Mind the Gap: Assessing Temporal Generalization in Neural Language Models

Angeliki Lazaridou[*♡△♠] **Adhiguna Kuncoro**[⋆♡△] **Elena Gribovskaya**[⋆♡△]
Devang Agrawal[◇♡] **Adam Liška**[◇♡] **Tayfun Terzi**[◇] **Mai Gimenez**[◇]
Cyprien de Masson d'Autume[◇] **Tomas Kocisky**[♡] **Sebastian Ruder**[♡]
Dani Yogatama[♣] **Kris Cao**[♣] **Susannah Young**[♣] **Phil Blunsom**[♣♠]
DeepMind, London, UK
{angeliki,akuncoro,egribovskaya}@deepmind.com

Abstract

Our world is open-ended, non-stationary, and constantly evolving; thus what we talk about and how we talk about it change over time. This inherent dynamic nature of language contrasts with the current static language modelling paradigm, which trains and evaluates models on utterances from overlapping time periods. Despite impressive recent progress, we demonstrate that Transformer-XL language models perform worse in the realistic setup of predicting future utterances from beyond their training period, and that model performance becomes increasingly worse with time. We find that, while increasing model size alone—a key driver behind recent progress—does not solve this problem, having models that continually update their knowledge with new information can indeed mitigate this performance degradation over time. Hence, given the compilation of ever-larger language modelling datasets, combined with the growing list of language-model-based NLP applications that require up-to-date factual knowledge about the world, we argue that now is the right time to rethink the static way in which we currently train and evaluate our language models, and develop *adaptive* language models that can remain up-to-date with respect to our ever-changing and non-stationary world. We publicly release our dynamic, streaming language modelling benchmarks for **WMT** and **arXiv** to facilitate language model evaluation that takes temporal dynamics into account.[1]

1 Introduction

In recent years, substantial efforts in neural language modelling have focused on finding better neural architectures, building increasingly larger models, and compiling ever-larger amounts of training data, which have been shown to endow language models with the ability to perform well on a wide variety of downstream tasks with minimal fine-tuning (Vaswani et al., 2017; Radford et al., 2019; Brown et al., 2020). While this approach has led to impressive progress, it nevertheless relies on a static experimental paradigm. Concretely, the prevailing practice is to curate a large pretraining web crawl—randomly partitioned into a training set and a validation set in a time-agnostic fashion—and then evaluate on tasks and benchmarks that mostly overlap in time with the pretraining data.[2]

[*]Equal contribution. [♠] Project initiation. [△] Paper writing. [◇] Project technical infrastructure. [♡] Model design and experiments. [♣] Project support and advice.

[1]We release our dynamic (streaming) language modelling benchmark for **WMT** and **arXiv** at `https://github.com/deepmind/deepmind-research/tree/master/pitfalls_static_language_models`.

[2]In the case of GPT-3 (Brown et al., 2020), such tasks include LAMBADA (Paperno et al., 2016), TriviaQA (Joshi et al., 2017b), and WMT translation datasets, among others. These tasks were introduced between 2014 and 2017, which overlap in time with the GPT-3 CommonCrawl dataset that covered the period of 2016-2019.

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In this work, we argue that such practices carry two potential risks. First, they do not assess a language model's ability to generalize well to future data from beyond their training period—an important ability we henceforth refer to as temporal generalization. In our dynamic and non-stationary world, temporal generalization is a key necessity: Many practical machine learning systems that use language model (LM) pretraining, such as machine translation and dialogue systems, are deployed on utterances that users will say in the future, whilst being trained on utterances that users have already said in the past. Furthermore, temporal generalization is also crucial to perform well on realistic use cases of language models in the real world. Examples include flagging fake news about recent events that happen outside of the training period (Thorne and Vlachos, 2018; Zellers et al., 2019; Augenstein et al., 2019), forecasting stock prices from the latest news articles (Ding et al., 2015), and answering knowledge-intensive questions like "How many people have been infected by COVID-19?" and "Has the USA ever had a female Vice President?", whose answers have evolved with time.

Second, the temporal overlap between the training and evaluation data increases the risk of "test data contamination", where parts of the evaluation task are unwittingly included in the pretraining data. Indeed, many language modelling evaluations treat the data as independent and identically distributed (i.i.d) at either the sentence (Chelba et al., 2013) or document level (Brown et al., 2020; Gao et al., 2021). Nevertheless, language modelling data are not i.i.d. (neither at the word, sentence, or document level); rather it is a time series, and thus models trained on the prefix of a sample from the series should be evaluated on the continuation of that series. While previous research (Levenberg et al., 2010) has highlighted the importance of temporal splits for fairer and more realistic evaluations—and has led to research (Osborne et al., 2014; Yogatama et al., 2014) that addresses language modelling from this streaming perspective (§7)—using temporal splits (or splits beyond random ones) is still the exception rather than the rule, as evidenced by many contemporary LM (Brown et al., 2020; Gao et al., 2021) and downstream tasks (Lewis et al., 2020a) that are affected by test data contamination.[3]

Here we begin with our first question: To what extent does the current static language modelling practice overestimate performance, compared to the more realistic setup that evaluates LMs on future utterances? To this end, we introduce our dynamic, streaming language modelling benchmarks (§2), and find that Transformer-XLs (Dai et al., 2019) perform up to 16% worse when predicting articles that are published up to 2 years after the end of the training period. Moreover, model performance becomes increasingly worse with time (§3). Given this finding, we ask: What kinds of predictions is the model struggling with in the dynamic evaluation setup?—-which we answer in §3.1.

Beyond LM perplexity evaluation, we further ask: How exactly does this temporal performance degradation of Transformer LMs manifest in different types of question-answering (QA) tasks? We answer this through two different QA tasks, including one around recent events happening outside of the LM training period (§5). Lastly, given the challenges presented by temporal generalization for LMs: What, then, is the remedy? This question is important because keeping LMs up-to-date by retraining with new data is expensive in compute and carbon costs (Strubell et al., 2019; Patterson et al., 2021), and risks the model getting outdated in-between long retraining cycles.[4] We find that increasing model size alone—a key driver behind recent LM progress (Kaplan et al., 2020)—is not a solution for the temporal generalization problem (§4): Larger models suffer from the same performance degradation with time, and a smaller model trained on more recent data can outperform a 60% larger model that lacks access to more recent data. We then explore a simple yet effective way of keeping our models up-to-date by continually updating the model's parameters through dynamic evaluation (Mikolov et al., 2010; Krause et al., 2019), which performs a few steps of gradient descent on streams of new data (§6), and outline other promising approaches in this direction (§7). We conclude with the following recommendations for future LM research:

- We should evaluate LMs on their generalization ability to future data, which circumvents test data contamination, rewards models that generalize beyond the surface patterns of their pretraining data, and better reflects how large LMs are used in practical systems. We thus argue for the broader inclusion of timestamp information in pretraining data and downstream tasks to make this possible.
- Stale LMs that are deployed far outside of their training period perform substantially worse on downstream tasks that require up-to-date factual knowledge, although a broader set of experiments are needed to pinpoint what kinds of tasks are most affected. Our findings also highlight the need

[3] Brown et al. (2020) used n-gram filtering and deduplication to remove overlaps between the training and test sets. This can potentially induce a correlation between the training and evaluation sets that LMs can exploit.

