goel, Khaled Saab, Tri Dao, Atri Rudra, and Christopher Ré. Combining recurrent, convolutional, and continuous-time models with linear state space layers. *Advances in neural information processing systems*, 34:572–585, 2021b.
- Albert Gu, Ankit Gupta, Karan Goel, and Christopher Ré. On the parameterization and initialization of diagonal state space models. *arXiv preprint arXiv:2206.11893*, 2022a.
- Albert Gu, Isys Johnson, Aman Timalina, Atri Rudra, and Christopher Ré. How to train your hippo: State space models with generalized orthogonal basis projections. *arXiv preprint arXiv:2206.12037*, 2022b.

- John Guibas, Morteza Mardani, Zongyi Li, Andrew Tao, Anima Anandkumar, and Bryan Catanzaro. Efficient token mixing for transformers via adaptive fourier neural operators. In *International Conference on Learning Representations*, 2021.
- Shuxuan Guo, Jose M Alvarez, and Mathieu Salzmann. Expandnets: Linear over-parameterization to train compact convolutional networks. *Advances in Neural Information Processing Systems*, 33:1298–1310, 2020.
- Ankit Gupta. Diagonal state spaces are as effective as structured state spaces. *arXiv preprint arXiv:2203.14343*, 2022.
- Ramin Hasani, Mathias Lechner, Tsun-Hsuan Wang, Makram Chahine, Alexander Amini, and Daniela Rus. Liquid structural state-space models. *arXiv preprint arXiv:2209.12951*, 2022.
- Kaiming He, Xiangyu Zhang, Shaoqing Ren, and Jian Sun. Deep residual learning for image recognition. In *Proceedings of the IEEE conference on computer vision and pattern recognition*, pp. 770–778, 2016.
- Justin Johnson, Bharath Hariharan, Laurens Van Der Maaten, Li Fei-Fei, C Lawrence Zitnick, and Ross Girshick. Clevr: A diagnostic dataset for compositional language and elementary visual reasoning. In *Proceedings of the IEEE conference on computer vision and pattern recognition*, pp. 2901–2910, 2017.
- Guolin Ke, Di He, and Tie-Yan Liu. Rethinking positional encoding in language pre-training. In *International Conference on Learning Representations*, 2020.
- Patrick Kidger, James Morrill, James Foster, and Terry Lyons. Neural controlled differential equations for irregular time series. *Advances in Neural Information Processing Systems*, 33:6696–6707, 2020.
- Junkyung Kim, Drew Linsley, Kalpit Thakkar, and Thomas Serre. Disentangling neural mechanisms for perceptual grouping. In *International Conference on Learning Representations*, 2019.
- Nikita Kitaev, Lukasz Kaiser, and Anselm Levskaya. Reformer: The efficient transformer. In *International Conference on Learning Representations*, 2019.
- Drew Linsley, Junkyung Kim, Vijay Veerabadrán, Charles Windolf, and Thomas Serre. Learning long-range spatial dependencies with horizontal gated recurrent units. *Advances in neural information processing systems*, 31, 2018.
- Ze Liu, Yutong Lin, Yue Cao, Han Hu, Yixuan Wei, Zheng Zhang, Stephen Lin, and Baining Guo. Swin transformer: Hierarchical vision transformer using shifted windows. In *Proceedings of the IEEE/CVF International Conference on Computer Vision*, pp. 10012–10022, 2021.
- Zhuang Liu, Hanzi Mao, Chao-Yuan Wu, Christoph Feichtenhofer, Trevor Darrell, and Saining Xie. A convnet for the 2020s. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pp. 11976–11986, 2022.
- Xuezhe Ma, Chunting Zhou, Xiang Kong, Junxian He, Liangke Gui, Graham Neubig, Jonathan May, and Luke Zettlemoyer. Mega: Moving average equipped gated attention. *arXiv preprint arXiv:2209.10655*, 2022.
- YanJun Ma, Dianhai Yu, Tian Wu, and Haifeng Wang. Paddlepaddle: An open-source deep learning platform from industrial practice. *Frontiers of Data and Computing*, 1(1):105–115, 2019.
- Stephen Merity, Caiming Xiong, James Bradbury, and Richard Socher. Pointer sentinel mixture models. *arXiv preprint arXiv:1609.07843*, 2016.
- Aaron van den Oord, Sander Dieleman, Heiga Zen, Karen Simonyan, Oriol Vinyals, Alex Graves, Nal Kalchbrenner, Andrew Senior, and Koray Kavukcuoglu. Wavenet: A generative model for raw audio. *arXiv preprint arXiv:1609.03499*, 2016.
- Hao Peng, Nikolaos Pappas, Dani Yogatama, Roy Schwartz, Noah Smith, and Lingpeng Kong. Random feature attention. In *International Conference on Learning Representations*, 2021. URL <https://openreview.net/forum?id=QtTKTdVrFBB>.

