Behavioral Engagement and Manifold Representation in the Hippocampus: Evidence from the Mutual Information of Population Encoding and Location

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Abstract

Although there is significant understanding in how individual neurons in the hippocampus represent spatial location, the temporal dependence of population coding remains poorly understood. Using a novel statistical estimator and theoretical modeling, both developed in the framework of maximum entropy models, we reveal temporal changes in fidelity of the spatial map, consistent with observed gating due to behavioral engagements.

1 Introduction

One of the central goal of neuroscience involves understanding the intricacies of the hippocampus (3). This part of the brain is involved in handling spatial tasks (35). Owing to difficulties involved with studying hippocampal activity in a live human brain, most experiments involving spatial tasks are performed on animals like mice. Considering the similarity in hippocampus structure and functionality of mice and of that found in humans (24; 2; 6), these experimental results still provide a great degree of understanding of the human hippocampus.

Experiments on mammals with hippocampal lesions show that hippocampus acts as a working memory by encoding environmental stimulus in neuron-neuron interactions (13). This includes environmental cues, such as sensory cues and location of rewards (40; 38; 1; 17), that help identify the spatial region the animal is present in, enabling the formation of an internal spatial code (33; 32; 28; 36). The encoding remaps when the animal is introduced to a different environment or set on a different task. Environmental changes include a completely different positional space, different spatial cues in the same space or movement of reward locations (29; 22). Further, an environmental space can have multiple coexisting encodings in the hippocampus (41) which are switched around on separate introductions to the same space. Hippocampal codes are also based on egocentric information, including self-motion (25; 21), and often deteriorate when active motion is not present (14; 43; 10).

Current hippocampus models hypothesize that sensory and motion signals sub-consciously form an internal map of the spatial region when an animal is in active motion through an environment with sensory cues (21; 10; 18; 8; 4; 5). Consistent with this, when animals are introduced to new environments, spatial map formation happens before the animals realize its need, for instance prior to experiencing rewards (19; 15). In addition, even when animals are set on tasks that do not necessitate hippocampal activity, such as random foraging (9; 12), spatial codes are observed. Conjointly, this suggests that the hippocampus preserves a robust spatial map of the environment during motion, and this code is remapped when the environment changes.

In this paper, we analyze calcium indicator GCaMP3 fluorescence activity of hippocampal neurons in mice undergoing a goal-oriented activity. We outline a method to measure the fidelity of the internal spatial code, and show that spatial environment does not fully explain hippocampal activity.

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2 Quality of manifold representation

Hippocampal neurons manifest persistent place cell activity, producing an accurate representation of the environment in which each spatial location is mapped to a specific neural population state of activity (44; 45). Recent experiments (34) have demonstrated that the population activity profile can be noticeably different as the trial progresses. This change in neural activity, as mice undergo trials with identical visual cues and continue to traverse the maze with same reward location, raises the question of whether the embedded position manifold has remapped or degraded.

The instantaneous activity of a sample of N neurons can be conceptualized as a point, or a neural state, in an N-dimensional Euclidean coordinate system, described as the neural state space. Within this framework, one can define neural dimensionality as the dimensionality of the entire state space (N). Neural dimensionality corresponds to the space of all possible neural states and does not indicate anything about the structure in the encoded activity. Task-relevant computations depend on correlated neural activity, and can be equivalently described in an embedded space whose dimensionality is lower than that of the state space (16). This reduced dimensionality can be quantified, for instance, by applying dimensionality reduction techniques to the neural data, and determining the number of independent dimensions that account for a given fraction of variance.

The embedded dimensionality carries information about structure, but it does not in general correspond to the number of variables that describe the environmental space. For instance, a circular virtual track can be described by a single variable embedded in a space with any number of dimensions. We call the number of independent variables the intrinsic dimension, and use physical dimension to refer to the number of variables used to describe the environmental space. Manifold learning in the neural state space can find the embedded manifold that contains the structural information about the environmental space manifold (31; 37; 23; 42; 39). Estimation of the information about the position space present in the neural state space leads to a measure of the quality of manifold representation.

2.1 Fidelity of representation via mutual information

Mutual Information (MI) (11) can be used to measure higher-order statistical dependencies between two random variables X and Y. The MI relies on the mismatch between p_{XY}, the joint probability density function (pdf) of the random variables X, Y, with the product of p_X and p_Y, representing the respective marginal pdfs. In our analysis, the expression of MI as the difference between the entropy $H(X)$ and the conditional entropy $H(X|Y)$ would be very important.

$$I(X;Y) := \mathbb{E}_{XY}\left[\log \frac{p_{XY}(X,Y)}{p_X(X)p_Y(Y)}\right] = H(X) - H(X|Y) \tag{1}$$

Hippocampus models of spatial maps have a structure conducive to analysis using a MI measure. They function as an encoder that takes environment information X as input, consisting of visual information, smell, position and other environmental cues, and map it to a neural representation Y using $\Phi(X, \Lambda)$. Then, the fidelity of representation can be quantified by estimating MI between the latent code and the neural encoding.

