Possibility Before Utility: Learning And Using Hierarchical Affordances

Abstract

Reinforcement learning algorithms struggle on tasks with complex hierarchical dependency structures. Humans and other intelligent agents do not waste time assessing the utility of every high-level action in existence, but instead consider only ones they deem *possible* in the first place. By focusing only on what is feasible, or "afforded", at the present moment, an agent can spend more time both evaluating the utility of and acting on what *matters*. To this end, we present *Hierarchical Affordance Learning* (HAL), a method that learns a model of *hierarchical affordances* in order to prune impossible subtasks for more effective learning. Existing works in hierarchical reinforcement learning provide agents with structural representations of subtasks but are not affordance-aware, and by grounding our definition of hierarchical affordances in the present *state*, our approach is more flexible than the multitude of approaches that ground their subtask dependencies in a *symbolic history*. While these logic-based methods often require complete knowledge of the subtask hierarchy, our approach is able to utilize incomplete and varying symbolic specifications. Furthermore, we demonstrate that relative to non-affordance-aware methods, HAL agents are better able to efficiently learn complex tasks, navigate environment stochasticity, and acquire diverse skills in the absence of extrinsic supervision—all of which are hallmarks of human learning.

1 Introduction

Reinforcement learning (RL) methods have recently achieved success in a variety of historically difficult domains (Mnih et al., 2015; Silver et al., 2016; Vinyals et al., 2019), but they continue to struggle on complex hierarchical tasks. Human-like intelligent agents are able to succeed in such tasks through an innate understanding of what their environment enables them to do. In other words, they do not waste time attempting the impossible. Gibson (1977) coins the term "affordances" to articulate the observation that humans and other animals largely interpret the world around them by which behaviors the environment *affords* them. While some previous works apply the concept of affordances to the RL setting, none of these methods easily translate to environments with hierarchical tasks. In this work, we introduce *Hierarchical Affordance Learning* (HAL), a method that addresses the challenges inherent to learning affordances over high-level subtasks, enabling more efficient learning in environments with complex subtask dependency structures.

Many real-world environments have an underlying *hierarchical* dependency structure (Fig. 1a), and successful completion of tasks in these environments requires understanding how to complete individual *subtasks* and knowing the relationships between them. Consider the task of preparing a simple pasta dish. Some sets of subtasks, like chopping vegetables or filling a pot with water, can be successfully performed in any order. However, there are many cases in which the dependencies between subtasks must be obeyed. For instance, it is inadvisable chop vegetables *after* having mixed them with the sauce, or to boil a pot of water *before* the pot is filled with water in the first place. Equipped with structural inductive biases that naturally allow for temporally extended reasoning over subtasks, hierarchical reinforcement learning (HRL) methods are well-suited for tasks with complex high-level dependencies.

Existing HRL methods fall along a spectrum ranging from *flexible* approaches that discover useful subtasks automatically, to the *structured* approaches that provide some amount of prior information about subtasks and their interdependencies. The former approaches (e.g. Vezhnevets et al., 2017;

Figure 1: Many real world tasks, like making PASTA, can be conceptualized as a hierarchy (a) of subtasks. Automata-based approaches (b) can utilize a history of subtask completion symbols to map deterministically to a context that indicates progress in the hierarchy. Approaches that assume symbolic history deterministically defines progress are not robust to stochastic changes in context (c) not provided symbolically. Hierarchical affordances (d) enable us to use incomplete symbolic information in the face of stochasticity by grounding context in the present state.

Eysenbach et al., 2018) have seen limited success, as the automatic identification of hierarchical abstractions is an open problem in deep learning (Hinton, 2021). But approaches that endow the agent with *more* structure, in hopes of making certain tasks feasible, do so at the cost of rigid assumptions. Methods that use a form of finite automata (Fig. 1b) to express subtask dependencies (e.g. Icarte et al., 2020) require the set of symbols, or *atomic propositions*, provided to the agent to be complete, in that the history of symbols maps deterministically to the current *context* (i.e. how much progress has been made; which subtasks are available). Importantly, these methods and many others (e.g. Andreas et al., 2016; Sohn et al., 2020) consider subtasks to be dependent merely on the completion of others.

Unfortunately, these assumptions do not hold in the real world (Fig. 1c). For instance, if one completes the subtask `cook noodles`, but they clumsily spill them all over the floor, are they now ready for the next subtask, `mix noodles and sauce`? While the subtask `cook noodles` is somehow *necessary* for this further subtask, it is not *sufficient* to have completed it in the past. The only way for automata-based approaches to handle this complexity is to introduce a new symbol that indicates that the subtask has been undone. This is possible, but extraordinarily restrictive, since, unless the set of symbols is complete, *none* of the subtask completion information can be used to reliably learn and utilize subtask dependencies. In contrast, in order to make use of this incomplete symbolic information, our approach learns a representation of context grounded in the present *state* to determine which subtasks are possible, rather than solely relying on *symbols* (Fig. 1d).

The contributions of this paper are as follows. First we define the *milestone signal* (§4), a flexible alternative to atomic propositions used in automata-based approaches. Defining a set of milestones is significantly easier, as the assumptions are not as rigid. Unlike a dense reward function, the milestone signal does not need to be scaled or balanced carefully to account for competing extrinsic motives. Next, we introduce *hierarchical affordances*, which can be defined over any arbitrary set of milestones, and describe HAL (§5), a method which learns and utilizes a model of hierarchical affordances to prune impossible subtasks. Finally, we demonstrate HAL's superior performance on two complex hierarchical tasks in terms of learning speed, robustness, generalizability, and ability to explore complex subtask hierarchies without extrinsic supervision, relative to baselines provided with the same information (§6.3).

