COLD BREW: DISTILLING GRAPH NODE REPRESENTATIONS WITH INCOMPLETE OR MISSING NEIGHBORHOODS

ABSTRACT

Graph Neural Networks (GNNs) have demonstrated superior performance in node classification or regression tasks, and have emerged as the state of the art in several applications. However, (inductive) GNNs require the edge connectivity structure of nodes to be known beforehand to work well. This is often not the case in several practical applications where the node degrees have power-law distributions, and nodes with a few connections might have noisy edges. An extreme case is the strict cold start (SCS) problem, where there is no neighborhood information available, forcing prediction models to rely completely on node features only. To study the viability of using inductive GNNs to solve the SCS problem, we introduce feature-contribution ratio (FCR), a metric to quantify the contribution of a node's features and that of its neighborhood in predicting node labels, and use this new metric as a model selection reward. We then propose **Cold Brew**, a new method that generalizes GNNs better in the SCS setting compared to pointwise and graph-based models, via a distillation approach. We show experimentally how FCR allows us to disentangle the contributions of various components of graph datasets, and demonstrate the superior performance of Cold Brew on several public benchmarks and proprietary e-commerce datasets.

1 INTRODUCTION

Graph Neural Networks (GNNs) have emerged as powerful modeling tools, achieving state-of-the-art results across a wide range of applications such as graph classification, node classification/regression, link prediction (Wu et al., 2020; Goyal & Ferrara, 2018) and recommender systems (Kherad & Bidgoly, 2020; Shaikh et al., 2017; Silva et al., 2010; Zhang et al., 2019). GNNs rely on the principle of Message Passing to aggregate node features across multi-hop neighborhoods on a graph to learn aggregated representations, which can be used in downstream tasks. GNNs' strong representation-learning ability is due to their appropriate inductive bias in processing two components of graph datasets: they process *node features* by distributed node-wise transformations, and process *adjacency structure* by localized neighborhood aggregations. For the first component, GNNs apply shared feature transformations to all nodes regardless of the neighborhoods, while for the second component, GNNs aggregate neighborhood information without taking feature transformations.

The success of modern GNNs (Kipf & Welling, 2016; Veličković et al., 2017; Hamilton et al., 2017; Xu et al., 2018a; Wu et al., 2019; Klicpera et al., 2018) relies on effectively making use of the (deep) neighborhood information around a node to learn node representations. Methods that rely on spectral diffusion (Joachims, 2003; Chaudhuri et al., 2012; Zhang & Rohe, 2018; Wang et al., 2021) and label propagation (Huang et al., 2020a; Zhu, 2005) also require a non-empty neighborhood per node to perform message passing. Inductive GNNs (e.g., Hamilton et al. (2017)) learn a function of the node feature and the node neighborhood, and require the neighborhood to be present during test time.

However, modern large-scale graphs are power-law in nature, with a majority of the nodes having very few connections (Hao et al., 2021; Ding et al., 2021; Lam et al., 2008; Lu et al., 2020): as conceptually illustrated in Figure 1 and also to be demonstrated later in Table 3. Indeed, in many information retrieval and recommender systems applications, the challenge of *Strict Cold Start* (**SCS**) exists almost ubiquitously (Li et al., 2019b; Ding et al., 2021) wherein some "nodes" will have no

edges in the graph. In these cases, it is hard to ascertain whether GNNs are truly inductive in nature: they are suboptimal when neighborhoods are overly sparse (tail data) or absent (cold start).

In this paper, we develop GNN models that can achieve *truly inductive* capabilities: one can learn effective node embeddings for "orphaned" nodes in a graph. This capability is important to fully realize the potential of large-scale GNN models on modern, industrial-sized datasets with very long tails and nodes with no neighbors in the graph. To this end, we first develop a metric called Feature-Contribution Ratio (FCR) that disentangles the contribution of node features and the node neighborhood. FCR gives a measure of importance of the neighborhood structure for a particular dataset and quantifies the difficulty of learning truly inductive GNNs. It can further become a criterion to select the optimal model architecture that suits SCS generalization for a dataset.

Figure 1: **Top:** Graphs may have a power law distribution, with a large fraction of nodes (yellow) having few to no neighbors. **Bottom:** This is often the case in real world datasets (also see Table 3), and a GNN depending on the node neighborhood will not generalize to the tail/cold start nodes.

We then adopt the teacher-student knowledge distillation procedure (Yang et al., 2021; Chen et al., 2020b), and propose **Cold Brew** to distill the knowledge of a GNN teacher into an MLP student. The Cold Brew framework boils down to addressing two key questions: ❶ how do we efficiently distill the teacher's knowledge for the sake of tail and cold start generalization, and ❷ how can a student make use of this knowledge. For ❶, we apply a node-wise embedding, which we term as *Structural Embedding* (SE) to capture and distill rich node self-information from their labels. For ❷, we introduce a replacement step in the student model (multilayer perceptron, MLP) to enable neighborhood aggregation of estimated virtual nodes in the graph. Note that in contrast to traditional knowledge distillation (Hinton et al., 2015), our aim is not to train a simpler student model to perform as well as the more complex teacher. Instead, we aim to train a "better-than-teacher" student model that generalizes to SCS samples where the teacher will be ineffective. We summarize our key contributions as follows:

- We design the Cold Brew framework to distill a GNN teacher into an MLP student. We also propose to add node-wise structural embeddings (SEs) in the teacher GNN to capture more expressive node self-information from their labels. The MLP student will behave like the GNN teacher but generalize better to tail and cold-start nodes, where the GNN teacher may fail since it does not require explicit neighborhood information.