[4] These risks are exacerbated by the trend of ever-larger LMs, where retraining incurs even higher costs.

Dataset	Domain	Time period	#Words per Doc (Average)	Training Size (in GB)	Prop. of CONTROL's Training Data from the Test Period
WMT	News	2007 - 2019	551	22.65	6.3%
CUSTOMNEWS	News	1969 - 2019	491	395.59	34.8%
ARXIV	Scientific text	1986 - 2019	172	0.72	14.5%

Table 1: Statistics and time periods of the datasets used in this study.

for more tasks, benchmarks, and metrics that evaluate *how well* and *how rapidly* LMs are able to integrate new information, which are important ingredients to encourage progress in this direction.

- All in all, above and beyond impressive scaling efforts towards ever-larger models (Brown et al., 2020; Fedus et al., 2021), we argue for the development of *adaptive* language models that can remain up-to-date with respect to our open-ended and non-stationary world.

2 Time-stratified language modelling

We begin by introducing our time-stratification experimental setup, which examines *how well* Transformer LMs perform when evaluted on future utterances from beyond their training period.

2.1 Datasets

We identify news and scientific articles as two sources of dynamic streaming data with a naturally changing distribution over time—lending themselves well to evaluating how well language models generalize over time. For the scientific domain, we use the publicly available arXiv abstracts (**ARXIV**).[5] For news, we use the publicly available WMT News Crawl (**WMT**).[5] We ensure that any trends we observe also generalize well to models trained on larger datasets—which reliably improve language modelling and downstream task performance (Liu et al., 2019)—by compiling a larger news corpus that we term **CUSTOMNEWS**. This dataset consists of crawled English news sources from 1969-2019, and covers various topics including politics, finance, and sport. We apply minimal preprocessing through: (i) Removal of non-English documents, (ii) deduplication using the MinHash algorithm, and (iii) tokenization using Moses.[5] Table 1 summarizes key statistics of our datasets.

2.2 Experiment: A model up to 2 years stale

Evaluation period and test set. For each dataset, we pick the last two years (i.e. 2018 and 2019) as our evaluation period, and sub-sample a test set of 24k test documents (1k per test month).

TIME-STRATIFIED setup. In this setup, we evaluate LMs trained on the past based on their ability to predict future articles that are published after the time period of their training data; this split is constructed using the time stamp of each article. Here we use all documents from the beginning of each dataset's time period up until September 2017 as training data, and use the last three months of 2017 as our validation period; we denote this as the **TIME-STRATIFIED** setup. We then evaluate the model on the 2018-2019 test set above, which evaluates the model's ability to generalize across time by predicting articles up to two years after the end of their training period—a realistic time frame during which we expect large-scale language models to be used without retraining on recent data.

CONTROL setup. We assess whether time stratification poses a challenge for current LMs by comparing it with the following **CONTROL** setup. In this setup, the training set includes documents that come from the same 2018-2019 period as the evaluation set (naturally excluding the test documents themselves). This CONTROL setup thus resembles the prevailing (static) language modelling experimental practices, which train and evaluate LMs on text data from overlapping time periods.

Crucially, we control such that the two training sets are of the exact same size, i.e., they differ only in the time periods of their training data, rather than in their absolute training set sizes. Here we construct the CONTROL training data by taking the most recent documents starting from the end of the evaluation period (excluding the test documents and including the same number of training documents per test month), and keep adding documents from previous time periods until we reach the same training size as the TIME-STRATIFIED setup. In Table 1, we report the proportion of documents in the

[5] ArXiv: `https://arxiv.org/help/oa/index`; WMT News: `http://data.statmt.org/news-crawl`; and SacreMoses: `https://github.com/alvations/sacremoses`.

CONTROL setup's training data that come from the same 2018-2019 time period as the evaluation set, which is higher for **ARXIV** and **CUSTOMNEWS** due to their recent exponential growth of new documents. We sample a similarly-sized validation set as the TIME-STRATIFIED setup, which in this case comes from the 2018-2019 evaluation period (again excluding the test documents). Importantly, both the TIME-STRATIFIED and CONTROL models are evaluated on the exact same test set from the 2018-2019 period, which facilitates a fair perplexity comparison between the two setups.

Relative perplexity comparison. We want to measure temporal degradation, i.e. do Transformer LMs perform increasingly worse when predicting test documents further into the future? However, any *absolute* perplexity degradation of the TIME-STRATIFIED model over time (e.g., perplexity for Jan. 2018 vs Dec. 2018) is an unreliable measure: Some months have longer documents, which lead to higher perplexity. We thus measure temporal degradation through *relative* perplexity changes between the TIME-STRATIFIED and CONTROL models for the same test month (e.g. Dec. 2018).

2.3 Model

We perform our experiments on autoregressive, left-to-right LMs. We use a Transformer-XL (Dai et al., 2019) with 18 layers and 1,024 hidden units, resulting in 287M parameters—roughly 15% smaller than GPT-2_{MEDIUM} and $\text{BERT}_{\text{LARGE}}$; we later explore larger models in §4. We set the Transformer sequence length to 1,024, and set the memory cache length to 384 during training and 1,600 during test. We use a vocabulary of 50,259 subwords, obtained via SentencePiece (Kudo and Richardson, 2018) trained on a random subset (up to 15GB) of the training data of each respective experiment, i.e., CONTROL and TIME-STRATIFIED. Training and validation are done on subword tokens, but to facilitate our later analysis (§3.1), all test perplexities are computed over actual test word tokens,[6] whose negative log probabilities are obtained by summing those of their subwords.

3 Language Modelling Experiments & Analysis

To what extent does the static CONTROL setup overestimate model performance, compared to the more realistic TIME-STRATIFIED setup that evaluates LMs on future utterances? Figure 2 presents the results of our first experiment. Although we train both models: (i) On the exact same dataset sizes, and (ii) using the same model architectures, a stale TIME-STRATIFIED model performs worse than the CONTROL model, which *has* seen training data from the test period—with up to 16% perplexity difference. We attribute the higher relative degradation on **CUSTOMNEWS** and **ARXIV** to their recent exponential growth of new documents, resulting in a higher proportion of documents from the test period in the data (Table 1), hence presenting a more difficult temporal generalization problem.

Setup	WMT	CUSTOM NEWS	ARXIV
CONTROL	21.11	18.38	21.38
TIME-STRATIFIED	22.45	21.33	23.07
Δ, absolute	+1.34	+2.95	+1.69
Δ, relative (%)	6.34	16.04	7.90

Table 2: Perplexity of Transformer-XL when trained with the two different setups, and evaluated on the same test set from the 2018-2019 period.