2 RELATED WORK

Our approach uses the *options* framework (Sutton et al., 1999), which allows an agent to take temporally extended actions, enabling more efficient planning in certain tasks. Among the various deep-RL incarnations of the options framework (e.g. Kulkarni et al., 2016; Vezhnevets et al., 2017; Nachum et al., 2018), *Hierarchical DQN* (h-DQN) (Kulkarni et al., 2016) is the most relevant to our

approach, and we discuss it in more detail in Section 3. Our approach most notably deviates from h-DQN by learning a model of affordances and using it to reduce the initiation set for each option.

Multi-task RL methods take advantage of shared task structure in order to generalize to new tasks from the same distribution (Andreas et al., 2016; Shiarlis et al., 2018; Devin et al., 2019; Sohn et al., 2020; Lu et al., 2020). Sohn et al. (2020) learn subtask preconditions, but use symbol-based contexts and do not learn and use their model of preconditions concurrently. Instead they assume a naive policy can sufficiently reach all subtasks. Furthermore, they assume ground-truth affordances are provided at each step. Some works provide the agent with high-level task *sketches* (Andreas et al., 2016; Shiarlis et al., 2018) describing the order in which subtasks must be completed. While these sketches are advertised as "ungrounded" Andreas et al. (2016), they are in fact grounded by the inclusion of short sketches, which are the first to be introduced to the agent in a curriculum learning scheme (Bengio et al., 2009). Our approach instead uses a direct signal, which need not alone determine task progress, and can learn without exposure to other tasks with shared structure.

In using a set of discrete symbols to indicate subtask completion, our work is similar to the variety of approaches that apply *temporal logic* (TL) to the RL setting (Yuan et al., 2019; Hasanbeig et al., 2018; 2020; Li et al., 2017; 2018). These works typically provide the agent with a TL *formula*, as well as assignments of *atomic propositions* at each time-step. Some works use reward shaping to encourage satisfaction of the formula (Li et al., 2017; 2018), whereas others convert the TL formula to some finite state machine, which provides the agent with a structure that roughly expresses subtask dependencies (Hasanbeig et al., 2018; 2020; Yuan et al., 2019). Icarte et al. (2020) bypass this formula-to-automata conversion, and instead directly provide the automata to the agent in the form of a *reward machine* (RM). While RMs are more expressive than LTL formulas, they are less flexible than HAL, which can deal with incomplete sets of symbols, as well as context stochasticity.

Gibson (1977) introduces a theory of *affordances*, defined roughly as properties of the environment which must be measured relative to the agent. Heft (1989) and Chemero (2003) clarify affordances as *relations* between the agent and the environment. Khetarpal et al. (2020) formalize this relational definition of affordances in the context of RL, and model which low-level actions, given their corresponding *intents* are afforded in the present state. They demonstrate that modeling affordances speeds up and improves planning through the pruning of irrelevant actions, and allows for the learning of more accurate and generalizable partial world models. This approach does not directly translate to the hierarchical setting because subtasks, unlike actions, may fail for reasons other than affordances, meaning we do not have access to ground-truth affordance label with which to train our model. Manoury et al. (2020) and Khazatsky et al. (2021) present approaches that can discover and use affordances to learn new skills, but their definition of affordances (i.e. a behavior is either afforded or not, with no notion of preconditions) does not translate to the hierarchical setting[1].

3 BACKGROUND

Our setting is concerned with learning behavior policies in Markov Decision Processes (MDP). An MDP is defined by the state space $\mathcal{S}$, action space $\mathcal{A}$, reward function $R : \mathcal{S} \times \mathcal{A} \to \mathbb{R}$, and state transition distribution $P : \mathcal{S} \times \mathcal{A} \to \triangle(\mathcal{S})$. The objective is to learn a policy, $\pi : \mathcal{S} \to \triangle(\mathcal{A})$, which selects actions that maximize expected future returns: $G(\pi) = \mathbb{E} \left[\sum_{t=0}^{\infty} \gamma^t r_t \mid a_t \sim \pi, s_t \sim P \right]$, where γ is the discount factor. We specifically follow the paradigm of hierarchical RL where we learn low-level controllers each trained to attain some discrete goal, and a high-level meta-controller trained to select goals in a manner that maximizes rewards. A bi-level controller of this form allows an agent to learn intermediate skills, in the form of competent sub-policies, enabling the agent to compose these intermediate behaviors in order to achieve rewards in long horizon tasks. Our controllers are learned via hierarchical deep Q-learning (Kulkarni et al., 2016).

Goal-directed controllers We aim to learn a controller, $\pi : \mathcal{S} \times \mathcal{G} \to \triangle(\mathcal{A})$, that selects low-level actions, $a \in \mathcal{A}$, given a state, $s \in \mathcal{S}$, and goal, $g \in \mathcal{G}$ that maximizes expected future goal-attainment rewards, b_g. In the discrete goal case, we can treat this as learning a separate controller π_g for each goal. Q-Learning (Watkins, 1989) trains these controllers by learning an estimate of the optimal Q-function: $Q_c^*(s, a; g) = \max_\pi \mathbb{E} \left[\sum_{t=0}^{\infty} \gamma^t b_{g,t} \mid s_0 = s, a_0 = a, a_t \sim \pi, s_t \sim P \right]$ and deriving a policy from the Q-function as such: $\pi_g(a|s, g) = \mathbb{1}(a = \arg\max_{a'} Q_c(s, a'; g))$. Deep

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[1]The "hierarchical" part of (Manoury et al., 2020) refers to the learning algorithm, not the tasks considered.