- We propose Feature-Contribution Ratio (FCR): a new metric for graphs on the contribution ratio of node features w.r.t. the adjacency structure. We show that FCR for any given dataset indicates the difficulty in generalizing to tail and cold-start nodes in that dataset. We further leverage FCR as a principled "reward" to select the best model architectures for both the GNN teacher and the MLP student in Cold Brew.

- Through extensive experiments on several public datasets as well as a proprietary e-commerce graph, we validate the effectiveness of FCR and further demonstrate the superiority of both SEs and the entire framework of Cold Brew.

1.1 PROBLEM SETUP

Assume there to be a graph $\mathcal{G}$ with node set $\mathcal{V}$, $|\mathcal{V}| = N$. Each node possesses a $d_{in}-$ dimensional feature and a $d_{out}-$ dimensional label (either d_{out} classes or a continuous vector in case of regression). Let $\mathbf{X}^0 \in \mathbb{R}^{N \times d_{in}}$ and $\mathbf{Y} \in \mathbb{R}^{N \times d_{out}}$ be the matrices of node features and labels, respectively. Let $\mathcal{N}_i$ be the neighborhood of node $i \in [N]$. As is the case with modern, large-scale graphs, $|\mathcal{N}_i|$ is small for several nodes in the graph, which we refer to as tail nodes. For many nodes, $|\mathcal{N}_i| = 0$, and we refer to these nodes as cold-start nodes. A traditional L-layer GNN learns representations for the ith

node at the lth layer as a function of the representations at the $(l-1)^{th}$ layer and its neighborhood:

$$x_i^l := f\left(\{x_i^{l-1}\}, \{x_j^{l-1}\}_{j \in \mathcal{N}_i}\right) \tag{1}$$

where $f(\cdot)$ is a general GNN that applies a node-wise transformation on node x_i, and aggregates information of its neighborhood $\{x_j^{l-1}\}_{j \in \mathcal{N}_i}$ to obtain the final node representation. Given i's input features x_i^0 and its neighborhood $\mathcal{N}_i$, one can use (1) to obtain its representation and predict y_i, making these models inductive.

We are interested in improving the performance of these GNNs on a set of tail and cold-start nodes, where $\mathcal{N}_i$ for node i is either unreliable[1] or absent. In these cases, applying (1) will yield a suboptimal node representation, as can be seen from Table 3, since $\{x_j^{l-1}\}_{j \in \mathcal{N}_i}$ will be unreliable or useless.

2 RELATED WORK

GNNs learn by aggregating neighborhood information to learn node representations (Kipf & Welling, 2016; Veličković et al., 2017; Hamilton et al., 2017; Xu et al., 2018a; Wu et al., 2019; Klicpera et al., 2018). Inductive variants of GNNs such as GraphSAGE (Hamilton et al., 2017) require initial node features as well as the neighborhood information of each node to learn the representation. Most works on improving GNNs have focused on learning better aggregation functions, and methods that can work when the neighborhood is absent or noisy have not been forthcoming.

In the context of cold start, Hao et al. (2021) and Ding et al. (2021) employ a transfer learning approach. Yang et al. (2021) proposes a knowledge distillation for GNN, while Chen et al. (2020b) propose a self-distillation approach. In all the above cases, the models need full knowledge of the neighbors of the cold-start nodes in question and do not address the case of noisy or missing neighborhoods. Another possible solution is to directly train Multi-Layer-Perceptron (MLP) that only take node features. Hu et al. (2021) propose to learn graph embeddings with only node-wise MLP, while using contrastive loss to regularize the graph structure; however, in order to train it with contrastive loss, the training process still relies on neighbor information.

Some previous works have studied the relation between node feature similarity and edge connections and studied their influence on the selection of appropriate graph models. Pei et al. (2020) proposed the homophily metric that categorizes the graphs into assortative and disassortative classes. Wang et al. (2021) dissected the feature propagation steps of linear GCNs from a perspective of continuous graph diffusion and analyzed why linear GCNs fail to benefit from more propagation steps. Liu et al. (2020b) further studied the influence of homophily on model selection and proposed a non-local GNN. Compared with homophily metric, our proposed FCR quantifies the contribution ratio of node features w.r.t. the adjacency structure. With the FCR, one can not only assess the loss of performance for orphaned nodes (through the difference of MLP and GNN), but also obtain the most suitable configuration of the GNN model through a parameter-searching procedure when computing FCR.

3 STRICT COLD START GENERALIZATION

We now address the problem of generalization to the tail and cold-start nodes, where neighborhood information is missing/noisy (Section 1). A naive baseline is to train an MLP to map node features to structural embeddings, disregarding all graph information. While this model will generalize to the regime of interest, it is blind to the graph structure. For some graphs, such naive approach will be suboptimal, as to be shown via the Feature Contribution Ratio later.

We assume that the tail and cold-start nodes have latent neighborhood information which can be (a) inferred and (b) used to train "graph-based" models. We develop a strategy that can use the "head" part of the graph (Figure 1) to guide the discovery of these latent neighborhoods, which in turn are used along with existing node features to generalize to our regime of interest.

We adopt the knowledge distillation procedure (Yang et al., 2021; Chen et al., 2020b) to improve the quality of the learned embeddings for tail and cold-start nodes. We use a teacher GNN model to

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[1]For example, a user with only one movie watched or an item with too few purchases.