Do Transformer LMs perform increasingly worse when predicting future utterances further away from their training period? To this end, Fig. 1 plots the relative perplexity increase of the TIME-STRATIFIED over the CONTROL model. As evidenced by the upward slope on all datasets, the model deteriorates more as we ask it to predict data further away from the training period, affirming that the model indeed becomes *increasingly outdated* with time. **How general are these findings?** We find that the same patterns not only generalize across datasets, as we have just shown, but are also found: (i) For test years other than 2018-2019 (Appendix A.1), (ii) beyond the two-year tem-

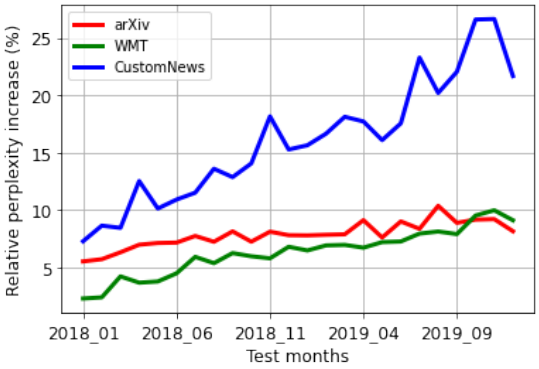

Figure 1: Relative ppl. increase of TIME-STRATIFIED over CONTROL, across test months.

[6] An example is detokenizing "__*contact*", "*less*" into "*contactless*", where "__" denotes a token boundary.

poral gap between the end of the training and test periods (Appendix A.2), and (iii) across other languages (German WMT, Appendix A.3).

3.1 Analysis

Having established that model performance degrades with time, we now turn to investigate the following question: What exactly are the kinds of predictions that the model is struggling with?

Part-of-speech (POS) tag breakdown. We present the relative perplexity increase of the TIME-STRATIFIED over the CONTROL model, broken down by POS tag and across time (Fig. 2, solid lines). First, we see that performance on common nouns (orange line), the most frequent POS tag, degrades with time; in fact, performance degradation on common nouns drives the overall degradation trend (brown line). Moreover, the TIME-STRATIFIED model's performance degrades most rapidly when making temporal generalizations about proper nouns (blue line) and numbers (purple line). Qualitative analysis indicates that the model performs badly on named entities in politics, whose position changed during our 2018-2019 evaluation period (e.g., "Bolsonaro", "Pompeo", "Khashoggi"). This degradation is consequential because proper nouns—and by extension named entities—closely relate to up-to-date factual world knowledge; in §5 we explore how exactly this degradation affects different downstream tasks. Interestingly, we also found the model struggling with concepts associated with cultural and sociological changes on which public perception and discourse have evolved over time, such as "MeToo" and "BlackLivesMatter" (Bender et al., 2021).

Perplexity and topics. We analyze *how* the speed of the TIME-STRATIFIED model's perplexity degradation relates to different topics. We first cluster the documents using Latent Dirichlet Allocation (Blei et al., 2003, LDA), which represents each document as a mixture of topics and each topic as a distribution over words; we then aggregate the perplexity of words in the test documents by topic. We observe that model performance on topics around politics and sports change more rapidly with time than topics around lifestyle, as shown in (Fig. 2, shown in the three dotted lines).

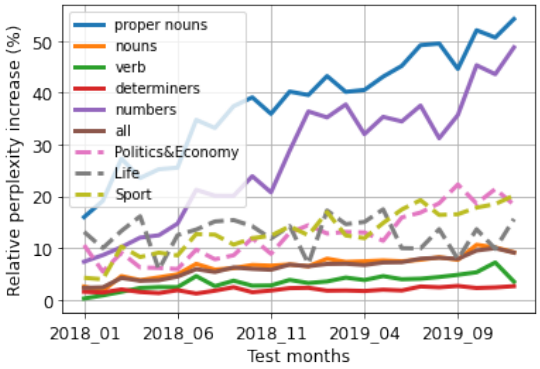

Figure 2: **WMT** relative ppl. increase of the TIME-STRATIFIED over the CONTROL models, broken down by part-of-speech (POS) tags (solid lines) and topics (dotted lines).

Perplexity and temporal frequency shifts In practice, *adaptation* is a key necessity to maximize the potential of LMs in our dynamic and non-stationary world. This includes the ability to integrate information about new words and concepts that *never occurred* in the past, and also words whose context or meaning *had substantially changed* across time. This need is well-reflected in our datasets: About 27% of word types (i.e. unique words) on **CUSTOMNEWS** each month had never occurred in the training period, such as "Brexiteers" and "MeToo". We refer to these as EMERGING NEW WORDS, and argue that these concepts are important because they reflect precisely the dynamic nature of our non-stationary world. Perhaps the most notable recent EMERGING NEW WORDS is "COVID-19", which had zero unigram probability prior to late-2019, and yet constitutes an important use case of the NLP systems today.

Concretely, we define EMERGING NEW WORDS as those that occur frequently on the test set (at least 50 times), but either: (i) were previously unseen on the training set, or (ii) occurred much less frequently on the training set than on the test set, as indicated by an at least 5 times lower unigram probability. This procedure yields a reasonably-sized set of 287 EMERGING NEW WORDS and 87,636 mentions in our 2018-2019 test documents. Many of these words indeed reflect strong temporal dynamics: e.g. "Ardern" (who became the New Zealand PM in late-2017) and "Novichok" (which is what Sergey and Yulia Skripal were poisoned with in 2018). Fig. 3 shows that the TIME-STRATIFIED model performs substantially worse for EMERGING NEW WORDS—an almost 5x worse perplexity (110 vs 22) than the overall one (Figure 2).

Perplexity of first and second occurrences of EMERGING NEW WORDS. We now ask: How well can Transformer LMs rapidly adapt to new information and EMERGING NEW WORDS? Concretely, LMs that perform well in our non-stationary world should be able to predict subsequent occurrences

of EMERGING NEW WORDS (e.g. "COVID-19"), which exhibit strong temporal dynamics, much better than the first occurrences of these words, because these words appear frequently on the test set prefix—even though these EMERGING NEW WORDS do not appear as frequently on the training set. In Fig. 3, we show the perplexity obtained by the TIME-STRATIFIED model under two conditions: For the first and second occurrences of EMERGING NEW WORDS in a test document.

Although the model has a high ppl. the first time it generates EMERGING NEW WORDS in the document (ppl. of ∼694.95), it has a much lower ppl. for generating the same words for the second time, but *only if* the first word is available in the Transformer context. In such case, the model can simply copy the same word from the context; this finding reaffirms the strong copying ability of the attention block (Bahdanau et al., 2015; Vinyals et al., 2015). This means that the ability of Transformers to condition on long-range context is *already* a useful feature for temporal generalization, even when we are not explicitly updating the model parameters with new data. However, we observe no such effect when the first occurrence falls *outside* of the Transformer memory (ppl. of >2,700), highlighting the need to scale Transformers to even longer sequences (Child et al., 2019; Correia et al., 2019; Kitaev et al., 2020; Beltagy et al., 2020, *inter alia*) to improve temporal generalization.

Occurrence \ Setup		TIME-STRATIFIED	TIME-STRATIFIED + dynamic eval
All words		22.45	22.17
All EMERGING NEW WORDS		109.73	66.26
1st		694.95	357.40
2nd	1st in memory	75.95	44.21
	1st **NOT** in memory	2,719.25	1,430.34

Table 3: Perplexity of TIME-STRATIFIED model on EMERGING NEW WORDS on **WMT**, broken down by whether the word is encountered for the 1st or the 2nd time in the test document, and for the latter, whether the 1st occurrence was in the TXL context. The last column shows results with dynamic evaluation (§6).