Q-Learning (Mnih et al., 2015) aims to estimate Q^* via deep neural network function approximation. This Q-function is parameterized by $\theta = \{\theta_{\text{base}}, ..., \theta_g, ...\}$, where θ_{base} is a set of shared base parameters and θ_g is a goal-specific head. It is updated via gradient descent on the following loss function, derived from the original Q-learning update:

$$\mathcal{L}_{Q_c} = \mathbb{E}_{(s_t, a_t, r_t, s_{t+1}, g_t) \sim D_c} \left[\left(Q_c(s_t, a_t; g_t, \theta) - r_t - \max_{a_{t+1}} Q_c(s_{t+1}, a_{t+1}; g_t, \bar{\theta}) \right)^2 \right] \quad (1)$$

where D_c is a replay buffer that stores previously collected transitions, and $\bar{\theta}$ are the parameters of a periodically updated target network. Both of these components are included to avoid the instability associated with using function approximation in Q-Learning.

Goal-selecting meta-controller The objective of our meta-controller $\Pi : \mathcal{S} \rightarrow \triangle(\mathcal{G})$ is to select goals in a manner that maximizes extrinsic rewards received by the environment when executing the low-level controllers corresponding to the selected goals. Again, we estimate a Q-function, this time over a dilated time scale (i.e. we allow the low-level controllers to run for multiple steps before choosing new goals): $Q_m^*(s, g) = \mathbb{E}\left[\sum_{t=0}^N r_t + \max_{g'} Q_m^*(s_N, g') \,|\, s_0 = s, g_0 = g, a_t \sim \pi_g, s_t \sim P \right]$, where N is the number of steps the goal-directed controller runs. When collecting data in the environment, we add transitions $(s_t, g_t, \sum_t^{t+N} r_t, s_{t+N})$ to a separate meta-replay buffer, D_m, used to train our meta-Q-function (parameterized by Θ) with a loss similar to that in Eq. 1, but without any goal-conditioning.

4 MILESTONES AND HIERARCHICAL AFFORDANCES

We consider tasks that can be decomposed into *subtasks* represented by *milestone* symbols $g \in \mathcal{G}$, where $\mathcal{G}$ is the set of symbols relevant to the task, and $|\mathcal{G}| = K$. At each time-step, in addition to the extrinsic reward signal provided by the environment to indicate successful behavior on the overall task, we have access to a *milestone signal*, which is a vector $\boldsymbol{b}^t$ where each element $b_g^t \in \{0, 1\}$ indicates the completion of subtask $g \in \mathcal{G}$ at time t. In our pasta example, we might receive a milestone each time we cut a vegetable, make the sauce, cook the noodles, etc. Milestones give us indication that we have achieved something notable *without* having to assign it utility. We define *hierarchical affordances* over a set of milestones in the following way. The vector $\boldsymbol{f}^s = f^*(s)$ of size K represents which milestones are achievable from the present state s, without requiring the collection of any intermediate milestones, where f is a *hierarchical affordance classifier*, and $f^*(s)$ is the optimal one. $\boldsymbol{f}_g^s = 1$ if at time t_0 it is possible for $b_g^T = 1$ without any $b_j^t = 1, j \neq g$, where $t_0 < t < T$. In our simple pasta example, the milestone `mix cooked noodles and sauce` is not afforded at the beginning since it requires `cook noodles`.

Many logic-based RL approaches learn a similar notion of context as affordances by performing *temporal logic* (Gotzhein, 1992) over a set of *atomic propositions*, which are sequentially fed to the agent in order to keep track of context changes. Crucially, unlike atomic propositions, the history of milestones *alone* need not define which subtasks are currently possible. In fact, for $\mathcal{G}$ to be useful to an affordance-aware agent, only the following requirements must be met: $\mathcal{G}$ must contain a final milestone that indicates task completion, and each additional milestone must correspond to a behavior that is necessary for achieving the final milestone. Figure 5 empirically supports this flexible definition by demonstrating that a careful choice of $\mathcal{G}$ is unnecessary to observe benefits from an affordance classifier, meaning future work might more easily be able to combine HAL with orthogonal approaches that automatically discover meaningful subgoals. If milestones are reliably given to the agent upon subtask completion, hierarchical affordances for each subtask are determined simply by the states in which their immediate attainment is possible.

There are two forms of stochasticity that hierarchical affordances, by virtue of being grounded in the present state, can more naturally address than symbolically-defined dependencies. We can conceptualize potential trajectories as graphs where nodes represent the attainment of milestones, and edges are the segments between them. The first type of stochasticity we call *node stochasticity*, or affordance-affecting randomness that occurs either when milestones are collected (e.g. receiving varying quantity of item), or at the beginning of the episode (i.e. starting in different contexts). The second form of stochasticity is *edge stochasticity*, or when affordances may change within segments where no milestones are collected. Spilling cooked noodles on the floor is one example of this.