- Zhen Qin, Weixuan Sun, Hui Deng, Dongxu Li, Yunshen Wei, Baohong Lv, Junjie Yan, Lingpeng Kong, and Yiran Zhong. cosformer: Rethinking softmax in attention. In *International Conference on Learning Representations*, 2021.
- Yongming Rao, Wenliang Zhao, Zheng Zhu, Jiwen Lu, and Jie Zhou. Global filter networks for image classification. *Advances in Neural Information Processing Systems*, 34:980–993, 2021.
- David W Romero, Robert-Jan Bruintjes, Jakub Mikolaj Tomczak, Erik J Bekkers, Mark Hoogendoorn, and Jan van Gemert. Flexconv: Continuous kernel convolutions with differentiable kernel sizes. In *International Conference on Learning Representations*, 2021a.
- David W Romero, Anna Kuzina, Erik J Bekkers, Jakub Mikolaj Tomczak, and Mark Hoogendoorn. Ckconv: Continuous kernel convolution for sequential data. In *International Conference on Learning Representations*, 2021b.
- David W Romero, David M Knigge, Albert Gu, Erik J Bekkers, Efstratios Gavves, Jakub M Tomczak, and Mark Hoogendoorn. Towards a general purpose cnn for long range dependencies in nd. *arXiv preprint arXiv:2206.03398*, 2022.
- Tim Salimans and Durk P Kingma. Weight normalization: A simple reparameterization to accelerate training of deep neural networks. *Advances in neural information processing systems*, 29, 2016.
- Uri Shaham, Elad Segal, Maor Ivgi, Avia Efrat, Ori Yoran, Adi Haviv, Ankit Gupta, Wenhan Xiong, Mor Geva, Jonathan Berant, and Omer Levy. Scrolls: Standardized comparison over long language sequences, 2022.
- Jimmy TH Smith, Andrew Warrington, and Scott W Linderman. Simplified state space layers for sequence modeling. *arXiv preprint arXiv:2208.04933*, 2022.
- Mingxing Tan and Quoc Le. Efficientnet: Rethinking model scaling for convolutional neural networks. In *International conference on machine learning*, pp. 6105–6114. PMLR, 2019.
- Y Tay, D Bahri, D Metzler, D Juan, Z Zhao, and C Zheng. Synthesizer: Rethinking self-attention in transformer models. *arxiv 2020. arXiv preprint arXiv:2005.00743*, 2, 2020a.
- Yi Tay, Mostafa Dehghani, Samira Abnar, Yikang Shen, Dara Bahri, Philip Pham, Jinfeng Rao, Liu Yang, Sebastian Ruder, and Donald Metzler. Long range arena: A benchmark for efficient transformers. *arXiv preprint arXiv:2011.04006*, 2020b.
- Hugo Touvron, Matthieu Cord, Matthijs Douze, Francisco Massa, Alexandre Sablayrolles, and Hervé Jégou. Training data-efficient image transformers & distillation through attention. In *International Conference on Machine Learning*, pp. 10347–10357. PMLR, 2021a.
- Hugo Touvron, Matthieu Cord, Alexandre Sablayrolles, Gabriel Synnaeve, and Hervé Jégou. Going deeper with image transformers. In *Proceedings of the IEEE/CVF International Conference on Computer Vision*, pp. 32–42, 2021b.
- Aaron Van den Oord, Nal Kalchbrenner, Lasse Espeholt, Oriol Vinyals, Alex Graves, et al. Conditional image generation with pixelcnn decoders. *Advances in neural information processing systems*, 29, 2016.
- Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N Gomez, Łukasz Kaiser, and Illia Polosukhin. Attention is all you need. In *Advances in neural information processing systems*, pp. 5998–6008, 2017.
- Alex Wang, Amanpreet Singh, Julian Michael, Felix Hill, Omer Levy, and Samuel R. Bowman. Glue: A multi-task benchmark and analysis platform for natural language understanding. 2019. In the Proceedings of ICLR.
- Sinong Wang, Belinda Z. Li, Madian Khabsa, Han Fang, and Hao Ma. Linformer: Self-attention with linear complexity, 2020.
- Pete Warden. Speech commands: A dataset for limited-vocabulary speech recognition. *arXiv preprint arXiv:1804.03209*, 2018.