Importance. Our analyses provide a targeted evaluation of temporal generalization in LMs, which enable us to benchmark progress precisely on things that matter the most for temporal generalization (e.g. evaluating LMs on named entities, fast-changing topics, and adaptation speed to EMERGING NEW WORDS, rather than relying on overall ppl. as a sole metric for measuring LM progress).

4 The effect of outdated models persists even when increasing model sizes

Recently, increasing model size has led to substantial improvements in perplexity, downstream tasks, and few-shot learning ability (Kaplan et al., 2020; Brown et al., 2020). But can increasing model size also improve temporal generalization? To this end, we train a bigger TIME-STRATIFIED model with 448M parameters—a 60% increase over the previous 287M model and 30% larger than GPT-2_{MEDIUM}.

Similar to Section 3, we report the respective perplexity increase of the newly trained TIME-STRATIFIED^{448M} model over the CONTROL^{287M} model (solid lines). We reproduce the relative perplexity increase of the smaller TIME-STRATIFIED^{287M} model over the CONTROL^{287M} one (Fig. 2) as the dotted lines.

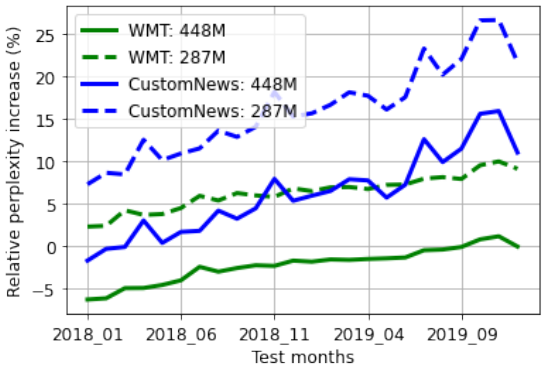

Figure 3: Relative perplexity increase of the TIME-STRATIFIED models with 287M (dotted line) and 448M parameters (solid line), respectively, over the CONTROL model with 287M parameters, for **WMT** and **CUSTOMNEWS** (§4).

If increasing the model size was able to delay temporal degradation, we would expect to see the solid lines produced by the bigger models to have reduced (i.e., flatter) slopes compared to the dotted lines produced by the smaller models. While larger TIME-STRATIFIED models, as expected, achieve lower absolute perplexities (5.5% improvement), model size has *no significant effect* on the slope of these lines ($p > 0.05$, assessed using a t-test on the slopes found by fitting a linear regression). On both datasets, by the end of the test period (i.e. late-2019), a smaller but more up-to-date CONTROL^{287M} model outperforms a 60% larger but two-year out-of-date TIME-STRATIFIED^{448M} model. Hence, building models that perform

well in this setup requires solutions that more directly tackle the specific challenges we emphasized through our findings so far, and update the model's knowledge with new information.

5 Time-stratified question answering

So far we have evaluated the LMs intrinsically, through perplexity, which is important because language modelling is a *foundational* task that affects many NLP applications through language model pretraining. However, we still do not know how this perplexity deterioration affects practical applications of LMs, i.e., how do out-of-date LMs affect different types of downstream tasks?

Closed-book question answering (QA). Closed-book QA is a popular testbed for evaluating pretrained LMs that have to compress and encode knowledge found in a big corpus. But given the relative lack of existing time-stamped, news QA datasets that evaluate LMs' ability to answer questions about events that happen outside of their training period in a closed-book fashion, we construct a dataset of synthetic questions the government officials using the following template: "*Who is the [government role] of [country/state] in [month/year]?*" In total, we construct a test set of 438 questions about 22 different government roles from 11 countries (see Appendix C for examples). We pretrain the TXL model (as described in Section 2.3) using the **WMT** dataset up to the years 2012, 2013,..., 2019, respectively. We then fine-tune all these models to answer questions about government officials for 2011 to get the model accustomed to the task format, and evaluate on synthetic questions related to the year 2019. Fig. 4 shows the substantial accuracy deterioration as we shift the end of the pretraining data away from 2019, the year for which we ask questions. This finding demonstrates how the fine-tuned LMs' lack of more recent factual knowledge affects their performance on this task. Note that the slight drop in accuracy in 2019 compared to 2018 is due to dataset noise. Anecdotally, we observe that the 2019 model mixes up the names of Russian and American presidents, which often co-occurred in the same context in 2019.

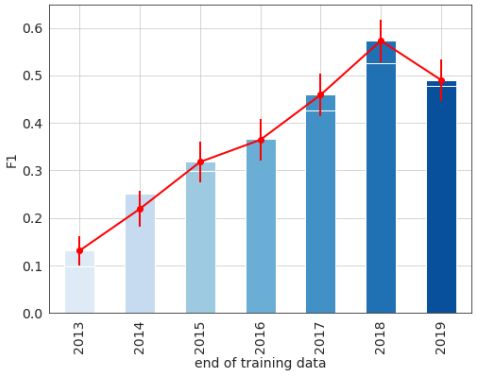

Figure 4: Synthetic questions about political figures: Model performance as we shift the end of the training set chronologically away from the year about which we ask questions (i.e, 2019). The error bars indicate two standard errors of mean.

Reading comprehension. Nevertheless, we do not expect all downstream tasks to be equally affected by outdated LMs. To illustrate this point, we perform a reading comprehension experiment using NewsQA (Trischler et al., 2017), where the evidence documents are presented together with the questions into the prefix of the model. Hence, the model has all necessary information to answer the questions, and thus outdated LMs will likely present less of a challenge in this type of tasks. We obtain a TIME-STRATIFIED NewsQA by recovering the articles' timestamps.[7]. We test on questions from 2009, for a of total 10000 questions (see Appendix C for question-answer examples). We evaluate how well LMs trained on **CUSTOMNEWS** until the end of 2008 performs in comparison to LMs trained until the end of 2009: Both models perform identically at 0.47 F1. Hence, time-stratified evaluations for reading comprehension, where the answers are extractive and can be copied from the passage, pose less of a challenge for outdated LMs, unlike knowledge-intensive, closed-book QA.

6 Keeping models up-to-date: Online learning through dynamic evaluation

One way to mitigate LMs' degradation over time is to continually update the models' knowledge with new information as new documents arrive into the stream. One of the ways to do this is through dynamic evaluation (Mikolov et al., 2010; Graves, 2013; Krause et al., 2019)—a form of online learning that continually updates the parameters of a pretrained model by performing gradient descent on the new data. While most prior work used dynamic evaluation to perform updates within a document, hence adapting to local topical shifts, here we use it to adapt to the temporal dynamics

[7]https://cs.nyu.edu/ kcho/DMQA/

that occur within a stream of chronologically ordered documents, hence adapting to temporal trends across documents. Appendix B has more details on dynamic evaluation and our empirical settings.