Figure 2: Left: Architecture diagram for complete HAL method. Q-values of the meta-controller are masked by the output of the affordance classifier. The ϵ operator represents the standard ϵ-greedy action selection procedure used in Q-learning, while ϵ^2 represents our affordance aware version. Right: For an optimal policy (top), the mask will have no effect since Q values will naturally be low for unafforded subtasks. However, a suboptimal policy (bottom) will benefit from a mask since it can be efficiently learned and used to prune irrelevant subtasks before TD errors can propagate.

A more unlikely example would be receiving fully cooked noodles from a neighbor while in the midst of cooking. Edge stochasticity requires us to clarify our working definition of hierarchical affordances. If cooking noodles for PASTA generally requires intermediate milestones, but there is *some* chance a neighbor will save the day, should an agent rely on this possibility? So long as edge stochasticity events can be viewed as *exceptions* to a general set of dependency rules, the definition still stands. By grounding these rules in the current state, an affordance-aware agent can detect and adapt to edge anomalies. In the next section, we describe in detail how hierarchical affordances allow us to learn and use these rules from milestones in stochastic environments where symbolic history would fail to reliably determine the current context.

5   HIERARCHICAL AFFORDANCE LEARNING

In typical HRL methods, if the meta-controller is yet to receive extrinsic reward from the environment, there will be no preference for selecting any subtask the others. However, by restricting the selection of subtasks to ones that have proven merely to be *possible*, an agent can avoid wasting time attempting the impossible, and reach more fruitful subtasks faster. Suppose from experience, gained through random exploration, the agent achieves milestone g (where achievement means $b_g = 1$) very often from the initial state set $\mathcal{I}$, but never $j \in \mathcal{G}$, despite being able to achieve j in later states. With enough experience, the agent should become relatively confident that j is not achievable without completing other milestones first, and should not bother selecting j from any $s \in \mathcal{I}$, while g, and any others that *are* achievable from those states, should instead be considered. If we had access to an oracle function, $f^*(s)$, that accurately computes hierarchical affordances for our task, we could prune impossible subtasks by masking the otherwise uninformed policy with the affordance oracle output: $p(g|s) \propto \boldsymbol{f}_g^s * \Pi_\Theta(g|s)$. In the following sections, we describe a method that can learn an approximate $f(s) \approx f^*(s)$ from experience and leverage it in real-time for more effective learning.

The false negative problem   Recall that the purpose of $f(s)$ is to output a vector $\boldsymbol{f}^s$, where each $\boldsymbol{f}_g^s$ indicates the possibility of collecting milestone g from state s without requiring intermediate milestones. To train each binary classification head, $f_g(s)$, we must somehow generate labeled data for each milestone. Suppose an option was initialized at time-step t_o, and in time-step T milestone g is received. If no others were received since the start of the option, we may assume that for any t where $t_o \leq t \leq T$, the collection of milestone g was afforded, so we can use the set of states $\{s_{t_o}, s_{t_o+1}, \ldots, s_T\}$ as positive (i.e. $f_g^*(s) = 1$) training examples for the affordance classifier. Even if g was not the intended milestone, we can still generate positive training examples for f_g in this way. If an option has failed to collected intended milestone g, either through timing out or collecting an unintended milestone, we might be tempted to use the states encountered during that option as negative examples (i.e. $f_g^*(s) = 0$). However, this occurrence can either be indicative of the states not affording g, or that the subpolicy corresponding to g is sub-optimal and has failed

despite g being afforded. These false negatives are a problem for any approach requiring function approximation via neural networks, which are generally not robust to label noise (Song et al., 2020). It is particularly troublesome in our case since the noise is greater than the true signal when the subpolicies are under-trained.

Context learning Suppose we had access to an abstract state representation $\boldsymbol{z}_{\mathrm{aff}}^s = z_{\mathrm{aff}}^*(s)$, where any states s_i and s_j are mapped to the same value only when $f^*(s_i) = f^*(s_j)$. With a representation that could cluster states in this way, we could trivially determine the falsity of a collected negative $s \in \mathcal{D}_g^-$ by checking if $z_{\mathrm{aff}}^*(s) = z_{\mathrm{aff}}^*(s_j)$ for any $s_j \in \mathcal{D}_g^+$, that is, if we have encountered a true positive with the same representation. The classification procedure could be interpreted as "labeling" these contexts with affordance values. This is somewhat of a "chicken and egg" problem, since to learn affordances, we require a representation that maps states to contexts with the same affordance values, which clearly requires some prior knowledge about affordances. Fortunately, from Section 4, we know that affordances will only change when either (1) a milestone is collected and (2) when edge stochasticity occurs. Since (2) is by definition a rare occurrence, states s_t and s_{t+1} are more likely than not to satisfy $f^*(s_i) = f^*(s_j)$, so long as they exist in the same *segment* between milestones. In this case, we can say that s_t and s_{t+1} share the same *achievement context*, $\boldsymbol{z}^s = z(s)$. Let $z_\psi(s)$ be an achievement context embedding represented by a differentiable function parameterized by ψ. We can train $z_\psi(s)$ from experience using the following contrastive loss:

$$\mathcal{L}_\psi = \sum_{j=1}^N \left[\left\| z_\psi(s_j^a) - z_\psi(s_j^p) \right\|_2^2 - \left\| z_\psi(s_j^a) - z_\psi(s_j^n) \right\|_2^2 + \alpha \right]_+ ,$$

where each s_j^a is a randomly chosen *anchor*, each s_j^p is a *positive*[2] example chosen according to a segment-truncated normal distribution, $\mathcal{N}_T(a, \sigma^2)$ (centered around the anchor point), s_j^n is chosen randomly among other segments and is treated as a *negative* example, and α is an arbitrary margin value. This loss will have the effect of pushing representations of states from the same achievement context together, and pulling representations of states from different contexts apart. Depending on the level of stochasticity expected in an environment, σ can be adjusted to balance the prevention of sampling across edge stochasticity occurrences with the generalizing capabilities of the representation. We show in Figure 5 that this parameter is relatively easy to set in that the same value can be used across different frequencies of edge stochasticity.