- Weihao Yu, Mi Luo, Pan Zhou, Chenyang Si, Yichen Zhou, Xinchao Wang, Jiashi Feng, and Shuicheng Yan. Metaformer is actually what you need for vision. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pp. 10819–10829, 2022.
- Sergey Zagoruyko and Nikos Komodakis. Diracnets: Training very deep neural networks without skip-connections. *arXiv preprint arXiv:1706.00388*, 2017.
- Manzil Zaheer, Guru Guruganesh, Kumar Avinava Dubey, Joshua Ainslie, Chris Alberti, Santiago Ontanon, Philip Pham, Anirudh Ravula, Qifan Wang, Li Yang, et al. Big bird: Transformers for longer sequences. *Advances in Neural Information Processing Systems*, 33:17283–17297, 2020.
- Fengda Zhu, Yi Zhu, Xiaojun Chang, and Xiaodan Liang. Vision-language navigation with self-supervised auxiliary reasoning tasks. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pp. 10012–10022, 2020.
- Yukun Zhu, Ryan Kiros, Rich Zemel, Ruslan Salakhutdinov, Raquel Urtasun, Antonio Torralba, and Sanja Fidler. Aligning books and movies: Towards story-like visual explanations by watching movies and reading books. In *Proceedings of the IEEE international conference on computer vision*, pp. 19–27, 2015.

A DETAILED EXPERIMENTAL RESULTS

A.1 LONG RANGE ARENA

Here we report the detailed implementation of the LRA experiments. We use the concatenation style combination of sub-kernels in all experiments and mildly tune the dimension of each scale. Since the *SGConv* exhibits a strong ability to fit data, we slightly increase the dropout for some tasks to prevent overfitting. Table 6 lists the detailed hyperparameters used in LRA. In most experiments, we set α to $1/2$, which approximately decays in speed $1/pos$. Experiments on flattened 2D images require some special modification of the kernel. We hypothesize that it is because images require more subtle inductive bias. For the experiment on the Image dataset, we use the disentangled version of parameterization and combination weights as described in Section 4.1.2 and set the decay speed to be $1/pos$. For the experiment on the Pathfinder-X task, we initialize convolution kernels in different channels with cosine waves with different frequencies and randomly assign α ranging from 1 to $1/3$ to different channels. Both these modifications bring about 1% improvement compared to standard fixed $\alpha = 1/2$ and random initialization. The remaining hyperparameters and experimental settings are same to Gu et al. (2022a) which can be found in the Github repo¹.

| | ListOps | Text | Retrieval | Image | Pathfinder | Path-X |
|------------|---------|-------|-----------|-------|------------|--------|
| Acc. | 61.45 | 89.20 | 91.11 | 87.97 | 95.46 | 97.83 |
| Scale dim. | 1 | 2 | 1 | 32 | 32 | 64 |
| Dropout | 0 | 0 | 0 | 0.2 | 0.2 | 0 |

Table 6: Hyperparameters used in LRA experiments.

A.2 SPEECH COMMAND

For Speech Command 10-class task, we use the same training setting from Gu et al. (2021a) earlier version Github repo². For Speech Command 35-class task, we use the training setting from the Github repo¹. The scale dimension of *SGConv* is 32.

A.3 LANGUAGE TASK

Our implementation for Language Task is based on the project ³. For the 16-L model, we utilize 3072 as the sequence length for *SGCONV* and 192 as both the attention and memory length for *SAttention*. For the 18-L model, we utilize 3072 as the sequence length for *SGCONV* and 384 as both the attention and memory length for *SAttention*. The *SGConv* has 96 as the scale dimension. We adopt the training settings from the above mentioned project 3 except the batch size which is reduced to 64. The *SGConv* block is shown in Figure 4.