(a) GNN Atomic Components   (b) Cold-Brew: from teacher GNN to student MLP

Figure 2: (a): Four atomic components of node i in deciding its embeddings learned by the GNN. Our proposed FCR metric disentangles them into two models: the MLP that only considers Part 1 and Part 3, and featureless GNN that only considers Part 1 and Part 2. (b): Inference procedure of the proposed cold-brew MLP with the help of Structural Embedding from teacher GNN.

embed the nodes onto a low-dimensional manifold by utilizing the graph structure. Then the job of the student is to learn a mapping from the node features to this manifold, without knowledge of the graph that the teacher has. We further aims to let the student model generalize to SCS cases where the teacher model fails, beyond just mimicking the teacher as standard knowledge distillation does.

We set the teacher to be a GNN model and the student to be an MLP. We then describe the **Cold Brew** framework, which consists of two stages: (1) injecting a structural embedding into the teacher GNN to help it capture enriched latent neighborhood information (Section 3.1); (2) training an MLP student from the GNN teacher, whose core is an attention-based replacement step to select and aggregate the virtual neighborhood information inferred by the teacher GNN (Section 3.2).

3.1 THE TEACHER MODEL OF COLD BREW: STRUCTURAL EMBEDDING GNN

Suppose we are given a graph $\mathcal{G}$. For a GNN with L layers, the l-th layer transformation can be written as[2]: $\mathbf{X}^{(l+1)} = \sigma(\tilde{\boldsymbol{A}}\mathbf{X}^{(l)}\mathbf{W}^{(l)})$, where $\tilde{\boldsymbol{A}}$ is the normalized adjacency matrix, $\tilde{\boldsymbol{A}} = \mathbf{D}^{-1/2}\mathbf{A}\mathbf{D}^{-1/2}$, $\mathbf{D}$ is the diagonal degree matrix and $\mathbf{A}$ is the adjacency matrix. $\mathbf{X}^{(l)} \in \mathbb{R}^{N \times d_1}$ is the node representations in the l-th layer, $\mathbf{W}^{(l)} \in \mathbb{R}^{d_1 \times d_2}$ is the feature transformation matrix, $(d_1, d_2) = (d_{in}, d_{hidden})$ for $l = 0$, (d_{hidden}, d_{hidden}) for $1 \leq l \leq L - 2$ or $(d_{hidden}, n_{classes})$ for $l = L - 1$. $\sigma(\cdot) = ReLU(Norm(\cdot))$ is the nonlinear functions applied to each layer l of GNN except the last layer, where it is the identity. $Norm(\cdot)$ is a normalization layer.

In order to improve SCS performance, we aim to "turn on" the neighborhood information for the SCS nodes again. To do so, we find a subset of nodes in the existing connected part of the graph that the GNN teacher achieves good performance, and assign this subset as the latent/virtual neighborhoods of the isolated nodes. If all node embeddings are similar to each other in the teacher model (GNNs suffer from the "over-smoothness" effect when going deeper (Oono & Suzuki, 2020; Li et al., 2018; NT & Maehara, 2019)), the student model will pay attention to the same virtual node regardless of the difference in the input node features, which is harmful to the performance of the student model. Therefore, we would expect the existing node embeddings of the teacher GNN model to be diverse so that the student model can find the most effective neighbor nodes to aggregate. This motivate us to strengthen the richness of information encoded in the embeddings generated by the teacher GNN. We propose to diversify the embeddings by adding and distilling another set of independent embeddings.

Inspired by the positional encoding in Transformers (Vaswani et al., 2017), we distill GNNs in the following way: besides generating node embeddings from node features, we additionally learn

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[2]Compared to Equation (1), multiplication by $\tilde{\boldsymbol{A}}$ plays the role of aggregating both $\{x_i\}$ and $\{x_j\}_{i \in \mathcal{N}_i}$.

an independent set of node-wise embeddings that encode label information, which we term the **Structural Embedding (SE)**. The SE learns to incorporate node label information through gradient backpropagation. The existence of SE avoids the oversmoothing issue in GNNs: the functions applied to different nodes are no longer the same for each nodes, since the SE of each nodes are different, which is part of the feature transformation function. Note that this could be of independent interest to practitioners who train and study GNNs.

Specifically, for each layer $l, 0 \le l \le L - 1$, the Structural Embedding is a learnable matrix $\mathbf{E}^{(l)}$, and the SE-GNN layer forward pass can be written as:

$$\mathbf{X}^{(l+1)} = \sigma\left(\tilde{\boldsymbol{A}}\left(\mathbf{X}^{(l)}\mathbf{W}^{(l)} + \mathbf{E}^{(l)}\right)\right), \mathbf{X}^{(l)} \in \mathbb{R}^{N \times d_1}, \mathbf{W}^{(l)} \in \mathbb{R}^{d_1 \times d_2}, \mathbf{E}^{(l)} \in \mathbb{R}^{N \times d_2} \quad (2)$$

Remark 1: Note that SE is not the same as the bias term in traditional feature transformation $\mathbf{X}^{(l+1)} = \sigma\left(\tilde{\boldsymbol{A}}\left(\mathbf{X}^{(l)}\mathbf{W}^{(l)} + \mathbf{b}^{(l)}\right)\right)$, where the bias $\mathbf{b} \in \mathbb{R}^{1 \times d_2}$ is shared across all nodes. We have a different structural embedding for each node.

Remark 2: SE is also unlike traditional label propagation (Iscen et al., 2019; Wang & Leskovec, 2020; Huang et al., 2020b), which encodes label information through iterating $\mathbf{E}^{(t+1)} = (1 - \alpha)\mathbf{G} + \alpha\tilde{\boldsymbol{A}}\mathbf{E}^{(t)}$, where $\mathbf{G}$ is a one-hot encoding of ground truth for training node classes and zeros for test nodes, and $0 < \alpha < 1$ is the portion of mixture at each iteration.

