EFFICIENT EXPLORATION VIA FRAGMENTATION AND RECALL

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ABSTRACT

Efficient exploration and model-building are critical for learning in large state-spaces. However, agents typically face problems like getting stuck locally during exploration and catastrophic forgetting in their construction of models when the environments are heterogeneous. Here, we propose and apply the concept of *Fragmentation-and-Recall* to solve spatial (*FarMap*) and reinforcement learning problems (*FarCuriosity*). Agents construct local maps or local models, respectively, which are used to predict the current observation. High surprisal points lead to a fragmentation event. At fracture points, we store the current map or model fragment in a long-term memory (LTM) and initialize a new fragment. On the other hand, Fragments are recalled (and thus reused) from LTM if the observations of their fracture points match the agent's current observation during exploration. The set of fracture points defines a set of intrinsic potential subgoals. Agents choose their next subgoal from the set of near and far potential subgoals in the current fragment or LTM respectively. Thus, local maps and model fragments guide exploration locally and avoid catastrophic forgetting in learning heterogeneous environments, while LTM promotes exploration more globally. We evaluate *FarMap* and *FarCuriosity* on complex procedurally-generated spatial environments and on reinforcement learning benchmarks and demonstrate that the proposed methods are more efficient at exploration and memory use, and in harvesting extrinsic rewards, respectively.

1 INTRODUCTION

Human episodic memory breaks our continuous experience of the world into episodes or fragments that are divided by event boundaries that involve large changes of place, context, affordances, and perceptual inputs (Baldassano et al., 2017; Ezzyat & Davachi, 2011; Newtson & Engquist, 1976; Richmond & Zacks, 2017; Swallow et al., 2009; Zacks & Swallow, 2007). The episodic nature of memory is a core component of how we construct models of the world. It has been conjectured that episodic memory makes it easier to perform memory search, and to use the retrieved memories in chunks that are relevant for the current context. Humans also continue to learn and memorize new information throughout their lives, without needing to reconfigure all previously stored memories. These observations suggest a certain locality or fragmented nature to how we model the world.

Chunking of experience has been shown to play a key role in perception, learning and cognition in humans and animals (De Groot, 1946; Egan & Schwartz, 1979; Gobet et al., 2001; Gobet & Simon, 1998; Simon, 1974). In the hippocampus, place cells appear to chunk spatial information by defining separate maps when there has been a sufficiently large change in context or in other non-spatial or spatial variables, through a process called *remapping*; see Colgin et al. (2008); Fyhn et al. (2007). Grid and place cells in the hippocampal formation have also been shown to fragment their representations when the external world or their own behaviors have changed only gradually rather than discontinuously (Derdikman et al., 2009; Low et al., 2021). Recently, Klukas et al. (2021) proposed how such remapping could occur in even during continuous navigation through a continuous environment, modeling the process as one of online clustering based on observational surprisal.

Similarly, when fitting complicated manifolds or functions, it is common to build a set of simpler local models of the manifold or function. Inspired by these ideas, here we propose building models for complicated spaces by fitting a sequence of local models, and using local models obtained through an online process of fragmentation to aid in the the exploratory process of moving through a large space and building a model of the space.

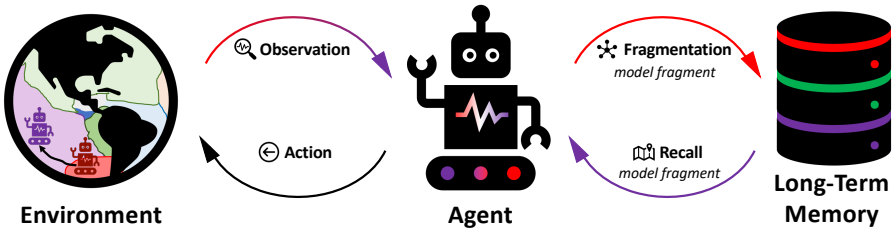


Figure 1: Overview of our approach. Given an observation from the environment, the *FarMap* or *FarCuriosity* agent decides whether to fragment the space based on how well it can predict the observation. If *fragmentation* occurs, the current map (or model) fragment is stored in long-term memory (LTM); the agent then initializes a new map (or model) fragment. Conversely, if the current observation closely matches with the observations stored in LTM, the agent loads an existing map (or model) fragment from there (*recall*). Based on the current fragment, the agent selects an action to explore the environment.

We propose a new framework for exploration based on a concept of online *Fragmentation-and-Recall*, schematized in Figure 1. This model combines two ideas: 1) when faced with a complex world, it can be more efficient to build and combine multiple (and implicitly simpler) local models than to build a single global (and implicitly complex) model, and 2) boundaries between local models should occur when a local model ceases to be predictive.

In what follows, as an agent explores, it predicts its next observation. Based on a measure of surprisal between its observation and prediction, there can be a *fragmentation* event, at which point the agent writes the current model into long-term memory (LTM) and initiates a new local model. While exploring the space, the agent consults its LTM, and *recalls* an existing model if it matches its observations. For the spatial domain, this is very similar to Klukas et al. (2021). The agent uses its current local model to act locally, and its LTM to act more globally. We apply this concept to solve spatial exploration and more general reinforcement learning exploration problems, and call the corresponding approaches FarMap and FarCuriosity, respectively.

We evaluate the proposed framework on procedurally-generated spatial environments and reinforcement learning benchmarks. Experimental results support the effectiveness of the proposed framework; FarMap explores the spatial environment with much less memory usage and is faster than its baselines (Yamauchi, 1997) by large margins, and FarCuriosity achieves better performance than the baseline fragmentation-less curiosity module (Burda et al., 2019) on standard heterogeneous Atari game benchmarks [1]. The contribution of this paper is three-fold as follows:

- We propose a new framework for exploration based on *Fragmentation-and-Recall* that divides the exploration space into multiple fragments and recalls previously explored ones.
- We implemented our framework in spatial exploration tasks, referring to it as FarMap with short and long-term memory. Our experiments showed that FarMap reduces online memory size and wall-clock time relative to baselines.
- We implemented our framework in a curiosity-driven reinforcement learning exploration setting, referring to it as FarCuriosity. FarCuriosity avoids catastrophic forgetting and achieves better performance compared to the baseline in heterogenous environments.

2 Related Work

Frontier-based spatial exploration in SLAM SLAM (simultaneous localization and mapping) agents must efficiently explore spaces to build maps. A standard approach to exploration in SLAM is to define the *frontier* between observed and unobserved regions of a 2d environment, and then select exploratory goal locations from the set of frontier states (Yamauchi, 1997). Frontier-based exploration has been extended to 3d environments (Dai et al., 2020; Dornhege & Kleiner, 2011) and used as a building block of more sophisticated exploration strategies (Stachniss et al., 2004). Although conceptually simple, frontier-based exploration can be quite effective compared to more sophisticated decision-theoretic exploration (Holz et al., 2010). A cost of frontier-based exploration is

[1] Heterogeneous environments have diverse states that require the larger model capacity to memorize visited states for generating intrinsic reward. Please refer to Section F for the examples of heterogeneous environments.

the use of global maps and global frontiers, which makes the process memory-expensive and search intensive. In contrast to frontier-based exploration, our approach *learns* the surprising parts of an environment as intrinsic subgoals, selecting among those as the exploratory goals.