³<https://github.com/NVIDIA/DeepLearningExamples/tree/master/PyTorch/LanguageModeling/Transformer-XL>

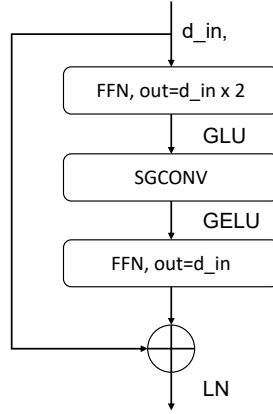


Figure 6: SGConv block

| | | 256 | 512 | 1024 | 2048 | 3072 |
|--------------|-----------------|------------|------------|-------------|-------------|-------------|
| Attn. Block | Inf. (ms/batch) | 2.6 | 7.3 | 23.2 | 91.7 | X |
| | Mem. (GB) | 2.6 | 3.9 | 7.9 | 23.9 | OOM |
| SGConv Block | Inf. (ms/batch) | 2.7 | 5.4 | 10.9 | 21.8 | 43.6 |
| | Mem. (GB) | 2.6 | 3.4 | 5.2 | 8.7 | 15.7 |

Table 7: Comparison of inference time and GPU memory utilization with Attention blocks. SGConv has significantly less memory usage and faster inference speed when the sequence increases.

A.4 IMAGE CLASSIFICATION

We use the training settings in the work Liu et al. (2022)⁴. Since the SGConvNeXt has several downsampling layers, we fixed the scale to 5 and the scale dimensions are calculated based on the flattened features length of the corresponding layers. The structure is shown in Figure 7. The results are shown in Table 8. The visualization of the SGConvNeXt-Base outputs are shown in Figure 9. The visualization of the SGConv kernels at different stages are shown in Figure 10.

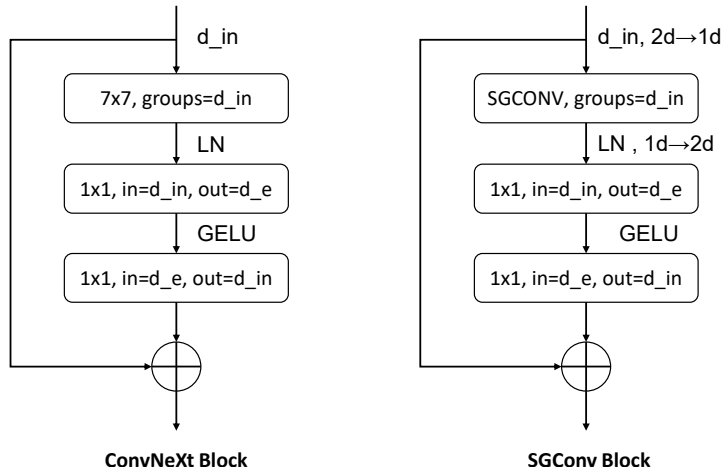


Figure 7: SGConvnext

⁴<https://github.com/facebookresearch/ConvNeXt>

| model | FLOPs | throughput (image/s) | params | Acc. |
|------------------------------------|-------|-------------------------|--------|------|
| Swin-T | 4.5G | 944.5 | 29M | 81.3 |
| Swin-S | 8.7G | 576.8 | 50M | 83.0 |
| Swin-B | 15.4G | 433.4 | 88M | 83.5 |
| Swin-B ₃₈₄ ² | 47.0G | 134.6 | 88M | 84.5 |
| ConvNeXt-T | 4.5G | 1252.6 | 29M | 82.1 |
| ConvNeXt-S | 8.7G | 801.4 | 50M | 83.1 |
| ConvNeXt-B | 15.4G | 588.3 | 89M | 83.8 |
| ConvNeXt-L | 34.4G | 349.8 | 198M | 84.3 |