SE-GNN enables node i to learn to encode the self and neighbors' label information[3] into its own node embedding through $\tilde{\boldsymbol{A}}$. We use the Graph Convolutional Networks (Kipf & Welling, 2016) together with the normalization/residual tricks described in Appendix A as the backbone of Cold Brew's GNN. In practice, we apply a regularization term to the loss function, yielding the following loss function:

$$loss = CE(\mathbf{X}_{train}^{(L)}, \mathbf{Y}_{train}) + \eta\|\mathbf{E}\|_2^2 \quad (3)$$

where $CE(\mathbf{X}_{train}^{(L)}, \mathbf{Y}_{train})$ is the Cross Entropy of model output $\mathbf{X}_{train}^{(L)}$ and the ground truth $\mathbf{Y}$ on the training set, and η is the regularization coefficient (grid-searched for different datasets in practice). The Cross Entropy loss can be replaced by any other appropriate loss function depending on the task.

3.2 THE STUDENT MLP MODEL OF COLD BREW

To integrate the knowledge the GNN teacher, we design the student to be composed of two MLP modules, the first one imitates the node embeddings generated by the GNN, and the second attends to a set of connected nodes as its virtual neighbor nodes through a replacement step, then transforms the intermediate results to the target embeddings.

From the teacher GNN, at each layer l, we have access to two sets of node embeddings $\mathbf{X}^{(l)}\mathbf{W}^{(l)}$ and $\mathbf{E}^{(l)}$. Denote $\bar{\mathbf{E}} \in \mathbb{R}^{N \times 2(d_{hidden}*(L-1)+d_{out})} := \bigcup_{l=0}^{L-1}[\mathbf{E}^{(l)}, \mathbf{X}^{(l)}\mathbf{W}^{(l)}]$ where $\bigcup$ is the concatenation of matrices at the feature dimension (second dimension). $\bar{\mathbf{E}}$ acts as the target for the first MLP module and the input to the second MLP module. The first MLP learns a mapping from the input node features $\mathbf{X}^{(0)}$ to $\bar{\mathbf{E}}$, that is $\xi_1 : \mathbf{x}_i^{(0)} \to \boldsymbol{e}_i$ for node i, $\boldsymbol{e}_i = \bar{\mathbf{E}}[i, :]$. The second MLP learns a mapping $\xi_2 : [\mathbf{x}_i, \boldsymbol{e}_i] \to \mathbf{y}_i, \mathbf{y}_i = \mathbf{Y}[i, :]$.

During inference, given the feature $\mathbf{x}_j \in \mathbb{R}^{1 \times d_{in}}$ of an isolated node j, we first obtain $\boldsymbol{e}_j \in \mathbb{R}^{1 \times 2(d_{hidden}*(L-1)+d_{out})}$ through $\boldsymbol{e}_j = \xi_1(\mathbf{x}_j)$. Next, we apply an attention-based aggregation of the existing embeddings in the graph, before linearly combining them:

$$\tilde{\boldsymbol{e}}_j = softmax(\Theta_K(\boldsymbol{e}_j\mathbf{E}^{\top}))\mathbf{E} \quad (4)$$

where $\Theta_K(\cdot)$ is the top-K compressive function: for vector $z \in \mathbb{R}^{1 \times N}$: $\Theta_K(z)_d = z_d$ for dimension d if z_d is among the top-K largest elements of z, and $\Theta_K(z)_d = -\infty$ otherwise.

The second MLP is then applied to map the transformed representations and the original node feature to the learning target: $\mathbf{y}_j = \xi_2([\mathbf{x}_j, \tilde{\boldsymbol{e}}_j])$. An illustration of SE-MLP's inference procedure for the

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[3]This will be inferred in the case of labels missing.

isolated nodes is shown in Fig. 2. When the number of nodes is large, the ranking procedure involved in $\Theta_K(\cdot)$ can be pre-computed after training the first part and before training the second part.

Additional remarks on the motivations. We cite the theorem 1 in Wang & Leskovec (2020): Suppose that the latent ground-truth mapping from node features to node labels is differentiable and L-Lipschitz. If the edge weights a_{ij} approximately smooth $\mathbf{x}_i$ over its immediate neighbors with error ϵ_i, i.e., $\mathbf{x}_i = \frac{1}{d_{ii}}\Sigma_{j\in\mathcal{N}}a_{ij}\mathbf{x}_j + \epsilon_i$, then the a_{ij} also approximately smooth y_i to bound within error $|y_i - \frac{1}{d_{ii}}\Sigma_{j\in\mathcal{N}_i}a_{ij}y_j| \leq L||\epsilon||_2 + o(\max_{j\in\mathcal{N}_i}(||\mathbf{x}_j - \mathbf{x}_i||_2))$, where $o(\cdot)$ denotes a higher order infinitesimal. In the SCS scenarios, the structure informations are missing or weak. In these cases, the ϵ_i can be non-negligible, leading to non-correlated predicted labels, as the case of directly applying MLP models. Hence, we learn label and the feature information into the sturctural embedding $\tilde{\mathbf{E}}$, and pass this knowledge to the student MLP to aggregate neighborhoods like the teacher GNNs. We use the first part of student MLP to learn from it. The second part of student MLP then take over the inference in the absence of the neighborhood, by paying attention to the virtual neighborhood found by the Structural Embedding provided by the first MLP.

4 FEATURE-CONTRIBUTION RATIO: DISENTANGLING THE CONTRIBUTIONS OF TWO GRAPH COMPONENTS

In the following, we discuss a metric to quantize the difficulty of learning representations under the truly inductive SCS case, which are used as a hyperparameter optimization approach to select the best suitable model architecture that helps SCS generalization.