Submap-Based SLAM Submap-Based SLAM algorithms involve mapping a space by breaking it into local submaps that are connected to one another via a topological graph. Such Submap-Based SLAM methods are usually designed to avoid the problems of accruing path integration errors when building maps of large spaces (*e.g.* SegSLAM) (Fairfield et al., 2010) and to reduce the computational cost of planning paths between a start and target position (Maffei et al., 2013; Fairfield et al., 2010; Klukas et al., 2021). Segmented DP-SLAM (Maffei et al., 2013) adds DP-SLAM (Eliazar & Parr, 2003) to SegSLAM for reducing the search space, generating segments periodically at fixed time-intervals. Topological SLAM (Choset & Nagatani, 2001) generates new landmarks in an environment to build a topological graph of the landmarks, and navigates based on the graph. Our Fragmentation and Recall method, in particular FarMap, is closely related to these methods in that we build multiple submaps. However, FarMap divides space based on properties of the space (how predictable the space is based on the local map or model), and does so in an online manner using surprisal.

Curiosity-Driven Reinforcement Learning Motivated by the insufficiency of rewards alone to guide exploration in sparsely rewarded RL environments, several works construct *intrinsic rewards* encouraging agents to explore unfamiliar parts of an environment. Count-based methods provide intrinsic rewards for reaching infrequently visited states. These methods have strong theoretical guarantees for multi-arm bandits (Auer, 2003), and can be effective for reinforcement learning (Bellemare et al., 2016; Tang et al., 2017; Ecoffet et al., 2021). Prediction-based methods estimate where the agent cannot predict some aspect of the environment, and provide intrinsic rewards for visiting those states (Burda et al., 2019; Choshen et al., 2018; Houthooft et al., 2017; Pathak et al., 2017; Raileanu & Rocktäschel, 2020). Pathak et al. (2017), for instance, learns a forward model to predict the next state given the current state-action pair, and provides rewards scaling with the state's prediction error.

Memory-Based Reinforcement Learning Memory-based reinforcement learning aims to solve the long-term credit assignment problem. Hung et al. (2019) combine LSTM (Hochreiter & Schmidhuber, 1997) with external memory along with an encoder and decoder for the memory. Lampinen et al. (2021) use a hierarchical LTM with chunks and attention for long-term recall inspired by Transformers (Vaswani et al., 2017); however, their chunks are formed periodically rather than based on content and are not used as intrinsic options for exploration. Memory is also used for improving exploration: Go Explore (Ecoffet et al., 2021) remembers promising states so that it can directly visit those states, where the definition of promising can include rewarded states and frontiers. Similar to our proposal, we may view promising states in GoExplore as intrinsic options; however, if the promising states are frontiers, GoExplore becomes similar to frontier-based SLAM methods without fragmentation. Savinov et al. (2019) memorizes visited states for calculating reachability to penalize to an action that leads to reachable future states. While earlier memory-based exploration methods use memory as a guide for evaluating the intrinsic reward of a state, we augment existing exploration methods with memory, in combination with map or model fragmentation, to more efficiently explore.

3 Fragmentation and Recall Framework

The proposed framework is based on the notion of fragmentation and recall: While exploring an environment, an agent builds a local model and uses the local model in short-term memory (STM) to compute a surprisal signal that depends on the current observation and the agent's local model-based prediction. The surprisal can be any uncertainty estimate such as negative confidence or future prediction error. When the surprisal exceeds some threshold, this corresponds to a *fragmentation* event. At a fragmentation event, the local model is written to long-term-memory (LTM) building a connectivity graph that relates model fragments to each other so that it can provide information across the current local model without direct access to the stored models in LTM. The current (abstracted) observation (*fracture point*) is also stored, and the agent initializes an entirely new local model. On the other hand, if the current observation is sufficiently similar to a stored fracture point in LTM, the agent *recalls* the corresponding model fragment (local model). Hence, the agent can preserve and reuse previously acquired information. Sections 3.1 and 3.2 describe two application domains; spatial exploration and curiosity-driven reinforcement learning, respectively.

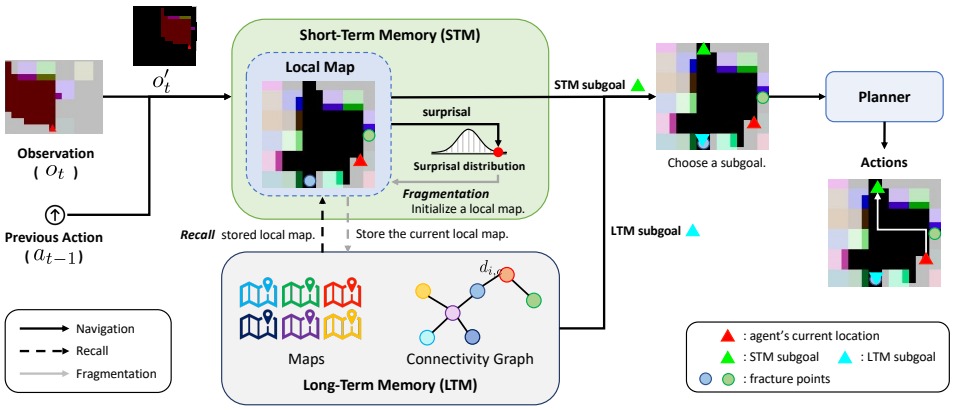


Figure 2: Illustration of the FarMap framework. Given the current observation and previous action, the agent updates its short-term memory (STM) and selects a subgoal from the current local map in STM or the local map connectivity graph stored in LTM. The planner generates a sequence of the actions for the shortest-path to the subgoal (black arrow). If the agent arrives at a fracture point (circle in the map), a corresponding local map is recalled from LTM and the current local map stored in LTM is updated (dashed arrow). If the current surprisal is higher than a threshold, the current local map is stored in LTM and a new local map is initialized (gray arrow). o'_t is spatially transformed observation with the same size of the current local map to update the map.

3.1 Fragmentation and Recall in Spatial Exploration (FarMap)

SLAM algorithms explore space efficiently by aiming to explore frontier regions, the border between explored and unexplored space. Typically frontier-based exploration involves frequent consultation of a global map of frontier regions. The memory and search cost of finding subgoals grows rapidly with environment size; for agents exploring a very large space, the computational costs could explode. Here, we propose a fragmentation-and-recall based exploration strategy for spatial exploration (*FarMap*). In *FarMap*, each local model is a spatially local map built in short-term memory (STM). As Figure 2 shows, given the observation and the previous action, the FarMap agent updates the current local map. The agent decides its next subgoal, selecting a point within the current local map or a fracture point with a different local map, based on the relative surprisal of the different locations and the connectivity graph of maps in LTM (described below). Given sufficiently high surprisal at the current location, a fragmentation will occur. Upon fragmentation, the local map in STM is stored as an episode in LTM and then erased from STM. The STM begins a new local map. Stored local maps in LTM are recalled whenever the agent reaches a location a where fragmentation event had occurred. Section A and Algorithm 1 provide detailed procedures.

Local Map The STM has a local predictive spatial map, $\mathbf{M}^{\text{cur}}_t \in \mathbb{R}^{(C+1)\times H\times W}$ where the size (H, W) grows as the agent extends its observations in the local region. The first C channels of $\mathbf{M}^{\text{cur}}_t$ denote color (*e.g.*, RGB, grayscale) and the last channel denotes the agent's confidence in each spatial cell. For simplicity, in this paper, we will focus only on the update of confidence channel (C-th channel). The local predictive map is simply a temporally decaying trace of recent sensory observations, shifted by the agent's actions (movement through space) (Klukas et al., 2021):

$$\mathbf{M}^{\text{cur}}_{t,C} = \gamma \cdot \mathbf{M}^{\text{cur}}_{t-1,C} + (1-\gamma)\cdot o'_{t,C}, \tag{1}$$

where γ is the decaying factor and $o_t \in \mathbb{R}^{(C+1)\times h\times w}$ is the egocentric view input observation in the environment at time t sized as (h, w). The last channel of the observation means visibility; visible (1) or invisible (0) on each cell. $o'_t \in \mathbb{R}^{(C+1)\times H\times W}$ denotes a spatially transformed observation to $\mathbf{M}^{\text{cur}}_{t-1}$ to update the current observation to the local map in the correct position; rotation and zero-padding (Figure 2).