We plot the results in Fig. 5: Dotted lines reflect the perplexity increase when comparing the CONTROL model to the TIME-STRATIFIED model, i.e., the same graph as in Fig. 1, whereas solid lines reflect the perplexity increase achieved when comparing the same CONTROL model with the TIME-STRATIFIED model augmented with dynamic evaluation (TIME-STRATIFIED^{dyn}). In all datasets, dynamic evaluation reduces the speed of the model becoming outdated, as evidenced by the *reduced* upward slope, with a significant effect for **ARXIV** and **WMT** ($p < 0.05$, assessed using a t-test on the slopes found by fitting a linear regression). The improvements are more pronounced for **ARXIV**, where a more granular analysis over weeks reveals that the model needs only about one week worth of data to overtake the CONTROL model. Moreover, we see much larger improvements for predicting EMERGING NEW WORDS, which exhibit strong temporal dynamics (§3.1, see Fig. 3): We observe a 39.62% ppl. reduction from 109.73 to 66.2 for EMERGING NEW WORDS, compared to the overall ppl. reduction (a 1.25% reduction from 22.45 to 22.17 for **WMT**; Fig. 4).

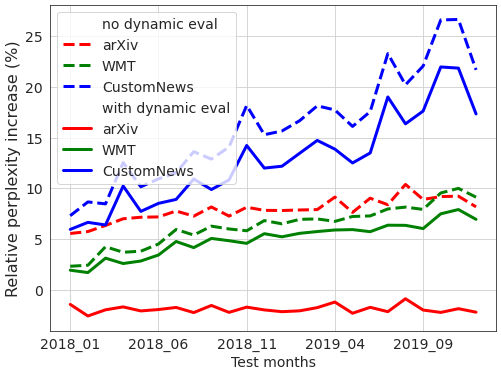

Figure 5: Relative perplexity increase with (solid lines) and without (dotted lines) dynamic evaluation, for the TIME-STRATIFIED model.

When aiming to keep models up-to-date (especially for larger models), lightweight yet effective approaches are preferable because they allow the model to rapidly digest new information with minimal time, computation, and carbon costs. We thus experiment with updating only the embedding layer (i.e., 52M parameters), capturing lexical semantic changes, as well as updating only the bias terms at all layers (i.e., 198K parameters), as recently introduced by Ben-Zaken et al. (2021). Fig. 4 presents the results: In line with the findings of Ben-Zaken et al. (2021), updating only the bias terms performs nearly as well as updating the full model.

Parameters that get updated	WMT	CUSTOM NEWS	ARXIV
All parameters	22.17	**20.72**	**20.98**
Bias-only	**22.16**	20.96	21.24
Embeddings-only	22.32	21.21	22.27
no dynamic eval.	22.45	21.33	23.07

Table 4: Perplexity of TIME-STRATIFIED model when updating some of the parameters.

Beyond dynamic evaluation We remark that dynamic evaluation alone, while effective, does not fully solve temporal generalization, as evidenced by the prevailing (albeit gentler) upward slopes on **WMT** and **CUSTOMNEWS**. We expect that larger gains can be achieved by fully embracing continual learning in LMs—striking a balance between quickly adapting to new data and achieving positive forward transfer (Lopez-Paz and Ranzato, 2017), while avoiding catastrophic forgetting (Mccloskey and Cohen, 1989; Kirkpatrick et al., 2017). Indeed, as we show in Figure 9, while dynamic evaluation is able to improve generalization to future data, it causes catastrophic forgetting of the past data. Furthermore, recent semi-parametric models (Guu et al., 2020; Lewis et al., 2020b; Karpukhin et al., 2020; Khandelwal et al., 2020; Yogatama et al., 2021) lend themselves well to continual learning, where new knowledge can be stored in an external memory, which can be updated without retraining the whole model. A related approach is to disentangle the acquisition of up-to-date knowledge from the language learning itself, and enable direct editing of that knowledge within the model (Sinitsin et al., 2020; Zhu et al., 2020; De Cao et al., 2021).

7 Related Work

Concept drift. Detecting changes in data streams, also known as concept drift, has a long history in machine learning (Widmer and Kubat, 1996; Kifer et al., 2004; Baena-Garcıa et al., 2006; Dries and Rückert, 2009; Lu et al., 2019). In NLP, most recent work in this area models lexical change by

training word embeddings (Hamilton et al., 2016; Szymanski, 2017; Yin et al., 2018) and deep neural networks (Rosenfeld and Erk, 2018; Bjerva et al., 2019) on data of different time spans.

Out-of-Distribution (OoD) generalization. OoD generalization is well-studied in NLP (Blitzer et al., 2006; Daumé III, 2007; Axelrod et al., 2011), and has recently been addressed for neural LMs and transfer learning (Fried et al., 2019; Oren et al., 2019; Gururangan et al., 2020), where pretrained LMs lead to substantial improvements and increased robustness (Hendrycks et al., 2020). While most prior work has focused on distributional shifts in terms of topic and domain (Kruszewski et al., 2020), distributional shifts in terms of *time* also constitute an important and realistic challenge that NLP systems of today (including those based on large LM pretraining) must be able to perform well at.

Continual learning & streaming LMs. Our work is closely related to continual and lifelong learning, which aim to design models that continually accumulate new knowledge without forgetting relevant information about the past (Mccloskey and Cohen, 1989; Thrun and Mitchell, 1995; French, 1999; Mitchell et al., 2015; Rusu et al., 2016; Kirkpatrick et al., 2017; Al-Shedivat et al., 2018; Hadsell et al., 2020). The distribution of words and context in natural language changes rapidly with time, and hence constitutes an important test bed for developing continual learning systems. More specific to the LM literature, prior work proposed ways of designing LMs that efficiently adapt their knowledge to continuous streams of new information (Jelinek et al., 1991; Wang et al., 2008; Goyal et al., 2009; Osborne et al., 2014; Yogatama et al., 2014, *inter alia*)—often known as *streaming LMs*, albeit mostly in the context of n-gram LMs. While we show that Transformer LMs achieve much better perplexity than previous n-gram models (the Transformer LM ppl. in §3 are substantially better than those in prior streaming n-gram LM literature), we show that Transformer LMs similarly suffer from the temporal degradation problem. Given the different nature of our LMs today (i.e. deep neural models rather than n-gram LMs), we argue that now is the right time to make progress on this open research question, with notable progress in other NLP tasks (d'Autume et al., 2019; Sun et al., 2020).

Temporal splits in NLP. Prior work has used temporal splits (i.e. training on text from the past and evaluating on future text) for NLP tasks like machine translation (Levenberg et al., 2010), sentiment analysis (Lukes and Søgaard, 2018), named entity recognition (Fromreide et al., 2014; Rijhwani and Preotiuc-Pietro, 2020), and others (Dunn et al., 2017; Bjerva et al., 2020; Søgaard et al., 2021). Nevertheless, the vast majority of NLP benchmarks today still do not perform temporal splits, and hence do not measure how well models can generalize to future data. Furthermore, this work has two key distinctions from prior work. First, we focus on language modelling—a *foundational* task that is used for many NLP systems today through LM pretraining—and propose a benchmark to measure progress in this direction. Second, we go one step further than prior work and perform a thorough analysis to pinpoint *what kinds* of predictions the model is struggling with. Such analysis can then be used to better measure progress in dynamic language modelling, where improvements are not always easily discernible from overall ppl. alone (e.g. performance on EMERGING NEW WORDS; §3.1).