| model | FLOPs | throughput (image/s) | params | Acc. |
|---------------------------------------|-------|-------------------------|--------|------|
| EffNet-B3 ₃₀₀ ² | 1.8G | 693.9 | 12M | 81.6 |
| EffNet-B4 ₃₈₀ ² | 4.2G | 341.5 | 19M | 82.9 |
| EffNet-B5 ₄₅₆ ² | 9.9G | 223.5 | 30M | 83.6 |
| EffNet-B6 ₅₂₈ ² | 19.0G | 91.5 | 43M | 84.0 |
| EffNet-B7 ₆₀₀ ² | 37.0G | 52.9 | 66M | 84.3 |
| SGConvNeXt-T | 4.3G | 872.6 | 29M | 82.0 |
| SGConvNeXt-S | 8.3G | 565.3 | 51M | 83.4 |
| SGConvNeXt-B | 14.6G | 417.9 | 90M | 83.9 |
| SGConvNeXt-L | 32.5G | 256.7 | 200M | 84.4 |

Table 8: Comparison of ImageNet-1k Top-1 accuracy with SoTA works.

B DETAILED IMPLEMENTATION

B.1 ILLUSTRATION OF SGCONV MODULE

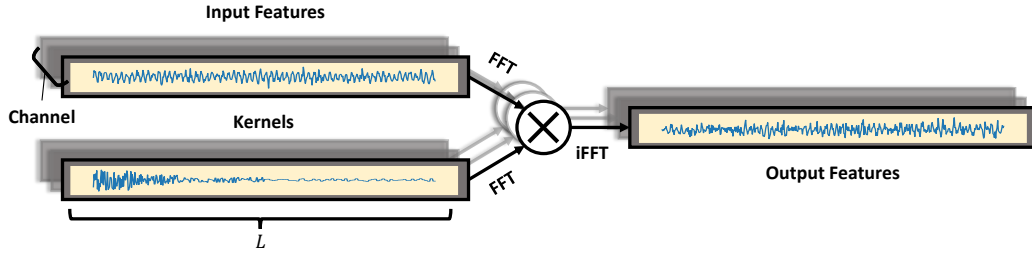


Figure 8: Implementing SGConv with FFT. We first compute the convolutional kernels for each channel as described in Section 3.2, and apply the depth-wise global convolution to the input features.

B.2 PYTHON STYLE PSEUDO-CODE

```

# Parameters
kernel_param_list = [] # w_i
for _ in range(num_scales):
    kernel_param_list.append(
        nn.Parameter(torch.randn(hidden_dim, kernel_dim))
    ) # size: h * d

# Compute global convolution kernel
kernel_list = [] # k_i
for i in range(num_scales):
    kernel = F.interpolate(
        kernel_param_list[i],
        scale_factor = 2**max(0, i-1),
        mode = "linear"
    ) * 0.5 ** i # alpha = 0.5
    kernel_list.append(kernel)
# The computed kernel, size: h * (d * 2^{s-1})
k = torch.cat(kernel_list, dim=-1)

# Normalize kernel
if is_init: # Compute the norm at initialization
    kernel_norm = k.norm(dim=-1, keepdim=True).detach()
k = k / kernel_norm

```



```

# Use kernel to compute global convolution
# x: batch_size * hidden_dim * seq_len
L = x.size(-1)
# Truncate kernel if it is too long
k = k[..., :L]
# Use FFT to compute convolution
x_f = torch.fft.rfft(x, n=2*L)
k_f = torch.fft.rfft(k, n=2*L)
y_f = torch.einsum("b h l, h l -> b h l", x_f, k_f)

# Inverse FFT to get the result
y = torch.fft.irfft(y_f, n=2*L)[..., :L]