As conceptually illustrated in Figure 2, there are four atomic components contributing to the learned embedding of node i in the graph: 1. the label of i (*self-label*); 2. the label of neighbors of i (*neighbor-labels*); 3. the features of i (*self-feature*); 4. the features of neighbors of i (*neighbor-features*). To quantize the SCS generalization difficulty, we divide these four components into two submodules to disentangle the contributions of the *node features* with respect to the *adjacency structure* of the graph dataset, and quantize it based on the assumption that the SCS generalization difficulty is propotional to the contribution ratio of the *node features*.

We posit that a submodule that learns accurate node representations must include the node's (self) label, so that training can be performed via backpropagation. What remains is to use the label with other atomic components to construct two specialized models that each make use of only the node features or the adjacency structure. For the first submodule, we build an MLP that maps the self-features to self-labels, ignoring any neighborhood information present in the dataset. For the second submodule, we adopt a Label Propagation (LP) method (Huang et al., 2020a)[4] to learn representations from self- and neighbor-labels. This model ignores any node feature information.

With the above two submodules, we introduce the Feature-Contribution Ratio (FCR) that characterizes the relative importance of the node features and the graph structure. Specifically, for graph dataset $\mathcal{G}$, we define the contribution of a submodule to be the *residual performance* of the submodule compared to a full-fledged GNN (e.g., Equation (1)) using both the node feature as well as the adjacency structure. Denote z_{MLP}, z_{LP}, and z_{GNN} as the performance of the MLP submodule, LP submodule, and the full GNN on the test set, respectively. If $z_{MLP} \ll z_{GNN}$, then $FCR(\mathcal{G})$ is small and the graph structure is important, and noisy or missing neighborhood information will hurt model performance. Based on this intuition, we build SCR as:

$$\delta_{MLP} = z_{GNN} - z_{MLP}, \quad \delta_{LP} = z_{GNN} - z_{LP} \tag{5a}$$

$$FCR(\mathcal{G}) = \begin{cases} \frac{\delta_{LP}}{\delta_{MLP}+\delta_{LP}} \times 100\% & z_{MLP} \leq z_{GNN} \\ 1 + \frac{|\delta_{MLP}|}{|\delta_{MLP}|+\delta_{LP}} \times 100\% & z_{MLP} > z_{GNN} \end{cases} \tag{5b}$$

Interpreting FCR values. For a particular graph $\mathcal{G}$, if $0\% \leq FCR(\mathcal{G}) < 50\%$, it means $z_{GNN} > z_{LP} > z_{MLP}$, and the neighborhood information in $\mathcal{G}$ is mainly responsible for the GNN achieving good performance. If $50\% \leq FCR(\mathcal{G}) < 100\%$, then $z_{GNN} > z_{MLP} > z_{LP}$, the node features contribute the more to the GNN's performance. If $FCR(\mathcal{G}) \geq 100\%$, then $z_{MLP} > z_{GNN} > z_{LP}$, and the node aggregation methods in GNNs can actually lead to reduced performance compared

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[4]We ignore the node features and use the label logits as explained in Huang et al. (2020a).

to pointwise models. This case usually happends for some disassortative graphs (the majority of neighborhoods hold different labels than the center node). Liu et al. (2020b) observed cases like this.

Integrate FCR as a tool to design teacher and student models. For some graph datasets, the SCS generalization can be challenging without neighborhood information (the LP submodule outperforms the MLP submodule). We hence consider SCS as a principled "reward" to select model architectures for both teacher and student, that own the best inductive bias for SCS generalization.

To achieve this, during the computation of FCR, we perform exhaustive grid search of the architectures (residual connection types, normalization, hidden layers, etc.) for the MLP, LP, and GNN modules, and pick the best-performing variant. Detailed definition of the search space can be found in Appendix A. We treat this grid search procedure as a special case of architecture selection and hyperparameter optimization for Cold Brew. Hence, SCR are able to identify the GNN and MLP architectures that are particularly friendly for SCS generalization, improving our method design.

In experiments, we observe that different model configurations are favored by different datasets, and we use the found optimal teacher GNN and student MLP architectures to perform Cold Brew. More detailed discussions will be presented in section 5.3

5 EXPERIMENTS AND DISCUSSION

In this section, we first compute FCR for several commonly used graph datasets to ascertain how well GNNs trained on them can generalize to tail and cold-start nodes. We also compare it to the the graph homophiliy metric β proposed in Pei et al. (2020). Next, we apply Cold Brew to these datasets and compare its generalization ability to baseline graph-based as well as purely pointwise models on these datasets. We also show results on proprietary industry datasets.

5.1 DATASETS AND SPLITS

We first detail the datasets used in this paper. We perform studies on several open-source datasets, together with four proprietary datasets. The proprietary datasets, E-comm 1/2/3/4, refers to a graph subsampled from anonymized logs of an e-commerce store. The dataset is sampled so as to not reflect the actual raw traffic distributions, and results are provided with respect to a baseline model for these datasets. The different datasets refer to different product subsets, and the labels indicate product categories that we wish to determine. Node features are text embeddings obtained from a fine-tuned BERT model. We show FCR values for the public datasets and exhaustively evaluate the proposed Cold Brew and several baselines for five public datasets and the proprietary datasets. The statistics of the datasets with detailed evaluations are summarized in Table 1.