False negative filtering In the learned representation space, we expect false negative points to be closer to positive points than true negatives. Given an unverified negatively-labeled point s_q for classifier head f_g, we compute the mean distance from $z_\psi(s_q)$ to the representations of the k closest positive points[3] in $\mathcal{D}_g^+$, denoted as d_q^k. This procedure is akin to the particle entropy approach used in (Hao & Pieter, 2021). We expect d_q^k to be larger for true negatives and smaller for false ones, and we define a separating margin in the following way. Since we know all positives are true positives, we can compute distance scores for a set of positive points $\{d_p^k\}$ that are disjoint from the set used in the KNN procedure. We can then fit a Gaussian distribution to $\{d_p^k\}$ and then compute an upper confidence bound ρ for a given percentile value and confidence level. Any $d_q^k < \rho$ is very similar to positive points in the representation space, so we count s_q as a false negative and exclude it from our training set. This process presupposes access to positive examples for each classifier head f_g, but we do not train a head until we have access to both positives and negatives for g.

Method overview The HAL architecture consists of a bi-level policy like h-DQN, a context embedding network, and an affordance classifier (see Figure 2), which are all learned concurrently (full algorithm in Appendix D). The meta-controller selects new subtasks at the start of each episode, after collecting *any* milestone, and whenever an option times out after a predefined number of steps. At each step, the current state is fed to the controller, which outputs an action conditioned on the most recently selected subtask. After discretizing the classifier's output to a binary mask, we perform an affordance aware version of ϵ-greedy as follows. Given parameters ϵ_{aff} and ϵ_{mc}, we select a random subtask within the mask with probability ϵ_{aff}, randomly across all subtasks with probability ϵ_{mc}, and otherwise select greedily with respect to Q *within* the mask.

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[2]Here, the usage of "positive" and "negative" refers to whether or not the points are likely to share the same achievement context, and is unrelated to the positive and negative points used for training the classifier.

[3]In practice, we can simply sample enough positive points to likely cover all contexts for efficiency.

Figure 3: Screenshots of CRAFTING (left) and TREASURE (right) environments. Displayed to the right of the environments are each item's ground-truth affordance indicator and inventory count.

6 EXPERIMENTS

In our experiments we aim to answer the following questions: (1) Does HAL improve learning in tasks with complex dependencies? (2) Is HAL robust to milestone selection and context stochasticity? (3) Can HAL more effectively learn a diverse set of skills when training task-agnostically?

6.1 ENVIRONMENTS

We evaluate our method, along with several baselines, on two complex environments with intricate subtask dependency structures: CRAFTING and TREASURE. Both environments (visualized in Figure 3) are extensions of the minigrid framework (Chevalier-Boisvert et al., 2018). Agents receive an egocentric image of the environment, as well as a vector describing their inventory (items picked up from the environment and currently in their possession) as observations. The action spaces are discrete and include actions for turning left/right, and moving forward/backward, as well as environment specific actions detailed below. Walk-throughs of successful trajectories, as well as more information about each environment, are included in Appendix A.

CRAFTING is based on MineCraft, a popular open-ended video game in which players collect resources from their environment and use them to craft objects which can be used to then obtain more resources. As such, the hierarchy of possible subtasks is immensely complex and presents a significant challenge for AI agents to reach subtasks deeper in the hierarchy. We develop an environment that replicates this hierarchical complexity without the commensurate visuomotor complexity which our method does not aim to address. In addition to movement actions, CRAFTING includes actions to mine the object immediately in front of the agent (which requires an appropriate pickaxe), as well as to craft and smelt the various objects (pickaxes, iron ingots, etc.). The full set of milestones contains items that are either craftable or collectable. CRAFTING naturally contains node stochasticity since the collection of certain items, due to the random procedural generation, requires slightly different milestone trajectories across episodes (e.g. mining variable amount of stone to encounter diamond).

TREASURE is a navigation task that requires the agent to collect various items and use them to unlock rooms to reach further items. The ultimate goal is to unlock a treasure chest, which requires a sequence of collecting several keys, as well as placing an object on a weight-based sensor, in order to open the requisite doors. Agents can only carry one object at a time, so they must reason about which object to pick up based on what it will afford them (e.g. if the weight-based sensor room is locked, the weight object is not currently useful). Like CRAFTING, TREASURE contains node stochasticity due to the procedural generation. For example, the central room that the agent is spawned in can contain either of the red or yellow key individually, or both together. Unlike CRAFTING, which has a large action space to accommodate the various crafting recipes, this environment only contains actions to move and a single "interaction" action that is used to pick up keys, open doors, etc. While CRAFTING has a more complex hierarchy and greater diversity in the potential ordering of subtasks, TREASURE has on average more difficult subtasks. The set of milestones contains each object the agent can successfully interact with in the environment.