Surprisal The scalar surprisal signal $s_t = 1 - c_t$ is generated using the local map in STM and the current observation, where c_t quantifies the average similarity of the visible part of observation to the

local predictive map $\mathbf{M}^{\text{cur}}_{t-1}$ before update:

$$c_t = \frac{\mathbf{M}^{\text{cur}}_{t-1,C} \cdot o'_{t,C}}{||o'_{t,C}||_1}. \tag{2}$$

The agent is assumed to maintain a running estimate of the mean μ_t and standard deviation σ_t of past surprisals, stored as part of the current map.

Fragmentation Fragmentation occurs if the z-scored current surprisal ($(s_t - \mu_t)/\sigma_t$) exceeds a threshold, ρ. Initially on each new map, the agent collects surprisal statistics and is not permitted to further fragment space until the number of samples is greater than 25. We also store the ratio q_c of the number of frontier cells to the number of known cells and the distance between each fracture point in $\mathbf{M}^{\text{cur}}_t$. q_c keeps updating every time step until it is stored in LTM. The ratio is used to guide agents on whether or not to move to other local maps.

Recall Each local map records the fracture points. At these points, there are overlaps with other map fragments. When the agent moves to the point in the current local map, corresponding local map is recalled from LTM and the current one is stored in LTM.

Subgoal Subgoal is decided by using either the current local map in STM or connectivity graph in LTM. The former enlarges the current local map while the latter helps find the next local map to explore. An agent explores the local region in the environment unless the current surprisal is too low (*e.g.*, z-score is smaller than -1) and there is less explored local map nearby.

Subgoals made with the current local map are based on frontier-based subgoals (Yamauchi, 1997) for exploring the local region. In the current local map, we first find the all frontiers which are unknown cells adjacent to the known unoccupied cells. A group of consecutive frontiers are called 'frontier-edge' and the original frontier-based exploration method uses the nearest centroid of the frontier-edge as a subgoal. Unlike standard SLAM methods that employ the entire map, our map in STM only covers a subregion of the environment. After fragmentation, the backward direction has several frontiers (border of two local models) forming a frontier-edge. It leads the agent to go back to the previous area and recall the corresponding map fragment. This would lead to the agent moving between two map fragments for a long time. Hence, we prioritize the frontier-edge that is not located in the backward direction. We also stochastically select the subgoal based on the number of frontiers in each cluster (frontier-edge) over the distance to the nearest frontier of its centroid (Eq. 5).

Once the agent finishes exploring the local region, it should move to different subregions. However, subgoals from the current local map can misguide the already explored region since the agent does not have information beyond the map. Hence, we employ the connectivity graph of local maps stored in LTM. We leverage the discovery ratio (the ratio of the number of frontier cells to the number of known cells) q mentioned above to find the most desirable subregions to explore. We also utilize the Manhattan distance between the current agent location and the fracture point between the current (c-th) local map and the connected i-th local map, $d_{i,c}$ where $d_{c,c} = 0$ and $d_{j,c} = \infty$ if j-th local map is disconnected to the current map. Then, the desirable local map is selected as

$$g = \arg\max_i \frac{q_i}{d_{i,c} + \epsilon}, \tag{3}$$

where ϵ denotes the preference of staying in the current local map; smaller value encourages staying in the current local map. If g is not equal to c, the fracture point between the current local map and g-th local map is set to the subgoal. Once the agent arrives at the fracture point, the corresponding local map is recalled and the agent recursively checks Eq. 3 until g denotes the arrived subregion. Note that the distances between a new location and other fracture points stored in the recalled local map are precomputed since they are fixed.

Planner The planner takes a subgoal and the current spatial map in STM and finds the shortest-path within the map from the current agent location to the subgoal. We use Dijkstra's algorithm for planning a path to the next subgoal to remove the variance caused by the planning module. However, the planner can be any path planning method such as A* algorithm (Hart et al., 1968) or RRT (LaValle, 1998).

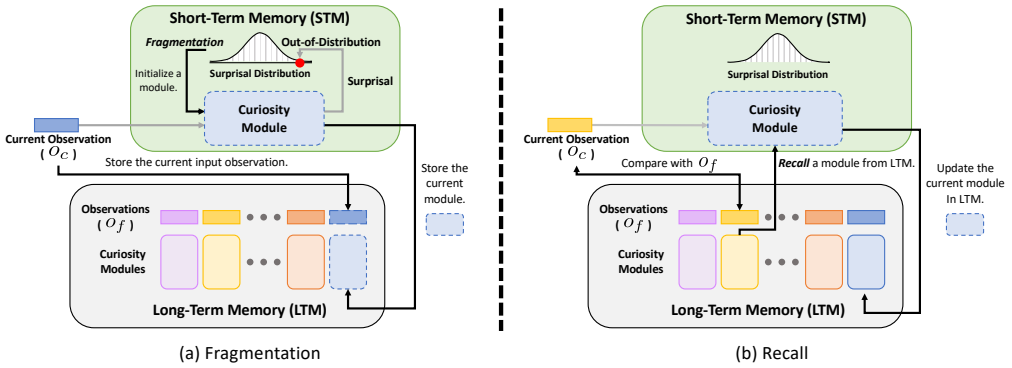


Figure 3: Illustration of the proposed FarCuriosity framework. (a) *Fragmentation*. If the current surprisal is out-of-distribution with high value (gray arrows), the current curiosity module and its input are stored in LTM and a new module is initialized. (b) *Recall*. If the current observation is similar to an observation in LTM, the corresponding curiosity module is recalled and we update the current module in LTM.

3.2 Fragmentation and Recall in Curiosity-Driven RL (FarCuriosity)

Like in spatial map building, exploration is a critical property of good reinforcement learning systems. Unlike map building, RL can be applied to diverse tasks including manipulation (Lee et al., 2021; Tassa et al., 2018), game playing (Bellemare et al., 2013; Küttler et al., 2020) and also spatial foraging and navigation (Szot et al., 2021).

Intrinsic reward functions (in the form of curiosity modules) (Burda et al., 2019; Campero et al., 2021; Pathak et al., 2017; 2019; Raileanu & Rocktäschel, 2020) are used for exploring novel spaces of an environment by generating intrinsic rewards. The intrinsic reward can serve as the primary reward for training policies in realistic scenarios where external rewards are sparse or absent. Since a curiosity module is trained concurrently with the policy, it can suffer from catastrophic forgetting (French, 1999; Robins, 1995) over large and heterogeneous environments. Heterogeneous environments consist of a diverse set of states, hence demanding on larger model capacity to memorize what the model has seen for generating intrinsic rewards. The catastrophic forgetting of a curiosity module leads to high prediction errors (high intrinsic rewards) for already explored states, making agents less likely to explore unseen states.

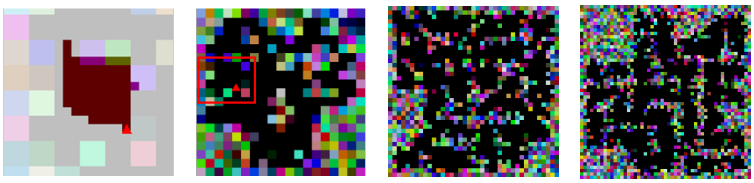
We tackle this problem by applying our fragmentation-recall scheme to the problem of exploration in RL settings, implementing multiple local models in the form of multiple curiosity modules (*FarCuriosity*). Similar to above, we now use surprisal to fragment the state space of an environment and agent. In *FarCuriosity*, surprisal is based on the predictions of a *curiosity module* in STM in place of the predictions using a local map in STM. While *FarMap* aims to efficiently build and use maps, the goal of *FarCuriosity* is to better explore states so that the agent can solve a given task.