8 Conclusion

We evaluated the extent to which our current language models can generalize well in the realistic setup of predicting future utterances outside their training period. We found that current practices that train and evaluate models on data from overlapping time period *overestimate* model generalization to future utterances, and that Transformer LMs become increasingly outdated with time. We found that increasing model size alone—a key driver behind recent LM success—is not a solution for this problem, and that this degradation affects downstream tasks that require up-to-date factual knowledge.

Generality to other domains. The importance of temporal generalization extends beyond language modelling and NLP. Many other commercial machine learning systems like speech processing and video understanding also involve non-stationary data, and are similarly trained on data that were collected in the past, but deployed on new data from the future. Since many of these tasks also use Transformers (Girdhar et al., 2019; Gulati et al., 2020, *inter alia*), we expect our findings to generalize to these domains, although a more complete investigation to this end is left to future work.

Limitations While we explored how the LM performance degradation with time affects two types of question-answering tasks, a broader variety of tasks is needed to obtain a more holistic picture on how temporal generalization manifests in downstream tasks. An open research question is thus how we can create and maintain benchmarks that are not static (Nie et al., 2020; Potts et al., 2020) and further promote research on continual and life-long learning.

Is this all obvious? Our findings should not come us a surprise: That the world around us changes with time—and thus *what* and *how* we talk about it also evolve accordingly—is hardly controversial. But for the most part, these temporal dynamics are *still not* currently reflected in the way that we train

and evaluate our neural LMs. Our aim here is to highlight how such static evaluations overestimate models' performance, especially around predictions that relate to factual knowledge, which constitute an important use case of NLP systems today. With the compilation of ever-larger web crawls for LM pretraining (Gao et al., 2021), now is the right time to rethink how our splits are constructed (Søgaard et al., 2021), construct temporal splits that evaluate models on their ability to generalize to future data, and include timestamp information in both pretraining datasets and downstream tasks to facilitate this kind of more realistic LM evaluation. This strategy will not only allow us to assess models' performance on a realistic type of out-of-sample data, but also circumvent test data contamination affecting both LM and downstream task evaluations more broadly, e.g., in widely used QA datasets like Natural Questions (Kwiatkowski et al., 2019) and TriviaQA (Joshi et al., 2017a), which have been shown to contain alarmingly high proportions of overlapping training and test data (Lewis et al., 2020c). Our dynamic, streaming LM benchmarks—alongside the evaluation metrics that evaluate LMs on things that matter the most for temporal generalization (e.g. named entities, EMERGING NEW WORDS)—will be released to encourage more research in this area, and reward the development of **adaptive** language models that can remain up-to-date with respect to our non-stationary world.

9 Broader Societal Impact Discussion

Lastly, we remark on two aspects of the broader societal impact pertaining to the importance of continually-updating language models. First, we argue that having NLP models—the vast majority of which are built on top of pretrained language models—that can remain up-to-date with our current social trends and public perception is relevant for mitigating potential harms and biases caused by NLP models. For instance, recently there has been renewed public support and interest for social justice movements in 2020, such as the #BlackLivesMatter movement (Cohn and Quealy, 2020). Hence, without explicit mechanisms to update the models' knowledge, language models that were trained before this time period can potentially miss out on shifting language used to describe such movements—where such movements are now more widely supported by the general public—and potentially produce outdated, biased language that is no longer frequently used at present. On the other hand, we should also be careful not to let the model update its knowledge with material that can add or amplify to the bias and prejudice of the model (Chang et al., 2019; Bender et al., 2021).

Second, our findings highlight the risk of the "brute-force" approach of keeping models up-to-date by periodically retraining the model from scratch, for instance by combining the old and new data. Given the increasing size of NLP models, training large models from scratch each time incurs increasingly more expensive computational and environmental costs (Strubell et al., 2019; Patterson et al., 2021). Hence, our findings emphasise the need for more efficient and lightweight approaches of keeping models up-to-date, whilst mitigating catastrophic forgetting at the same time. Our work provides a benchmark to measure progress in this space, and we strongly encourage future work that uses our benchmark to also report the computational costs of their approach for keeping language models up-to-date. Lastly, we remark that the ethical considerations and risks of working with large language models also apply to our work (Bender et al., 2021).

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Checklist

1. For all authors...
   (a) Do the main claims made in the abstract and introduction accurately reflect the paper's contributions and scope? [Yes]
   (b) Did you describe the limitations of your work? [Yes] See the "Limitation" part of Section 8.
   (c) Did you discuss any potential negative societal impacts of your work? [Yes] We work with large-scale language models. In the paper, we have outlined the broader societal impact of our work, although other risks that stem from language modelling research on large amounts of data may also apply to our work (Bender et al., 2021).
   (d) Have you read the ethics review guidelines and ensured that your paper conforms to them? [Yes] We have outlined the potential negative social impacts of our work in §9, and additionally in the answer to Checklist 1.(c) above.
2. If you are including theoretical results...
   (a) Did you state the full set of assumptions of all theoretical results? [N/A] This paper does not include theoretical results.
   (b) Did you include complete proofs of all theoretical results? [N/A]

3. If you ran experiments...
   (a) Did you include the code, data, and instructions needed to reproduce the main experimental results (either in the supplemental material or as a URL)? [Yes] All dataset details and preprocessing steps are described in Section 2.1. While we do not release the code, the experiment can be repeated with publicly available Transformer implementations. The dataset splits are released publicly.
   (b) Did you specify all the training details (e.g., data splits, hyperparameters, how they were chosen)? [Yes] The dataset and splits are described in Section 2.1, and are released publicly. The hyper-parameters and model details are described in Section 2.3.
   (c) Did you report error bars (e.g., with respect to the random seed after running experiments multiple times)? [Yes] We have conducted significance testing and also tested the robustness of our findings by replicating our experiments in different configurations (e.g., with larger model sizes, in different languages, in different datasets, and in different yearly splits of our datasets).
   (d) Did you include the total amount of compute and the type of resources used (e.g., type of GPUs, internal cluster, or cloud provider)? [Yes] To train and evaluate the models, including hyperparameter optimization, we used approximately 186,000 TPU hours. In each experiment, we used 32 TPUs for training and 1 TPU for evaluation.
4. If you are using existing assets (e.g., code, data, models) or curating/releasing new assets...
   (a) If your work uses existing assets, did you cite the creators? [Yes] We build our data using existing datasets, which we cite in footnote 4.
   (b) Did you mention the license of the assets? [N/A] We release dataset splits as lists of identifiers pointing to original datasets. The licenses of the original datasets apply.
   (c) Did you include any new assets either in the supplemental material or as a URL? [Yes] We are providing the URL to the publicly released resources.
   (d) Did you discuss whether and how consent was obtained from people whose data you're using/curating? [N/A] We build all our datasets through publicly available datasets.
   (e) Did you discuss whether the data you are using/curating contains personally identifiable information or offensive content? [No] We work with publicly available datasets that have been used in prior work. An analysis of whether, and to what extent, personally identifiable information can be extracted from these publicly available datasets are beyond the scope of this work.
5. If you used crowdsourcing or conducted research with human subjects...
   (a) Did you include the full text of instructions given to participants and screenshots, if applicable? [N/A] This work does not include any crowd-sourcing or research with human subjects.
   (b) Did you describe any potential participant risks, with links to Institutional Review Board (IRB) approvals, if applicable? [N/A]
   (c) Did you include the estimated hourly wage paid to participants and the total amount spent on participant compensation? [N/A]

A How General Are These Findings?