6.2 BASELINES

Our set of baselines is summarized in Table 1. All methods are based on the Rainbow (Hessel et al., 2018) Deep Q-Learning algorithm, which combines several improvements to vanilla DQNs (Mnih et al., 2015). To compensate for the lack of milestone signals, non-hierarchical methods use a dense reward function that incorporates milestone signals for the first time each mile-

Figure 4: Success rate over the course of training for CRAFTING iron task (left) and TREASURE (center). Sub-policy success for TREASURE (right). Success rate is the proportion of episode where the agent receives the target milestone, and sub-policy success is how often sub-policies, on average, receive the correct milestone when called, before a timing out or collecting incorrect milestones.

stone is obtained in the episode. We compare our method to an oracle version that uses ground-truth affordances in order to evaluate the efficacy of our affordance classifier learning procedure.

The node stochasticity inherent to both environments, as well as the edge stochasticity later explored, preclude the use of any methods that reason solely over symbols (i.e. automata-, sketch-, or subtask dependency-based approaches). We also incorporate a version of Hindsight Experience Replay (HER) (Andrychowicz et al., 2017) adapted for the discrete milestone setting, which involves re-using "failed" trajectories that result in the collection of an unintended milestone (given the selected subpolicy) as successful data for the relevant subpolicy. For instance, if an agent accidentally collects iron while executing its wooden pickaxe sub-policy, it can use this trajectory to train its iron sub-policy.

Table 1: Summary of baselines.

	Hierarchical Agent	Affordance Mask	Hindsight Replay	False Negative Filtering
Oracle	✓	*Truth*	✓	N/A
HAL (ours)	✓	Learned	✓	✓
HAL(–FNF)	✓	Learned	✓	×
H-Rainbow	✓	N/A	×	N/A
H-Rainbow (+HER)	✓	N/A	✓	N/A
Rainbow	×	N/A	N/A	N/A

6.3 RESULTS

Learning efficacy First, we evaluate the ability of HAL and our baselines to learn successful policies for complex tasks in each environment. Learning curves are shown in Figure 4. In both environments, HAL significantly outperforms the strongest baseline, H-Rainbow(+HER) (HR+H), despite both methods receiving the same information, and performs only slightly worse than the oracle, which has access to ground truth. Incorporating HER into H-Rainbow appears to be a significant improvement. False negative filtering also appears crucial for successful learning in the TREASURE environment, but not so much for CRAFTING. Removing false negative filtering causes HAL(-FNF) to be pessimistic (i.e. over-pruning subtasks, see Figures 9a and 9c), ultimately leading to its unstable learning. Since masking impossible subpolicies would have no impact on an optimal meta-controller, HAL's success must stem from its ability to learn a useful mask *before* TD errors are able to propagate through the meta-controller's Q function. HAL utilizes a more easily learnable function (the affordance classifier) to reduce the amount of unnecessary expensive learning (TD error propagation) required. We see from Figures 9a and 9c that, throughout training, HAL's mask has an impact on greedy subtask selection ∼60% of the time, which is evidence that HAL avoids wasting time learning Q-values that the mask is able to prune. Lastly, because affordance-aware methods are more likely to initiate subtasks in an appropriate context, we see they achieve a significantly higher average subpolicy success rate (Figure 4, right).

Robustness to milestone selection In this section we evaluate HAL's robustness to the selection of milestones used for training. When a milestone is removed, the environment's definition of affordances changes, since the milestone no longer acts as an intermediate link between others. One downside of some approaches that use symbol-based contexts is that an entirely different automata or subtask dependency graph must be defined over the new set of symbols. HAL does not use prior information of this kind, so the learning process is the same across all sets. Figure 5 shows HAL's success on the CRAFTING environment's iron task when using "incomplete" milestone

Figure 5: Comparing the robustness of HAL and HR+H to varying milestone sets (left) and various edge stochasticity frequencies (center and right, respectively).

sets, relative to the full human-designed set. We see that randomly removing 1 milestone makes no significant difference for HAL, and even after removing 4 milestones, HAL still achieves better performance than HR+H using the full set. We imagine that a slight increase in training time would lead HAL to the same convergence as observed for the full set.

Robustness to stochasticity We modify the CRAFTING environment so that at each environment step, there is a certain probability that an item in the inventory will disappear. This procedure produces *edge stochasticity*, since the disappearance of items may change what is afforded, and this can occur at any point in time. In order to make the task feasible, we design it so that rare items are less likely to disappear than common ones. We test three different levels of stochasticity, and display the learning curves in Figure 5. With a disappearance frequency of $1/100$ that cuts HR+H's success rate in half, HAL is still able to reach its non-stochastic success rate. With a frequency of $1/50$, HAL performs comparably to HR+H with no stochasticity. To put these stochasticity rates into context, the algorithm's average episode length about halfway through training is still over 1000 steps, meaning *dozens* of items are removed from the agent's inventory over the course of an episode. By learning affordances grounded in the state, HAL is able to adapt well to these stochastic events.

Task-agnostic learning We next test the ability of HAL to learn skills when no task-specific extrinsic rewards (only milestones) are provided by the environment. Since we cannot learn a meta-controller in the absence of rewards, we instead randomly select subtasks with some probability ϵ_{mc} and random *afforded* subtasks otherwise. We test both HAL and our strongest baseline – HR+H. In Figure 6 we see that by the end of 10^6 steps, HAL is able to more reliably complete the milestones deeper in the hierarchy in the CRAFTING environment. We note that HR+H is able to marginally outperform HAL in tasks shallower in the hierarchy (e.g. wood pickaxe, stone, furnace), potentially as a result of failing to reach deeper tasks and getting more practice on shallower ones. This result is an indication of the general utility of HAL in environments with complex task hierarchies.