Fragmentation We use the prediction error generated by the curiosity module (Burda et al., 2019; Pathak et al., 2017) for fragmentation. When fragmentation occurs — a fracture point (high prediction error by the current curiosity module) — we store the current curiosity module and its input (usually corresponding to the next observation) in LTM and initialize a new module.

Recall At every point, the agent can search LTM to select a curiosity module. The curiosity module's input observation (or an encoded feature of the current observation) is the key used to select a module: Given the current input observation, o_c, and the observations from past fracture points, of which an element is o_f, and a fixed feature extractor $\phi(\cdot)$, we define a recall score s_f^{rec} based on cosine similarity as follows:

$$s_f^{\text{rec}} = \frac{\phi(o_c) \cdot \phi(o_f)}{||\phi(o_c)||_2 ||\phi(o_f)||_2}. \tag{4}$$

If s_f^{rec} is equal to or greater than a threshold ψ for any element o_f, we store the current module in LTM and recall the module corresponding to o_f. We choose the highest scoring f if multiple modules meet the condition. The module just before recall of the module for o_f becomes a new element of the set of modules in LTM.



(a) Observation (b) Small (size: 3249) (c) Medium (size: 13689) (d) Large (size: 23868)

Figure 4: Environments. Empty cells (that can be occupied by the agent) are black; walls are randomly colored. (a) Top-down visualization of the agent's local field of view (FOV) (agent: red triangle; shaded region: observation) within an environment (b). The agent has only a locally restricted egocentric view. The right side is occluded by a wall. (b) Top-down view of one environment. the red box marks the region shown in (a). (c), (d) Examples of medium and large environments.

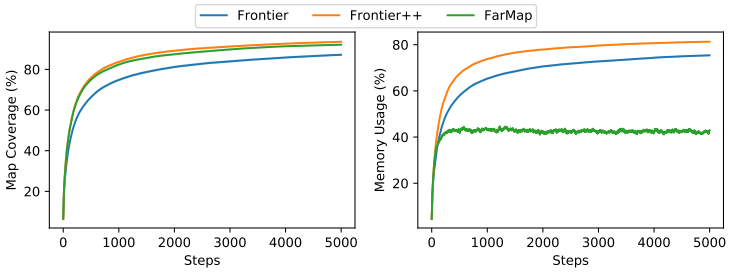


Figure 5: Growth in agent-explored map region as a function of number of steps from the first step in the environment matches the performance of an augmented Frontier-based baseline with less memory use. Mean spatial map coverage performance (left) and mean memory usage (right) as a function of number of steps taken in a given, relatively small environment. FarMap achieves better or comparable exploration as a Frontier-based exploration baseline (Frontier) (Yamauchi, 1997) and an improved version of it (Frontier++). while using only about half the memory.

4 Experiments

In this section, we conduct experiments for FarMap and FarCuriosity comparing their baselines on procedurally generated map environment and Atari games, respectively. Please refer to Section C for the experimental settings.

4.1 FarMap Experiments

We measure the map coverage and memory usage for each environment at each time step as our evaluation criteria and calculate the mean and standard deviation over all runs. We also use wall-clock time for comparison. We compare FarMap with standard frontier-based exploration (Frontier) (Yamauchi, 1997). We also consider an augmented baseline with heuristics used in FarMap: prioritizing the frontier-edges not in the backward direction, stochastically selecting subgoals based on the number of frontiers and the distance to the centroid in each frontier-edge, mentioned in Section 3.1 (Frontier++). Our experiments are conducted in procedurally generated environments (See Section B). As shown in Figure 4, the walls in the environment are randomly colored and are composed of various narrow and wide pathways. For each trial, the agent is randomly placed before it begins to explore the environment. Figure 4a presents an example of the agent's view in the small environment shown in Figure 4b. The agent is presented as a red triangle and the observed cells are shaded. The agent has the restricted field of view with occlusion (120°).

Figures 5 summarizes the experimental results on 300 environments with five different random seeds on each step. The lines in the plots are the average of all experiments and the shaded areas are standard errors of the mean which are not visible due to large number of trials (1500). FarMap uses stable amount of memory on average (40 %) over all exploration while other methods use much more memory as map coverage increases. Our method also achieves competitive map coverage performance. Figure 6 and Table 1 analyze memory size and wall-clock-time changes depending on the environment size. In Table 1, we divide the environments into three groups; small, medium and large based on their sizes. FarMap requires significantly less memory than the baselines, with a small performance drop as the environment size is increased. Moreover, since our agent only refers to the subregion of the environment not using the entire map, it is much faster than other methods while

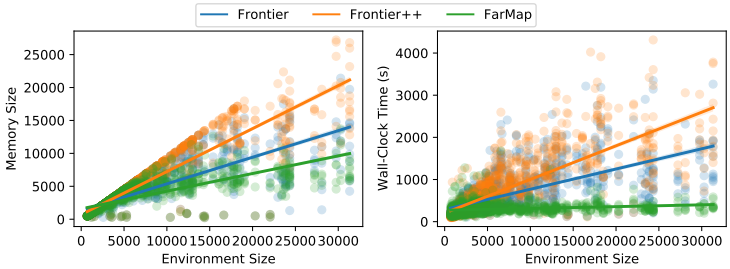


Figure 6: Relative memory and wall-clock time advantage of FarMap to Frontier-based baselines grows with environment size. Comparison of memory cost (left) and wall-clock time (right) as a function of environment size (circles: experimental results; line: linear regression fit). FarMap requires substantially less memory and is much faster than other methods.

Table 1: Comparison of average map coverage (%), memory use (%), and wall-clock time (s) for small, medium, and large environments. The memory usage advantage of FarMap relative to its counterparts grows with environment size. Frontier++ is Frontier (Yamauchi, 1997), augmented with head direction, and with a subgoal selection weights given by the size of the frontier in each subgoal. Although RND is much faster than others, it has much worse exploration performance. The numbers in parentheses are the standard deviation.

Model	Small (size $<$ 5,000)			Medium (5,000 $\leq$ size $<$ 15,000)			Large (size $\geq$ 15,000)		
	Coverage	Memory	Time	Coverage	Memory	Time	Coverage	Memory	Time
Frontier (Yamauchi, 1997)	97.2 (9.2)	80.4 (8.9)	360.5 (168.8)	76.3 (21.8)	73.3 (19.7)	871.9 (439.0)	41.4 (20.1)	44.4 (20.1)	1261.0 (676.5)
Frontier ++	98.8 (6.7)	81.6 (7.5)	341.1 (191.1)	**91.4 (17.4)**	85.9 (15.2)	1099.5 (511.3)	**60.8 (21.4)**	68.1 (20.9)	1872.4 (782.7)
FarMap	**99.0 (7.2)**	**79.1 (8.7)**	**278.2 (118.6)**	86.4 (19.8)	**62.9 (19.3)**	**321.4 (119.0)**	56.6 (20.8)	**31.4 (11.8)**	**352.5 (110.7)**
RND (Burda et al., 2019)	73.7 (23.3)	-	50.6 (22.4)	32.2 (16.2)	-	48.8 (16.2)	13.3 (7.6)	-	52.7 (43.6)

planning. Especially, in large environments, it is approximately four times faster than the baseline with heuristics. We also compare with Random Network Distillation (RND) (Burda et al., 2019) trained on each map separately without extrinsic reward. Although RND requires constant time for any size of environment, its exploration performance is worse than other methods.