A.1 The effect of outdated models persists beyond the 2018/2019 test period.

We test whether the temporal degradation trends we observe in §3 are *not* an artifact of some particularity of the chosen test period (i.e., $Yr1 = 2018$ and $Yr2 = 2019$). We design new test sets by shifting $Yr1$ and $Yr2$ in increments of one year towards the past, for a total of five such test sets. Following §2.2, we derive different TIME-STRATIFIED^{Yr1,Yr2} and CONTROL^{Yr1,Yr2} training and validation splits.

Note that each TIME-STRATIFIED^{Yr1,Yr2} and CONTROL^{Yr1,Yr2} setups are: (i) Trained on the same training data sizes, and (ii) evaluated on the same test set covering $Yr1$ and $Yr2$. Fig. 6 shows similar temporal degradation across all testing years.

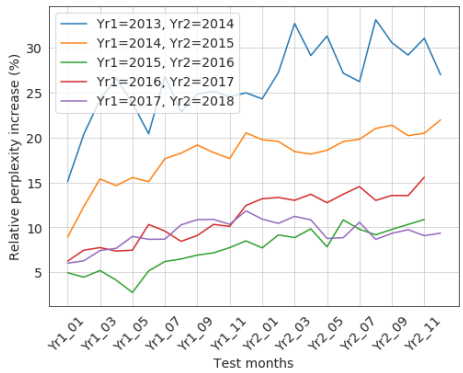

Figure 6: Relative perplexity increase of TIME-STRATIFIED^{Yr1,Yr2} over CONTROL^{Yr1,Yr2} models.

A.2 The effect of outdated models persists beyond the two-year gap.

For this experiment, we keep the same 2018-2019 test set introduced in §2.2, and train models with training data from different time periods with increasingly larger gaps from the 2018-2019 evaluation period, controlling so that all training data sizes are identical across different years. More concretely, the most up-to-date model covers the same time period as the original TIME-STRATIFIED model, and we "push" the training period back with 6-month increments, up to September 2012, for a total of 11 training sets—each of the same size—used to train 11 models. Fig. 7 shows that the perplexity deterioration continues to grow in response to larger gaps between the training and test periods.

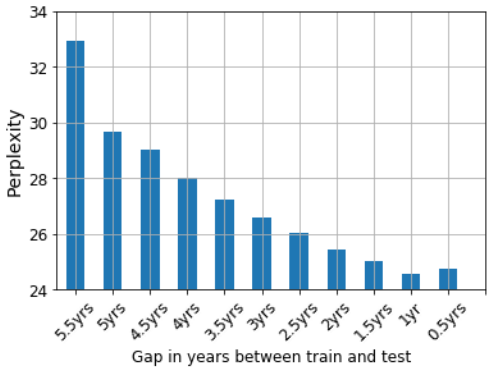

Figure 7: Perplexity of models trained with data from different time periods, with increasingly larger gaps from the 2018-2019 test set period.

A.3 The effect of outdated models persists beyond English: A German study.

We test whether the temporal degradation trend is a generalizable pattern that holds across languages. We use the German subset of **WMT**, apply the same pre-processing steps as §2.1, follow the same experimental setup as §2.2, and train two Transformer-XL models on TIME-STRATIFIED^{de} and CONTROL^{de} setups, achieving 30.87 and 26.79 respective test perplexities. These perplexities are indeed higher than the ones in Table 2—a consistent pattern with prior findings on the difficulty of modelling German (Mielke et al., 2019). Nevertheless, we still see the exact same pattern where the stale TIME-STRATIFIED^{de} model performs worse than the CONTROL^{de} one (a substantial 15.23% relative increase). Moreover, similar to the English experiment, the model degrades more as the gap between the training and test period increases—an effect particularly pronounced for proper nouns and for words that are broken down by the TIME-STRATIFIED^{de} tokenizer into more tokens.

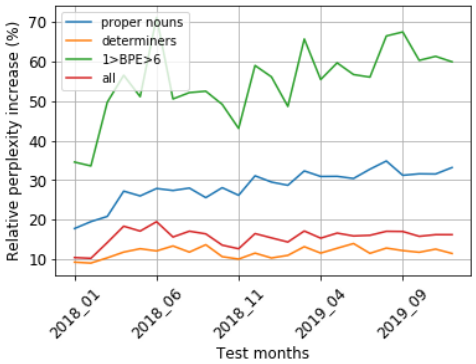

Figure 8: For the experiments on German, the relative increase of perplexity of the TIME-STRATIFIED^{de} model over its CONTROL^{de} counterpart.

B Dynamic evaluation

Here we more formally describe dynamic evaluation, which we apply to the TIME-STRATIFIED model, and outline some of the hyper-parameter choices used for our dynamic evaluation experiments (§6). Let $\{D^{(1)}, D^{(2)}, \cdots, D^{(N)}\}$ be a collection of N chronologically-ordered test documents, where $D^{(t-1)}$ was published before $D^{(t)}$, and $D^{(1)}$ was our first test document in the 2018-2019 evaluation period (§2.1). Each test document $D^{(t)}$ consists of $M = |D^{(t)}|$ tokens $\mathbf{x}^{(t)} = x_1^{(t)}, x_2^{(t)}, \cdots, x_M^{(t)}$. Furthermore, let $\boldsymbol{\theta}_1$ be the set of Transformer-XL model parameters (§2.3) *after* training on documents from the pre-2018 training period (TIME-STRATIFIED setup; §2.1), and *before* any dynamic evaluation is applied.

The loss of the Transformer-XL model with respect to a test document $D^{(t)}$ is computed as follows:

$$\ell(D^{(t)}; \boldsymbol{\theta}_t) = \log p_{\boldsymbol{\theta}_t}(\mathbf{x}^{(t)}) = \log \left(\prod_{i=1}^{M} p_{\boldsymbol{\theta}_t}(x_i^{(t)} \,|\, \mathbf{x}_{<i}^{(t)}) \right) = \sum_{i=1}^{M} \log p_{\boldsymbol{\theta}_t}(x_i^{(t)} \,|\, \mathbf{x}_{<i}^{(t)}), \tag{1}$$

where $\mathbf{x}_{<i}^{(t)}$ denotes tokens $x_1^{(t)}, x_2^{(t)}, \cdots, x_{i-1}^{(t)}$ in the test document $D^{(t)}$ that precede $x_i^{(t)}$.