We create train, validation, and test splits of the datasets in order to specifically study the generalization ability of Cold Brew to tail and cold-start nodes. The *head* data corresponds to the top 10% highest degree nodes in the graph, and the subgraph that they induce. The *tail* data corresponds to the 10% nodes in the graph with lowest (non-zero) degree, and the subgraph that they induce. All the zero degree nodes are in the *isolation* data.

We first pick the top 10% of the highest degree nodes and denote it as the *head*. For datasets that don't have isolated nodes, we manually remove edges from 10% lowest degree nodes. Each of these subsets are divided into a train and test set. *Overall* refers to the original train/test splits.

Stats.	Cora	Citeseer	Pubmed	Arxiv	Chameleon	E-comm1	E-comm2	E-comm3	E-comm4
Num. of Nodes	2708	3327	19717	169343	2277	4918	29352	319482	793194
Num. of Edges	13264	12431	108365	2315598	65019	104753	1415646	8689910	22368070
Max Degree	169	100	172	13161	733	277	1721	4925	12452
Mean Degree	4.90	3.74	5.50	13.67	28.55	21.30	48.23	27.20	28.19
Median Degree	4	3	3	6	13	10	21	15	14
Isolated Nodes %	3%	3%	3%	3%	3%	6%	5%	5%	6%

Table 1: The statistics of datasets selected for evaluations.

	Cora	Citeseer	Pubmed	Arxiv	Cham.	Squ.	Actor	Cornell	Texas	Wisconsin
GNN	86.96	72.44	75.96	71.54	68.51	31.95	59.79	65.1	61.08	81.62
MLP	69.02	56.59	73.51	54.89	58.65	38.51	37.93	86.26	83.33	85.42
Label Propagation	78.18	45.00	67.8	68.26	41.01	22.85	29.69	32.06	52.08	40.62
FCR %	32.86 %	63.39 %	76.91%	16.45%	73.61%	141.91%	57.93%	139.04%	171.2 %	108.48 %
$\beta(\mathcal{G})$ %	83%	71%	79%	68%	25%	22%	24%	11%	6%	16%
$head - tail(GNN)$	4.44	23.98	11.71	5.9	0.24	-6.51	2.22	-4.37	-11.26	-33.92
$head - isolation(GNN)$	31.01	33.09	15.21	28.81	1.55	-4.85	22.61	-18.68	-24.62	-29.23

Table 2: Above half: FCR and its components, β metric are added as a reference; below half: the performance difference of GNN on the head/tail and head/isolation splits.

5.2 FCR EVALUATION

The FCR results are presented in Table 2 together with the homophily metric β in Pei et al. (2020). We reproduce the definition of the graph homophily metric below:

$$\beta(\mathcal{G}) = \frac{1}{|\mathcal{V}|} \sum_{v \in \mathcal{V}} \frac{\text{the number of } v\text{'s direct neighbors that have the same labels as } v}{\text{the number of } v\text{'s directly connected neighbors}} \times 100\% \quad (6)$$

As quantified by FCR, truly inductive inference without neighborhood information is challenging for some graphs. We leverage the FCR as a criterion to select model architectures that generalize well in the SCS case. for Cold Brew , FCR guides the architecture and hyperparameter search. Each dataset's best GCN, MLP, and LP configurations found by FCR values are reported in Tables 5, 6, and 7 in the Appendix.

5.3 EXPERIMENTAL RESULTS ON TAIL GENERALIZATION WITH COLD-BREW

In this section, we show the strong performance of Cold Brew enabled by the new model design. The performances of the Cold Brew together with baselines on different splits of the graph datasets are displayed in Table 3.

Table 3: The performance comparisons on different splits of different datasets.

Splits		Metrics/Models	Open-Source Datasets					Proprietary Datasets			
			Cora	Citeseer	Pubmed	Arxiv	Chameleon	E-comm1	E-comm2	E-comm3	E-comm4
Isolation	GNNs	GCN 2 layers	58.02	47.09	71.50	44.51	57.28	–	–	–	–
		GraphSAGE	66.02	51.46	69.87	47.32	59.83	+3.89	+4.81	+5.24	+0.52
	MLPs	Simple MLP	68.40	**53.26**	65.84	51.03	60.76	+5.89	+9.85	+5.83	+6.42
		GraphMLP	65.00	52.82	71.22	51.10	**63.54**	+6.27	+9.46	**+5.99**	+7.37
	Cold Brew	GCN + SE 2 layers	58.37	47.78	**73.85**	45.20	60.13	+0.27	+0.76	-0.50	+1.22
		Student MLP	**69.62**	53.17	72.33	**52.36**	62.28	**+7.56**	**+11.09**	+5.64	**+9.05**
Tail	GNNs	GCN 2 layers	84.54	**56.51**	74.95	67.74	58.33	–	–	–	–
		GraphSAGE	82.82	52.77	73.07	63.23	61.26	-3.82	-3.07	-2.87	-6.42
	MLPs	Simple MLP	70.76	54.85	67.21	52.14	50.12	-0.37	+1.74	-0.13	-0.45
		GraphMLP	70.09	55.56	71.45	52.40	52.84	-0.33	+1.64	**+1.27**	+0.80
	Cold Brew	GCN + SE 2 layers	**84.66**	56.32	**75.33**	**68.11**	**60.80**	**+0.85**	+0.44	-0.60	+1.10
		Student MLP	71.80	54.88	72.54	53.24	51.36	+0.32	**+3.09**	-0.18	**+2.09**
Head	GNNs	GCN 2 layers	88.68	80.37	85.79	73.35	67.49	–	–	–	–
		GraphSAGE	87.75	74.81	86.94	70.85	62.08	-4.26	-4.17	-3.50	-7.46
	MLPs	Simple MLP	74.33	72.00	89.00	56.34	60.82	-16.74	-18.10	-16.73	-16.51
		GraphMLP	72.45	69.83	89.00	56.65	62.44	-15.96	-18.08	-15.33	-15.41
	Cold Brew	GCN + SE 2 layers	**89.39**	**80.76**	87.83	**74.01**	70.56	**+1.11**	**+0.47**	-0.39	**+1.28**
		Student MLP	74.53	72.33	**90.33**	57.41	61.28	-15.28	-17.42	-17.02	-15.41
Overall	GNNs	GCN 2 layers	84.89	70.38	78.18	71.50	59.30	–	–	–	–
		GraphSAGE	80.90	66.21	76.73	68.33	70.02	-3.09	-3.86	-2.58	-5.48
	MLPs	Simple MLP	69.02	56.59	73.51	54.89	58.65	-12.69	-12.86	-12.68	-13.16
		GraphMLP	71.87	68.22	82.03	53.81	57.67	-12.26	-12.01	-10.80	-11.41
	Cold Brew	GCN + SE 2 layers	**86.96**	**72.44**	79.03	**71.92**	**68.51**	**+0.65**	-0.24	-0.77	**+1.43**
		Student MLP	72.36	67.54	**82.00**	54.94	59.07	-11.25	-11.51	-11.55	-11.21