4.2 FarCuriosity Experiments

We present the empirical results that verify the problem of catastrophic forgetting (Section 3.2) of intrinsic rewards in reinforcement learning in this section. We implement our FarCuriosity method based on RND (Burda et al., 2019) and compare against to the vanilla RND. Both FarCuriosity and vanilla RND train a policy using Proximal Policy Optimization (PPO) (Schulman et al., 2017), as Burda et al. (2019) suggest. We follow the original RND paper and select Atari (Bellemare et al., 2013) as the benchmark. To test the catastrophic forgetting problem, we perform experiments over 11 Atari games with diverse states (Section F): Asteroids, Beam Rider, Breakout, Boxing, Jamesbond, River Raid, Seaquest, Space Invaders, Pong, Star Gunner, and Tennis.

Figure 7 presents the mean cumulative extrinsic rewards (also known as extrinsic return) and its standard errors at varying number of training data (frames) in eight games over three different random trials. FarCuriosity outperforms RND in these environments. FarCuriosity does significantly better than RND in Jamesbond; to explain this, we measure the intrinsic reward of the first frame of every episode during training as shown in Figure 8. The intrinsic reward generated by FarCuriosity for the first game frame decreases during training after a short increment. On the other hand, RND generates a higher intrinsic reward (higher prediction error) as training progresses, implying that this method suffers from catastrophic forgetting. The prediction-based curiosity module assumes that infrequently visited states have high prediction error and vice versa. However, due to catastrophic forgetting, this assumption is invalid here. In Breakout and Space Invaders, FarCuriosity outperforms RND by a smaller margin. This is because the observations in those environments are more similar, and once the agent kills all enemies or hits all of the bricks, the same enemies and bricks are revived. Hence, RND does not suffer from catastrophic forgetting and achieves similar results with FarCuriosity. We also run experiments on homonogenous environments in Section E and analyze the heterogeneity in Section F. In summary, our *fragmentation-and-recall* concept is helpful for heterogeneous environments.

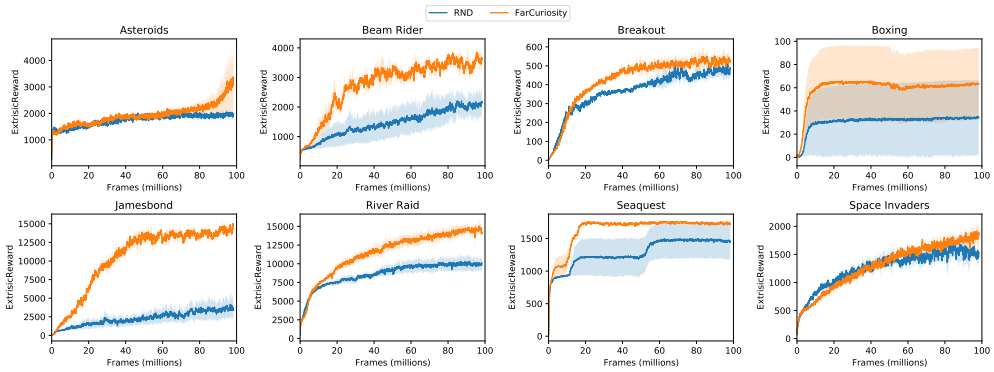
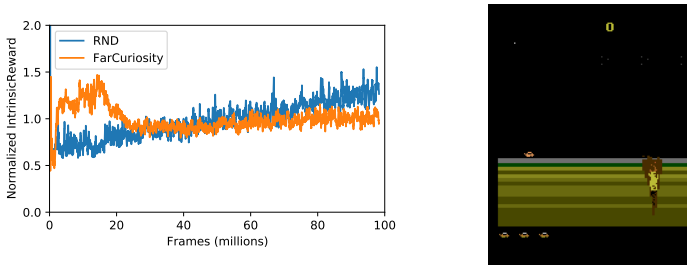


Figure 7: Mean extrinsic reward of RNN-based policies: RND and FarCuriosity with extrinsic reward on eight Atari games.



(a) Intrinsic reward of starting observation (b) Starting observation

Figure 8: Intrinsic reward of the starting observation on every episode in Jamesbond. The reward is normalized by the average intrinsic reward across training in order to directly compare the magnitude of the change in intrinsic rewards during training. The reward from RND is increasing while one from FarCuriosity is decreasing after 15 millions steps.

5 Discussion

We proposed a new framework for exploration based on local models and fragmentation, inspired by how natural agents explore space. Our framework *fragments* the exploration space based on the current surprisal in real time and stores the current model fragment in long-term memory (LTM). Stored fragments are *recalled* when the agent returns to the state where the fragmentation happened so that the agent can reuse the local information. Accordingly, the agent can refer to *longer-term* local information. We believe that the framework can be applicable to any tasks that use streaming observations or data which are reused or recurring. We applied this framework to the settings of spatial exploration (*FarMap*) and general reinforcement learning exploration (*FarCuriosity*). The surprisal is generated by short-term memory (STM) using a local map in FarMap and a curiosity module in FarCuriosity. Consequently, FarMap requires less memory and wall-clock time than the baseline method (Yamauchi, 1997) without sacrificing exploration performance. On the other hand, FarCuriosity learns better than its baseline (Burda et al., 2019) in standard reinforcement learning benchmarks by appropriately recalling model fragments once fragmentation happens in the environment. In principle, as in *FarMap*, LTM could include a connectivity graph that describes the transition structure between recalled modules, which we believe will be useful for goal-directed reinforcement learning. However, in what follows, we use only individual stored modules in LTM for FarCuriosity and not the connectivity graph, which we leave for future work.

Our paper aims to be a proof-of-concept for fragmentation and recall; we have applied it to only two domains with a relatively small number of environments, but the strong performance in these cases suggests that the concept should provide improvements in many heterogeneous-environment learning problems. On the contrary, FarCuriosity is *not* effective in homogenous environments or frequently reset environments since the baseline agent does not suffer from catastrophic forgetting in those environments. With this effort, we intend to bring to the attention of the machine learning community the *fragmentation-and-recall* concept inspired by natural agents for heterogeneous environments. This concept can make large-scale exploration, which typically requires a huge memory size and long-ranged memory span, significantly more efficient.

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APPENDIX

Algorithm 1 FarMap Procedure at time t. FarMap algorithm is colored in red and heuristics are colored in blue on top of Frontier algorithm.

Require: a spatial map $\mathbf{M}^{\text{curr}}_{t-1}$, previous action a_{t-1}, current observation o_t, short-term memory STM, long-term memory LTM
Ensure: Updated map, $\mathbf{M}^{\text{curr}}_{t}$ and a sequence of actions $\{a\}$

1: **procedure** STEP
2: $\mathbf{M}^{\text{curr}}_{t} = \gamma \cdot \mathbf{M}^{\text{curr}}_{t-1} + (1-\gamma) \cdot o'_t$ ▷ Update the current local map
3: Calculate $s_t = 1 - c_t$ following Eq. 2.
4: **if** current position in $\mathbf{M}^{\text{curr}}_{t}$ is fracture point **then** ▷ Recall
5: $q_c = N_{\text{frontier}} \,/\, N_{\text{known}}$
6: Store $\mathbf{M}^{\text{curr}}_{t}$ in LTM.
7: Recall a corresponding map fragment in LTM to STM (*i.e.*, change $\mathbf{M}^{\text{curr}}_{t}$)
8: **else if** $z_t > \rho$ **then** ▷ Fragmentation
9: $q_c = N_{\text{frontier}} \,/\, N_{\text{known}}$
10: Store $\mathbf{M}^{\text{curr}}_{t}$ in LTM.
11: Initialize a new map $\mathbf{M}^{\text{curr}}_{t}$ in STM.
12: **end if**
13: Update μ_t and σ_t.
14: $g = \arg\max_i \frac{q_i}{d_{i,c}+\epsilon}$
15: **if** $g \neq c$ **then** ▷ Subgoal based on connectivity between fragments.
16: *subgoal* $\leftarrow$ the fragmentation location between current fragment c and a fragment g
17: **else**
18: Find frontier-edges $\{\mathcal{F}_i\}$ and their centroids.
19: Calculate distance between the current position and each centroid $\{d_i\}$.
20: $w_i = 1/d_i \cdot |\mathcal{F}_i| \cdot \mathbb{1}(\mathcal{F}_i \text{ is not backward})$ ▷ $\mathbb{1}(\cdot)$ is 1 if the condition is true else 0.
21: Select frontier-edge $\mathcal{F}_g$ based on the weighted sampling with $\{w_i\}$.
22: *subgoal* $\leftarrow$ the nearest frontier $\in \mathcal{F}_g$ from its centroid.
23: **end if**
24: A sequence of actions, $\{a\} \leftarrow$ Planner(*subgoal*; $\mathbf{M}^{\text{curr}}_{t}$) ▷ Dijkstra's algorithm.
25: **end procedure**