Figure 6: Percentage of episodes where each milestone is achieved in CRAFTING environment task-agnostic setting.

7 CONCLUSION

The present work can be viewed as a first step towards bridging the substantial gap between flexible hierarchical approaches that are presently intractable, and methods that impose useful structures but are too rigid to be of practical use. We introduce HAL, a method that is able to utilize incomplete symbolic information in order to learn a more general form of subtask dependency. By grounding subtask dependencies in the present state through the learning of hierarchical affordances, HAL is able to navigate stochastic environments that approaches relying solely on symbolic history are unable to. We demonstrate that HAL learns more efficiently than baselines provided with the same information, is more robust to milestone selection and affordance stochasticity, and can more thoroughly explore the environment's subtask hierarchy. HAL's success in the face of incomplete and stochastic symbolic information bodes well for its ability to mesh with approaches that automatically detect salient subgoals in complex environments.

8 REPRODUCIBILITY STATEMENT

All code for environments, algorithms, and baselines is provided at the following link: https://github.com/jamesdoewhat/hal-anonymous. We enumerate the list of commands to reproduce all experiments in the paper, as well as a file specifying the python packages and versions required which can be installed via the Anaconda python package manager. All hyperparameters and additional implementation details are listed in the Appendix.

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Appendix

Table of Contents

A   ENVIRONMENT DETAILS

In both environments, extrinsic rewards are a sparse binary signal provided upon the completion of a final goal. Milestone signals are similarly formulated for each of the possible subtasks. For both types of rewards (extrinsic and milestone) we introduce a per step penalty that encourages agents to achieve their goal as quickly as possible.

A.1   CRAFTING

As discussed in Section 6.3, our CRAFTING environment is designed to maximize hierarchical complexity while minimizing visuomotor complexity, which we do not aim to address with our method. Similar MineCraft-based environments have been used in the literature; however they do not meet these requirements. The MineRL environment (Guss et al., 2019) provides an interface into the full game of MineCraft; however, effective behavior in this environment requires learning complex visuomotor policies in addition to understanding the hierarchical relationships between subtasks. In order to evaluate our method effectively, we only aim to test the former. Other work has used MineCraft-inspired environments (Andreas et al., 2015; Sohn et al., 2020); however, these versions involve simplified subtask hierarchies. Our environment fully replicates the complexity of the MineRL subtask hierarchy while remaining perceptually simple. A concurrently developed environment contains similar hierarchical complexity while minimizing perceptual complexity (Hafner, 2021).

A.2   WALK-THROUGHS

We provide walk-throughs of successful episodes in TREASURE and CRAFTING in Figures 7 and 8 respectively.

Figure 7: Walk-through of a successful TREASURE task episode. Items currently in the agent's possession are indicated by the numbers on the right hand side and ground-truth affordances are indicated by green circles if the milestone is afforded and red if not.

Figure 8: Walk-through of successful CRAFTING environment diamond task episode.

B AFFORDANCE PLOTS

We provide metrics tracked over the course of training for affordance masking as well as false negative filtering in Figure 9. The mask metrics (Figure 9a for CRAFTING iron and Figure 9c for TREASURE) consist of (from left to right):

- Affordance Classifier Accuracy: The accuracy of the affordance classifier w.r.t truth.

- Mask Impact: The percentage of times that the affordance mask prevents selecting an subtask that would have otherwise had the highest Q-value.

- Pruning Percentage: Percentage of subtasks pruned

- Overpruning Percentage: Percentage of subtasks that are afforded but are pruned.

- Underpruning Percentage: Percentage of subtasks that are not afforded but are not pruned.

The false negative filtering metrics (Figure 9b for CRAFTING iron and Figure 9d for TREASURE) consist of:

- Filtering Margin: L2 distance at which we consider negatives to be true negatives.

- True Negative Accuracy: Percentage of true negatives falling above filtering margin.

- False Negative Accuracy: Percentage of false negatives falling below filtering margin.

- Percentage False Negatives: Percentage of negatives that are false negatives.

- False Negatives Flagged: Percentage of negatives that are flagged as false by our margin.

(a) Mask metrics for CRAFTING environment iron task.

(b) KNN filtering metrics for CRAFTING environment iron task.

(c) Mask metrics for TREASURE environment.

(d) KNN filtering metrics for TREASURE environment.

Figure 9

C   EPISODE LENGTH PLOTS

Figure 10: Episode length over the course of training for all baselines on CRAFTING iron (top left) and TREASURE (top right), corresponding to Figure 4. Episode length with variable levels of stochasticity CRAFTING iron (bottom), corresponding to Figure 5.