```

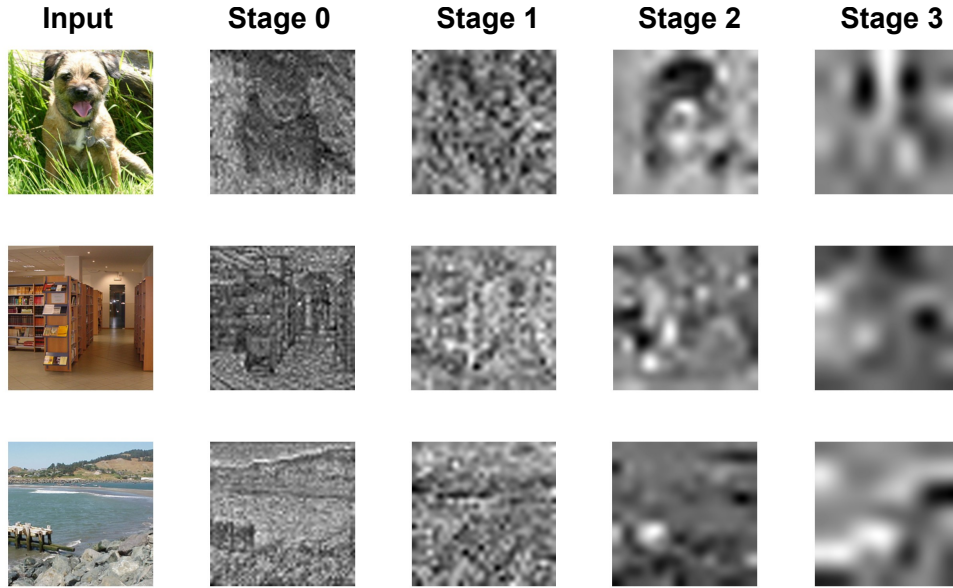
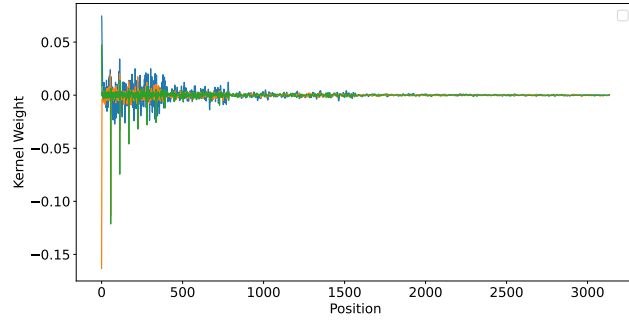
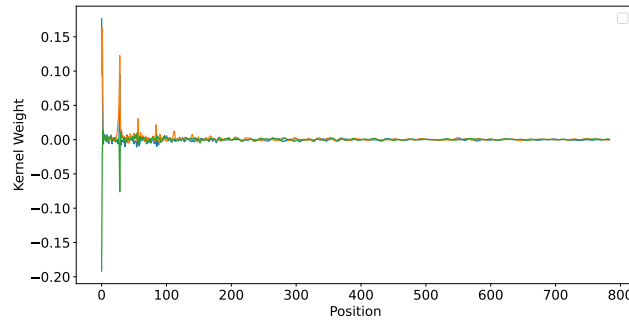


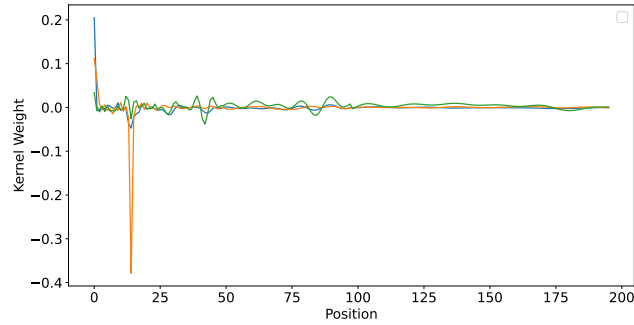
Figure 9: Visualization of the intermediate features of SGConvNeXt on ImageNet-1k dataset.



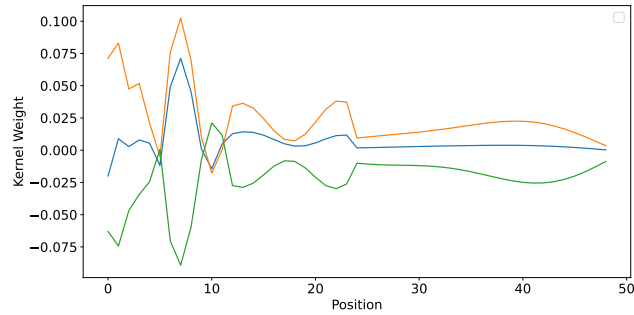
(a) Visualization of kernels at Stage 0.



(b) Kernels at Stage 1.



(c) Kernels at Stage 2.



(d) Kernels at Stage 3.

Figure 10: Kernels in SGConvNeXt at different stages.