A OVERALL PROCEDURE OF SPATIAL NAVIGATION

Algorithm 1 presents the overall procedure of FarMap at time t. On top of Frontier algorithm (Yamauchi, 1997), we colored heuristics that is used for Frontier++ and FarMap as blue (Line 20) and FarMap algorithm as red. Given the previous action a_{t-1}, current observation o_t, a local predictive map $\mathbf{M}^{\text{curr}}_{t-1}$, we first update the map following Eq. 1 and calculate the surprisal s_t following Eq. 2. Then, if the current position in $\mathbf{M}^{\text{curr}}_{t}$ is previously fragmented location with another fragment, we calculate q_c as the number of frontiers (N_{frontier}) over the number of known cells (N_{known}) in the map $\mathbf{M}^{\text{curr}}_{t}$ (Line 5). Then, we store the current map in LTM and recall the corresponding map fragment in LTM to STM. If recall is not happened and z_t is greater than a threshold ρ, we store the current map in LTM and initialize a new map in STM.

After checking recall and fragmentation, we find the desirable local map fragment that is less explored compared to other fragments mentioned in Section 3.1. If the current map is not the desirable map, we set subgoal as the fracture point between the current map and the desirable map. Otherwise, we first find frontier-edges and calculate a weight of each frontier-edge $\mathcal{F}_i$ for weighted sampling as:

$$w_i = \frac{|\mathcal{F}_i| \cdot \mathbb{1}(\mathcal{F}_i)}{d_i}, \tag{5}$$

where d_i means the distance between the current position and the centorid of $\mathcal{F}_i$ and $\mathbb{1}(\cdot)$ is the indicator function that is 1 if the condition is true otherwise 0. In Frontier model, w_i is $1/d_i$.

The subgoal is defined as the nearest frontier from the centroid of sampled frontier-edge. Finally, a planner generates a sequence of actions to go to the subgoal. Note that while the agent moves based on the sequence, it keeps update the map and checks fragmentation and recall.

Algorithm 2 Spatial Exploration Environment Generation

Require: $N, M, L, S, K, p_{\text{connect}}, p_{\text{merge}}, p_{\text{flip}}$
Ensure: A set of maps, $\{\mathcal{M}\}$.
1: **procedure** MAPGENERATION
2: Initialize $\mathcal{M} \in \{0,1\}^{(N\cdot S+(N+1)\cdot L)\times(M\cdot S+(M+1)\cdot L)}$, (N, M) grid with interval L and each square sized (S, S). ▷ Figure 9 (1).
3: **for** $(s_i, s_j) \in \{(s_i, s_j) | s_i$ and s_j are adjacent, $i \leq j\}$ **do** ▷ Get adjacent grid square pairs.
4: $x \sim \mathcal{B}(1, p_{\text{connect}})$ ▷ Connect adjacent squares with probability p_{connect}.
5: **if** $x = 1$ **then**
6: $w \sim \text{unif}\{1, \ldots, S-1\}$
7: Connect s_i and s_j with width w. ▷ Figure 9 (2).
8: **end if**
9: $x \sim \mathcal{B}(1, p_{\text{merge}})$ ▷ Merge adjacent squares with probability p_{merge}.
10: **if** $x = 1$ **then**
11: Merge s_i and s_j by removing the interval. ▷ Figure 9 (3).
12: **end if**
13: **end for**
14: **for** $k \leftarrow 1$ to K **do**
15: **for** $c \in \{c | c \in \mathcal{M}, \exists_{c'}\ c \text{ xor } c' = 1, c' \in \text{Adj}(c)\}$ **do** ▷ Get boundary cells in the map.
16: $x \sim \mathcal{B}(1, p_{\text{flip}})$ ▷ Flip the cell with probability p_{merge}.
17: $c = c \text{ xor } x$ ▷ Figure 9 (4)-(6).
18: **end for**
19: **end for**
20: Divide $\mathcal{M}$ into a set of isolated maps $\{\mathbf{m}_i\}$ ▷ Figure 9 (7).
21: Filter out a map in $\{\mathbf{m}_i\}$, where the size is smaller than $3S^2$.
22: Randomly colorize the occupied cell in each map. ▷ Figure 9 (8).
23: Scale up each map in $\{\mathbf{m}_i\}$ by factor of X.
24: **end procedure**

B PROCEDURALLY-GENERATED ENVIRONMENTS

We use the procedurally-generated environment for the map building experiments in Section 4 in the main paper. Figure 9 and Algorithm 2 show the procedure of map generation. We first generate grid patterned square rooms and randomly connect and merge them. Then, we flip boundary cells of empty or occupied multiple times for diversity. Formally, given the length of square S, the interval between square rooms, L, and the size of the grid, (N, M), we first generate the binary square grid map $\mathcal{M} \in \{0 \text{ (empty)}, 1 \text{ (occupied)}\}^{(N\cdot S+(N+1)\cdot L)\times(M\cdot S+(M+1)\cdot L)}$ (Line 2 in Algorithm 2). Let s_i be the i-th square as a row-major order in $\mathcal{M}$. For each of adjacent square pairs, we connect two squares with probability p_{connect} as a width $w \sim \text{unif}\{1, 2, \ldots, S-1\}$ (Line 7) or merge them with probability p_{merge} (Line 11). Then, we flip all boundaries between occupied and empty cells K times with probability p_{flip} (Line 17). After flipping the boundaries, there are several isolated (i.e. not connected to other submaps) submaps in $\mathcal{M}$. We only use the submaps where the sizes are greater than a threshold ($3S^2$ in our implementation) (Line 20). After creating maps, we randomly colorize each occupied cell and scale up by factor of 3. Note that the proposed environment has very complex maps compared to existing environments (Chevalier-Boisvert et al., 2018; Küttler et al., 2020)

C EXPERIMENTAL DETAILS

Our models are implemented on PyTorch and the experiments are conducted on Intel(R) Xeon(R) CPU E5-2650 v4 @ 2.20GHz for spatial exploration experiments and a single NVIDIA Tesla V100 GPU for reinforcement learning experiments. We will release the entire codebase once our paper gets accepted. However, we attached the code for environment generation for FarMap experiments.