D ALGORITHM

Algorithm 1 Learning procedure for HAL

1: Initialize replay buffer for meta-controller (D_m), controllers (D_c), positive affordance examples (D_g^+), potential negative examples (D_g^-) for each goal $g \in \mathcal{G}$, and parameters for meta-controller (Θ), controllers (θ), achievement context function (ψ), and affordance classifier (ϕ).
2: `steps` $\leftarrow 0$
3: **while** `steps` $<$ `max_steps` **do**
4:     `env_steps, option_steps` $\leftarrow 0$
5:     Receive initial state, s, from the environment
6:     **while** s is not terminal **or** `env_steps` $<$ `max_env_steps` **do**
7:         **if** `env_steps` $= 0$ **or** `option_steps` $>$ `max_option_steps` **or** $\sum_j \mathbf{b}_j > 0$ **then**
8:             `option_steps` $\leftarrow 0$
9:             **if** `env_steps` > 0 **then**
10:                 Store transition $(s_{\text{init}}, g, \mathbf{b}_g, s)$ in D_m
11:                 Store examples $s_t \,|\, t \in [\text{init}, \texttt{env\_steps}]$ in positive buffers D_j^+
12:                     where $\mathbf{b}_j = 1$ and negative buffers D_k^- where $\mathbf{b}_k = 0$
13:             **end if**
14:             `init` $\leftarrow$ `env_steps`
15:             $\mathbf{f}^s \leftarrow f_\phi(z_\psi(s))$ $\triangleright$ Get predicted affordances
16:             $\mathbf{q} \leftarrow Q_m(s, \cdot\,; \Theta)$ $\triangleright$ Compute Q-values for all goals
17:             $\mathbf{q}_j \leftarrow -\infty \,\forall\, \mathbf{f}_j^s = 0$ $\triangleright$ Mask non-afforded goals
18:             $g_{\max} \leftarrow \arg\max \mathbf{q}$ $\triangleright$ Get best *afforded* goal
19:             $g_{\text{aff}} \leftarrow Rand(j \,|\, \mathbf{f}_j^s = 1)$ $\triangleright$ Get random *afforded* goal
20:             $g_{\text{rand}} \leftarrow Rand(\mathcal{G})$ $\triangleright$ Get random goal
21:             $g \leftarrow g_{\text{aff}}$ w.p $\epsilon_{\text{aff}}, g_{\text{rand}}$ w.p ϵ_{mc}, else $g_{\max}$ $\triangleright$ ϵ^2-greedy goal selection
22:         **end if**
23:         $a_{\max} \leftarrow \arg\max Q_c(s, \cdot\,; g, \Theta)$ $\triangleright$ Get best action – conditioned on goal
24:         $a_{\text{rand}} \leftarrow Rand(\mathcal{A})$ $\triangleright$ Get random action
25:         $a \leftarrow a_{\text{rand}}$ w.p ϵ_c, else $a_{\max}$ $\triangleright$ ϵ-greedy action selection
26:         `steps` $++$, `option_steps` $++$, `env_steps` $++$
27:         Send action a to the environment and receive next state s', rewards r, and milestones $\mathbf{b}$
28:         Store transition (s, a, r, s', g) in D_c
29:         $s \leftarrow s'$
30:         **if** `steps` $\%$ `update_freq` $= 0$ **then**
31:             Sample batch of transitions from D_c and use it to update θ via Q-learning loss
32:         **end if**
33:         **if** `steps` $\%$ `meta_update_freq` $= 0$ **then**
34:             Sample batch of transitions from D_m and use it to update Θ via Q-learning loss
35:         **end if**
36:         **if** `steps` $\%$ `margin_update_freq` $= 0$ **then**
37:             Sample disjoint sets of positive examples from D_g^+ and update negative filtering
38:               margin
39:         **end if**
40:         **if** `steps` $\%$ `aff_update_freq` $= 0$ **then**
41:             Sample positive and negative examples from D_g^+ and D_g^- respectively to update ϕ
42:               with binary cross entropy loss
43:         **end if**
44:         **if** `steps` $\%$ `rep_update_freq` $= 0$ **then**
45:             Sample anchor, positive, and negative states from D_c to update ψ via contrastive loss
46:         **end if**
47:     **end while**
48: **end while**
49:

E IMPLEMENTATION DETAILS

We collect use 4 parallel environments for data collection with all methods. We do not include Noisy Nets or C51 in our implementation of Rainbow, as we do not find them to improve performance in our domains and significantly increase training time. Hyperparameters are shown in Table 2. All frequency parameters are with respect to total environment steps (across parallel environments). All experiments are run with 5 random seeds each.

Table 2: Hyperparameters used in HAL and baselines

Name	Description	Value
adam_lr	Adam learning rate (across all networks)	0.000625
adam_eps	Adam epsilon	0.00015
batch_size	Training batch size	32
λ	Discount factor	0.99
targ_update	Target network update frequency	10^3
exp_steps	Exploration steps before updates	400
ϵ_c	Epsilon used in controller's ϵ-greedy procedure	$0.5 \to 0.05$
ϵ_{mc}	Epsilon used in meta-controller's ϵ-greedy procedure	$0.2 \to 0.05$
ϵ_{aff}	Affordance eps. used to randomly select within mask	$0.8 \to 0.00$
n_steps	# of steps used for n-step returns	10
max_option_steps	Maximum option steps before timeout	50
update_freq	Freq. of controller weight updates	4
meta_update_freq	Freq. of meta-controller weight updates	40
margin_update_freq	Freq. that false negative filtering margins are updated	600
aff_update_freq	Freq. of affordance classifier weight updates	40
rep_update_freq	Freq. of context representation weight updates	40
σ	Standard deviation used for inter-context sampling	7.0
σ_{stoch}	σ used for edge stochasticity runs	2.0
knn_n	# of points used for sampling for KNN procedure	1000
knn_k	# of nearest neighbors used for KNN procedure	1
fnf_conf	Confidence value used for upper confidence bound	0.95
fnf_perc	Percentile value used for upper confidence bound	0.9