Figure 3: From left to right: the last layer embeddings of GCN, Simple MLP, GraphMLP, and Cold Brew's MLP, reduced to 2D with t-SNE

Table 4: The comparisons of Cold Brew's GCN and the traditional GCN for deep layers.

Splits	Metrics/Models	Open-Source Datasets					Proprietary Datasets			
		Cora	Citeseer	Pubmed	Arxiv	Chameleon	E-comm1	E-comm2	E-comm3	E-comm4
Overall	GCN 64 layers	40.04	23.66	75.65	65.53	58.14	-5.49	-6.59	-6.13	-3.57
	GCN + SE 64 layers	**74.23**	**46.80**	**78.12**	**69.28**	**59.88**	**-1.71**	**-2.92**	**-3.29**	**-0.06**
Head	GCN 64 layers	46.46	49.84	85.89	67.53	67.16	-5.60	-6.24	-6.05	-3.16
	GCN + SE 64 layers	**87.38**	**71.18**	**86.81**	**71.35**	**69.63**	**-1.78**	**-2.17**	**-2.79**	**-0.35**
Tail	GCN 64 layers	45.14	24.42	71.89	63.91	56.48	-3.85	-3.62	-3.84	**-1.14**
	GCN + SE 64 layers	**79.56**	**36.52**	**74.88**	**65.19**	**61.73**	**-2.42**	**-2.52**	**-3.68**	-1.23
Isolation	GCN 64 layers	39.97	22.12	68.57	40.03	57.60	-4.66	-4.63	-4.93	**-1.89**
	GCN + SE 64 layers	**40.33**	**24.53**	**71.22**	**41.18**	**60.13**	**-3.08**	**-3.02**	**-4.00**	-2.32

In Table 3, the values represent the test accuracies on the four splits discussed in section 5.1. All models are trained over the overall dataset. For the isolation split, the GNNs are evaluated with only self-loop (all other edges are non-existent/removed). The *GCN* refers to the the best configuration found through FCR-guided grid search (together with a few specified hyperparameters, check Appendix A for details) except without Structural Embedding and fix the number of layers (correspondingly, *GCN + SE* correspond to the best FCR-guided configuration with Structural Embedding); *GraphSAGE* refers to Hamilton et al. (2017), *Simple MLP* refers to a simple node-wise MLP that has two hidden layers with 128 hidden dimensions, *GraphMLP* refers to Hu et al. (2021). The results for E-comm datasets are presented as relative margins to the baseline (each value is the difference w.r.t. the value of the *GCN 2 layers* on same dataset of the same split), and we do not disclose the absolute numbers due to proprietary reasons.

Cold Brew's student model handles isolated nodes much better, and Cold Brew's teacher GNN achieved better performance in the Tail split, compared to all other models. Due to the structural embedding, Cold Brew's teacher GNN efficiently captures the neighborhood structure information and passes them to the student MLP. This helps the student to distill graph knowledge from the teacher, rather than being blind to the graph if used in isolation. Student MLP improves accuracy on isolated nodes up to +11% on E-comerce dataset and +2.4% on open source datasets.

We also draw other interesting observations. First, the MLP-type models outperform the GNN-type models on the isolation split, and a few on the tail split, for most datasets; while the GNN-type models are superior in other splits. On high-FCR datasets such as Pubmed, MLP-type models outperform GNN-type models in all splits. Second, the proposed structural embeddings imply a better potential to alleviate the over-smoothness (Oono & Suzuki, 2020; Li et al., 2018; NT & Maehara, 2019) and bottleneck (Alon & Yahav, 2020) issues observed in deep GCN models. As shown in table Table 4, Cold Brew's GCN (GCN + SE) significantly outperformed the traditional GCN on 64 layers, as the former has 34% test accuracy higher on Cora, 23% higher onr Citeseer and similar on other datasets.

Figure 3 visualizes the last-layer embeddings of different models after t-SNE dimensionality reduction. In the figure, color denotes node labels and all nodes are marked as dots, with isolation subset nodes additionally marked with '*x*'s and the tail subset additionally marked with triangles. Although the GCN model did a decent job in separating different classes, a significant portion of the tail and isolation nodes fall into wrong class clusters. Cold Brew's MLP is much better discriminative in the tail and isolation splits.