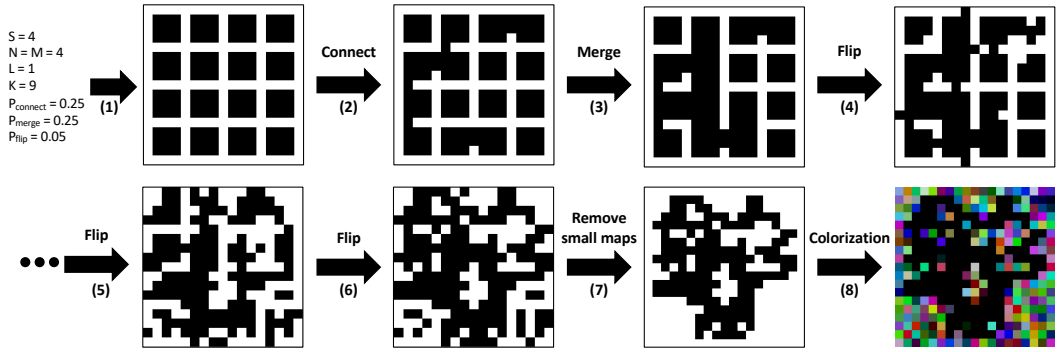


Figure 9: Procedure of map generation. (1) We first set square grid where black and white denote empty and occupied, respectively. (2) We randomly connect and (3) merge adjacent grid. (4)-(6) We also randomly flip the boundaries of empty and occupied cell recursively. (7) Then, we remove small isolated subregions and (8) randomly colorize occupied cells. Finally, we increase the size of the map.

C.1 FarMap Environment Generation

To generate the environment, we run map generation (Algorithm 2) 200 times and then use the 300 largest sized maps. All maps are scaled up by a factor of 3 after colorization for the task. On every trial, we sample S and N from $\{3, 4, 5, 6, 7\}$ and set $M = N$. $K, L \in \mathbb{N}$ are sampled from $[0, 10]$ and $[1, 3]$, respectively. We set p_{connect}, p_{merge} and p_{flip} to $0.25, 0.25, 0.05$, respectively.

C.2 FarMap

We run the agent on 300 different environments with five different random seeds. The agent explores for a maximum of 5,000 steps. We set γ, ρ and ϵ to 0.9, 2 and 5, respectively. The observation size (h, w) is (15,15). If the frontier-based exploring agent is surrounded by a large frontier-edge in an open space, the centroid of the frontier can fall in the interior of the explored space, leading to no new discovery. This causes the agent to become stuck. We improve the agent by instead selecting the nearest unoccupied cell from the nearest frontier state from the centroid. We train RND for 1 million steps without extrinsic reward for each environment with five different random seeds, and other parameters are the same in Section C.3.

C.3 FarCuriosity

We run Atari experiments for 100 million frames, and we optimize in batches of 128 frames per environment across 32 parallel environments with minibatch size, 4. The learning rate is 0.0001, the reward discount factor γ is 0.99 and the number of epochs is 4. For other parameters, we use the same values mentioned in PPO and RND: we set GAE parameter λ as 0.95, value loss coefficient as 1.0, entropy loss coefficient as 0.001, and clip ratio (ϵ in Eq. (7) in Schulman et al. (2017)) as 0.1. We set the fragmentation parameter ρ to 10, and the recall parameter ψ is to 0.99. The maximum size of the LTM is 800. For fragmentation, we also add a cosine similarity constraint: if the cosine similarity s_f with any observation in the fragmentation moment is higher than 0.75, we skip the fragmentation to avoid excessive fragmentation.

D Sensitivity Analysis for Hyperparameters in FarMap

We test FarMap with various hyperparameters; fragmentation threshold (ρ), decaying factor (γ), and ϵ. All experiments are conducted in the 300 different maps with five different random seeds for each map. While comparing one hyperparamters, we fix the remaining parameters as $\rho = 2.0, \gamma = 0.9, \epsilon = 5$. Tables 2 presents the performance of FarMap with different fragmentation threshold, ρ. The smaller value makes it more prone to fragment the space, which means it can use less memory but it overly fragments the space. On the other hand, bigger threshold makes use more memory without

Table 2: Sensitivity analysis about fragmentation threshold, ρ in FarMap.The numbers in parentheses are the standard deviation.

ρ	Small (size $<$ 5,000)			Medium (5,000 $\leq$ size $<$ 15,000)			Large (size $\geq$ 15,000)		
	Coverage	Memory	Time	Coverage	Memory	Time	Coverage	Memory	Time
1.0	**99.1 (7.0)**	**71.5 (11.3)**	**117.9 (34.1)**	87.1 (19.4)	**39.6 (13.7)**	**146.2 (61.5)**	**60.9 (19.8)**	**17.9 (6.6)**	**148.4 (36.1)**
1.5	99.1 (7.1)	75.7 (9.9)	158.0 (653.5)	87.6 (19.0)	50.2 (17.2)	180.1 (60.3)	59.7 (20.1)	23.3 (9.3)	188.9 (51.3)
2.0 (ours)	99.0 (7.2)	79.1 (8.7)	278.2 (118.6)	86.4 (19.8)	62.9 (19.3)	321.4 (119.0)	56.6 (20.8)	31.4 (11.8)	352.5 (110.7)
2.5	98.8 (6.9)	80.7 (8.1)	207.1 (503.4)	89.0 (18.2)	79.7 (18.0)	557.3 (336.8)	58.4 (20.3)	56.9 (19.0)	770.5 (393.0)
3.0	98.8 (6.7)	81.5 (7.6)	296.1 (154.5)	**91.0 (17.5)**	85.0 (15.6)	698.2 (308.8)	60.9 (21.5)	67.9 (21.0)	1068.0 (413.1)

Table 3: Sensitivity analysis about decaying factor, γ in Eq. 1 in FarMap. The numbers in parentheses are the standard deviation.

γ	Small (size $<$ 5,000)			Medium (5,000 $\leq$ size $<$ 15,000)			Large (size $\geq$ 15,000)		
	Coverage	Memory	Time	Coverage	Memory	Time	Coverage	Memory	Time
0.8	98.8 (7.2)	79.4 (8.5)	210.5 (104.2)	85.3 (20.6)	64.5 (20.7)	**304.0 (228.4)**	55.6 (19.7)	32.8 (11.3)	304.8 (112.1)
0.9 (ours)	99.0 (7.2)	79.1 (8.7)	278.2 (118.6)	86.4 (19.8)	62.9 (19.3)	321.4 (119.0)	56.6 (20.8)	**31.4 (11.8)**	352.5 (110.7)
0.95	**99.1 (6.8)**	**79.0 (8.4)**	**178.3 (74.3)**	87.3 (19.1)	**61.3 (19.1)**	507.5 (4564.6)	59.2 (20.2)	31.9 (12.5)	**284.7 (87.7)**
0.99	99.1 (6.8)	80.8 (7.9)	262.3 (232.4)	**89.3 (18.3)**	76.5 (18.3)	453.8 (210.3)	**60.4 (20.2)**	46.7 (18.4)	541.5 (231.6)

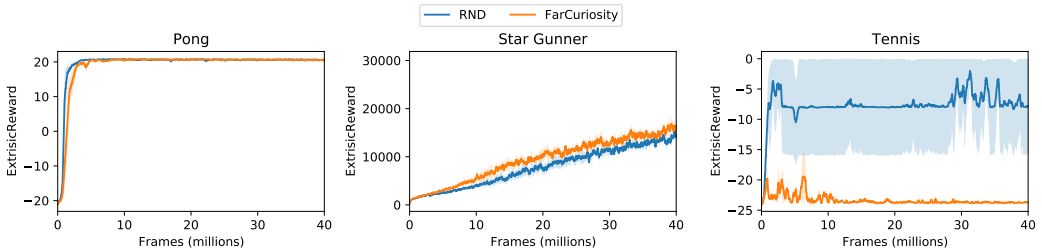


Figure 10: Mean extrinsic reward of RNN-based policies: RND and FarCuriosity with extrinsic reward on monotonous Atari games: Pong, Star Gunner, and Tennis. Both FarCuriosity and RND show similar performance in the first three games, while FarCuriosity has worse performance in Tennis.

fragmentation. Hence, we choose 2 as the threshold value (95% confidence interval if the distribution follows gaussian). On the other hand, our FarMap is robust to the decaying factor and ϵ as shown in Table 3 and Table 4, respectively.