In dynamic evaluation (Mikolov et al., 2010; Graves, 2013; Krause et al., 2018, 2019), we dynamically update the Transformer-XL model parameters using gradient descent, based on the knowledge contained in the test documents that had been seen so far. More formally,

$$\boldsymbol{\theta}_{t+1} \leftarrow \boldsymbol{\theta}_t - \alpha \, \nabla_{\boldsymbol{\theta}_t} \, \ell(D^{(t)}; \boldsymbol{\theta}_t), \tag{2}$$

where α denotes the dynamic evaluation learning rate, and $\nabla_{\boldsymbol{\theta}_t} \, \ell(D^{(t)}; \boldsymbol{\theta}_t)$ denotes the gradient of the model parameters with respect to the model's loss for the current document $\ell(D^{(t)}; \boldsymbol{\theta}_t)$.

This procedure means that the model parameters $\boldsymbol{\theta}_t$, which we use to evaluate the model on the current test document $D^{(t)}$, *already encodes* knowledge from previous test documents

$D^{(1)}, D^{(2)}, \cdots, D^{(t-1)}$, in addition to the knowledge learnt from the training set. This in turn enables the model to learn about new information that emerges or becomes more salient during the evaluation period (e.g. "COVID-19" in late-2019), which is then stored in the model parameters, and reuse such information for better prediction of subsequent test documents. In practice, our implementation of dynamic evaluation differs from Eq. 2 in two ways: (i) We perform K steps of gradient descent for each document, rather than only one step, where K is tuned on the validation set; and (ii) we perform the gradient updates for a batch of contiguous tokens (e.g. 512), which means that documents that are longer than the batch size will have more than one parameter update.

Contrast with non-dynamic evaluation. When dynamic evaluation is not applied, $\boldsymbol{\theta}_t = \boldsymbol{\theta}_{t-1} = \boldsymbol{\theta}_1$. This means that the same model parameters $\boldsymbol{\theta}_1$ (i.e. model parameters after training on the training documents—*without* updating the models' knowledge on the observed test documents) are used to predict all test documents, risking the model becoming outdated in-between retraining cycles.

Dynamic evaluation hyper-parameters. We use the following learning rates (**WMT**: 5e-5, **CUSTOMNEWS**:5e-4, **ARXIV**: 1e-3), which are tuned on the validation set spanning three months, whereas the test set spans two years. We leave the question of choosing a learning rate with an optimal trade-off between adaptation speed and stability of updates without *a priori* knowledge of the evaluation period to future work.

B.1 Dynamic Evaluation and Catastrophic Forgetting

We design an experiment to assess whether updating a model on present data using dynamic evaluation leads to catastrophic forgetting of the past data. To assess this, we report the performance of the two models, i.e., the one trained until 2017 and the one updated up to 2019, on a test set derived from the initial training data of the model covering the years up to the year from which we started performing dynamic evaluation (i.e., 2007-2017). In addition, we also report the results on the 2018-2019 test set which were presented in Section 6.

Figure 9 presents the results for **WMT** and**ARXIV**. For both datasets we observe that as we move towards the past, the perplexity of the model updated with dynamic evaluation increases. As such, while the updated model outperforms the outdated model for the recent 2018 and 2019 years, the same model performs increasingly worse on the past years, as indicated by the gentle upward slope from 2017 and onwards.

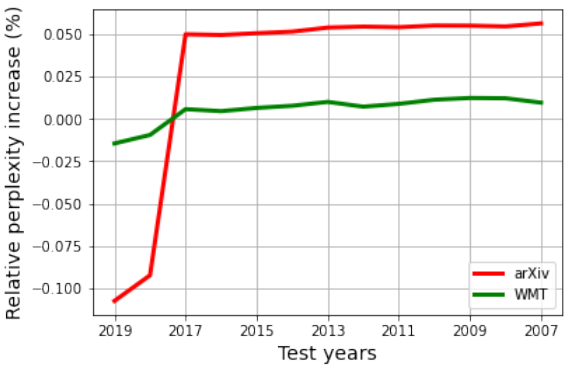

Figure 9: Catastrophic forgetting as measures in terms of relative perplexity increase when comparing the models updated with dynamic evaluation against the models that have been trained with data up to 2017. The x-axis presents the years in a reverse chronological order.

C Example Question-Answer Pairs

C.1 Examples of closed-book QA on synthetic questions on government officials

Question: Who was the governor in Texas on 5 September 2019? **Answer:** Greg Abbott

Question: Who was the prime minister in Canada on 8 June 2019? **Answer:** Justin Trudeau

Question: Who was the president in Portugal on 30 May 2019? **Answer:** Marcelo Rebelo de Sousa

C.2 Examples of reading comprehension on NewsQA

Document: England international footballer Steven Gerrard was found not guilty of affray by a court in his home city on Friday. England international Steven Gerrard was cleared by a court in Liverpool of affray. The jury at Liverpool Crown Court took a little over an hour to clear Gerrard of charges relating to a fracas in a nightclub bar in the north-western of England city on December 29 of last year. They accepted the Liverpool captainś version that he acted in self defense in punching businessman Marcus McGhee. The 29-year-old was the only one of the seven defendants in the case to be cleared after an incident which was described by judge Henry Globe as an "explosion of violence." Gerrard spoke of his relief outside the court. "Can I just say how pleased I am with todayś verdict," he said. "Iḿ glad to put this case behind me and I am really looking forward to the season ahead and concentrating on my football now. "I would just like to say a big thank you to my legal team and to my friends and family and everyone at Liverpool football club for supporting me." His comments were met with a round of applause from a large group of fans of the Premier League club who had gathered outside the court, before he was ushered away. Gerrard was celebrating in the Lounge Inn in Southport, a suburb of Liverpool, after scoring twice his teamś 5-1 win at Newcastle which took them to the top of the Premier League. Video footage, which was available to the court, showed.

Question: Who was cleared by a Liverpool court? **Answer:** Steven Gerrard

Document: CNN affiliates report on where job seekers are finding work across the country and how those looking for employment are coping with the situation. A census employee poses with the new handheld device field workers will use for the 2010 count. (CNN) – The nation will take roll call in 2010 and the federal government is giving the states money to hire thousands of census workers. Officials in Colorado say they may hire as many as 8,000 workers for positions that last between 10 weeks and one year. Cathy Illian says the bureau has already hired 800 people in the Denver area. The organization will also post open positions in early April. Some jobs pay as much as $28.75 an hour. Read the story on KMGH. In Idaho, Dave Mulvihill, manager of the stateś census bureau, said the organization will hire 1,200 workers. He has plenty of job searchers to choose from. "Wev́e had applications from approximately 7,300 people across the state," he told CNN affiliate KIVI. Read the full report on census jobs. The office is holding off on taking any more applications until fall. The Alabama census bureau is preparing to hire between 1,000 and 1,500 workers. "We need workers so we can get good addresses [to] send the questionnaires out so we can get a good response," state census bureau official Darryl Lee told TV Alabama in Birmingham. Census officials point out that an accurate count of U.S. citizens helps the government figure out how much funding to give each state for federally sponsored programs. Read the ABC 33/40 story Northeast: Rhode Island strip club.

Question: Census bureaus are hiring people from where? **Answer:** Denver area