6   CONCLUSION

In this paper, we studied the problem of generalizing GNNs to the tail and strict cold start nodes, whose neighborhood information is noisy or missing. We established FCR, a metric for the contribution rate of node features to the label predictions. We proposed a teacher-student knowledge distillation procedure to better generalize to the isolated nodes. We added an independent set of embedding in GNN layers to diversify the transformation function for every nodes to alleviate over-smoothness. We also proposed a replacement step for the student model to pay attention to virtual neighborhood nodes. Experimental results revealed the disentangled contribution for several common graph datasets and demonstrated the superior performance of the proposed framework.

7 REPRODUCIBILITY CHECKLIST

To ensure reproducibility, we use the Machine Learning Reproducibility Checklist v2.0, Apr. 7 2020 (Pineau et al., 2021).

- For all **models** and **algorithms** presented,
  - **A clear description of the mathematical settings, algorithm, and/or model.** We clearly describe all of the settings, formulations, and algorithms in Section 5.
  - **A clear explanation of any assumptions.** We do not make assumptions.
  - **An analysis of the complexity (time, space, sample size) of any algorithm.** We do not make the analysis.
- For all **datasets** used, check if you include:
  - **The relevant statistics, such as number of examples.** We use widely adopted public graph datasets in Section 5. We provide all the related statistics in Appendix 5.
  - **The details of train/validation/test splits.** We give this information in section 5.
  - **For new data collected,a complete description of the data collection process, such as instructions to annotators and methods for quality control.** We do not collect or release new datasets.
- For all reported **experimental results**, check if you include:
  - **The range of hyper-parameters considered, method to select the best hyper-parameter configuration, and specification of all hyper-parameters used to generate results.** We provide all details of the hyper-parameter tuning in Section 5.
  - **The exact number of training and evaluation runs.** Ten independent repetitions are conducted for each experiments.
  - **A clear definition of the specific measure or statistics used to report results.** We use the classification accuracy on test-set, which is defined in Section 5.
  - **A description of results with central tendency (e.g. mean) & variation (e.g. error bars).** We report mean for all experiments, as indicated in Section 5.
  - **The average runtime for each result, or estimated energy cost.** We do not report the running time or energy cost.

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A   SEARCH SPACE DETAILS

In the computation of FCR, we include a search space of model hyperparameters for GNN, MLP and LP, in order to find the best suitable configurations for distillation.

For GNN model, we take GCN as a backbone and performed grid search over the number of hidden layers, whether it has structural embedding or not, the type of residual connection, and the type of normalization. For the number of hidden layers, we considered 2, 4, 8, 16, 32, and 64. For the types of residual connections, we include: (1) connecting to the last layer (Li et al., 2019a; 2018), (2) initial connection connecting to the initial layer (Chen et al., 2020a; Klicpera et al., 2018; Zhang et al., 2020), (3) dense connection connecting to all the preceding layers (Li et al., 2019a; 2018; 2020; Luan et al., 2019), and (4) jumping connection combining all all the preceding layers only at the final graph convolutional layer (Xu et al., 2018b; Liu et al., 2020a). For the types of normalizations, we grid search over: batch normalization (BatchNorm) (Ioffe & Szegedy, 2015), pair normalization (PairNorm) (Zhao & Akoglu, 2019), node normalization (NodeNorm) (Zhou et al., 2020b), mean normalization (MeanNorm) (Yang et al., 2020), and differentiable group normalization (GroupNorm) (Zhou et al., 2020a).

For the architecture design for Cold Brew's MLP, we conducted hyperparameter search over the number of hidden layers, the existence of residual connection, the hidden dimensions, and the optimizers. The number of hidden layers is searched over (2, 8, 16, 32). The number of hidden dimensions is searched over (128, 256). The optimizer is searched over (Adam(lr=0.001) Adam(lr=0.005), Adam(lr=0.02), SGD(lr=0.005))

For the Label Propagation, we conducted hyperparameter search over number of propagations, propagation matrix type, and the mixing coefficient α (Huang et al., 2020a). The number of propagations is searched over (10, 20, 50, 100, 200). The propagation matrix type is searched over adjacency matrix or normalized Laplacian matrix. The mixing coefficient α is searched over (0.01, 0.1, 0.5, 0.9, 0.99).

The best GCN, MLP, and LP configurations are reported in Tables 5, 6, and 7, respectively.

Dataset	Best GCN			
	Num layers	whether has SE	residual type	normalization type
Cora	2 layer	has structural embedding	no residual	PairNorm
Citeseer	2 layer	has structural embedding	no residual	PairNorm
Pubmed	16 layer	has structural embedding	initial connection	GroupNorm
Arxiv	4 layer	has structural embedding	initial connection	GroupNorm
Chameleon	2 layer	has structural embedding	initial connection	BatchNorm

Table 5: Best GCN configurations

Dataset	Best MLP			
	hidden layers	residual connection	hidden dimensions	optimizer
Cora	2 layer	no residual	128	Adam(lr=0.001)
Citeseer	4 layer	no residual	128	Adam(lr=0.001)
Pubmed	2 layer	no residual	256	Adam(lr=0.02)
Arxiv	2 layer	no residual	256	Adam(lr=0.001)
Chameleon	2 layer	no residual	256	Adam(lr=0.001)

Table 6: Best MLP configurations

Dataset	Best LP		
	number of propagation	propagation matrix type	mixing coefficient
Cora	50	Laplacian matrix	0.1
Citeseer	100	Laplacian matrix	0.01
Pubmed	50	Adjacency matrix	0.5
Arxiv	100	Laplacian matrix	0.5
Chameleon	50	Laplacian matrix	0.1

Table 7: Best Label Propagation configurations