E FARCURIOSITY IN HOMOGENEOUS ENVIRONMENTS

We test FarCuriosity on homogenous Atari game environments: Pong, Star Gunner, and Tennis as shown in Figure 10. FarCuriosity shows similar performance in Pong, and Star Gunner. We believe this is because the observation space is less visually diverse in those games. In Pong for example, the only visually dynamic features are the agent, the opponent, and the ball, all of which move over a fixed monotone background. Moreover, no fragmentations occur in Star Gunner. Consequently, catastrophic forgetting does not happen and FarCuriosity does not demonstrate a significant advantage in this environment. On the other hand, FarCuriosity shows worse performance compared to RND in Tennis. Tennis is the most homogeneous game in Atari as shown in Section F. We hypothesize that this homogeneity can lead to wrong fragmentation, which degrades the performance.

Table 4: Sensitivity analysis about ϵ in Eq. 3 in FarMap. The numbers in parentheses are the standard deviation.

ϵ	Small (size $<$ 5,000)			Medium (5,000 $\leq$ size $<$ 15,000)			Large (size $\geq$ 15,000)		
	Coverage	Memory	Time	Coverage	Memory	Time	Coverage	Memory	Time
1	99.0 (7.1)	79.1 (8.7)	198.0 (86.4)	**86.8 (19.4)**	63.0 (19.2)	275.3 (93.7)	56.6 (20.7)	31.5 (11.9)	294.8 (81.4)
3	**99.0 (7.2)**	**79.1 (8.7)**	198.1 (88.3)	86.7 (19.5)	63.0 (19.1)	**271.3 (89.1)**	56.5 (20.7)	31.5 (11.9)	**294.5 (81.5)**
5 (ours)	99.0 (7.2)	79.1 (8.7)	278.2 (118.6))	86.4 (19.8)	**62.9 (19.3)**	321.4 (119.0)	**56.6 (20.8)**	31.4 (11.8)	352.5 (110.7)
10	99.0 (7.2)	79.1 (8.7)	**197.1 (91.9)**	86.6 (19.5)	62.9 (19.1)	272.5 (88.2)	56.3 (20.9)	31.4 (11.8)	294.9 (82.1)
15	99.0 (7.3)	79.1 (8.7)	198.5 (94.4)	86.6 (19.5)	63.0 (19.1)	288.7 (247.9)	55.9 (20.7)	**31.1 (11.6)**	295.7 (84.4)

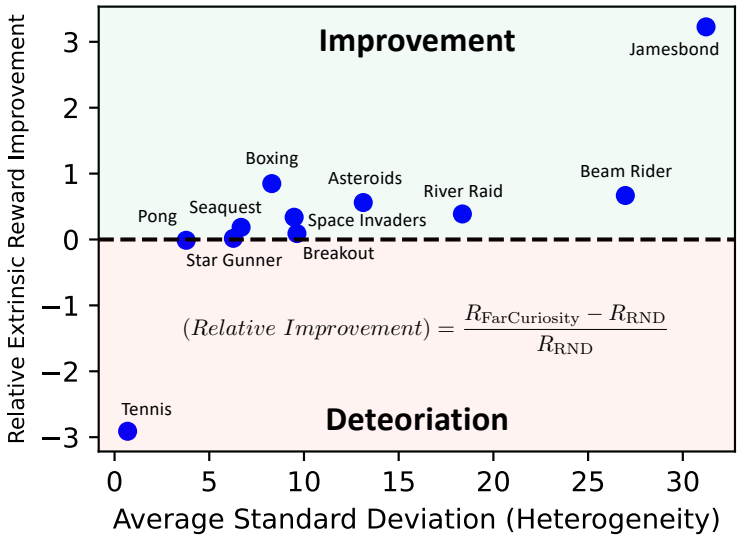


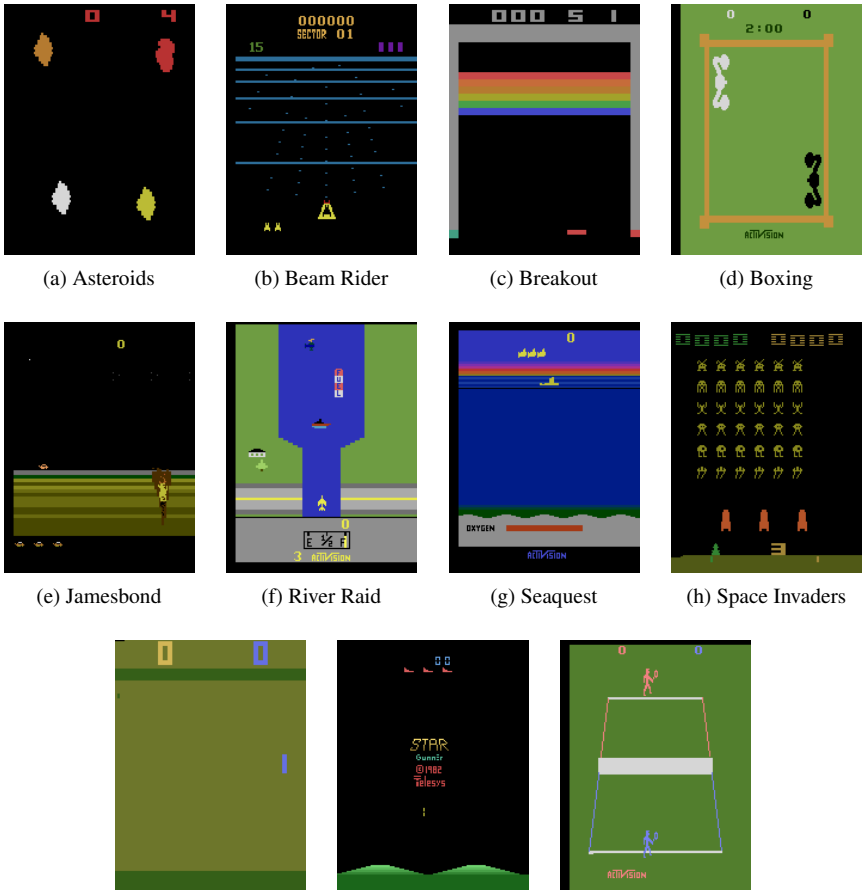
Figure 11: The relative performance improvement over RND performance (R_{RND}) in various Atari games. The average standard deviation is measured from each pixel in a trajectory generated by trained RND. FarCuriosity is effective in visually high-variance (heterogeneous) games.

F Homogeneous and Heterogeneous Environments in Atari

We measure the average across all pixels of the standard deviation of each pixel from trajectories generated by trained RND (Burda et al., 2019) as the *heterogeneity* of each game. Figure 11 shows the relative performance improvement on multiple Atari games which is defined as below:

$$(Relative\ Improvement) = \frac{R_{\text{FarCuriosity}} - R_{\text{RND}}}{R_{\text{RND}}}, \tag{6}$$

where $R_{\text{FarCuriosity}}$ and R_{RND} denote the average extrinsic reward of FarCuriosity and RND, respectively. Since both $R_{\text{FarCuriosity}}$ and R_{RND} are negative in Tennis, we multiply the improvement by -1 here. The negative improvement denotes the performance is worsened by FarCuriosity. FarCuriosity generally improves performance in heterogeneous environments while achieving similar or even worse performance in homogeneous games.



(a) Asteroids (b) Beam Rider (c) Breakout (d) Boxing

(e) Jamesbond (f) River Raid (g) Seaquest (h) Space Invaders

(i) Pong (j) Star Gunner (k) Tennis

Figure 12: Various Atari environments used for comparing FarCuriosity and RND.