Estimating MI in high-dimensional spaces is an infamously difficult task. As an example, consider the problem of estimating mutual information between neural activity, Y, and position, X, by binning the joint distribution space, with each individual neural activity being modelled as a binary random variable. We will need $O(2^N L/k)$ data points where N is the number of neurons, and k is the resolution of track with length L. Instead, we estimate $H(X)$ and $H(X|Y)$. We need good estimates of place cell fields to find $H(X|Y)$, which has a lower data complexity due to their position selectivity (30; 26).

We have to compute the posterior distribution $p(x|y_t)$, where x is position at time t and y_t is the neuron activity vector with $y_{ti} = 1$ if neuron i spikes at time t and $y_{ti} = 0$ otherwise. We do this using the x-dependent activity of individual neurons and the assumption of conditional independence. We then estimate conditional entropy, $H(X|Y)$, as follows:

$$H(X|Y) \approx \frac{1}{T}\sum_t H(X|Y = y_t) = \frac{1}{T}\sum_t \int dx \left(p(x|y_t)\log\frac{1}{p(x|y_t)}\right) \tag{2}$$

2.2 Temporal dependence of MI

The Mutual Information estimate, representative of the fidelity of the spatial code in hippocampus, depends on the formation of well-structured place cells tiling the entire track. The estimates will be lower if the track is not densely tiled with place cell fields, or if the place cells have wide fields. A remapping of spatial code will lead to a different but similarly high MI content representation. We evaluate the fidelity of the spatial code by partitioning the data into shorter temporal portions, and learning the place cell fields for each partition separately using kernel density estimation. We use the estimated cell fields to compute posterior distribution and mutual information for the partition in consideration. This way we can compare mutual information across time. We correct our mutual information measures for bias and estimate the associated error (not shown here) using non parametric bootstrap techniques (7).

3 Results

We show in Fig.1 example activity representative of hundreds of CA1 neurons measured using cellular-resolution calcium imaging. This data, shared with us by collaborators in Princeton Neuroscience Institute, corresponds to a goal-oriented experiment involving water-restricted mice given water reward delivery in a virtual linear environment with visual cues to uniquely determine every position on the track (17).

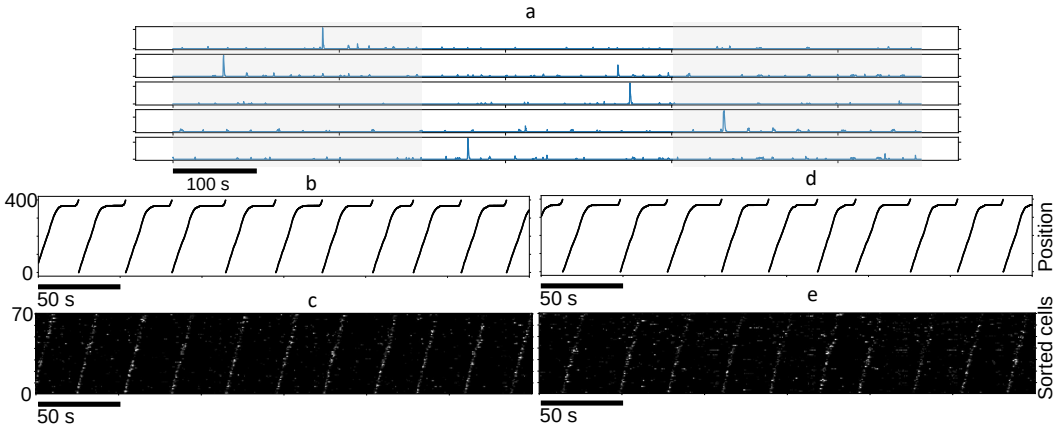

Figure 1: a, Examples of GCaMP3 $\Delta F/F$ from the activity of five CA1 neurons in a 15-min session, with the setup described in (17). b, d, Track positions for highlighted portions of example session. c, e, Plots of neural activity on each frame, with neurons sorted by the locations of their maximal activity. The activity of each neuron is normalized. Only neurons which encode position information are shown.

As expected (44; 45), we find, Fig.1c, place cell activity in a large fraction of neurons, forming a manifold that tiles the entire track in position space. However, toward the latter part of many sessions, this tiling pattern is disrupted, and the same neural population shifts to a distinctly different intrinsic code Fig.1e. This change in activity occurred even though the mouse continued to travel across the track and identical visual cues were used. This is similar to gating of hippocampal place codes observed in (34).

We validate our assumption regarding independent place cell firing by computing correlation between neuron activities conditioned on position. We observe that our assumption is largely fulfilled. There are a few outliers which are highly correlated, neurons encoding reward, but position encoding neurons exhibit low position conditional correlation. We use kernel density estimation to model $\phi_i(x)$, the rate of firing of place cell i at position x, for its advantages with small data sets (27). We determine the size of the rbf kernel by maximizing the posterior for each neuron i, and averaging over each neuron's optimal bandwidth.

We use binarized mutual information of the mouse's spatial position and the neural activity to show that the change in neural activity is a degradation in the spatial code, instead of a remapping of the

place code, Fig.2. We have binarized MI into low and high using $MI_c = 0.25$ bits. This cutoff was decided using the lowest measure among the beginning parts of the sessions, since we expect well behaved spatial maps early on.

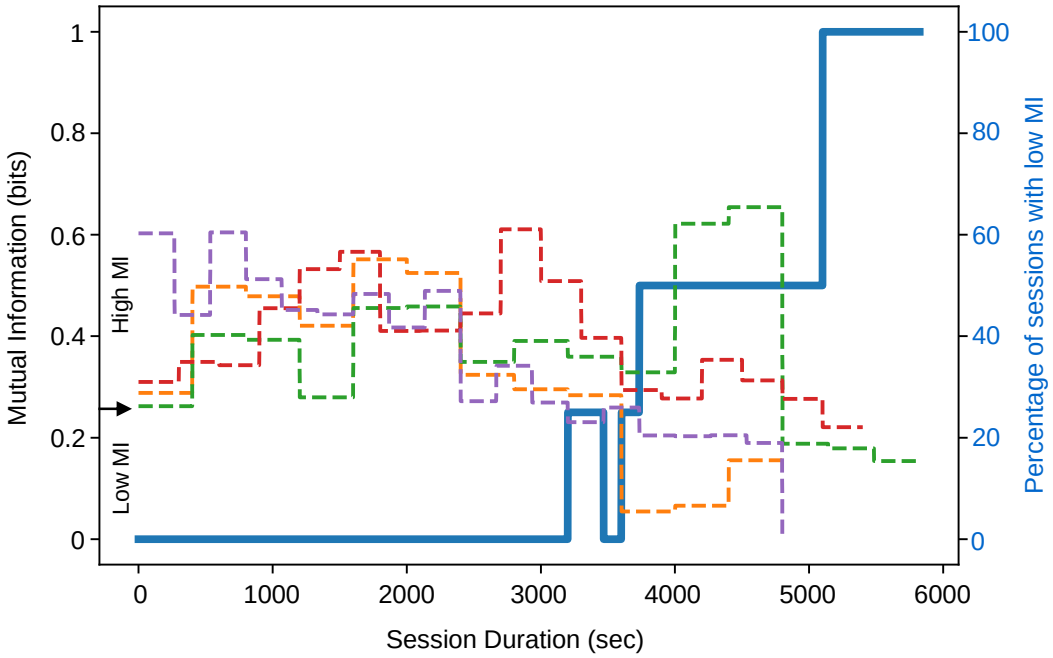

Figure 2: Dashed lines depict mutual information across session length for different sessions. Only sessions longer than 1h and exhibiting spatial code at some point during the session are shown. The solid blue line shows percentage of sessions that have low mutual information across session length. Mutual information was binarized into low and high using $MI_c = 0.25$ bits

4 Conclusion

Our results show that the hippocampal intrinsic code of the spatial map consistently deteriorates with session duration. This breakdown of spatial code happens while the mice continued to traverse through the same virtual environment. Consequently, it is likely that sensory and motion signals are insufficient to maintain a spatial map in the hippocampus. Rather, some other internal state regulates the fidelity of the spatial maps and serves as a functional gate on the stable firing of place cells.

We speculate that an active internal mechanism controls the behavioral relevance of the environment and hence applies a top-down influence to gate spatial representations in CA1 (20). In that case, the internal state changes would modulate the fidelity of the hippocampal place code. It is also possible that the changes in place codes that we observed arise for completely different reasons, and lead to a change in the associated behavior. Future experiments are needed to test the underlying mechanisms and the internal states. Regardless of mechanisms, our observations reveal that the hippocampus does not always preserve a spatial code and that place maps degrade even as animals experience identical visual cues and spatial positions.

Our work relies on modeling responses of individual neuron, conditional upon the same input, to be independent. Our preliminary analysis (not shown here) indicates that this is mostly true of neurons coding for positions, but not so much for reward neurons. Still, further studies are needed to find out whether correlations, other than those induced by overlap of place fields, are crucial in coding. Another limitation is that we are limited to the set of neurons that are being observed, and we have to hope measuring mutual information with their activity provides the right trends for the overall hippocampal system. The confidence we have in our conclusion, thus, partly relies on corroborative evidence from other studies (34).

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1. For all authors...
   (a) Do the main claims made in the abstract and introduction accurately reflect the paper's contributions and scope? [Yes] See Figure 2 and Section 3
   (b) Did you describe the limitations of your work? [Yes] See Section 3
   (c) Did you discuss any potential negative societal impacts of your work? [N/A]
   (d) Have you read the ethics review guidelines and ensured that your paper conforms to them? [N/A]
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